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## ARTINIAN RINGS RELATED TO RELATIVE ALMOST PROJECTIVITY

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Let  $R$  be an artinian ring. We consider the following condition: if  $eR/A$  is  $fR/B$ -projective (resp.  $N$ -projective for an  $R$ -module  $N$ ), then every submodule  $M'$  of  $eR/A$  is  $fR/B$ -projective (resp.  $N$ -projective), where  $e$  and  $f$  are primitive idempotents. We have shown in [7] that  $R$  satisfies the above condition for any  $eR/A$  and any  $fR/B$  if and only if  $R$  is a hereditary ring with  $J^2=0$ . In this paper we consider a weaker condition: if  $eR/A$  is  $N$ -projective, then  $M'$  is almost  $N$ -projective where i):  $N$  is local and ii):  $N$  is a direct sum of local modules, respectively. In the second section we shall study QF, QF-2, and QF-3 rings with the above weaker condition, respectively. We study right almost hereditary rings with  $J^2=0$  in the third section.

In a forthcoming paper we shall give a characterization of rings over which the weaker condition is satisfied when  $M$  and  $N$  are any  $R$ -modules.

### 1. Characterizations

We always assume that  $R$  is an associative artinian ring with identity and every module is a finitely generated and unitary right  $R$ -module. Moreover since we are interested in the structure of  $R$ , we may assume that  $R$  is basic.

Let  $M$  and  $N$  be any finitely generated  $R$ -modules. We have studied rings with the following properties (1) (4) in [3] and [7]:

(1) If  $M$  is  $N$ -projective, then  $M'$  is again  $N$ -projective for any submodule  $M'$  of  $M$ .

(2) If  $eR/B$  is  $fR/A$ -projective, then  $C/B$  is again  $fR/A$ -projective for any  $C \supset B$ , where  $e$  and  $f$  are primitive idempotents and  $C \supset B$  (resp.  $A$ ) are  $R$ -submodules of  $eR$  (resp.  $fR$ ).

(3)  $e=f$  in (2).

(4) If  $M$  is almost  $N$ -projective, then  $M'$  is again almost  $N$ -projective for any submodules  $M'$  of  $M$ .

Here we shall consider a weaker condition than (4).

(5) If  $M$  is  $N$ -projective, then  $M'$  is almost  $N$ -projective for any submodule  $M'$  of  $M$ .

Let  $R$  be a two-sided artinian ring. We know from [3] or [7] that the following are equivalent: i) (1) holds, ii) (2) holds and iii)  $R$  is a hereditary ring with  $J^2=0$ .

In this section we shall give a characterization of artinian rings over which (5) holds on local modules  $M$  and  $N$ . By  $J(M)$  (resp.  $J$ ) we denote the Jacobson radical of  $M$  (resp. of  $R$ ).

**Lemma 1.** *Let  $fJ \supset A \supset B$  be submodules of  $fR$  such that  $A/B$  is almost  $fR$ -projective. Then there exists a submodule  $S^*$  of  $fR$  such that  $A=S^* \oplus B$ , where  $f$  is a primitive idempotent.*

Proof. Consider a diagram

$$\begin{array}{c} A/B \\ \downarrow h \\ fR \xrightarrow{v} fR/B \rightarrow 0 \end{array}$$

where  $h$  is the inclusion.

Since  $h(A/B) \subset fJ/B$  and  $fR$  is indecomposable, there exists  $\tilde{h}: A/B \rightarrow fR$  with  $v\tilde{h}=h$ , and hence  $A=B \oplus \tilde{h}(A/B)$ .

From now on we study (5) when  $M$  and  $N$  are local modules. We denote primitive idempents by  $e, f, g$ , and so on.

**Lemma 2.** *Assume (5) on local modules  $M$  and  $N$ . Then for any local module  $L$ , every submodule of  $fR$  is almost  $L$ -projective.*

Proof. Since  $fR$  is  $L$ -projective, this is clear from (5).

**Corollary.** *Assume (5) on local modules  $M$  and  $N$  and  $\bar{e}\bar{R}=eR/eJ$  is a simple component of  $\text{Soc}(R)$ . Let  $x$  be a non-zero element in  $fJ$  with  $xe=x$ . Then  $xR$  is simple.*

Proof. Since  $fJ/xJ \supset xR/xJ \approx \bar{e}\bar{R}$ ,  $xR/xJ$  is isomorphic to a submodule of some  $gR$ , and  $xR/xJ$  is almost  $fR$ -projective by Lemma 2. Hence  $xR=xJ \oplus S$  and  $xR=S \approx \bar{e}\bar{R}$  by Lemma 1.

**Lemma 3.** *Let  $X$  be an  $R$ -module such that  $X$  is isomorphic to a submodule of  $J(L)$ , where  $L$  is a local  $R$ -module. If  $X$  is almost  $L$ -projective,  $X$  is quasi-projective.*

Proof. We may assume  $X \subset J(L)$ . Let  $A$  be any submodule of  $X$  and consider a diagram

$$\begin{array}{c} X \\ \downarrow h \\ X/A \\ \cap \\ L \xrightarrow{v} L/A \rightarrow 0, \end{array}$$

where  $h$  is the natural homomorphism of  $X$  to  $X/A$ . Then there exists  $\tilde{h}: X \rightarrow L$  with  $v\tilde{h}=h$ , and hence  $\tilde{h}(X) \subset X$ . Therefore  $X$  is quasi-projective.

**Corollary.** *Assume (5) on local modules  $M$  and  $N$ . Then every submodule of any indecomposable quasi-projective module is quasi-projective.*

Proof. This clear from Lemma 3.

**Lemma 4.** *If (5) holds on local modules  $M$  and  $N$ , then  $J^3=0$ .*

Proof. From Corollary to Lemma 3  $eJ = X_1 \oplus X_2 \oplus \dots \oplus X_m$  for a primitive idempotent  $e$ , where the  $X_i$  are indecomposable and quasi-projective. Further  $eJ^2 = X_1J \oplus X_2J \oplus \dots \oplus X_mJ$ ,  $X_i/X_iJ$  is simple and  $X_iJ = Y_{i1} \oplus Y_{i2} \oplus \dots \oplus Y_{in_i}$ , where the  $Y_{ij}$  are indecomposable and quasi-projective. We denote this situation by the following figure:

$$(6) \quad \begin{array}{ccccccc} eJ & & X_1 & & X_2 & & \dots & & X_m \\ eJ^2 & & \underbrace{Y_{11} \dots Y_{1n_1}} & & \underbrace{Y_{21} \dots Y_{2n_2}} & & \dots & & \underbrace{Y_{m1} \dots Y_{mn_m}} \\ eJ^3 & & \underbrace{Z_{111} \dots} & & \dots & & & & \dots \end{array}$$

We note  $X_1 \cap eJ^2 = X_1J$  and so on from (6). Let  $X_i \approx f_iR/A_i$  and  $f_iJ \approx g_{i1}R/C_{i1} \oplus \dots \oplus g_{in_i}R/C_{in_i}$ . Then since  $f_iJ/A_i \approx Y_{i1} \oplus \dots \oplus Y_{in_i}$  ( $=X_iJ$ ),  $Y_{ik}$  is a homomorphic image of some  $g_{it}R$ . Now assume  $eJ^3 \neq 0$  for some  $e$ . Then we may suppose  $Y_{11} \notin \text{Soc}(eR)$ . Let  $X_1 \approx fR/A$  and  $Y_{11} \approx gR/C$  (via  $\theta$ ). Then  $fJ = X' \oplus \dots$ ;  $X' \approx gR/A'$  (via  $\theta'$ ) from the above remark. Since  $Y_{11}$  ( $\approx gR/C$ )  $\notin \text{Soc}(eR)$ ,  $X' \notin \text{Soc}(fR)$  by Corollary to Lemma 2. Hence  $X'J \neq 0$ .  $eR/eJ^3$  is  $fR/fJ^3$ -projective by [1], p. 22, Exercise 4, and hence  $Y_{11}/Y_{11}J \approx gR/gJ$  is almost  $fR/fJ^3$ -projective (see (6)). Consider a diagram

$$\begin{array}{c}
 Y_{11}/Y_{11}J \\
 \downarrow h \\
 (X' + fJ^3)/(X'J + fJ^3) \approx gR/gJ \\
 \cap
 \end{array}$$

$$fR/fJ^3 \xrightarrow{v} (fR/fJ^3)/((X'J + fJ^3)/fJ^3) \rightarrow 0,$$

where  $h$  is the induced isomorphism from  $\theta$  and  $\theta'$ .

