



Title	Multifocal Raman Spectrophotometer for Examining Drug-Induced and Chemical-Induced Cellular Changes in 3D Cell Spheroids
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1 **Multifocal Raman spectrophotometer for examining drug-induced and chemical-induced**
2 **cellular changes in 3D cell spheroids**

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15 **ABSTRACT**

16 Cell spheroids offer alternative *in vitro* cell models to monolayer cultured cells because they express
17 complexities similar to those of *in vivo* tissues, such as cellular responses to drugs and chemicals.
18 Raman spectroscopy emerged as a powerful analytical tool for detecting chemical changes in living
19 cells because it non-destructively provides vibrational information regarding a target. Although
20 multiple iterations are required in drug screening to determine drugs to treat cell spheroids and assess
21 the inter-spheroid heterogeneity, current Raman applications used in analyzing spheroids enable the
22 observation of only a few spheroids owing to the low throughput of Raman spectroscopy. In this study,
23 we developed a multifocal Raman spectrophotometer that enables simultaneous analysis of multiple
24 spheroids in separate wells of a regular 96-well plate. By utilizing 96 focal spots and parallel collection,
25 our system can improve the throughput by approximately two orders of magnitude compared to the
26 throughput of a conventional single-focus Raman microscope. The Raman spectra of HeLa cell
27 spheroids treated with anticancer drugs and HepG2 cell spheroids treated with free fatty acids were
28 measured simultaneously, and concentration-dependent cellular responses were observed in both
29 studies. Using the multifocal Raman spectrophotometer, we rapidly observed chemical changes in
30 spheroids, and thus this system can facilitate the application of Raman spectroscopy in analyzing the
31 cellular responses of spheroids.

1 INTRODUCTION

2 In the last two decades, cell spheroids have increasingly been used as models in drug response¹⁻³ and
3 toxicity studies⁴⁻⁶ because they express intermediate complexities between those of two-dimensional
4 (2D) monolayer cultured cells and *in vivo* tissues.¹⁻⁶ Spheroids exhibit higher resistance to drugs and
5 chemicals than those of 2D monolayer cultured cells due to the penetration depth of the chemical and
6 the cell-cell and cell-extracellular matrix interactions within the spheroids.¹⁻³ For example, compared
7 to 2D cultured tumor and hepatocyte cells, tumor and hepatocyte spheroids display higher drug¹⁻³ and
8 steatosis resistances,^{4, 5} respectively. Therefore, cell spheroids offer more accurate models that reflect
9 *in vivo* cell-chemical interactions.

10 Although the spheroid model bridges the gap between traditional 2D models and *in vivo* tissues,
11 the tools used to screen for chemical changes in spheroids exhibit advantages and limitations.^{7, 8} For
12 example, the polymerase chain reaction^{9, 10} and gel electrophoresis (comet) assays,^{11, 12} which enable
13 detailed analyses of gene and protein compositions, are destructive methods that lyse spheroids to
14 extract the genes and proteins. Techniques such as fluorescence assays^{7, 8, 13} and colorimetric assays^{7,}
15 ^{8, 14} enable the observation of specific components within cells, the structures of spheroids, or cell
16 viability using dyes, but the incorporation of dyes may alter the biochemical properties of the target.
17 These methods require sacrificing spheroids or measuring at endpoints, rendering their combination
18 with other analytical techniques for further analysis challenging. Non-invasive methods such as
19 scanning electrochemical microscopy can assess the specific redox activities within cells,^{15, 16} and
20 optical microscopy can observe the growth and morphologies of spheroids.^{7, 8} Since these methods
21 provide partial information regarding spheroid conditions, combining them with a compositional
22 analysis is necessary to understand the mechanisms of cell-chemical interactions.

23 Raman spectroscopy emerged as a powerful analytical tool for studying living cells because it
24 non-destructively assesses fingerprint-like features of vibrational information and requires minimal
25 sample preparation.¹⁷⁻²⁰ Raman spectroscopy has been used to study 2D cellular models, including
26 those of cell-drug interactions^{21, 22} and cellular changes during steatosis,^{23, 24} but its low throughput
27 limits its applications in examining spheroids, and only a few studies have been reported.²⁵⁻²⁹ Jamieson
28 et al. used Raman imaging to study the responses of tumor spheroids to anticancer drug therapy,
29 demonstrating the potential of Raman spectroscopy in examining heterogeneities within and across
30 spheroids.²⁷ Jung et al. reported a proof-of-concept study to improve the throughput by collecting the
31 averaged signals of whole organoids and successfully studied over one hundred organoids under the
32 same conditions.²⁹

33 To facilitate pharmaceutical applications, improving the throughput of Raman measurements is

1 required to examine spheroids under different treatment conditions^{30, 31} and assess the heterogeneity
2 among spheroids.^{8, 32, 33} Recently, Raman systems equipped with parallel excitation and signal
3 acquisition emerged to improve the throughput of Raman spectroscopy. Two multifocal Raman
4 systems have been developed to simultaneously observe multiple samples under various conditions.³⁴⁻
5 ³⁶ Our previous attempt used a lens array and large-area illumination to simultaneously measure 192
6 wells in a 384-well plate by irradiating the wells with a truncated enlarged Gaussian beam.³⁴ However,
7 the truncation and beam splitting resulted in a non-uniform excitation power, with a six-fold difference
8 between the wells, which could cause non-uniform signal levels and different laser-induced biological
9 effects in cell samples.³⁴ Another approach employed a diffractive optical element to realize beam
10 splitting.^{35, 36} This parallelized Raman system simultaneously detected the Raman signals of 64 wells
11 in a 1536-well plate with a uniform illumination power over the samples and a collection numerical
12 aperture (NA) of 0.14.^{35, 36}

13 In this study, we aimed to provide rapid Raman analyses of spheroids in 96-well plates, a common
14 format employed for culturing and screening spheroids. We developed a multifocal Raman
15 spectrophotometer for detecting chemical changes in spheroids. An optical system was designed to
16 illuminate 96 wells, with a uniform laser power and high collection NA (0.5). As a demonstration of
17 parallel Raman performance, we measured 96 different crystalline samples in approximately 2 minutes.
18 In terms of spheroid measurements, we simultaneously observed the Raman spectra of multiple drug-
19 treated tumor spheroids and free fatty acid (FFA)-treated hepatocyte spheroids at various treatment
20 concentrations. Concentration-dependent cellular responses of spheroids were observed, and > 100
21 spheroids were measured, indicating that the developed system displays the potential to facilitate the
22 application of Raman spectroscopy to drug and chemical responses in 3D cell spheroids.

