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# ON $H = 1/2$ SURFACES IN $\widetilde{PSL}_2(\mathbb{R}, \tau)$

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## Abstract

In this paper we prove that if  $\Sigma$  is a properly embedded constant mean curvature  $H = 1/2$  surface which is asymptotic to a horocylinder  $C \subset \widetilde{PSL}_2(\mathbb{R}, \tau)$ , in one side of  $C$ , such that the mean curvature vector of  $\Sigma$  has the same direction as that of the  $C$  at points of  $\Sigma$  converging to  $C$ , then  $\Sigma$  is a subset of  $C$ .

## 1. Introduction

In this paper we study complete constant mean curvature  $H = 1/2$  surfaces immersed in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ . Recall that in [5] the authors generalized to  $\mathbb{H}^2 \times \mathbb{R}$  the half-space theorem of Hoffman and Meeks which ensures that a properly immersed minimal surface in  $\mathbb{R}^3$  that lies in a half-space must be a plane. The main theorem in [5] says that, if a properly embedded constant mean curvature  $H = 1/2$  surface in  $\mathbb{H}^2 \times \mathbb{R}$  which is asymptotic to a horocylinder  $C$  and on one side of  $C$ ; such that the mean curvature vector of the surface has the same direction as that of  $C$  at points of the surface converging to  $C$ , then the surface is equal to  $C$  (or a subset of  $C$  if the surface has non-empty boundary).

We extend this result to the space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ . Remember that the space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  is one of the eight Thurston's geometries. Indeed it is well known there exists a classification due to W. Thurston of simply connected homogeneous 3-manifolds (see [8, Chapter eight]). Such a manifold has an isometry group of dimension 3, 4 or 6.

- When the manifold has 6-dimensional isometry group, we have the 3-dimensional space-forms: the Euclidean space  $\mathbb{R}^3$ , the Euclidean sphere  $\mathbb{S}^3(\kappa)$  (having sectional curvature  $\kappa > 0$ ) and the hyperbolic space  $\mathbb{H}^3(\kappa)$  (having sectional curvature  $\kappa < 0$ ).
- When the manifold has 3-dimensional isometry group, we have the Lie group  $Sol_3$ .
- When the manifold has 4-dimensional isometry group (we label by  $E(\kappa, \tau)$  these manifolds), there exists a Riemannian fibration over a 2-dimensional space form  $M^2(\kappa)$ .

The manifolds  $E(\kappa, \tau)$  are classified, up to isometry, by the curvature  $\kappa$  of the base surface and by the bundle curvature of the fibration  $\tau$ , where  $\kappa$  and  $\tau$  can be any real numbers satisfying  $\kappa \neq 4\tau^2$ . When  $\tau = 0$  we have the metric product spaces  $M^2(\kappa) \times \mathbb{R}$ . When  $\kappa = 0$  and  $\tau \neq 0$  we have the 3-dimensional Heisenberg group. The

space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  is given when we consider  $\tau \neq 0$  and  $\kappa = -1$ , that is  $E(-1, \tau) = \widetilde{PSL}_2(\mathbb{R}, \tau)$ .

We extend the aforementioned result to the space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ . In order to do that, note that, since exists a Riemannian submersion

$$\pi : \widetilde{PSL}_2(\mathbb{R}, \tau) \rightarrow \mathbb{H}^2$$

over the half-plane model for the 2-dimensional hyperbolic space  $\mathbb{H}^2$ , we call a horocylinder the inverse image  $\pi^{-1}(\mathfrak{h})$ , where  $\mathfrak{h}$  is a horocycle in  $\mathbb{H}^2$ . We also denote by  $\partial_t$  the tangent field to the fibers on  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ .

Let  $C$  be a complete horocylinder in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ , we say that the surface  $\Sigma$  is asymptotic to  $C$  if  $\Sigma$  contain a open subset  $U \subset \Sigma$  (with  $U \cap C = \emptyset$ ), such that, for each  $\epsilon > 0$ , there exists a compact set  $K \subset U$ , where the distance  $d(p, C) < \epsilon$  for all  $p \in (U - K)$ , here  $d(\cdot, \cdot)$  denotes the distance function in the space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ .

