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## Z/kZ-FINITENESS FOR CERTAIN S1-SPACES

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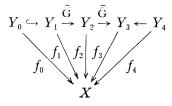
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#### Introduction

Let  $G - \mathcal{FDCW}$  denote the category of G-spaces having the G-homotopy type of a finitely dominated G - CW complex for a compact Lie group G. Lück [8] has introduced a functor  $Wa^{c}$  from  $G - \mathcal{FDCW}$  into the category of abelian groups and has realized the equivariant finiteness obstriction as the element  $w^{c}(X)$ in  $Wa^{c}(X)$ . That is, a finitely dominated G - CW complex X is G-homotopy equivalent to a finite G - CW complex if and only if  $w^{c}(X) = 0$ . When G is the trivial group, there is an isomorphism from  $Wa^{c}(X)$  to the reduced projective group  $\tilde{K}_{0}(\mathbb{Z}[\pi_{1}(X)])$  which sends the element  $w^{c}(X)$  to the Wall's finiteness obstruction ([14]).

Anderson [1] and Ehrlich [4] have studied a sufficient condition for  $w^{(1)}(E) = 0$  for some fibration  $E \to B$  with fiber  $S^1$ . Munkholm, Pedresen [11], Lück [6, 7, 9] and others have studied the transfer map  $\tilde{K}_0(\mathbb{Z}[\pi_1(B)]) \to \tilde{K}_0(\mathbb{Z}[\pi_1(E)])$ . The purpose of this paper is to get a sufficient condition for  $w^L(X) = 0$  for a  $S^1$ -space X and a finite cyclic group L.

We call G-maps  $f_0: Y_0 \rightarrow X$  and  $f_0: Y_4 \rightarrow X$  equivalent if there exists a commutative diagram



such that  $(Y_1, Y_0)$  and  $(Y_3, Y_4)$  are relatively finite G-CW complexes, and  $Y_1 \rightarrow Y_2$ and  $Y_3 \rightarrow Y_2$  are G-homotopy equivalences. The group  $Wa^c(X)$  consists of equivalence classes  $[f: Y \rightarrow X]$  of the set of G-maps  $f: Y \rightarrow X$  with Y finitely dominated and  $w^c(X)$  is the equivalence class containing the identity  $1_X$  of X. The additive structure on  $Wa^c(X)$  is given by a disjoint sum:

$$[f: Y \to X] + [g: Z \to X] = [f \coprod g: Y \coprod Z \to X]$$

Let K be a closed subgroup of G. For a K-space X, we define  $\operatorname{ind}_{K}^{G}X$  as the orbit space  $G \times_{K} X$  of the product space  $G \times X$  with respect to the K-action  $k \cdot (g, x) = (gk^{-1}, kx)$ . For a K-map  $f: X \to Y$ , we have an induced map  $1 \times_{K} f: G \times_{K} X \to G \times_{K} Y$ , denoted by  $\operatorname{ind}_{K}^{G} f$ . The induction functor  $\operatorname{ind}_{K}^{G}$  induces a transformation  $\operatorname{Ind}_{K}^{G}: (Wa^{K}, w^{K}) \to (Wa^{G}, w^{C})$ . To consider G-maps as K-maps implies a transformation  $\operatorname{Res}_{K}^{G}: (Wa^{G}, w^{C}) \to (Wa^{K}, w^{K})$ .

Throughout this paper we denote by  $\nu$  the restriction of the G-action of X to  $G \times \{x_0\}$ . If  $G = S^1$  and X is connected, the order of the image of  $H_1(\nu; \mathbb{Z})$  is independent of taking a point  $x_0$  of X.

Our main results are as follows:

**Theorem A.** Let X be a connected  $S^1$ -CW complex which has finitely many orbit types. Suppose that the  $S^1$ -map  $\nu: S^1 \to X$  defined as above induces a monomorphism  $H_1(\nu; \mathbb{Z})$  between 1-dimensional homology groups. Then there exist a proper subgroup K of  $S^1$  and a K-CW complex Y such that  $S^1 \times_K Y$  is  $S^1$ -homotopy equivalent to X.

