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Author(s)	Yoshizawa, Mitsuo			
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# **BLOCK INTERSECTION NUMBERS OF BLOCK DESIGNS**

# MITSUO YOSHIZAWA

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#### 1. Introduction

Let t, v, k and  $\lambda$  be positive integers with  $v \ge k \ge t$ . A  $t-(v, k, \lambda)$  design is a pair consisting of a v-set  $\Omega$  and a family  $\boldsymbol{B}$  of k-subsets of  $\Omega$ , such that each t-subset of  $\Omega$  is contained in  $\lambda$  elements of  $\boldsymbol{B}$ . Elements of  $\Omega$  and  $\boldsymbol{B}$  are called points and blocks, respectively. A  $t-(v, k, \lambda)$  design is called nontrivial provided  $\boldsymbol{B}$  is a proper subfamily of the family of all k-subsets of  $\Omega$ , then t < k < v. In this paper, we assume that all designs are nontrivial. For a  $t-(v, k, \lambda)$  design  $\boldsymbol{D}$  we use  $\lambda_i$   $(0 \le i \le t)$  to represent the number of blocks which contain a given set of i points of  $\boldsymbol{D}$ . Then we have

$$\lambda_{i} = \frac{\binom{v-i}{t-i}}{\binom{k-i}{t-i}} \lambda = \frac{(v-i)(v-i-l)\cdots(v-t+l)}{(k-i)(k-i-l)\cdots(k-t+l)} \lambda \qquad (0 \leqslant i \leqslant t).$$

A  $t-(v, k, \lambda)$  design D is called block-schematic if the blocks of D form an association scheme with the relations determined by size of intersection (cf. [3]). In §2, we prove the following theorem which extends the result in [1].

**Theorem 1.** (a) For each  $n \ge 1$  and  $\lambda \ge 1$ , there exist at most finitely many block-schematic  $t-(v, k, \lambda)$  designs with k-t=n and  $t \ge 3$ .

(b) For each  $n \ge 1$  and  $\lambda \ge 2$ , there exist at most finitely many block-schematic  $t-(v, k, \lambda)$  designs with k-t=n and  $t \ge 2$ .

REMARK. Since there exist infinitely many 2-(v, 3, 1) designs and since every 2-(v, k, 1) design is block-schematic (cf. [2]), Theorem 1 does not hold for  $\lambda=1$  and t=2.

For a block B of a  $t-(v, k, \lambda)$  design  $\mathbf{D}$  we use  $x_i(B)$   $(0 \le i \le k)$  to denote the number of blocks each of which has exactly i points in common with B. If, for each i  $(i=0,\dots,k)$ ,  $x_i(B)$  is the same for every block B, we say that  $\mathbf{D}$  is block-regular and we write  $x_i$  instead of  $x_i(B)$ . We remark that if a  $t-(v, k, \lambda)$  design  $\mathbf{D}$  is block-schematic then  $\mathbf{D}$  is block-regular. For any t-(v, k, 1) design or any  $t-(v, t+1, \lambda)$  design, either of which is block-regular (cf. Lemma 1),

every  $x_i$  depends only on i, t, v, k or i, t, v,  $\lambda$  respectively (cf. Lemma 1). And Gross [5] and Dehon [4] respectively classified the t-(v, k, 1) designs and the  $t-(v, t+1, \lambda)$  designs both of which satisfy  $x_i=0$ . But for a block-regular  $t-(v, k, \lambda)$  design,  $x_i$  depends not only on i, t, v, k,  $\lambda$  but also on others in general (cf. Lemma 1). In §3, we prove the following theorem.

**Theorem 2.** Let c be a real number with c>2. Then for each  $n \ge 1$  and  $l \ge 0$ , there exist at most finitely many block-regular  $t-(v, k, \lambda)$  designs with k-t=n,  $v \ge ct$  and  $x_i \le l$  for some i  $(0 \le i \le t-1)$ .

The author thanks Professor H. Enomoto for giving the direct proof of Lemma 5.

## 2. Proof of Theorem 1

**Lemma 1.** Let **D** be a block-regular  $t-(v, k, \lambda)$  design. Then the following equality holds for  $i=0,\dots,k-1$ .

$$x_i = \sum_{j=i}^{t-1} {j \choose i} (\lambda_j - 1) {k \choose j} (-1)^{i+j} + \sum_{j=t}^{k-1} {j \choose i} w_j (-1)^{i+j}$$

where 
$$x_j \leq w_j \leq (\lambda - 1) \binom{k}{j}$$
  $(t \leq j \leq k - 1)$  and  $w_t = (\lambda - 1) \binom{k}{t}$ .

