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| Title         | A theorem of Calabi-Matsushima's type                                       |
| Author(s)     | Mabuchi, Toshiki  |
| Citation      | Osaka Journal of Mathematics. 39(1) p.49-p.57                               |
| Issue Date    | 2002-03   |
| oaire:version | VoR   |
| URL           | <a href="https://doi.org/10.18910/12738">https://doi.org/10.18910/12738</a> |
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## A THEOREM OF CALABI-MATSUSHIMA'S TYPE

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(Received May 30, 2000)

### 1. Introduction

In this note, we introduce the concept of the Einstein condition for a special type of conformally Kähler manifolds, called multiplier Hermitian manifolds in [7]. Then for such manifolds, an analogue of the theorems of Calabi [1] and Matsushima [8] will be proved.

For an  $n$ -dimensional compact connected Kähler manifold  $M$  with Kähler form  $\omega_0$ , let  $\mathcal{K}$  denote the set of all Kähler forms on  $M$  cohomologous to  $\omega_0$ . We write each  $\omega \in \mathcal{K}$  as

$$\omega = \sqrt{-1} \sum_{\alpha, \beta} g_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$$

by using a system  $(z^1, z^2, \dots, z^n)$  of holomorphic local coordinates on  $M$ . Let  $G := \text{Aut}^0(M)$  denote the identity component of the group of all holomorphic automorphisms of  $M$ . For a holomorphic vector field  $X$  on  $M$ , we put

$$\mathcal{K}_X := \{ \omega ; L_{X_{\mathbb{R}}} \omega = 0 \},$$

where  $X_{\mathbb{R}} := X + \bar{X}$  denotes the real vector field on  $M$  associated to  $X$ . We say that  $X$  is *Hamiltonian* if in addition to  $\mathcal{K}_X \neq \emptyset$ , the holomorphic one-parameter subgroup

$$T := \{ \exp(tX) ; t \in \mathbb{C} \}$$

of  $G$  generated by  $X$  sits in the linear algebraic part of  $G$ , i.e., for each  $\omega \in \mathcal{K}_X$ , the holomorphic vector field  $X$  is expressible as

$$\text{grad}_\omega^{\mathbb{C}} u_\omega := \frac{1}{\sqrt{-1}} \sum_{\alpha, \beta} g^{\bar{\beta}\alpha} \frac{\partial u_\omega}{\partial z^\beta} \frac{\partial}{\partial z^\alpha}$$

for some real-valued smooth function  $u_\omega \in C^\infty(M)_{\mathbb{R}}$  on  $M$ . Here  $u_\omega$  is always normalized by the condition  $\int_M u_\omega \omega^n = 0$ . Throughout this note, we fix once for all a Hamiltonian holomorphic vector field  $X \neq 0$  on  $M$ . In view of the moment map associated to the  $T$ -action on  $M$ , both  $l_0 := \min_M u_\omega$  and  $l_1 := \max_M u_\omega$  are independent

of the choice of  $\omega$  in  $\mathcal{K}_X$ . We now fix a nonconstant real-valued smooth function

$$\sigma : [l_0, l_1] \rightarrow \mathbb{R}, \quad s \mapsto \sigma(s),$$

and let  $\dot{\sigma} = \dot{\sigma}(s)$  be its derivative  $\dot{\sigma} := (\partial/\partial s)\sigma$ . We further define a function  $\psi_\omega$  in  $C^\infty(M)_\mathbb{R}$  by setting  $\psi_\omega := \sigma(u_\omega)$ , and consider the operator

$$\tilde{\square}_\omega := \sum_{\alpha,\beta} g^{\bar{\beta}\alpha} \frac{\partial^2}{\partial z^\alpha \partial \bar{z}^\beta} - \sum_{\alpha,\beta} g^{\bar{\beta}\alpha} \frac{\partial \psi_\omega}{\partial z^\alpha} \frac{\partial}{\partial \bar{z}^\beta} = \square_\omega + \sqrt{-1} \dot{\sigma}(u_\omega) \bar{X}, \quad \omega \in \mathcal{K}_X,$$