Then there exists  $\tilde{h}: Y_{11}/Y_{11}J \rightarrow fR/fJ^3$  with  $v\tilde{h}=h$ . Therefore  $\tilde{h}(Y_{11}/Y_{11}J) + fJ^3 + X'J = X' + fJ^3$ , and hence  $X' + fJ^3 = \tilde{h}(Y_{11}/Y_{11}J) + fJ^3$ . Accordingly  $X'/(X' \cap fJ^3) (\approx (X' + fJ^3)/fJ^3)$  is simple. On the other hand  $X' \cap fJ^3 = X'J^2$ . Therefore  $X'J = X'J^2$ , and hence  $X'J = 0$ , a contradiction.

Now  $J^3 = 0$  from Lemma 4. We denote an indecomposable and projective module  $P$  with  $PJ^2 \neq 0$  (resp.  $PJ^2 = 0, PJ \neq 0$ ) by  $eR$  (resp.  $fR$ ). From Corollary to Lemma 3 we suppose  $eJ = X_1 \oplus X_2 \oplus \dots \oplus X_s \oplus S_1 \oplus \dots \oplus S_p$ , where  $X_i \approx h_i R/A_i$ ;  $A_i \neq h_i J$  and  $S_j \approx g_j R/g_j J$ ; the  $h_k$  and  $g_m$  are primitive idempotents.

**Lemma 5.** *Assume (5) on local modules  $M$  and  $N$  and  $eJ$  is as above. Then  $X_i$  is projective and uniserial, and hence  $X_i \approx f_i R$  for some  $f_i$ .*

*Proof.* Let  $X_1 \approx h_1 R/A_1$ . Suppose  $h_1 R = e_1 R$ , i.e.  $h_1 J^2 \neq 0$ . Then  $A_1 \neq 0$ ;  $\theta: e_1 R/A_1 \approx X_1$ . Let  $e_1 J = X'_1 \oplus \dots \oplus X'_s \oplus S'_1 \oplus \dots$  similar to  $eJ$  above (note  $X'_1 \neq 0$ ). Since  $\theta(e_1 J/A_1) \subset X_1 J = \text{Soc}(X_1)$ ,  $A_1 \supset X'_1 \oplus \dots \oplus X'_s$ , by Corollary to Lemma 2. If  $\{S'_i\} = \emptyset$ ,  $A_1 = e_1 J$ , a contradiction. Hence assume  $\{S'_i\} \neq \emptyset$ . Then since  $A_1 \neq e_1 J$ , there exists  $S'_1$  such that  $S'_1 \not\subset A_1$ . Being a submodule of  $eR$ ,  $e_1 R/A_1$  is almost  $e_1 R/S'_1$ -projective by Lemma 2. However  $A_1$  is characteristic by Corollary to Lemma 3 and  $S'_1 \not\subset A_1$ ,  $S'_1 \not\supset A_1$ , because  $A_1 \supset X'_1$ , and hence  $e_1 R/A_1 \oplus e_1 R/S'_1$  does not have LPSM, a contradiction to [4], Proposition 4. Therefore  $h_1 R = fR$ , i.e.  $h_1 J^2 = 0$  and  $h_1 J \neq 0$ . The above argument shows us  $A_1 = 0$ , since  $fJ$  is semisimple. Next we shall show that  $X_1 = f_1 R$  is uniserial. Suppose  $f_1 J = A \oplus B \oplus \dots$ , where  $A, B$  are non-zero simple modules. Now  $\theta(eJ) = 0$  for any  $\theta$  in  $\text{Hom}_R(eR, f_1 R)$ . Hence  $eR/A$  is  $f_1 R/B$ -projective. Accordingly  $f_1 R/A$  is almost  $f_1 R/B$ -projective, and  $f_1 R/A \oplus f_1 R/B$  has LPSM and hence  $A = B$  by [9], Lemma 1. Therefore  $f_1 J$  is simple.

From Lemmas 4 and 5 we have

$$\begin{aligned}
 (7) \quad & eJ \approx f_1 R \oplus f_2 R \oplus \dots \oplus f_s R \oplus S_1 \oplus \dots \oplus S_k; \quad f_i R \text{ is uniserial} \\
 & (e'J \approx f'_1 R \oplus \dots \oplus f'_s R \oplus S'_1 \oplus \dots)
 \end{aligned}$$

Since  $f_i R$  is projective, we have

**Lemma 6.** *Let  $R$  be any artinian ring. If  $eJ$  and  $e'J$  have the above structure*

(7) (where  $f_iR$  need not be uniserial), then for any non-isomorphic homomorphism  $\theta: eR \rightarrow e'R$ ,  $\theta(eJ)=0$ .

**Lemma 7.** Assume (5) on local modules  $M$  and  $N$ . If  $eR \not\approx e'R$  in (7),  $f_iR \not\approx f_jR$  for any  $i$  and  $j$ .

Proof. Assume  $fR \approx f'R$ . Now  $eR/fJ$  is  $e'R$ -projective by Lemma 6. As a consequence  $fR/fJ \approx f'R/f'J$  is almost  $e'R$ -projective, which is a contradiction from Lemma 1.

We can express (7) as follows:

$$(7') \quad eR \supset eJ \approx \sum_{i=1}^s (f_iR)^{(n_i)} \oplus \sum_{j=1}^t S_j, \quad \text{where the } f_iR \text{ are uniserial (and } e'R \supset e'J \approx \sum_{i=1}^{s'} (f'_iR)^{(n'_i)} \oplus \sum_{j=1}^{t'} S'_j).$$

We put  $P_i = (f_iR)^{(n_i)}$  and  $P = \sum_{i=1}^s P_i$ . Let  $\pi_i: P \rightarrow P_i$  be the projection of  $P$  onto  $P_i$ . We shall regard  $(f_iR)^{(n_i)}$  as a submodule of  $eJ$ .

**Lemma 8.** Suppose that (5) holds on local modules  $M$  and  $N$ . Let  $eR$  and  $P$  be as above. Let  $S$  be a simple submodule of  $P$ . Then  $eReS = \sum_{i \in I} \text{Soc}(P_i)$ , where  $I$  is a subset of  $\{1, 2, \dots, s\}$ .

Proof. Let first  $S = \text{Soc}(f_1R)$  and  $S^* = eReS$ . If  $S^* \neq \text{Soc}(P_1)$ , then there exists  $f_{1i}R$  such that  $f_{1i}R \cap S^* = 0$ ;  $f_{1i}R = f_1R$  which is the  $i$ th component of  $P_1$ . Since  $eR/S$  is  $eR/S^*$ -projective,  $f_1R/S$  is almost  $eR/S^*$ -projective. From the diagram

$$\begin{array}{c} f_1R/S \\ \cong \\ f_{1i}R/S_i \\ \cong \\ (S^* \oplus f_{1i}R)/(S^* \oplus S_i) \\ \cap \\ eR/S^* \rightarrow eR/(S^* \oplus S_i) \rightarrow 0, \text{ where } S_i = \text{Soc}(f_{1i}R). \end{array}$$

we obtain a contradiction. Therefore  $S^* \supset \text{Soc}(P_1)$ . Next assume that  $S$  is any simple submodule of  $P$ . Since  $eR/S^*$  is  $eR/S^*$ -projective,  $P/S^*$  is quasi-projective by Corollary to Lemma 3. Further  $S^* \subset \text{Soc}(P) = J(P)$ , and hence  $P$  is a projective cover of  $P/S^*$ . Accordingly  $S^* \supset \pi_{ij}(S^*)$ , where  $\pi_{ij}: P \rightarrow f_{ij}R$  is the projection. Moreover  $\pi_i(S^*) \supset \pi_i(S) \neq 0$  implies  $\pi_{ij}(S^*) = \text{Soc}(f_{ij}R) \subset S^*$  for some  $j$ , and hence  $S^* \supset \text{Soc}(P_i)$  from the initial part. Let  $I = \{i_j \in \{1, \dots, s\} | \pi_{i_j}(S) \neq 0\}$ . Then we have shown  $S^* \supset \sum_I \text{Soc}(P_{i_j})$ . On the other hand  $S \subset \sum_I \text{Soc}(P_{i_j})$ , and hence  $S^* \subset \sum_I \text{Soc}(P_{i_j})$  for  $eRe \text{Soc}(P_{i_j}) = \text{Soc}(P_{i_j})$  by Corollary to Lemma 2.

