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STUDIES ON ELECTRON SCATTERING BY MERCURY ATOMS
AND
ELECTRON SPIN POLARIZATION DETECTOR

1977

Yasunori YAMAZAKI

Fundamental laws of Nature do not govern the world as it appears in our mental picture in any very direct way, but instead they control a substratum of which we cannot form a mental picture without introducing irrelevancies.

P.A.M. Dirac

(The Principles of Quantum Mechanics)

Dedicated to my mother

Preface and Acknowledgements

Since N.F.Mott's prediction that unpolarized electrons may undergo considerable spin polarization during scattering with a heavy atom, many experimental and theoretical investigations have been performed in the area of atomic physics. Currently, experiments concerning spin polarization have attracted much attention from various fields of physics, particularly surface physics.

One of the most important considerations in spin polarization experiment is the spin polarization detector, which is markedly inefficient and structurally complex.

In the present study, a polarization detector which utilizes electron-atom scattering was systematically investigated and the optimum operation conditions has clearly been determined. For this purpose, electron-mercury scattering was studied in both an experimental and a theoretical view point. The elastic scattering cross section calculated herein has turned out to provide useful information for understanding the generation of Auger electrons in solids. Furthermore, the study of the inelastic process leads to intimate insight to plasma physics.

The present paper is comprised of two sections; Part I, which includes Chapter 1-5, concerns the study of the electron-mercury scattering process. Part II, which includes Chapter 6-8, concerns the study of the electron-spin polarization detector which utilizes electron-mercury scattering.

Introducing Part I, Chapter 1 describes general concepts and the history of electron-mercury scattering.

Chapter 2 deals with the theoretical treatment of elastic scattering, based on the partial wave expansion method. The calculations of both the differential cross section and the Sherman function are also included in this chapter for incident electron energies between 300 and 2000 eV.

Chapter 3 discusses the theoretical treatment of inelastic scattering, based on distorted wave Born approximation. The calculation for both the differential cross section and the spin polarization for 6^1P excitation are performed for incident electron energies between 50 and 500 eV.

Chapter 4 is treating the apparatus constructed for observation of the loss-spectra of electron-mercury scattering, together with measured results of incident energies between 300 and 1000 eV and scattering angles between 50° and 110° .

Chapter 5 compares experimental (including the data in Chapter 4) and theoretical (Chapter 3) results for 6^1P excitation.

As an introduction to Part II, Chapter 6 generally describes the electron spin polarization detector.

Chapter 7 describes how the optimum conditions for the spin polarization detector were determined using the results of Chapters 2 and 4, and compares it to Mott detector.

Chapter 8 treats the construction of the apparatus for double scattering experiments which allows to perform experiment under the optimum conditions suggested in Chapter 7. Also described in this chapter, is the Pierce type electron gun with a single crystal LaB_6 cathode which was used in the experiment with considerable success and is of most practical use for high power electron beam source.

Research for the present thesis was carried out under the direction of Professor H.Hashimoto of the Department of Applied Physics, Osaka University.

The author wishes to thank Professor H.Hashimoto for the encouragement and stimulus during the course of the research. Several critical suggestions from Professors A. Mitsuishi and I. Shoji of Department of Applied Physics have been most helpful. Suggestions by Professor T.E.Everhart of Univ. of California at Berkeley provided more impetus for an investigation of Pierce type electron gun with single crystal LaB_6 cathode.

The author would like to express his gratitude to Professor R.Uchiyama of Faculty of Science for my initiation in the field of quantum theory of scattering.

To Associate Professor R.Shimizu, I am very thankful for critical suggestions and systematic discussion of both experiments and theory throughout the present work. The author would also like to express thanks to Dr. K. Ueda for his help with some aspects of the construction of the experimental apparatus and to Mr. Endoh for his advice on computer calculation procedures. I am thankful to my colleagues Mr.M.Shikata for his assistance in the development and successful operation of the Pierce electron gun, Messrs. S. Ichimura and T. Okutani for many stimulating discussions, Miss K.Nishiyama for her typing skill, and all other members of the Hashimoto Laboratory who supported the present work in various aspects.

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PART I ELECTRON-MERCURY SCATTERING

CHAPTER 1 INTRODUCTION

1-1 General Concept of Electron-Mercury Scattering

In low and intermediate energy electron scattering by heavy atoms, electrons are strongly diffracted by an atomic potential because the electron wave length is the same order of magnitude with an atomic radius (see Appendix 1 for detailed discussion). The scattering intensity exhibits apparent oscillatory features (diffraction pattern) as a function of both the scattering angle and incident electron energy (see Sec.2-5). From a theoretical viewpoint, this means that only a few partial waves contribute to the scattering process, as Arnot (1931) first pointed out. In contrast to atomic potential, spin-orbit interaction is usually very weak, even in electron-heavy atom scattering. However, spin-orbit interaction becomes dominant at a deep minimum of the oscillatory features, at which the effective atomic potential becomes extremely weak. Since spin-orbit interaction is non-uniform over azimuthal angles where the z-axis follows the direction of the incident electrons, it would be expected that some effects concerning electron spin polarization take place at the deep minimum of diffraction patterns.

An ensemble of electrons is referred to as polarized if the electrons are unequally populated with respect to the two spin states. The polarization P of the electron ensemble is defined by $P = (N_{\uparrow} - N_{\downarrow}) / (N_{\uparrow} + N_{\downarrow}) = 2 \cdot \{ (\frac{1}{2}) \cdot N_{\uparrow} + (-\frac{1}{2}) N_{\downarrow} \} / (N_{\uparrow} + N_{\downarrow})$, twice the expectation value of spin, where N_{\uparrow} and N_{\downarrow} equal the number of electrons with parallel or anti-parallel spin directions respectively in regards to a fixed direction.

1-2 Historical Review of Electron-Mercury Scattering

Since the historic experiment of Franck and Hertz (1914), mercury atoms were often used as a scatterer in early stages of scattering experiments because of their moderate vapour pressure and high atomic number ($Z=80$), which produces high scattering intensity. Quite a few experiments were performed in systems of various types (see Table 1-1). In the next decade, however, few investigations were carried out because the complicated atomic electron configuration makes theoretical application quite difficult. Success of measurement of electron spin polarization (ESP) by

electron-mercury double scattering (Deichsel 1961) revived experiments concerning electron heavy atom scattering (Kessler 1970 and 1976, and Eckstein 1970, another review papers are summarized at the top of the reference section).

It is widely known that the macroscopic magnetic field cannot orderly align the spin direction of free electrons (see Appendix 2). The detectability and producibility of polarized electrons for free states of electrons was first predicted by Mott (1929, 1932) in application of the relativistic electron theory (Dirac 1928) to double scattering, using Coulomb potential. This treatment predicted the finite ESP for high energy electrons ≥ 100 keV scattered at a large angle by a gold atom. This condition corresponds to the condition that the spin-orbit interaction is larger or comparable to the electro-static potential.

In regards to the ESP experiment, since Langstroth's early experiment (1932), the first measurement using electron-gold foil double scattering was performed by Shull et al. (1943), where the measured spin polarization amounts to 12% (polarization) at an electron energy of 340 keV. This is in close agreement to Mott's theoretical prediction (10%).

Later, Bartrett and Welton (1941) and Massey and Mohr (1941) proposed that the screening effect on Coulomb potential by atomic electrons played an important role at electron impact energy of lower than 10 keV, and that a large spin polarization could be expected for gold, even at this energy region. Large polarization occurs because of diffraction effect which is referred to in Sec.1-1 and Appendix 1. Deichsel (1961) first detected spin polarization at these low impact energies for mercury double scattering at incident electron energy from 1 to 2 keV, and the maximum polarization was found to be approx. 40% for 1500 eV at a scattering angle of 90° .

Thereafter, numerous experiments were performed and theoretical calculations made for ESP with various scatterers under various scattering conditions (see Tables 1-1, 1-2 and 6-1 for detailed references). In general, close agreement has been obtained for theory and experiment, particularly in elastic scattering of electron-atom system.

Currently, ESP experiments have extended to surface physics in order to investigate the surface states of solids in detail.

On the other hand, a detailed study of the electron impact spectra for mercury has been made by Skerbele et al. (1969), and Skerbele and Lassettre (1972) for a small scattering angle at hundreds eV electron energies, in order to investigate the usefulness of Born approximation (i.e. the utility of the concept as "generalized oscillator strength").

1-3 Bibliographies of the Research of Electron-Mercury Scattering

The bibliographies concerning electron-mercury scattering is summarized in Table 1-1 and Table 1-2 for experiment and theory respectively. ESP experiments concerning electron-atom scattering for atoms other than mercury are also included.

As can be seen in Table 1-1, there is sufficient experimental data for elastic scattering of both the cross section and ESP at various energies and scattering angles. However, few experiments have been performed concerning inelastic scattering, particularly loss spectra at large scattering angles in an intermediate energy region. Experiments for ESP measurements of inelastically scattered electrons are equally scarce.

In regard to theoretical aspects, the case is similar. That is, for elastic scattering, many calculations on both the cross section and ESP have been made, with results comparable to experimental data. In inelastic scattering, however, only calculations for 6^1P and 6^3P excitation at low impact energies have been made.

Table 1-1 . Bibliographies on experiments of electron-mercury scattering and related experiments .

Hg				Franck and Hertz(1914)
Hg	Forward	10-17 eV	Loss spectra retarding mesh	Davis and Goucher(1917)
Hg	Forward	4.8-10.4 eV	Loss spectra, retarding mesh	Eldridge(1922)
Hg	Forward	threshold-40 eV	σ , inel, loss spectra	Whitney(1929)
Hg	Forward	4-41 eV	σ , inel, loss spectra	Foard(1930)
Hg	5-60°		σ , el, inel.	Arnot(1930)
Hg	15-125°	8.6-800 eV	σ , el.	Arnot(1931)
Ar				Bullard and Massey (1931)
				Pearson and Arnquist(1931)
	90°	1,2,10 keV	P	Langstroth(1932)
He,Ar,Hg	20-160°	23-196 eV	σ , el, inel.	Mohr and Nicoll(1932)
Hg	50-120°	80-700 eV	σ , el, inel.	Tate and Palmer(1932)
Hg	Total	8-200 eV	σ , el, inel.	Arnot(1935)
Au	90°	340keV	P, el.	Shull et al.(1943)
Au		194 keV	Mott Detector	Greenberg et al.(1960)
Hg	60-110°	1-2 keV	σ , P, el.	Deichsel(1961)
Au	30-155°	150-1900 eV	P, el.	Reichert(1963)
Hg	30-150°	900 eV	P, el.	Deichsel(1964)
Hg	30-150°	900-1500 eV	P, el.	Deichsel and Reichert (1965)
Hg	30-150°	300-700 eV	P, el.	Steidl et al.(1965)
Hg	25-150°	200-4000 eV	σ , el.	Kessler (1965)
He,Ne,Ar, Kr,Xe,Hg	Forward	20 eV	σ , resonance scattering	Kuyatt et al.(1965)
Hg(solid)	30-150°	300 eV	σ , P, el.	Loth(1966)

- to be continued -

Hg	30-150°	3.5, 7, 23, 45 eV	σ , P	Deichsel et al.(1966)
Hg	30-150°	180-1700 eV	P, el.	Jost and Kessler (1966)
Hg	30-150°	100-2000 eV	σ , P,el.	Eitel(1967)
He,Ne,Ar, Kr,Xe	20-155°	5-1000 eV	P, el.	Mehr(1967)
Hg	45-135°	46-204 keV	σ , el.	Kessler (1968)
He,Ne,Ar, Kr,Xe	30-150°	40-150 eV	P,el.	Schakert(1968)
Hg		180-900 eV	P,el. influence of plural scattering	Eitel et al.(1968)
Hg	Forward	50-300 eV	σ , loss spectra	Skerbele et al.(1969)
Hg	2.5, 20°	300-500 eV	σ , el., absolute	Bromberg(1969)
Hg	80-140°	6.75 eV	P, el.	Wilmers et al.(1969)
Hg	95°	6.75 eV	P,ESP-detector	Gehenn et al.(1969)
Hg	20-130°	25,180 eV	σ , P, 6^1P	Eitel and Kessler(1970)
Hg	20-155°	20-300 eV	σ , el., 6^1P	Gronemeier(1970)
Hg	Forward	300-500 eV	6^1P generalized oscillator strength	Skerbele and Lassettre(1970)
Sb ₄	20-150°	50-1000 eV	P	Kessler et al.(1971)
Hg	20-130°	25,30,50,180 eV	P, 6^1P	Eitel(1971)
Hg	Forward	500 eV	inel., absolute generalized oscillator strength	Skerbele and Lassettre(1972)
Hg	20-150°	30-300 eV	σ , P, 6^1P , $6p^1^3P$	Hanne et al.(1972)
Hg		90-600 eV	6^1P , $6p^1^3P$ generalized oscillator strength	
Hg	30-150°	4-5 eV	σ , resonance scattering	Duweke et al.(1973)
Hg			inel.	Bass (1974)

- to be continued -

Hg			life time 6^3P	King and Adams(1974)
Hg	forward	4-10 eV	exchange excitation of 6^3P	Hanne and Kessler(1976)
Hg	30-150°	4.4-6.1 eV	σ , P, resonance scattering	Duweke et al.(1976)
Hg			σ , electron impact excitation of $6^3P_{0,2}$	Krause et al.(1977)
Xe	20-155°	150-1200 eV	P, el.	Kessler et al.(1977)
Hg	50-110°	300-1000 eV	σ , loss spectra	Yamazaki et al.(1977)

Table 1-2 . Bibliographies on the theory of electron-mercury scattering and the related theoretical calculations.

			application of Dirac theory to scattering	Darwin(1928)
Hg	Total	6.7-40 eV	σ , inel	Penny(1932)
Au	90°	0.1-150 keV	P,el. screened Coulomb	Massey and Mohr(1941)
Hg		100,230 keV	σ ,el. Hartree	Bartlett and Welton (1941)
Hg	30-180°	1.95-121 keV	σ , P	Mohr(1954)
Au	30-150°	150MeV		Yennie et al.(1954)
Au,Cd,Hg	15-165°	20-650 keV	σ ,P,el. Coulomb pot.	Sherman(1956)
Au,Al	0-180°	75,121 keV	σ ,P,el. screened Coulomb	Sherman and Nelson(1959)
Hg	0-180°	1-2 keV	σ ,P,el. rel. Hartree pot.	Bunyan(1963)
			σ ,P,el. Coulomb pot.	Gluckstern and Lin(1964a)
			σ ,P,el. Coulomb pot.	Gluckstern and Lin(1964b)
Hg,Au,Cu	10-170°	50-400 keV	σ ,P,el. screened Coulomb	Lin(1964)
Au,Hg		0.2-290 keV	σ ,P,el.	Holzwarth and Meister(1964a,b)
			quadratic correction of the potential	Bühring(1965)
			power series expansion	Bühring(1965)
Hg	30-150°	100-2000 eV	rel.Hartree σ ,P,el.	Bunyan (1965)
Hg,Au,Bi		10-500 eV	σ ,P,el. calculation of Hartree pot.	Schonfelder(1966)
			rel.effect	Spruch(1966)
			rel.effect	Rotenberg(1966)
			rel.effect	Browne and Bauer(1966)
Hg,Au,Bi	30-150°	10-500 eV	σ ,P,el.	Schonfelder(1967)

-to be continued-

			rela. effect	Dawson(1967)
Z=1-54		10-100 keV	σ , el.	Cox(1967)
Hg	0-180°	7-180 eV	rel.effect σ ,P	Meister(1968)
Hg	Total	6.7-200 eV	σ ,oscillator strength	McConnel and Moiseiwitsch (1968)
Hg	30-150°	20eV-150 keV	σ , rel.effect	Yates and Strand(1968)
Hg	45-180°	46-204 keV	σ , el.	Bühring(1968)
Hg		100-2000 eV	P, el.complex scattering amplitude	Bühring(1968)
Hg			tests of atomic pot.	Yates and Fink(1969)
Hg		3.5-500 eV	σ , P,el. influence of rel. and exchange	Walker(1969)
Hg,Ar	0-180°	3.5-8 eV	σ ,P,el. influence of atomic polarization	Weiss(1969)
Hg			σ ,el. influence of inelastic channel	Mohr(1969)
H,He,C,Ne, Ar,Kr,Rb, Xe,Cs,Au, Hg,Pb,Bi		25-800 eV	rel.Hartree-Fock- Slater	Fink and Yates(1970-a)
		100-1500 eV		Fink and Yates(1970-b)
Hg		3.5-100 eV	σ ,P,el. influence of distortion	Walker(1970)
Hg	0-180°	25-180 eV	σ ,P, 6 ¹ P DWB approx.	Madison and Shelton(1973)
Li,Na,Mg, P,K,Ca,Ga, Br,Sr,Mo, Rh,Cd,Ba, W,Os		25-1500 eV 100-1500 eV	rel. Hartree-Fock- Slater pot.	Gregory(1974) (1970)

-to be continued-

Hg	30-150°	300-2000 eV	$\sigma_{P,el.}$ optimum condition of ESP detector	Yamazaki et al.(1976)
Hg	Forward		$\sigma_{ex}, P, 6^3P$	Hanne(1976)
Hg	Forward	4-12eV	$\sigma_{6^1P},$ Born-Okhur	Moiseiwitsch(1976)
Hg	0-180°	300-2000 eV	σ_P non-rel.Hartree	Yamazaki et al.(1977)
Hg	0-180°	50-500 eV	$\sigma_{P,6^1P}$ DWB	Yamazaki et al.(1977)

CHAPTER 2 THEORY OF ELECTRON-MERCURY ELASTIC SCATTERING

----- MODIFICATION AND EXTENTION OF CURRENT THEORY

2-1 Introduction

This chapter views elastic scattering theoretically employing partial wave expansion, for which detailed calculation procedures applicable to computer use have been developed by many authors (see Table 1-1). Calculation has been made for energy regions not studied previously using an accurate and fast calculation procedure developed for high speed digital computer (Yamazaki et al. 1976 and 1977). The theories are generally formulated for use in Chapter 3.

2-1-1 Necessity of Relativistic Treatment

In theoretical treatment of electron heavy atom scattering, particular points should be noted. First, the system should be treated relativistically even in the non-relativistic energy regions of incident electrons. This may be interpreted qualitatively as follows; The atomic potential attracts electrons and because of a high Z number, is very strong. The electrons passing through near a nucleus may be accelerated to near light velocity, which in turn necessitates relativistic correction of the electron mass as a distance function between the electron and nucleus.

Table 2-1. Comparison of phase shift calculated relativistically and non-relativistically for Hg and Ar (Meister and Weiss 1968).

l	Hg 45 eV				Ar 40 eV			
	relativistic		nonrelativistic		relativistic		nonrelativistic	
	δ_l	δ_{-l-1}	δ_l	δ_{-l-1}	δ_l	δ_{-l-1}	δ_l	δ_{-l-1}
0	-1.304		-0.361		0.386		0.402	
1	-1.364	-0.981	-0.568	-0.192	1.214	1.230	1.206	1.231
2	-0.746	-1.010	-0.719	-0.641	1.247	1.247	1.240	1.240
3	0.730	-1.104	0.798	0.810	0.172	0.163	0.171	0.163
4	0.255	0.080	0.296	0.296	0.041	0.042	0.041	0.041

The differences between relativistic and non-relativistic treatments have been calculated for mercury by Meister and Weiss (1968). The phase shifts and ESP of these results are shown in Table 2-1 and in Fig.2-1. In Table

2-1, we see that the 0-th order relativistic phase shift differs considerably from that of the non-relativistic. This difference lessens for large l because of a small mass correction. Apparent differences of ESP are recognized in very low energies as well.