where  $\square_\omega$  denotes the Laplacian of the Kähler manifold  $(M, \omega)$ . Then to each Kähler metric  $\omega$  in  $\mathcal{K}_X$ , we associate a conformally Kähler metric

$$\tilde{\omega} := \omega \exp\left(-\frac{\psi_\omega}{n}\right),$$

which is called a *multiplier Hermitian metric of type  $\sigma$* . Here, a Hermitian form and the associated Hermitian metric are used interchangeably. The associated map

$$\psi : \mathcal{K}_X \rightarrow C^\infty(M)_\mathbb{R}, \quad \omega \mapsto \psi_\omega,$$

is called a *multiplier*, and if in addition  $\dot{\sigma}(s)$  is nowhere vanishing on the open interval  $(l_0, l_1)$ , then  $\psi$  is said to be *nonsingular*. Among nonsingular multipliers, we say that  $\psi$  is a *Fubini-Study multiplier* or a *Euclidean multiplier*, according as  $\sigma(s)$  is expressible as  $-\log(s+C)$  or  $-s+C$  for some real constant  $C$  on the whole closed interval  $[l_0, l_1]$ . Put

$$(1.1) \quad \text{Ric}_X^\sigma(\omega) := \sqrt{-1} \bar{\partial} \partial \log \tilde{\omega}^n = \text{Ric}(\omega) + \sqrt{-1} \partial \bar{\partial} \psi_\omega.$$

**DEFINITION.** A multiplier Hermitian metric  $\tilde{\omega}$  of type  $\sigma$  on the Kähler manifold  $(M, \omega)$  is said to satisfy the *Einstein condition* if  $\text{Ric}_X^\sigma(\omega) = k\omega$  for some real constant  $k$ . If  $(M, \omega)$  satisfies the Einstein condition, then  $\text{Ric}(\omega)$  is cohomologous to  $k\omega$ , and by replacing  $\omega$  by its suitable positive constant multiple, we may assume without loss of generality that  $k$  is either 0 or  $\pm 1$ . According as  $k$  is 1, 0, or  $-1$ , the Kähler class of  $\mathcal{K}$  is  $2\pi c_1(M)$ , 0 or  $-2\pi c_1(M)$ . Then only the situation  $k = 1$  can occur by our assumption  $X \neq 0$ .

Hence, we assume the Kähler class of  $\mathcal{K}$  to be  $2\pi c_1(M)$  until the end of this note. In particular,  $c_1(M) > 0$ . Then for Fubini-Study multipliers and Euclidean multipliers, the set  $\mathcal{E}_X^\sigma$  of all  $\omega \in \mathcal{K}_X$  satisfying  $\text{Ric}_X^\sigma(\omega) = \omega$  is characterized as follows:

**Theorem A.** (1) *Suppose that  $\psi$  is a Fubini-Study multiplier. Then  $\omega \in \mathcal{E}_X^\sigma$  if and only if the pair  $(\omega, X)$  satisfies the following conditions:*

- (a)  $\omega$  is a “Kähler-Einstein metric” in the sense of [6];  
 (b)  $-X$  coincides, up to a positive constant multiple, with the extremal Kähler vector field (cf. [4]) on the Kähler manifold  $(M, \omega)$ .  
 (2) Suppose that  $\psi$  is an Euclidean multiplier. Then  $\omega \in \mathcal{E}_X^\sigma$  if and only if the pair  $(\omega, X)$  is a Kähler Ricci soliton in a strong sense on the Kähler manifold  $(M, \omega)$ .