Proof. Let B be a block of D. Counting in two ways the number of the following set

 $\{(B', \{\alpha_1, \cdots, \alpha_i\}) \mid B' \text{ a block } (\pm B), B' \cap B \ni \alpha_1, \cdots, \alpha_i, \alpha_j \pm \alpha_{j'} \text{ if } j \pm j'\} \text{ gives } \\ x_i + \binom{i+1}{i} x_{i+1} + \cdots + \binom{t}{i} x_t + \cdots + \binom{k-1}{i} x_{k-1} = (\lambda_i - 1) \binom{k}{i} \text{ for } i = 0, \cdots, t-1, \\ \text{and } x_i + \binom{i+1}{i} x_{i+1} + \cdots + \binom{k-1}{i} x_{k-1} \leqslant (\lambda - 1) \binom{k}{i} \text{ for } i = t, \cdots, k-1. \text{ Let } w_i(t \leqslant i \leqslant k-1) \text{ be the left hand of the above inequality, where } w_i = (\lambda - 1) \binom{k}{t}. \text{ Let } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ be the square matrix with } a_{ij} = \binom{j}{i} \quad (0 \leqslant i, j \leqslant k-1). \text{ Then we have } A = (a_{ij}) \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij} = \binom{j}{i} \text{ the square matrix with } a_{ij$ 

$$Aegin{pmatrix} x_0 \ dots \ x_{t-1} \ dots \ x_t \ dots \ x_{k-1} \end{pmatrix} = egin{pmatrix} (\lambda_0-1)inom{k} \ 0 \ dots \ (\lambda_{t-1}-1)inom{k} \ t-1 \end{pmatrix}.$$

Let us set  $A^{-1} = (b_{ij}) \ (0 \le i, j \le k-1)$ . Since  $\sum_{j=m}^{n} (-1)^{j+m} \binom{n}{j} \binom{j}{m} = \delta_{mn}$ , we have

 $b_{ij} = {j \choose i} (-1)^{i+j}$ . Hence we get the desired result.

**Lemma 2.** Let **D** be a  $t-(v, k, \lambda)$  design with  $t, \lambda \ge 2$ . If  $v \ge k^3$ , then there exist three blocks  $B_1$ ,  $B_2$ ,  $B_3$  of **D** such that  $|B_1 \cap B_2| = t-1$ ,  $|B_2 \cap B_3| \ge t$  and  $|B_1 \cap B_3| = t-2$ .

Proof. Let B be a block of D. Counting in two ways the number of the following set

 $\{(B',\alpha_1,\cdots,\alpha_t)\}\ |\ B' \text{ a block } (\ne B), B'\cap B\ni \alpha_1,\cdots,\alpha_t,\alpha_j \ne \alpha_{j'} \text{ if } j\ne j'\}$  gives  $x_t(B)+\binom{t+1}{t}x_{t+1}(B)+\cdots+\binom{k-1}{t}x_{k-1}(B)=(\lambda-1)\binom{k}{t}.$  Since  $\lambda\geqslant -2$ , there is an integer q  $(t\leqslant q\leqslant k-1)$  with  $x_q(B)\ne 0$ . Hence, we may assume that there exist two blocks  $B_2,B_3$  such that  $t\leqslant |B_2\cap B_3|=q$ . Let  $\alpha_1$  be a point of  $B_2-B_3$  and  $\alpha_2,\cdots,\alpha_{t-1}$  be t-2 points of  $B_2\cap B_3$ . Set  $S=\{B\mid B \text{ a block, } B\supseteq \{\alpha_1,\cdots,\alpha_{t-1}\}\}$ , where  $|S|=\frac{v-t+1}{b-t+1}\lambda$ . Then we have

$$|\{B \in S | |B \cap B_2| \ge t \text{ or } |B \cap B_3| \ge t-1\}| \le \lambda(k-t+1) + \lambda(k-t+2).$$

Hence, if  $\frac{v-t+1}{k-t+1}\lambda > \lambda(k-t+1)+\lambda(k-t+2)$ , then there exists a block  $B_1$  in S such that  $|B_1 \cap B_2| = t-1$  and  $|B_1 \cap B_3| = t-2$ . On the other hand,  $\frac{v-t+1}{k-t+1} > (k-t+1)+(k-t+2)$  holds if  $v \ge k^3$ . So, the proof of Lemma 2 is completed.

**Proposition.** Let **D** be a block-schematic  $t-(v, k, \lambda)$  design with  $t, \lambda \ge 2$ .

Then  $v < \lambda k^3 \left( \frac{k}{2} \right)^2 holds$ .

Proof. By Lemma 1, we have

$$x_{t-2} > (\lambda_{t-2} - 1) \binom{k}{t-2} - (t-1) (\lambda_{t-1} - 1) \binom{k}{t-1} - (k-t) (\lambda - 1) \binom{k}{\left[\frac{k}{2}\right]}^{2}.$$
So,  $x_{t-2} > \frac{(v-t+2)(v-t+1)}{(k-t+2)(k-t+1)} \lambda \binom{k}{t-2} - (t-1) \frac{v-t+1}{k-t+1} \lambda \binom{k}{t-1} - (k-t) \lambda \binom{k}{\left[\frac{k}{2}\right]}^{2},$ 
and

$$x_{t-2} > \frac{(v-k)^2}{k^2} \lambda - (t-1)v\lambda \left( \left\lceil \frac{k}{2} \right\rceil \right) - k\lambda \left( \left\lceil \frac{k}{2} \right\rceil \right)^2.$$