1 **RESULTS**

2 **Multifocal Raman spectrophotometer for use in the rapid examination of spheroids under**
3 **various conditions**

4 We developed a multifocal Raman spectrophotometer for parallel Raman measurements of spheroids
5 in a regular 96-well plate, with a uniform excitation power and similar signal collection efficiencies
6 between the wells. It contains a fiber-based laser introduction system with a laser power compensation
7 mechanism to introduce the laser to the dichroic mirror (DM) mount (Figure 1B), where a set of
8 reflection-ratio-designed DMs divides the laser beam. Two lens arrays focus the resulting laser beams
9 to target the spheroids and collect their Raman signals, and an optical fiber array delivers the collected
10 Raman signals to an imaging spectrophotometer (Figure 1A).

11 In the fiber-based laser introduction system (Figure 1B), a single laser beam is split into six beams
12 using commercial beam splitters, which are then further split into 24 beams via 1-to-4 fiber splitters.
13 These beams are delivered to the entrances on both sides of the DM mount, as shown in Figure 1A.
14 The differences in the laser powers of the six divided beams are compensated by tuning the optical
15 alignment of each incident laser beam to ensure that the laser powers at the fiber splitter output are
16 similar. Thus, 24 uniform laser beams (beam width: 4.3 mm (full width at $1/e^2$) can be provided at each
17 entrance of the DM mount. In the DM mount, each beam is split into four beams via four DMs with
18 reflection efficiencies of 25%, 33%, 50%, and 100% and focused using a lens array (effective
19 excitation NA = 0.27) to generate 96 focal spots in total (Figure 1A). These designs provide a more
20 uniform excitation power to the 96 samples, with less loss of laser power ($13.4 \pm 1.1\text{ mW}$ using a 4 W
21 continuous wave (CW) laser) compared to that in our previous study³⁴ (192 focal spots with a laser
22 power of $6.0 \pm 2.2\text{ mW}$ using a 15 W CW laser). The excitation power exhibits a standard deviation
23 (SD) of 8%, which is mainly due to the mismatch of the designed and real reflection efficiencies of the
24 DMs.

25 The optical system to achieve parallel detection employs a design similar to that used in our
26 previous study.³⁴ Raman scattering from the samples is collected (effective collection NA = 0.5)
27 individually via the same lens array and introduced into an optical fiber array with an outlet aligned
28 with the entrance slit of the spectrometer. The spectrophotometer disperses the Raman signal from
29 each well onto a 2D charge-coupled device (CCD) camera. The pitch of the fiber array and fiber core
30 size are set to 200 and 105 μm , respectively, to avoid crosstalk of Raman signals between adjacent
31 wells.

32 Figure S1 shows the measured raw spectra of ethanol (EtOH) in a 96-well plate, where the 96
33 bright stripes represent the Raman spectra from the corresponding wells. The intensities of the detected

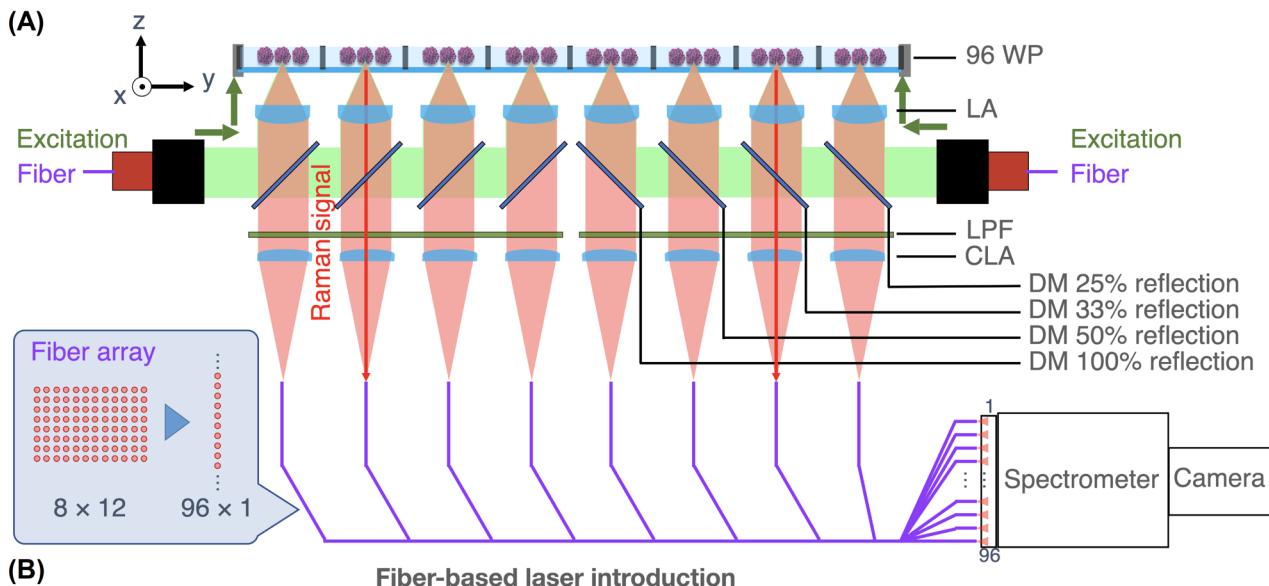
1 EtOH signals are comparable to that measured using a single-focus confocal Raman microscope with
2 NA-0.45 objective, excitation power of 13 mW at 532 nm, confocal aperture of 1 Airy unit (AU), same
3 diffraction grating, CCD camera, and exposure time. The SD of the Raman signals across the wells
4 was 16%, suggesting comparable signal-to-noise ratios. The EtOH Raman signals were used as a
5 reference for intensity calibration across the wells in the other experiments in this study.