Let  $\mathcal{E}_{\text{KE}}$  denote the set of all “Kähler-Einstein metrics” in the sense of [6] in the class  $2\pi c_1(M)_{\mathbb{R}}$  on  $M$ . Note that  $G$  is a linear algebraic group by  $c_1(M) > 0$  (see for instance [2]). Then as an easy corollary of [7] together with Theorem A above, we obtain

**Corollary B.** *Let  $\mathcal{E}_{\text{KE}}$  be nonempty. Then  $\mathcal{E}_{\text{KE}}$  consists of a single  $G$ -orbit under the natural action of  $G$ .*

**Corollary C.** *If  $\omega \in \mathcal{E}_{\text{KE}}$ , then the diameter  $\text{Diam}(M, \omega)$  of the Kähler manifold  $(M, \omega)$  satisfies  $\text{Diam}(M, \omega) \leq 2\pi(2n - 1 + 4\gamma_M)^{1/2}$ , where  $\gamma_M > 0$  is a holomorphic invariant of  $M$  defined in §2 below.*

It is easily seen that by the same arguments, a similar diameter bound exists also for Kähler Ricci solitons in a strong sense.

For the Lie algebra  $\mathfrak{g} := H^0(M, \mathcal{O}(TM))$  of  $G$ , let  $Z_{\mathfrak{g}}(X)$  and  $\mathfrak{u}$  be respectively the centralizer of  $X$  in  $\mathfrak{g}$  and the Lie subalgebra of  $\mathfrak{g}$  associated to the unipotent radical of  $G$ . Then in §3, we shall see that the nonsingularity of multipliers plays a crucial role in the proof of the following analogue of the theorems of Calabi [1] and Matsushima [8]. (For partial results, see also [6] and [9].)

**Theorem D.** *Let  $\omega \in \mathcal{E}_X^\sigma$ , and assume that the associated multiplier is nonsingular. Then according as (i)  $\dot{\sigma}(s) > 0$  or (ii)  $\dot{\sigma}(s) < 0$  on  $(l_0, l_1)$ , there exists a sequence of real numbers (i)  $0 = \lambda_0 < \lambda_1 < \lambda_2 \cdots < \lambda_r$  or (ii)  $0 = \lambda_0 > \lambda_1 > \lambda_2 \cdots > \lambda_r$  for a nonnegative integer  $r$  such that*

- (a)  $\mathfrak{k}^{\mathbb{C}} = \mathfrak{g}(\lambda_0) = Z_{\mathfrak{g}}(X)$ ;  
 (b)  $\mathfrak{g}$  is, as a vector space, written as a direct sum  $\bigoplus_{i=0}^r \mathfrak{g}(\lambda_i)$ ;  
 (c)  $\mathfrak{u} = \bigoplus_{i=1}^r \mathfrak{g}(\lambda_i)$ ,

where we put  $\mathfrak{g}(\mu) := \{Y \in \mathfrak{g}; [\sqrt{-1}X, Y] = \mu Y\}$  for each real number  $\mu$ , and  $\mathfrak{k}^{\mathbb{C}}$  denotes the complexification in  $\mathfrak{g}$  of the space  $\mathfrak{k}$  of the Killing vector fields on the Kähler manifold  $(M, \omega)$ .

## 2. Proof of Theorem A

Let  $\omega \in \mathcal{K}_X$ . Since the Kähler class of  $\mathcal{K}$  is  $2\pi c_1(M)$ , there exists a unique function  $f_\omega$  in  $C^\infty(M)_{\mathbb{R}}$  such that  $\text{Ric}(\omega) = \omega + \sqrt{-1} \partial \bar{\partial} f_\omega$  and that  $\int_M (e^{f_\omega} - 1) \omega^n = 0$ .

