

Title	Non-linearizable real algebraic actions of $O(2,R)$ on $R^4$
Author(s)	Miki, Hiroyuki
Citation	Osaka Journal of Mathematics. 1996, 33(2), p. 387–398
Version Type	VoR
URL	https://doi.org/10.18910/11376
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Miki, H. Osaka J. Math. 33 (1996), 387–398

# NON-LINEARIZABLE REAL ALGEBRAIC ACTIONS OF O(2, R) ON $R^4$

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(Received March 18, 1994)

#### 0. Introduction

In algebraic transformation groups, one of the important problems is the following.

**Linearization problem** ([6]). Let G be a reductive complex algebraic group. Is any algebraic G action on affine space  $C^n$  linearizable, i.e. isomorphic to some G module as G variety?

Some positive answers to this problem have been given (see [1] for a survey article) but in 1989, G.W. Schwarz [17] constructed counterexamples for many noncommutative groups with O(2,C) being the most explicit case (in the case that the acting group is commutative, any counterexample have never found, and see [7], [9], [11], [12] for further recent results).

In this paper, we consider the analogous problem in the real algebraic category, which was posed in [15]. Then it would be appropriate to take a compact Lie group as acting group since there is a one-to-one correspondence between the family of compact Lie groups and that of reductive complex algebraic groups through the complexification (see [14] p.247).

Schwarz used the properties of complex algebraic geometry to find the counterexamples, so it is not clear whether his argument works in the real algebraic category because R is not algebraically closed. We use the methods of Masuda-Petrie [11] to obtain the following result.

**Theorem.** There is a continuous family of algebraically inequivalent, nonlinearizable real algebraic  $O(2, \mathbf{R})$  actions on  $\mathbf{R}^4$ .

Let G be a compact real algebraic group and  $G_c$  be the reductive complex algebraic group obtained from G via the complexification. Let  $ACT(G, \mathbb{R}^n)$  (resp.  $ACT(G_c, \mathbb{C}^n)$ ) be the set of equivalence classes of real algebraic G actions on  $\mathbb{R}^n$ (resp. complex algebraic  $G_c$  actions on  $\mathbb{C}^n$ ), where the equivalence relation is defined by G variety (resp.  $G_c$  variety) isomorphism. Then there is a complexification map

$$c_a: ACT(G, \mathbb{R}^n) \to ACT(G_C, \mathbb{C}^n).$$

It is natural to ask that  $c_a$  is injective, but it turns out that the examples in the theorem above give a negative answer to this question.

**Proposition.** The map  $c_a$  is not injective.

This paper is organized as follows. We consider the relation between the linearization problem and algebraic G vector bundles in section 1 and construct non-trivial real (affine) algebraic  $O(2,\mathbf{R})$  vector bundles in section 2. In section 3 we consider the complexification of real algebraic G vector bundles and that of algebraic actions. In section 4 we prove the theorem above using vector bundles constructed in section 2, and apply the complexifications to the examples in the theorem. We give an explicit description of a non-linearizable real algebraic  $O(2,\mathbf{R})$  action in the appendix. Most of the results in this paper are from the author's master thesis [13].

ACKNOWLEDGEMENT. I would like to thank Professor Mikiya Masuda heartily for not only his many helpful suggestions for writing this paper but his lead to my research. I would also like to thank Professor Sung Sook Kim for her many useful suggestions for writing this paper.

#### 1. Algebraic G vector bundles and non-linearizable actions

Let K be the real numbers R or the complex numbers C. We say that  $X (\subset K^n)$  is an affine variety if X is the set of the zeros of a map from  $K^n$  to some  $K^m$  whose coordinate functions are polynomials, and we say that  $f: X \to Y$ , where  $X (\subset K^n)$  and  $Y (\subset K^m)$  are affine varieties, is an algebraic map if f extends to a map from  $K^n$  to  $K^m$  whose coordinate functions are polynomials. A group G is an algebraic group if G is an affine variety and the map  $\varphi: G \times G \to G$  defined by  $(g_1, g_2) \mapsto g_1 g_2^{-1}$  is algebraic, X is an (affine) G variety if X is an affine variety and the action map  $\phi: G \times X \to X$  is algebraic, and  $f: X \to Y$  is an algebraic G map (here X and Y are G varieties) if f is algebraic and G equivariant. An algebraic G map is an algebraic G isomorphism if it is bijective and its inverse is also an algebraic G map. Two G varieties are isomorphic if there is an algebraic G isomorphism between them.