6 In order to obtain the average Raman signal from different spheroids, the system is equipped with
7 a motorized XYZ stage for scanning the 96-well plate in the lateral direction. The SD of the Raman
8 signal of a homogeneous sample (evaluated using EtOH) measured at different locations in a well was
9 1%. The lateral resolution of the system was approximately 15 μm (full width at $1/e^2$), as confirmed
10 by laterally scanning a 1 μm fluorescence bead. An axial resolution of approximately 270 μm (full
11 width at half maximum) was confirmed by axially scanning through a rhodamine 6G film (<1 μm
12 thickness) oriented orthogonally to the axis. This collection volume enabled the detection of only one
13 spheroid in each exposure. Therefore, an average Raman signal from different spheroids in the same
14 well can be obtained to study inter-spheroid heterogeneity.

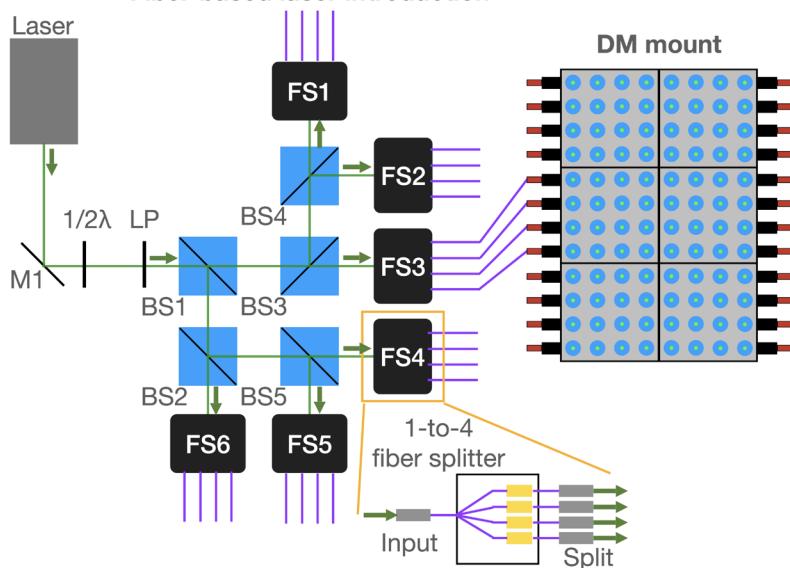
15 To confirm that the multifocal Raman spectrophotometer can spectrally differentiate samples, we
16 measured drug crystals. Figure 2A shows 96 Raman spectra of different samples (Figure S2 shows the
17 layout), including the initial and anti-solvent recrystallized crystal (IC and RC) of four drug molecules
18 in four solvent and anti-solvent combinations. Complete Raman spectra detected from individual wells
19 are shown in Figures S3–S4. The characteristic Raman bands of each crystal form were measured
20 (Figure S5) and assigned based on previous studies (Table S1).^{37–42} The Raman bands of IC and RC
21 indicate that they are different forms (Figure 2B). The result also indicates that the system can
22 distinguish the shifts of the Raman peaks at a resolution of approximately one pixel ($\sim 3 \text{ cm}^{-1}$), although
23 the signal is delivered by the optical fibers with a core size of approximately five pixels on the camera.
24 The peak positions of the four Raman spectra of IC and RC around 1160 cm^{-1} (Figure 2C) are 1163.79
25 ± 0.28 and $1160.25 \pm 0.69 \text{ cm}^{-1}$, respectively, calculated by Gaussian fitting after removing the
26 background of the spectra using linear subtraction.