**Theorem B.** Let  $G=S^1$  and let X be a connected G-space. If the above defined G-map  $\nu: G \to X$  induces an injective homomorphism  $H_1(\nu; \mathbb{Z})$ , then the restriction homomorphism  $\operatorname{Res}^{G}_{H}(X): Wa^{G}(X) \to Wa^{H}(X)$  is trivial for any proper subgroup H of G.

This paper is organized as follows. Let  $F \to E \xrightarrow{p} B$  be a *G*-fibration with fibre *F* ([15]). In [13], we have constructed a transfer  $p^1: Wa^G(B) \to Wa^G(E)$ . But this homomorphism does not always send  $w^G(B)$  to  $w^G(E)$ . It is originated from that  $(Wa^G, w^G)$  is not a functionial additive invariant for  $G - \mathcal{FDCW}$ . In section 1 we study a *K*-*CW* structure on  $p^{-1}(1K)$  for a *G*-*CW* complex *E* which has a *G*-map  $E \to G/K$ . In section 2 we show that if  $G \times_K X$  has the *G*-homotopy type of a finite *G*-*CW* complex then *X* has the *K*-homotopy type of a finite *K*-*CW* complex. In section 3, we prove Theorem A in the case where *X* is free. We use the fact that  $\pi_1(X/K)$  has the subgroup  $\pi_1(S^1/K)$  as a direct summand for some closed subgroup *K* of *G*. The last section consists of the proof of the main theorems. The proof of Theorem A is obtained from applying the free case.

#### 1. K-CW structure on X of a G-CW complex $G \times_{K} X$

Let G be a compact Lie group. We study a space  $p^{-1}(\{pt\})$  for a G-map p from a G-space onto an orbit space G/K of G. We note that it has a canonical K-action.

**Proposition 1.1.** (cf.[12]) A G-map  $p: E \rightarrow B$  is a G-fibration if and only if  $p^{\kappa}: E^{\kappa} \rightarrow B^{\kappa}$  is a fibration for any closed subgroup K of G.

Proof. This follows essentially from Theorem 4.1 in [2].

Since  $Y \to G \times_{\kappa} Y \to G/K$  is a G-fibration ([15, 13]), we have that  $Y^{L} \to (G \times_{\kappa} Y)^{L} \to (G/K)^{L}$  is a fibration for any closed subgroup L of G.

We symbolize 1 as the identity element of G. For any G-map  $p: X \rightarrow G/K$ it is a G-fibration with fibre  $p^{-1}(1K)$ . The following lemma is a key to show the main theorems. It implies that a G-map  $p: X \rightarrow G/K$  with X a G-CW complex is G-homotopy equivalent to a G-fibration whose fibre is a K-CW complex.

**Lemma 1.2.** Let X be a G-CW complex which has a G-map  $p: X \rightarrow G/K$ . A K-CW complex can be constructed from the G-CW structure of X such that the K-space  $V=p^{-1}(1K)$  is homotopy equivalent to it. In particular it is a finite K-CW complex if X is a finite G-CW complex.

Proof. Clearly we have  $G \times_{\kappa} V$  and X are G-homeomorphic. Then we construct a K-CW complex W and a K-homotopy equivalence  $W \to V$  by induction on the dimension of cells of X. By the existence of the G-map p, we obtain that L is subconjugate to K for any isotropy subgroup L of G in X. We can regard a 0-cell  $G/L \times e^0$  of X as  $G \times_{\kappa} K/aLa^{-1} \times e^0$  for  $a \in G$  with  $aLa^{-1} \leq K$ . Suppose that  $X = G \times_{\kappa} Y \cup_{\phi} G/L \times e^n$  for some K-CW complex Y. Let C be a connected component of  $(G/K)^L$  which contains  $p^L \circ \phi(1L \times e^n)$ . Take  $aK \in C$  and let  $\psi: G/aLa^{-1} \to G/L$  be the canonical G-map. Then the pushout of