Let G denote an algebraic group over K and let B, F, S denote G modules over K whose representation maps  $(:G \times B \rightarrow B \text{ etc.})$  are algebraic.

DEFINITION 1.1. Let Vec(B,F;S) be the set of algebraic G vector bundles E over B such that  $E \oplus S$  is isomorphic to  $F \oplus S$  as algebraic G vector bundle, where  $F=B \times F$  and  $S=B \times S$  are product bundles over B. We define VEC(B,F;S) to be the set of isomorphism classes of elements in Vec(B,F;S) as algebraic G vector bundles.

We recall some results about Vec(B,F;S) from [11]. The following results are established in [11] when K = C. But the same argument works when K = R.

DEFINITION 1.2. Let  $sur(F \oplus S, S)$  be the set of algebraic G vector bundle surjections  $L: F \oplus S \to S$  which allow an algebraic G splitting map from S to  $F \oplus S$ , and let  $aut(F \oplus S)$  be the group of algebraic G vector bundle automorphisms  $\tau$  of  $F \oplus S$ .

**REMARK.** In the complex category, any algebraic G vector bundle surjection from  $F \oplus S$  to S has a splitting (see [2]). But in the real category, this is not the case. For example,  $f: \mathbb{R} \times \mathbb{R} \to \mathbb{R} \times \mathbb{R}$  defined by  $(a,b) \mapsto (a, (a^2 + 1)b)$  has no splitting, where  $\mathbb{R} \times \mathbb{R}$  is viewed as a trivial bundle with the projection on the first factor  $\mathbb{R}$ .

The group  $aut(F \oplus S)$  acts on  $sur(F \oplus S,S)$  by  $L \to L \circ \tau$  and  $L \in sur(F \oplus S,S)$  defines an element ker L in Vec(B,F;S).

**Theorem 1.3** ([11]). The map sending  $L \in sur(F \oplus S, S)$  to ker  $L \in Vec(B, F; S)$  induces a bijection

$$sur(F \oplus S, S) / aut(F \oplus S) \cong VEC(B, F; S).$$

Because of the solution of the Serre conjecture (see [16], [19]), any vector bundle  $E \in Vec(B,F;S)$  is trivial if we forget the actions. So E gives an algebraic G action on some  $K^n$ . We consider the classification of (the total spaces of) elements in Vec(B,F;S) as G varieties.

DEFINITION 1.4. Let VAR(B,F;S) be the set of isomorphism classes of elements in Vec(B,F;S) as G varieties. Let  $Aut(B)^G$  be the group of G variety automorphisms of B.

The group  $Aut(B)^G$  acts on VEC(B,F;S) by taking pull back bundles and the trivial element in VEC(B,F;S) is fixed under the action. One easily sees that the natural map from VEC(B,F;S) to VAR(B,F;S) factors through the map

$$VEC(B,F;S) / Aut(B)^G \rightarrow VAR(B,F;S).$$

This map is often (but not always) bijective ([11]). We recall a sufficient condition for the above map to be bijective.

DEFINITION 1.5. Let  $E_1, E_2 \in Vec(B,F;S)$  and let  $f: E_1 \to E_2$  be a G variety isomorphism. We say that f maps B as graph if the composition  $pfs: B \to B$  is

in  $Aut(B)^G$ , where  $p: E_2 \to B$  is the projection and  $s: B \to E_1$  is the zero-section.

**Theorem 1.6** ([11]). Suppose that any G variety isomorphism between elements in Vec(B,F;S) maps B as graph. Then the natural map:  $VEC(B,F;S) \rightarrow VAR(B,F;S)$ induces a bijection

$$VEC(B,F;S) / Aut(B)^G \cong VAR(B,F;S).$$

In particular, if  $E \in Vec(B,F;S)$  is non-trivial, then the G action on E is non-linearizable.