27

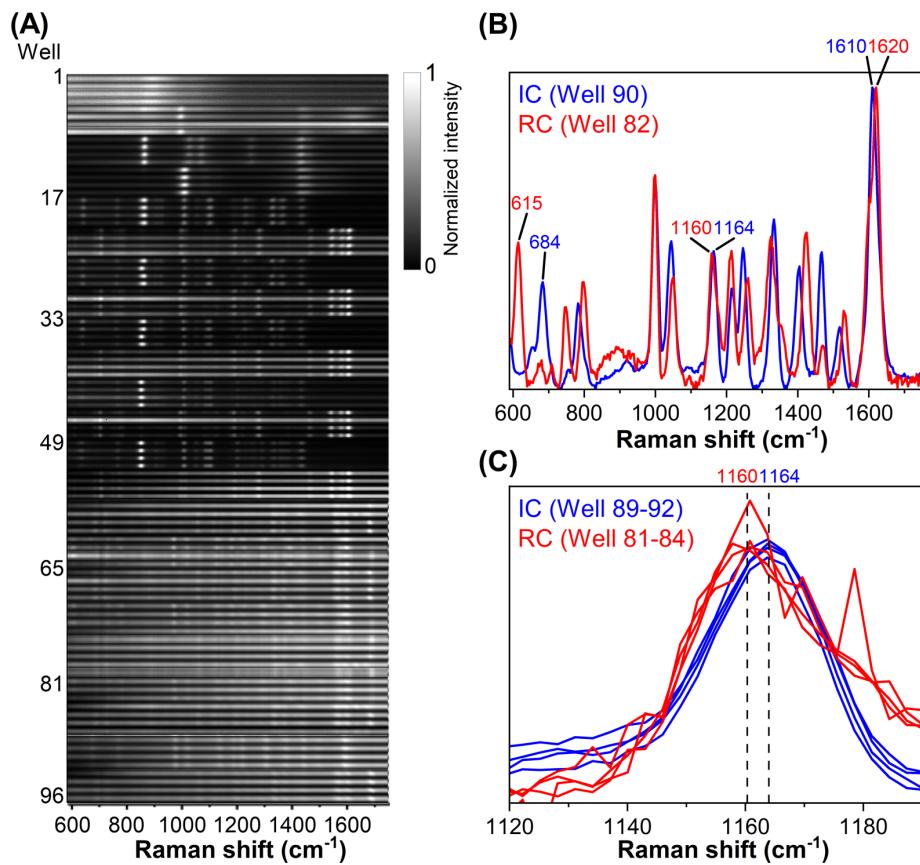
28



(B) Fiber-based laser introduction



1
2 **Figure 1.** Multifocal Raman spectrophotometer for use in parallel Raman measurements of analytes
3 in a 96-well plate. (A) Schematic diagram of the illumination and detection optics of the DM mount.
4 The reflection efficiencies of the DMs were set to 25%, 33%, 50%, and 100% to split the laser beam
5 uniformly. (B) Schematic diagram of the fiber-based laser introduction system. BSs and FSs split one
6 laser beam into 24 beams incident into the side of (A), the DM mount. WP: well plate; LA: lens array;
7 LPF: long pass filter; CLA: coupling lens array; DM: dichroic mirror; LP: linear polarizer; BS: beam
8 splitter; FS: 1-to-4 fiber splitter.



1
2 **Figure 2.** Parallel Raman measurements of 96 different samples containing four types of small-
3 molecule drug crystals that were recrystallized under various conditions. Complete information
4 regarding the samples is available in the supporting information. (A) Raman spectra of the 96 different
5 samples, which the intensity of each Raman spectrum was normalized by dividing by the maximum
6 intensity calculated after subtracting the minimum intensity in the spectrum. Representative Raman
7 spectra of the (B) initial and recrystallized crystal (IC and RC) in one well in the spectral range 590–
8 1750 cm⁻¹ and the (C) ICs and RCs in four wells in the spectral range 1120–1190 cm⁻¹.
9

1 **Sample preparation for multifocal Raman measurement**

2 Spheroids treated with various concentrations of chemicals were prepared, and spheroid culturing is
3 described in the “METHODS AND MATERIALS” section. For Raman measurement, the treated
4 spheroids were transferred into a glass-bottom 96-well plate with Raman scattering that did not
5 interfere with the Raman peaks of interest: those of cytochromes, lipids, and drugs. The culture
6 medium was replaced with Hank’s balanced salt solution (HBSS) to reduce background fluorescence
7 from the culture medium, drugs, and FFAs. To maximize the collection volume within the spheroid
8 and to avoid background signals from the glass substrate, the spheroid was grown to approximately
9 the size of the axial resolution (270 μm) and the laser beams were focused on the center of the spheroid.
10 Raman measurements were performed by scanning the plate at 400 μm intervals to collect Raman
11 signals from different spheroids.

12

13 **Rapid Raman observation of the dose-dependent drug effects in cancer-cell spheroids**

14 Although Raman spectroscopy is used to assist in understanding the effects of drugs on spheroids,²⁷
15 its application to drug-treated spheroids remains limited to low-throughput observations. Herein, the
16 multifocal Raman spectrophotometer was applied to observe the cellular responses in the drug-treated
17 spheroids. The Raman spectra of spheroids treated with various drug concentrations in different wells
18 were measured simultaneously, and dose-dependent chemical changes in the spheroids were observed.

19 The drug responses of HeLa spheroids treated with various concentrations of actinomycin D
20 (ActD) solutions were studied. ActD is the first antibiotic proven to work as an anticancer drug, which
21 binds to DNA and inhibits RNA transcription in cancer cells.⁴³ HeLa spheroids were cultured in a
22 micropatterned plate, transferred into a 96-well plate, and then treated with 0–10 μM ActD for eight
23 hours. Six ActD concentrations were prepared in 12 wells, with two wells for each concentration. After
24 washing the medium containing the drugs, Raman spectra were measured at 24 positions in each well.
25 The data obtained at positions with no cell signals, as determined using the Raman intensity of CH_3
26 (2936 cm^{-1}), were excluded from the subsequent analysis.

27 Figure 3A shows the mean Raman spectra of ActD-treated HeLa spheroids. Baseline removal via
28 polynomial fitting was performed to remove the fluorescence from ActD. Despite the high shot noise
29 due to the strong fluorescence of ActD, a decrease in the Raman intensity at 1585 cm^{-1} , which can be
30 assigned to cytochrome *c* (cyt *c*),^{44, 45} is observed. This result indicates possible cytotoxicity after ActD
31 treatment, which is consistent with the results of a previous studies.^{21, 45} The increase in the Raman
32 intensity at 1485 cm^{-1} , which can be assigned to ActD, indicates the uptake of ActD by the spheroid.

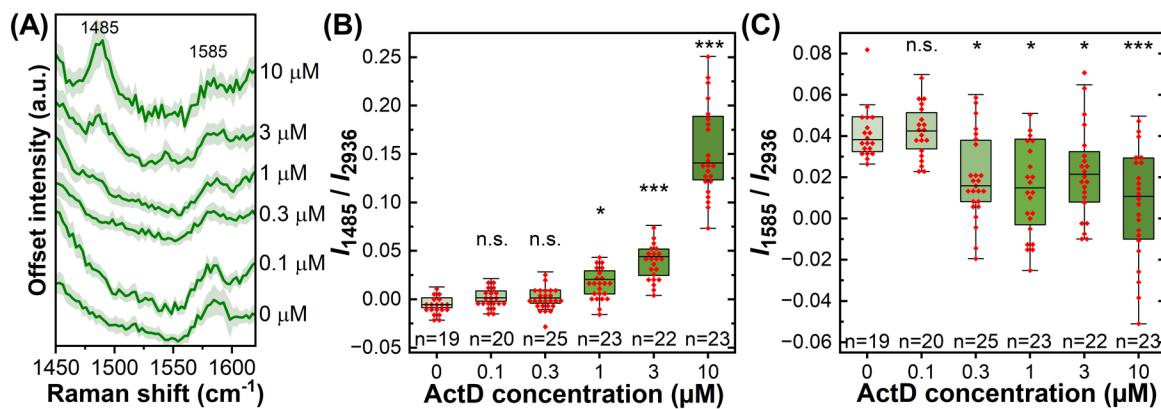
33 A significant decrease in I_{1585} is observed at ActD concentrations of 0.3 to 10 μM and a significant