The above consideration may also lead to a theoretical explanation of the seemingly contradictory phenomena that the behaviour of apparently relativistic electron (electron energy of up to 100 keV) can be explained by Schrödinger's non-relativistic equation by modifying the electron rest mass by incident electron energy. This treatment is commonly used in high voltage electron microscopy and has explained various phenomena with considerable success (M. von Laue 1948, Fujiwara 1961 and Hashimoto et al. 1964). This is because the electron mass is not greatly affected by the atomic field in high energy regions. That is, the Hamiltonian H_{rel} and H_{non} for relativistic and non-relativistic cases respectively, are written

$$H_{rel} = \sqrt{1 + p^2} + V(r) \quad (2-1)$$

$$H_{non} = p^2/2 + 1 + V(r) \quad (2-2)$$

where the system of units is natural unit (see Sec.2-2). From the uncertainty principle,

$$\Delta p \cdot \Delta r \sim 1. \quad (2-3)$$

In simplification $V(r) = -Z e^2/r$, the minimum energy H_{rel}^{min} and H_{non}^{min} is estimated as

$$H_{rel}^{min} = \sqrt{1 - Z^2 e^4} \quad (2-4)$$

$$H_{non}^{min} = 1 - Z^2 e^4/2 \quad (2-5)$$

These values amount to e.g. $H_{rel}^{min} = 2.304$ keV and $H_{non}^{min} = 2.299$ keV for aluminum, and $H_{rel}^{min} = 30.99$ keV and $H_{non}^{min} = 30.05$ keV for silver. The H_{non}^{min} may be regarded as the maximum modified kinetic energy ΔE of an electron, due to the atomic field. If one takes into account that the electron microscope uses only forward scattering, i.e., uses mainly electrons scattered distant from the nucleus, it is seen that the above value for ΔE is overestimated. Thus, it may be concluded that the electron mass modified by the incident electron energy is nearly constant during scattering.

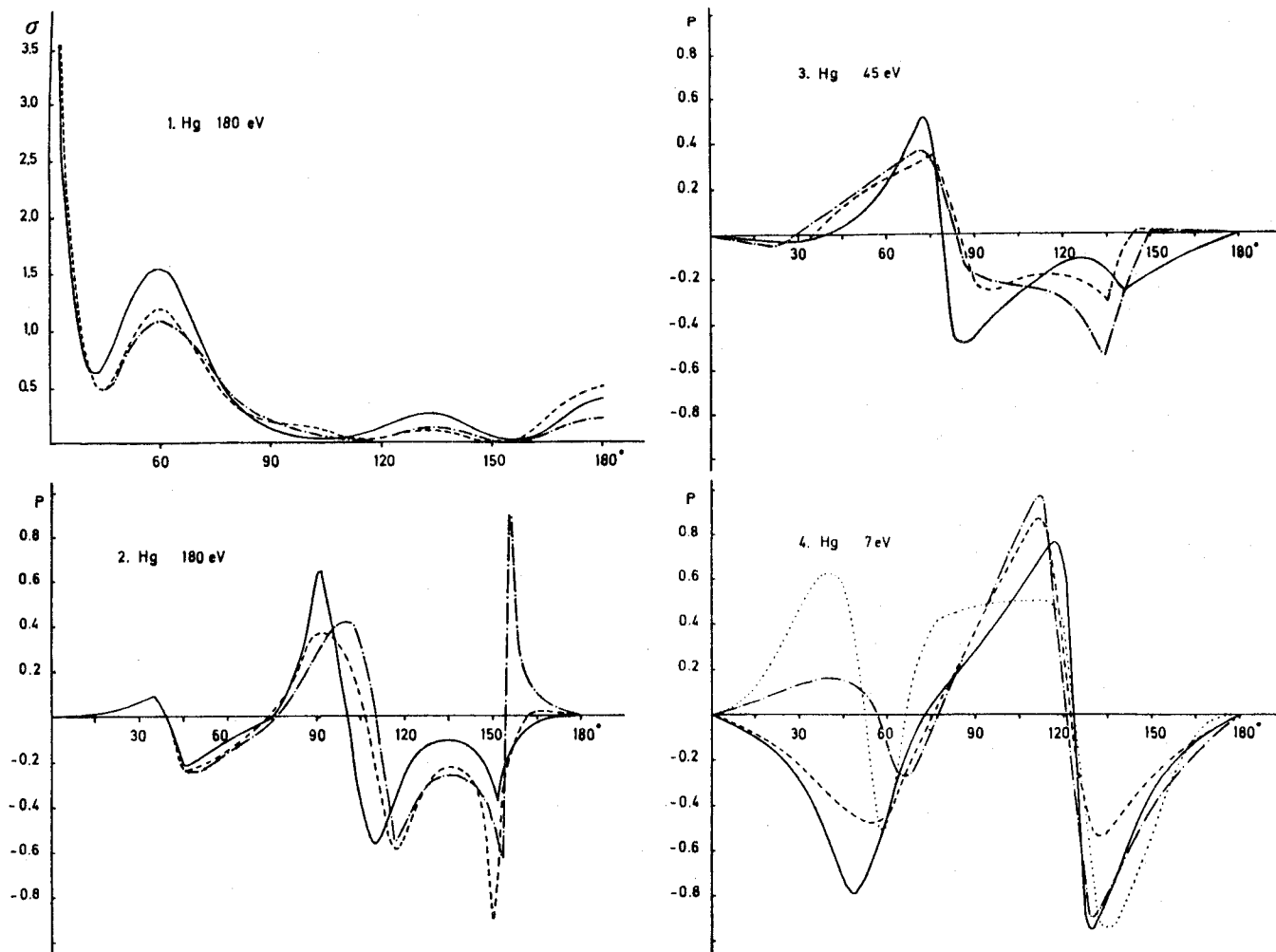


Fig. 2-1. The comparison of the relativistic and non-relativistic calculation of the differential cross section σ and spin polarization P (—relativistic and ---- non-relativistic calculation with Mayer's potential, relativistic and ——— non-relativistic calculation with Froese potential) (Meister and Weiss 1968).

It should be noted, however, that because of large mass corrections and effect of spin, large angle scattering for heavy atoms need to be treated with the proper relativistic method in even hundreds keV energy regions (e. g. for mercury $H_{rel}^{min}=96.11$ keV and $H_{non}^{min}=87.07$ keV).

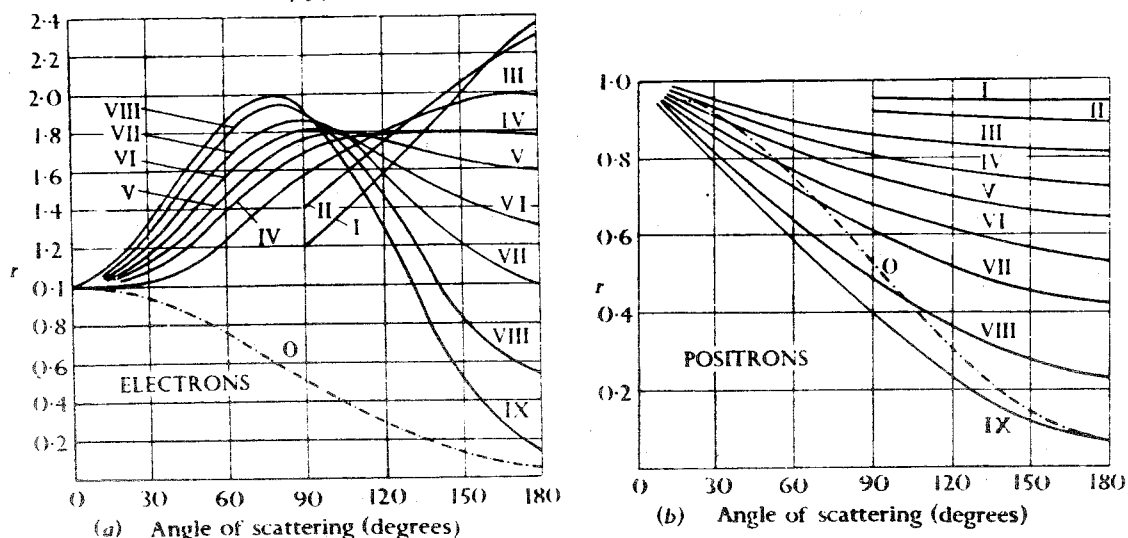


Fig. 2-2. Angular distributions (a) of electrons and (b) of positrons of various energies scattered by mercury nuclei. The scattered intensity is given as the ratio r to that given by the formula

$$\frac{Z^2 e^4}{4 v^4} \cdot \frac{1}{\sin^4(\theta/2)} \cdot (1 - v^2/c^2)$$

Curves I-IX respectively correspond to particles of energies 23, 44, 74, 119, 160, 237, 340, 654, 1712 keV. Curve 0 is that given by the approximation formula (2-27) for an energy of 1712 keV (Mott and Massey 1965).

Fig. 2-2 shows the cross section for electrons and positrons normalized by the ordinary Rutherford scattering cross section. It shows that at high incident energy and large scattering angle the effect of spin becomes important (The effect of spin is discussed in Appendix 3 using Born approximation). For positron, Born-like behaviour appears clearly than for electron, this may be due to the repulsive force between the positron and nucleus (see also Appendix 1).

2-1-2 Origin of ESP

The occurrence of ESP(electron spin polarization) is a direct consequence of the interaction of the magnetic moment of electrons and the magnetic field induced by the atomic field. Charged particles travelling in a static-electric field "feel" the magnetic field which is written

$$\mathbf{H} = -\mathbf{v} \times \mathbf{E}, \quad (2-6)$$

where \mathbf{v} the velocity of the charged particles and \mathbf{E} the electric field of the atom. The interaction energy ϵ is expressed as

$$\begin{aligned} \epsilon &= -\boldsymbol{\mu} \cdot \mathbf{H} \\ &= -e \cdot \mathbf{S} \cdot (\mathbf{v} \times \mathbf{H}) \cdot \frac{1}{e r} \cdot \frac{dV}{dr} \\ &= \frac{1}{r} \frac{dV}{dr} \mathbf{L} \cdot \mathbf{S}, \end{aligned} \quad (2-7)$$

where μ is the magnetic moment of electron, e the charge of electron, L the orbital angular momentum and S the spin angular momentum. This term is called spin-orbit interaction which changes its sign in accordance with the direction of the spin or orbital angular momentum, causing ESP of initially unpolarized scattered electrons or initially polarized electrons to be scattered asymmetrically. On the other hand, we can obtain

$$E\psi = \left(\frac{\mathbf{p}^2}{2} + V(r) - \frac{\pi}{2} \nabla^2 V(r) + \frac{1}{2r} \frac{dV}{dr} \mathbf{L} \cdot \mathbf{S} \right) \psi, \quad (2-8)$$

as the non-relativistic approximation of Dirac equation(Foldy et al.1950). The 1st and 2nd terms are that of the ordinary Schrödinger Hamiltonian, and the 3rd term the so-called Darwin term, which originates from the Zitterbewegung. The 4th term is the spin-orbit term in question, which agrees with that of equation (2-7) with the exception of factor 2, which is called Thomas factor(Thomas 1927). Since the electron spin effects Hamiltonian through primarily the spin-orbit term (see equation (2-8)), the above model may provide valuable insight regarding the origin of ESP. In order for a large spin polarization to occur, the atomic potential $V(r)$ needs to be relatively small in comparison to the spin-orbit interaction. Such a condition might be to occur 1) at the minimum points of diffraction pattern of the cross section (This condition requires that incident electron energy should be low enough (e.g. lower than several keV for mercury) in order for diffraction phenomena to occur during scattering. see

Sec.1-1 and Appendix 1 , 2 at large scattering angle in order to make the factor dV/dr in equation (2-8) large because impact parameter becomes small at large scattering angle and 3) for atoms of large Z-number because $1/r \cdot dV/dr$ becomes comparable to or larger than V at the minimum distance between electron and nucleus (see equation (2-9)).

In these energy regions, only the partial waves of lower order contribute to scattering. Therefore, the partial wave expansion method may incur reasonable success for calculation of cross section and spin polarization.

2-1-3 Choice of the Atomic Potential

In theoretical treatment of electron-atom scattering, important consideration is the choice of the atomic potential. Due to the complexity of electron configuration, particularly for heavy atoms, several models based on various grades of approximation have been developed (as summarized in Table 2-2).

Table 2-2. Various calculation method of atomic potential.

	without exchange	with exchange
statistical model	analytical expression of T-F (Tietz 1962, Byatt 1957)	analytical expression of T-F-D (R.A.Bonham et al., 1963) Atomic energy level by T-F and T-F-D (R.Latter, 1955) Consideration on exchange effect (P.A.M.Dirac 1930)
self-consistent model	R-H (J.L.Schonfelder 1966) analytical expression of N-R-H (T.Tietz 1962, Byatt 1957) R-H (S.Cohen 1960, D.F.Mayers 1960)	R-H-F-S (D.Liberman et al. 1965) approximate treatment of exchange effect (V.W.Maslen 1956, J.C.Slater 1951)

Since the schematic Hamiltonian is represented by equations (2-1) and (2-2), the distance between the (1s) atomic electrons and the nucleus is estimated as;

$$r_{rel}^{min} \sim \sqrt{1/Z^2 e^4 - 1} \quad (2-9)$$

$$r_{non}^{min} \sim 1/Z e^2, \quad (2-10)$$

respectively, based on the uncertainty principle. This means that an incorporation of relativity in the calculation of atomic potentials results in greater screening and reduces both the phase shift and scattering cross section.

The ratio of the binding energy calculated relativistically to that non-relativistically is shown in Table 2-3-a (Boyd et al. 1963). The ratio for inner electrons such as (1s) or (6s) is greater than 1, which means that the attractive force becomes stronger in the relativistic case. On the other hand, the ratio for outer electrons such as (4f) and (5d) is smaller than 1, which means greater screening of the nuclear field in the relativistic case.

Table 2-3-a. Ratio of relativistic to non-relativistic eigenvalues for several electron shells. (Boyd et al. 1963)

	1s	4f	5d	6s
²⁶ Fe	1.008
⁷⁴ W	1.09	0.81	0.84 ^a	1.16
⁷⁸ Pt	1.10	0.86	0.88	1.27
⁸⁰ Hg	1.11	0.87	0.84	1.20
⁹² U	1.15	0.91	0.95	1.34

On the other hand, the relativistic treatment of incident electrons increases the phase shift of the lower order according to a similar consideration, i.e. the attractive force in a relativistic treatment is greater than that in non-relativistic treatment.

The incorporation of exchange interaction between atomic electrons lowers the energy of the electron level, increases the screening, and reduces the phase shift (see Sec.2-3). However, the exchange interaction between incident and atomic electrons acts as an attractive force, thus increases the phase shift.

Table 2-3-b. Comparison of phase shift for mercury (Walker 1969)
(H, no exchange, Hartree potential; H-F, no exchange, Hartree-Fock potential; Exchange, Hartree-Fock potential with exchange. All phases are modulo π .)

Energy (ev)	l	Spin up			Spin down		
		H	H-F	Exchange	H	H-F	Exchange
3.5	0	0.86	0.15	1.35			
	1	0.30	0.01	1.00	0.50	0.12	1.32
	2	0.53	0.06	0.03	-0.75	0.06	0.04
	3	0.01	0.00	0.01	0.01	0.00	0.01
45	0	-1.09	-1.44	-1.01			
	1	-0.58	-0.94	-0.48	-0.28	-0.65	-0.20
	2	-0.67	-1.18	-0.51	-0.59	-1.09	-0.44
	3	0.92	0.51	1.07	0.93	0.52	1.07
	4	0.30	0.18	0.26	0.30	0.18	0.26
	5	0.12	0.07	0.09	0.12	0.07	0.09
	6	0.05	0.03	0.04	0.05	0.03	0.04
	7	0.02	0.01	0.01	0.02	0.01	0.01
300	0	-0.24	-0.46	-0.26			
	1	0.60	0.37	0.58	0.90	0.67	0.88
	2	1.26	1.00	1.23	1.34	1.07	1.30
	3	1.56	1.23	1.49	-1.56	1.26	1.51
	4	-1.21	-1.52	-1.36	-1.21	-1.52	-1.35
	5	0.99	0.79	0.84	1.00	0.79	0.83
	6	0.60	0.45	0.48	0.60	0.45	0.47
	7	0.39	0.28	0.30	0.39	0.28	0.29
	8	0.26	0.19	0.19	0.26	0.19	0.19
	9	0.18	0.12	0.12	0.18	0.12	0.12

Moreover, the electric field of the incident electron may distort the atomic potential, which in turn causes a long range attractive force for the incident electron. The calculated phase shifts for the various approximations are shown in Table 2-3-b which confirm the qualitative interpretation given above. That is, for lower order phase shifts ($l < 3$), the Hartree potential without exchange and Hartree-Fock potential with exchange comply well because of opposite effects in the two exchange interactions. For a higher order of phase shift ($l > 7$), Hartree-Fock potential without exchange and Hartree-Fock potential with exchange agree satisfactory. This occurs because the largest principal quantum number of

mercury is 6, and partial wave from $l > 6$ have little exchange interaction with atomic electrons. The difference between the Hartree potential without exchange and the Hartree-Fock potential with exchange for a higher order of partial waves is not negligible but is small and affects only at forward scattering. Therefore, the former model with relativistic treatment, despite its simplicity, is considered to give accurate results. Atomic distortion is not thought to notably affect the electron-mercury scattering at intermediate energies (Walker 1970),* thus is not considered in the present study.

Among various available expressions of atomic potentials, an analytical expression is most convenient for the present calculations. We have therefore used the non-relativistic Hartree potential, analytically expressed by Byatt(1956). The present calculation agree well with those obtained using Mayer's relativistic potential(1957, see Sec.2-4)**

* A recent report on e -Xe elastic scattering (Kessler 1970) implies that exchange scattering has relevance until 700 eV, and atomic distortion should be taken into account using the polarized orbital methods at 150 eV.

** The effects of and the relation between relativistic and non-relativistic equation, and relativistic and non-relativistic atomic potential are discussed by several authors (Spruch 1966, Rotenberg 1966, Browne 1966 and Meister and Weiss 1968). It was concluded as a vital point that one should perform relativistic and non-relativistic calculation using relativistic and non-relativistic potentials, respectively (Walker 1971). However, as is shown in Sec.2-5, the combination of relativistic treatment and non-relativistic potential gives good results in the energy region under consideration here.

2-2 Born Approximation

2-2-1 Integral Expression of Dirac Equation

The Dirac equation in common expression is written as

$$W\psi = -(\mathbf{a} \cdot \mathbf{p} + \beta + V)\psi, \quad (2-11)$$

where

$$\mathbf{a} = \begin{pmatrix} 0 & \sigma \\ \sigma & 0 \end{pmatrix} \quad (2-12)$$

$$\beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad (2-13)$$

σ the Pauli matrix,* W the total energy, \mathbf{p} the momentum, V the atomic potential energy and ψ the four component spinor. The system of units is so-called natural unit, in which $c=m=\hbar=1$, where c is the light velocity, m the electron rest mass and \hbar the Planck's constant divided by 2π . The unit of various quantity is tabulated in Table 2-4.

Table 2-4. Unit of various quantities in natural unit.

	unit	value
energy	mc^2	8.1×10^{-14} joul.
length	\hbar/mc	4.0×10^{-13} m
charge	$\hbar c/m$	1.8×10^{-18} coul.
time	\hbar/mc^2	1.2×10^{-21} sec.