Next we assume that (5) holds whenever  $M$  is local and  $N$  is any finite direct sum of local modules. By  $P(\text{Soc}(R))$  we denote the projective cover of  $\text{Soc}(R)$ .

**Lemma 9.** *Let  $R$  be as above. Then  $P(\text{Soc}(R))$  is a direct sum of uniserial modules.*

*Proof.* Let  $\bar{g}R = gR/gJ$  be isomorphic to a simple component of  $\text{Soc}(R)$  and  $gJ \neq 0$ . Take two submodules  $A_1, A_2$  of  $gJ$  such that  $gJ^j \supset A_i \supset gJ^{j+1}$  and  $A_i/gJ^{j+1}$  is simple ( $i=1,2$  and  $j=1,2$ ). Since  $\bar{g}R$  is isomorphic to a proper submodule of some  $hR$ ,  $\bar{g}R$  is almost  $(gR/A_1 \oplus gR/A_2)$ -projective by assumption. Assume that  $gJ^j/gJ^{j+1}$  is not simple, and  $A_1 \neq A_2, A_i \neq gJ^j$ . Then  $\bar{g}R$  is not  $gR/A_i$ -projective, and hence  $gR/A_1 \oplus gR/A_2$  has LPSM by [6], Theorem. Therefore  $A_1 = A_2$  by [9], Lemma 1, a contradiction. As a consequence  $gR$  is uniserial.

We consider a direct sum  $M = M_1 \oplus M_2$ . Let  $\pi_i$  be the projection of  $M$  onto  $M_i$  for  $i=1,2$ . For any submodule  $A$  of  $M$  we put

$$(8) \quad A_i = A \cap P_i \text{ and } A^i = \pi_i(A) \text{ for } i=1,2.$$

We use the following trivial lemma (see. [5], p.449)

**Lemma 10.** *Let  $M$  and  $A$  be as above. Then  $\theta: A^1/A_1 \approx A^2/A_1$  and  $A = \{m_1 + m_2 | m_i \in A^i \text{ and } \theta(m_1 + A_1) = m_2 + A_2\}$ .*

Finally we obtain the main theorem.

**Theorem 1.** *Let  $R$  be an artinian ring. (5) holds on local modules  $M$  and  $N$ , if and only if i):  $J^3 = 0$  and  $eJ$  has the structure (7') with  $f_i R$  uniserial, ii) if  $eR \not\approx e'R$ , then  $f_i R \not\approx f_j R$  for all  $i$  and  $j$  in (7') and iii)  $\tilde{f}_i \bar{R}$  in (7') is never isomorphic to any simple component of  $\text{Soc}(R)$ , and iv) the condition in Lemma 8,  $eReS = \Sigma_i \oplus \text{Soc}(P_i)$  for any simple submodule  $S$  in  $P$ , is satisfied, where  $e, e'$  are any primitive idempotents with  $eJ^2 \neq 0$  and  $e'J^2 \neq 0$ .*

*Proof.* Suppose that (5) holds. Then we have i)~iv) by Corollary to Lemma 2 and Lemmas 4, 5, 7 and 8. Conversely we assume 1)~iv). First we study a structure of submodule  $B/A$  of  $eR/A$ . We take the decomposition (7'):  $eJ = P_1 \oplus \dots \oplus P_s \oplus S_1 \oplus \dots \oplus S_t$ . Put  $P = \Sigma_{i=1}^s P_i$  and  $\tilde{S} = \Sigma_{j=1}^t S_j$ , and hence  $eJ = P \oplus \tilde{S}$ . We apply Lemma 10 to this decomposition  $eJ = P \oplus \tilde{S}$  and the submodule  $A$  of  $eJ$ . Then there exists an isomorphism  $\theta: A^1/A_1 \approx A^2/A_2$ . Since any simple sub-factor module of  $P/\text{Soc}(P)$  is never isomorphic to any one of  $\tilde{S}$  (and hence any one of  $A^2/A_2$ ) by iii),  $A^1/A_1 \subset (\text{Soc}(P) + A_1)/A_1 \approx \text{Soc}(P)/(\text{Soc}(P) \cap A_1)$ . Accordingly there exists a submodule  $K_1$  of  $\text{Soc}(P)$  such that

$A^1/A_1=(K_1\oplus A_1)/A_1$ .  $A^2$  being semisimple, we obtain  $A^2=A_2\oplus K_2$  for some  $K_2$  in  $A^2$ , and clearly  $\theta: K_1\approx K_2$ . Therefore  $A=A_1\oplus A_2\oplus K_2(\theta^{-1})$  by Lemma 10, where  $A_1\subset P$  and  $A_2, K_2$  are contained in  $\tilde{S}$ . Since  $\tilde{S}$  is semisimple,  $\tilde{S}=A_2\oplus K_2\oplus K'_2$  for some  $K'_2$ . Then  $eJ=P\oplus A_2\oplus K_2(\theta^{-1})\oplus K'_2$ , and putting  $\tilde{S}'=A_2\oplus K_2(\theta^{-1})\oplus K'_2$ , we obtain

$$(9) \quad A=A\cap P\oplus A\cap\tilde{S}' \quad (eJ=P\oplus\tilde{S}')$$

Next let  $eJ\supset B\supset A$ . Then we obtain from the above observation (take first the decomposition of  $B$  and use the above argument on  $A$ )

$$(10) \quad \begin{aligned} eJ &= P\oplus\tilde{S}_a\oplus\tilde{S}_b\oplus\tilde{S}_c \supset \\ B &= B_1\oplus\tilde{S}_a\oplus\tilde{S}_b \supset \\ A &= A_1\oplus\tilde{S}_a, \end{aligned}$$

where  $B_1=B\cap P$ ,  $A_1=A\cap P$  and the  $\tilde{S}_a, \tilde{S}_b$  and  $\tilde{S}_c$  are contained in  $\text{Soc}(eJ)$ . From (10) we may study the structure of  $B_1/A_1$ . Hence we assume  $P\supset B_1=P\cap B\supset A_1=P\cap A$ . Since  $P$  is projective, considering first the decomposition of  $A$ , we obtain

$$(11) \quad \begin{aligned} P &= P_1\oplus P_2\oplus P_3 \supset \\ B_1 &= P_1\oplus P_2\oplus B_1\cap P_3 \supset \\ A_1 &= P_1\oplus A_1\cap(P_2\oplus P_3), \end{aligned}$$

where the  $P_i$  are isomorphic to direct sums of some copies of  $\{f_{i1}R, \dots, f_{iq}R\}$  and  $B_1\cap P_3, A_1\cap(P_2\oplus P_3)$  are semisimple modules

$$(12) \quad \text{whose simple components are isomorphic to those of } \text{Soc}(eJ).$$

Since  $A_1\cap(P_2\oplus P_3)\subset P_2\oplus B_1\cap P_3$  and  $A_1\cap(P_2\oplus P_3), B_1\cap P_3$  are semisimple, we obtain a new decomposition:  $P_2\oplus B_1\cap P_3 = P_2\oplus V'$  such that  $A\supset A_1\cap(P_2\oplus P_3) = A_2\oplus A_3$  and  $A_2\subset J(P_2), A_3\subset V'$ , which is a semisimple module as (12). Therefore  $B_1/A_1\approx P_2/A_2\oplus V'$ . Let  $P_2\approx \Sigma_I\oplus(f_iR)^{(m_i)}$ ;  $m_i\leq n_i$ , where  $I\subset\{1,2,\dots,s\}$  and  $I$  the subset of  $I$  such that  $k\in I$  if and only if  $\pi_k(A_2)\neq 0$ , where  $\pi_k: P\rightarrow(f_kR)^{(m_i)}$  is the projection. Then

$$(13) \quad B_1/A_1\approx \Sigma_I\oplus(f_iR)^{(m_i)}/A_2\oplus \Sigma_{I'-I}\oplus(f_iR)^{(m_i)}\oplus V,$$

where  $A\supset A_1\supset A_2$  and  $V$  is a semisimple module as (12).

We resume to prove the converse. We shall show first that

- a)  $\text{Soc}(R)$  is almost  $L$ -projective for any local module  $L=gR/D$ .