Hence we have

$$x_{t-2} > \frac{v^2}{k^2} \lambda - kv \lambda \left( \begin{bmatrix} \frac{k}{2} \\ 2 \end{bmatrix} \right) - k\lambda \left( \begin{bmatrix} \frac{k}{2} \\ 2 \end{bmatrix} \right)^2. \tag{1}$$

Again by Lemma 1, we have

$$x_{t-1} < \lambda_{t-1} \left( \frac{k}{t-1} \right) + (k-t) \left( \lambda - 1 \right) \left( \left[ \frac{k}{2} \right] \right)^2$$

So,

$$x_{t-1} < \frac{v}{2} \lambda \left( \left\lceil \frac{k}{2} \right\rceil \right) + (k-1) \lambda \left( \left\lceil \frac{k}{2} \right\rceil \right)^{2}. \tag{2}$$

From now on, we may assume that  $v \ge k^3$ . By Lemma 2, there exist three blocks  $B_1$ ,  $B_2$ ,  $B_3$  of  $\mathbf{D}$  such that  $|B_1 \cap B_2| = t - 1$ ,  $|B_2 \cap B_3| = q$  ( $t \le q \le k - 1$ ), and  $|B_1 \cap B_3| = t - 2$ . By Lemma 1, we have

$$x_{q} \leq (\lambda - 1) {k \choose q} < \lambda {\left\lceil \frac{k}{2} \right\rceil}. \tag{3}$$

Hence, by (1), (2) and (3), we have

$$x_{t-2} - x_{t-1} x_q > \frac{v^2}{k^2} \lambda - k v \lambda \left( \left\lceil \frac{k}{2} \right\rceil \right) - k \lambda \left( \left\lceil \frac{k}{2} \right\rceil \right)^2 - \lambda^2 \left( \left\lceil \frac{k}{2} \right\rceil \right)^2 \left\{ \frac{v}{2} + (k-1) \left( \left\lceil \frac{k}{2} \right\rceil \right) \right\} \; .$$

Thus, we have that

$$x_{t-2} - x_{t-1} x_q > \frac{v^2}{k^2} \lambda - \lambda^2 \left( \left\lceil \frac{k}{2} \right\rceil \right)^2 v - k \lambda^2 \left( \left\lceil \frac{k}{2} \right\rceil \right)^3.$$
Hence,  $x_{t-2} - x_{t-1} x_q > 0$  holds if  $v \ge k^3 \left( \left\lceil \frac{k}{2} \right\rceil \right)^2 \lambda$ . (4)

Let  $B_1, B_2, B_3, \dots, B_{\lambda_0}$  be the blocks of  $\mathbf{D}$ . Let  $A_k$   $(0 \le k \le k)$  be the k-adjacency matrix of  $\mathbf{D}$  of degree  $\lambda_0$  defined by

$$A_h(i,j) = \begin{cases} 1 & \text{if } |B_i \cap B_j| = h, \\ 0 & \text{otherwise.} \end{cases}$$

Since D is block-schematic, we have

$$A_i A_j = \sum_{h=0}^k \mu(i,j,h) A_h \quad (0 \leqslant i,j \leqslant k)$$

where  $\mu(i, j, h)$  is a non-negative integer. Let a be the all-1 vector of degree  $\lambda_0$ . Then,

$$A_i A_j \boldsymbol{a} = \sum_{h=0}^k \mu(i,j,h) A_h \boldsymbol{a}$$
.

Hence we have  $x_i x_j = \sum_{h=0}^{k} \mu(i,j,h) x_h$ . In particular,

$$x_{t-1}x_q = \sum_{h=0}^{k} \mu(t-1, q, h)x_h, \qquad (5)$$

where  $\mu(t-1,q,t-2)$  is a positive integer, because  $|B_1 \cap B_2| = t-1$ ,  $|B_2 \cap B_3| = q$  and  $|B_1 \cap B_3| = t-2$ . Hence, by (4) and (5), we have  $v < k^3 \binom{k}{2}^2 \lambda$ .

**Lemma 3.** For each  $n \ge 1$ , there is a positive integer  $N_1(n)$  satisfying the following: If **D** is a  $t-(v,k,\lambda)$  design with k-t=n and  $t \ge N_1(n)$ , then there exist two blocks  $B_1$  and  $B_2$  of **D** such that  $|B_1 \cap B_2| = t-1$ .

Proof. Let **D** be a  $t-(v, k, \lambda)$  design with k-t=n. Let **B** be a block of **D**. Counting in two ways the number of the following set

$$\{(B', \{\alpha_1, \dots, \alpha_t\}) \mid B' \text{ a block } (\pm B), B' \cap B \ni \alpha_1, \dots, \alpha_t, \alpha_j \neq \alpha_{j'} \text{ if } j \neq j'\}$$
 gives 
$$x_t(B) + \binom{t+1}{t} x_{t+1}(B) + \dots + \binom{k-1}{t} x_{k-1}(B) = (\lambda - 1) \binom{k}{t}.$$

Since 
$$\frac{\binom{t+i}{t-1}}{\binom{t+i}{t}} = \frac{t}{i+1} \quad (i \geqslant 0), \text{ we have}$$

$$\binom{t}{t-1} x_{t}(B) + \binom{t+1}{t-1} x_{t+1}(B) + \dots + \binom{k-1}{t-1} x_{k-1}(B) \leqslant t(\lambda-1) \binom{k}{t}. \tag{6}$$