Put

$$(2.1) \quad \tilde{f}_\omega := f_\omega + \psi_\omega + C_\omega = f_\omega + \sigma(u_\omega) + C_\omega,$$

where  $C_\omega := \log(\int_M \tilde{\omega}^n / \int_M \omega^n) \in \mathbb{R}$ . Then by (1.1), we have  $\text{Ric}_X^\sigma(\omega) = \omega + \sqrt{-1} \partial \bar{\partial} \tilde{f}_\omega$ . By the definition of  $\mathcal{E}_X^\sigma$ , we see that  $\omega \in \mathcal{E}_X^\sigma$  if and only if  $\tilde{f}_\omega$  is a constant. Hence, by (2.1),  $\omega$  belongs to  $\mathcal{E}_X^\sigma$  if and only if

$$(2.2) \quad f_\omega + \sigma(u_\omega) \text{ is a constant.}$$

DEFINITION 2.3 (cf. [6]). An element  $\omega$  in  $\mathcal{K}$  is a “Kähler-Einstein metric” in the sense of [6], if  $X_\omega := \text{grad}_\omega^\mathbb{C}(e^{f_\omega} - 1)$  is a holomorphic vector field on  $M$ . Then  $-X_\omega$  is called the *extremal Kähler vector field* on the Kähler manifold  $(M, \omega)$  (see also [4]).

DEFINITION 2.4 (cf. [5], [9]). For  $\omega$  in  $\mathcal{K}$ , we put  $W := \text{grad}_\omega^\mathbb{C} f_\omega$  and  $V := \sqrt{-1} W / 2$ . Then the pair  $(\omega, W)$  is called a *Kähler-Ricci soliton in a strong sense*, if  $W$  is a holomorphic vector field on  $M$ . Hence, if  $(\omega, W)$  is a Kähler-Ricci soliton in a strong sense, the real vector field  $V_\mathbb{R} := V + \bar{V}$  associated to the holomorphic vector field  $V$  satisfies  $L_{V_\mathbb{R}} \omega = \sqrt{-1} \partial \bar{\partial} f_\omega = \text{Ric}(\omega) - \omega$ .

Proof of (1) of Theorem A. Since  $\sigma(s) = -\log(s + C)$  for some real constant  $C$ , the statement (2.2) is equivalent to  $u_\omega = e^{-C_0} e^{f_\omega} - C$  for some real constant  $C_0$ . Then by  $\int_M u_\omega \omega^n = \int_M (e^{f_\omega} - 1) \omega^n = 0$ , this is further equivalent to the following statement:

$$(2.5) \quad u_\omega \quad \text{and} \quad e^{f_\omega} - 1 \quad \text{coincide up to a positive constant multiple.}$$

Hence, by Definition 2.3 above, the conditions (a) and (b) are satisfied.

On the other hand, let the pair  $(\omega, X)$  satisfy the conditions (a) and (b). By (b) together with (a), there exists a positive constant  $C_1 > 0$  such that

$$(e^{f_\omega} - 1) - C_1 u_\omega$$

is a constant. Since  $\int_M u_\omega \omega^n = \int_M (e^{f_\omega} - 1) \omega^n = 0$ , we now obtain (2.5), and hence  $\omega \in \mathcal{E}_X^\sigma$ , as required. This now completes the proof of (1) of Theorem A.  $\square$

Proof of (2) of Theorem A. Since  $\sigma(s) = -s + C$  for some real constant  $C$ , the statement (2.2) is equivalent to

$$(2.6) \quad u_\omega \text{ and } f_\omega \text{ coincide up to an additive real constant.}$$

Hence, by using the notation in Definition 2.4,  $W = \text{grad}_\omega^\mathbb{C} f_\omega = \text{grad}_\omega^\mathbb{C} u_\omega = X$  is holomorphic. Thus, the pair  $(\omega, X)$  is a Kähler-Ricci soliton in a strong sense.