* $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $\sigma_y = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$ $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

The formal solution of the wave equation $H\phi_j = W_j\phi_j$ is written either explicitly as

$$\phi_j^{(\pm)} = \phi_j + \frac{1}{W_j - H \pm i\epsilon} V \phi_j, \quad (2-14)$$

or implicitly as

$$\phi_j^{(\pm)} = \phi_j + \frac{1}{W_j - K \pm i\epsilon} V \phi_j^{(\pm)} \quad (2-15)$$

$$K = H - V, \quad (2-16)$$

K is not necessarily kinetic energy and ϕ_j satisfies $K\phi_j = W_j\phi_j$. The sign + or - represents the asymptotic conditions which correspond to outgoing or incoming scattered states, respectively. Equation (2-15) is called the Lippmann-Schwinger equation (Lippmann and Schwinger 1950 and Gell-Mann and Goldberger 1953).

The equation (2-15) can be rewritten as follows; The operator $1/(W - K \pm i\epsilon)$ is defined as

$$(W - K \pm i\epsilon) \cdot 1/(W - K \pm i\epsilon) = 1, \quad (2-17-a)$$

$$1/(W - K \pm i\epsilon) \cdot (W - K \pm i\epsilon) = 1, \quad (2-17-b)$$

and

$$\begin{aligned} W - K \pm i\epsilon &= W + (\mathbf{a}\mathbf{p} + \beta) + i\epsilon \\ &= \begin{pmatrix} W + 1 \pm i\epsilon & \sigma \cdot \mathbf{p} \\ \sigma \cdot \mathbf{p} & W - 1 \pm i\epsilon \end{pmatrix}, \end{aligned} \quad (2-18)$$

so

$$\begin{aligned} 1/(W - K \pm i\epsilon) &= 1/((W \pm i\epsilon)^2 - \mathbf{p}^2 - 1) \begin{pmatrix} W - 1 \pm i\epsilon & -\sigma \cdot \mathbf{p} \\ -\sigma \cdot \mathbf{p} & W + 1 \pm i\epsilon \end{pmatrix} \\ &= (W - \mathbf{a}\mathbf{p} - \beta) / (W \pm i\epsilon)^2 - \mathbf{p}^2 - 1, \end{aligned} \quad (2-19)$$

which can be easily proven by direct substitution. Equation (2-15) results in

$$\phi_j^{(\pm)} = \phi_j + \frac{W - \mathbf{a}\mathbf{p} - \beta}{(W \pm i\epsilon)^2 - \mathbf{p}^2 - 1} V \phi_j^{(\pm)}. \quad (2-20)$$

Using the relation,

$$\int \int e^{-i \mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} d\mathbf{k} d\mathbf{r}' = (2\pi)^3 \int \delta(\mathbf{r} - \mathbf{r}') d\mathbf{r}' = (2\pi)^3, \quad (2-21)$$

the second term of the right hand side of equation (2-20) can be transformed as

$$\begin{aligned} & (W - \mathbf{a} \cdot \mathbf{p} - \beta) / ((W \pm i\epsilon)^2 - \mathbf{p}^2 - 1) V(\mathbf{r}) \psi_j^{(\pm)}(\mathbf{r}) \\ &= (W - \mathbf{a} \cdot \mathbf{p} - \beta) / ((W \pm i\epsilon)^2 - \mathbf{p}^2 - 1) \int \delta(\mathbf{r} - \mathbf{r}') d\mathbf{r}' V(\mathbf{r}') \psi_j^{(\pm)}(\mathbf{r}') \\ &= \frac{1}{(2\pi)^3} \iint \frac{W - \mathbf{a} \cdot \mathbf{p} - \beta}{(W \pm i\epsilon)^2 - \mathbf{p}^2 - 1} e^{i \mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} V(\mathbf{r}') \psi_j^{(\pm)}(\mathbf{r}') d\mathbf{k} d\mathbf{r}' \\ &= \frac{1}{(2\pi)^3} \iint \frac{W - \mathbf{a} \cdot \mathbf{p} - \beta}{(W \pm i\epsilon)^2 - K^2 - 1} e^{i \mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')} V(\mathbf{r}') \psi_j^{(\pm)}(\mathbf{r}') d\mathbf{k} d\mathbf{r}', \quad (2-22) \end{aligned}$$

where $K = |\mathbf{k}|$.

Using the residue theorem, the integrations of the variable \mathbf{k} can be performed and gives

$$\frac{1}{(2\pi)^3} \int \frac{e^{i \mathbf{k} \cdot (\mathbf{r} - \mathbf{r}')}}{(W \pm i\epsilon)^2 - K^2 - 1} d\mathbf{k} = - \frac{1}{4\pi} \frac{e^{\pm i \sqrt{W^2 - 1} |\mathbf{r} - \mathbf{r}'|}}{|\mathbf{r} - \mathbf{r}'|}. \quad (2-23)$$

Equation (2-20) results in

$$\begin{aligned} \psi_j^{(\pm)}(\mathbf{r}) &= \phi_j - \frac{1}{4\pi} \int (W - \mathbf{a} \cdot \mathbf{p} - \beta) \frac{e^{\pm i K |\mathbf{r} - \mathbf{r}'|}}{|\mathbf{r} - \mathbf{r}'|} V(\mathbf{r}') \psi_j^{(\pm)}(\mathbf{r}') d\mathbf{r}' \\ &\sim \phi_j - \frac{1}{4\pi} \int (W - \mathbf{a} \cdot \mathbf{p} - \beta) \frac{e^{\pm i K (r - (\mathbf{r} \cdot \mathbf{r}')/r)}}{r} V(\mathbf{r}') \psi_j^{(\pm)}(\mathbf{r}') d\mathbf{r}' \\ &= \phi_j - \frac{1}{4\pi} \frac{e^{\pm i K r}}{r} (W - \mathbf{a} \cdot \mathbf{k}_f - \beta) \int e^{\mp i \mathbf{k}_f \cdot \mathbf{r}'} V(\mathbf{r}') \psi_j^{(\pm)}(\mathbf{r}') d\mathbf{r}' \quad (2-24) \end{aligned}$$

where $K = \sqrt{W^2 - 1}$, $\mathbf{k}_f = K \mathbf{r} / r$ and $r = |\mathbf{r}|$. The final expression of equation (2-24) is obtained assuming the usual scattering condition $|\mathbf{r}| \gg |\mathbf{r}'|$ (see Fig. 2-3).

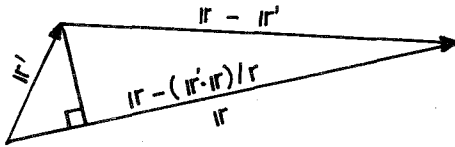


Fig. 2-3. Relation of \mathbf{r} and \mathbf{r}' .

Equation (2-24) is the integral form of the relativistic Dirac equation (2-11). From equation (2-24), the scattering amplitude for the usual outgoing wave boundary condition is written

$$f(\theta) = - \frac{1}{4\pi} (W - \mathbf{a} \cdot \mathbf{k}_f - \beta) \int e^{-i \mathbf{k}_f \cdot \mathbf{r}'} V(\mathbf{r}') \psi_j^{(\pm)}(\mathbf{r}') d\mathbf{r}'. \quad (2-25)$$

Note that equation (2-25) represents the exact scattering amplitude for Dirac electrons.

2-2-2 Born Approximation

In order to obtain an approximation of the scattering amplitude, the $\phi_j^{(+)}$ appearing in the right hand side of equation (2-25) is replaced by ϕ_j . This approximation is called the first Born approximation

$$f_{Born}(\theta) = - \frac{1}{4\pi} (W - \mathbf{a} \cdot \mathbf{k}_f - \beta) \int e^{-i \mathbf{k}_f \cdot \mathbf{r}'} V(\mathbf{r}') \phi_j(\mathbf{r}') d\mathbf{r}'. \quad (2-26)$$

For Coulomb potential $-Ze^2/r$, equation (2-26) produces the ordinary Rutherford formula with correction factors (see Appendix 3).

$$(d\sigma/d\Omega)_{Born} = \frac{Z^2 e^4}{4 v^4 \sin^4(\theta/2)} \cdot (1 - v^2 \cdot \sin^2(\theta/2)) \cdot (1 - v^2) \quad (2-27)$$

The first factor of equation (2-27) is that of the classical Rutherford formula, the second is that originating from the spin of electrons (see Appendix 3) and the third is the mass correction term.

It is of note that the scattering amplitude of first Born approximation gives zero spin polarization as is also shown in Appendix 3. Thus, a calculation on spin polarization of high energy electrons is often performed using second Born approximation (Walker 1971).

2-3. Partial Wave Expansion

For a central field of force we may separate equation (2-11) by polar coordinates. Substitution of $\psi = \begin{pmatrix} -i f X_{-\kappa\mu} \\ g X_{\kappa\mu} \end{pmatrix}$ gives (Rose 1957)

$$\left\{ \begin{array}{l} -i X_{-\kappa,\mu} [(W-V+1) f - d g / d r - (\kappa+1) \cdot g / r] \\ X_{\kappa,\mu} [(W-V-1) g + d f / d r - (\kappa-1) f / r] \end{array} \right\} = 0, \quad (2-28)$$

where f and g are radial wave function and

$$X_{\kappa,\mu} = \sum_m C(l, 1/2, j; m, \mu-m) Y_{lm} \psi_{1/2, \mu-m}, \quad (2-29)$$

with

$$\mu-m = \pm 1/2 \quad (2-30-a)$$

$$j = |\kappa| - 1/2 \quad (2-30-b)$$

$$l = \begin{cases} \kappa & \kappa > 0 \\ -\kappa - 1 & \kappa < 0 \end{cases}, \quad (2-30-c)$$

$$(2-30-d)$$

$C(l, \frac{1}{2}, j; m, \mu - m)$ is the Clebsch-Gordan coefficient, the detailed form of which is shown in Table 2-5, where Y_{lm} is the spherical harmonics and $\psi_{\frac{1}{2}, \mu - m}$ the spin function. As the asymptotic forms of these four components are related with one another, it suffices to treat only the lower part of equation (2-28) (Mott and Massey 1965). Hereafter this two component spinor is referred to as ψ unless otherwise noted.

Table 2-5. Clebsch-Gordan coefficients $C(j_1, 1/2, j; m - m_2, m_2)$.

$j =$	$m_2 = 1/2$	$m_2 = -1/2$
$j_1 + 1/2$	$[(j_1 + m + 1/2) / (2j_1 + 1)]^{1/2}$	$[(j_1 - m + 1/2) / (2j_1 + 1)]^{1/2}$
$j_1 - 1/2$	$-[(j_1 - m + 1/2) / (2j_1 + 1)]^{1/2}$	$[(j_1 + m + 1/2) / (2j_1 + 1)]^{1/2}$

Thus, the general solution of equation (2-28) in the polar coordinate system is expressed as

$$\begin{aligned} \psi = & \sum_{\kappa \mu} a_{\kappa \mu} g_{\kappa} X_{\kappa \mu} \\ = & \left(\sum_{l=1}^{\infty} \sum_{\mu} a_{l \mu} g_l C(l, \frac{1}{2}, l - \frac{1}{2}; \mu - \frac{1}{2}, \frac{1}{2}) Y_{l, \mu - \frac{1}{2}} + \right. \\ & \left(\sum_{l=1}^{\infty} \sum_{\mu} a_{l \mu} g_l C(l, \frac{1}{2}, l - \frac{1}{2}; \mu + \frac{1}{2}, -\frac{1}{2}) Y_{l, \mu + \frac{1}{2}} + \right. \\ & \left. \sum_{l=0}^{\infty} \sum_{\mu} a_{-l-1, \mu} g_{-l-1} C(l, \frac{1}{2}, l + \frac{1}{2}; \mu - \frac{1}{2}, \frac{1}{2}) Y_{l, \mu - \frac{1}{2}} \right. \\ & \left. \sum_{l=0}^{\infty} \sum_{\mu} a_{-l-1, \mu} g_{-l-1} C(l, \frac{1}{2}, l + \frac{1}{2}; \mu + \frac{1}{2}, -\frac{1}{2}) Y_{l, \mu + \frac{1}{2}} \right) \end{aligned} \quad (2-31)$$

From equation (2-28), the radial equation with respect to g can be easily derived as

$$\frac{d^2 g_{\kappa}}{dr^2} + \left(\frac{2}{r} - \frac{a'}{a} \right) \frac{dg_{\kappa}}{dr} + \left\{ ab - \frac{\kappa(\kappa+1)}{r^2} + \frac{\kappa}{r} \frac{a'}{a} \right\} g_{\kappa} = 0, \quad (2-32)$$

where $a = W - V + 1$, $b = W - V - 1$ and $a' = da/dr$. For $r \rightarrow \infty$, equation (2-32) reduces to

$$\frac{d^2 g_{\kappa}}{dr^2} + \frac{2}{r} \frac{dg_{\kappa}}{dr} + \left(K^2 - \frac{l(l+1)}{r^2} \right) g_{\kappa} = 0, \quad (2-33)$$

where $K^2 = W^2 - 1$. Since this differential equation is that of spherical Bessel function, the solution may be represented as follows;

$$\begin{aligned} g_{\kappa} &\sim j_l(Kr) \cdot \cos(\delta_{\kappa}) - n_l(Kr) \cdot \sin(\delta_{\kappa}) \\ &\sim (Kr)^{-1} \sin(Kr - \pi/2 \cdot l + \delta_{\kappa}), \end{aligned} \quad (2-34)$$

where j_l and n_l are spherical Bessel and spherical Neumann function respectively and δ_{κ} is called the phase shift.

Corresponding to the two initial spin states with respect to z-axis, the scattering states are written

$$\psi \sim \begin{pmatrix} e^{i\mathbf{k}\cdot\mathbf{r}} + f_{\uparrow}(\theta', \phi') e^{iKr/r} \\ g_{\uparrow}(\theta', \phi') e^{iKr/r} \end{pmatrix}, \quad (2-35)$$

and

$$\psi \sim \begin{pmatrix} g_{\downarrow}(\theta', \phi') e^{iKr/r} \\ e^{i\mathbf{k}\cdot\mathbf{r}} + f_{\downarrow}(\theta', \phi') e^{iKr/r} \end{pmatrix}, \quad (2-36)$$

where f and g are called direct and spin-flip scattering amplitude, respectively. From the comparison between equation (2-31) and equation (2-35), $a_{\kappa, \mu}$ is fully determined as

$$a_{l, \mu} = -4\pi(l - \mu + 1/2)^{1/2} (2l + 1)^{-1/2} e^{i(\delta_l + \pi/2 \cdot l)} Y_{l, \mu - 1/2}^*(\theta, \phi) \quad (2-37-a)$$

$$a_{-l-1, \mu} = 4\pi(l + \mu + 1/2)^{1/2} (2l + 1)^{-1/2} e^{i(\delta_{-l-1} + \pi/2 \cdot l)} Y_{l, \mu - 1/2}^*(\theta, \phi), \quad (2-37-b)$$

for spin-up initial state, and from equations (2-31) and (2-36)

$$a_{l, \mu} = 4\pi(l + \mu + 1/2)^{1/2} (2l + 1)^{-1/2} e^{i(\delta_l + \pi/2 \cdot l)} Y_{l, \mu + 1/2}^*(\theta, \phi) \quad (2-38-a)$$

$$a_{-l-1, \mu} = 4\pi(l - \mu + 1/2)^{1/2} (2l + 1)^{-1/2} e^{i(\delta_{-l-1} + \pi/2 \cdot l)} Y_{l, \mu + 1/2}^*(\theta, \phi), \quad (2-38-b)$$

for spin-down initial state, taking into account the Rayleigh's formula,

$$\begin{aligned} e^{i\mathbf{k}\cdot\mathbf{r}} &= \sum_{l=0}^{\infty} (2l+1) i^l j_l(Kr) P_l(\cos \gamma) \\ &= 4\pi \sum_{l=0}^{\infty} \sum_m i^l j_l(Kr) Y_{lm}^*(\theta', \phi') Y_{lm}(\theta, \phi), \end{aligned} \quad (2-39)$$

where $\mathbf{r} = (r, \theta, \phi)$, $K = (K, \theta, \phi)$ and $\mathbf{kr} = Kr \cos \gamma$.

Using equations (2-31) and (2-37) and setting $\theta = \phi = 0$ (incident along the z-axis), we can express the scattering amplitude as

$$f(\theta) = f_{\uparrow} = f_{\downarrow} = \frac{(4\pi)^{1/2}}{2iK} \sum_{l=0}^{\infty} (2l+1)^{-1/2} \{ (l+1)(e^{2i\delta_{l-1}} - 1) + l(e^{2i\delta_l} - 1) \} Y_{l0}(\theta', \phi') \quad (2-40-a)$$

$$g(\theta) = g_{\uparrow} e^{-i\phi'} = -g_{\downarrow} e^{i\phi'} = \frac{(4\pi)^{1/2}}{2iK} \sum_{l=1}^{\infty} (2l+1)^{-1/2} (l+1)^{1/2} l^{1/2} (e^{2i\delta_{l-1}} - e^{2i\delta_l}) Y_{l1}(\theta', \phi'). \quad (2-40-b)$$

For further derivation let us start at the initial conditions

$$\psi = \begin{pmatrix} A e^{iKz} \\ B e^{iKz} \end{pmatrix}, \quad (2-41)$$

which have the initial polarization,

$$\mathbf{P} = \langle \sigma \rangle = \langle (A^* B + B^* A, i(B^* A - A^* B), |A|^2 - |B|^2) \rangle, \quad (2-42)$$

under the normalization condition $|A|^2 + |B|^2 = 1$. Here, the polarization of an electron beam is defined by statistical averaging as equation (2-42). Then, using the Sherman function S defined by

$$S = i(fg^* - f^*g) / (|f|^2 + |g|^2), \quad (2-43)$$

and the scattering cross-section for unpolarized electrons

$$\sigma_0(\theta) = |f|^2 + |g|^2 \quad (2-44)$$

we obtain the scattering cross section with initial polarization \mathbf{P} as,

$$\begin{aligned} \sigma(\theta, \phi) &= \langle |Af_{\uparrow} + Bg_{\downarrow}|^2 + |Ag_{\uparrow} + Bf_{\downarrow}|^2 \rangle \\ &= \sigma_0(\theta) (1 + S \mathbf{n} \cdot \mathbf{P}), \end{aligned} \quad (2-45)$$

where

$$\mathbf{n} = (-\sin \phi, \cos \phi, 0), \quad (2-46)$$

\mathbf{n} is a polarization vector of scattered electrons with an initially unpolarized electron beam. As the polarization \mathbf{P}_S of scattered electrons

is derived from equation (2-42), substituting $Af_{\uparrow} + Bg_{\downarrow}$ for A and $Ag_{\uparrow} + Bf_{\downarrow}$ for B , one obtains

$$P_S^x = \left\{ P_z \cdot U \cdot \cos \phi - S \cdot \sin \phi + P_x - (1-T/\sigma) \cos \phi (P_x \cos \phi + P_y \sin \phi) \right\} / (1 + S \cdot \mathbf{n} \cdot \mathbf{P}) \quad (2-47-a)$$

$$P_S^y = \left\{ P_z \cdot U \cdot \sin \phi + S \cdot \cos \phi + T \cdot P_y - (1-T/\sigma) \cos \phi \cdot (P_x \sin \phi - P_y \cos \phi) \right\} / (1 + S \cdot \mathbf{n} \cdot \mathbf{P}) \quad (2-47-b)$$

$$P_S^z = \left\{ P_z \cdot T - U (P_x \cos \phi - P_y \sin \phi) \right\} / (1 + S \cdot \mathbf{n} \cdot \mathbf{P}), \quad (2-47-c)$$

where $U = 2Re(fg^*)/\sigma$ and $T = (|f|^2 - |g|^2)/\sigma$. Equation (2-47) can be rewritten in simple form as,

$$\mathbf{P}_S = \frac{[\mathbf{P} \cdot \mathbf{n} + S] \cdot \mathbf{n} + T \cdot \mathbf{n} \times [\mathbf{P} \times \mathbf{n}] + U \cdot [\mathbf{n} \times \mathbf{P}]}{1 + S \cdot \mathbf{n} \cdot \mathbf{P}}. \quad (2-48)$$

From equation (2-48) we see that the polarization of incident electrons are affected as follows; the vector $S \cdot \mathbf{n}$ is added to the components $[\mathbf{P} \cdot \mathbf{n}] \cdot \mathbf{n}$ perpendicular to the scattering plane, i.e., perpendicular to the wave number vectors of both the incident and scattered states. The component parallel to the scattering plane is reduced by the factor T ($|T| < 1$). The polarization vector is rotated out of its original plane defined by \mathbf{n} and \mathbf{P} because of an additional component determined by U (Kessler 1976). Thus this leads to the polarization of the scattered electrons for the initially unpolarized electrons as

$$\mathbf{P}_S = S (-\sin \phi, \cos \phi, 0). \quad (2-49)$$

Equations (2-45) and (2-49) suggest that Sherman function S has two roles, i.e. giving scattering asymmetry for initially polarized electrons and polarization of scattered electrons for initially unpolarized ones (for the qualitative explanation see Appendix 4). Equation (2-49) also shows that the direction of the ESP of the scattered electrons is perpendicular to the scattering plane.