Following the same spirit as in [5], we show an analogous result in the space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ . More precisely, our main theorem is the following.

**Theorem 1.1.** *Let  $\Sigma$  be a properly embedded constant mean curvature  $H = 1/2$  surface in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ . Suppose  $\Sigma$  is asymptotic to a horocylinder  $C$ , and on one side of  $C$ . If the mean curvature vector of  $\Sigma$  has the same direction as that of  $C$  at points of  $\Sigma$  converging to  $C$ , then  $\Sigma$  is equal to  $C$ .*

As a consequence of Theorem 1.1, we obtain (in the same sense as in [5]) the Theorem 1.2. Note that, the Theorem 1.2 is well known, see for instance [1] or [3, Corollary 4.6.3].

**Theorem 1.2.** *Let  $\Sigma$  be a complete immersed surface in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  of constant mean curvature  $H = 1/2$ . If  $\Sigma$  is transverse to the vertical Killing field  $E_3 = \partial_t$ , then  $\Sigma$  is an entire vertical graph over  $\mathbb{H}^2$ .*

Observe that the value  $H = 1/2$  for constant mean curvature  $H$  surfaces is special in the space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ . In fact, a constant mean curvature  $H$  surface in the homogeneous space  $E(\kappa, \tau)$  has critical constant mean curvature if the relation  $H^2 = -\kappa/4$  holds. This terminology comes from the fact that it separates the case  $H^2 > -\kappa/4$ , in which compact constant mean curvature exists, from the case  $H^2 < -\kappa/4$ , in which no compact constant mean curvature can exists.

## 2. The space $\widetilde{PSL}_2(\mathbb{R}, \tau)$

The 3-dimensional space  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  is a complete homogeneous simply connected Riemannian manifold. Each such a manifold (depending on  $\tau$ ) is the total space of a Riemannian submersion over the 2-dimensional hyperbolic space  $\mathbb{H}^2$  (here the Gaussian

curvature of the hyperbolic space is  $\kappa = -1$ ). The bundle curvature of the submersion is the number  $\tau$  such that  $\bar{\nabla}_X E_3 = \tau X \times E_3$  for any vector field  $X$  on  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  (here  $\bar{\nabla}$  denotes the Riemannian connection of  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ ). And each fiber is a complete geodesic tangent to a Killing field  $E_3$ . When  $\tau = 0$ , we obtain the space  $\widetilde{PSL}_2(\mathbb{R}, 0) \equiv \mathbb{H}^2 \times \mathbb{R}$ .

From now on, we choose and fix a value for  $\tau$  different from zero. More precisely, the Riemannian manifold is  $(\widetilde{PSL}_2(\mathbb{R}, \tau), g)$ , where  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  is topologically  $\mathbb{H}^2 \times \mathbb{R}$  ( $\mathbb{R}$  the real line), that is

$$\widetilde{PSL}_2(\mathbb{R}, \tau) = \{(x, y, t) \in \mathbb{R}^3; y > 0\}$$

endowed with the metric

$$g = \lambda^2(dx^2 + dy^2) + (-2\tau\lambda dx + dt)^2, \quad \lambda = \frac{1}{y}.$$

There is a natural orthonormal frame  $\{E_1, E_2, E_3\}$  given by (in coordinates  $\{\partial_x, \partial_y, \partial_t\}$ )

$$E_1 = \frac{\partial_x}{\lambda} + 2\tau\partial_t, \quad E_2 = \frac{\partial_y}{\lambda}, \quad E_3 = \partial_t.$$