Next, let  $(\omega, X)$  be a Kähler-Ricci soliton in a strong sense. Then by Definition 2.4,  $X$  coincides with  $\text{grad}_\omega^{\mathbb{C}} f_\omega$ , and is a holomorphic vector field on  $M$ . On the other hand, by  $X = \text{grad}_\omega^{\mathbb{C}} u_\omega$ , we obtain (2.6), and hence  $\omega \in \mathcal{E}_X^\sigma$ , as required. This now completes the proof of (2) of Theorem A.  $\square$

Proof of Corollary B. For an element  $\omega$  in  $\mathcal{E}_{\text{KE}}$ , by Definition 2.3,  $-X_\omega$  is the associated extremal Kähler vector field. Now, let  $\omega', \omega'' \in \mathcal{E}_{\text{KE}}$ . By [4], there exists  $\hat{g} \in G$  such that

$$(2.7) \quad X'' = \text{Ad}(\hat{g})X' = \hat{g}^*X',$$

where we put  $X' := X_{\omega'}$  and  $X'' := X_{\omega''}$  for simplicity. Write  $X' = \text{grad}_\omega^{\mathbb{C}} u'$  and  $X'' = \text{grad}_\omega^{\mathbb{C}} u''$ , where  $u' := e^{f_{\omega'}} - 1$  and  $u'' := e^{f_{\omega''}} - 1$ . Since  $\omega'$  and  $\hat{g}^*\omega''$  are in the same Kähler class, by [6] and  $\int_M u'(\omega')^n = \int_M u''(\omega'')^n = 0$ , we obtain

$$(2.8) \quad \begin{cases} \max_M u' \quad (= \max_M \hat{g}^*u'') = \max_M u'' = \beta_M, \\ \min_M u' \quad (= \min_M \hat{g}^*u'') = \min_M u'' = -\alpha_M, \end{cases}$$

for some nonnegative real constants  $\alpha_M, \beta_M$  satisfying  $\alpha_M < 1$ . Then by setting  $\sigma(s) := -\log(s+1)$ , we see from Theorem A that

$$\omega' \in \mathcal{E}_{X'}^\sigma \quad \text{and} \quad \omega'' \in \mathcal{E}_{X''}^\sigma.$$

Now by (2.7),  $\mathcal{E}_{X'}^\sigma = \hat{g}^*\mathcal{E}_{X''}^\sigma$ , and hence both  $\omega'$  and  $\hat{g}^*\omega''$  belongs to  $\mathcal{E}_{X'}^\sigma$ . On the other hand, by [7, Theorem C],  $\mathcal{E}_{X'}^\sigma$  consists of a single  $Z^0(X')$ -orbit, where  $Z^0(X')$  denotes the identity component of the subgroup

$$Z(X') := \{g \in G; \text{Ad}(g)X' = X'\}$$

of  $G$ . Then  $\omega' = g^*(\hat{g}^*\omega'') = (\hat{g}g)^*\omega''$  for some  $g \in Z^0(X')$ . We now conclude that  $\mathcal{E}_{\text{KE}}$  consists of a single  $G$ -orbit, as required.  $\square$

Proof of Corollary C. Note that  $\alpha_M$  and  $\beta_M$  in (2.8) are holomorphic invariants of  $M$ . Put

$$\gamma_M := \max\{\log(1 + \beta_M), -\log(1 - \alpha_M)\} > 0,$$

which is also a holomorphic invariant of  $M$ . Since the function  $\sigma(s) = -\log(s+1)$  is considered on the interval  $I = [-\alpha_M, \beta_M]$ , and since  $\max_{s \in I} |\sigma(s)| = \gamma_M$ , we now apply the diameter estimate in [7, Theorem B] to the case  $(\nu, c) = (1, \gamma_M)$ , we obtain  $\text{Diam}(M, \omega) \leq 2\pi(2n-1+4\gamma_M)^{1/2}$ , as required.  $\square$

REMARK 2.9. Only in this remark, we get rid of the assumption that  $M$  is compact. In order to see why the terminology ‘‘Fubini-Study’’ or ‘‘Euclidean’’ is used, we

consider the noncompact case where  $M = \mathbb{C}^n = \{z = (z^1, z^2, \dots, z^n) \in \mathbb{C}^n\}$ . Put

$$\omega = \sqrt{-1} \sum_{\alpha} dz^{\alpha} \wedge dz^{\bar{\alpha}}, \quad u_{\omega} := \sum_{\alpha} |z^{\alpha}|^2, \quad X := \frac{1}{\sqrt{-1}} \sum_{\alpha} z^{\alpha} \frac{\partial}{\partial z^{\alpha}}.$$