2-4. Adopted Numerical Calculation Procedure

Hence the scattering amplitudes are expressed as a function of the phase shifts δ_l and δ_{-l-1} (see equation (2-40)), it is necessary to determine phase shift by clarifying the relationship with the radial wave function. Various calculation procedures have been developed for the estimation of phase shifts. Present calculation adopts one of the most advantageous procedure which were developed for accurate and fast computer calculation combining various calculation procedures (Yamazaki et al. 1976,1977). Some of them except for that shown below are summarized and compared with each other in Appendix 5.

Introducing the change of variables (Bunyan and Schonfelder, 1965),

$$F_{\kappa} = A_{\kappa}(r) \sin \phi_{\kappa}(r)/r \quad (2-50-a)$$

$$G_{\kappa} = A_{\kappa}(r) \cos \phi_{\kappa}(r)/r \quad (2-50-b)$$

we get,

$$d\phi_{\kappa}/dr = \kappa/r \sin 2\phi_{\kappa} + W - V - \cos 2\phi_{\kappa} \quad (2-51-a)$$

$$d(\ln A_{\kappa})/dr = -\kappa/r \cos 2\phi_{\kappa} - \sin 2\phi_{\kappa} \quad (2-51-b)$$

From equations (2-34) and (2-51-a) and their derivatives we obtain the expression for the phase shift

$$\tan \delta_{\kappa} = \frac{K \cdot j_{l+1}(Kr) - j_l(Kr) \{ (W+1) \tan \phi_{\kappa} + (1+l+\kappa)/r \}}{K n_{l+1}(Kr) - n_l(Kr) \{ (W+1) \tan \phi_{\kappa} + (1+l+\kappa)/r \}} \quad (2-52)$$

The expression on the right is evaluated at $r=a$ where a satisfies $V(a) \sim 0$.

Equation (2-52) allows us to evaluate δ_{κ} using only the first order nonlinear differential equation (2-51-a), which simplifies the computer calculation procedure considerably.

As the numerical integration of differential equation (2-51-a) cannot be made from $r=0$ because of the divergence of the potential energy $V(r)$, we expand $V(r)$ and $\phi_{\kappa}(r)$ in a power series in order to derive initial conditions at small r (Bunyan and Schonfelder 1965) as,

$$V(r) = -1/r (Z_0 + Z_1 r + Z_2 r^2 + Z_3 r^3) \quad (2-53)$$

$$\phi_{\kappa}(r) = \phi_0 + \phi_1 r + \phi_2 r^2 + \dots \quad (2-54-a)$$

$$\ln(A_{\kappa}(r)) = B_0 + B_1 \ln(r) + B_2 r + B_3 r^2 + \dots \quad (2-54-b)$$

Substituting equations (2-53) and (2-54-a) into equation (2-51-a) we obtain the following equations;

$$\sin(2\phi_0) = -Z_0/\kappa \quad (2-55-a)$$

$$\phi_1 = (W + Z_1 \cos(2\phi_0)) / (1 - 2\kappa \cos(2\phi_0)) \quad (2-55-b)$$

$$\phi_2 = (2\phi_1 \sin(2\phi_0) (1 - \kappa \phi_1) + Z_2) / (2 - 2\kappa \cos(2\phi_0)) \quad (2-55-c)$$

$$\phi_3 = (2\phi_2 \sin(2\phi_0) \cdot (1 - 2\kappa \phi_1) + 2\phi_1^2 \cos(2\phi_0) \cdot (1 - 2/3 \kappa \phi_1 + Z_3)) / (3 - 2\kappa \cos(2\phi_0)) \quad (2-55-d)$$

$$\phi_4 = [((2\phi_3 - (2\phi_1)^3/3!) - \kappa(4\phi_1\phi_3 + 2\phi_2^2 - (2\phi_1)^4/4!)) \times \sin(2\phi_0) + (1 - \kappa \phi_1) 4\phi_1\phi_2 \cos(2\phi_0)] / 2(2 - \kappa \cos(2\phi_0)) \quad (2-55-e)$$

$$B_l = -\kappa \cos(2\phi_0) \quad (2-56)$$

In order to keep the wave function finite at $r=0$ i.e., to maintain the positive B_l in equation (2-56), we choose

$$0 \leq 2\phi_0 \leq \pi/2 \quad \kappa < 0 \quad (2-57-a)$$

$$\pi \leq 2\phi_0 \leq 3/2 \cdot \pi \quad \kappa \geq 0 \quad (2-57-b)$$

Integrations were carried out from $r=0.1$ by the fourth order Lunge-Kutta process which has sufficient accuracy for the present calculations. In the region $0.1 \leq r \leq 15$, to avoid the use of excessively small intervals in r when performing the integration, a change of variables $r=0.1e^t$ is introduced. The equation (2-51-a) is then rewritten as

$$d\phi_{\kappa}/dt = \kappa \sin(2\phi_{\kappa}) + 0.1e^t (W - V - \cos(2\phi_{\kappa})) \quad (2-58)$$

Thereafter the ordinary equation (2-51-a) was employed for integration up

to $r=300$. We stopped the integration at $r=518$ ($=2\text{\AA}$) to reduce computing time. To make this process more appropriate, the charge distribution was reconstructed in the region $300 \leq r \leq 518$ as a quadratic form, the coefficients of which are determined so as to make the total charge equal to Ze , where Z is the atomic number of mercury, and the charge density and its derivative continuous at $r=300$ to avoid anomalous δ -function type charge distribution (Bühring 1965).

A charge reconstruction of this type may have some influence on forward scattering but may have little influence on backward scattering, since in the latter, electron is scattered by the not reconstructed potential because of small impact parameter.

According to the consideration given in Sec.2-1-3, a non-relativistic Hartree potential analytically expressed by Byatt (1957) is adopted, which is written as

$$V(r) = -Ze^2/r \cdot \sum_{i=1}^3 a_i e^{-b_i r}, \quad (2-59)$$

where $a_i = 0.19, 0.56, 0.25, b_i = 9.133 \times 10^{-3}, 2.768 \times 10^{-2}, 1.123 \times 10^{-1}$, respectively. All phase shift was evaluated from $l=0$ to $|\delta_l| < 10^{-5}$.

To evaluate scattering cross section and Sherman function, it may be advantageous to use the functions $E(\theta)$ and $H(\theta)$ instead of the scattering amplitudes $f(\theta)$ and $g(\theta)$ which are defined in equation (2-40) (Bühring 1968).

$$4iH(\theta) = \sum_{l=1} (e^{2i\delta_l} - 1 - e^{2i\delta_{l-1}}) L_l^- \quad (2-60-a)$$

$$4iE(\theta) = \sum_{l=1} (e^{2i\delta_l} + e^{2i\delta_{l-1}} - 2) L_l^+, \quad (2-60-b)$$

where

$$L_n^{(\pm)}(\cos\theta) = n [P_n(\cos\theta) \pm P_{n-1}(\cos\theta)] \quad (2-61)$$

The cross section and Sherman function are then expressed as

$$I(\theta) = K^{-2} (\sec^2 \theta/2 \cdot |E|^2 + \operatorname{cosec}^2 \theta/2 \cdot |H|^2) \quad (2-62)$$

$$S(\theta) = i (EH^* - HE^*) / (|H|^2 \cot \theta/2 + |E|^2 \tan \theta/2) \quad (2-63)$$

These transformations have some advantages. At 180° the Legendre function is expressed as $P_n(-1) = (-1)^n$, thus the numerical summation of a large number of phase shifts, which is usually the case in high energy scattering, would incur error at angles near the backward scattering. However, L_n^+ which appears in $E(\theta)$ behaves like $\cos^2(\theta/2)$ so would be more accurate. The same arguments hold for the forward scattering.

Each angular distribution of cross section and Sherman function was calculated at 1° intervals from 0° to 180° for various angular resolutions. All input data such as matching radius and intervals of integrations were made sufficiently large and small, respectively, in order to make the results insensitive to those parameters.

The order of phase shifts estimated ranges from 18 to 53 for the incident energy of 300 to 2000 eV.

All the necessary additional functions such as Bessel, Neumann and Legendre functions were calculated using recurrence relations as,

$$j_{m+1}^{(\omega)} = -j_{m-1}^{(\omega)} + (2m+1) j_m^{(\omega)} / x \quad (2-64-a)$$

$$n_{m+1}^{(\omega)} = -n_{m-1}^{(\omega)} + (2m+1) n_m^{(\omega)} / x \quad (2-64-b)$$

with initial conditions

$$j_0^{(\omega)} = \sin(\omega) / x, \quad j_1^{(\omega)} = \sin(\omega) / x^2 - \cos(\omega) / x \quad (2-65-a)$$

$$n_0^{(\omega)} = -\cos(\omega) / x, \quad n_1^{(\omega)} = -\cos(\omega) / x^2 - \sin(\omega) / x \quad (2-65-b)$$

and

$$L_{n+1}^{\pm}(\omega) = \left[(2n+1) \cdot x \cdot L_n^{\pm}(\omega) - (n+1) L_{n-1}^{\pm}(\omega) - (1 \pm x) P_{n-1}(\omega) \right] / n \quad (2-66-a)$$

$$P_{n+1}(\omega) = \left[(2n+1) x P_n(\omega) - n P_{n-1}(\omega) \right] / (n+1) \quad (2-66-b)$$

with initial conditions,

$$L_0^{\pm}(\omega) = 0, \quad L_1^{\pm}(\omega) = x \pm 1 \quad (2-67-a)$$

$$P_0(\omega) = 1, \quad P_1(\omega) = x \quad (2-67-b)$$

respectively, throughout the present calculations.

The flow chart and the list of program for the present calculation is shown in Appendix 6.

2-5 Results and Discussion

In Fig.2-4, calculated results of the cross section are compared to the data of Bromberg's absolute measurements for primary energies of 300,

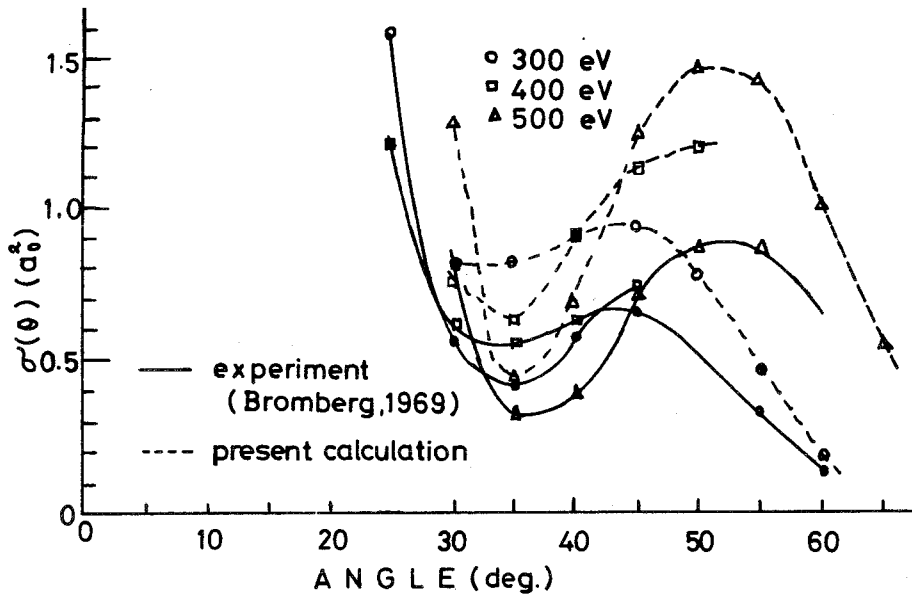
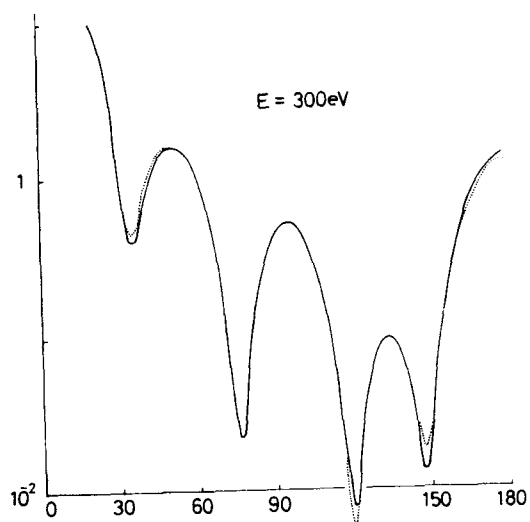
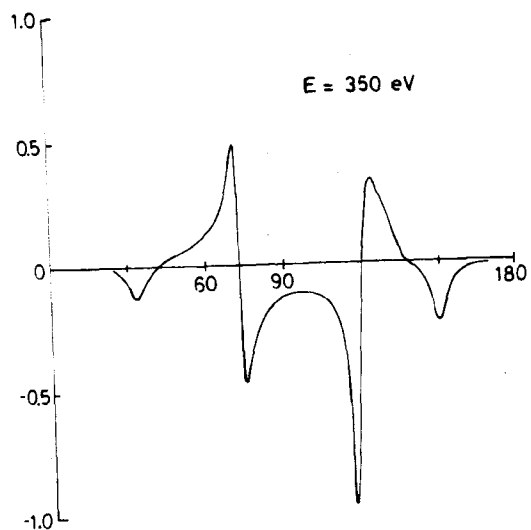
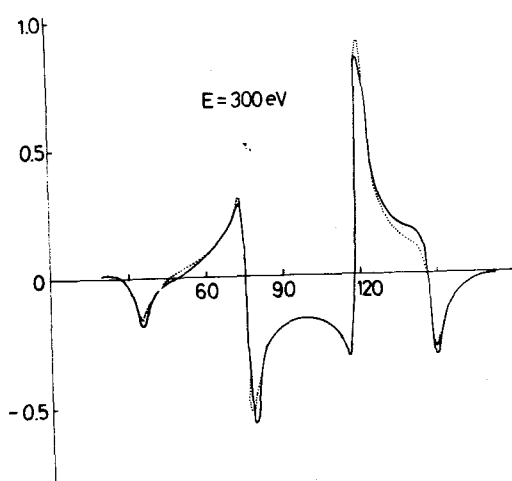
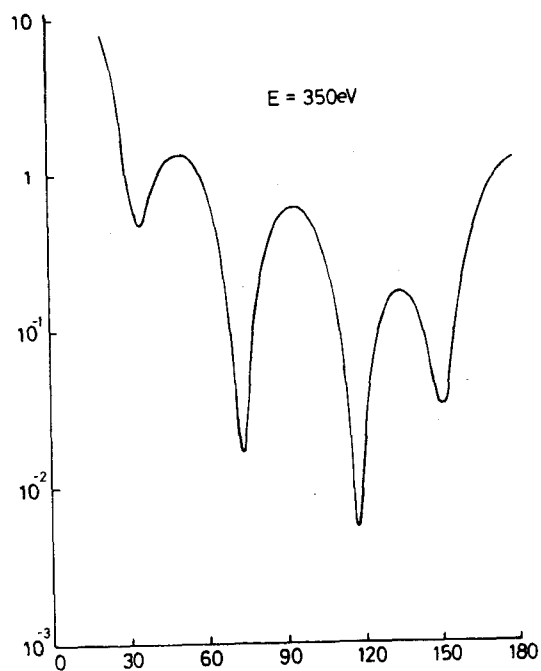


Fig. 2-4. Comparison of the cross section between the present calculation and the absolute measurements of Bromberg (1969).

400 and 500 eV(1969). Here, the theoretical calculations describe experimental curves quite well qualitatively, but in quantitative arguments the theoretical values are about 1.5 times larger for each incident electron energy. Fig.2-5 shows the calculated angular distribution of the cross section and Sherman function for various incident energies. Fig.2-5 shows comparisons to calculated results by Holzwarth and Meister (1964b), where Mayer's relativistic Hartree potential(1956) was used. Although several approximation procedures have been introduced in the present calculation, such as the scattering of relativistic electrons using a non-relativistic atomic potential, it should be noted that these calculations give satisfactory agreement with the more accurate but complicated calculation. In Fig.2-6, results are also given as a function of incident electron energy for the purpose of convenience. Results are summarized in Fig.2-7

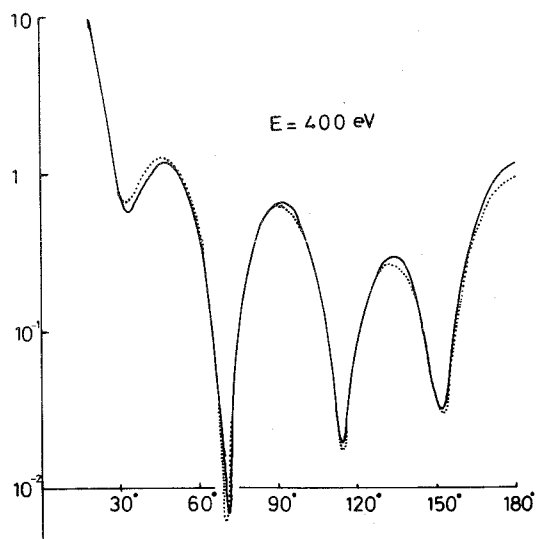
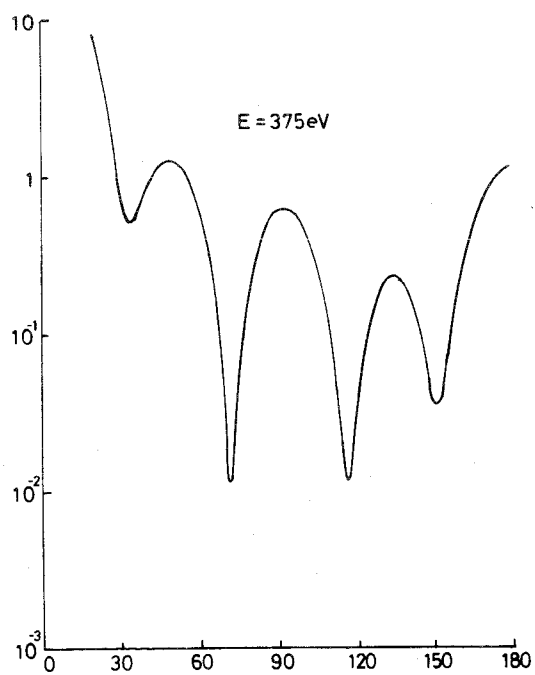
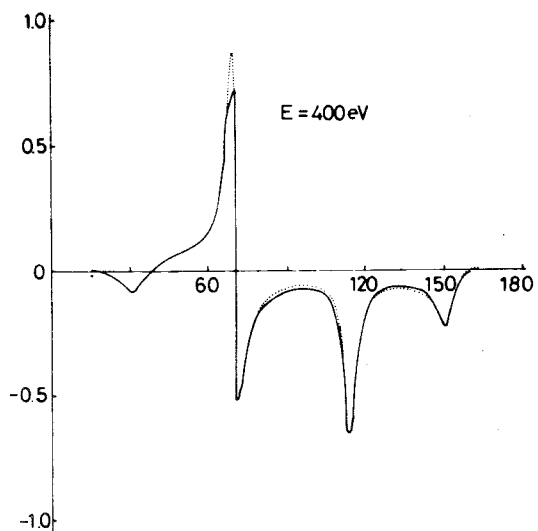
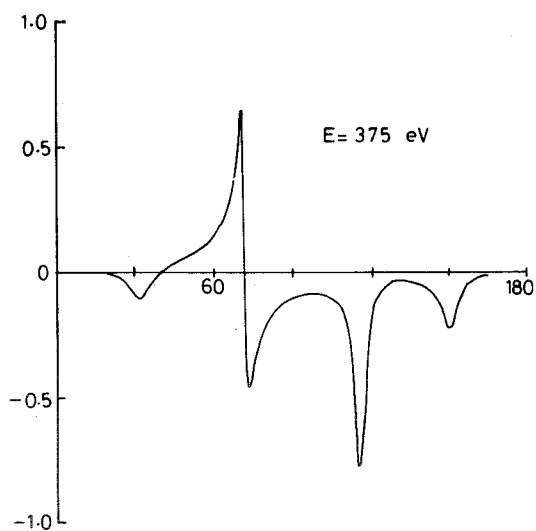