#### 2. Non-trivial $O(2, \mathbf{R})$ vector bundles

In this section we show that VEC(B,F;S) can be non-trivial. Let  $O(2,\mathbf{R})$  be the real orthogonal group. We identify it with  $S^1 = \mathbb{Z}_2$ . Define a two dimensional real  $O(2,\mathbf{R})$  module  $W_n = \{(a,\bar{a}); a \in C\}$   $(n \in N)$  as follows (here  $\bar{a}$  denotes the complex conjugate of a). For  $g \in S^1$  and  $1 \neq J \in \mathbb{Z}_2$ , the representation map is defined by

$$g\mapsto \begin{pmatrix} g^n & 0\\ 0 & \bar{g}^n \end{pmatrix}, \qquad J\mapsto \begin{pmatrix} 0 & 1\\ 1 & 0 \end{pmatrix}.$$

**Theorem 2.1.** There exists a bijection:  $VEC(W_1, W_m; \mathbf{R}) \cong \mathbf{R}^{m-1}$ .

In order to prove this theorem, we use Theorem 1.3. We first calculate  $sur(W_m \oplus R, R)$  and  $aut(W_m \oplus R)$ .

**Lemma 2.2.** (1) Any surjection  $L \in sur(W_m \oplus R, R)$  is of the following form on the fiber over  $(a, \bar{a}) \in W_1$ ;

$$L(a,\bar{a}) = (f\bar{a}^m, fa^m, h),$$

where f, h are relatively prime polynomials of  $t = |a|^2$  with real coefficients and  $h(0) \neq 0$ .

(2) Any automorphism  $\tau \in aut(W_m \oplus R)$  is of the following form on the fiber over  $(a, \bar{a}) \in W_1$ ;

$$\tau(a,\bar{a}) = \begin{pmatrix} u & a^{2m}l & a^ms \\ \bar{a}^{2m}l & u & \bar{a}^ms \\ \bar{a}^mr & a^mr & w \end{pmatrix},$$

where u, w, l, r, s are polynomials of  $t = |a|^2$  and u, w are congruent to non-zero constants modulo  $t^m$ .

Proof. (1) L is linear relative to each coordinate of  $W_m$  and **R**, so one can write

$$L(a,\bar{a}) = (L_1(a,\bar{a}), L_2(a,\bar{a}), L_3(a,\bar{a})),$$

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where  $L_i$  is a polynomial for i=1,2,3. The S<sup>1</sup> equivariance of L means that

$$L_1(ga,\overline{ga}) = \overline{g}^m L_1(a,\overline{a}), \qquad L_2(ga,\overline{ga}) = g^m L_2(a,\overline{a}), \qquad L_3(ga,\overline{ga}) = L_3(a,\overline{a}).$$

An elementary computation shows that these imply

$$L_1(a,\bar{a}) = f_1(t)\bar{a}^m, \quad L_2(a,\bar{a}) = f_2(t)a^m, \quad L_3(a,\bar{a}) = h(t)$$

for some polynomials  $f_1$ ,  $f_2$  and h with real coefficients. The  $Z_2$  equivariance shows that  $f_1$  coincides with  $f_2$ , which we denote by f. The property that f and h are relatively prime follows from the existence of a splitting of L and that h(0)is non-zero follows from the surjectivity of L.

(2) Because of  $O(2,\mathbf{R})$  equivariance, one can check that  $\tau$  is of the form in the statement. Since  $\tau$  is an automorphism,

$$\det(\tau(a,\bar{a})) = (u - t^m l)(uw - 2t^m rs + t^m lw)$$

must be a unit polynomial, which is a non-zero constant. So each factor at the right hand side is also a non-zero constant. It follows that u and uw are congruent to non-zero constants modulo  $t^m$ , hence so is w.

NOTATION. Let  $L_{f,h}$  denote L in Lemma 2.2 (1) and E(f,h) denote the kernel of  $L_{f,h}$ . We abbreviate E(1,h) as E(h). Then the vector bundle E(h) (with the obvious projection on  $W_1$ ) is written as follows;

$$E(h) = \{ (a, \bar{a}, x, \bar{x}, z) \in W_1 \times W_m \times R; \ \bar{a}^m x + a^m \bar{x} + h(t)z = 0 \}.$$

Note that if h is a non-zero constant, E(h) is isomorphic to  $W_m$  through the correspondence  $(a,\bar{a},x,\bar{x},z) \mapsto (a,\bar{a},x,\bar{x})$ .

Lemma 2.3. There are three vector bundle isomorphisms.

