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## GENERALIZATIONS OF NAKAYAMA RING VII

(HEREDITARY RINGS)

Dedicated to Professor Takasi Nagahara on his 60th birthday

MANABU HARADA

(Received January 9, 1987)

We have studied left serial rings with  $(*, 1)$  or  $(*, 2)$  in [7] and [8] as a generalization of Nakayama ring (generalized uniserial ring).

In this note, we shall replace the assumption “left serial” to “hereditary”, and give, in Sections 2~5, characterizations of an artinian hereditary ring with  $(*, n)$  in terms of the structure of  $R$ ;  $n \leq 3$ . In Section 6, we shall study another type of hereditary algebras over an algebraically closed field, i.e., right US- $n$  hereditary algebras.

### 1. Hereditary rings

Throughout this paper we assume that a ring  $R$  is a left and right artinian ring with identity. We shall use the notations and terminologies given in [2]~[8]

First we recall the definition of  $(*, n)$ .

$(*, n)$  *Every maximal submodule of a direct sum of  $n$  hollow modules is also a direct sum of hollow modules [2] and [4]*

In this case we may restrict ourselves to a direct sum of hollow modules of a form  $eR/K$ , where  $e$  is a primitive idempotent and  $K$  is a submodule of  $eR$  [4].

Let  $R$  be an artinian hereditary ring. Then  $R$  is isomorphic to the ring of generalized tri-angular matrices over simple rings [1]. We are interested in a hereditary ring with  $(*, n)$ , and so we may assume that  $R$  is basic. Then

$$(1) \quad R \approx \begin{pmatrix} \Delta_1 & M_{12} & \cdots & M_{1n} \\ & \Delta_2 & M_{23} & \cdots & M_{2n} \\ & & \ddots & & \vdots \\ 0 & & & \ddots & \Delta_n \end{pmatrix}$$

where the  $\Delta_i$  are division rings and the  $M_{ij}$  are left  $\Delta_i$  and right  $\Delta_j$  modules. It is clear that  $M_{ij} = e_i R e_j$  ( $e_i = e_{ii}$  matrix units).

**Lemma 1.** *Let  $R$  be a hereditary ring as above. Then for any  $t$ ,  $\sum_{j \geq t} \oplus Re_j$  (resp.  $\sum_{j \leq t} \oplus e_j R$ ) is an ideal and  $R/\sum_{j \geq t} \oplus Re_j$  (resp.  $R/\sum_{j \leq t} \oplus e_j R$ ) is also hereditary.*

Proof. This is clear from [1], Theorem 1.

**Lemma 2.** *Every non-zero element in  $\text{Hom}_R(e_i R, e_j R)$  ( $i \leq j$ ) is a monomorphism.*

Proof. Since  $e_i R$  is indecomposable and  $f(e_i R)$  is projective for  $f \in \text{Hom}_R(e_i R, e_j R)$ , this is clear.

Let  $R$  be a ring as (1). We may study hollow modules  $e_i R/A$  by the initial remark. Put  $e = e_i$  and  $H = \{h \mid M_{ih} \neq 0\}$ ,  $J = \{j \mid M_{ij} = 0\}$ , and further put  $E_i = \sum_{h \in H} e_h$ ,  $R_i = E_i R E_i$  and  $X_i = \sum_j \oplus e_j R \oplus \sum_{h < i} \oplus e_h R$ . Since  $R$  is hereditary,  $e_h R e_j = 0$  for  $h \in H$  and  $j \in J$  (cf. [1]), and so  $X_i$  is a two sided ideal in  $R$  by Lemma 1 and  $R_i X_i = 0$ . If  $e_p R e_q \neq 0$  for  $p \in H$ , then  $0 \neq e_i R e_p e_p R e_q \subset e_i R e_q$  by [1], and so  $q \in H$ . Hence  $e_p R = e_p R E_i$  and

$$(2) \quad R_i = E_i R \quad \text{and} \quad R_i X_i = 0.$$

It is clear that  $R = R_i \oplus X_i$  as  $R$ -modules and  $R_i$  is hereditary (cf. [1]). Hence every  $R_i$ -submodule in  $R_i$  is nothing but an  $R$ -submodule in  $R_i$  from (2). Further let  $h_1 < h_2 < \dots < h_p$  ( $h_i \in H$ ), then we note that  $e_{h_1} R e_{h_q} \neq 0$  for all  $q$ . Therefore we obtain

**Lemma 3.** *Let  $R$  be a hereditary ring as in (1) and let  $R_i$  be as above. Then  $(*, n)$  holds for any  $n$  hollow modules if and only if, for any  $i$ , the same holds on any  $R_i$ -modules. Further  $R_i$  satisfies  $e_{h_1} R e_{h_q} \neq 0$  for all  $h_q > h_1$ .*

Next we shall observe a construction of hereditary (basic) rings. In order to make the observation clear, we shall first give an example.

Let

$$R = \begin{pmatrix} K_{11} & 0 & K_{13} & K_{14} & 0 & K_{16} & 0 & K_{18} \\ & K_{22} & 0 & K_{24} & 0 & K_{26} & 0 & K_{28} \\ & & K_{33} & K_{34} & 0 & 0 & 0 & 0 \\ & & & K_{44} & 0 & 0 & 0 & 0 \\ & & & & K_{55} & K_{56} & 0 & K_{58} \\ & 0 & & & & K_{66} & 0 & K_{68} \\ & & & & & & K_{77} & K_{78} \\ & & & & & & & K_{88} \end{pmatrix},$$

where  $K_{ij} = K$  is a field.

We take non-zero entries in  $e_1R$  and put

$$R_1 = \begin{pmatrix} K_{11} & K_{13} & K_{14} & K_{16} & K_{18} \\ & K_{33} & K_{34} & 0 & 0 \\ & & K_{44} & 0 & 0 \\ 0 & & & K_{66} & K_{68} \\ & & & & K_{88} \end{pmatrix}$$

Since  $K_{22}$  does not appear in  $R_1$  (since  $M_{12}=0$ ), we take

$$R_2 = \begin{pmatrix} K_{22} & K_{24} & K_{26} & K_{28} \\ & K_{44} & 0 & 0 \\ & & K_{66} & K_{68} \\ 0 & & & K_{88} \end{pmatrix}$$

Since  $K_{55}$  does not appear in  $R_1$  and  $R_2$ , put

$$R_5 = \begin{pmatrix} K_{55} & K_{56} & K_{58} \\ 0 & K_{66} & K_{68} \\ & & K_{88} \end{pmatrix}$$

Similarly to the above, we put

$$R_7 = \begin{pmatrix} K_{77} & K_{78} \\ 0 & K_{88} \end{pmatrix}$$

Then

$$A_{12} = \begin{pmatrix} K_{44} & 0 & 0 \\ 0 & K_{66} & K_{68} \\ 0 & 0 & K_{88} \end{pmatrix}$$

is the common components between  $R_1$  and  $R_2$ . Similarly we can define

$$A_{15} = A_{25} = \begin{pmatrix} K_{66} & K_{68} \\ 0 & K_{88} \end{pmatrix},$$

$$A_{17} = A_{27} = A_{57} = (K_{88}).$$

We note that the products in  $R$  of two components in  $R_i$  and  $R_j$  not contained in  $A_{ij}$  are zero. Now  $R_1$  and  $R_2$  are of right local type (see §5) and  $R_3$  and  $R_4$  are right serial. Further we know from the above note that  $R$  is the subring of  $R_1 \oplus R_2 \oplus R_5 \oplus R_7$  given by identifying elements in the same  $K_{ij}$ , namely in  $A_{ij}$ . If we carefully observe the above constructions, we know that only some right ideals contained in  $(1_i - e_1^{(i)})R_i$  are identified, where  $1_i$  is the identity of  $R_i$  and

$e_1^{(i)}$  is the matrix unit in  $R_i$ .

We shall study the above fact in general. Let

$$(3) \quad R = \begin{pmatrix} M_{11} & M_{12} & \cdots & M_{1n} \\ & M_{22} & \cdots & M_{2n} \\ & & \ddots & \vdots \\ 0 & & & M_{nn} \end{pmatrix}$$

where  $M_{ii} = \Delta_i$  are division rings. We define  $R_i$  as before Lemma 3 and express  $R_i$  as

$$(4) \quad R_i = \begin{pmatrix} M_{11}^{(i)} & \cdots & M_{1n_i}^{(i)} \\ & \ddots & \vdots \\ 0 & & M_{n_i n_i}^{(i)} \end{pmatrix}$$

where  $M_{jk}^{(i)}$  is equal to some  $M_{lm}$  in (3) ( $M_{11}^{(i)} = M_{ii}$  in (3)) and  $M_{1k}^{(i)} \neq 0$  for all  $k$ .