(a)



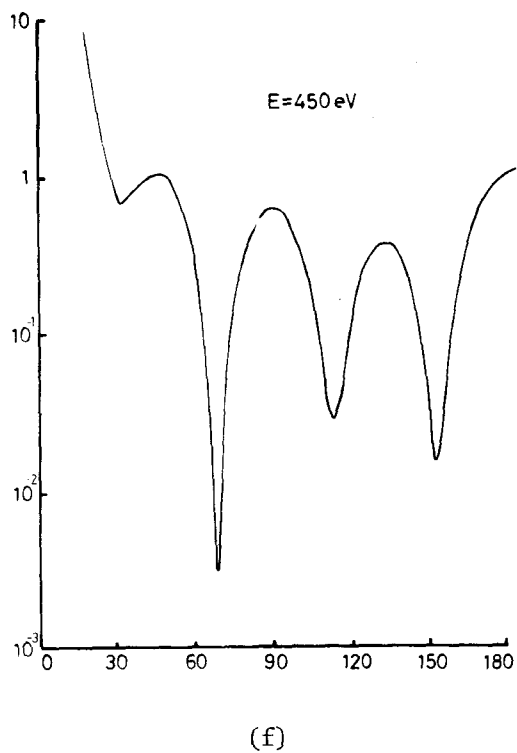
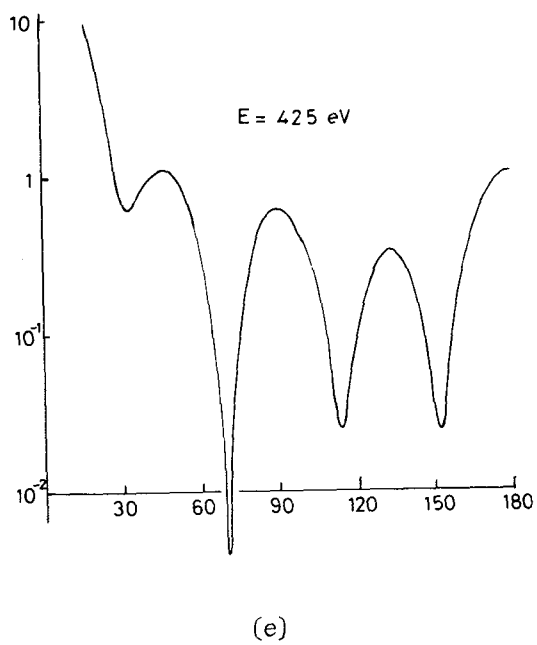
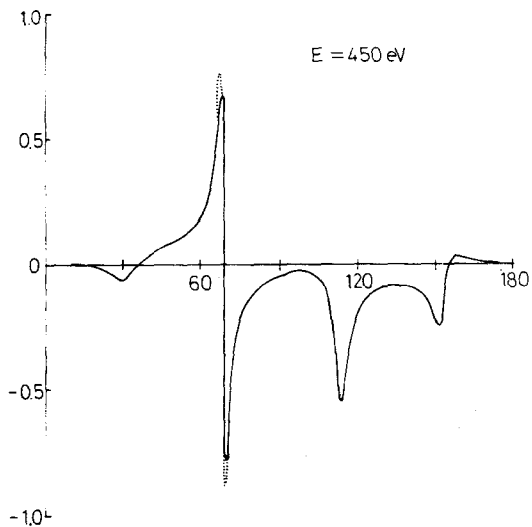
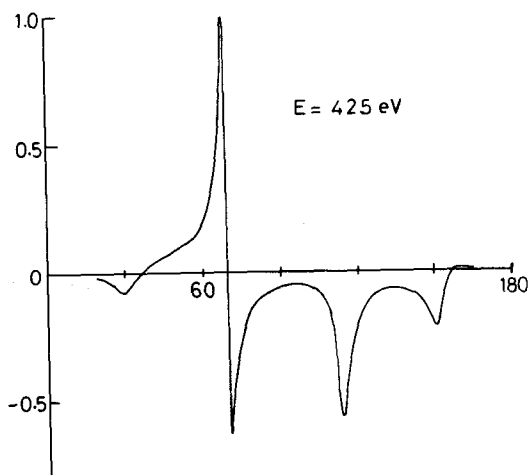
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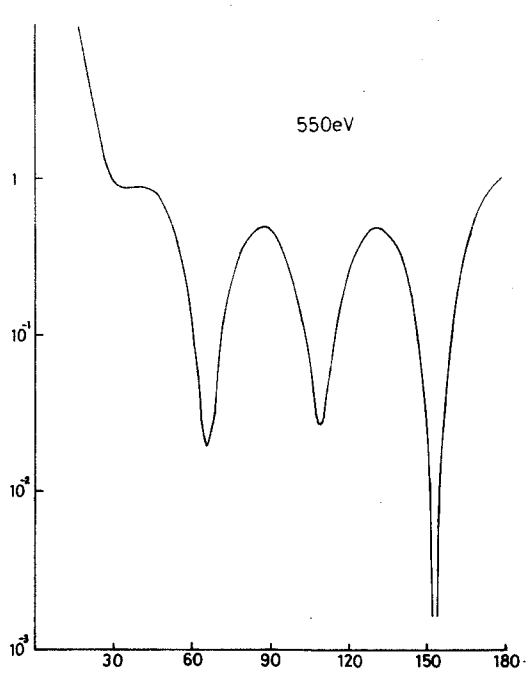
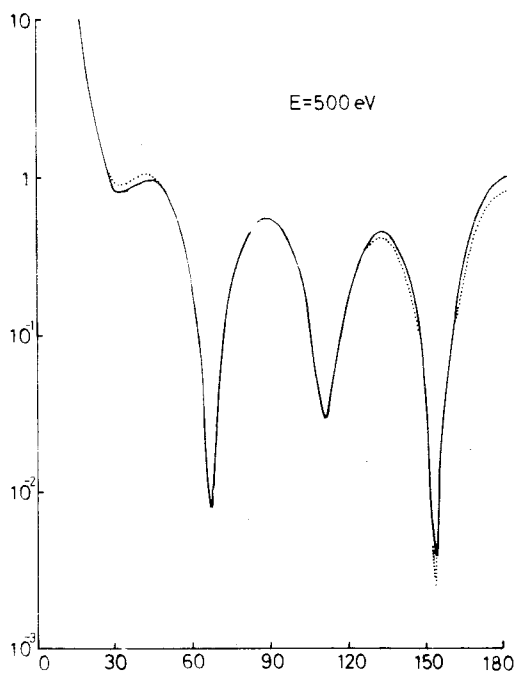
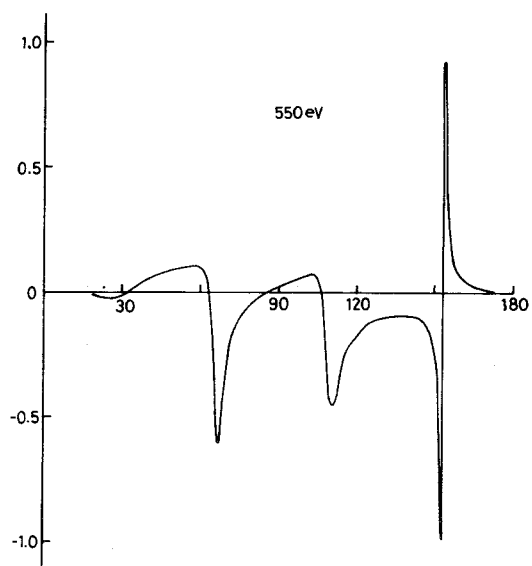
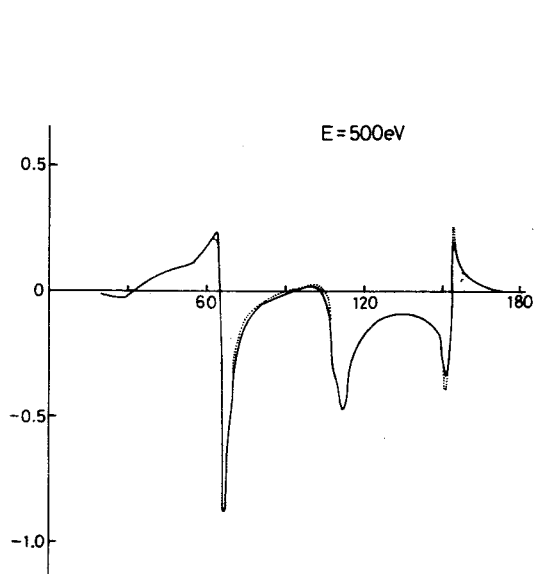
Fig. 2-5. Calculated results of spin polarization and differential cross section in unit of a_0^2 with respect to scattering angle. (—present calculation and --- calculated results using Mayer's relativistic Hartree potential (Holzwarth and Meister 1964b)).



(d)