Let  $S$  be a simple component of  $\text{Soc}(R)$  and consider a diagram:

$$(14) \quad \begin{array}{c} S \\ \downarrow h \\ gR/D \xrightarrow{v} gR/C \rightarrow 0. \end{array}$$

If  $h$  is an epimorphism,  $h$  is an isomorphism. Hence putting  $\tilde{h}=h^{-1}v$ , we have  $h\tilde{h}=v$ . Accordingly we assume that  $h$  is not an epimorphism, i.e.,  $h(S) \subset gJ/C$ . If  $gR=fR$  ( $fJ^2=0$ ),  $fJ/D$  is semisimple, and hence we obtain  $\tilde{h}: S \rightarrow fJ/D \subset fR/D$  with  $v\tilde{h}=h$ . Next assume  $gR=eR$  ( $eJ^2 \neq 0$ ). Then we may consider the following diagram instead of (14)

$$(14') \quad \begin{array}{c} S \\ \downarrow h \\ eJ/D \xrightarrow{v} eJ/C \rightarrow 0. \end{array}$$

Let  $S \approx \bar{k}R$  for a primitive idempotent  $k$  and  $h(S)=(xR+C)/C$ ;  $xk=x \in eJ$ . Then  $x \in \text{Soc}(eJ)$  by iii), and hence  $xR=xR/xJ$  is simple. Accordingly  $h(S)=(xR+C)/C \approx xR$ . Since  $xR \cap D \subset xR \cap C=0$ , we obtain an isomorphism  $\tilde{h}: S \rightarrow xR \subset eJ/D$  with  $v\tilde{h}=h$ . Thus we have shown a).

Now let  $M=gR/A$ ,  $N=pR/D$  and  $M$  be  $N$ -projective. Take any diagram for any submodule  $M'$  of

$$(15) \quad \begin{array}{c} M' \\ \downarrow h \\ pR/D \xrightarrow{v} pR/C \rightarrow 0 \end{array}$$

$$\alpha) \quad M=fR/A \quad (fJ^2=0, fJ \neq 0).$$

Then any proper submodule  $M'$  of  $M$  is contained in  $\text{Soc}(R)$ . Hence  $M'$  is almost  $pR/D$ -projective by a). Next assume

$$\beta) \quad M=eR/A \quad (eJ^2 \neq 0) \text{ and } N=fR/D.$$

From (10) and (13)  $M'$  is a direct sum of the following submodules:

1)  $S \approx \text{Soc}(f_iR)$  or  $\approx S_j$ , 2)  $\Sigma_I \oplus (f_iR)^{(m_i)}/A_2$ , where  $\pi_i(A_2) \neq 0$  for  $i \in I$ , and 3)  $f_jR$ .

In the cases 1) and 3),  $M'$  is almost  $N$ -projective by a). Hence we may assume  $M'=\Sigma_I \oplus (f_iR)^{(m_i)}/A_2$ .

If  $fR \not\approx f_iR$  for all  $i$  in 2),  $\text{Hom}_R(M', fR)=0$  by iii). Hence  $M'$  is trivially  $N$ -projective. If  $fR \approx f_iR$  for some  $i$ ,  $fR$  is uniserial and  $fJ^2=0$ . Then  $fR \rightarrow fR/fJ \rightarrow 0$  is only a non-trivial exact sequence. Therefore  $M'$  is almost  $fR/D$ -projective (note that  $fR$  is projective). Assume

$$\gamma) \quad M=eR/A \text{ and } N=e'R/D; \quad e'R \not\approx eR.$$

Since  $eR/A$  is  $eR/D$ -projective,  $eReA \subset D$ . Further  $0 \neq \pi_i(A_2)$  implies  $\text{Soc}(P_i) \subset eReA_2 \subset eReA \subset D \subset C$  by iii) and iv) (we note that if  $P = P'_1 \oplus P'_2 \oplus \dots \oplus P'_s$ , where  $P'_i \approx (f_i R)^{(p_i)}$ , then  $P_i = P'_i$  by iii)). We put  $eJ = X \oplus Y$ , where  $X = \sum_I \oplus P_i$  and  $Y = \sum_{j \notin I} \oplus P_j \oplus \tilde{S}$ . Then from Lemma 10 and iii)  $D = D \cap X \oplus D \cap Y \subset C = C \cap X \oplus C \cap Y$ . As a consequence we obtain from (15)

$$\begin{array}{c}
 M' \\
 \downarrow h \\
 eJ/D = X/(D \cap X) \oplus Y/(D \cap Y) \rightarrow X/(C \cap X) \oplus Y/(C \cap Y) \rightarrow 0
 \end{array}$$

Since  $\text{Hom}_R(P_i, P_j) = 0$  for  $j \notin I$  and  $\text{Hom}_R(P_i, \tilde{S}) = 0$ ,  $h(M') \subset X/(C \cap X)$ . Further  $X/(D \cap X)$  is semisimple for  $D \cap X \supset \text{Soc}(X)$ , and hence we obtain  $\tilde{h}: M' \rightarrow eJ/D$  with  $v\tilde{h} = h$ .

Next we consider (5) when  $N$  is a finite direct sum of local modules.

**Theorem 2.** *Let  $R$  be as above. Then (5) holds whenever  $M$  is local and  $N$  is a finite direct sum of local modules if and only if i)~iv) in Theorem 1 and v) the condition in Lemma 9,  $P(\text{Soc}(R))$  is a direct sum of uniserial modules, are satisfied.*

*Proof.* "Only if" is given by Theorem 1 and Lemma 9. Conversely we assume i)~v). We use the same argument as given in the proof of Thorem 1. Let  $N = \sum \oplus h_j R / B_j$ , where the  $h_j$  are primitive idempotents and  $M (=gR/A)$  be  $N$ -projective. Then  $M$  is  $h_j R / B_j$ -projective. Take any submodule of  $M'$  in  $M$ . We know from the proof of Theorem 1 that if  $M'$  is almost  $h_j R / B_j$ -projective, but not  $h_j R / B_j$ -projective, then  $M'$  is simple or  $M' \approx \sum_I \oplus (f_i R)^{(m_i)} / A_2$  (see a),  $\alpha$ ) and  $\beta$ ) in the proof of Theorem 1). In this case  $h_j R$  is uniserial by v) and [4], Theorem 1. Hence  $M'$  is almost  $N$ -projective by [6], Theorem.

In a forthcoming paper we shall study (5) when  $N$  (resp.  $M$ ) is any  $R$ -module.

**2. Several rings with (5)**

If  $gR$  is uniform for every primitive idempotent  $g$ , then we call  $R$  a *right QF-2 ring*. If  $E(R)$ , the injective hull of  $R$ , is projective, than we call  $R$  a *QF-3 ring*. In this section we shall study QF, QF-2 and QF-3 rings with (5), respectively.

**Proposition 1.** *Assume that  $R$  is either local or QF, then (5) holds on local modules  $M$  and  $N$  if and only if  $J^2 = 0$ .*

*Proof.* If (5) holds, then there are no  $eR$  with  $eJ^2 \neq 0$  from the assumption and Corollary to Lemma 2. The converse is clear from [7], Proposition 7.

**Lemma 11.** *Assume (5) on local modules  $M$  and  $N$ . If  $hR$  is uniform, then  $hR$  is uniserial, where  $h$  is a primitive idempotent.*

*Proof.* This is clear from Corollary to Lemma 3.

**Proposition 2.**  *$R$  is a right QF-2 ring over which (5) holds on local modules  $M$  and  $N$  if and only if  $R$  is a right serial ring with  $J^3=0$  such that 1) if  $eJ^2 \neq 0$ ,  $eJ/eJ^2$  is never monomorphic to  $\text{Soc}(R)$ , and 2) if  $e_i J^2 \neq 0$  for  $i=1,2$  and  $e_1 J/e_1 J^2 \approx e_2 J/e_2 J^2$ , then  $e_1 R \approx e_2 R$ .*

*Proof.* Assume (5) on local modules  $M$  and  $N$ . Then  $R$  is a right serial ring with 1) and 2) by Theorem 1 and Lemma 11. Conversely 1) implies that  $eJ$  is projective (cf. Lemma 14 below). Hence (5) holds by Theorem 1.

Next we study left QF-2 rings with (5) as right  $R$ -modules.