(1)  $E(f,h) \cong E(f,h/h(0)).$ 

(2)  $E(f,h) \cong E(h)$ .

(3)  $E(h_1) \cong E(h_2)$  if and only if there is a non-zero constant c such that  $h_1 \equiv ch_2$  modulo  $t^m$ .

Proof. (1)  $(x,\bar{x},z) \mapsto (x,\bar{x},h(0)z)$  is the required isomorphism.

(2) By Theorem 1.3 and Lemma 2.2 (2), it suffices to show the existence of polynomials u, w, l, r, s such that

$$(\bar{a}^m \ a^m \ h) = (f \bar{a}^m \ f a^m \ h) \begin{pmatrix} u & a^{2m}l & a^ms \\ \bar{a}^{2m}l & u & \bar{a}^ms \\ \bar{a}^mr & a^mr & w \end{pmatrix}$$

and that the determinant of the above  $3 \times 3$  matrix is a non-zero constant. Choose polynomials  $\xi$  and  $\eta$  of t such that  $f\xi + h\eta = 1$  (this is possible since f and h are

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relatively prime by Lemma 2.2 (1)) and polynomials r' and r'' of t such that  $hr' = (1-f) - t^m r''$  (this is possible since  $h(0) \neq 0$  by Lemma 2.2 (1)). Then one can check that

$$u = 1 + t^{m}l, w = 1 - 2t^{m}fl, s = hl, l = \xi r''/2, r = r' + t^{m}\eta r''$$

satisfies the required conditions.

(3) If 
$$E(h_1) \cong E(h_2)$$
 there is  $\tau \in aut(W_m \oplus \mathbb{R})$  such that  $L_{1,h_1} = L_{1,h_2} \circ \tau$ , i.e.

$$(\bar{a}^{m} a^{m} h_{1}) = (\bar{a}^{m} a^{m} h_{2}) \begin{pmatrix} u & a^{2m}l & a^{m}s \\ \bar{a}^{2m}l & u & \bar{a}^{m}s \\ \bar{a}^{m}r & a^{m}r & w \end{pmatrix}$$

where the determinant of the above  $3 \times 3$  matrix is a non-zero constant. Hence  $h_1 = h_2 w + 2t^m s$ . Since w is a non-zero constant modulo  $t^m$  by Lemma 2.2 (2), the necessity is clear. Conversely if  $h_1 = ch_2 + t^m h_0$  for some polynomial  $h_0$  of t, then  $\tau \in aut(W_m \oplus R)$  defined by

$$\tau(a,\bar{a}) = \begin{pmatrix} 1 & 0 & a^m h_0 / 2 \\ 0 & 1 & \bar{a}^m h_0 / 2 \\ 0 & 0 & c \end{pmatrix}$$

is the isomorphism between  $E(h_1)$  and  $E(h_2)$ .

Proof of Theorem 2.1. By Theorem 1.3 and Lemma 2.2 (1), any element in  $VEC(W_1, W_m; \mathbf{R})$  is of the form [E(f, h)], where [] denotes the isomorphism class. Then Lemma 2.3 implies that the correspondence

$$\mathbf{R}^{m-1} \ni (a_1, \cdots, a_{m-1}) \mapsto [(E(h)]],$$

where  $h(t) = 1 + a_1 t + \dots + a_{m-1} t^{m-1}$ , gives the bijection.

### 3. Complexification

In this section, we assume that G is a real algebraic group and B, F, S are real G modules. We first define the complexification of real affine verieties and algebraic maps and prove some properties.

DEFINITION 3.1. Let  $X(\subset \mathbb{R}^n)$  be a real affine variety and let I(X) be the ideal of polynomial maps from  $\mathbb{R}^n$  to  $\mathbb{R}$  which vanish on X. We define the complex affine variety  $X_C$  to be the common zeros of all the elements in I(X) regarded as maps from  $\mathbb{C}^n$  to  $\mathbb{C}$ , and we call  $X_C$  the complexification of X.

Here are some elementary properties about the complexification.

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**Proposition 3.2.** (1) Let  $I(X_C)$  be the ideal of polynomial maps from  $C^n$  to C which vanish on  $X_C$ . Then  $I(X_C) = I(X) \otimes C$ .