1 increase in I_{1485} is observed at ActD concentrations of 1 to 10 μM (Figures 3B and 3C). For the
 2 comparison among different conditions, the area of the Raman peak of CH_3 (2936 cm^{-1}) is used as a
 3 reference to indicate the detected volume in spheroids. We also conducted an ATP assay to assess the
 4 cytotoxicity of ActD treatment on HeLa spheroids (Figure S6). The relation of cell viability and ActD
 5 concentrations is consistent with the decrease of Raman intensities of 1585 cm^{-1} . In ActD-treated 2D
 6 cultured HeLa cells in the previous study,²¹ the decrease in the cyt *c* signal was observed after 0.1 μM
 7 ActD treatment for the same duration. This result suggests that 3D spheroids are more resistant to drug
 8 treatment than 2D cultured cells. Our system exhibits the potential to rapidly screen for dose-dependent
 9 drug effects in 3D spheroids, thereby facilitating Raman studies of 3D spheroids in the field of drug
 10 development.

11

12

13



14

15 **Figure 3.** Raman observation of the drug effect of HeLa spheroids under a range of drug concentrations
 16 treatment (0–10 μM). (A) Raman spectra of ActD-treated HeLa spheroids. The solid lines indicate the
 17 mean values, and the standard deviations are shown in light green. The boxplots of the Raman
 18 intensities at (B) 1485 (assigned to ActD) and (C) 1585 cm^{-1} (assigned to cyt *c*) normalized by dividing
 19 by 2936 cm^{-1} (as reference for detected volume in spheroids) reveal a dose-dependent intensities
 20 change. The boxplots illustrate the internal data points (center line: median, box: quartiles, low/up
 21 whiskers: max/min value in 1.5 interquartile range). Significant difference was compared with the
 22 leftmost box. ***: $P < 0.001$; *: $P < 0.05$; n.s.: $P > 0.05$.

23

1 **Rapid and quantitative Raman observation of FFA-induced effects in hepatocyte spheroids**

2 Non-alcoholic fatty liver disease (NAFLD), which is a common liver disease, was selected as another
3 target. NAFLD is associated with excessive uptake of FFAs, with 2 mM FFA reported to be cytotoxic
4 to HepG2 cells, as determined via a cell viability assay.⁴⁶ Raman spectroscopy has also been used to
5 study the chemical changes in hepatocytes after exposed to excess FFAs.^{23,47} However, the relationship
6 between the FFA concentrations and Raman spectra of hepatocyte spheroids has not been studied
7 quantitatively. Herein, we demonstrated the rapid Raman observation of FFA-concentration-dependent
8 chemical changes in hepatocyte spheroids using the multifocal Raman spectrophotometer.

9 HepG2 spheroids were treated with 0–2.0 mM concentrations of FFA for two days and then rinsed
10 prior to Raman measurement. Seven FFA concentrations were prepared in 14 wells, with two wells for
11 each concentration. Raman spectra were measured at 24 positions in each well, and the data obtained
12 at the positions with no cell signals, as determined using the Raman intensity of CH_3 (2936 cm^{-1}), were
13 excluded from the subsequent analysis.

14 Figure 4A shows the baseline-subtracted Raman spectra of HepG2 spheroids treated with various
15 concentrations of FFAs. Decreases in the Raman intensities at 750, and 1585 cm^{-1} , which can be
16 assigned to cytochromes, are observed, suggesting the oxidation of cytochromes by the FFAs or
17 damage to the mitochondria due to the high concentrations of FFAs.²³ An increase in the Raman
18 intensity 1445, 2850, and 2855 cm^{-1} , which can be assigned to lipid, are observed, indicating the
19 accumulation of lipids in the hepatocytes. The change in Raman intensity at 1000 cm^{-1} (the # in Figure
20 4A) is not attributed to cellular changes but rather to the well plate pre-coated using collagen, which
21 I_{1000} exhibits varying strengths at different locations within a well without cells (Figure S8).