$$G|aLa^{-1} \times \dot{e}^n \hookrightarrow G|aLa^{-1} \times e^n$$

$$\downarrow \phi \circ (\psi \times 1)$$

$$G \times _{\mathbf{r}} Y$$

is G-homotopy equivalent to X. Then we can assume that  $L \leq K$  and  $p^L \circ \phi(1L \times \dot{e}^n)$  is contained in the connected component of 1K.

Since the map  $p^{L} \circ \phi|_{1L \times i^n}$  is homotopic to a constant map, there is a map  $\sigma: 1L \times e^n \to (G/K)^L$  such that  $\sigma$  coincides with  $p^L \circ \phi$  over  $1L \times e^n$  and  $\sigma(0) = 1K$ . We define a map  $\tau: 1L \times e^n \times I \to (G/K)^L$  as  $\tau(s, t) = \sigma((1-t)s)$ . Since  $(G \times_K Y)^L \to (G/K)^L$  is a fibration with fibre  $Y^L$ , there exists a homotopy  $F: 1L \times e^n \times I \to (G \times_K Y)^L$  such that  $F_0 = \phi|_{1L \times i^n}$  and  $F_1(1L \times i^n) \subseteq Y^L$ . This map can be canonically extended to a G-map  $\Phi$  from  $G/L \times i^n \times I$  to  $G \times_K Y$ . Let W be a K-CW complex obtained from the following pushout.

T. SUMI

$$K|L imes e^n \hookrightarrow K|L imes e^n \ igcup_1 \ Y$$

By the property of pushout, we get a K-map  $k: W \rightarrow V$ .

Since the G-map  $\operatorname{ind}_{K}^{G}k$  is a G-homotopy equivalence, we have the K-map k is a K-homotopy equivalence.

**Theorem 1.3.** Let  $f: Y \to X$  be a K-map between K-CW complexes and  $(V, G \times_{\kappa} Y)$  be a G-CW pair. If there is a G-map  $g: V \to G \times_{\kappa} X$  which is an extension of the G-map  $1 \times_{\kappa} f$ , then there exists a K-map  $k: W \to X$  unique up to K-homotopy equivalence which fullfills the following conditions.

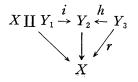
- (1) (W, Y) is a K-CW pair.
- (2) There is a G-homotopy equivalence  $h: (G \times_{\kappa} W, G \times_{\kappa} Y) \rightarrow (V, G \times_{\kappa} Y)$ such that  $\operatorname{ind}_{\kappa}^{c} k$  and  $g \circ h$  are G-homotopic.
- (3) The number of the relative cells of (W, Y) equals that of  $(V, G \times_{\kappa} Y)$ .

#### 2. Induction homomorphism

Let  $D^{c}(X)$  be the set of equivalence classes of the set of G-maps  $f: Y \to X$ where Y has the G-homotopy type of a G-CW complex. Here the equivalence relation is defined as in introduction. For a G-map  $f: Y \to X$ , we denote by  $[f: Y \to X]$  its represented element of  $D^{c}(X)$ . The additive structure on  $D^{c}(X)$ is given as the one of  $Wa^{c}(X)$ . A G-map from a finite G-CW complex to X represents the zero element of  $D^{c}(X)$ . Then  $D^{c}(X)$  is a semigroup and we obtain a map  $Wa^{c}(X) \to D^{c}(X)$  which preserves the abelian structures.

**Lemma 2.1.** The element of  $D^{G}(X)$  represented by the identity map of X is invertible if and only if X is finitely dominated.