Counting in two ways the number of the following set

$$\{(B', \{\alpha_1, \dots, \alpha_{t-1}\}) | B' \text{ a block } (\pm B), B' \cap B \ni \alpha_1, \dots, \alpha_{t-1}, \alpha_j \pm \alpha_{j'} \text{ if } j \pm j'\}$$
 gives  $x_{t-1}(B) + \binom{t}{t-1} x_t(B) + \binom{t+1}{t-1} x_{t+1}(B) + \dots + \binom{k-1}{t-1} x_{k-1}(B)$ 

$$= (\lambda_{t-1} - 1) \binom{k}{t-1}. \tag{7}$$

By (6) and (7), we have

$$\begin{split} &x_{t-1}(B)\!\geqslant\!(\lambda_{t-1}\!-\!1)\binom{k}{t-1}\!-\!t(\lambda\!-\!1)\binom{k}{t}\,,\quad\text{and}\\ &x_{t-1}(B)\!\geqslant\!\frac{v\!-\!t\!+\!1}{n\!+\!1}\,\lambda\frac{(n\!+\!t)\cdots t}{(n\!+\!1)!}\!-\!\frac{(n\!+\!t)\cdots t}{(n\!+\!1)!}\!-\!(\lambda\!-\!1)\frac{(n\!+\!t)\cdots t}{n!}\,. \end{split}$$

Since **D** is a nontrivial design,  $v > k+t \ge 2t+n$ . Hence we have

$$x_{t-1}(B) > \left(\frac{(t+n+1)\cdots t}{(n+2)!} - \frac{(t+n)\cdots t}{n!}\right)\lambda$$
.

Set  $f(t) = \frac{(t+n+1)\cdots t}{(n+2)!} - \frac{(t+n)\cdots t}{n!}$ . Then there is a positive integer  $N_1(n)$  such that  $f(t) \ge 0$  holds if  $t \ge N_1(n)$ . Hence, the proof of Lemma 3 is completed.

**Lemma 4.** For each  $n \ge 1$ , there is a positive integer  $N_2(n)$  satisfying the

following: If **D** is a  $t-(v, k, \lambda)$  design with k-t=n and  $t \ge N_2(n)$ , then there exist three blocks  $B_1$ ,  $B_2$ ,  $B_3$  of **D** such that  $|B_1 \cap B_2| = t-1$ ,  $|B_2 \cap B_3| = t-1$  and  $|B_1 \cap B_3| = t - n - 2$ .

Proof. Let **D** be a  $t-(v, k, \lambda)$  design with k-t=n. We may assume  $t \ge N_1(n)$ , where  $N_1(n)$  is a positive integer obtained in Lemma 3. Therefore, there exist two blocks  $B_2$  and  $B_3$  of  $\mathbf{D}$  with  $|B_2 \cap B_3| = t-1$ . Let  $\alpha_1, \dots, \alpha_{n+1}$ be n+1 points of  $B_2-B_3$  and  $\alpha_{n+2}$ , ...,  $\alpha_{t-1}$  be t-n-2 points of  $B_2 \cap B_3$ . Set  $S = \{B \mid B \text{ a block, } B \supseteq \{\alpha_1, \dots, \alpha_{t-1}\}\}, \text{ where } |S| = \frac{v - t + 1}{h - t + 1}\lambda.$  Then we have

$$|\{B \in S | |B_2 \cap B| \ge t \text{ or } |B_3 \cap B| \ge t - n - 1\}| \le \lambda(k - t + 1) + \lambda(k - t + n + 2).$$

Hence, if  $\frac{v-t+1}{k-t+1}\lambda > \lambda(n+1)+\lambda(2n+2)$ , then there exists a block  $B_1$  in S such that  $|B_1 \cap B_2| = t-1$  and  $|B_1 \cap B_3| = t-n-2$ . On the other hand, since v > k+t=2t+n, we have that  $\frac{v-t+1}{n+1} > (n+1)+(2n+2)$  holds if  $t \ge 3(n+1)^2$ . Lemma 4 holds if  $N_2(n) = \max\{N_1(n), 3(n+1)^2\}$ .

Proof of Theorem 1. First, let us suppose that **D** is a block-schematic  $t-(v, k, \lambda)$  design with k-t=n and  $t, \lambda \ge 2$ . By Proposition, we may assume that  $t \ge N_2(n)$ , where  $N_2(n)$  is a positive integer obtained in Lemma 4. By Lemma 1 we have