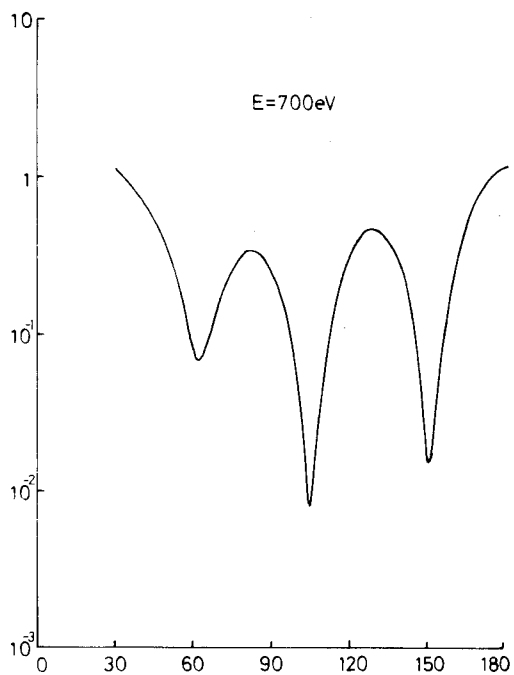
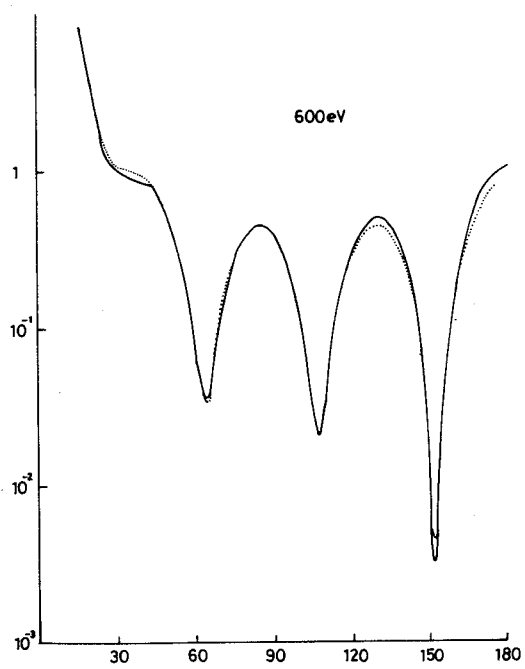
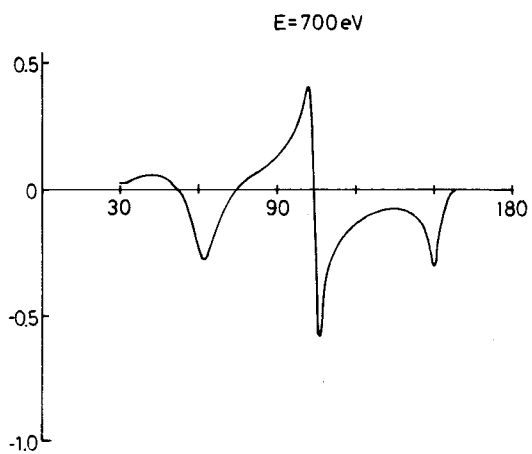
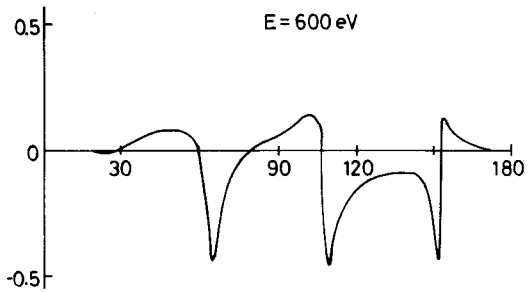
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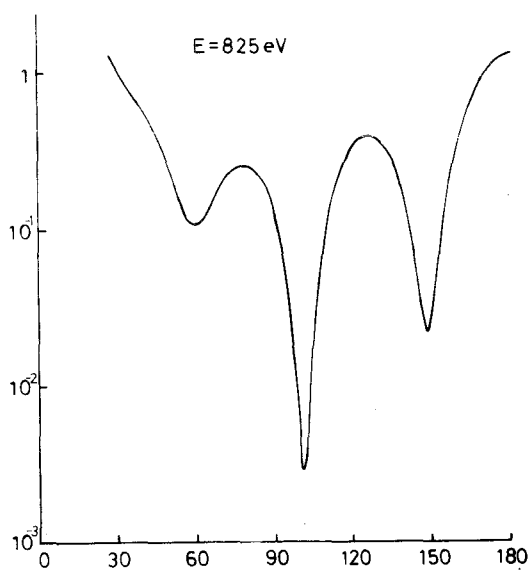
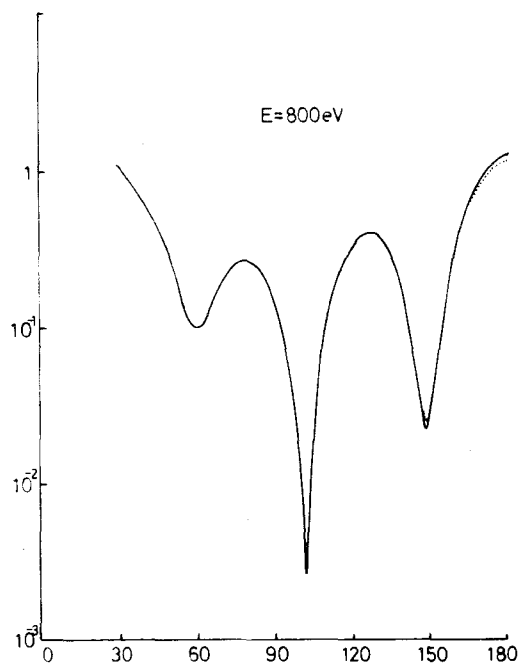
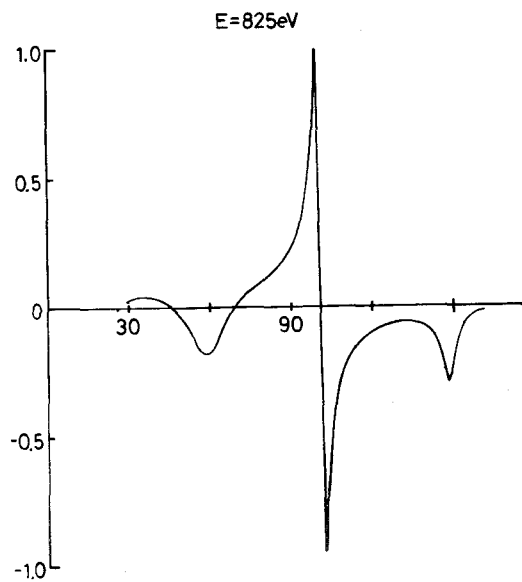
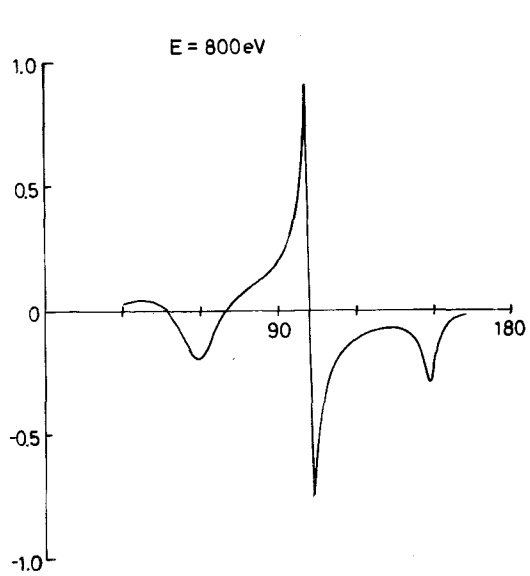
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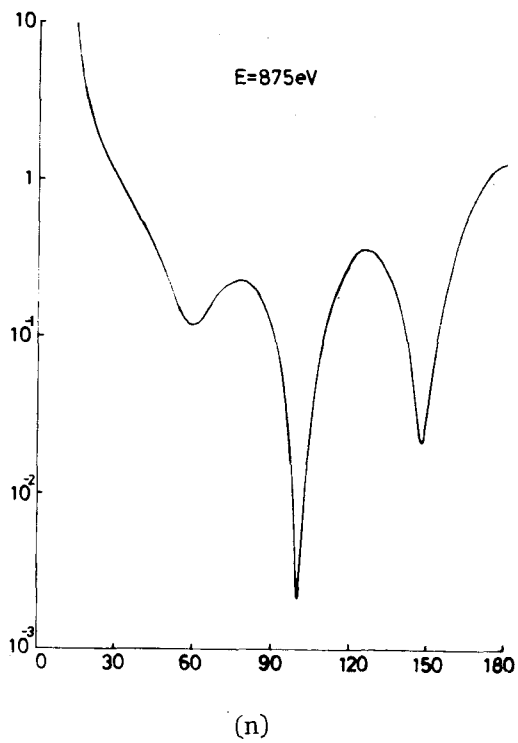
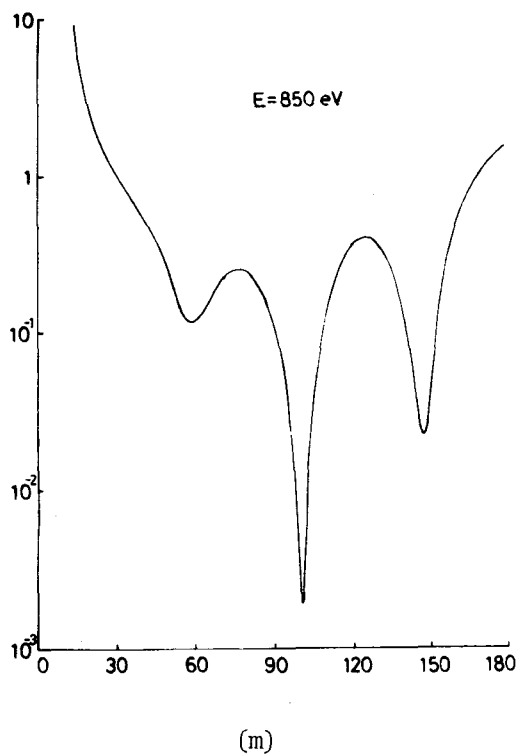
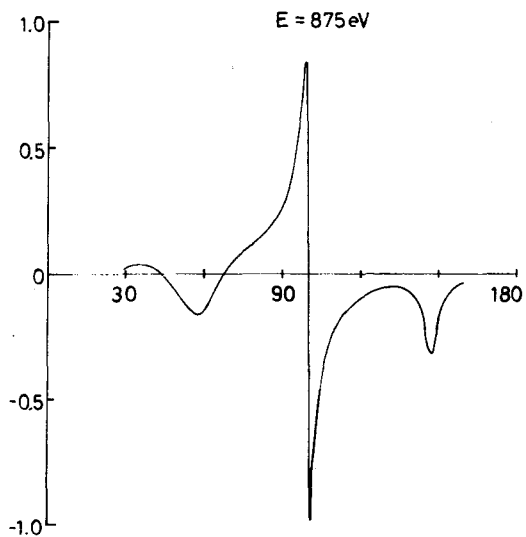
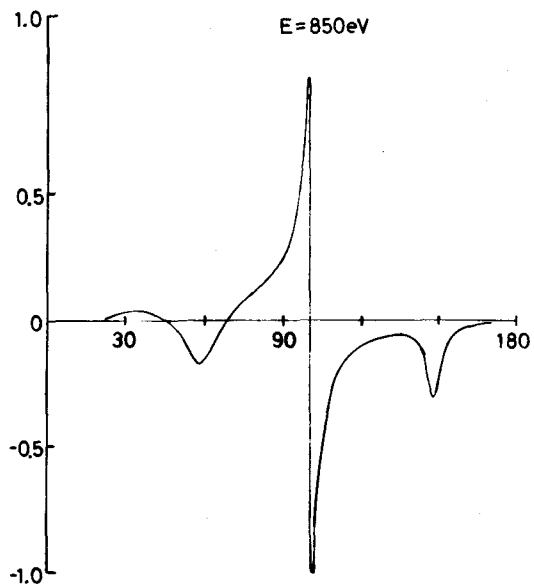
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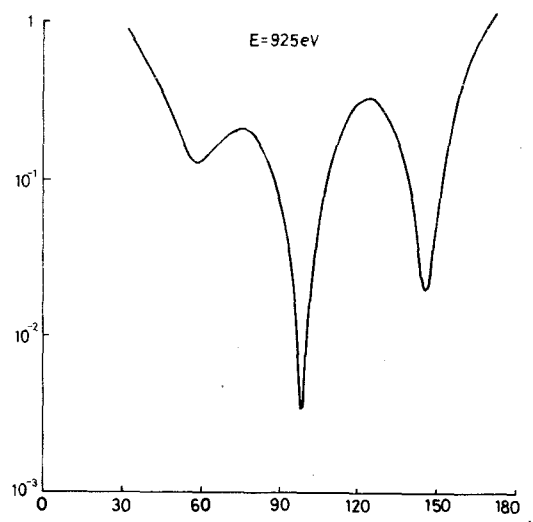
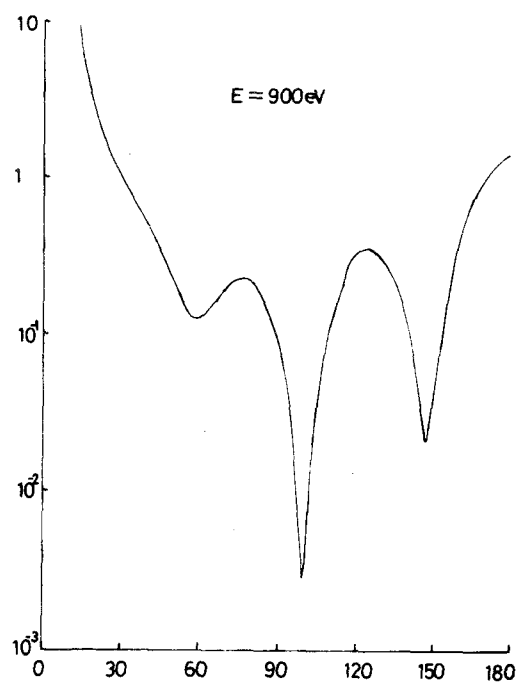
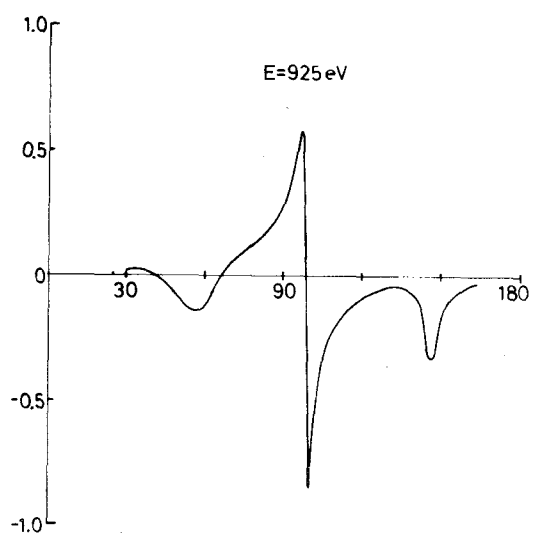
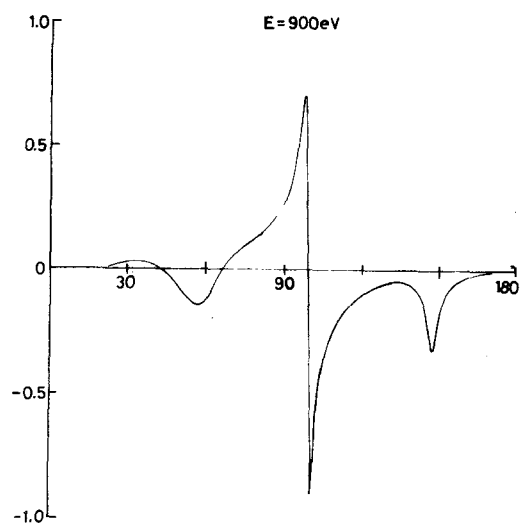
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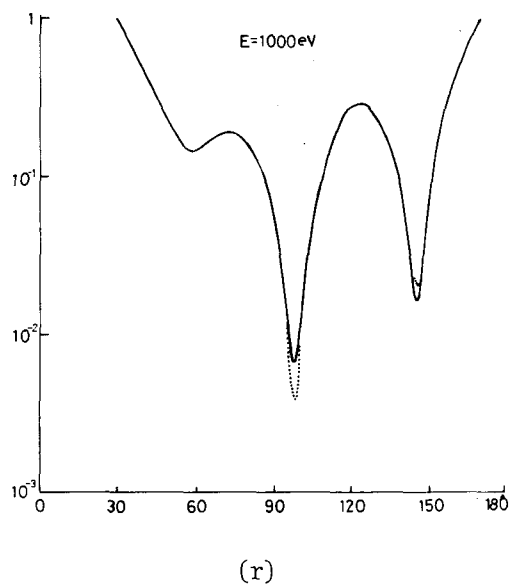
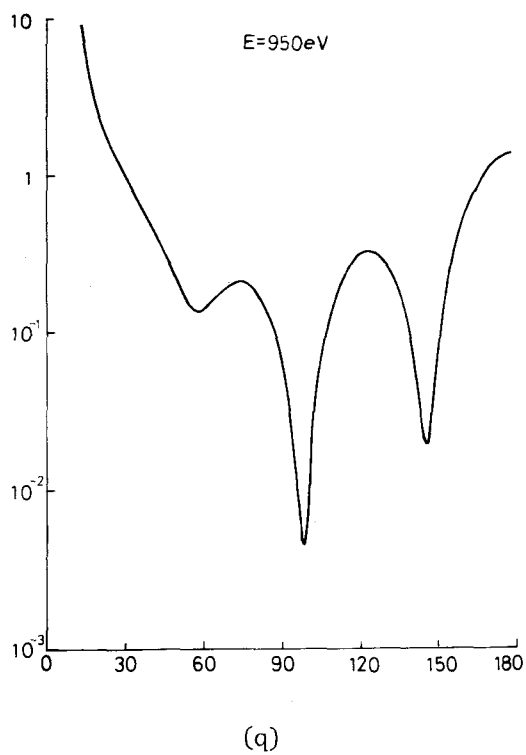
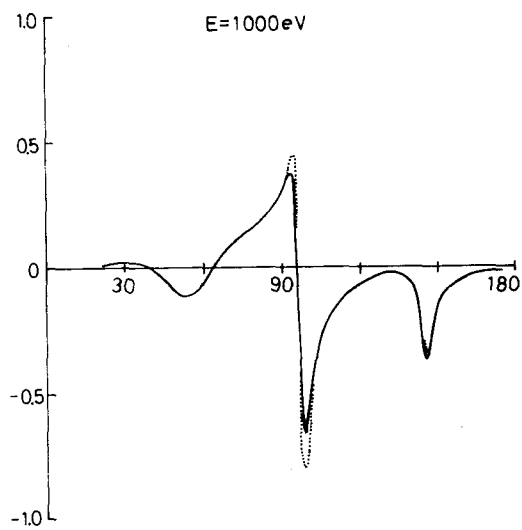
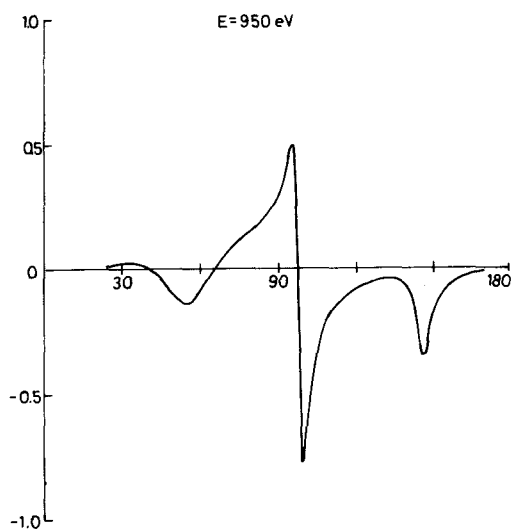
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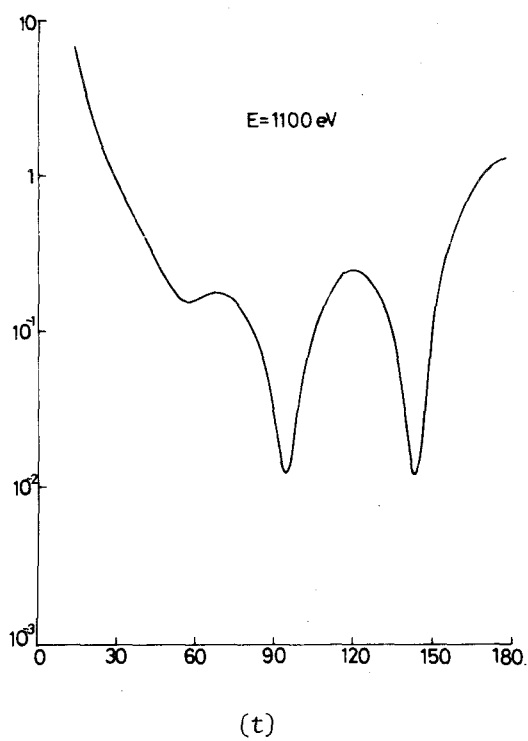
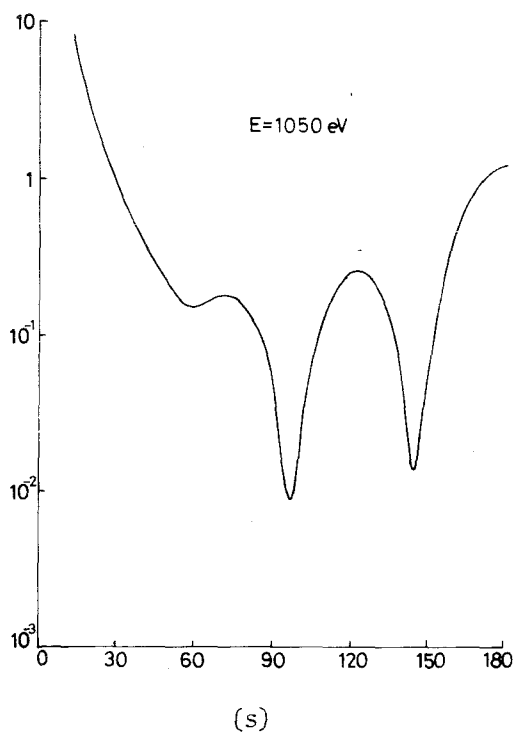
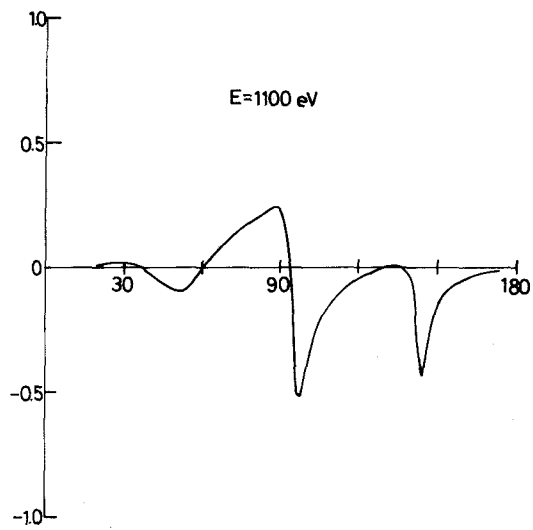
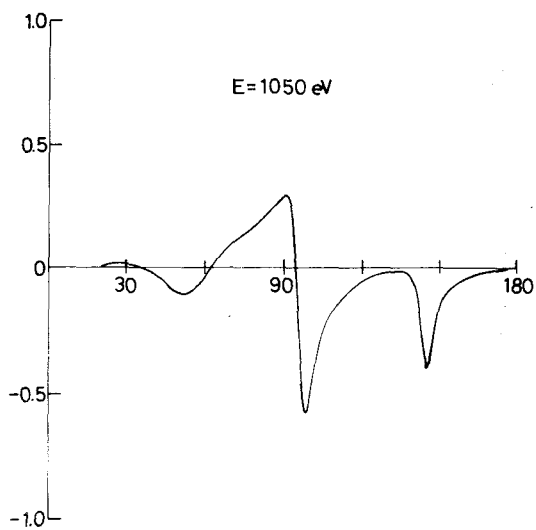


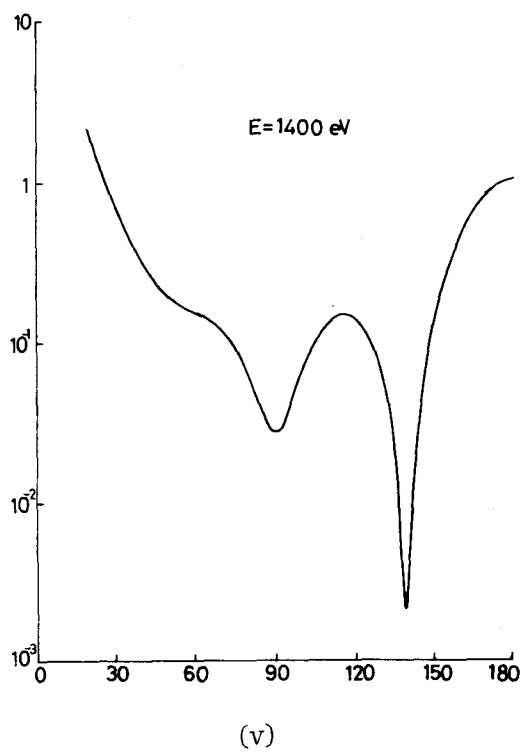
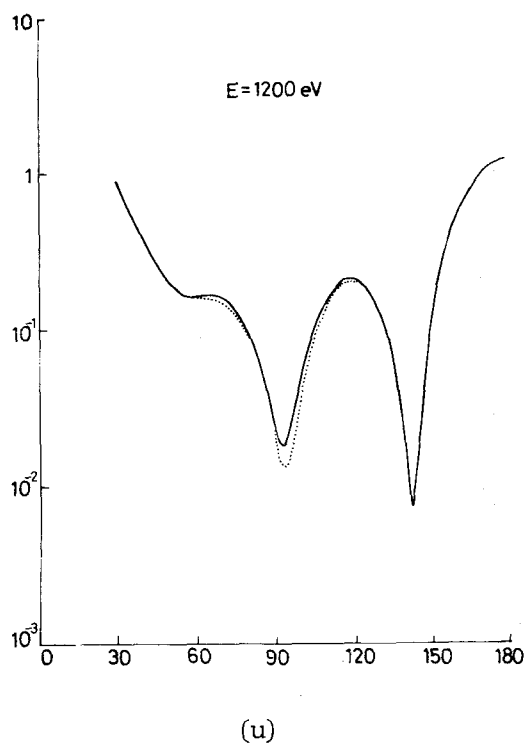
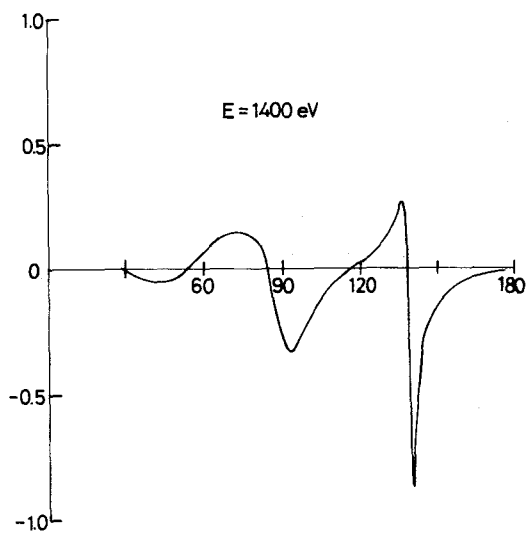
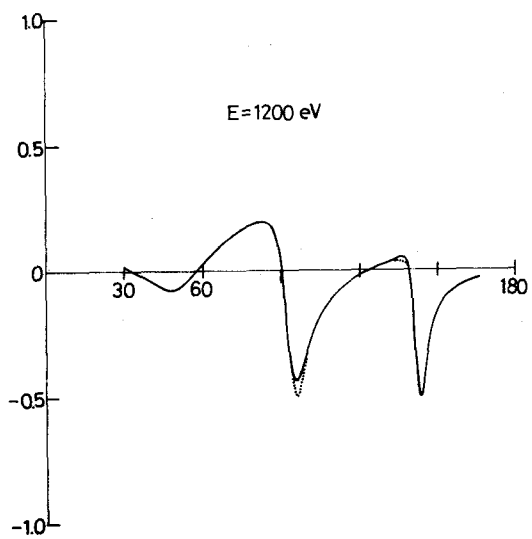