(a) If  $\sigma(s) = -\log(s + C)$  with  $C > 0$ , then  $e^{-\psi_{\omega}} \omega^n = e^{-\sigma(u_{\omega})} \omega^n = n! (u_{\omega} + C) \Pi_{\alpha}(\sqrt{-1} dz^{\alpha} \wedge dz^{\bar{\alpha}})$ , and the corresponding  $\text{Ric}_X^{\sigma}(\omega)$  is given by

$$-\text{Ric}_X^{\sigma}(\omega) = \sqrt{-1} \partial \bar{\partial} \log(u_{\omega} + C) = \sqrt{-1} \partial \bar{\partial} \log(\sum_{\alpha} |z^{\alpha}|^2 + C),$$

and by letting  $C \rightarrow 0$ , this converges to the pullback of the Fubini-Study form by the natural projection of  $\mathbb{C}^n \setminus \{0\}$  onto  $\mathbb{P}^{n-1}(\mathbb{C})$ . This is why multipliers associated to  $\sigma(s) = -\log(s + C)$  are called Fubini-Study multipliers.

(b) If  $\sigma(s) = -s + C$ , then  $e^{-\psi_{\omega}} \omega^n = e^{-\sigma(u_{\omega})} \omega^n = n! e^{u_{\omega} - C} \Pi_{\alpha}(\sqrt{-1} dz^{\alpha} \wedge dz^{\bar{\alpha}})$ , and the corresponding  $\text{Ric}_X^{\sigma}(\omega)$  is given by

$$-\text{Ric}_X^{\sigma}(\omega) = \sqrt{-1} \partial \bar{\partial} u_{\omega} = \omega,$$

which is the Kähler form associated to the standard Euclidean metric on  $\mathbb{C}^n$ . Therefore, multipliers associated to  $\sigma(s) = -s + C$  are called Euclidean multipliers.

### 3. Proof of Theorem D

The purpose of this section is to prove Theorem D. Since  $G$  is a linear algebraic group, every element in  $\mathfrak{g}$  is uniquely written as  $\text{grad}_{\mathbb{C}}^{\mathbb{C}} \varphi$  for some  $\varphi \in C^{\infty}(M)_{\mathbb{C}}$  satisfying  $\int_M \varphi \omega^n = 0$ , where  $\omega$  is as in Theorem D. Before getting into the proof of Theorem D, we give the following remark:

REMARK 3.1. In Theorem D, let  $K$  denote the connected Lie subgroup of  $G$  generated by  $\mathfrak{k}$ . Then  $K$  is easily shown to be a maximal compact subgroup in  $G$  as follows: Take an arbitrary compact subgroup  $K'$  in  $G$  such that  $K \subset K'$ , and the proof is reduced to showing  $K' = K$ . We first observe that, by the below proof of Theorem D, the group  $K$  coincides with the connected component of  $K'$ . Hence, it suffices to show that  $K'$  is connected. Let  $U$  be the unipotent subgroup of  $G$  generated by  $\mathfrak{u}$ , and consider the connected reductive algebraic subgroup  $K^{\mathbb{C}}$  of  $G$  obtained as the complexification of  $K$  in  $G$ . Then by the Chevalley decomposition, we can write  $G$  as a semidirect product

$$G = K^{\mathbb{C}} \ltimes U.$$

Let  $\rho : G \rightarrow G/U (= K^{\mathbb{C}})$  be the natural quotient homomorphism. Since the image  $\rho(K')$  is a compact group containing  $K$ , and since  $K$  is a maximal compact subgroup of  $K^{\mathbb{C}}$ , the groups  $\rho(K')$  and  $K$  coincide. In particular,  $\rho(K')$  is connected. On the

other hand, the kernel of the restriction

$$\rho|_{K'} : K' \rightarrow K^{\mathbb{C}}$$

is a compact subgroup of  $U$ , and is a trivial group. Hence,  $\rho|_{K'}$  is injective, and we now conclude that  $K'$  is connected, as required.