$$\begin{split} x_{t-n-2} > & \lambda_{t-n-2} \binom{t+n}{t-n-2} - \sum_{j=t-n-1}^{t-1} \binom{j}{t-n-2} \lambda_j \binom{t+n}{j} - \sum_{j=t}^{t-1} \binom{j}{t-n-2} \lambda \binom{t+n}{j}, \\ \text{where } & \lambda_{t-n-2} \binom{t+n}{t-n-2} = \frac{(v-t+n+2)\cdots(v-t+1)}{(n+n+2)\cdots(n+1)} \lambda \cdot \frac{(t+n)\cdots(t-n-1)}{(2n+2)!}, \\ & \sum_{j=t-n-1}^{t-1} \binom{j}{t-n-2} \lambda_j \binom{t+n}{j} < (n+1) \lambda_{t-n-1} \frac{(t+n)!}{(t-n-2)!} \\ & = (n+1) \frac{(v-t+n+1)\cdots(v-t+1)}{(n+n+1)\cdots(n+1)} \frac{(t+n)!}{(t-n-2)!} \lambda, \\ \text{and } & \sum_{j=t}^{t-1} \binom{j}{t-n-2} \lambda \binom{t+n}{j} < n \frac{(t+n)!}{(t-n-2)!} \lambda. \end{split}$$

Hence we have

$$x_{t-n-2} > \frac{(v-t)^{n+2}(t-n-1)^{2n+2}}{((2n+2)!)^2} \lambda - (v-t+n+1)^{n+1}(t+n)^{2n+2} \lambda. \tag{8}$$

Again by Lemma 1, we have

$$x_{t-1} < \frac{v-t+1}{n+1} \lambda {t+n \choose t-1} + \sum_{j=t}^{k-1} {j \choose t-1} \lambda {t+n \choose j}$$
, and

$$x_{t-1} < (v-t+1)(t+n)^{n+1}\lambda + n(t+n)^{n+1}\lambda$$
.

Hence we have

$$x_{t-1}^2 < (v-t+n+1)^2(t+n)^{2n+2}\lambda^2$$
. (9)

By (8) and (9), we have

$$x_{t-n-2}-x_{t-1}^2>\frac{(v-t)^{n+2}(t-n-1)^{2n+2}}{((2n+2)!)^2}\lambda-2(v-t+n+1)^{n+1}(t+n)^{2n+2}\lambda^2.$$

Set 
$$f(t) = \frac{\lambda}{((2n+2)!)^2} t^{n+2} \cdot (t-n-1)^{2n+2} - 2\lambda^2 (t+n+1)^{n+1} (t+n)^{2n+2}$$
.

Then there is a positive integer  $N(n, \lambda)$  ( $\geqslant N_2(n)$ ) such that  $f(t) \geqslant 0$  holds if  $t \geqslant N(n, \lambda)$ . Since v - t > t, we have that

$$x_{t-n-2} - x_{t-1}^2 > 0$$
 holds if  $t \ge N(n, \lambda)$ . (10)

By the similar argument as in the proof of Proposition, we have

$$x_{t-1}^2 = \sum_{h=0}^k \mu(t-1, t-1, h) x_h , \qquad (11)$$

where  $\mu(t-1, t-1, h)$  is a non-negative integer. Moreover, since  $t \ge N_2(n)$   $\mu(t-1, t-1, t-n-2)$  is a positive integer by Lemma 4. Hence, by (10) and (11), we have  $t \le N(n, \lambda)$ . Therefore,  $k \le N(n, \lambda) + n$ . Hence by Proposition, the proof of Theorem 1 is completed on condition that  $\lambda \le 2$ .

Next, let us suppose that D is a block-schematic t-(v, k, l) design with k-t=n and  $t\geqslant 3$ . (The proof of the case  $\lambda=1$  is similar to that of the case  $\lambda\geqslant 2$ . Then, we give an outline of it.) By Theorem in [1], we may assume that  $t\geqslant N_2(n)$ , where  $N_2(n)$  is a positive integer obtained in Lemma 4. By Lemma 1, we get

$$x_{t-n-2}-x_{t-1}^2>\frac{(v-t)^{n+2}(t-n-1)^{2n+2}}{((2n+2)!)^2}-2(v-t+n+1)^{n+1}(t+n)^{2n+2}\;.$$

Hence, there is a positive integer N(n) ( $\geqslant N_2(n)$ ) such that  $x_{t-n-2}-x_{t-1}^2>0$  holds if  $t\geqslant N(n)$ . On the other hand, the following equation holds:

$$x_{t-1}^2 = \sum_{h=0}^k \mu(t-1, t-1, h) x_h,$$

where  $\mu(t-1, t-1, h)$  is a non-negative integer and  $\mu(t-1, t-1, t-n-2)$  is positive. Therefore, we have  $t \le N(n)$ , and so  $k \le N(n) + n$ . Hence by Theorem in [1], the proof of Theorem 1 is completed on condition that  $\lambda = 1$ . Thus, Theorem 1 is proved.

### 3. Proof of Theorem 2

**Lemma 5.** Let **D** be a block-regular  $t-(v, k, \lambda)$  design. Then the following equality holds for  $i=0, \dots, t-1$ .

$$x_{i} = \frac{\lambda \binom{k}{i}}{\binom{v-t}{k-t}} \left\{ \binom{v-k}{k-i} + (-1)^{t+i+1} \sum_{i=0}^{k-t-1} \binom{t-i-1+q}{q} \binom{v-k+q}{k-t} \right\} + (\lambda-1) \sum_{j=1}^{t-1} \binom{j}{i} \binom{k}{j} (-1)^{i+j} + \sum_{j=i}^{k-1} \binom{j}{i} w_{j} (-1)^{i+j},$$

where  $x_j \leqslant w_j \leqslant (\lambda - 1) \binom{k}{j}$   $(t \leqslant j \leqslant k - 1)$  and  $w_t = (\lambda - 1) \binom{k}{t}$ .