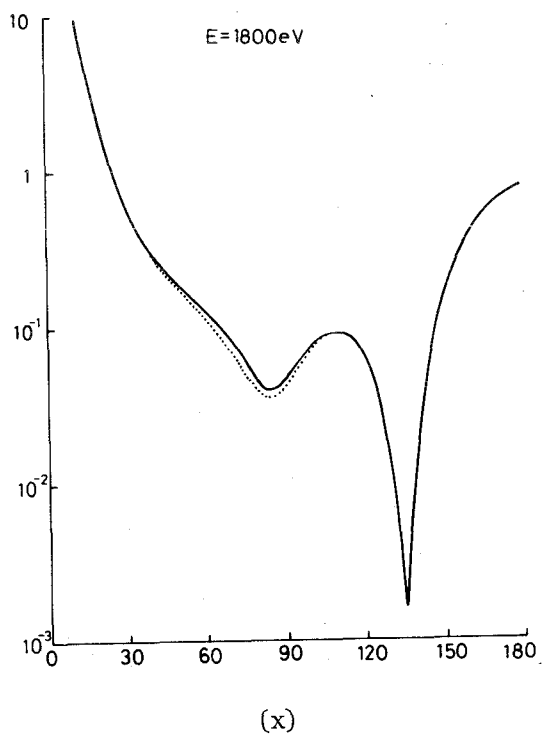
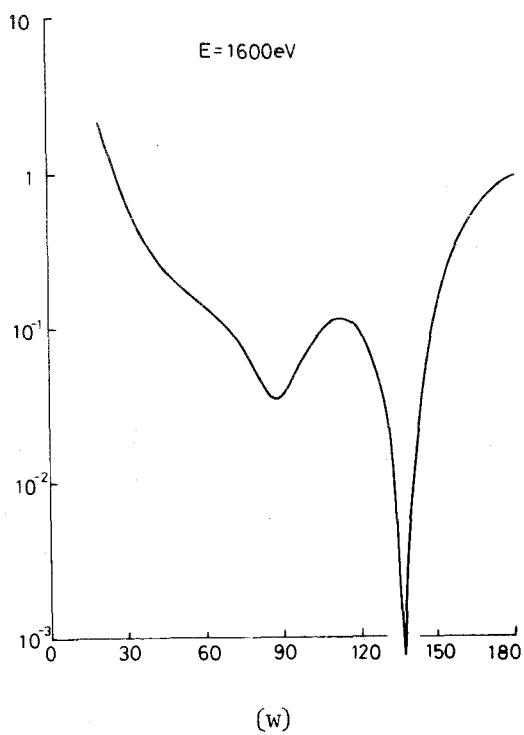
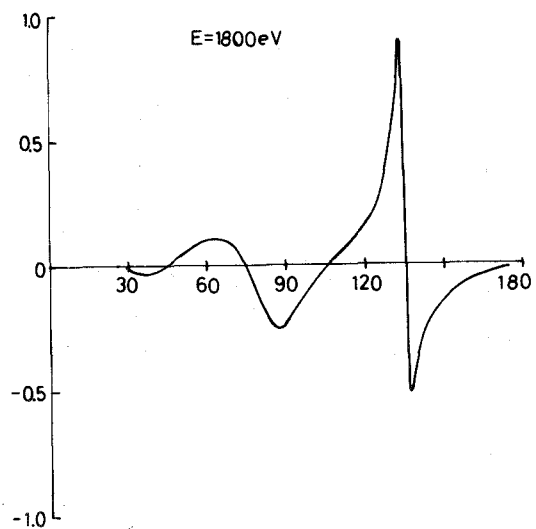
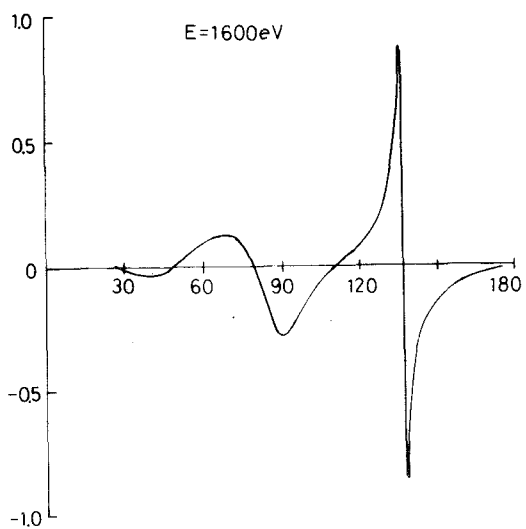
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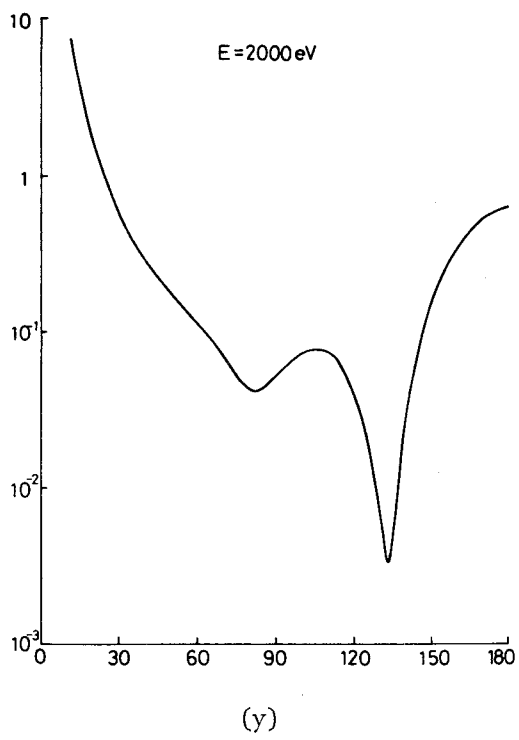
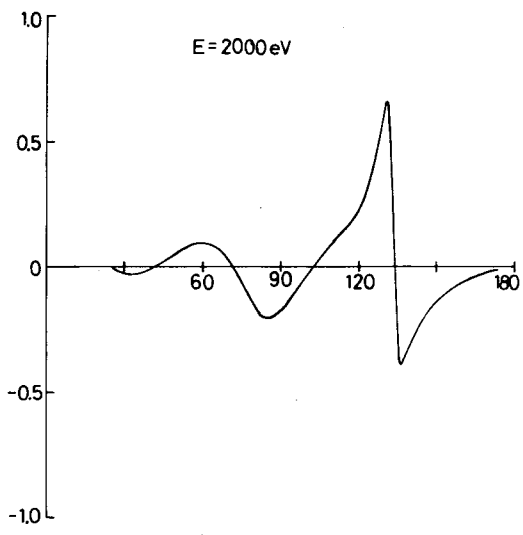
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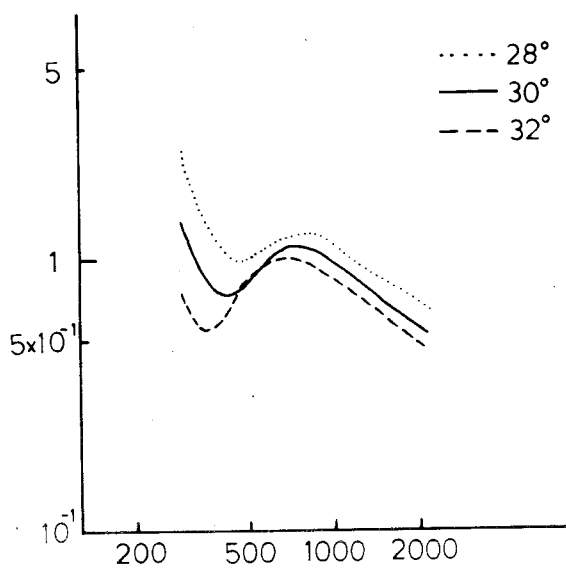




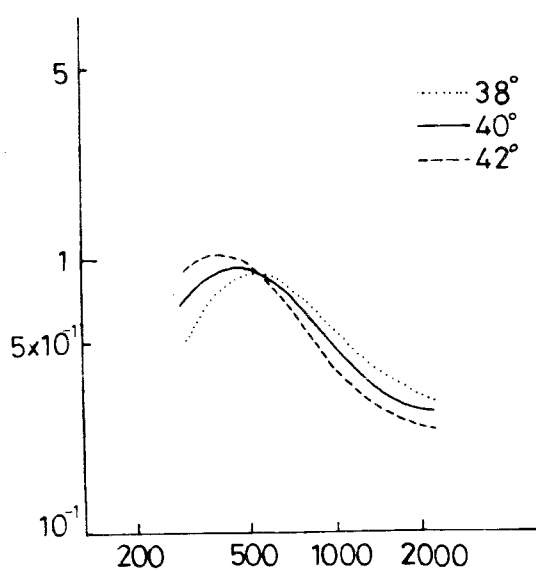




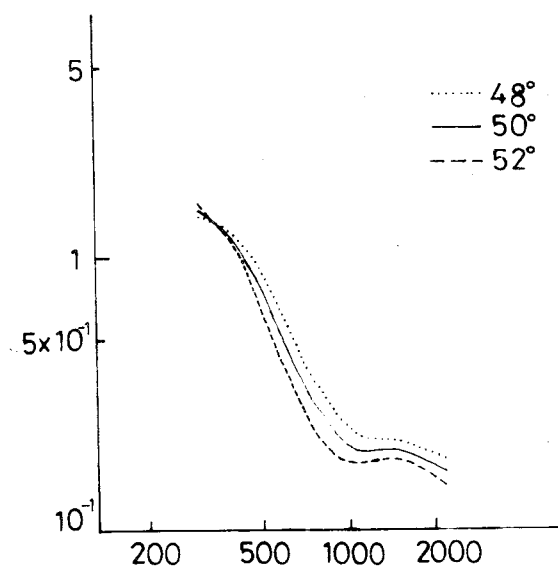




(a)

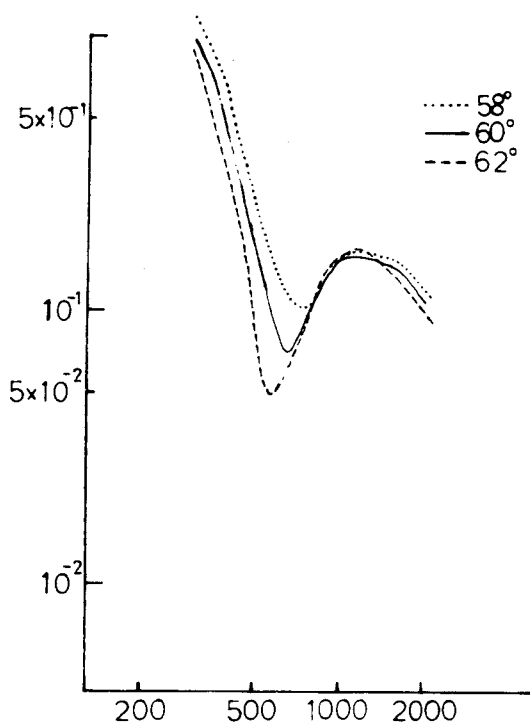


(b)

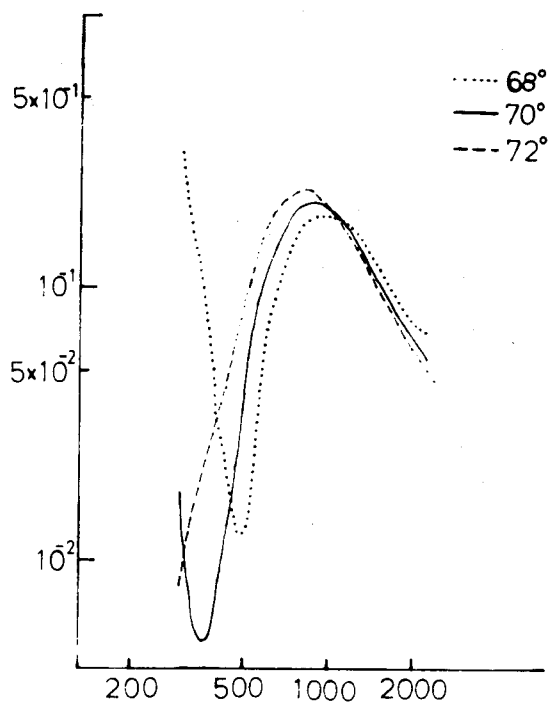


(c)

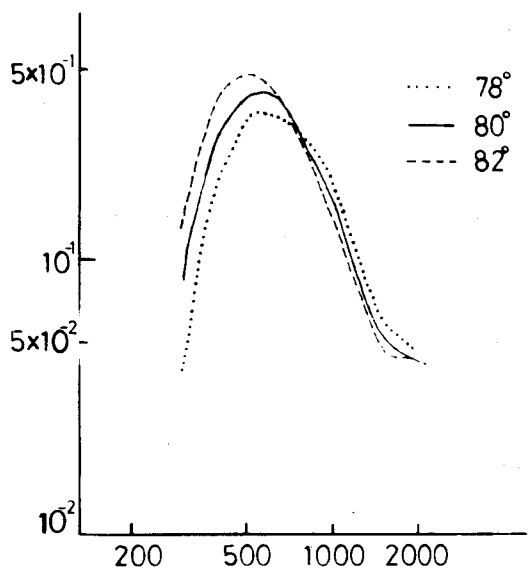
Fig. 2-6. Calculated results of differential cross section with respect to incident electron energy.



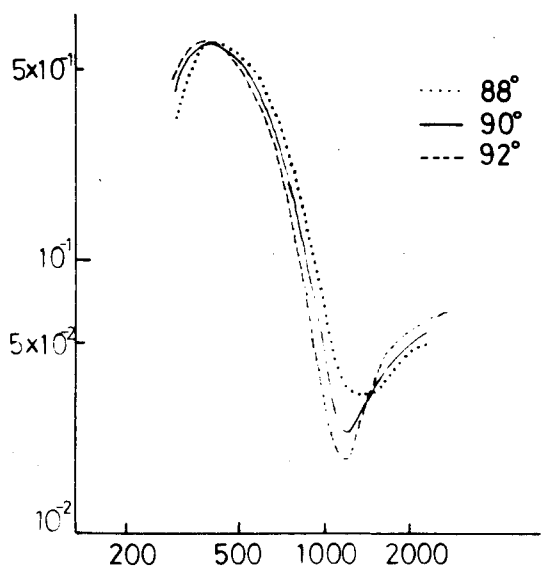
(d)



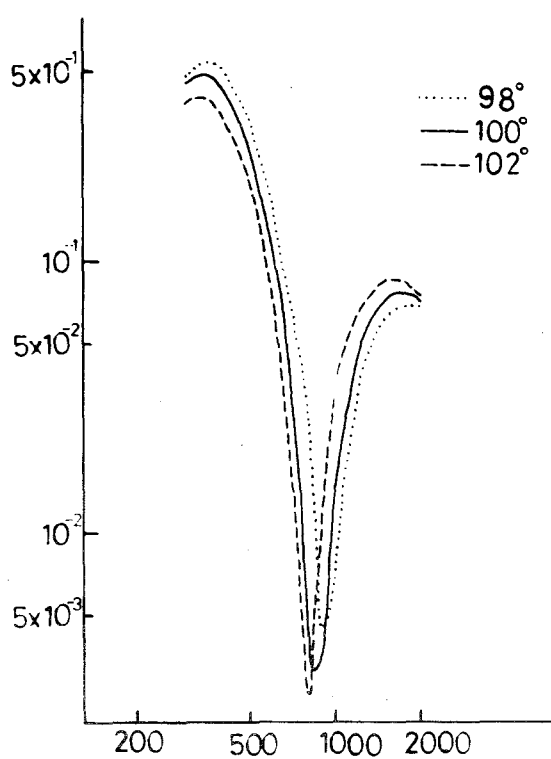
(e)



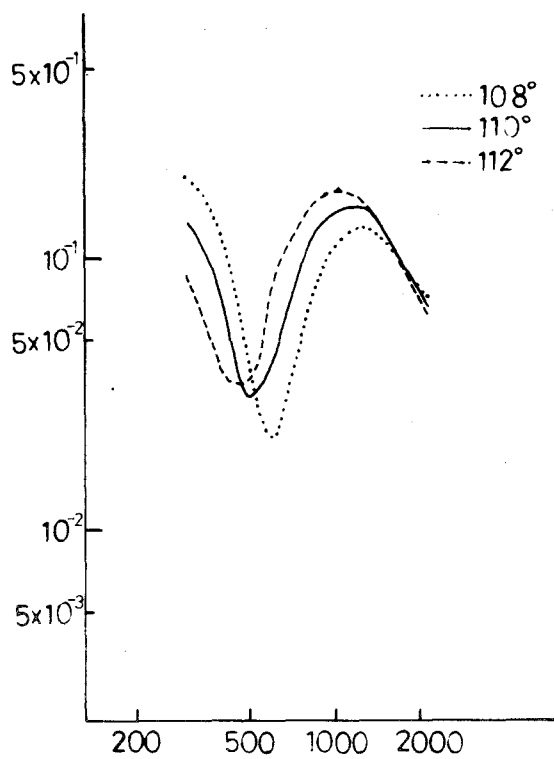
(f)



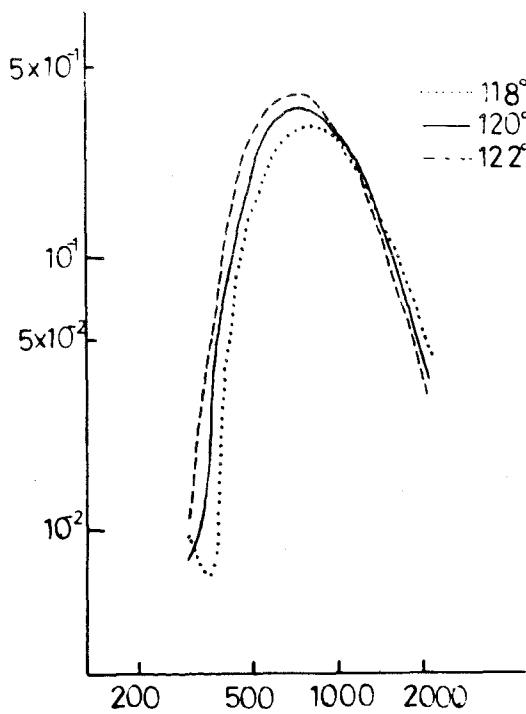
(g)



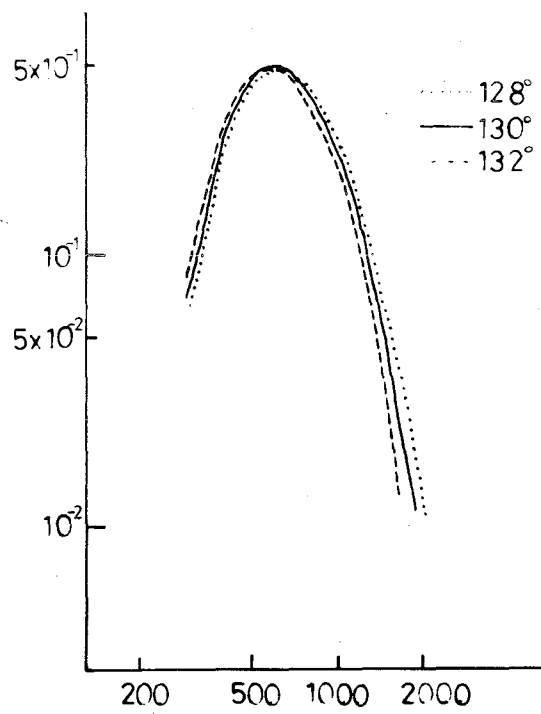
(h)



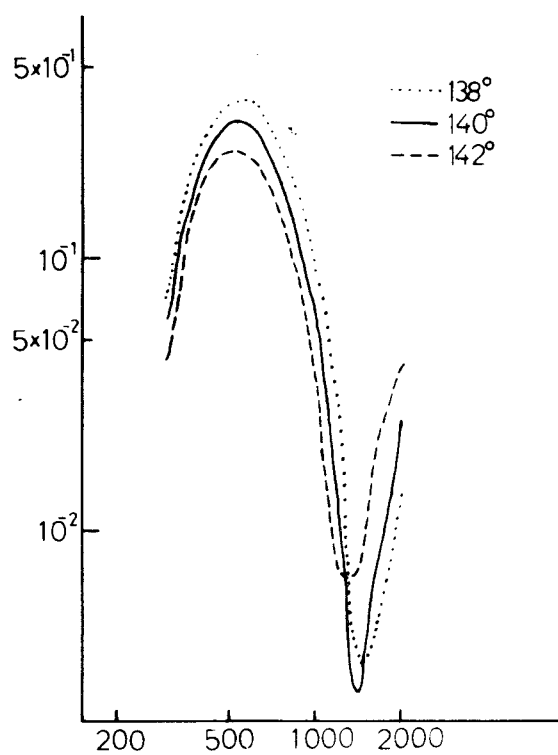
(i)



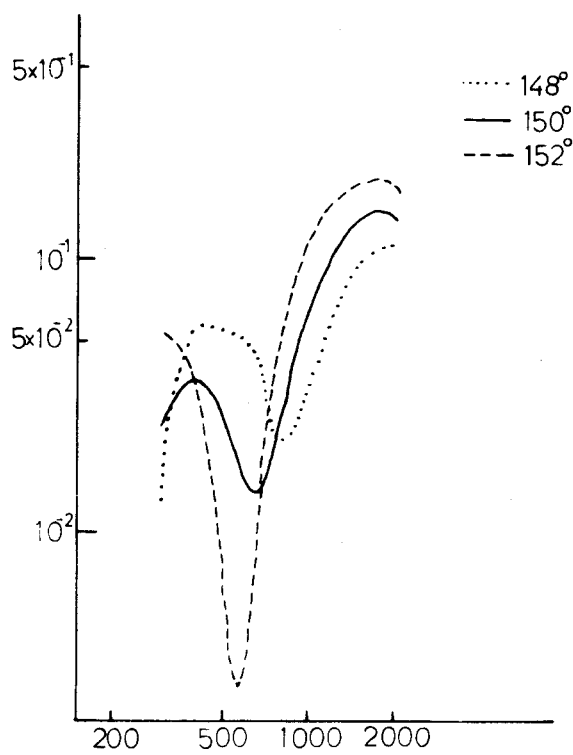
(j)



(k)



(1)



(m)

for both cases of the angular resolution of $\Delta\theta \leq 1$ and $\Delta\theta = 7$.