We note first the following fact: Assume  $M_{ab} \neq 0$  for some  $a$  and  $b$ . Put  $I_a = \{x | M_{ax} \neq 0\}$  and  $I_b = \{y | M_{by} \neq 0\}$ . Since  $M_{ab}R \approx e_b R^{(m)}$  (direct sum of  $m$ -copies of  $e_b R$ ),

$$(5) \quad I_a \subset I_b.$$

Starting with  $R_1 (=R_{t_1})$ , from the initial observation we can construct  $R_{t_h}$  so that  $M_{11}^{(i)}$  does not appear on the diagonal of  $R_{t_h}$  for all  $t_{h'} < i = t_h$  and so that each component  $M_{pq}$  in (3) appears at least once in some  $R_{t_s}$ . Take  $R_i$  and  $R_j$  ( $t_h = i < j = t_{h'}$ ), and assume that  $M_{kk'}^{(i)} = M_{ss'}^{(j)}$  ( $= M_{pq}$  in (3)) are common components between  $R_i$  and  $R_j$ . Then  $M_{kk}^{(i)} = M_{ss}^{(j)} (= M_{pp}$  in (3)) are also common ones between  $R_i$  and  $R_j$  by the definition of  $R_{t_h}$  and  $R_{t_{h'}}$ . We shall consider those components in (3). It is clear from (5) that

$$(6) \quad e_k^{(i)} R_i = e_p R = e_s^{(j)} R_j.$$

Now let

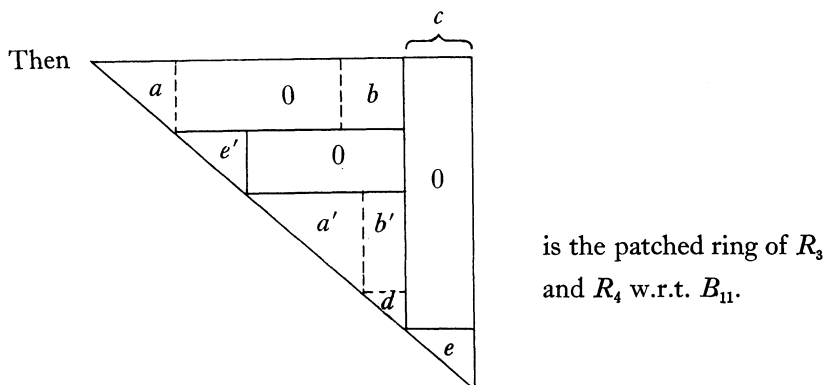
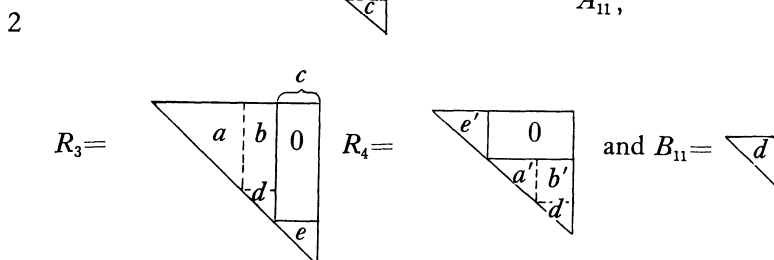
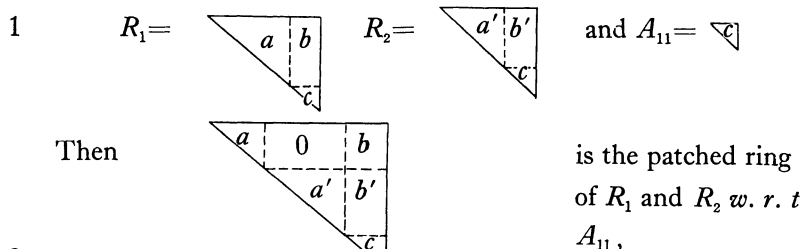
$$e_k^{(i)} R_i = (0 \cdots 0 \ M_{kk}^{(i)} \ 0 \cdots M_{kk_2}^{(i)} \ 0 \cdots M_{kk_l}^{(i)}) = e_s^{(j)} R_j; \quad M_{kk_l}^{(i)} \neq 0.$$

Then  $e_k^{(i)} R_i = e_s^{(j)} R_j$  for all  $l \leq t$  from (5). By  $A_{ij}$  we shall denote the right ideal whose components appear in  $R_i$  and  $R_j$ . Let  $I_i$  and  $I_j$  be as before (5) where  $i = t_h$  and  $j = t_{h'}$  and put  $I_i \cap I_j = \{\pi_1 < \pi_2 < \cdots < \pi_s\}$ . Then we know from the argument above that

- $$(7) \quad \begin{aligned} & \text{i) } A_{ij} = \sum \oplus e_{\pi_k} R, \\ & \text{ii) } A_{ij} e_p R = 0 \quad \text{for } p \notin \{\pi_1, \dots, \pi_s\}, \\ & \quad \text{and so} \\ & \text{iii) the lattice of right } R\text{-modules of } A_{ij} \text{ is equal to the lattice of right} \\ & \quad A_{ij}\text{-modules of } A_{ij}. \end{aligned}$$

Finally we assume for some  $b$  ( $1 \leq b \leq n$ ) that  $(M_{ab} \text{ in } (3)) = M_{uv}^{(i)} \neq 0$  and  $(M_{bc} \text{ in } (3)) = M_{xy}^{(j)} \neq 0$ . Then  $b \in I_i \cap I_j$  and so  $M_{xy}^{(k)} \subset A_{ij}$  from (7)-i) and ii). Hence the product in  $R$  of an entry of  $R_i$  and one of  $R_j$  is zero if the latter (and hence two of them) is not contained in  $A_{ij}$ . Thus we can find a set  $\{R_i\}$  of hereditary rings such that  $e_1^{(i')} R_i e_k^{(i')} \neq 0$  for all  $k$  and a set  $\{A_{i,i'}\}$  of right ideals as (7), and  $R$  is the subring of  $\sum \oplus R_i$  such that the entries in  $A_{i,i'}$  of  $R_i$  are equal to the entries in  $A_{i,i'}$  of  $R_{i'}$ . Conversely, let  $\{R_i\}_{i=1}^m$  be a set of hereditary (basic) rings and  $\{A_{ij}\}$  a set of right ideals in  $R_i$  and  $R_j$  which satisfy (7) where we replace  $R$  with  $R_i$  and  $R_j$ . Then we can easily show that the subring of  $\sum \oplus R_i$  whose components in  $A_{ij}$  are identified for all  $i, j$  is a hereditary ring. We shall call such a ring the *patched ring* of  $\{R_i\}$  with respect to (briefly w.r.t.)  $\{A_{ij}\}$ , (the name comes from the following examples).

We shall give some examples of the patched ring. In the following examples, tri-angles and squares mean tri-angular matrices and matrices over a field  $K$ , respectively and straight lines do vector spaces over  $K$ .



We note that  $R_1$  and  $R_2$  are left and right serial, but  $R$  is not left serial.  $R_3$  and  $R_4$  are of right local type, but  $R$  is not and  $(*, 3)$  holds (see §§4 and 5). We shall show in §5 that every hereditary (basic) algebras over an algebraically closed field with  $(*, 3)$  is obtained as the patched ring of  $R_1$ 's and  $R_3$ 's above.

Thus we obtain

**Proposition 1.** *Let  $R$  be a hereditary (basic) ring. Then  $R$  is the patched ring of hereditary rings  $\{R_i\}$  such that  $e_1^{(i)}R_ie_k^{(i)} \neq 0$  for all  $k$ , where  $e_p^{(i)}$  is the matrix unit  $e_{pp}$  in  $R_i$ .*

REMARK 1. Let  $R$  be a hereditary ring which is one of  $R_i$  given in Proposition 1. Since  $e_1Re_j \neq 0$ ,  $e_jR$  is monomorphic to  $e_1R$ . Hence, if the structure of  $e_1R$  is known as right  $R$ -modules, then we can see those of  $e_iR$  (cf. Theorem 2).

## 2. Hereditary rings with $(*, 1)$

We shall first give some remarks on  $(*, 1)$ . If  $R$  satisfies  $(*, 1)$ , for  $eJ^i \supset C$   $eJ/C = \sum_{i=1}^n \oplus A_i$ , with  $A_i$  hollow. Since  $A_i$  is hollow,  $A_iJ = \sum \oplus B_{ij}$  with  $B_{ij}$  hollow by  $(*, 1)$ . Hence  $eJ^2/C = \sum_i \oplus A_iJ = \sum_i \sum_j \oplus B_{ij}$ . By induction

(8)  $eJ^i/C$  is a direct sum of hollow modules.

In general, we assume that a module  $M$  is a direct sum of submodules  $M_i$ . For submodules  $N_i$  of  $M_i$ , we call  $\sum_i \oplus N_i$  a *standard submodule* of  $M$  (with respect to the decomposition  $\sum_i \oplus M_i$ ).

**Proposition 2.** *Let  $N$  be a finitely generated  $R$ -module. Then the following are equivalent:*

- 1)  $N$  is a direct sum of hollow modules.
- 2) Let  $P$  be a projective cover of  $N$  ( $P \xrightarrow{f} N$ ). Then  $\ker f$  is a standard submodule of  $P$  with respect to a suitable direct decomposition of indecomposable modules.
- 3) Let  $P'$  be projective and  $f': P' \rightarrow N$  an epimorphism. Then  $\ker f'$  is a standard submodule of  $P'$  as 2).