Proof. The "if" part is trivial and then we show the "only if" part. There is the commutative diagram



such that  $(Y_2, X \coprod Y_1)$  is a relatively finite *G*-*CW* complex,  $Y_3$  is a finite *G*-*CW* complex, and *h* is a *G*-homotopy equivalence. Let  $h^{-1}: Y_2 \rightarrow Y_3$  be the *G*-homotopy inverse of *h*. Then *r* is a domination with section  $h^{-1} \circ i$ .

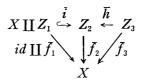
**Proposition 2.2.** Let K be a closed subgroup of G. A K-space X is a finitely dominated K-space if and only if  $G \times_{\kappa} X$  is a finitely dominated G-space.

Proof. Suppose  $G \times_{\kappa} X$  is dominated by a finite G-CW complex  $Y_3$ . There is a commutative diagram such that  $f_3$  is a domination with section  $h^{-1}ij$ .

$$\begin{array}{c} G \times_{\kappa} X \stackrel{j}{\hookrightarrow} (G \times_{\kappa} X) \coprod Y_{1} \stackrel{i}{\hookrightarrow} \stackrel{h}{Y_{2}} \stackrel{h}{\leftarrow} Y_{3} \\ id \coprod f_{1} & \downarrow f_{2} / f_{3} \\ G \times_{\kappa} X \end{array}$$

We let  $Z_1 = (pf_1)^{-1}(1K)$ ,  $Z_2 = (pf_2)^{-1}(1K)$ , and  $Z_3 = (pf_3)^{-1}(1K)$  for short, where  $p: G \times_K X \to X$  is the canonical projection. We have G-homeomorphisms  $h_I$   $(l=1, \dots, 3)$  such that the following diagram is commutative.

By taking the  $Z_l$ 's, the G-maps  $f_l$  induce K-maps  $\overline{f}_l: Z_l \to X$   $(l=1, \dots, 3)$ . Since  $\overline{h}$  is a K-homotopy equivalence and the diagram



commutes,  $Z_3$  dominates X. By Lemma 1.2,  $Z_3$  has the K-homotopy type of a finite K-CW complex. This completes the proof.

Let  $\Phi: D^{G}(G \times_{\kappa} X) \to D^{K}(X)$  be a homomorphism induced by a mapping assigning  $k: W \to X$ , described as in Theorem 1.3, to any G-map  $g: V \to G \times_{\kappa} X$ . It is an inverse isomorphism of a homomorphism  $D^{K}(X) \to D^{G}(G \times_{\kappa} X)$  induced by  $\operatorname{ind}_{K}^{G}$ . Since  $G \times_{\kappa} W \cong_{G} V$ , it follows from Proposition 2.2 that  $\Phi(Wa^{G}(G \times_{\kappa} X)) \subset Wa^{K}(X)$ . Then we have: T. Sumi

**Theorem 2.3.** Let K be any closed subgroup of G and let X be a K-space. The induction homomorphism  $\operatorname{Ind}_{K}^{c}(X)$ :  $Wa^{\kappa}(X) \rightarrow Wa^{c}(G \times_{\kappa} X)$  is an isomorphism. In particular  $G \times_{\kappa} X$  has the G-homotopy type of a finite G-CW complex if and only if X has the K-homotopy type of a finite K-CW complex.

### 3. Free S<sup>1</sup>-spaces

In this section we study Theorem A for free  $S^1$ -spaces. We denote a group  $S^1$  by G and let X be a connected free G-CW complex such that  $H_1(\nu; \mathbb{Z})$  is injective. If the projection  $X \to X/G$  is a principal G-bundle and the fundamental group of X is abelian, Anderson [1] has shown that the universal cover of X is  $\pi_1(X/G)$ -homeomorphic to the product of the universal cover of X/G and the real space  $\mathbb{R}$  with some  $\pi_1(X/G)$ -action on  $\mathbb{R}$ . We show that for some  $K \leq G$  and some CW complex V, the G-space X/K is G-homotopy equivalent to  $G/K \times_{\{1K\}} V = G/K \times V$ .