(The essential part of Lemma 5 is [5, Lemma 6].)

Proof. In this proof, we use the following three combinatorial identities:

(i) 
$$\binom{-a}{b} = (-1)^b \binom{a+b-1}{b}$$
,

(ii) 
$$\sum_{r} {a \choose r} {b+r \choose c} (-1)^r = (-1)^a {b \choose c-a}$$
  $(a \ge 0)$ ,

(iii) 
$$\sum_{r} {a \choose r} {b \choose c-r} = {a+b \choose c}$$
  $(a \ge 0)$ .

By Lemma 1, we have

$$x_{i} = \sum_{j=i}^{t-1} {j \choose i} (\lambda_{j} - 1) {k \choose j} (-1)^{i+j} + \sum_{j=i}^{k-1} {j \choose i} w_{j} (-1)^{i+j},$$

where 
$$x_j \leq w_j \leq (\lambda - 1) \binom{k}{j}$$
  $(t \leq j \leq k - 1)$ .

Then, 
$$x_i = \lambda \sum_{j=i}^{t-1} {j \choose i} (\lambda'_j - 1) {k \choose j} (-1)^{i+j} + (\lambda - 1) \sum_{j=i}^{t-1} {j \choose i} {k \choose j} (-1)^{i+j} + \sum_{j=i}^{t-1} {j \choose j} w_j (-1)^{i+j},$$

where 
$$\lambda_j' = \frac{\binom{v-j}{t-j}}{\binom{k-j}{t-j}} = \frac{\binom{v-j}{k-j}}{\binom{v-t}{k-t}} \quad (0 \leqslant j \leqslant t-1).$$

Hence, in order to prove Lemma 5, it is sufficient to show that the following equality holds for  $i=0, \dots, k-1$ .

$$\sum_{j=i}^{t-1} {j \choose i} (\lambda_j' - 1) {k \choose j} (-1)^{i+j}$$

$$= \frac{\binom{k}{i}}{\binom{v-t}{k-t}} \left\{ \binom{v-k}{k-i} + (-1)^{t+i+1} \sum_{q=0}^{k-t-1} \binom{t-i+1+q}{q} \binom{v-k+q}{k-t} \right\}. \tag{12}$$

First suppose that  $t \le i \le k-1$ . Then,

$$\sum_{q=0}^{k-t-1} {t-i-1+q \choose q} {v-k+q \choose k-t} = \sum_{q=0}^{k-t-1} {(-1)^q \binom{i-t}{q} \binom{v-k+q}{k-t}} \quad \text{(cf. (i))}$$

$$= (-1)^{i-t} {v-k \choose k-1}.$$
 (cf. (ii))

Hence, the right hand of (12)=0=the left hand of (12).

Let  $A=(a_{rs})$  be the square matrix with  $a_{rs}=\binom{s}{r}(0\leqslant r,\ s\leqslant k-1)$ . Since  $\det(A) \neq 0,\ A^{-1}=\binom{s}{r}(-1)^{r+s}(0\leqslant r,\ s\leqslant k-1)$  and (12) holds for  $i=t,\cdots,k-1$ , we have that (12) holds for  $i=0,\cdots,k-1$  if the following holds for  $i=0,\cdots,t-1$ .

$$\sum_{j=1}^{k-1} {j \choose i} \frac{{k \choose j}}{{v-t \choose k-t}} \left\{ {v-k \choose k-j} + (-1)^{t+j+1} \sum_{q=0}^{k-t-1} {t-j-1+q \choose q} {v-k+q \choose k-t} \right\} = (\lambda_i'-1) {k \choose i}.$$
(13)

Since 
$$\binom{j}{i}\binom{k}{j} = \binom{k}{i}\binom{k-i}{k-j}$$
,

the left hand of (13) = 
$$\frac{\binom{k}{i}}{\binom{v-t}{k-t}} \sum_{j=i}^{k-1} \binom{k-i}{k-j} \left\{ \binom{v-k}{k-j} + (-1)^{t+j+1} \sum_{q=0}^{k-t-1} \binom{t-j-1-q}{q} \binom{v-k+q}{k-t} \right\}.$$
 (14)

Now, 
$$\sum_{j=i}^{k-1} {k-i \choose k-j} {v-k \choose k-j} = \sum_{j=i}^{k-1} {k-i \choose j-i} {v-k \choose k-j}$$
$$= \sum_{k=0}^{k-i} {k-i \choose k} {v-k \choose k-i-k} - 1 \quad (h=j-i)$$
$$= {v-i \choose k-i} - 1. \quad (cf. (iii))$$
(15)