Proof of Theorem D. As to the sign of the function  $\dot{\sigma}$  on  $(l_0, l_1)$ , it is easily seen that the proof for  $\dot{\sigma} > 0$  and that for  $\dot{\sigma} < 0$  are similar. Hence, we may assume  $\dot{\sigma} > 0$  on the open interval  $(l_0, l_1)$  without loss of generality. By  $X \in \mathfrak{k}$ , we see that  $\mathfrak{g}$  has an  $\text{ad}(X)$ -invariant  $\mathbb{C}$ -linear subspace  $\mathfrak{m}$  containing  $\mathfrak{u}$  such that  $\mathfrak{g}$  is a direct sum  $\mathfrak{k}^{\mathbb{C}} \oplus \mathfrak{m}$  of vector spaces. There exist sequences of real numbers  $\lambda_1 < \lambda_2 < \cdots < \lambda_r$  and  $\mu_1 < \mu_2 < \cdots < \mu_m$  such that

$$\mathfrak{m} = \bigoplus_{i=1}^r \mathfrak{g}(\lambda_i) \quad \text{and} \quad \mathfrak{k}^{\mathbb{C}} = \bigoplus_{j=1}^m \mathfrak{g}(\mu_j).$$

Then the proof is reduced to showing  $\lambda_1 > 0 = \mu_1$  and  $m = 1$ . Because if we can show these, then (a) and (b) follow immediately, and an argument in [1, p. 109] shows that  $\mathfrak{k}$  is the Lie algebra of a maximal compact subgroup of  $G$ , which together with  $\mathfrak{u} \subset \mathfrak{m}$  implies the equality  $\mathfrak{u} = \mathfrak{m}$  and (c) above. Now by (2.2), our assumption  $\omega \in \mathcal{E}_X^\sigma$  allows us to write  $f_\omega = -\psi_\omega + C$  for some real constant  $C$ . Hence,

$$\tilde{\square}_\omega = \square_\omega + \sum_{\alpha, \beta} g(\omega)^{\beta\alpha} \frac{\partial f_\omega}{\partial z^\alpha} \frac{\partial}{\partial z^\beta}.$$

Let  $\tilde{\mathfrak{g}}$  (resp.  $\tilde{\mathfrak{k}}$ ) denote the space  $\text{Ker}_{\mathbb{C}}(\tilde{\square}_\omega + 1)$  (resp.  $\text{Ker}_{\mathbb{R}}(\tilde{\square}_\omega + 1)$ ) of all complex-valued (resp. real-valued)  $C^\infty$  functions  $u$  on  $M$  such that  $(\tilde{\square}_\omega + 1)u = 0$ . Put  $\tilde{\mathfrak{k}}^{\mathbb{C}} := \tilde{\mathfrak{k}} + \sqrt{-1}\tilde{\mathfrak{k}}$ . By [3, p. 41], we have an isomorphism  $\tilde{\mathfrak{g}} \cong \mathfrak{g}$  (resp.  $\tilde{\mathfrak{k}}^{\mathbb{C}} \cong \mathfrak{k}^{\mathbb{C}}$ ) of complex Lie algebras by sending each  $u$  in  $\tilde{\mathfrak{g}}$  (resp.  $\tilde{\mathfrak{k}}^{\mathbb{C}}$ ) to  $\text{grad}_\omega^{\mathbb{C}} u$  in  $\mathfrak{g}$  (resp.  $\mathfrak{k}^{\mathbb{C}}$ ). The preimage of  $\mathfrak{m}$  under the isomorphism  $\tilde{\mathfrak{g}} \cong \mathfrak{g}$  will be denoted by  $\tilde{\mathfrak{m}}$ . Let  $v$  be a nontrivial element of  $\tilde{\mathfrak{g}}$ . Then