Proof. Every hollow module is of a form  $eR/A$ . Hence 1)  $\leftrightarrow$  2) and 3)  $\rightarrow$  2) are clear.  
2)  $\rightarrow$  3) Let

$$0 \rightarrow K' \rightarrow P' \rightarrow N \rightarrow 0$$

be exact with  $P'$  projective. Since  $P$  is a projective cover of  $N$ , there exist  $g: P \rightarrow P'$  and  $h: P' \rightarrow P$  such that  $hg = 1_P$ . Let  $P = \sum \oplus P_i$  and  $\ker f = K = \sum \oplus K_i$  by 2), where the  $P_i$  are indecomposable and  $K_i \subset P_i$ . It is clear that  $g(K) \oplus h^{-1}(0) = \sum \oplus g(K_i) \oplus h^{-1}(0) \subset \ker f'$  and  $P' = g(P) \oplus h^{-1}(0)$ . Hence  $\ker f' = \sum \oplus g(K_i) \oplus h^{-1}(0) \subset \sum \oplus g(P_i) \oplus h^{-1}(0) = P'$ .

We shall study, in this section, a hereditary ring with  $(*, 1)$  as a right  $R$ -module. Hence we may assume that  $R$  is basic. We shall give a characterization of a hereditary ring with  $(*, 1)$ .

In the following,  $\alpha, \beta, \dots$  mean indices and  $|i, \alpha, \beta, \dots, \eta|$  means a natural number related with the index  $(i, \alpha, \beta, \dots, \eta)$ . If  $R$  is a basic hereditary ring,

$$\begin{aligned} J(e_i R) &= e_i J = N(i, \alpha) \oplus N(i, \beta) \oplus N(i, \gamma) \oplus \dots, \\ &\text{where } N(i, \alpha) \approx e_{|i, \alpha|} R, N(i, \beta) \approx e_{|i, \beta|} R, \dots, \\ (9) \quad J(N(i, \alpha)) &= N(i, \alpha, \alpha_1) \oplus N(i, \alpha, \alpha'_1) \oplus \dots, \\ &\text{where } N(i, \alpha, \alpha_1) \approx e_{|i, \alpha, \alpha_1|} R, N(i, \alpha, \alpha'_1) \approx e_{|i, \alpha, \alpha'_1|} R, \end{aligned}$$

and so on. It is clear that  $i < |i, \alpha| < |i, \alpha, \alpha_1| < |i, \alpha, \alpha_1, \alpha_2|$  and so on, and

$$(10) \quad e_i R e_j = M_{ij} = \sum_{|i, \dots, \gamma| = j} \oplus N(i, \dots, \gamma) e_j.$$

**Theorem 1.** *Let  $R$  be a hereditary (basic) ring and  $N(i, \dots, \gamma)$  be as in (9). Then the following conditions are equivalent:*

1)  $(*, 1)$  holds for any hollow right  $R$ -module.

2) The following conditions are satisfied.

i) Let  $i < k = |i, \alpha| \leq j = |i, \beta|$  ( $\alpha \neq \beta$ ), i.e.,  $e_i J$  contains two direct summands isomorphic to  $e_k R$  and  $e_j R$ , respectively. If  $N(i, \alpha, \dots, \gamma)$  and  $N(i, \beta, \dots, \gamma')$  with  $|i, \alpha, \dots, \gamma| = |i, \beta, \dots, \gamma'| = h$  appear in (9), i.e., for some  $h$ , simultaneously  $e_k R e_h \neq 0$  and  $e_j R e_h \neq 0$ , then  $e_j R$  is uniserial, and hence  $[M_{jp}; \Delta_q] \leq 1$  for  $q > j$ . Further if we denote exactly  $N(i, \alpha, \dots, \gamma)$  as  $N(i, \alpha, \alpha_2, \dots, \alpha_t = \gamma)$ , there exists a (unique)  $s$  such that  $|i, \alpha, \alpha_2, \dots, \alpha_s| = j$ .

ii) If  $M_{jq} = x \Delta_q$  ( $q > j$ ), there exists an isomorphism  $\sigma$  of  $\Delta_q$  onto  $\Delta_j$  such that  $x\delta = \sigma(\delta)x$  for all  $\delta$  in  $\Delta_q$ .

3) For any submodule  $A$  in  $e_i J^k$  for any  $k$ , there exists a direct decomposition  $e_i J^k = \sum \oplus P_\alpha$  such that  $A = \sum \oplus A_\alpha$ ;  $A_\alpha \subset P_\alpha$  and  $P_\alpha$  is indecomposable, i.e.,  $A$  is a standard submodule of  $e_i J^k$  with respect to the decomposition  $\sum \oplus P_\alpha$ .

4) For any submodule  $A$  in  $e_i J$ , there exists a direct decomposition  $e_i J = \sum_\alpha \oplus N(i, \alpha)'$  such that  $A = \sum \oplus A_\alpha$ ;  $A_\alpha \subset N(i, \alpha)'$  and  $N(i, \alpha)' \approx N(i, \alpha)$ , i.e.,  $A$  is a standard submodule of  $e_i J$  with respect to the decomposition  $\sum \oplus N(i, \alpha)'$ .

**Proof.** 1)  $\rightarrow$  2) Assume  $(*, 1)$  and  $i = 1$  from Lemma 1. Put  $i_1 = |1, \alpha|$  and  $i_2 = |1, \beta|$ . Assume  $N(1, \alpha, \dots, \gamma)$  and  $N(1, \beta, \dots, \gamma')$  appear in (9) for



$k = |1, \alpha, \dots, \gamma| = |1, \beta, \dots, \gamma'|$ . Then  $M_{1k} \neq 0$ ,  $M_{i_1k} \neq 0$  and  $M_{i_2k} \neq 0$ . First we shall show  $e_{i_2}R$  is monomorphic to  $e_{i_1}R$  and  $[M_{i_2k} : \Delta_k] = 1$ . If we can show that  $e_{i_1}R$  contains a non-zero element  $y$  in  $M_{i_1i_2}$ ,  $e_{i_2}R \rightarrow yR \subset e_{i_1}R$  ( $e_{i_2} \rightarrow y$ ) is a monomorphism from Lemma 2. Hence we may assume  $\Delta_{k+1} = \dots = \Delta_n = 0$  from Lemma 1. We shall identify  $N(1, \alpha)$  with  $e_{i_1}R$  (resp.  $N(1, \beta)$  with  $e_{i_2}R$ ). From the above assumption let  $M_{i_2k} = \sum_{j=1}^n \oplus A_j$ ; the  $A_j$  are simple  $R$ -modules and  $[A_j : \Delta_k] = 1$ . Since  $e_{i_1}R \supset M_{i_1k} \supset N(1, \alpha, \dots, \gamma) \neq 0$ , there exists a natural homomorphism

$$f: M_{i_2k} / \sum_{j \geq 2} \oplus A_j \approx A_1 \rightarrow M_{i_1k}.$$

From the assumption  $(*, 1)$ ,  $f$  is extendible to an element  $h'$  in  $\text{Hom}_R(e_{i_2}R / \sum_{j \geq 2} \oplus A_j, e_{i_1}R)$  by [6], Theorem 4 (note that  $\text{Hom}_R(e_{i_1}R, e_{i_2}R / \sum_{j \geq 2} \oplus A_j) = 0$  by Lemma 2 in case of  $i_1 = i_2$  and  $j \geq 2$  and that we identify  $e_{i_1}R$  and  $e_{i_2}R$  with  $N(1, \alpha)$  and  $N(1, \beta)$ , respectively). Consider a homomorphism

$$h: e_{i_2}R \rightarrow e_{i_2}R / \sum_{j \geq 2} \oplus A_j \xrightarrow{h'} e_{i_1}R.$$

Since  $h \neq 0$  is a monomorphism by Lemma 2,  $M_{i_2k} = A_1$ . Therefore

$$(11) \quad e_{i_2}R \text{ is monomorphic to } e_{i_1}R \text{ and } [M_{i_2k} : \Delta_k] = 1, \text{ provided } M_{i_2k} \neq 0.$$

We shall show similarly to (11) that  $e_{i_2}R$  is uniserial. Put  $e_{i_2} = e$  and  $eJ^t \approx \sum_{j=1}^v \oplus e_{b(j)}R$  for some  $t$ , since  $R$  is hereditary. Let  $B$  be a simple submodule of  $e_{b(1)}R$ . Then we obtain a monomorphism of  $(B \oplus \sum_{j \geq 2} \oplus e_{b(j)}R) / \sum_{j \geq 2} \oplus e_{b(j)}R \approx B$  to  $e_{i_1}R$  (see (11)). From the argument before (11),  $\sum_{j \geq 2} \oplus e_{b(j)}R = 0$ , and so  $eJ^t \approx e_{b(1)}R$  and  $eJ^t / eJ^{t+1}$  is simple. Therefore  $eR$  is uniserial. Next assume  $M_{i_2k} = x\Delta_k$  and we show ii). Hence we may assume  $\Delta_{k+1} = \dots = \Delta_n = 0$  from Lemma 1. For any  $\delta$  in  $\Delta_k$ , define an endomorphism  $\varphi$  of  $M_{i_2k}$  by setting  $\varphi(x\delta') = x\delta\delta'$ . We may regard  $\varphi$  as an isomorphism of  $M_{i_2k}$  onto  $N(1, \alpha, \dots, \gamma)$  ( $|1, \alpha, \dots, \gamma| = k$ ). Further, for an extension  $g$  (in  $\text{Hom}_R(eR, e_{i_1}R) \subset \text{Hom}_R(eR, e_1R)$ ) of  $\varphi$  by [6], Theorem 4,  $g(eRe) \subset e_1Re_{i_2} = M_{i_1i_2} = \sum \oplus N(1, \alpha, \dots, \varepsilon)e_{i_2}$ . Noting the structure (9) and  $g(M_{i_2k}) = \varphi(M_{i_2k}) = N(1, \alpha, \dots, \gamma)$ , we obtain

$$(12) \quad \text{some } N(1, \alpha, \dots, \varepsilon') \text{ contains } N(1, \alpha, \dots, \gamma) \text{ and } N(1, \alpha, \dots, \varepsilon') \approx eR.$$

Therefore  $\varphi$  is extendible to an element in  $\text{Hom}_R(eR, eR) = \Delta_{i_2}$  (take the projection to  $N(1, \alpha, \dots, \varepsilon')$ ), which implies that there exists  $\delta^*$  in  $\Delta_{i_2}$  such that  $\delta^*x = x\delta$ . It is clear that the mapping:  $\delta \rightarrow \sigma(\delta) = \delta^*$  is a monomorphism. We shall show that  $\sigma$  is an isomorphism. Let  $\delta^{**}$  be an element in  $\Delta_{i_2}$ . Since

$M_{i_2k} = x\Delta_k$  is a left  $\Delta_{i_2}$ -module,  $\delta^{**}x = x\delta''$  for some  $\delta''$  in  $\Delta_k$ . Hence  $\delta^{**} = \sigma(\delta'')$ . The last part of i) is clear from (12) and its argument.