On the other hand,

$$\sum_{j=i}^{k-1} {k-i \choose k-j} (-1)^{t+j+1} \sum_{j=0}^{k-1-1} {t-j-1+q \choose q} {v-k+q \choose k-t} 
= \sum_{j=0}^{k-t-1} (-1)^{t+1} {v-k+q \choose k-t} \sum_{j=i}^{k-1} {k-i \choose j-i} {t-j-1+q \choose q} (-1)^{j} 
= \sum_{j=0}^{k-t-1} (-1)^{t+1} {v-k+q \choose k-t} \sum_{j=i}^{k-1} {k-i \choose j-i} {j-t \choose q} (-1)^{j+q} \quad (cf. (i))$$

$$=\sum_{q=0}^{k-t-1} (-1)^{t+1} {v-k+q \choose k-t} {\sum_{k=0}^{k-t} {k-i \choose k} {i-t+k \choose q} (-1)^{i+k+q} - {k-t \choose q} (-1)^{k+q} }$$

$$=\sum_{q=0}^{k-t-1} (-1)^{t+1} {v-k+q \choose k-t} {\{(-1)^{(k-i)+(i+q)} {i-t \choose q-k+i} - {k-t \choose q} (-1)^{k+q} \}}$$

$$=\sum_{j=0}^{k-t-1} (-1)^{t+k+q} {v-k+q \choose k-t} {k-t \choose q}$$

$$=(-1)^{k+t} \sum_{q=0}^{k-t} {k-t \choose q} {v-k+q \choose k-t} (-1)^q - {v-t \choose k-t}$$

$$=(-1)^{k+t+k-t} {v-k \choose k-t-k+t} - {v-t \choose k-t}$$

$$=(-1)^{k+t+k-t} {v-k \choose k-t-k+t} - {v-t \choose k-t}$$

$$=1 - {v-t \choose k-t}.$$

$$(16)$$

Hence by (14), (15) and (16), we have that

the left hand of (13) = 
$$\frac{\binom{k}{i}}{\binom{v-t}{k-t}} \left\{ \binom{v-i}{k-i} - 1 + 1 - \binom{v-t}{k-t} \right\}$$
$$= \left\{ \binom{(v-i)}{k-i} - 1 \right\} \binom{k}{i} = \text{the right hand of (13)}.$$

Thus, Lemma 5 is proved.

**Lemma 6.** For each  $k \ge 2$  and  $l \ge 0$ , there exist at most finitely many block-regular  $t-(v, k, \lambda)$  designs with  $x_i \le l$  for some i  $(0 \le i \le t-1)$ .

Proof. In order to prove Lemma 6, it is sufficient to show the following: For each  $k \ge 2$ ,  $l \ge 0$ , t  $(1 \le t < k)$  and i  $(0 \le i < t)$ , there exist at most finitely many block-regular  $t-(v, k, \lambda)$  designs with  $x_i \le l$ .

Let k, l, t and i be integers with  $k \ge 2$ ,  $l \ge 0$ ,  $1 \le t < k$  and  $0 \le i < t$ , and let D be a block-regular  $t-(v, k, \lambda)$  design with  $x_i \le l$ . By Lemma 1, we have

$$x_{i} = \sum_{j=i}^{t-1} {j \choose i} (\lambda_{j} - 1) {k \choose j} (-1)^{i+j} + \sum_{j=t}^{k-1} {j \choose i} w_{j} (-1)^{i+j} ,$$
where  $x_{j} \leq w_{j} \leq (\lambda - 1) {k \choose j}$   $(j=t, \dots, k-1)$ . Therefore,
$$x_{i} - l > \left( \frac{(v-i) \cdots (v-t+1)}{(k-i) \cdots (k-t+1)} \lambda - 1 \right) {k \choose i} - \sum_{j=i+1}^{t-1} {j \choose j} \frac{(v-j) \cdots (v-t+1)}{(k-j) \cdots (k-t+1)} \lambda - 1 \right) {k \choose j} - \sum_{j=i+1}^{k-1} {j \choose j} (\lambda - 1) {k \choose j} - l .$$

In the above expression, if we suppose that k, l, t and i are constants, and that v and  $\lambda$  are variables with v > k and  $\lambda \ge 1$ , then we can obtain the following:

The right hand of the expression  $=\lambda \cdot f(v) + \lambda \cdot g(v) + d$ , where f(v) is a polynomial in v of degree t-i with the leading coefficient of f(v)>0, g(v) is a polynomial in v of degree t-i-1, and d is a constant. Hence, there exists a constant C(k, l, t, i)>0 such that  $x_i-l>0$  holds if  $v \ge C(k, l, t, i)$ . Namely, if  $x_i \le l$ , then v < C(k, l, t, i).