**Lemma 12.** *Let  $R$  be a ring with  $J^3=0$ . Assume that  $eR$  has the structure (7') if  $eJ^2 \neq 0$  (where  $f_i R$  need not be uniserial). Let  $\theta$  be a homomorphism of  $hR$  to  $h'R$ . If  $\theta(hJ) \neq 0$ ,  $\theta$  is monomorphic, where  $e, h$  and  $h'$  are primitive idempotents.*

*Proof.* Suppose that  $\theta$  is not isomorphic. Since  $\theta(hJ) \neq 0$ ,  $\theta(hR) \not\subset \text{Soc}(h'R)$ . Hence  $h'J^2 \neq 0$ . If  $hJ^2 \neq 0$ ,  $\theta$  is isomorphic by Lemma 6. Hence  $hJ^2=0$ , and  $\theta$  is monomorphic from (7').

**Lemma 13.** *Let  $R$  be left QF-2. Assume that  $J^3=0$  and  $eJ$  has the structure in (7') if  $eJ^2 \neq 0$  (where  $f_i R$  need not be uniserial). Then 1) Let  $S_i$  be a proper simple submodule of  $g_i R$  for  $i=1,2$  and  $\theta: S_1 \rightarrow S_2$  isomorphic. Then  $\theta$  is extensible to an element in  $\text{Hom}_R(g_1 R, g_2 R)$  or in  $\text{Hom}_R(g_2 R, g_1 R)$ . 2) Let  $f_i R$  be contained in  $eR$  as in (7'). Then  $f_i R$  is never monomorphic to  $\text{Soc}(R)$ . 3)  $f_i R (\subset eR) \not\approx f_j R (\subset e'R)$  if  $eR \not\approx e'R$ . 4) For any simple submodule  $A$  of  $P_i = (f_i R)^{(m_i)} \subset eR$ ,  $eR e A \supset \text{Soc}(P_i)$ , where the  $g_i$  are primitive idempotents.*

*Proof.* 1). Put  $S_i = x_i R \subset g_i J$  with  $x_2 = \theta(x_1)$  and  $S_i \approx \bar{h}R$ . Then we can assume  $g_i x_i \bar{h} = x_i$  for  $i=1,2$ . Since  $Rh$  is uniform, put  $\text{Soc}(Rh) = R\bar{k}$ , where  $k$  is a primitive idempotent. Then  $Rx_i$  containing  $\text{Soc}(Rh)$ , there exists  $z_i$  in  $kRg_i$  such that  $0 \neq z_1 x_1 = z_2 x_2$ . Hence from Lemma 12 we have

$$(17) \quad g_1 R \approx kR \text{ or } g_i R \subset kR \text{ via } z_{ii} \text{ (isomorphically),}$$

where  $z_{ii}$  is the left-sided multiplication of  $z_i$ .

i)  $z_{1i}: g_1 R \approx kR$ .

Then there exists  $z'_i: kR \rightarrow g_1 R$  such that  $z'z_1 = g_1$ . Hence  $x_1 = (z'z_2)x_2$  and  $\theta^{-1}$

is extensible to  $(z'z_2)_l \in \text{Hom}_R(g_2R, g_1R)$ .

Here we assume 2).

ii)  $z_{1i}: g_1R \rightarrow kR$  and  $z_{2i}: g_2R \rightarrow kR$  are monomorphic (not isomorphic).

Then  $kJ^2 \neq 0$ . In order to show 1) we may assume, in this case,  $kR = eR$ ,  $g_1R = f_iR$  and  $g_2R = f_i'R$  in (7'), i.e.,  $S_1 \subset f_iR \subset eR$ ,  $S_2 \subset f_i'R \subset eR$  and  $\theta: S_1 \rightarrow S_2$ , and we give the extension of  $\theta$  (or  $\theta^{-1}$ ) in  $\text{Hom}_R(f_iR, f_i'R)$  (or in  $\text{Hom}_R(f_i'R, f_iR)$ ). Hence since  $S_i \subset eR$ , we first consider the case  $g_1 = g_2 = e$ . Since  $eJ^2 \neq 0$ , we obtain the case i) from (17). Hence there exists a unit  $z$  in  $eRe$  such that  $z_1$  is an extension of  $\theta$ . As a consequence  $(f_iR)^{(m_i)}$  being characteristic,  $f_iR = f_i'R$ . Put  $(f_iR)^{(m_i)} = \sum_{j \leq m_i} \oplus u_j f_iR$ , where  $u_j = u_j f_i$  and  $u_j f_iR \approx f_iR$  for all  $j$ . Then we may assume  $x_1 = u_1 r$ ,  $x_2 = u_1 r'$ ;  $r, r' \in f_iJ$ . Now  $z_1(u_1 f_i) = \sum u_j w_j$  and the  $w_j$  are units in  $f_iR f_i$  or zero by the assumption 2). Since  $\sum u_j w_j r = z_1(u_1 r) = z x_1 = x_2 = u_1 r'$ ,  $z_1(u_1) = u_1 w_1 \in u_1 f_iR$ , because  $w_j r \in f_iR$ ,  $f_iR \approx u_j f_iR$  and  $w_j$  is a unit or zero. Hence  $\theta$  is extensible to  $(z_1 | u_1 R) \in \text{Hom}_R(f_iR, f_i'R)$ .

2) Let  $eR \supset f_1R$  be as (7') and  $S$  a simple component of  $\text{Soc}(tR)$ , where  $t$  is a primitive idempotent with  $tJ \neq 0$ . Suppose  $S \approx f_1R/f_1J$ . Then there exist  $x_1$  in  $f_1R - f_1J$  and  $x_2$  in  $S$  such that  $ex_1 f_1 = x_1$ ,  $tx_2 f_1 = x_2$ . Since  $eJ^2 \neq 0$ , from the similar argument to the initial part in 1)-i) we obtain  $eR \approx kR$  as in 1)-i) and  $x_1 = zx_2$  for some  $z \in eRt$ , which is a contradiction, since  $x_1 \notin \text{Soc}(eR)$ .

3) This is clear from 1) and Lemma 6.

4) Since  $A \approx \text{Soc}(f_iR)$ . we obtain 4) from 1).

**Corollary.** *Let  $R$  be as in Lemma 13. If  $g_1R$  and  $g_2R$  have mutually isomorphic simple submodules, then  $g_1R \approx g_2R$  or one of  $\{g_1R, g_2R\}$  contains isomorphically the other.*

*Proof.* This is clear from lemmas 12 and 13.

**Proposition 3.** *Let  $R$  be a left QF-2 ring. Then (5) on local modules  $M$  and  $N$  holds as right  $R$ -modules if and only if i)  $J^3 = 0$  and  $eJ$  has the structure (7'), provided  $eJ^2 \neq 0$ , (where  $f_iR$  is uniserial).*

*Proof.* Let  $eR \supset eJ = \sum \oplus P_i \oplus \sum \oplus S_j$ , where  $P_i = (f_iR)^{(m_i)}$ . Then every simple sub-factor module of  $P_i$  is not isomorphic to any one of  $P_j$  for  $i \neq j$ . Hence the proposition is clear from Theorem 1 and Lemma 13.

**Corollary.** *Let  $R$  be a right and left QF-2 ring. If (5) holds on local modules  $M$  and  $N$ , then  $R$  is serial, where  $g$  and  $g'$  are primitive idempotents.*

*Proof.* We may show from Proposition 2 and [13], Lemma 4.3 that every isomorphism  $\theta: gJ/gJ^2 \approx g'J/g'J^2$  is liftable to an element in  $\text{Hom}_R(gR, g'R)$ .

$\alpha)$   $gR=eR$  and  $g'R=e'R$  ( $eJ^2 \neq 0$  and  $e'J^2 \neq 0$ ).

Then  $e=e'$  by ii) of Proposition 2. Since  $eJ$  is projective,  $\theta$  is given by an element  $\theta'$  in  $\text{Hom}_R(eJ, eJ)$ . Let  $eJ=xR$ ,  $xh=x$  for a primitive idempotent  $h$  and  $\theta'(x)=x'$ . Since  $Rh$  is uniform, there exist a primitive idempotent  $k$  and  $z, z'$  in  $kRe$  such that  $zx=z'x' \neq 0$ . If  $z \in J$ ,  $z_l(eJ)=0$  by Lemma 6. Hence  $k=e$  and  $z, z'$  are units in  $eRe$ . As a consequence  $\theta$  is liftable.

$\beta)$   $gR=eR$  and  $g'R=fR$  ( $fJ \neq 0$ ).

We do not have this case by i) of Proposition 2.

$\gamma)$   $gR=fR$  and  $g'R=f'R$ .