2)→1) Assume that i) and ii) are satisfied. We shall show that the condition ii) of [6], Theorem 4 is fulfilled, and so we may study a case  $e = e_1$  by Lemma 1. Let

$$e_1J = N(1, \alpha) \oplus N(1, \beta) \oplus \cdots$$

and  $C_1 \supset D_1$  (resp.  $C_2 \supset D_2$ ) submodules in  $N(1, \alpha) \approx e_{i_1}R$  (resp.  $N(1, \beta) \approx e_{i_2}R$ ,  $i_1 \leq i_2$ ) such that  $C_1/D_1$  is simple and  $f^{-1}: C_1/D_1 \approx C_2/D_2$ . We shall show that  $f$  is extendible to an element in  $\text{Hom}_R(N(1, \beta)/D_2, N(1, \alpha)/D_1)$ . First we note for any  $R$ -module  $E$  in  $e_kR$ ,

$$(13) \quad E = E(\sum_{j \geq h} e_j) = \sum_{j \geq h} \oplus Ee_j \quad \text{and} \quad Ee_j \subset M_{kj}.$$

Since  $C_1/D_1 \approx C_2/D_2$ ,  $N(1, \alpha, \dots, \gamma)$  and  $N(1, \beta, \dots, \gamma')$  appear in  $e_1R$  for some  $|1, \alpha, \dots, \gamma| = |1, \beta, \dots, \gamma'| = h$  from (13). Hence  $N(1, \beta) (\approx e_{i_2}R)$  is uniserial by i) and  $C_2 = M_{i_2h} \oplus M_{i_2h_1} \oplus \cdots \oplus M_{i_2h_t} \supset D_2 = M_{i_2h_1} \oplus \cdots \oplus M_{i_2h_t}$  from (13), where  $h < h_1 < \cdots < h_t$ . We may identify  $N(1, \alpha)$  with  $e_{i_1}R$ . Let  $M_{i_2h} = x\Delta_h$  and take a representative  $f(x)$  of  $f(x + D_1)$  in  $M_{i_1h}$  from (13);  $f(x) = \sum x_p$ ;  $0 \neq x_p \in N(1, \alpha, \dots, \gamma_p)$  from (10) ( $|1, \alpha, \dots, \gamma_p| = h$ ). Since  $x_p \neq 0$ ,  $N(1, \alpha, \dots, \gamma_p) \subset N(1, \alpha, \dots, \delta_p)$  ( $|1, \alpha, \dots, \delta_p| = i_2$ ) from i), and  $N(1, \alpha, \dots, \delta_p) \neq N(1, \alpha, \dots, \delta_{p'})$  if  $p \neq p'$ , since  $e_{i_2}R$  is uniserial. Put  $N = \sum \oplus N(1, \alpha, \dots, \delta_p) \subset N(1, \alpha)$ ,  $C'_1 = C_1 \cap N$  and  $D'_1 = D_1 \cap N$ ,  $f(x)$  being in  $C'_1$  and  $f(x) \notin D_1$ ,  $C_1 = C'_1 + D_1$ , and so  $C_1/D_1 \approx C'_1/(C'_1 \cap D_1) = C'_1/D'_1$ . On the other hand,  $x_p = x_p e_h$  for all  $p$ . Hence the mapping:  $x_1 \rightarrow x_p$  is extendible to an element  $g_p$  in  $\text{Hom}_R(N(1, \alpha, \dots, \delta_1), N(1, \alpha, \dots, \delta_p)) (\approx \Delta_{i_2})$  from i) and ii). Then  $N = N(1, \alpha, \dots, \delta_1) (\sum_{q \geq 2} g_q) \oplus \sum_{q \geq 2} \oplus N(1, \alpha, \dots, \delta_q)$  and  $f(x) \in N(1, \alpha, \dots, \delta_1) (\sum_{q \geq 2} g_q) (= N^*)$ , where  $T(u)$  means the graph of a module  $T$  with respect to a homomorphism  $u$ . Further  $C_1/D_1 \approx (C'_1 \cap N^*)/(D'_1 \cap N^*)$  as above. Now  $C'_1 \subset N^* \subset N^* (\approx e_{i_2}R) \subset N \subset N(1, \alpha)$  and  $D'_1 \cap N^* = J(C'_1 \cap N^*) \approx D_2$ . Hence we obtain the natural homomorphism

$$\begin{aligned} N(1, \beta)/D_2 &\xrightarrow{u} N^*/(D'_1 \cap N^*) \rightarrow N(1, \alpha)/(D'_1 \cap N^*) \rightarrow \\ (x + D_2) &\rightarrow f(x) + (D'_1 \cap N^*) \rightarrow f(x) + (D'_1 \cap N^*) \rightarrow \\ &N(1, \alpha)/D_1, \\ &(f(x) + D_1) \end{aligned}$$

where  $u$  is an extension of  $f$  given by i) and ii),

which is an extension of  $f$ .

4)→1) This is clear from the definition of  $(*, 1)$ .

3)→4) This is trivial.

1)→3) This is clear from (8) and Proposition 2.

REMARK 2. We shall study the situation of 2)—ii) of Theorem 1. Let  $e_k R$  and  $e_j R$  be as in i). Assume

$$e_{j_1} R = (0 \cdots \Delta_j \ 0 \ M_{j_1 j_2} \ 0 \cdots M_{j_1 j_3} \ 0 \cdots M_{j_1 j_t} \ 0), \quad (j=j_1 \text{ and } M_{pq} \neq 0).$$

Then

$$\begin{aligned} e_{j_2} R &= (0 \cdots \cdots 0 \ \Delta_{j_2} \ 0 \cdots \cdots M_{j_2 j_3} \cdots \cdots M_{j_2 j_t} \ 0) \\ &\approx (0 \cdots \cdots 0 \ M_{j_1 j_2} \ 0 \cdots \cdots M_{j_1 j_3} \cdots \cdots M_{j_1 j_t} \ 0) \\ &\quad \cdots \cdots \cdots \end{aligned} \quad (14)$$

$$e_{j_t} R = (0 \cdots \cdots 0 \cdots \cdots 0 \ \cdots \cdots \Delta_{j_t} \ 0),$$

since  $e_{j_1} R$  is uniserial. Further  $M_{j_1 j_s} = m'_{j_1 j_s} \Delta_{j_s}$ . In order to simplify the notations, we express  $j_i$  by  $i$ . Then  $M_{ij} \neq 0$  for  $i \leq j$ . Every element in  $\text{End}_R(M_{1s}R/M_{1s+1}R)$  is extendible to an element in  $\text{End}_R(e_1 R/M_{1s+1}R)$  by the proof after (12). Further, since  $(0 \cdots 0 \ M_{1s} \cdots M_{1t}) \approx (0 \cdots M_{1s} \cdots M_{1t})$  for all  $l$  and  $s$ , every element in  $\text{End}_R(M_{1s}R/M_{1s+1}R) = \Delta_s$  is extendible to an element in  $\text{End}_R(e_1 R/M_{1s+1}R) = \Delta_l$ . Hence there exists an isomorphism  $\varphi'_{1s}: \Delta_s \rightarrow \Delta_l$  (since  $M_{1s} = m'_{1s} \Delta_s$ ,  $\varphi'_{1s}$  is an epimorphism) such that

$$(15) \quad m'_{1s} x = \varphi'_{1s}(x) m'_{1s}, \quad \text{where } x \in \Delta_s \text{ and } M_{1s} = m'_{1s} \Delta_s$$

from the proof of Theorem 1. We fix generators  $m_{i,i+1}$  of  $M_{i,i+1}$  for all  $i$  and  $\varphi_{i,i+1}: \Delta_{i+1} \rightarrow \Delta_i$  related with the fixed  $m_{i,i+1}$  in (15). Then  $m_{i,i+1} m_{i+1,i+2} \cdots m_{i+k,i+k+1} = m_{i,i+k+1}$  is a generator of  $M_{i,i+k+1}$  and  $\varphi_{i,i+k+1} = \varphi_{i,i+1} \cdots \varphi_{i+k,i+k+1}: \Delta_{i+k+1} \rightarrow \Delta_i$  is an isomorphism and satisfies (15) (cf [1], Lemma 13). Hence we may assume

$$(16) \quad (e_{j_1} + \cdots + e_{j_t}) R (e_{j_1} + \cdots + e_{j_t}) \approx \begin{pmatrix} \Delta_{j_1} & \Delta_{j_1} & \cdots & \Delta_{j_1} \\ & \Delta_{j_1} & \cdots & \Delta_{j_1} \\ & & \ddots & \vdots \\ 0 & & & \Delta_{j_1} \end{pmatrix}$$