Proof of Theorem 2. By Lemma 6, we may assume that  $t \ge \frac{2n + ((2n+2)!)^2}{c-2} + 2n$ . Let **D** be a block-regular  $t - (v, t + n, \lambda)$  design with  $v \ge ct$ ,  $t \ge \frac{2n + ((2n+2)!)^2}{c-2} + 2n$ , and  $x_i \le l$  for some  $i (0 \le i \le t-1)$ . Set  $v = mt \ (m \ge c)$ , where m is not always integral. By Lemma 5, we have

$$x_{i} = \frac{\lambda \binom{t+n}{i}}{\binom{(m-1)t}{n}} \left\{ \binom{(m-1)t-n}{t+n-i} + (-1)^{t+i+1} \sum_{q=0}^{n-1} \binom{t-i-1+q}{q} \binom{(m-1)t-n+q}{n} \right\} + (\lambda - 1) \sum_{j=i}^{t-1} \binom{j}{i} \binom{t+n}{j} (-1)^{i+j} + \sum_{j=i}^{t-n-1} \binom{j}{i} w_{j} (-1)^{i+j},$$

$$(17)$$

where  $x_j \leqslant w_j \leqslant (\lambda - 1) \binom{t+n}{j}$   $(t \leqslant j \leqslant k-1)$ .

Now, 
$$(\lambda - 1) \sum_{j=i}^{t-1} {j \choose i} {t+n \choose j} (-1)^{i+j} + \sum_{j=i}^{t-n-1} {j \choose i} w_j (-1)^{i+j}$$

$$= -(\lambda - 1) \sum_{j=i}^{t+n} {j \choose i} {t+n \choose j} (-1)^{i+j} + \sum_{j=i}^{t+n-1} {j \choose i} w_j (-1)^{i+j}$$

$$> -2\lambda (n+1) \frac{(t+n)!}{i!(t-i)!}.$$
(18)

On the other hand,

$$\frac{\lambda \binom{t+n}{i}}{\binom{(m-1)t}{n}} \left\{ \binom{(m-1)t-n}{t+n-i} + (-1)^{t+i+1} \sum_{i=0}^{n-1} \binom{t-i-1+q}{q} \binom{(m-1)t-n+q}{n} \right\} 
> \frac{\lambda \binom{t+n}{i}}{\binom{(m-1)t}{n}} \left\{ \binom{(m-1)t-n}{t+n-i} - n\binom{t+n-i}{n} \binom{(m-1)t}{n} \right\} 
> \frac{\lambda (t+n)!((m-1)t-n)!((m-1)t-n)!}{i!(t+n-i)!((m-1)t)!(t+n-i)!((m-2)t-2n+i)!} - \frac{\lambda (t+n)!}{i!(t-i)!} \tag{19}$$

By (17), (18) and (19), we have

$$\frac{x_i i! (t-i)!}{(t+n)! \lambda} > \frac{\{((m-1)t-n)!\}^2 (t-i)!}{((t+n-i)!)^2 ((m-1)t)! ((m-2)t-2n+i)!} - 5n.$$

Then since  $\frac{i!(t-i)!}{(t+n)!\lambda} \le \frac{!}{(t+n)!\lambda} < 1$ , we have

$$x_{i} > \frac{((m-1)t-n)\cdots((m-2)t-2n+i+1)}{((m-1)t)\cdots((m-1)t-n+1)\cdot(t+n-i)\cdots(t-i+1)\cdot(t+n-i)!} - 5n.$$

Hence, 
$$x_i > ((m-1)t-n)\frac{((m-1)t-n-1)\cdots((m-1)t-2n)}{((m-1)t)\cdots((m-1)t-n+1)}$$

$$\cdot \frac{((m-1)-2n-1)\cdots((m-1)t-3n)}{(t+n-i)\cdots(t-i+1)}$$

$$\cdot \frac{((m-1)t-3n-1)\cdots((m-2)t-2n+i+2)}{(t+n-i)\cdots(2n+3)} \cdot \frac{(m-2)t-2n+i+1}{(2n+2)!} -5n$$

holds if  $t-i \ge n+3$ , and

$$x_{i} > ((m-1)t-n) \frac{((m-1)t-n-1)\cdots((m-1)t-2n)}{((m-1)t)\cdots((m-1)t-n+1)} \cdot \frac{((m-1)t-2n-1)\cdots((m-2)t-2n+i+1)}{((2n+2)!)^{2}} - 5n$$

holds if  $2 \leq t - i \leq n + 2$ ,

and 
$$x_i > ((m-1)t-n)\frac{((m-1)t-n-1)\cdots((m-1)t-2n)}{((m-1)t)\cdots((m-1)t-n+1)}\frac{1}{((n+1)!)^2} - 5n$$

holds if t-i=1.

In any case, since  $t \ge \frac{2n + ((2n+2)!)^2}{c-2} + 2n$ , we have

$$x_{i} > ((m-1)t-n) \frac{((m-2)t)^{n}}{((m-1)t)^{n}} \cdot \frac{1}{((n+1)!)^{2}} - 5n$$

$$> \frac{((c-1)t-n)}{((n+1)!)^{2}} \left(\frac{c-2}{c-1}\right)^{n} - 5n.$$

Therefore, there exists a positive integer N(c, n, l)  $\left( \ge \frac{2n + ((2n+2)!)^2}{c-2} + 2n \right)$  such that  $x_i - l > 0$  holds if  $t \ge N(c, n, l)$ . Namely, if  $x_i \le l$ , then  $t \le N(c, n, l)$ . Hence by Lemma 6, the proof of Theorem 2 is completed.

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Division of Mathematics Keio University Hiyoshi, Yokohama 223 Japan