- (2)  $(X \times Y)_C = X_C \times Y_C$ .
- (3) Any algebraic map  $f: X \to Y$  extends to a unique algebraic map  $f_C: X_C \to Y_C$ .

Proof. (1) It is clear that  $I(X_C) \supset I(X) \otimes C$  by definition. We prove the opposite inclusion. For  $f \in I(X_C)$ , we express  $f = f_1 + if_2$ , where  $f_1$  and  $f_2$  are polynomials with real coefficients. Then  $f_1|_X + if_2|_X = f|_X = 0$ , so  $f_1$  and  $f_2$  are in I(X). This means that  $I(X_C) \subset I(X) \otimes C$ .

(2) The ideal  $I(X \times Y)$  is generated by the elements  $f_t h_s$ , where  $f_t \in I(X)$  and  $h_s \in I(Y)$ . This together with (1) shows that the ideal  $I((X \times Y)_c)$  is generated by the elements  $\tilde{f}_t \tilde{h}_s$ , where  $\tilde{f}_t \in I(X_c)$  and  $\tilde{h}_s \in I(Y_c)$ . This implies (2).

(3) Suppose  $X \subset \mathbb{R}^n$  and  $Y \subset \mathbb{R}^m$  and let  $F: \mathbb{R}^n \to \mathbb{R}^m$  be an extension of f. We regard F as a map from  $\mathbb{C}^n$  to  $\mathbb{C}^m$ . One easily checks that F maps  $X_C$  to  $Y_C$ . Therefore  $F|_{X_C}: X_C \to Y_C$  is an extension of f. Now we prove the uniqueness. Suppose that two maps  $f_1, f_2: X_C \to Y_C$  are extensions of f. Let  $F_j: \mathbb{C}^n \to \mathbb{C}^m$  be an extension of  $f_j$  (j=1,2). Then  $F_1 - F_2$  is algebraic and vanishes on X. Therefore  $F_1 - F_2$  vanishes on  $X_C$  by (1). Hence  $f_1 - f_2 = (F_1 - F_2)|_{X_C} = 0$ , i.e.  $f_1 = f_2$ .

We call  $f_c$  the complexification of f. By Proposition 3.2, we obtain the following.

**Corollary 3.3.** (1) The complexification of a real algebraic group is a complex algebraic group.

(2) If G is a real algebraic group and X is a real G variety,  $X_c$  is a complex  $G_c$  variety.

(3) If X and Y are real G varieties and  $f: X \to Y$  is G equivariant, then  $f_C: X_C \to Y_C$  is  $G_C$  equivariant.

(4) If  $f: X \to Y$  and  $h: Y \to Z$  are algebraic G maps between real G varieties, then  $(f \circ h)_C = f_C \circ h_C$ .

Now we define a complexification of elements in VEC(B,F;S) and an involution on  $VEC(B_C,F_C;S_C)$ . Note that the usual complexification of vector bundles means to complexify only fibers, but our definition means to complexify also base space. Let L be an element in  $sur(F \oplus S, S)$ . The map  $L_C: (F \oplus S)_C \to S_C$  is  $G_C$ equivariant and has a splitting because if P is an algebraic G splitting of L then  $P_C$  is an algebraic  $G_C$  splitting of  $L_C$ . Hence  $L_C$  is in  $sur((F \oplus S)_C, S_C)$ . Let L' be another element of  $sur(F \oplus S, S)$ . If  $L' = L \circ \tau$  for some  $\tau \in aut(F \oplus S)$ , then  $L'_C = L_C \circ \tau_C$ and  $\tau_C \in aut(F \oplus S)_C)$ . Therefore the following definition makes sense, i.e. it does not depend on the choice of L.

DEFINITION 3.4. Let  $[E] \in VEC(B,F;S)$  and let  $L \in sur(F \oplus S,S)$  represent E, i.e.

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E = ker L. Then we define the *complexification* of [E] by  $[ker L_c] \in VEC(B_C, F_c; S_c)$ .

Let  $X(\subset \mathbb{R}^n)$  be a real G variety. For  $x \in X_C$  ( $\subset \mathbb{C}^n$ ), the complex conjugation  $\bar{x}$  is also in  $X_C$  since  $f(\bar{x})=0$  for any  $f \in I(X)$ . Hence  $X_C$  has an involution defined by  $x \mapsto \bar{x}$ . Similarly,  $G_C$  has an involution. Since the action map:  $G \times X \to X$  is real algebraic, we have  $\overline{g \cdot x} = \bar{g} \cdot \bar{x}$  for any  $g \in G_C$  and  $x \in X_C$ .