Next assume that  $e_j R$  is uniserial only as in (14). Then by the similar argument as above, we obtain

$$(16') \quad (e_{j_1} + \cdots + e_{j_t}) R (e_{j_1} + \cdots + e_{j_t}) \approx \begin{pmatrix} \Delta_{j_1} & \Delta_{j_2} & \cdots & \Delta_{j_t} \\ & \Delta_{j_2} & \cdots & \Delta_{j_t} \\ & & \ddots & \vdots \\ 0 & & & \Delta_{j_t} \end{pmatrix}$$

and the  $\varphi_{ij}: \Delta_i \rightarrow \Delta_j$  ( $i < j$ ) are monomorphisms (cf. [1], Lemma 13). By  $T_i(\Delta_{j_1})$  and  $T_i(\Delta_{j_1}, \Delta_{j_2}, \cdots, \Delta_{j_t})$  we denote the above rings (16) and (16'), respectively.

### 3. Hereditary rings with $(*, 2)$

We shall give a characterization of hereditary rings with  $(*, 2)$ .

**Theorem 2.** *Let  $R$  be a hereditary (basic) ring. Then  $(*, 2)$  holds for any two hollow right  $R$ -modules if and only if, for each  $e_i (= e_{ii})$ ,*

*$e_i J = \sum_{k=1}^{n_i} \oplus A_k$ , where the  $A_k$  are uniserial modules, which satisfy the following conditions:*

i) *If  $A_k \approx A_{k'}$  for  $k \neq k'$ , any sub-factor modules of  $A_k$  are not isomorphic to ones of  $A_{k'}$ .*

ii) *If  $A_k \approx A_{k'}$ ,  $(\approx e_j R)$  ( $k \neq k'$ ) and  $M_{j,p} = x \Delta_p$  ( $j < p$ ), there exists an isomorphism  $\delta: \Delta_p \rightarrow \Delta_j$  as in 2)-ii) of Theorem 1.*

Proof. Assume that  $(*, 2)$  holds. Then the  $A_i$  are uniserial by [8], Proposition 7. As in the proof of Theorem 1, we consider a case  $i=1$  from Lemma 1. Let

$$(17) \quad \begin{aligned} e_1 J &= N_{11} \oplus N_{12} \oplus \cdots \oplus N_{1t_1} \\ &\oplus N_{21} \oplus N_{22} \oplus \cdots \oplus N_{2t_2} \\ &\cdots \cdots \cdots \\ &\oplus N_{q1} \oplus N_{q2} \oplus \cdots \oplus N_{qt_q}, \end{aligned}$$

where  $N_{j1} \approx N_{js} \approx e_{ij} R$  for all  $j, s$  and  $N_{j1} \approx N_{j'1}$  if  $j \neq j'$  and  $i_1 < i_2 < \cdots < i_q$ .

Assume that  $N_{21}$  contains a non-zero sub-factor module isomorphic to one of  $N_{11}$ . Then  $N_{21}$  is monomorphic (via  $g$ ) to  $N_{11}$  by (13) and Theorem 1. It is clear that  $N_{21}(g) \oplus N_{22} \oplus \cdots \oplus N_{2t_2} (\approx N_{21} \oplus \cdots \oplus N_{2t_2})$  is a direct summand of  $e_1 J$ . Hence from the assumptions (17) above and [8], Proposition 12, there exists  $j$  in  $e_1 J e_1 (=0)$  such that  $(e+j)(N_{21} \oplus \cdots \oplus N_{2t_2}) = N_{21}(g) \oplus N_{22} \oplus \cdots \oplus N_{2t_2}$ . Hence  $g$  must be zero. ii) is clear from Theorem 1, since  $(*, 1)$  holds. Conversely, we assume i) and ii). Then  $(*, 1)$  holds by Theorem 1. We shall quote here the similar argument given in [8], Proposition 8. Let  $e$  be a primitive idempotent and let  $eR/E_1 \oplus eR/E_2$  be a direct sum of two hollow modules. We may consider only a maximal submodule  $M' (\supset E_1 \oplus E_2)$  in  $F = eR \oplus eR$  (see [8], Proposition 8). There exists a unit  $x$  in  $eRe$  such that  $F = eR(f) \oplus eR \supset M' = eR(f) \oplus eJ$ , where  $f(r) = xr$  for  $r \in eR$ . We shall define  $g': eR(f) \rightarrow eR$  by setting  $g'(r+xr) = -xr$ . Then  $E_1 \oplus E_2 = E_1(f)(g') \oplus E_2$ . Let  $\varphi: F \rightarrow eR(f) \oplus eR/E_2$  be the natural epimorphism. Then  $M = M'/(E_1 \oplus E_2) = (eR(f) \oplus eJ/E_2)/(E_1(f)(g'))$ . If we identify  $eR(f)$  with  $eR$ ,  $M = (eR \oplus eJ/E_2)/\varphi(E_1(g))$ , where  $g = -f$ . First we consider the structure of  $\varphi(E_1(g))$ . If  $eR/E_1$  is simple, either  $M'/(E_1 \oplus E_2) \supset eR/E_1$  or  $M'/(E_1 \oplus E_2) \oplus eR/E_1 = F/(E_1 \oplus E_2)$ . Hence  $M'/(E_1 \oplus E_2)$  is a direct sum of hollow modules, since  $(*, 1)$  holds. Therefore we may assume  $E_1 \subsetneq eJ$ . Let  $eJ = \sum_{i=1}^m \oplus A_i$ ; the  $A_i$  are hollow. From i) of the theorem, we can express the index set  $I = \{1, \dots, m\}$  as the disjoint union  $I = I_1 \cup I_2 \cup \cdots \cup I_p$  such that

$$A_i \approx A_j \text{ if } i, j \in I_t, \text{ and } A_i \not\approx A_j \text{ if } i \in I_t, j \in I_{t'} \text{ and } t \neq t'.$$

We put  $F_i = \sum_{I_i} \oplus A_k$  then  $eJ = \sum_{i=1}^p \oplus F_i$ , (cf. (17)). Since these  $F_i$  have the particular property above,  $E_1 = \sum_{i=1}^p \oplus C_i$ ;  $C_i \subset F_i$ ,  $E_2 = \sum_{i=1}^p \oplus G_i$ ;  $G_i \subset F_i$  and  $g(C_i) \subset F_i/G_i$ , where  $g$  is induced from  $g$ . Hence

$$(18) \quad M \approx (eR \oplus eJ/E_2) / \sum \oplus C_i(g).$$

Next we consider  $C_1(g)$ . Assume that  $A_1$  has the structure given in ii) of the theorem. Now  $A_1$  has the structure of  $e_{I_1}R$  in (16), and so every element in the endomorphism ring of sub-factor module  $T/L$  of  $A_1$  is extendible to an element in  $\text{End}(A_1/L)$ . Further  $T_1/L_1 \approx T'_1/L'_1$  for sub-factor modules  $T_1/L_1$ ,  $T'_1/L'_1$  if and only if  $T_1 = T'_1$  (and  $L_1 = L'_1$ ). From this remark and the following fact: since  $C_1(g) \subset eJ \oplus F_1/G_1$ , for any submodule  $L$  in  $eJ \oplus F_1$ ,  $(eRJ \oplus F_1)/L \approx eR/X'_1 \oplus F_1/G'_1$ , where  $G'_1$  is a (standard) submodule of  $F_1$  and  $X'_1$  is a submodule of  $eJ$  (cf. [8], Proposition 8), we can find an isomorphism:

$$(19) \quad (eR \oplus eJ/E_2)/C_1(g) \approx eR/X'_1 \oplus F_1/G'_1 \oplus \sum_{k \neq 1} \oplus F_k/G_k$$

$$\text{and } \sum \oplus C_i(g)/C_1(g) \subset eR/X'_1 \oplus \sum_{k \neq 1} \oplus F_k/G_k,$$

(see the proof of Theorem 5 below and [8], Proposition 8).

Finally assume  $F_1 = A_1$ , i.e.,  $I_1$  is a singleton. Then  $C_1/X_1 \approx g(C_1)$ , where  $X_1 = g^{-1}(0) \cap C_1$ . Since  $g$  is an isomorphism of  $A_1$  to  $F_1$  and  $A_1$  is uniserial,  $g(X_1) = G_1$ . Hence we have the same situation as above (take  $g^{-1}$ ). Accordingly we finally obtain from (19)

$$M \approx eR/\sum X'_i \oplus \sum \oplus F'_i/G'_i: F'_i \approx F_i,$$

which is a direct sum of hollow modules by Theorem 1.