$E_3$  is the Killing field tangent to the fibers. The metric  $g$  induces a Riemannian connection  $\bar{\nabla}$  given by

$$\begin{aligned} \bar{\nabla}_{E_1} E_1 &= -\frac{\lambda_y}{\lambda^2} E_2, & \bar{\nabla}_{E_1} E_2 &= \frac{\lambda_y}{\lambda^2} E_1 + \tau E_3, & \bar{\nabla}_{E_1} E_3 &= -\tau E_2, \\ \bar{\nabla}_{E_2} E_1 &= \frac{\lambda_x}{\lambda^2} E_2 - \tau E_3, & \bar{\nabla}_{E_2} E_2 &= -\frac{\lambda_x}{\lambda^2} E_1, & \bar{\nabla}_{E_2} E_3 &= \tau E_1, \\ \bar{\nabla}_{E_3} E_1 &= -\tau E_2, & \bar{\nabla}_{E_3} E_2 &= \tau E_1, & \bar{\nabla}_{E_3} E_3 &= 0. \end{aligned}$$

We also have

$$[E_1, E_2] = \frac{\lambda_y}{\lambda^2} E_1 - \frac{\lambda_x}{\lambda^2} E_2 + 2\tau E_3, \quad [E_1, E_3] = 0, \quad [E_2, E_3] = 0.$$

For more details see [6], [2], [8].

**2.1. Graphs in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ .** Now we give the definition of vertical and horizontal graphs in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ .

**2.1.1. Vertical graph.** A section of the Riemannian submersion

$$\pi : \widetilde{PSL}_2(\mathbb{R}, \tau) \rightarrow \mathbb{H}^2$$

is a map  $s: \Omega \subset \mathbb{H}^2 \rightarrow \widetilde{PSL}_2(\mathbb{R}, \tau)$ , where  $\Omega$  is a domain, such that

$$\pi \circ s = id_{\mathbb{H}^2}|_{\Omega}$$

being  $id_{\mathbb{H}^2}|_{\Omega}$  the identity map on  $\mathbb{H}^2$  restrict to  $\Omega$ .

**DEFINITION 2.1 (Vertical graph).** A vertical graph in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  is the image of a section of the Riemannian submersion  $\pi: \widetilde{PSL}_2(\mathbb{R}, \tau) \rightarrow \mathbb{H}^2$ .

Given a domain  $\Omega \subset \mathbb{H}^2$  we also denote by  $\Omega$  its lift to  $\mathbb{H}^2 \times \{0\}$ , with this identification we have that the vertical graph  $\Sigma(u)$  of  $u \in C^0(\partial\Omega) \cap C^\infty(\Omega)$  is given by

$$\Sigma(u) = \{(x, y, u(x, y)) \in \widetilde{PSL}_2(\mathbb{R}, \tau); (x, y) \in \Omega\}.$$

If the vertical graph  $\Sigma(u)$  has constant mean curvature  $H$ , then  $u$  satisfies the following partial differential equation

$$(2.1) \quad L_H(u) := \operatorname{div}_{\mathbb{H}^2} \left( \frac{\alpha}{W} e_1 + \frac{\beta}{W} e_2 \right) - 2H = 0,$$

where  $H$  is the mean curvature function with respect to the upward pointing normal vector and  $W = \sqrt{1 + \alpha^2 + \beta^2}$ ,

- $\alpha = u_x/\lambda + 2\tau\lambda_y/\lambda^2$ ,
- $\beta = u_y/\lambda - 2\tau\lambda_x/\lambda^2$ .

**2.1.2. Horizontal graph.** Following the ideas presented in [5], we consider a  $C^2$ -function  $y = f(x, t)$ ,  $f > 0$ .

**DEFINITION 2.2 (Horizontal graph).** We denote by  $\Sigma_h(f) = \operatorname{graph}(f)$ , the horizontal graph of the function  $f$ , that is

$$\Sigma_h(f) = \{(x, f(x, t), t) \in \widetilde{PSL}_2(\mathbb{R}, \tau); (x, t) \in \operatorname{Dom}(f)\}.$$

We denote by  $N$  the natural normal vector to  $\Sigma_h(f)$  (see equation (2.2)), and by  $H$  the length of the mean curvature vector of  $\Sigma_h(f)$  with respect to  $N$ . The mean curvature equation for horizontal graphs is given in the following lemma.