DEFINITION 3.5. For 
$$L \in sur((F \oplus S)_C, S_C)$$
, we define  $\overline{L} : (F \oplus S)_C \to S_C$  by  
 $\overline{L}(b, f, s) = \overline{L(\overline{b}, \overline{f}, \overline{s})}.$ 

One can check that  $\overline{L}$  is in  $sur((F \oplus S_C, S_C))$ . So the correspondence  $L \mapsto \overline{L}$  induces an involution on  $VEC(B_C, F_C; S_C)$ . Since  $\overline{L_C} = L_C$  for  $L \in sur(F \oplus S, S)$ , the complexification in Definition 3.4 induces a map

$$c_b: VEC(B,F;S) \rightarrow VEC(B_C,F_C;S_C)^{\mathbb{Z}_2}.$$

We ask

#### **Complexification problem (vector bundle case).** Is the above map $c_b$ bijective?

We turn to the complexification of actions. Let  $ACT(G, \mathbb{R}^n)$  (resp.  $ACT(G_C, \mathbb{C}^n)$ ) be the set of the equivalence classes of real algebraic G actions on  $\mathbb{R}^n$  (resp. complex algebraic  $G_C$  actions on  $\mathbb{C}^n$ ), where the equivalence relation is defined by G variety (resp.  $G_C$  variety) isomorphism. By the complexification of real G varieties, we obtain a map

$$c_a: ACT(G, \mathbb{R}^n) \to ACT(G_C, \mathbb{C}^n).$$

Complexification problem (action case). Is the above map injective?

We deal with these problems in the next section.

#### 4. Non-linearizable actions and the complexification problems

We first classify the elements in  $Vec(W_1, W_m; R)$  as O(2, R) varieties, i.e. we calculate  $VAR(W_1, W_m; R)$ . We show that the assumption of Theorem 1.6 is satisfied.

**Lemma 4.1.** Any  $O(2,\mathbf{R})$  variety isomorphism between elements in  $Vec(W_1, W_m; \mathbf{R})$  maps  $W_1$  as graph.

Proof. Let  $E_1$ ,  $E_2$  be elements in  $Vec(W_1, W_m; \mathbf{R})$  and  $f: E_1 \to E_2$  be an  $O(2, \mathbf{R})$  variety isomorphism. We show that pfs is in  $Aut(W_1)^{O(2, \mathbf{R})}$ , where  $p: E_2 \to W_1$  is the projection and  $s: W_1 \to E_1$  is the zero-section. Take the complexification

 $f_C:(E_1)_C \to (E_2)_C$ , which is an  $O(2,\mathbb{C})$  variety isomorphism. According to [11],  $f_C$  maps  $(W_1)_C$  as graph, in fact,  $p_C f_C s_C: (W_1)_C \to (W_1)_C$  is a non-zero scalar multiplication. We recall the proof. The map  $f_C s_C$  is  $O(2,\mathbb{C})$  equivariant, so it is of the form

$$(W_1)_C \ni (a,b) \mapsto (af_0, bf_0, a^m h_0, b^m h_0, k_0),$$

where  $f_0$ ,  $h_0$  and  $k_0$  are polynomials of t=ab. If  $f_0$  is not a non-zero constant,  $f_0$  has some zero  $t_0$ . Let  $\zeta$  be a primitive *m*-th root of 1. Then  $f_C s_C$  maps  $(t_0,1)$ and  $(\zeta t_0, \zeta^{-1})$  to the same element  $(0,0,a^m h_0(t_0),b^m h_0(t_0))$ , which contradicts to the injectivity of  $f_C s_C$ . Hence  $f_0$  must be a non-zero constant. Finally since  $p_C f_C s_C$ is the complexification of pfs, it preserves  $W_1$ . This proves that  $pfs \in Aut(W_1)^{O(2,R)}$ .