Let  $R$  be a hereditary ring with  $(*, 2)$ . We shall assume  $e_1R = (\Delta_1 M_{12} M_{13} \cdots M_{1n})$  and  $M_{1j} \neq 0$  for all  $j$  from Lemma 3.  $e_1J = (0 M_{12} \cdots M_{1n}) = \sum_{i=1}^q \oplus F_i$  as in the proof of Theorem 2. Following  $\{F_i\}_{i=1}^q$  we divide the index set  $\{2, 3, \dots, n\}$  into  $q$ -parts  $I = I_1 \cup I_2 \cup \cdots \cup I_q$  such that  $F_i e_j \neq 0 \leftrightarrow j \in I_i$ . Then  $I_i \cap I_j = \emptyset$  if  $i \neq j$  by i) of Theorem 2. Put  $|F_i/F_i J| = p_i$ . If  $p_i = 1$ ,  $F_i$  is uniserial, and so  $F_i = m_{1i_1} \Delta_{i_1} \oplus m_{1i_2} \Delta_{i_2} \oplus \cdots \oplus m_{1i_t} \Delta_{i_t}$ , where the  $i_s$  runs through over  $I_i$  and  $\Delta_1 \subset \Delta_{i_1} \subset \cdots \subset \Delta_{i_t}$  are division rings (see (16')). If  $p_i \geq 2$ ,  $F_i = (m_{1i_1} \Delta_{i_1})^{(p_i)} \oplus (m_{1i_2} \Delta_{i_2})^{(p_i)} \oplus \cdots \oplus (m_{1i_t} \Delta_{i_t})^{(p_i)}$ , where  $(m_{1i_s} \Delta_{i_s})^{(p_i)}$  means a direct sum of  $p_i$  copies of  $m_{1i_s} \Delta_{i_s}$ . Since  $e_1 R e_i \neq 0$  and  $R$  is hereditary,  $e_i R$  is monomorphic to  $e_1 R$  by Lemma 2. On the other hand, the image of  $e_i R$  is a submodule of  $F_j$  for some  $j$  by i) of Theorem 2. Hence  $e_i R \approx m_{1j_k} \Delta_{j_k} \oplus m_{1j_{k+1}} \Delta_{j_{k+1}} \oplus \cdots \oplus m_{1j_t} \Delta_{j_t}$  or  $\approx m_{1j_k} \Delta_{j_k} \oplus m_{1j_{k+1}} \Delta_{j_k} \oplus \cdots \oplus m_{1j_t} \Delta_{j_k}$  ( $1 \leq i \leq j_k$ ) from Lemma 2. Therefore  $R$  is determined by  $\{F_i\}$ , provided  $e_1 R e_i \neq 0$  for all  $i$ . Since  $R$  is hereditary and  $I_i \cap I_j = \emptyset$  ( $i \neq j$ ),  $M_{im} = 0$

if  $l \in I_i$  and  $m \in I_j$  ( $i \neq j$ ).

Next let  $R_0$  be a hereditary ring as in (1) and assume  $R_0 \approx \sum \oplus S_i$  as rings. Then after renumbering  $\{e_i = e_{ii}\}$ , we may assume

$$R_0 = \begin{pmatrix} S_1 & & & 0 \\ & S_2 & & \\ & & \ddots & \\ 0 & & & S_t \end{pmatrix}.$$

By  $E_i$  we denote the identity element in  $S_i$ . On the other hand, for any hereditary ring  $R$  as in (1)

$$R = e_1 R \oplus R'_0 \quad \text{as } R\text{-modules,}$$

where  $R'_0 = (1 - e_1)R(1 - e_1)$  and  $e_1 R$  is a two-sided ideal of  $R$  by Lemma 1. If  $R'_0 \approx \sum \oplus S_i$  as above,  $e_1 J = \sum \oplus e_1 R E_j$ . Put  $A_j = e_1 R E_j$ , and  $A_j$  is a right ideal in  $e_1 R$ . We use those notations in the following theorem. Thus we obtain

**Theorem 3.** *Let  $R$  be a (basic) hereditary ring such that  $e_1 R e_j \neq 0$  for all  $j$ . Then the following conditions are equivalent:*

- 1)  $(*, 2)$  holds for any two hollow modules.
- 2)  $R/e_1 R$  is a direct sum of right serial rings  $S_j$ ; 1)  $S_j = T_r(\Delta_{j_1}, \Delta_{j_2}, \dots, \Delta_{j_r})$  or 2)  $T_r(\Delta_j)$  and  $A_j = (\Delta_{j_1}, \Delta_{j_2}, \dots, \Delta_{j_r})$  in Case 1),  $A_j = (\Delta_j^{(p_1)}, \dots, \Delta_j^{(p_r)})$  is a left  $\Delta (= e_1 R e_1)$ - and right  $\Delta_j$ -modules in Case 2), where  $\Delta \subset \Delta_{j_1} \subset \dots \subset \Delta_{j_r}$  are division rings.

- 3)  $R$  is isomorphic to

$$(20) \quad \begin{pmatrix} \Delta & A_1 & \dots & A_1 \\ & S_1 & & 0 \\ & & S_2 & \\ 0 & & & \ddots \\ & & & & S_r \end{pmatrix}.$$

where  $S_k = T_{r_k}(\Delta_{k1}, \Delta_{k2}, \dots, \Delta_{kr_k})$  or  $T_{r_k}(\Delta_k)$ .

**Theorem 3'.** *Let  $R$  be a (basic) hereditary ring. Then  $(*, 2)$  holds if and only if  $R$  is a patched ring of hereditary rings given in (20).*

**Lemma 4.** *Let  $R$  be a hereditary and connected (basic) ring. 1) If  $R$  is a left serial ring, then  $e_1 R e_j \neq 0$  for all  $j > 1$ . 2) Conversely, if  $e_1 R e_j \neq 0$  for all  $j$ , and  $[M_{ij} : \Delta_j] \leq 1$ ,  $[M_{ij} : \Delta_i] \leq 1$  for all  $i$  and  $j$ , then  $R$  is left serial.*

**Proof.** 1) Let  $e_1 R = e_1 \Delta \oplus M_{12} \oplus \dots \oplus M_{1n}$ . We divide the index set  $\{2, 3, \dots, n\}$  into two sets  $I, J$  such that  $M_{1i} \neq 0$  provided  $i \in I$  and  $M_{1j} = 0$  provided  $j \in J$ . Take  $M_{1i}$  and consider  $M_{ji}$ . If  $M_{ji} \neq 0$  for  $j \in J$ ,  $R M_{ji} \not\supset M_{1i}$ ,

since  $M_{1j}=0$ . Hence  $M_{ji}=0$  for all  $i \in J$  by assumption. Hence  $R=(e_{11}R \oplus \sum_{k \in I} \oplus e_k R) \oplus (\sum_{k' \in J} \oplus e_{k'} R)$  as rings from (2). Therefore  $J=\phi$  by assumption.

2) Assume  $0 \neq e_1 R e_j = \Delta_1 m_{1j} = m_{1j} \Delta_j$  for all  $j$ . Since  $R$  is hereditary,  $e_1 J = \sum \oplus A_i$ ; the  $A_i$  are hollow and no sub-factor modules of  $A_i$  are isomorphic to any ones of  $A_j$  ( $i \neq j$ ) from (13) and the assumption  $[M_{1j}; \Delta_j] \leq 1$ . Similarly  $J(A_i) = \sum \oplus A_{ij}$  and so on (cf. [7]). Hence any indecomposable (projective) module in  $eJ$  is equal to some  $A_{i_1 i_2 \dots i_t}$ . Let  $M_{it} = m_{it} \Delta_i = \Delta_i m_{it}$  and  $M_{jt} = m_{jt} \Delta_j = \Delta_j m_{jt}$  ( $i < j$ ) for a fixed  $t$ . Then  $m_{it} e_i R$  and  $e_j e_j R$  have a common sub-factor module in  $e_1 R$ . Hence  $e_j R$  is monomorphic to  $e_i R$  from the initial remark, and so  $e_i R e_j \neq 0$ , which implies  $R m_{it} \subset R m_{jt}$ . Therefore  $R$  is left serial.

**Theorem 4.** *Let  $R$  be a connected (basic) hereditary ring. Then  $R$  is a left serial ring with  $(*, 2)$  as right  $R$ -modules if and only if  $R$  is isomorphic to*

$$\begin{pmatrix} \Delta & \Delta & \dots & \Delta & \Delta & \dots & \Delta & \dots & \Delta \\ & T_{r_1}(\Delta_1) & & & & & & & \\ & & T_{r_2}(\Delta_2) & & 0 & & & & \\ & 0 & & \ddots & & & & & \\ & & & & T_{t_r}(\Delta_r) & & & & \end{pmatrix}$$

where  $\Delta_i \subset \Delta$  are division rings.

*Proof.* Assume that  $R$  is a left serial ring with  $(*, 2)$  as right  $R$ -modules. Then  $R$  is isomorphic to the ring in (20) by Theorem 3 and Lemma 4. Since  $R$  is left serial, the  $A_i$  in (20) are isomorphic to  $\Delta$  as left  $\Delta$ -modules and  $\Delta_{k_1} = \Delta_{k_2} = \dots = \Delta_{k_r}$  in (20). If we take a generator of  $A_i$ , we know  $\Delta_i \subset \Delta$ . The converse is clear from the structure of the diagram.

#### 4. Hereditary rings with $(*, 3)$

We have already obtained a characterization of artinian rings with  $(*, 3)$  and  $|eJ/eJ^2| \leq 2$  in [5]. As is seen in [5], Theorem 1, the structure of such artinian rings is a little complicated. However if  $R$  is a hereditary ring with  $|e_{ii}J/e_{ii}J^2| \leq 2$ , we obtain the following theorem.