Then  $\theta$  is liftable by Lemma 13.

We shall study serial rings with (5) in the next proposition.

**Lemma 14.** *Let  $R$  be a serial ring with  $J^3=0$ . Then the following are equivalent:*

- 1) *If  $eJ^2 \neq 0$ ,  $eJ$  is projective.*
- 2) *If  $eJ^2 \neq 0$ ,  $eJ/eJ^2$  is not monomorphic to  $\text{Soc}(R)$ , where  $e$  runs over all the primitive idempotents.*

*Proof.* 1)  $\rightarrow$  2). Suppose  $eJ/eJ^2 \approx \text{Soc}(gR)$  for a primitive idempotent  $g$ . If  $gJ^2 \neq 0$ ,  $gJ$  is projective by 1). Let  $gJ \approx hR$ . Then since  $\text{Soc}(gR) \approx hJ = hJ/hJ^2 \approx eJ/eJ^2$ ,  $hR \approx eR$  by [13], Lemma 4.3, a contradiction. We obtain the same result if  $gJ^2=0$ ,

2)  $\rightarrow$  1). If  $eJ$  is not projective,  $eJ \approx gR/gJ^2$  and  $gJ^2 \neq 0$ . Hence  $\text{Soc}(eJ) \approx gJ/gJ^2$ , a contradiction.

**Proposition 4.** *Let  $R$  be a QF-3 ring. Then the following are equivalent:*

- 1) *(5) holds on local modules  $M$  and  $N$ .*
- 2)  *$R$  is a serial ring with  $J^3=0$  such that if  $eJ^2 \neq 0$ ,  $eJ/eJ^2$  is not monomorphic to  $\text{Soc}(R)$ .*
- 2')  *$R$  is serial ring with  $J^3=0$  such that  $eJ$  is projective, if  $eJ^2 \neq 0$ .*
- 3)  *$R$  is a serial ring with  $J^3=0$  such that if  $J^2e \neq 0$ ,  $Je/J^2e$  is not monomorphic to  $\text{Soc}({}_R R)$ .*
- 4) *(5) holds on any finitely generated  $R$ -modules  $M$  and  $N$  as right  $R$ -modules as well as left  $R$ -modules.*

*Proof.* 1)  $\rightarrow$  2). Assume that  $R$  is a QF-3 ring and (5) holds on local modules  $M$  and  $N$ . Then  $J^3=0$  by Lemma 4. Next we shall show that  $R$  is a right serial ring. Let  $E(R) \approx \Sigma \oplus (h_i R)^{(p_i)}$ , where the  $h_i R$  are indecomposable, injective and projective. We know from Lemma 11 that the  $h_i R$  are uniserial. Suppose  $gR$  is

not injective for a primitive idempotent  $g$  such that  $gJ \neq 0$ . Then considering the projection of  $E(R)$  to  $h_iR$ , we have  $gR \subset J(E(R))$ , since  $gR$  is not injective. Since  $h_iJ$  is projective by Lemma 5 if  $h_iJ^2 \neq 0$ ,  $gR \approx h_iJ$  for some  $j$ . Therefore  $R$  is a right serial ring with  $J^3 = 0$ . The property in 2) is given by Proposition 2. We shall show that  $R$  is left serial. If  $e_1J^2 \neq 0$ ,  $e_1R$  is injective for  $J^3 = 0$ . Suppose  $\theta: e_1J/e_1J^2 \approx e_2J/e_2J^2$  for any primitive idempotent  $e_2$ . Then  $e_2J^2 \neq 0$  by 1) in Proposition 2 and  $e_1R \approx e_2R$  by 2) in Proposition 2.  $e_1J$  being projective from Lemma 5,  $\theta$  is given by an isomorphism  $\theta'$  of  $e_1J$  onto  $e_2J$ . Since  $e_1R$  is injective,  $\theta'$  is extensible to an element in  $\text{Hom}_R(e_1R, e_2R)$ . Suppose  $e_1J^2 = 0$ , then  $e_2J^2 = 0$  as above. Hence  $e_1R$  and  $e_2R$  are contained in some injective  $eR$  for  $\text{Soc}(e_1R) \approx \text{Soc}(e_2R)$ . Hence  $\theta$  is extensible to an element in  $\text{Hom}_R(e_1R, e_2R)$ . Therefore  $R$  is serial ring by [13], Lemma 4.3.

2)  $\rightarrow$  1). This is clear from Proposition 2 and [13], Lemma 4.3.

2)  $\leftrightarrow$  2'). This is clear from Lemma 14.

1)  $\rightarrow$  4). Let  $M = \Sigma \oplus e_iR/A_i$  be  $N = \Sigma \oplus h_jR/B_j$ -projective (see [12]). Take a submodule  $M'$  of  $M$ ;  $M' = \Sigma \oplus f_kR/C_k$ . Then being uniserial,  $f_kR/C_k$  is isomorphic to a submodule of some  $e_iR/A_i$ . Since  $e_iR/A_i$  is  $h_jR/B_j$ -projective for all  $j$ ,  $f_kR/C_k$  is almost  $h_jR/B_j$ -projective, and hence  $f_kR/C_k$  is almost  $N$ -projective by [6], Theorem. Hence (5) holds.

2)  $\rightarrow$  3). Suppose  $J^2e_i \neq 0$  for  $i=1,2$  and  $Je_1/J^2e_1 \approx J^2e_2$ . Then there exists  $e'_i$  such that  $(e'_iR, Re_i)$  is the injective pair for  $i=1,2$  by [2], Theorem 3.1. Then  $e'_1J/e'_1J^2 \approx e'_2R/e'_2J$  by [2], Theorem 2.4 for  $J^3 = 0$ , and hence  $e'_1J \approx e'_2R/e'_2J^2$ . As a consequence  $e'_1J^2 \approx e'_2J/e'_2J^2$ , a contradiction. Next assume  $Je_1/J^2e_1 \approx Jf \approx R\bar{g}$ , where  $J^2f = 0$ . If  $Rf$  is injective,  $gR$  is injective by [2], Theorem 3.1 and  $e'_1J \approx gR$ , a contradiction. If  $Rf$  is not injective,  $E(Rf) \approx Re'$ , which is again a contradiction from the initial. Then since  $Je_1/J^2e_1$  is clearly not projective,  $Je_1/J^2e_1$  is never monomorphic to  $\text{Soc}(R)$ .

The remaining implications are clear.

### 3. Almost hereditary rings with $J^2 = 0$

We studied almost hereditary rings with  $J^2 = 0$  in [7]. In this section we shall investigate again those rings. First we shall study a very special almost hereditary ring.

**Proposition 5.** *Every finitely generated  $R$ -module is almost projective if and only if  $R$  is a serial ring with  $J^2 = 0$ .*

*Proof.* Suppose that  $R$  is a serial ring with  $J^2 = 0$ . Then every indecomposable  $R$ -module is either  $eR$  or  $eR/eJ$ , where  $e$  is any primitive idempotent. If  $eJ \neq 0$ ,  $eR$  is injective and hence  $eR/eJ$  is almost projective by [11], Theorem 1. Therefore every  $R$ -module is almost projective by [12]. The converse is clear from [7],

Proposition 7 and [9], Corollary to Theorem 1.

**Proposition 6.** *Let  $R$  be an artinian ring with  $J^2=0$ . Then the following are equivalent:*

- 1)  $R$  is right almost hereditary.
- 2) (5) holds when  $M$  is local.
- 3) (5) holds for any finitely generated  $R$ -modules  $M$  and  $N$ .

Proof. 1)  $\rightarrow$  3). Assume that  $R$  is right almost hereditary. Then  $J$  is semisimple and almost projective. We quote here the argument in the proof of [7], Theorem 1. Let  $P$  be a projective cover of  $M$ ;  $0 \rightarrow Q \rightarrow P \rightarrow M \rightarrow 0$ , and  $M'$  a submodule of  $M$ . Then  $M' = P'/Q$  for some submodule  $P'$  of  $P$  and  $P = P_1 \oplus P_2$  such that  $P' \supset P_1$  and  $P' \cap P_2$  is small in  $P$ . Put  $Q_1 = Q \cap P_1$  and  $Q_2 = Q \cap P_2$ . Then since  $P' \cap P_2$  is semisimple, we have  $M' = P'/Q \approx P_1/Q_1 \oplus Q^*/Q_2$ , where  $(P \cap P_2)/Q_2 = Q^2/Q_1 \oplus Q^*/Q_2$ , and  $P_1$  is a projective cover of  $P_1/Q_1$ . Suppose that  $M$  is  $N$ -projective. Then  $P_1/Q_1$  is  $N$ -projective and  $Q^*/Q_2 \subset J(P)/Q_2$ ,  $Q^*/Q_2$  is almost projective. Therefore  $M'$  is almost  $N$ -projective.