We can check  $Aut(W_1)^{O(2,R)} = \mathbf{R}^*$  using the  $O(2,\mathbf{R})$  equivariance. Suppose that  $E(h_1)$  is isomorphic to  $E(h_2)$  as  $O(2,\mathbf{R})$  varieties. Then  $E(h_1)$  is isomorphic to  $c^*E(h_2)$  as  $O(2,\mathbf{R})$  vector bundles for some  $c \in Aut(W_1)^{O(2,R)} = \mathbf{R}^*$  by Theorem 1.6 and Lemma 4.1. The fiber of  $c^*E(h_2)$  over  $(a,\bar{a})$  is the set of points satisfying the equation;  $c^m(\bar{a}^mx + a^m\bar{x}) + h_2(c^2t)z = 0$ . Then

$$c^*E(h_2) = \{(a,\bar{a},x,\bar{x},z); c^m(\bar{a}^mx + a^m\bar{x}) + h_2(c^2t)z = 0\}$$
$$\cong \{(a,\bar{a},x,\bar{x},z); \bar{a}^mx + a^m\bar{x} + h_2(c^2t)z = 0\}$$

by Lemma 2.3 (1). Hence  $h_1(t)$  is congruent to  $h_2(c^2t)$  modulo  $t^m$  by Lemma 2.3 (3) and we obtain the following bijection.

**Theorem 4.2.**  $VAR(W_1, W_m; \mathbf{R}) \cong \mathbf{R}^{m-1} / \mathbf{R}^*$ , where the  $\mathbf{R}^*$  action on  $\mathbf{R}^{m-1}$  is defined as follows. For  $c \in \mathbf{R}^*$  and  $(a_1, \dots, a_{m-1}) \in \mathbf{R}^{m-1}$ ,

$$(a_1, \dots, a_{m-1}) \mapsto (c^2 a_1, c^4 a_2, \dots, c^{2(m-1)} a_{m-1}).$$

Proof of the Theorem (in introduction). By Theorem 1.6, it suffices to show that the set  $VAR(W_1, W_m; \mathbf{R})$  can be continuous density, but Theorem 4.2 says that the case  $m \ge 3$  satisfies this condition.

Next we apply the complexification defined in section 3 to the  $O(2,\mathbb{R})$  case. We recall Schwarz's [17] and Masuda-Petrie's [11] results in the complex category. Here  $O(2,\mathbb{C}) = \mathbb{C}^*$   $\mathbb{Z}_2$  and its action on  $(W_m)_{\mathbb{C}} = \{(a,b) \in \mathbb{C}^2\}$  is defined as follows. For  $g \in \mathbb{C}^*$ ,  $1 \neq J \in \mathbb{Z}_2$  and  $(a,b) \in (W_m)_{\mathbb{C}}$ ,

$$(a,b) \xrightarrow{g} (g^m a, g^{-m} b) \qquad (a,b) \xrightarrow{J} (b,a).$$

**Theorem 4.3** ([11],[17]).  $VEC((W_1)_C, (W_m)_C; C) \cong C^{m-1}$ , where the correspon-

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dence is defined similarly to Theorem 2.1.

**Theorem 4.4** ([11]).  $VAR((W_1)_C, (W_m)_C; C) \cong C^{m-1}/C^*$ , where the  $C^*$  action on  $C^{m-1}$  is defined similarly to Theorem 4.2.

We study the involution on  $VEC((W_1)_C, (W_m)_C; C)$ . Any element of  $VEC((W_1)_C, (W_m)_C; C)$  is represented by  $L \in sur((W_m \oplus R)_C; C)$  of the form;

$$L(a,b,x,y,z) = b^m x + a^m y + f(t)z,$$

where t = ab and f is a polynomial with real coefficients. Then

$$\bar{L}(a,b,x,y,z) = L(\bar{a},\bar{b},\bar{x},\bar{y},\bar{z}) = b^m x + a^m y + \bar{f}(t)z,$$

where  $\overline{f}$  is a polynomial whose coefficients are complex conjugate of those of f. So the involution on  $VEC((W_1)_C, (W_m)_C; C)$  coincides with the complex conjugate on  $C^{m-1}$  through the bijection in Theorem 4.3. This together with Theorem 2.1 shows that the complexification map

$$c_b: VEC(W_1, W_m; \mathbf{R}) \rightarrow VEC((W_1)_C, (W_m)_C; \mathbf{C})^{\mathbb{Z}_2}$$

is bijective.