We quote here a particular property of a vector space (cf. [2] and [7]).

(#,  $m$ ) *Let  $\Delta_1$  and  $\Delta_2$  be division rings and  $V$  a left  $\Delta_1$ , right  $\Delta_2$ -space. For any two right  $\Delta_2$ -subspaces  $V_1, V_2$  with  $|V_1| = |V_2| = m$ , there exists  $x$  in  $\Delta_1$  such that  $xV_1 = V_2$ .*

**Theorem 5.** *Let  $R$  be a hereditary (basic) ring with  $|eJ/eJ^2| \leq 2$  for each  $e=e_i$ . Then  $(*, 3)$  holds for any three hollow modules if and only if  $eJ=A_1 \oplus A_2$  such that*

1) *The  $A_i$  are as in Theorem 2, and further if  $A_1 \approx A_2$ , 2)  $[\Delta : \Delta(A_1)] = 2$  and*

3)  $eJ/eJ^2$  satisfies  $(\#, 1)$  as a left  $\Delta$ -module and right  $\Delta'$ -module, where  $A_1 \approx e_j R$ ,  $\Delta = eRe$ ,  $\Delta' = e_j Re_j$ , and  $\Delta(A_1) = \{x \mid \Delta x, xA_1 \subset A_1\}$ .

Proof. Assume  $eJ = A_1 \oplus B_1$  as in the theorem. If  $A_1 \approx B_1$ ,  $\Delta(C) = \Delta$  for every submodule  $C$  in  $eJ$  by i) of Theorem 2. Assume  $A_1 \approx B_1 (\approx e_j R)$ . Then  $A_1$  and  $B_1$  have the structure of  $eR$  as in (16). For any  $C$ , there exists submodules  $C_1 \supset D_1$  in  $A_1$  and  $C_2 \supset D_2$  in  $B_1$  such that  $f: C_1/D_1 \approx C_2/D_2$  and  $C = \{x + D_1 + f(x) + D_2 \mid x \in C_1\}$ . From (16),  $f$  is extendible to an element  $g: A_1/D_1 \rightarrow B_1/D_2$ . Since  $(\#, 1)$  is satisfied for  $eJ/eJ^2 = u_1 \Delta_j \oplus v_1 \Delta_j$ , there exist  $\alpha$  in  $\Delta$  and  $z$  in  $\Delta_j$  such that  $u_1 + g(u_1) = \alpha u_1 z + w$ ,  $w \in eJ^2$ . However, since  $u_1, v_1$  are in  $eJ - eJ^2$  and  $u_1 e_j = u_1$ ,  $v_1 = v_1 e_j$ ,  $w = 0$ . Hence  $C = C_1(f) + D_1 \oplus D_2 = \alpha(C_1 \oplus D_2)$ , (note that  $D_1 \approx D_2$  and  $\alpha(D_1 \oplus D_2) = D_1 \oplus D_2$  and that  $A_1$  is uniserial). It is clear that  $\Delta(A_1) \subset \Delta(C_1 \oplus D_1) = \Delta(\alpha^{-1}C) = \alpha^{-1}\Delta(C)\alpha$  and so  $[\Delta: \Delta(C)] \leq 2$ . Thus the conditions in [5], Theorem 1 are fulfilled, and hence  $(*, 3)$  holds by [5], Theorem 2. Conversely, assume  $(*, 3)$  holds. Then 1) and 2) are clear from Theorem 2 and [5], Theorem 1. We shall show 3). We may assume from Lemma 1 and [2], Lemma 1 that  $\Delta_{j+1} = \dots = \Delta_n = 0$ . Then 2) of [2], Theorem 1 is nothing but  $(\#, 1)$ .

As in Lemma 3, if  $e_1 Re_j \neq 0$  for all  $j$ ,  $R$  in Theorem 5 is isomorphic to

$$\begin{pmatrix} \Delta & \Delta_1 & \Delta_2 & \dots & \Delta_r & \Delta_{r+1} & \dots & \Delta_{r+s} \\ & T_r(\Delta_1 & \Delta_2 \dots \Delta_r) & & & & & 0 \\ 0 & & 0 & & T_s(\Delta_{r+1} \dots \Delta_{r+s}) & & & \end{pmatrix},$$

where  $\Delta \subset \Delta_1 \subset \dots \subset \Delta_r$  and  $\Delta \subset \Delta_{r+1} \subset \dots \subset \Delta_{r+s}$ , or

$$\begin{pmatrix} \Delta & \Delta_1^{(2)} & \Delta_1^{(2)} & \dots & \Delta_1^{(2)} \\ 0 & T_r(\Delta_1) & & & \end{pmatrix}.$$

where  $\Delta_1^{(2)}$  is a left  $\Delta$  and right  $\Delta_1$  space satisfying  $(\#, 1)$  and  $[\Delta: \Delta(\Delta_1, \dots, \Delta_1)] = 2$ .

In the former ring,  $eJ = A_1 \oplus A_2$  and  $A_1 \approx A_2$ . Hence  $(*, n)$  holds for all  $n$  by [5], Theorem 3. We do not know this fact for the latter ring.

## 5. Hereditary algebras

In this section we consider particular algebras over a field  $K$  such that

$$(21) \quad e_i Re_i / e_i J e_i = \bar{e}_i K \quad ([2], \text{Condition II}'').$$

(e.g. an algebraically closed field.)

Under the assumption (21), every  $\Delta_i$  in (1) is equal to  $K$ . In this case, if  $eR$  is uniserial,  $[eRe': K] \leq 1$  (cf. (14)). Hence

$$(22) \quad \text{End}_R(A/A') \approx K \approx \text{End}_R(eR/A')$$



for any submodules  $A \supset A'$  in  $eR$ . Accordingly, from the proof of Theorem 2 (cf. [8], Theorem 2) we obtain

**Theorem 6.** *Let  $R$  be a hereditary  $K$ -algebra satisfying (21). Then the following conditions are equivalent:*

- 1)  $(*, 2)$  holds for any two hollow modules.
- 2) Every factor module of  $eR \oplus eJ^{(m)}$  is a direct sum of hollow modules for each primitive idempotent  $e$  and any integer  $m$ . (It is sufficient in case  $m=1$ .)

If every finitely generated  $R$ -module is a direct sum of hollow modules,  $R$  is called a ring of right local type [10]. It is clear from the definition that  $(*, n)$  holds for a ring of right local type. By  $T_n(\Delta)$  we denoted the ring of upper tri-angular matrices over a division ring  $\Delta$  (see (14)).

**Theorem 7.** *Let  $R$  be a hereditary (basic)  $K$ -algebra satisfying (21). Then the following are equivalent:*

- 1)  $(*, 3)$  holds for any three hollow modules, and  $e_1 R e_j \neq 0$  for all  $j$ , (and hence  $(*, n)$  holds for all  $n$ ).
- 2)  $R$  is isomorphic to  $\begin{pmatrix} T_{m_1}(K) & K & K \cdots K \\ 0 & & T_{m_2}(K) \end{pmatrix}$ .
- 3)  $R$  is of right local type and connected.

Proof. 1) $\rightarrow$ 2). Since  $|eJ/eJ^2| \leq 2$  from [4], Theorem 3, we obtain it from the remark after (21) and the last part in §4.

2) $\rightarrow$ 3). It is clear that the ring in 2) is connected and of right local type from Lemma 4 and [10] (see [9]).

3) $\rightarrow$ 1).  $(*, 3)$  holds for any three hollow modules. Since  $R$  is left serial by [10], and connected,  $M_{1j} \neq 0$  by Lemma 4.

**Theorem 8.** *Let  $R$  be a hereditary algebra as above. Then the following conditions are equivalent:*

- 1)  $(*, 3)$  holds for any three hollow right  $R$ -modules.
- 2)  $eJ = A_1 \oplus A_2$ , where the  $A_i$  are uniserial, and any non-trivial sub-factor modules of  $A_1$  are not isomorphic to ones of  $A_2$ . In this case  $(*, n)$  holds for all  $n$ .
- 3) Let  $\{N_i\}_{i=1}^k$  be any set of submodules in  $eR$ . Then every factor module of  $\sum \oplus N_i^{(n_i)}$  is a direct sum of hollow modules.
- 4) Every factor modules of  $eR^{(n)} \oplus eJ^{(m)}$  is a direct sum of hollow modules for any integers  $n$  and  $m$ . (It is sufficient in case  $n=2$  and  $m=1$ ).

Proof. 1) $\leftrightarrow$ 2) This is clear from Theorem 5 and [2], Theorem 2'.

1) $\rightarrow$ 3). Let  $e=e_i$  and let  $R_i$  and  $X_i$  be as before Lemma 3. Then  $R_i$  is of a right local type by Theorem 7. Since  $R_i X_i = 0$  and  $R/X_i = R_i$ , every submodule in  $eR$  is an  $R_i$ -module. Hence every factor module of  $\sum \oplus N_i^{(n_i)}$  is also

an  $R_i$ -module. Therefore it is a direct sum of  $R_i$ -hollow (and hence  $R$ -hollow) modules.

3)→4). This is clear. (We can show directly 1)→4) in the similar manner to [8], Theorem 2, cf. the proof of Theorem 2.)