**Lemma 2.3.** *Suppose that  $H$  is the mean curvature function of  $\Sigma_h(f)$ . Then, the function  $f$  satisfies the equation*

$$\begin{aligned} \frac{2HW^3}{f^2} &= (f^2 + f_t^2)f_{xx} - 2(f_x f_t - 2\tau f)f_{xt} \\ &\quad + ((1 + 4\tau^2) + f_x^2)f_{tt} + f(1 + f_x^2) + 2\tau f_x f_t, \end{aligned}$$

where  $W = \sqrt{f^2 + f_t^2 + f^2(f_x + 2\tau f_t/f)^2}$ . In particular the horocylinders  $f(x, t) = \text{constant}$ , has constant mean curvature.

Proof. The surface  $\Sigma_h(f)$  is parameterized by  $\varphi(x, t) = (x, f(x, t), t)$ , so the adapted frame to  $\Sigma_h(f)$  is given by

$$(2.2) \quad \begin{aligned} \varphi_x &= \lambda(E_1 + f_x E_2 - 2\tau E_3), \\ \varphi_t &= \lambda f_t E_2 + E_3, \\ N &= \frac{-(f_x + 2\tau \lambda f_t)E_1 + E_2 - \lambda f_t E_3}{\sqrt{1 + (f_x + 2\tau \lambda f_t)^2 + \lambda^2 f_t^2}}, \end{aligned}$$

where  $N$  is the unit normal to  $\Sigma_h(f)$ , observe that  $\langle N, \partial_y \rangle > 0$ . Denoting by  $g_{ij}$  and  $b_{ij}$  the coefficients of the first and second fundamental form respectively we have that the function  $H$  satisfies the equation

$$2H = \frac{b_{11}g_{22} + b_{22}g_{11} - 2b_{12}g_{12}}{g_{11}g_{22} - g_{12}^2}.$$

Since

$$\begin{aligned} \bar{\nabla}_{\varphi_x} \varphi_x &= -\lambda^2 f_x (2 + 4\tau^2) E_1 + [\lambda f_{xx} + \lambda^2((1 + 4\tau^2) - f_x)] E_2 + 2\tau \lambda^2 f_x E_3, \\ \bar{\nabla}_{\varphi_t} \varphi_x &= [\tau \lambda f_x = \lambda^2 f_t (1 + 2\tau^2)] E_1 + [\lambda f_{xt} - \lambda^2 f_x f_t - \lambda \tau] E_2 + \lambda^2 \tau f_t E_3, \\ \bar{\nabla}_{\varphi_t} \varphi_t &= 2\tau \lambda f_t E_1 + (\lambda f_{tt} - \lambda^2 f_t^2) E_2, \end{aligned}$$

with

$$\begin{aligned} b_{11} &= \lambda f_{xx} + \lambda^2(1 + 4\tau^2)f_x^2 + 2\tau \lambda^3(1 + 4\tau^2)f_x f_t + \lambda^2(1 + 4\tau^2), \\ b_{12} &= \lambda f_{xt} - \tau \lambda f_x^2 + 2\tau \lambda^3\left(\frac{1}{2} + 2\tau^2\right)f_t^2 - \tau \lambda, \\ b_{22} &= \lambda f_{tt} - 2\tau \lambda f_x f_t - \lambda^2 f_t^2(1 + 4\tau^2), \end{aligned}$$

and

$$\begin{aligned} g_{11} &= \lambda^2[(1 + 4\tau^2) + f_x^2], \\ g_{12} &= \lambda^2 f_x f_t - 2\tau \lambda, \\ g_{22} &= 1 + \lambda^2 f_t^2, \end{aligned}$$

a straightforward computation gives the result.  $\square$

An interesting formula for the Laplacian is given in the next lemma.