Now we turn to the case of actions. Remember that we have the complexification map

$$c_a: ACT(O(2, \mathbb{R}), \mathbb{R}^4) \rightarrow ACT(O(2, \mathbb{C}), \mathbb{C}^4).$$

The sets  $VAR(W_1, W_m; \mathbf{R})$  and  $VAR((W_1)_C, (W_m)_C; \mathbf{C})$  are subsets of  $ACT(O(2, \mathbf{R}), \mathbf{R}^4)$  and  $ACT(O(2, \mathbf{C}), \mathbf{C}^4)$  respectively and  $c_a$  maps  $VAR(W_1, W_m; \mathbf{R})$  into  $VAR((W_1)_C, (W_m)_C; \mathbf{C})$ . Through the bijections in Theorems 4.2 and 4.4, one can see that the map  $c_a$  restricted to  $VAR(W_1, W_m; \mathbf{R})$  is nothing but the map from  $\mathbf{R}^{m-1}/\mathbf{R}^*$  to  $\mathbf{C}^{m-1}/\mathbf{C}^*$  induced from the natural inclusion  $\mathbf{R}^{m-1} \subset \mathbf{C}^{m-1}$ . An elementary observation shows that the map from  $\mathbf{R}^{m-1}/\mathbf{R}^*$  to  $\mathbf{C}^{m-1}/\mathbf{C}^*$  is not injective, in fact, the inverse image of an element in  $\mathbf{C}^{m-1}/\mathbf{C}^*$  consists of one or two elements. This gives a negative answer to the complexification problem in the action case. However  $c_a^{-1}([0]) = [0]$ , where [0] denotes the element in  $\mathbf{R}^{m-1}/\mathbf{R}^*$  or  $\mathbf{C}^{m-1}/\mathbf{C}^*$  represented by 0. Since [0] corresponds to a linear action, we pose

Weak complexification problem. If the complexification of a real algebraic action on  $\mathbb{R}^n$  is linearizable, then is the action itself linearizable?

#### Appendix

We give an explicit description of a non-linearizable real algebraic  $O(2, \mathbf{R})$  action on  $\mathbf{R}^4$  obtained from Theorem 4.2. For example, we take  $E(1-t^2) \in Vec(W_1, W_4; \mathbf{R})$ .

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The following (nonequivariant) algebraic vector bundle automorphism of  $W_4 \oplus R$  gives a trivialization of  $E(1-t^2) \cong W_4 \subset W_4 \oplus R$ .

$$\tau(a,\bar{a}) = \begin{pmatrix} 1+it & 0 & -a^4/2 \\ 0 & 1-it & -a^{-4}/2 \\ a^4 & 1-t^2 \end{pmatrix}$$

We define  $\sigma: \mathbb{R}^4 \to W_4$  by  $(a,b,x,y) \mapsto (a+ib, a-ib, x+iy, x-iy)$ . Then it suffices to calculate the correspondence of the composition map in the following;

$$R^4 \rightarrow W_4 \rightarrow E(1-t^2) \xrightarrow{\tau} E(1-t^2) \xrightarrow{\tau} W_4 \rightarrow R^4$$

It turns out that the actions on  $\mathbb{R}^4$  of  $g = \cos \theta + i \sin \theta \in S^1$  and  $1 \neq J \in \mathbb{Z}_2$  ( $\subset O(2,\mathbb{R})$ ) are as follows.

$$\begin{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} x \\ y \end{pmatrix} \end{pmatrix} \xrightarrow{g} \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} \cos 4\theta & -\sin 4\theta \\ \sin 4\theta & \cos 4\theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \end{pmatrix}$$

$$\begin{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} x \\ y \end{pmatrix} \xrightarrow{f} \begin{pmatrix} a \\ -b \end{pmatrix}, \begin{pmatrix} -f_2t + 2t^4 - 2t^2 + 1 & f_1t + t^5 - 2t^3 + 2t \\ -f_1t + t^5 - 2t^3 + 2t & -f_2t - 2t^4 + 2t^2 - 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \end{pmatrix}$$

where  $t = a^2 + b^2$ , and  $f_1$ ,  $f_2$  are polynomials of a, b with the real coefficients such that  $(a+ib)^8 = f_1 + if_2$ .

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