**Lemma 3.1.** Let X be a connected free G-space such that the G-map  $\nu$ :  $G \rightarrow X$  induces a monomorphism  $H_1(\nu; \mathbb{Z})$ . There is a finite subgroup K of G such that  $\pi_1(X|K)$  isomorphic to  $\pi_1(G|K) \oplus \pi_1(X|G)$ .

**Proof.** For any  $K \leq G$ , we have a short exact sequence:

$$1 \rightarrow \pi_1(G/K) \rightarrow \pi_1(X/K) \rightarrow \pi_1(X/G) \rightarrow 1$$

We construct a splitting  $\pi_1(X/K) \rightarrow \pi_1(G/K)$  for some K < G. By the assumption, there is an epimorphism  $\mu: \pi_1(X) \rightarrow \mathbb{Z}$  such that the following diagram commutes:

$$\pi_1(G) \xrightarrow{\nu} \pi_1(X)$$

$$n \qquad \qquad \downarrow \mu$$

$$Z$$

Here *n* is multiplication by  $n \ge 0$ . Let *K* be a subgroup of *G* with order *n*.

$$\pi_{1}(G) \xrightarrow{\nu} \pi_{1}(X) \xrightarrow{\mu} Z$$

$$\downarrow n \qquad \qquad \downarrow p \qquad \qquad \stackrel{\pi}{\longrightarrow} \pi_{1}(X/K)$$

$$\downarrow n \qquad \qquad \downarrow p \qquad \qquad \stackrel{\pi}{\longrightarrow} \pi_{1}(X/K)$$

$$\downarrow \partial \qquad \qquad \downarrow \partial$$

$$K = = K$$

By a chasing method, the equation  $\bar{\nu}(m)p(y) = \bar{\nu}(m')p(y')$  implies that there is

 $z \in \pi_1(G)$  satisfying m = m' + nz and  $y = \nu(z^{-1})y'$ . Then we have

$$m + \mu(y) = m' + nz + \mu(\nu(z^{-1})) + \mu(y') = m' + \mu(y').$$

For any  $x = \overline{\nu}(m)p(y) \in \pi_1(X/K)$  we define as  $\overline{\mu}(x) = m + \mu(y)$ . Then the map  $\overline{\mu}: \pi_1(X/K) \to \mathbb{Z}$  is a homomorphism with  $\overline{\mu} \circ p = \mu$ , since the image of  $\overline{\nu}$  is a subgroup of the center of  $\pi_1(X/K)$ . Since both  $\mu \circ \nu$  and *n* are multiplication by *n*, we have  $\overline{\mu} \circ \overline{\nu} = 1$  and  $\overline{\mu}$  is the required splitting.

**Proposition 3.2.** Let X be as in Theorem A. If X is free, then there are a proper subgroup K of G and a CW complex V such that  $G|K \times V$  and X|K are G-homotopy equivalent.

Proof. Let K be a subgroup of G such that  $\pi_1(X/K) \cong \pi_1(G/K) \oplus \pi_1(X/G)$ . We denote by  $p: V \to X/K$  the covering space corresponding to  $\pi_1(X/G) \le \pi_1(X/K)$ . The G-map  $G/K \times V \to X/K$ , sending (gK, v) to  $g \cdot p(v)$ , induces an isomorphism of homotopy groups. By a Whitehead theorem of the equivariant version [10], it is a G-homotopy equivalence.

By Lemma 1.2 there is a K-CW complex Y such that  $G \times_{\kappa} Y$  and X is G-homotopy equivalent.

REMARK. Let Y be a K-space obtained from the G-homotopy pullback of the G-map p through the covering map  $V \rightarrow X/K$ . Then the G-map  $G \times_{\kappa} Y \rightarrow X$  induced by the given K-map  $Y \rightarrow X$  is a G-homotopy equivalence.