**Lemma 2.4.** *Considering  $H = 1/2$ , the function  $f$  satisfies*

$$\begin{aligned}\Delta_{\Sigma_h(f)} f &= \frac{f^2}{W} \left( 1 - \frac{f}{W} + \frac{ff_x^2 + 2\tau f_t f_x}{W} \right), \\ \Delta_{\Sigma_h(f)} \left( \frac{1}{f} \right) &= \frac{W - f}{fW} + \frac{f_t^2 + 2\tau(ff_x f_t + 2\tau f_t^2)}{W}.\end{aligned}$$

*Proof.* The proof follows from a hard computation by considering

$$\Delta_{\Sigma_h(f)} = \frac{1}{\sqrt{g}} \sum_{ij} \partial_{x_i} (\sqrt{g} g^{ij} \partial_{x_j}),$$

where  $g$  is the determinant of the first fundamental form and  $(g^{ij}) = (g_{ij})^{-1}$ .

Observe that

$$\begin{aligned}\Delta_s f &= \frac{1}{\sqrt{g}W^3} [f^2[(f^2 + f_t^2)f_{xx} + 2(2\tau f - f_x f_t)f_{xt} + (f_x^2 + (1 + 4\tau^2))f_{tt}] \\ &\quad + (a^3 + f^3 f_x)f_x + (af_x - (1 + 4\tau^2)f f_t)f_t],\end{aligned}$$

where  $a = ff_x + 2\tau f_t$  and  $W^2 = f^2 + f_t^2 + (ff_x + 2\tau f_t)^2$ . □

**REMARK 2.5.** In the case  $\tau \equiv 0$ , that is, when the ambient space is  $\mathbb{H}^2 \times \mathbb{R}$ , it was proved in [5] that

$$\begin{aligned}\Delta_{\Sigma_h(f)} f &> 0, \\ \Delta_{\Sigma_h(f)} \left( \frac{1}{f} \right) &> 0,\end{aligned}$$

which is surprising and plays an important role. Note that, we do not have this property when  $\tau \neq 0$ .

### 3. The main theorem

In order to prove the main theorem (Theorem 3.6), first we construct an  $H = 1/2$  annulus. Which is an horizontal graph, this is the goal of the Proposition 3.2. Since we deal with horizontal graphs, the  $H = 1/2$  mean curvature equation is given in the following lemma.

**Lemma 3.1.** *Considering  $H = 1/2$ , the mean curvature equation for a horizontal graph is given by*

$$\begin{aligned}1 &= \frac{f^2}{W^3} [(f^2 + f_t^2)f_{xx} - 2(f_x f_t - 2\tau f)f_{xt} \\ &\quad + ((1 + 4\tau^2) + f_x^2)f_{tt} + f(1 + f_x^2) + 2\tau f_x f_t],\end{aligned}$$

which we can write in the form

$$(3.1) \quad \begin{aligned} & (f^2 + f_t^2)f_{xx} - 2(f_x f_t - 2\tau f)f_{xt} + (f_x^2 + (1 + 4\tau^2))f_{tt} \\ & - \left[ \frac{W}{f^2} + \frac{1}{W + f} \right] [(1 + 4\tau^2)f_t + 4\tau f f_x] f_t + \left[ 2\tau f_t - \frac{W^2}{W + f} f_x \right] f_x = 0. \end{aligned}$$

Proof. Considering  $H \equiv 1/2$  in Lemma 2.4, we obtain

$$\begin{aligned} & (f^2 + f_t^2)f_{xx} - 2(f_x f_t - 2\tau f)f_{xt} + (f_x^2 + (1 + 4\tau^2))f_{tt} \\ & = -f(1 + f_x^2) - 2\tau f_x f_t + \frac{W^3}{f^2}, \end{aligned}$$

which we can write in the form

$$\begin{aligned} & (f^2 + f_t^2)f_{xx} - 2(f_x f_t - 2\tau f)f_{xt} + (f_x^2 + (1 + 4\tau^2))f_{tt} \\ & - \left[ \frac{W^2}{f^2(W + f)} + \frac{1}{f} \right] [(1 + 4\tau^2)f_t + 4\tau f f_x] f_t - \frac{W^2}{f^2(W + f)} f^2 f_x^2 + 2\tau f_x f_t = 0. \end{aligned}$$