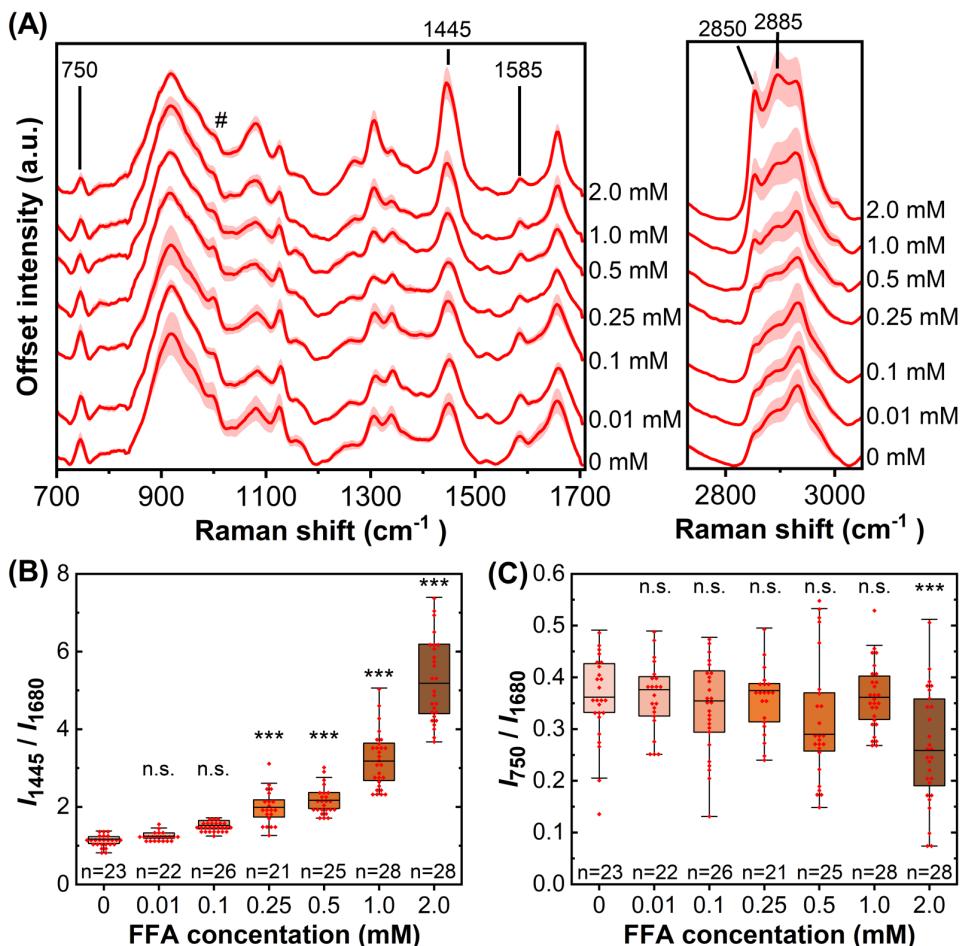
22 The relationships between the FFA concentrations and Raman intensities assigned to the lipids
23 and cytochromes are shown in boxplots (Figures 4B and 4C). For the statistical analysis, the intensity
24 at 1680 cm^{-1} (assigned to amide-I), which does not correlate significantly with FFA concentration, is
25 used as the reference for the detected volume in spheroids. The intensity at 750 cm^{-1} , which is the
26 highest intensity among the Raman peaks assigned to cytochromes, is used to represent the cytochrome
27 signal. A positive correlation between the intensity at 1445 cm^{-1} and FFA concentration is observed,
28 indicating FFA-concentration-dependent lipid accumulation in the hepatocytes. The intensity at 750
29 cm^{-1} decreases and show significant difference under 2 mM FFA concentration. In the ATP assay result
30 (Figure S7), the cell viability also decreased significantly when the HepG2 spheroid exposes to 2 mM
31 FFA, consistent to the Raman observations result. Using the multifocal Raman spectrophotometer, we
32 demonstrated the rapid Raman observation of concentration-dependent chemical changes in
33 hepatocyte spheroids exposed to FFAs. Therefore, the system can be a tool for rapidly screening

1 chemical compounds that induce mitochondrial toxicity.

2

3

4



5

6 **Figure 4.** Parallel Raman observations of lipid accumulation and overloading of FFA in HepG2
7 spheroids under various FFA concentrations treatment (0–2 mM). (A) Fingerprint and CH regions of
8 the Raman spectra of the FFA-treated HepG2 spheroids. The solid lines indicate the mean values, and
9 the standard deviations are shown in light red. The boxplots of the normalized Raman intensities at (B)
10 1445 (assigned to lipid) and (C) 750 cm^{-1} (assigned to cytochrome) reveal a concentration-dependent
11 intensities change. The boxplots illustrate internal data points. (center line: median, box: quartiles,
12 low/up whiskers: max/min value in 1.5 interquartile range). Significant difference was compared with
13 the leftmost box. ***: $P < 0.001$; n.s.: $P > 0.05$.

14

1 **DISCUSSIONS**

2 In this study, we developed a multifocal Raman spectrophotometer for use in the simultaneous Raman
3 observations of chemical changes in multiple 3D cell spheroids at uniform excitation power and signal
4 levels in a 96-well plate. We simultaneously measured 96 wells containing different crystalline samples
5 in 126 seconds, whereas a single-focus Raman system requires at least 3.4 hours to perform these
6 measurements under the same exposure condition, excluding the time required to scan the plate. We
7 also examined HeLa spheroids treated with various doses of ActD in 12 wells and HepG2 spheroids
8 treated with different FFA concentrations in 14 wells. We rapidly assessed > 100 spheroids and
9 observed dose-concentration-dependent cellular changes in both studies. Although increasing the
10 excitation power can also accelerate signal collection in a single focus system, high laser power may
11 induce photodamage in the cell sample. In contrast, the parallel measurement improves the throughput
12 without increasing the laser power, which also eliminates the necessity to travel between wells,
13 resulting in the significant reduction of measurement time. Note that for spheroid measurements, we
14 only used 12 and 14 wells of HeLa and HepG2 spheroids for the measurements, not due to the
15 limitations of the developed system, but due to the need for a large number of spheroids. The developed
16 system can observe 96 wells simultaneously. When combined with a mass culture system and an
17 automated sample dispensing system, the system can be used for more efficient screening.

18 We designed the system to measure relatively thick samples, such as cell spheroids, solutions, and
19 powders. To collect an averaged Raman signal of a spheroid (250–300 μm in diameter), we utilized an
20 excitation NA (0.27) smaller than the collection NA (0.5) to yield a larger excitation volume and a
21 confocal aperture size of approximately 20 AU to collect signals at a thickness of approximately 270
22 μm (full width at half maximum for axial resolution). Therefore, when observing thin samples, poor
23 axial resolution can result in a significant background signal from the out-of-focus planes, such as the
24 substrate and medium, which may obscure the small Raman signal.

25 The Raman spectra of the ActD-treated HeLa spheroids (Figure 3) suggest uptake of the anticancer
26 drug and damage to the cells. The Raman spectra of FFA-treated HepG2 spheroids indicate that the
27 accumulation of FFA in hepatocytes was quantitatively observed (Figure 4). These results reveal the
28 effectiveness of the developed system in observing the cellular responses in spheroids, the potential of
29 the system for use in high-throughput screening of drug responses, and the effects of chemicals on 3D
30 cell spheroids.

31 Raman spectroscopy is generally used to visualize the chemical distributions or trace drug delivery
32 within spheroids.^{27, 28} However, identifying the Raman measurement conditions, optimizing the
33 concentration ranges of drugs, and establishing the inter-spheroid heterogeneities of drug effects are

1 extremely time-consuming. As the multifocal Raman spectrophotometer can efficiently measure
2 multiple spheroids under various treatment conditions, the system can be used as a pre-screening
3 method to reduce time consumption.