$$\int_M \bar{v} e^{f_\omega} \omega^n = \overline{\int_M v e^{f_\omega} \omega^n} = -\int_M (\tilde{\square}_\omega v) e^{f_\omega} \omega^n = 0,$$

where all eigenvalues of  $-\tilde{\square}_\omega$  are nonnegative real numbers and its first positive eigenvalue is 1 (cf. [3]). Hence,

$$(3.2) \quad \begin{cases} \int_M (-\tilde{\square}_\omega \bar{v}) v e^{f_\omega} \omega^n > \int_M |v|^2 e^{f_\omega} \omega^n & \text{if } v \in \tilde{\mathfrak{m}}; \\ \int_M (-\tilde{\square}_\omega \bar{v}) v e^{f_\omega} \omega^n = \int_M |v|^2 e^{f_\omega} \omega^n & \text{if } v \in \tilde{\mathfrak{k}}^{\mathbb{C}}. \end{cases}$$



On the other hand, by  $(\tilde{\square}_\omega + 1)v = 0$ ,

$$(3.3) \quad \int_M (-\tilde{\square}_\omega v) \bar{v} e^{f_\omega} \omega^n = \int_M |v|^2 e^{f_\omega} \omega^n.$$

Subtracting (3.3) from (3.2), we see that  $\int_M \{(-\tilde{\square}_\omega \bar{v})v + (\tilde{\square}_\omega v)\bar{v}\} e^{f_\omega} \omega^n$  is positive or zero, according as  $v \in \tilde{\mathfrak{m}}$  or  $v \in \tilde{\mathfrak{k}}^{\mathbb{C}}$ . Then we obtain

$$(3.4) \quad \begin{cases} \int_M 2\sqrt{-1} \{(\operatorname{Im} \tilde{\square}_\omega)v\} \bar{v} e^{f_\omega} \omega^n > 0, & \text{if } v \in \tilde{\mathfrak{m}}; \\ \int_M 2\sqrt{-1} \{(\operatorname{Im} \tilde{\square}_\omega)v\} \bar{v} e^{f_\omega} \omega^n = 0, & \text{if } v \in \tilde{\mathfrak{k}}^{\mathbb{C}}, \end{cases}$$

where  $\operatorname{Re} \tilde{\square}_\omega$  (resp.  $\operatorname{Im} \tilde{\square}_\omega$ ) are the real (resp. imaginary) part of  $\tilde{\square}_\omega$ , so that  $\tilde{\square}_\omega = \operatorname{Re} \tilde{\square}_\omega + \sqrt{-1} \operatorname{Im} \tilde{\square}_\omega$ . In view of  $f_\omega = -\sigma(u_\omega) + C$ , we here observe that

$$(3.5) \quad 2\sqrt{-1} \{(\operatorname{Im} \tilde{\square}_\omega)v\} = (\sqrt{-1})^{-1} [f_\omega, v] = \dot{\sigma}(u_\omega) [\sqrt{-1}u_\omega, v],$$

where the Poisson bracket is defined as in [4]. If  $0 \neq \operatorname{grad}_\omega^{\mathbb{C}} v \in \mathfrak{g}(\lambda_1)$ , then we have  $[\sqrt{-1}u_\omega, v] = \lambda_1 v$ , and by the positivity of  $\dot{\sigma}(s)$  on  $(l_0, l_1)$ , (3.5) together with the first line of (3.4) implies  $\lambda_1 > 0$ . Next, we consider the case  $0 \neq \operatorname{grad}_\omega^{\mathbb{C}} v \in \mathfrak{g}(\mu_j)$ . Then  $[\sqrt{-1}u_\omega, v] = \mu_j v$ , and by the positivity of  $\dot{\sigma}(s)$  on  $(l_0, l_1)$ , (3.5) and the second line of (3.4) show that  $\mu_j = 0$ , which implies the equalities  $m = 1$  and  $\mu_1 = 0$ , as required.  $\square$

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