3)→1). Let  $D = \sum_{i=1}^3 \oplus eR/E_i$  and  $M$  a maximal submodule in  $D$ . Then  $D' = eR^{(3)}$  contains the submodule  $M'$  such that  $M' \supset \sum_{i=1}^3 \oplus E_i$  and  $M'/\sum \oplus E_i = M$ . Since  $D'$  has the lifting property of simple modules modulo the radical,  $D'$  has a decomposition  $\sum_{i=1}^3 \oplus F_i$  such that  $F_i \approx eR$  and  $M' = F_1 \oplus F_2 \oplus J(F_3)$ . Hence  $M$  is a factor module of  $eR^{(2)} \oplus eJ$ . Therefore  $M$  is a direct sum of hollow modules from 3).

**Theorem 9.** *Let  $R$  be as in Theorem 8. Then  $(*, 3)$  holds for any three hollow modules if and only if  $R$  is the patched ring of serial rings  $T_r(K)$  and rings of right local type  $\begin{pmatrix} T_{r'}(K) & K & K \cdots K \\ 0 & T_{r''}(K) & \end{pmatrix}$ .*

Proof. This is clear from Proposition 1 and Theorem 7.

## 6. US- $n$ algebras

We have studied special types of hereditary algebras in §5. We shall show, in this section, that they are related with US- $n$  algebras defined in [4].

As another generalization of right serial ring (cf.  $(*, n)$ ), we considered

**$(**, n)$**  *Every maximal submodule in a direct sum  $D$  of  $n$  hollow modules contains a non-zero direct summand of  $D$  [4].*

It is clear that if  $D/J(D)$  is not homogeneous,  $D$  satisfies  $(**, n)$ . Hence we may restrict ourselves to hollow modules of a form  $eR/E$ , where  $e$  is a primitive idempotent and  $E$  is a submodule of  $eR$ . If  $(**, n)$  holds for any direct sum of  $n$  hollow modules, we call  $R$  a right US- $n$  ring [4]. We showed in [4] that  $R$  is right US-1 (resp. US-2) if and only if  $R$  is semisimple (resp. right uniserial). On the other hand,

**Proposition 3** ([6], Proposition 8). *Let  $R$  be a right artinian ring. Then  $R$  is a right US- $m$  ring for some  $m$  if and only if the number of isomorphism classes of hollow modules  $eR/A$  is finite and  $[\Delta: \Delta(A)] < \infty$ .*

If  $R$  is an algebra of finite dimension over a field  $K$ ,  $[\Delta: \Delta(A)] < \infty$ . Hence from Proposition 3, we know that an algebra of finite representation type is a US- $n$  algebra for some  $n$ . Further we note that if  $K$  is a finite field,  $R$  is a finite ring. Then, since there are only finite non-isomorphic hollow modules,

$R$  is a US- $n$  algebra. Hence we may assume that  $K$  is an infinite field.

From now on we assume that  $R$  is a  $K$ -algebra satisfying (21). Let  $e$  be a primitive idempotent in  $R$ . Let  $\{A_1, A_2, \dots, A_t\}$  be a set of submodules in  $eR$  such that  $A_i \sim A_j$  for any pair  $i$  and  $j$ , where  $A_i \sim A_j$  means that there exists a unit element  $x$  in  $eRe$  such that  $xA_i \subset A_j$  or  $xA_i \supset A_j$ . Let  $m(e)$  be the maximal number  $t$  among the above sets.

**Proposition 4.** *Let  $R$  be an algebra over  $K$  satisfying (21). Then  $R$  is a right US- $m$  if and only if  $m = \max\{m(e)\} + 1 < \infty$ .*

*Proof.* This is clear from [3], Corollaries 1 and 2 of Theorem 2.

**Theorem 10.** *Let  $R$  be as above. We assume further  $J^2 = 0$ . Then  $R$  is a right US- $m$  algebra if and only if  $eJ$  is square-free for each primitive idempotent  $e$ .*

*Proof.* Assume that  $R$  is right US- $m$ . Since  $J^2 = 0$ ,  $eJ = \sum \oplus A_i$  the  $A_i$  are simple, i.e.  $A_i \approx \bar{e}_i K$ , ( $R$  is basic). If  $A_i \approx A_j$ ,  $(a_i + a_j k)K \approx A_i$  and  $(a_i + a_j k)K \sim (a_i + a_j k')K$  for any  $k \neq k'$  in  $K$ , where  $A_i = a_i K$  ([6], Lemma 15). Then  $R$  is not right US- $m$  for any  $m$ . Hence  $eJ$  is square-free. Conversely if  $eJ$  is square-free, every submodule in  $eJ$  is a sum of some  $A_i$ . Hence the number of hollow modules is finite, and so  $R$  is right US- $m$  for some  $m$  from Proposition 4.

**Corollary.** *Let  $R$  be as above. If  $R$  is right US- $m$ ,  $eJ^i/eJ^{i+1}$  is square-free for all  $i$ .*

*Proof.* It is clear that if  $R$  is right US- $m$ , so is  $R/J^t$  for any  $t$  (cf. [4], Lemma 1). If  $J^{n+1} = 0$ ,  $eJ^n$  is semisimple and hence we can employ the same argument given above. Therefore we obtain the corollary by induction on  $n$  and the initial remark.

It is clear that the converse is not true provided  $J^2 \neq 0$ .

Finally we study the ring of generalized tri-angular matrices over division rings  $\Delta_j$  as (1). If  $R$  is a (basic) hereditary ring (more generally if  $\text{gl dim } R/J^2 < \infty$ ),  $R$  has the structure of (1) [1].

**Theorem 11.** *Let  $R$  be a (basic) algebra satisfying (21). Assume  $\text{gl dim } R/J^2 < \infty$ . Then  $R$  is a US- $m$  algebra for some  $m$  if and only if  $[e_i R e_j; K] \leq 1$  for all  $i, j$ .*

*Proof.* Assume that  $R$  is a US- $m$  algebra for some  $m$ . We may assume that  $\Delta_{k+1} = \dots = \Delta_k = 0$  in (1) by [4], Lemma 1. Let  $M_{ik} = x_1 K \oplus x_2 K \oplus \dots$ . Then  $[M_{ij}; K] \leq 1$  as the proof of Theorem 10. Conversely, if  $[M_{ik}; K] \leq 1$ ,  $e_i R$  contains only finitely many right ideals. Hence  $R$  is a US- $m$  algebra for

some  $m$ .

## 7. Examples

We shall give several examples of hereditary algebras with  $(*, n)$ .

Let  $K \subset L$  be fields.

1.  $\begin{pmatrix} K & L \\ 0 & K \end{pmatrix}$  is a hereditary ring with  $(*, 2)$  and hence  $(*, 1)$ . (If  $L \neq K$ ,  $(*, 3)$  does not hold from Theorem 8.)

$$2. \quad \begin{pmatrix} K & \begin{pmatrix} K \\ K \end{pmatrix} & \begin{pmatrix} K \\ 0 \end{pmatrix} & \begin{pmatrix} K \\ K \end{pmatrix} \\ 0 & K & 0 & 0 \\ 0 & 0 & K & K \\ 0 & 0 & 0 & K \end{pmatrix}$$

is a hereditary ring with  $(*, 1)$  but not  $(*, 2)$ . In this ring,  $eJ$  is a direct sum of uniserial modules (cf. [8], Theorem 3).

3.  $\begin{pmatrix} K & L & L \\ 0 & L & 0 \\ 0 & 0 & L \end{pmatrix}$  is a hereditary ring satisfying  $(*, n)$  for all  $n$  by Theorem 8

$$4. \quad \begin{pmatrix} K & K & \begin{pmatrix} K \\ 0 \\ K \end{pmatrix} & \begin{pmatrix} K \\ K \\ K \end{pmatrix} & \begin{pmatrix} K \\ K \\ K \end{pmatrix} \\ 0 & K & \begin{pmatrix} K \\ 0 \\ K \end{pmatrix} & \begin{pmatrix} K \\ K \\ 0 \end{pmatrix} & \begin{pmatrix} K \\ K \\ 0 \end{pmatrix} \\ 0 & 0 & K & K & K \\ 0 & 0 & 0 & K & K \\ 0 & 0 & 0 & 0 & K \end{pmatrix}$$

satisfies all conditions in Theorem 1 except the last one of i).

5. Let  $R$  be an algebra satisfying (21), and  $\text{gl dim } R/J^2 < \infty$ . Then if  $R$  is right US- $n$ ,  $R$  is left US- $m$  from Theorem 10 for some  $m$ . However  $n \neq m$  in general. For example  $R = \begin{pmatrix} K & 0 & K \\ & K & K \\ & & K \end{pmatrix}$ . Then  $R$  is right US-2 and left US-3.

If  $R$  does not satisfy (21), then the above fact is not true. Let  $L \supset K$  be fields with  $[L:K] = 5$  (not small) and  $R = \begin{pmatrix} K & L \\ 0 & L \end{pmatrix}$ . Then  $R$  is right US-2 but not left US- $n$  for any  $n$ .

6. Let  $K$  be a field. We can give the complete list of connected algebras given in Theorem 11, provided that  $R$  is hereditary and  $|R/J|$  is enough small. For instance, let  $|R/J| = 6$ . We shall give some samples of them.



where  $e=e_1$ .

We do not have US-9 and US-10 algebras under the assumption  $|R/J|=6$ .

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