2)  $\rightarrow$  1). Since  $eR$  is  $N$ -projective for any  $R$ -module  $N$ ,  $eJ$  is almost  $N$ -projective by (5). Hence  $eJ$  is almost projective.

3)  $\rightarrow$  1). This is clear.

Next we shall study the condition (4). Here we shall give the structure of right almost hereditary ring. From [8], Theorem 2 we know that every right almost hereditary ring is a direct sum of hereditary rings, serial rings and rings of a form

$$R = \begin{pmatrix} T_1 & X_2 & X_3 & \cdots & X_m \\ 0 & S_2 & 0 & \cdots & 0 \\ & & S_3 & 0 & 0 \\ & & & \ddots & S_m \end{pmatrix}$$

where  $T_1$  is a hereditary ring, the  $S_i$  are serial rings in the first category and the  $X_i$  is a left  $T_1$ -right  $S_i$ -module for each  $i > 1$ . Without loss of generality, we may assume  $S_i = 0$  for all  $i \geq 2$ . Hence in this note we assume

$$(18) \quad R = \begin{pmatrix} T_1 & X \\ 0 & S_2 \end{pmatrix}.$$

We study right almost hereditary rings of the form (18), i.e.,  $S_2$  is a serial ring in the first category and we may assume

$$S_2 = \left( \begin{array}{ccc|c} \Delta & \Delta & \cdots & \Delta & 0 \\ & \Delta & \cdots & \Delta & 0 \\ & & & \vdots & \\ 0 & & & \cdots & \Delta \\ & & & & \Delta \end{array} \right)$$

where  $\Delta$  is a division ring.

By  $h_i, f_i$  we denote matrix unite  $e_{ii}$  in  $T_1$  and  $S_2$ , respectively. Then  $h_i X$  is a direct sum of copies of  $f_1 R / B_1$ , where  $B_1 = (0 \ 0 \ \cdots \ 0 \ \Delta \ \cdots \ \Delta \ 0 \ \cdots \ 0) = f_1 R (f_k + f_{k+1} + \cdots) \neq 0; k \geq 2$ .

If (4) holds for local modules  $M$  and  $N$ , then  $J^2 = 0$  by [7], Proposition 7. Hence we assume  $J^2 = 0$  in the above. Then  $k = 2$ , i.e.,

$$(19) \quad h_i X = 0 \quad \text{or} \quad h_i X = (f_1 R / f_1 J)^{(p_i)}$$

We fix such a ring  $R$  and study structures of  $R$ -modules. Take a projective module  $P = P_1 \oplus P_2$ , where  $P_1 \approx \Sigma \oplus (h_i R)^{(t_i)}$ ,  $P_2 \approx \Sigma \oplus (f_j R)^{(s_j)}$  and  $Q \subset J(P)$ .  $J(P_1)$  and  $J(P_2)$  do not contain a common isomorphic sub-factor module from (19). Therefore  $Q = Q \cap P_1 \oplus Q \cap P_2$  (put  $Q_i = Q \cap P_i$ ). By  $M_{(k)}$  we denote an  $R$ -module of the form  $P_k / Q_k$  ( $k = 1, 2$ ). Then  $M = M_{(1)} \oplus M_{(2)}$ .

$$\text{We put } Y = R - \begin{pmatrix} T_1 & X \\ 0 & \Delta \end{pmatrix} \quad \text{and} \quad Z = \begin{pmatrix} T_1 & X \\ 0 & 0 \\ & 0 & 0 \end{pmatrix} .$$

Then  $Y, Z$  are ideals in  $R$  and  $R/Y$  is hereditary,  $R/Z$  is serial. Further the structure of  $R$ -module  $M_{(1)}$  (resp.  $M_{(2)}$ ) is the same as the structure of  $R/Y$ -module (resp.  $R/Z$ -module). (We note  $\text{Hom}_R(M_{(1)}, M_{(2)}) = 0$  but  $\text{Hom}_R(M_{(2)}, M_{(1)}) \neq 0$  for some  $M$ .)

**Lemma 15.** *Let  $R$  be a right almost hereditary ring with  $J^2 = 0$  as (18). If the hereditary ring  $\tilde{R} (= R/Y) = \begin{pmatrix} T_1 & X \\ 0 & \Delta \end{pmatrix}$  satisfies (4) (resp. (4) where  $M$  is of special type), then  $R$  does the same.*

**Proof.** We use the same notations as after (19). Let  $M$  be any finitely generated  $R$ -module and  $M'$  a submodule of  $M$ . Then from the argument before Lemma 15 we obtain direct decompositions  $M = M_{(1)} \oplus M_{(2)}$  and  $M' = M'_{(1)} \oplus M'_{(2)}$ . Since  $M'_{(1)} \approx (\Sigma \oplus (h_k R^{(s_k)}) / A')$ ,  $M_{(2)} = (\Sigma \oplus (f_j R^{(t_j)}) / B$  and  $\text{Hom}_R(hR, fR) = 0$ ,  $\text{Hom}_R(M'_{(1)}, M_{(2)}) = 0$ . Hence  $M'_{(1)} \subset M_{(1)}$ . Since  $R/Z$  is serial,  $f_i R$  is  $R/Z$ -injective, provided  $f_i J \neq 0$ . Further  $f_i R$  is injective as  $R$ -modules from (18). Hence  $M'_{(2)}$  is almost projective by [11], Theorem 1. Suppose that  $N$  is local; i)  $N = hR/C$  or ii)  $N = fR/D$ , and  $M$  is almost  $N$ -projective.

i) Since  $M_{(1)}$  is almost  $N$ -projective as  $R$ -modules, we have same as  $\tilde{R}$ -module (and vice versa). Hence  $M'_{(1)}$  is almost  $N$ -projective by assumption and the fact:  $M'_{(1)} \subset M_{(1)}$ . Further since  $M'_{(2)}$  is almost projective,  $M'$  is almost  $N$ -projective.  
 ii) Since  $\text{Hom}_R(M'_{(1)}, fR/D) = 0$  for any  $D$  in  $fR$ ,  $M'_{(1)}$  is (almost)  $N$ -projective. Hence we have shown

a)  $M'$  is almost  $N$ -projective provided  $N$  is local.

Now let  $N = \Sigma \oplus N_i$ ; the  $N_i$  are indecomposable. We can find an integer  $k$  such that  $M$  is almost  $N_i$ -projective but not  $N_i$ -projective for all  $i \leq k$  and  $M$  is  $N_j$ -projective for all  $j > k$ . Then  $\Sigma_{i \leq k} \oplus N_i$  has LPSM by [6], Theorem and the  $N_i$  are local for  $i \leq k$  by [4], Theorem 1. Put  $N^1 = \Sigma_{i \leq k} \oplus N_i$ ,  $N^2 = \Sigma_{j > k} \oplus N_j$ . Noting that  $M$  is  $N^2$ -projective and  $Y$  is almost projective from the proof of Proposition 6. Further  $X$  is almost  $N_i$ -projective for all  $i \leq k$  by a). Hence since  $X$  is  $N^2$ -projective,  $X$  is almost  $N$ -projective by [6], Theorem. Therefore  $Y$  being almost projective,  $M'$  is almost  $N$ -projective.

REMARK. By the argument after the above a) we have shown that if (4) holds when  $N$  is local, then (4) holds for any  $R$ -module  $N$ .