4 As the multifocal Raman spectrophotometer can be used in parallel measurements of the Raman
5 spectra of liquid, solid, and cell samples under various conditions, the system should also contribute
6 to improving efficiency in the pharmaceutical and biochemical fields. Parallel time-series Raman
7 observations are useful in observing chemical reaction kinetics, including continuous crystallization
8 and aging of packaged drugs, in addition to cell activities, such as cell differentiation and metabolism.
9 To further probe specific chemical reactions, utilizing an alkyne tag or deuterated compounds may be
10 an option for optimization.^{48, 49} Surface-enhanced Raman spectroscopy is also possible using this
11 system, which may further improve the throughput of Raman screening.⁵⁰ The fiber-based laser
12 introduction and signal delivery within the multifocal Raman spectrophotometer render the system
13 flexible and compact. The excitation and collection parts (the DM mount) have compact dimensions
14 ($\approx 13 \times 11 \times 6$ cm), rendering the integration of the system into another system as an inline quality
15 control system simple.

16

17 METHODS AND MATERIALS

18 Optical design

19 A 532 nm CW laser (opus 532, Laser Quantum) is used as a light source with a 4 W laser output (Figure
20 1B). Beam splitters (BS1–BS5, 50:50 and 30:70 beam splitters, Thorlabs) and 1-to-4 fiber splitters
21 (customized, TATSUTA Electric Wire & Cable) are used to split the laser beam into 24 Gaussian beams
22 and direct them to the DM mount. A set of DMs with reflection efficiencies of 25%, 33%, 50%, and
23 100% (Figure 1A, customized, Asahi Spectra) are used to split the 24 beams into 96 beams, and then
24 a lens array (47-460, Edmund Optics) focuses the beams to excite the samples in a 96-well plate. A fiber
25 array (FG105LVA, Thorlabs) is used to align the Raman signal collected by the coupling lens arrays
26 from 8×12 to 96×1 to couple it to a spectrometer (Isoplane 320 advanced, Teledyne Princeton
27 Instruments). Raman spectra are collected using a 2D cooled CCD camera (PIXIS 400B eXcelon,
28 Teledyne Princeton Instruments), and 400 pixels of the camera are used to resolve the spectrum. A
29 grating with 600 grooves/mm blazed at 500 nm is used for the spectral range 590–1750 or 2500–3430
30 cm^{-1} , with a spectral interval of approximately 2.9 or 2.5 $\text{cm}^{-1}/\text{pixel}$, respectively.

1

2 **Raman measurement**

3 The Raman measurements of recrystallized crystals (Figure 2) were performed at nine positions in the
4 well. The total measurement time was 126 seconds, including a camera readout time of 36 seconds.
5 The Raman measurements of spheroids were performed at 24 positions in the well, with total
6 measurement times of 1.65 hours. The Raman measurements of spheroids were performed in two
7 spectral ranges, 2500–3440 cm⁻¹ and then 590–1750 cm⁻¹, with 120 seconds laser exposure for each
8 spectral range, at the same position.

9

10 **Drug recrystallization**

11 Four types of drugs, i.e., mannitol (99%, Sigma-Aldrich), carbamazepine (97%, FUJIFILM Wako Pure
12 Chemical Corporation), flufenamic acid (97%, Sigma-Aldrich), and indomethacin (98%, Tokyo
13 Chemical Industry), and three types of solvents, i.e., EtOH (99.5%, FUJIFILM Wako Pure Chemical
14 Corporation), methanol (99.8%, FUJIFILM Wako Pure Chemical Corporation), and H₂O (Milli-Q
15 water), were used. Following the layout shown in Figure S2, the drug powder and solvent are added
16 to each well to form 100 µL saturated solutions at 25°C. After the drug powder is dissolved, 50 or 100
17 µL of anti-solvent is added instantaneously, and the plate is placed in an oven at 70°C overnight to dry.

18

19 **3D Cell spheroid culture for Raman observation**

20 HeLa cells were seeded into a micropatterned 6-well plate (4810-900SP, Iwaki) at a seeding density
21 of 100 cells/chamber to form cell spheroids. The cells were then maintained in minimum essential
22 medium (MEM, 51200038, Thermo Fisher Scientific) supplemented with 10% fetal bovine serum
23 (10270106, Thermo Fisher Scientific), penicillin-streptomycin-glutamine (100 U/mL penicillin G, 100
24 µg/mL streptomycin, 292 µg/mL L-glutamine; 10378016, Thermo Fisher Scientific), 1% MEM non-
25 essential amino acids solution (11140050, Thermo Fisher Scientific), and 20 mM HEPES buffer
26 (H0887, Sigma Aldrich) in an atmosphere of 5% CO₂ at 37°C. After four days of culturing with daily
27 medium changes, HeLa spheroids with diameters of 250–300 µm were formed. The spheroids were
28 then transferred to a 96-well plate, which was pre-coated with 0.01% collagen type I solution (IFP9660,
29 Research Institute for the Functional Peptides) overnight at room temperature, with a density of several
30 hundred spheroids per well. The spheroids were treated by 0–10 µM ActD solutions (010-21263,
31 FUJIFILM Wako Pure Chemical Corporation) in culture medium containing 0.1% DMSO, with two
32 wells used for each condition, followed by incubation for eight hours. Prior to the Raman
33 measurements, the spheroids were rinsed several times with Dulbecco's phosphate buffered saline

1 solution (PBS solution; T900, Takara Bio), and then was replaced by HBSS (082-08961, FUJIFILM
2 Wako Pure Chemical Corporation). Two wells of HBSS were prepared as no cells references.

3 HepG2 spheroids were prepared similarly to HeLa spheroids using the same seeding density and
4 culturing time, but the culture medium did not contain HEPES buffer. On day 2 and day 3, FFA
5 solutions were provided to the HepG2 spheroids to form final concentration 0–2 mM FFA solutions.
6 The FFA solutions were formulated by dissolving palmitic acid (P9767, Sigma-Aldrich) and oleic acid
7 (O7501, Sigma-Aldrich) in a mole ratio of 1:2 into culture medium containing 0.2 mM FFAs-free BSA.
8 HepG2 under each condition were collected and seeded into a 96-well plate pre-coated using collagen,
9 with two wells used for each condition. Prior to Raman measurement, the well plate was incubated for
10 an hour to allow the spheroids to attach to the collagen-coated surface, and then the medium was
11 replaced with HBSS.