After a straightforward computation, we obtain the equation (3.1).  $\square$

**3.1.  $H = 1/2$  horizontal annuli.** Consider the horocylinder  $C(1) \subset \widetilde{PSL}_2(\mathbb{R}, \tau)$ , given by

$$C(1) = \{(x, 1, t) \in \widetilde{PSL}_2(\mathbb{R}, \tau)\}.$$

Let  $R > 0$  be a positive constant. We define the subset  $B_R \subset C(1)$  of the horocylinder, by

$$B_R = \{(x, 1, t) \in \widetilde{PSL}_2(\mathbb{R}, \tau); x^2 + t^2 < R^2\}.$$

**Proposition 3.2** ( $H = 1/2$  annuli). *Let  $U$  be the annulus  $U = \bar{B}_{R_2} \setminus B_{R_1}$  with  $R_2 \geq 4R_1$ . Then for  $\epsilon > 0$  sufficiently small (depending on  $R_1$ ), there exist constant mean curvature  $H = 1/2$  horizontal graphs  $f^+$  and  $f^-$ , satisfying equation (3.1) in  $U$  with Dirichlet boundary data  $f^\pm = 1 \pm \epsilon$  on  $\partial B_{R_1}$ ,  $f^\pm = 1$  on  $\partial B_{R_2}$ . Moreover  $f^\pm$  tends to  $1 \pm \epsilon$  uniformly on compact subsets as  $R_2$  tends to  $\infty$ .*

**REMARK 3.3.** Note that the equation (3.1) implies that any solution  $f^\pm$  solving the Dirichlet problem of Proposition 3.2 satisfies  $1 - \epsilon \leq f^- \leq 1$  and  $1 \leq f^+ \leq 1 + \epsilon$  on  $U$ .

Proof. Let  $U = \bar{B}_{R_2} \setminus B_{R_1}$  be an annulus with  $R_2 \geq 4R_1$  and fix

$$h = 1 \pm \frac{\epsilon}{\log(R_2/R_1)} \log\left(\frac{R_2}{r}\right),$$



where  $r^2 = x^2 + t^2$ .

We define the weighted  $C^{2,\alpha}$  norm:

$$|v|_{2,\alpha;U}^* = \sup_X \{|v(X)| + r(X)|Dv(X)| + r^2(X)|D_v^2(X)| + r^{2+\alpha}(X)[D^2v]_\alpha(X)\},$$

where  $X = (x, t)$  and  $[D^2v]_\alpha(X)$  is the Hölder coefficient of  $D^2v$  at  $X$ .

We expect the solution  $f$  to be close to  $h$ . Thus we consider the following definition.

**DEFINITION 3.4.** We say  $f$  is an admissible solution of (3.1) if  $f \in \mathcal{A}_\epsilon$ , where

$$\mathcal{A}_\epsilon = \{f \in C^{2,\alpha}(U), f = h \text{ on } \partial U : |f - h|_{2,\alpha;U}^* \leq \sqrt{\epsilon}\}.$$

We note that  $\mathcal{A}_\epsilon$  is convex and compact subset of the Banach space  $\mathfrak{B} = C^{2,\beta}(U)$ ,  $\beta < \alpha$ . We will reformulate our existence problem as a fixed point of a continuous operator  $T: \mathcal{A}_\epsilon \rightarrow \mathcal{A}_\epsilon$ .