**Lemma 16.** *Let  $R$  be a hereditary ring with  $J^2 = 0$ . Then (4) holds when  $M$  is a finite direct sum of local modules.*

Proof. Let  $M$  be almost  $N$ -projective for  $R$ -modules  $M$  and  $N$ , and  $M'$  a submodule of  $M$ . In order to show that  $M'$  is almost  $N$ -projective we may assume that  $N$  is local from the above remark. Let  $A$  be a submodule of  $gR$ , where  $g$  is a primitive idempotent. Assume that  $M$  is almost  $gR/A$ -projective and  $M = \Sigma_{i \leq n} \oplus M_i$ ; the  $M_i$  are local, i.e.  $M_i = g_i R / D_i$  for all  $i \leq n$ . We can suppose that  $M_i$  is almost  $gR/A$ -projective for all  $j > m$ . Since  $M_i$  is local and is almost  $gR/A$ -projective but not  $gR/A$ -projective,  $gR/A$  is  $M_i$ -projective for  $i \leq m$  by [4], Proposition 5. Put  $L_1 = \Sigma_{i \leq m} \oplus M_i$  and  $L_2 = \Sigma_{j > m} \oplus M_j$ , i.e.,  $M = L_1 \oplus L_2$ . Let  $\pi_i: M \rightarrow L_i$  be the projection of  $M$  onto  $L_i$  for  $i = 1, 2$ . Now we shall show that  $M'$  is almost  $gR/A$ -projective for any submodule  $M'$  of  $M$ . Put  $M' = T$  and take any diagram

$$\begin{array}{c} T \\ \downarrow h \\ gR/A \xrightarrow{\nu} gR/B \rightarrow 0 \end{array}$$

We may assume from [10], Theorem 1 that  $imh$  is simple. If  $h$  is not an epimorphism, then we obtain  $\mu: imh \rightarrow gR/A$  with  $\nu\mu = 1_{imh}$ , since  $gJ$  is semisimple. Hence we obtain  $\tilde{h} = \mu h: T \rightarrow gR/A$  with  $\nu\tilde{h} = h$ . Assume that  $h$  is an epimorphism. Then  $B = gJ$  and we obtain the isomorphism  $\tilde{h}: T/T_0 \rightarrow gR/gJ$  induced from  $h$ , where  $T_0 = h^{-1}(0)$ . Put  $\tilde{h}^{-1}(\bar{g}) = t + T_0$  ( $t = tg$ ) and  $t = t_1 + t_2$ ;

$t_i = \pi_i(t)$ . First we assume  $\pi_2(T) = \pi_2(T_0)$ . Then we may suppose  $t_2 = 0$ , and hence  $t = t_1 \in L_1$ .  $T/T_0$  being simple,  $T/T_0 \approx tR/(T_0 \cap tR)$  and we obtain a diagram

$$\begin{array}{c} gR/A \\ \downarrow v \\ gR/gJ \\ \cong \tilde{h}^{-1} \\ tR \xrightarrow{v_R} tR/(T_0 \cap tR) \rightarrow 0 \\ \cap \quad \cap \\ L_1 \rightarrow L_1/(T_0 \cap tR) \rightarrow 0, \end{array}$$

where  $h|tR = \tilde{h}v_{tR}$ .

Since  $gR/A$  is  $L_1$ -projective, we obtain  $\tilde{h}: gR/A \rightarrow tR \subset T$  with  $v = \tilde{h}v_{tR}\tilde{h} = h\tilde{h}$ . Next suppose  $\pi_2(T) \neq \pi_2(T_0)$  and  $t = t_1 + t_2$ ; we may assume  $t_2 \notin \pi_2(T_0)$  from the above argument. Then  $T/T_0$  being simple,  $T/T_0 \approx \pi_2(T)/\pi_2(T_0)$ . Since  $\pi_2(T) \subset L_2$ ,  $\pi_2(T)$  is  $gR/A$ -projective from [7], Theorem 1. Consider the diagram

$$\begin{array}{c} \pi_2(T) \\ \downarrow \rho_2 \\ \pi_2(T)/\pi_2(T_0) \\ \downarrow h' \\ gR/A \xrightarrow{v} gR/gJ \rightarrow 0 \end{array}$$

where  $h'(t_2 + \pi_2(T_0)) = \tilde{g}$  (note  $t_2g = t_2$ ).

Then there exists  $\tilde{h}': \pi_2(T) \rightarrow gR/A$  with  $v\tilde{h}' = h'\rho_2$ . Put  $\tilde{h} = \tilde{h}'\pi_2$ . For any  $y$  in  $T$   $h(y) = \tilde{h}(y + T_0) = \tilde{h}(tr + T_0) = \tilde{g}r$  for some  $r$  in  $R$ . On the other hand, since  $y = tr + t_0$ ;  $t_0 \in T_0$ ,  $y = t_1r + \pi_1(t_0) + t_2r + \pi_2(t_0)$ . Hence  $v\tilde{h}(y) = v\tilde{h}'\pi_2(y) = h'\rho_2\pi_2(y) = h'(t_2r + \pi_2(T_0)) = \tilde{g}r = h(y)$ .

Hence  $v\tilde{h} = h$ .

**Proposition 7.** *Let  $R$  be an artinian ring. Then the following are equivalent:*

- 1) (4) holds when  $M$  is local.
- 2) (4) holds when  $M$  is a finite direct sum of local modules.
- 3) Any proper submodule of every local module is almost projective.
- 4)  $R$  is a right almost hereditary ring with  $J^2 = 0$ .

Proof. 1)  $\rightarrow$  4). This is clear from the definition and [5], Proposition 7.

4)  $\rightarrow$  3). Let  $M = gR/A$ . Every proper submodule  $M'$  of  $M$  is contained in  $gJ/A$ . Since  $gJ$  is semisimple,  $gJ/A$  is isomorphic to a direct summand of  $gJ$ , which is almost projective. Hence (4) holds when  $M$  is local.

- 3) → 1). This is trivial.
- 1) ↔ 2). This is clear from Lemmas 15 and 16.

Corresponding to Theorems 1 and 2

**Corollary.** *Let  $R$  be as above. Then*

- 1) (4) holds when  $M$  and  $N$  are local if and only if  $J^2 = 0$ .
- 2) (4) holds when  $M$  is local and  $N$  is a direct sum of local modules if and only if  $J^2 = 0$  and the projective cover of  $\text{Soc}(R)$  is a direct sum of uniserial modules.
- 3) (4) holds when  $M$  is local if and only if  $J^2 = 0$  and  $R$  is right almost hereditary.

Proof. Since (5) is a generalization of (4), this is clear from Theorem 2 and Proposition 7.

**4. Examples**

Let  $L \supset K$  be fields and  $\sigma$  an automorphism of  $K$ .

1.

$$R_1 = \begin{pmatrix} K & K & {}_\sigma K & K \oplus {}_\sigma K \\ 0 & K & 0 & K \\ 0 & 0 & K & K \\ 0 & 0 & 0 & K \end{pmatrix},$$

where  $(kk'$  in  $R_1) = (\sigma(k)k'$  in  $K)$  for any  $k \in K$  and  $k' \in {}_\sigma K$ . Then  $R = R_1$  is a hereditary ring, and putting  $e_{ii} = e_i$ , we have  $e_1 R \supset e_1 J \approx e_2 R \oplus e_3 R$  and  $\text{Soc}(e_2 R) \approx \text{Soc}(e_3 R)$ . Since every simple submodule  $S$  in  $\text{Soc}(e_2 R \oplus e_3 R) (\subset e_1 J)$  is of a form  $S = \{k + \theta(k) \mid k \in \text{Soc}(e_2 R)\} \subset e_1 J$  for some isomorphism  $\theta$  of  $\text{Soc}(e_2 R)$  onto  $\text{Soc}(e_3 R)$ ,  $e_1 R e_1 S = \text{Soc}(e_1 R)$ . Hence we know from Theorem 2 that (5) holds on local module  $M$  and a direct sum of local modules  $N$ , and  $R$  is (almost) hereditary. If we replace  $K_\sigma$  with  $K$  in the above ring, then this ring has the same structure of  $R$  except iv) in Theorem 1, and (5) does not hold on this ring.

2.

$$R_2 = \begin{pmatrix} L & L & L \\ 0 & L & L \\ 0 & 0 & K \end{pmatrix}, \text{ which satisfies all conditions in Theorem 1 except i).}$$

However  $R_2$  satisfies (5) as left  $R$ -modules when  $M$  and  $N$  are local.

3.

$$R_3 = \begin{pmatrix} K & 0 & K & K \\ 0 & K & K & K \\ 0 & 0 & K & K \\ 0 & 0 & 0 & K \end{pmatrix},$$

which satisfies all conditions in  
Theorem 1 except ii).

4.  $R_4 = eK \oplus fK \oplus aK \oplus bK \oplus abK$ , where  $\{e, f\}$  is the set of mutually orthogonal primitive idempotents with  $1 = e + f$ ,  $a = eaf$  and  $b = bfb$ . Then  $R_4$  satisfies all conditions in Theorem 1 except iii)

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