12

13 **ATP assay**

14 An ATP assay kit for 3D spheroids (G9683, Promega) was utilized to assess the cell viability according
15 to the protocol provided by the manufacturer. HeLa spheroids were prepared with a 96 wells low cell
16 adhesion U bottom plate (MS-9096U, Sumitomo bakelite), and eight wells were used for each
17 condition. We prepare a single spheroid in each well of a U bottom plate to yield a consistent spheroid
18 number in each well. The seeding density was 100 cells/well with same total volume of culture medium
19 in the well, and the incubation conditions was same as the spheroids used in Raman measurements.
20 After treating HeLa spheroids with ActD solutions for eight hours, the samples and ATP assay reagents
21 were placed in ambient for 30 minutes to equilibrate to room temperature (25°C). Then, 30 µL of ATP
22 assay reagent was added to each well, followed by mixing the samples on an orbital shaker for 5
23 minutes and leaving at room temperature for 25 minutes. Afterward, 50 µL of the solutions was
24 transferred to a glass-bottom 96-well plate and their luminescence was evaluated using a microplate
25 reader (Synergy HTX; BioTek). The cell viability was calculated as the luminescence value relative to
26 the control. The procedure of ATP assay for FFA-treated HepG2 spheroids is similar to that for HeLa
27 spheroids, except the FFA treatment time was two days.

28

29 **Raman spectra data analysis**

30 Spectral calibration of each well was performed using a spectral calibration lamp (6032, Newport)
31 and the Raman peaks of EtOH. The Raman peak height of EtOH ($I_{2929} - I_{2550}$) were used as a reference
32 to calibrate the intensity differences between the wells.

33 The data points with no cell signals, as determined using the height of the Raman peak at 2936 cm⁻¹

1 ($I_{2936} - I_{3000}$) were excluded from the data analysis of the results of the drug-treated and FFA-treated
2 spheroids. The Raman spectra shown in Figure 2 B–C, Figure 3A, and Figure 4A were the averaged
3 spectra after applying baseline correction via polynomial fitting to remove the fluorescence
4 background. The peak intensities estimation process is shown in Figure S9. To estimate the intensities
5 of the Raman peaks in the noisy Raman spectra in Figure 3, a three-point moving average is applied
6 to smoothen each spectrum and a second-order polynomial baseline correction is conducted in the
7 region around the Raman peaks. Following the baseline correction, the peak intensity is defined as the
8 accumulation of the intensity around the Raman peaks at 1485 and 1585 cm^{-1} calculated after
9 subtracting a linear baseline. The peak intensity estimation method used in Figure 4 was similar to
10 Figure 3 but without the step of the polynomial baseline correction. To compare the Raman signals
11 across different conditions, the Raman intensity was divided by the Raman intensity of the protein
12 detected in the spheroids. The average intensity in 2934–2939 (assigned to CH_3 band of protein,
13 indicated as I_{2936}) and 1672–1697 cm^{-1} (assigned to amide-I band of protein, indicated as I_{1680}), which
14 were calculated after applying a three-point moving average and removing the baseline using linear
15 subtraction, were used as a reference for detected volume in spheroids in Figure 3 and 4, respectively.
16

17 Statistical analysis

18 Statistical analysis was assessed with a one-way analysis of variance (one-way ANOVA), and
19 individual differences were evaluated using the Tukey-Kramer's range tests for unequal sample sizes.
20 All statistical analyses were performed using OriginLab 2022 (Learning Edition, Origin Lab
21 Corporation). Statistical significance was set at $P < 0.05$. Symbols used are ***: $P < 0.001$; **: $P <$
22 0.01; *: $P < 0.05$; n.s. (not significant): $P > 0.05$.
23
24

1 **ASSOCIATED CONTENT**

2 **Supporting Information**

3 The Supporting Information is available free of charge at ACS Publication website.

4 The 96 Raman spectra of EtOH; layout of drug crystal recrystallization samples in the 96-well plate
5 and the Raman spectra of these samples; Raman spectra of each crystal form of the four drug molecules
6 and their Raman bands assignment; ATP assays of ActD-treated HeLa spheroids and FFA-treated
7 HepG2 spheroids; Raman spectra of a well plate pre-coated using collagen; peak intensity estimation
8 process (PDF)

9

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26

27 **Author contributions:** H.-X.L., B.K., and K.F. designed the system; H.-X.L., B.K., M.L., and K.F.
28 designed the experiments; H.-X.L. and B.K. assembled the system; H.-X.L. and M.L. prepared the
29 samples; H.-X.L. performed the experiments; H.-X.L., B.K., and M.L. analyzed the data; H.-X.L., B.K.,
30 M.L., and K.F. wrote the manuscript. All authors have read and agreed to the published version of the
31 manuscript.

32

1 **Notes:** K. B. and K.F. applied a patent of multi-well Raman spectrophotometer. H.-X. L. and M. L.
2 declare no potential conflict of interest.

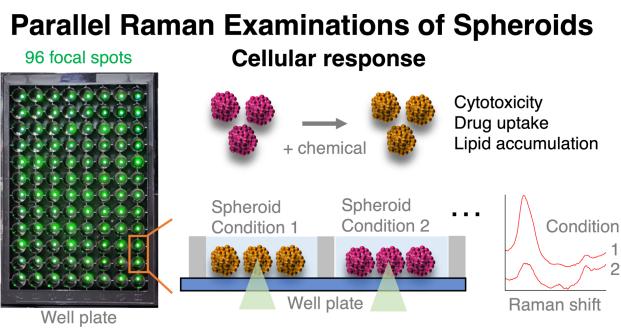
3

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9

10 **Table of contents**



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