### 4. Proof of Theorems A and B

In this section, we also denote  $S^1$  by G.

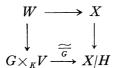
**Proposition 4.1.** Let X be as in Theorem A and let H be a finite subgroup of G. Then  $H_1(p \circ v; \mathbf{Z})$  is monic for the projection  $p: X \to X/H$ .

Proof. As the *H*-action on *X* comes from a *G*-action by restriction, *H* acts trivially on  $H_1(X; \mathbb{Z})$ . Applying Theorem 2.4 [3, p. 120], we obtain that the projection induces an isomorphicm  $H_1(p; \mathbb{Q}): H_1(X; \mathbb{Q}) \rightarrow H_1(X/H; \mathbb{Q})$ . Then  $H_1(p; \mathbb{Z})$  is injective on any free abelian subgroup of rank one in  $H_1(X; \mathbb{Z})$ .

We note that  $\pi_1(\nu)$  is monic does not imply that  $\pi_1(p \circ \nu)$  is injective.

Proof of Theorem A. Let H be a cyclic subgroup of which order is a common multiple of order of all isotropy subgroups in X. Clearly X/H is a connected free G/H-CW complex. By the argument in the previous section, there are a free K/H-CW complex V and a G/H-homotopy equivalence  $h: G/H \times_{K/H} V$  $\rightarrow X/H$ . We see canonically V as a K-CW complex. Then h induces a Ghomotopy equivalence  $h': G \times_K V \rightarrow X/H$ . The G-space W obtained from a T. Sumi

G-homotopy pullback of h' through the projection  $X \rightarrow X/H$  is G-homotopy equivalent to X.



On the other hand, by Lemma 1.2, there is a K-CW complex Y such that  $G \times_{\kappa} Y$  and W are G-homotopy equivalent. This completes the proof.

To prove Theorem B we may show the following:

**Proposition 4.3.** Let X be a connected finitely dominated G-space which fulfills that  $H_1(v; \mathbb{Z})$  is injective. Then the K-space X has the K-homotopy type of a finite K-CW complex for any finite subgroup K of G.

Proof. X has the G-homotopy type of a G-CW complex with finitely many orbit types [5, Theorem 1.4]. By Theorem A and Proposition 2.2, there is a finitely dominated L-space Y such that  $X \simeq_G G \times_L Y$ . Since  $G \times_L Y \rightarrow G/L$  is a K-fibration, we have the result. (See Theorem 3.6 [13].)

**Theorem 4.4.** Let G be any compact Lie group and let K be a subgroup of G. Let X be a finitely dominated G-space with X/G connected. If the rank of the image of  $H_1(W_GK \rightarrow X/K; \mathbb{Z})$  is not zero, then  $\operatorname{Res}_{K}^{G}(X): Wa^{G}(X) \rightarrow Wa^{K}(X)$  is a zero map.

Proof. Let T be a maximal torus of  $W_cK$ . Since  $H_1(T \to W_cK; \mathbb{Z})$  is epic, there is a proper subgroup  $\overline{C}$  of  $W_cK$  such that  $\overline{C}$  is isomorphic to  $S^1$  and  $H_1(\overline{C} \to X/K; \mathbb{Z})$  is injective. Then there is a  $\overline{C}$ -map  $f: X/K \to \overline{C}/L$  for some finite subgroup L of  $\overline{C}$ . Let C (resp. L) be the preimage of  $\overline{C}$  (resp. L) under the projection  $N_cK \to W_cK$ . Clearly  $h: \overline{C}/L \cong C/L$ . Since K is a normal subgroup of C, the projection  $p: X \to X/K$  is a C-map. Then  $h \circ f \circ p: X \to C/L$  is an equivariant C-fibration. The K-space C/L has a trivial K-action and its Euler characteristic is zero. If we apply Theorem 2.6 [13] to the equivariant Kfibration  $h \circ f \circ p$ , we conclude the proof.

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