We now define the operator  $w = Tf$  as follows: if  $f \in C^{2,\alpha}(U)$ , we set  $Tf = w$ , where  $w$  is the solution of the linear Dirichlet problem

$$\begin{cases} L_f w := aw_{xx} + 2bw_{xt} + cw_{tt} + dw_x + ew_t = 0, & \text{in } U; \\ w = h, & \text{on } \partial U, \end{cases}$$

where:

$$\begin{aligned} a &= f^2 + f_x^2, \\ b &= 2\tau f - f_x f_t, \\ c &= f_x^2 + (1 + 4\tau^2), \\ d &= -\left[\frac{W}{f^2} + \frac{1}{W + f}\right] [(1 + 4\tau^2)f_t + 4\tau f f_x], \\ e &= \left[2\tau f_t - \frac{W^2}{W + f} f_x\right]. \end{aligned}$$

**Proposition 3.5.** If  $\epsilon$  is sufficiently small, then  $Tf \in \mathcal{A}_\epsilon$  for every  $f \in \mathcal{A}_\epsilon$ .

*Proof.* Set  $u = w - h$ , then

$$(3.2) \quad L_f u = [(1 - f^2 - f_t^2)h_{xx} + 2f_x f_t h_{xt} - f_x^2 h_{tt} - dh_x - eh_t] := F.$$

By the maximum principle [4, Theorem 3.1 (p. 32)],  $1 \leq w \leq 1 + \epsilon$  (or  $1 - \epsilon \leq w \leq 1$ ) so  $|u| \leq \epsilon$ .

Applying Schauder interior or boundary estimates to  $L_f u = F$  in  $U$ , we obtain (see [4, Theorem 6.6 (p. 98)], [4, Corollary 6.7 (p. 100)])

$$|u|_{2,\alpha;U} \leq C(|u|_{0;U} + |F|_{0,\alpha;U}).$$

Observe that  $|u| \leq \epsilon$  implies  $|u|_{0,U} \leq \epsilon$ . From equation (3.2) follows  $|F|_{0,\alpha;U} \leq C\epsilon^{3/2}$ . This implies

$$(3.3) \quad |u|_{2,\alpha;U} \leq C(|u|_{0,U} + |F|_{0,\alpha;U}) \leq C\epsilon.$$

Now, from [4, formula 4.17'(p. 60)], we obtain

$$|u|_{2,\alpha;U}^* \leq C\epsilon.$$

Since  $u = w - h$ , it follows that for  $\epsilon$  small enough,  $w \in \mathcal{A}_\epsilon$ , from Schauder estimates and for  $R_2$  big enough  $\epsilon$  depends only on  $R_1$ , thus the proposition is proved.  $\square$

Applying the Schauder fixed point theorem to the operator  $w = Tf$ , we obtain a solution  $f^\pm \in \mathcal{A}_\epsilon$  which satisfies equation (3.1).

Now we prove that  $f^+$  converges to the horocylinder  $C(1 + \epsilon)$  uniformly on compact subsets as  $R_2$  tends to  $+\infty$ , the  $f^-$  case is similar. Take  $K$  a compact set in  $U$ . Now enlarge  $U$  by making  $R_2$  tend to infinity, this produces a family of functions  $h$  (one for each such  $R_2$ ). Note that the restriction of this sequences of functions to the fixed compact set  $K$  converges uniformly to the value  $1 + \epsilon$ .

On the other hand, given  $\rho > 0$  and some compact  $K \subset (C(1) - B_{R_1})$ , by the definition of  $\mathcal{A}_\epsilon$  and the existence part, there is some  $R_2$  large enough and some  $\epsilon_1$  small enough (depending only on  $R_1$  and  $\rho$ , not on  $R_2$  or  $K$ ) such that for any  $\epsilon < \epsilon_1$ , the function  $f$  associated to such  $h$  is  $\rho$ -close to  $1 + \epsilon$ , that is, when  $R_2$  tend to infinity the functions  $f^+$  converges uniformly to  $1 + \epsilon$ .  $\square$

### 3.2. The main theorem. Now we prove the main theorem.

**Theorem 3.6** (Main theorem). *Let  $\Sigma$  be a properly embedded constant mean curvature  $H = 1/2$  surface in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ . Suppose  $\Sigma$  is asymptotic to a horocylinder  $C$ , and one side of  $C$ . If the mean curvature vector of  $\Sigma$  has the same direction as that of  $C$  at points of  $\Sigma$  converging to  $C$ , then  $\Sigma$  is equal to  $C$  (or a subset of  $C$  if  $\partial\Sigma \neq \emptyset$ ).*

**Proof.** Assume that  $\Sigma$  is not a subset of  $C$ . After an isometry, we can assume that, there is a sequence of points  $p_i = (x_i, y_i, t_i) \in \Sigma$  with  $y_i \rightarrow 1$ . First, we suppose that  $\Sigma$  is contained in the set  $\{y > 1\}$ , the other case is treated analogously. We denote by  $C(\xi)$  the horocylinder in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  given by  $\{y = \xi\}$ . For  $\epsilon > 0$  small we consider the slab  $S^+$  bounded by  $C(1)$  and  $C(1 + \epsilon)$ . Then by the maximum principle  $\Sigma^+ = \Sigma \cap S^+$  has a non compact component with boundary  $\partial\Sigma \subset C(1 + \epsilon)$ .

Let  $D(\xi, R)$  denote the disk in  $C(\xi)$  defined by  $D(\xi, R) = \{(x, \xi, t); x^2 + t^2 \leq R^2\}$ . By considering vertical translation, we can find a disk  $D(1, 3R_1)$  such that:

$$(D(1, 3R_1) \times [1, 1 + \epsilon]) \cap \Sigma^+ = \emptyset.$$

By Theorem 3.2, for each  $R \geq 4R_1$ , there exist a horizontal graph  $f_R^+$  defined on the annulus  $U = \bar{B}_{R_2} \setminus B_{R_1}$ , this horizontal graph converge to  $C(1 + \epsilon)$ , when  $R$  goes to  $+\infty$ .

Now, consider  $R$  large, such that the graph of  $f_R^+$  (which we denote by  $\Gamma^+$ ), satisfies  $\Sigma^+ \cap \Gamma^+ \neq \emptyset$ . By considering vertical translations and translations along the geodesic  $\{x = 0, t = 0\}$ , the translated surface of  $\Gamma^+$  does not touch  $\Sigma^+$ , that is, there is a translated surface of  $\Gamma^+$  (which we denote by  $\Gamma_1^+$ ) such that  $\Gamma_1^+$  and  $\Sigma^+$  has an interior contact point. Since the mean curvature vectors are pointing up, this violates the maximum principle and  $\Sigma^+$  cannot exist.

In the second case, we redo exactly the same argument exchanging the roles of  $C(1 + \epsilon)$  and  $C(1 - \epsilon)$ .  $\square$

#### 4. The second theorem

In this section our second result concerns complete  $H = 1/2$  surfaces in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  transverse to the vertical Killing field  $E_3 = \partial_t$ , we use Theorem 1.1 in order to prove such surfaces are entire graphs. This result was proved in a totally different way in [1] and [3].

**Theorem 4.1.** *Let  $\Sigma$  be a complete immersed surface in  $\widetilde{PSL}_2(\mathbb{R}, \tau)$  of constant mean curvature  $H = 1/2$ . If  $\Sigma$  is transverse to  $E_3$  then  $\Sigma$  is an entire vertical graph over  $\mathbb{H}^2$ .*

The proof of this theorem is analogous to this one in [5, Theorem 1.2] taking into account [7]. It was showed in [5, Theorem 1.2], that, there is  $\epsilon > 0$  and a horocylinder such that, a graph  $G \subset \Sigma$  (over a domain in  $\mathbb{H}^2 \times \{0\} \subset \widetilde{PSL}_2(\mathbb{R}, \tau)$ ) is in the  $\epsilon$ -tubular neighborhood of the cylinder. Since  $G$  is proper the proof of the half-space theorem shows that this graph can not exist.

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