This makes direct comparison with the measured spin polarization of a large acceptance angle possible (Bunyan and Schonfelder 1965). Fig. 2-7 shows that the contours of constant polarization inclines to the left, i.e., the extremum points of ESP shift to lower angles as the energy increases. This corresponds to the fact that a diffraction angle becomes smaller as wave length shorter.

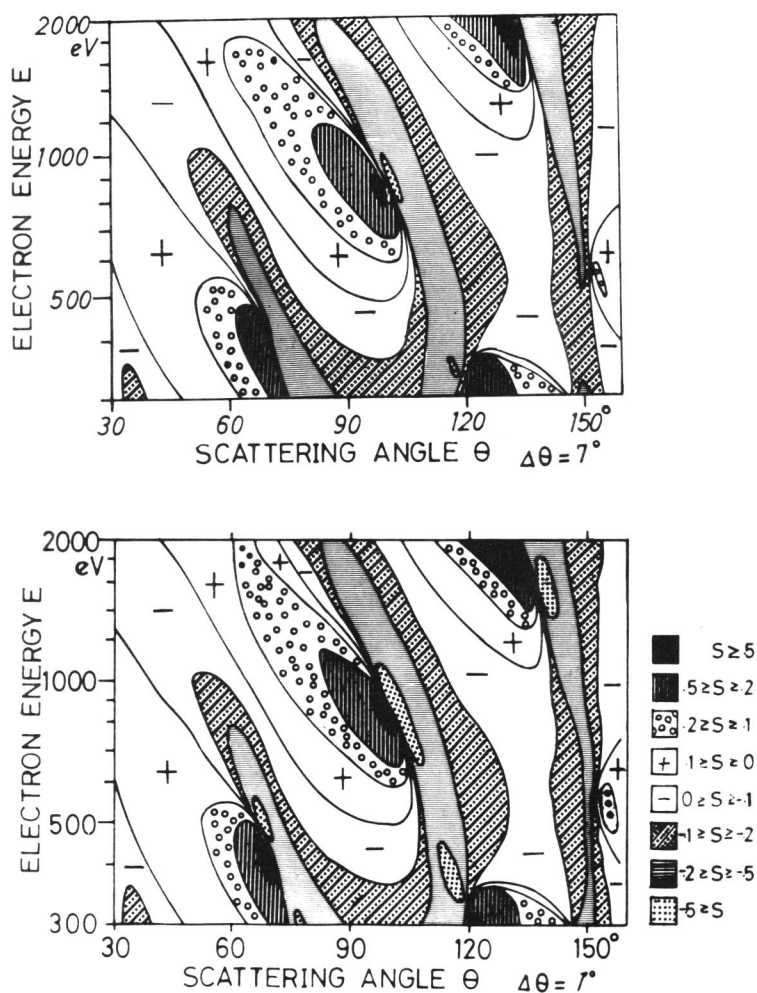


Fig. 2-7. Contours of constant polarization as function of scattering angle and electron energy with angular resolution $\Delta\theta \leq 1^\circ$ and $\Delta\theta = 7^\circ$

2-6. Application of Partial Wave Expansion to Monte-Carlo Simulation--- Applicability of Conventional Screened Rutherford Cross Section for keV Electrons and its Failure in Low Energy Region

Krefting and Reimer (1974) have pointed out that the Rutherford cross-section (see Appendix 3) is inaccurate in describing the elastic scattering of kilovolt electrons with atoms in solids for heavy elements, and is still rather poor approximation for elements as light as aluminum. They have compared the unscreened Rutherford cross-section which diverges at a scattering angle of $\theta = 0^\circ$, to the scattering cross-section obtained by a partial wave expansion method. However, the former method does not provide one with the total cross section, which is an important parameter in Monte-Carlo simulations. The screened Rutherford cross-section formula has been widely used in Monte-Carlo calculations (Heinrich et al. 1976), and it does provide us with the total elastic cross-section. Furthermore, Shimizu et al. (1976) have obtained close agreement between experimental and Monte-Carlo calculations based on the screened Rutherford cross-section. Hence, it is of interest to compare the partial wave expansion predictions with those obtained using screened Rutherford scattering.

From the theoretical viewpoint, although the differential cross-section for elastic scattering for electrons of energies below 1.5 keV (e.g. Fink and Yates 1970) and greater than a few tens of keV have been investigated (e.g. Sherman and Nelson 1959 and Bühring 1968b), the cross-section for intermediate energies has not yet, to our knowledge, been published. Hence it is of practical as well as theoretical use to determine the elastic scattering cross-section by partial wave expansion methods for electrons of energies between 1 and 20 keV, which are energies widely used for Monte-Carlo calculation as applied to electron microprobe and scanning electron microscopy. The differential cross-section was calculated using analytically expressed Hartree-Fock potential (T.G.Strand and Bonham 1964).

The results were compared with those published by Fink and Ingram and were found to be in satisfactory agreement with them in the region from 100 to 1500 eV.

Figures (2-8-a) and (2-8-b) show a comparison of differential cross-section for elastic scattering between the screened Rutherford and partial wave expansion calculations for aluminum at various electron energies of interest for the present study. The screening parameter β_N adopted in the present calculation was the one derived by Nigam et al. (Nigam et al.

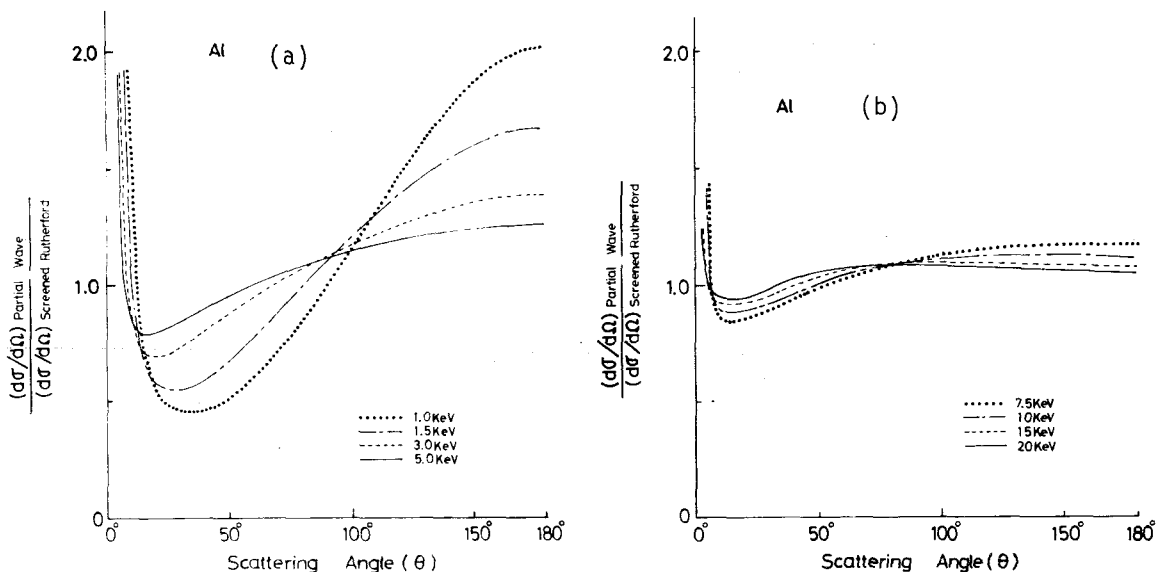


Fig. 2-8. The ratio of differential elastic scattering cross section obtained by partial wave expansion to that of screened Rutherford scattering as a function of the scattering angle θ and electron energy E for aluminum.

1959) using Thomas-Fermi potential and second Born approximation. This result shows that both the differential cross-sections are in close agreement between 10 and 20 keV and that the screened Rutherford can still be extended with fairly good accuracy down to 5 keV.

Below 5 keV, however, the discrepancy between the two becomes remarkable. So far as the excitation of high energy is concerned, such as K-ionization, for which electrons with energies higher than about 3 times of K-ionization energy plays important role in practical problem (in Aluminum $3 \times 1.5 \text{ keV} = 4.5 \text{ keV}$), these electrons of low energies below 5 keV are no more significant source of signals, i.e. K-Xrays and/or KLL Auger electrons. However, if signals of low excitation energies are treated in the argument, we have to take into account the contribution of low energy electrons.

Thus in this case it is strongly recommended to use the differential cross section obtained from partial wave expansion calculation.

Another comparison for the total cross-section is shown in Fig.2-9. The screening parameter does not cause any marked changes in the differential cross-section for scattering angles larger than several degrees in the kV region. Hence, taking an appropriate value for the screening para-

meter, i.e. $0.48 \beta_N$ for aluminum, we can use the screened Rutherford scattering for energies ranging from 5 to 20 keV with considerable success in Monte-Carlo calculation. In Fig.2-10, total cross sections calculated by three methods are compared, i.e., partial wave expansion and first Born approximation using Hartree-Fock potential, and screened Rutherford scattering with Nigam's screening parameter β_N .

Although the partial wave expansion method is one of the most accurate theoretical approach to elastic scattering at present, it provides a differential cross-section with limited accuracy for scattering angles of less than 10 degrees because of ambiguity in the theoretical atomic potential (Bromberg 1969). This ambiguity carries over to the total cross-section which one must use in Monte-Carlo calculation.

Thus, the present result suggests that the screened Rutherford scattering describes the elastic scattering of kV electrons with considerable accuracy for a light element such as aluminum, particularly using an appropriate value of the screened parameter, e.g., $0.48 \beta_N$ for aluminum. Furthermore, since far better agreement between those two cross sections is theoretically expected for lighter elements, the Monte-Carlo calculation tech-

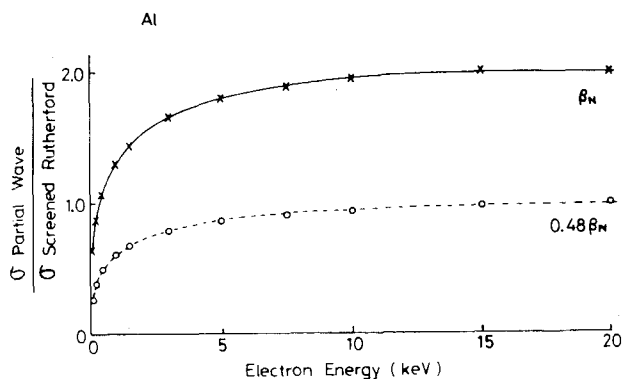


Fig.2-9. The ratio of the total cross section obtained by partial wave expansion to that of screened Rutherford scattering as a function of electron energy for different two values of the screening parameter β_N . (— after Nigam et al.(1959), ---- the best fit value.)

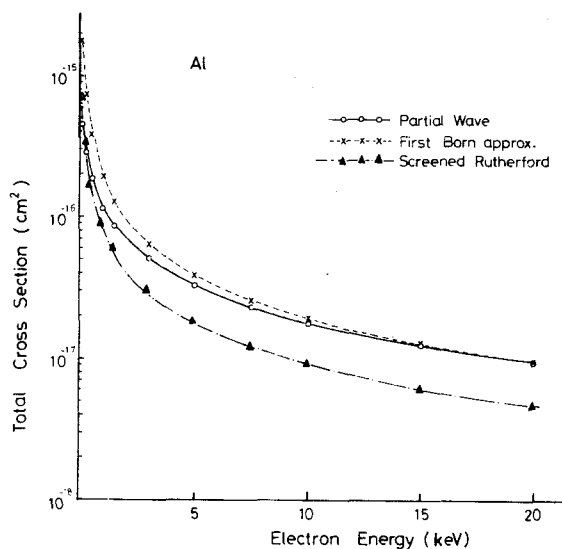


Fig. 2-10. Total cross sections obtained by partial wave expansion method, first Born approximation and screened Rutherford scattering as a function of electron energy.

nique using screened Rutherford scattering is a very useful approach for better understanding of electron penetration in organic and biological samples. However, this argument does not hold any more for such a low energy excitations as LVV Auger electron production in alminum. As a typical problem of practical importance, the application of the differential cross section obtained from partial wave expansion calculation to Monte-Carlo simulation of Al_{LVV} Auger electron production will be briefly mentioned below.

Contribution of the secondary electrons to the energy distribution is seen in Fig.2-11. As is expected, the secondary electrons only contribute to the lower part of the energy distribution. The distribution

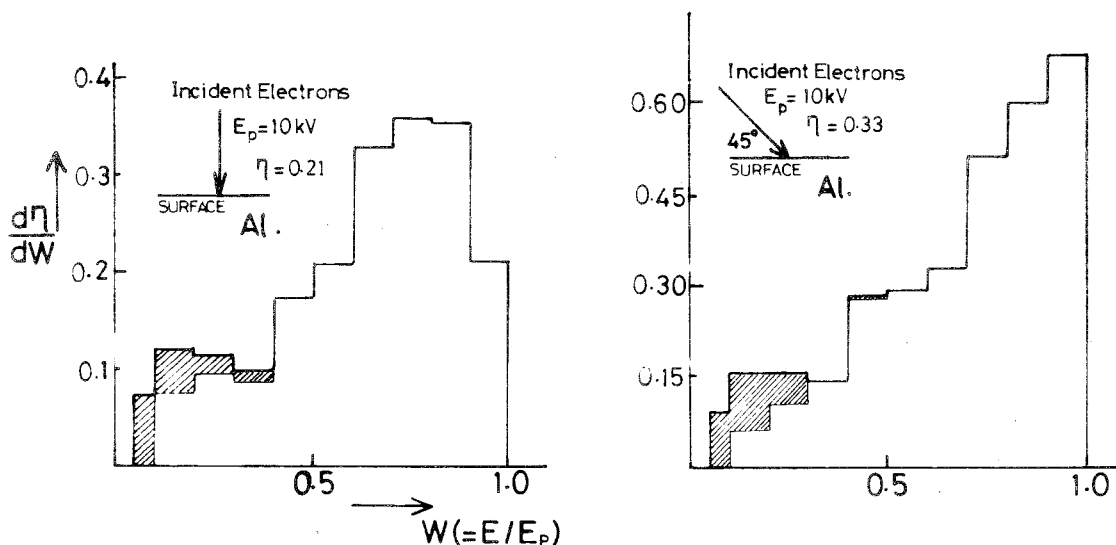


Fig. 2-11. Energy distribution of the backscattered and secondary electrons for 10-keV electrons impinging on aluminum at normal and oblique incidences. (Hatched area: signals generated by secondary electrons.)

of L-shell ionizations (corresponding to LVV- Auger electrons) produced by the secondary and backscattered electrons on the Al-specimen surface is shown in Fig.2-12 together with that of K-shell ionizations (corresponding to KLL-Auger electrons). It is worth nothing that almost half of the LVV-Auger electrons and about 30% of the KLL-Auger electrons are produced by the secondary and backscattered electrons. Another point to be noted

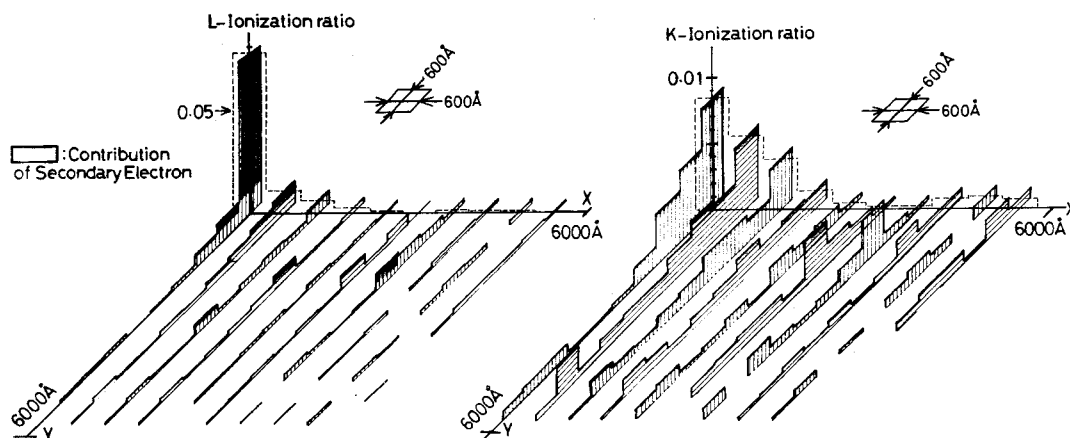


Fig. 2-12. Spatial distributions of K and L ionizations generated by 10 keV electrons in the surface layer of aluminum. The longitudinal axes indicate K - and L -ionization ratio of the sectional contributions per 600 Å, of backscattered and secondary electron to the contribution of an incident electron. (Solid area, signals generated by secondary electrons.)

a) L ionizations in the surface layer of 1 Å by the contribution of one primary electron: $1.02 \times 10^{-3} / \text{Å}$. L ionizations by the total contributions of backscattered and secondary electrons: $8.9 \times 10^{-4} / \text{Å}$. (b) K ionizations in the surface layer of 1 Å by the contribution of one primary electron: $6.5 \times 10^{-6} / \text{Å}$. K ionizations by the total contributions of backscattered and secondary electrons: $2.7 \times 10^{-6} / \text{Å}$.

is that the contribution of secondary electrons to L ionization is remarkable at the vicinity of the incident primary beam impact as is seen in Fig. 2-12-b. This is quite understandable because the secondary electrons are generated almost normal to the direction of primary electrons of high energies, and, hence, they move parallel with the surface at the vicinity of the incident point leading to a high generation rate of L ionization in the surface layer in question.

CHAPTER 3 THEORY OF ELECTRON-MERCURY INELASTIC SCATTERING

----- APPLICATION OF DWB THEORY

3-1 Introduction

In electron-mercury scattering, similarities in the diffraction patterns of elastic and inelastic cases had been previously recognized by Mohr and Nicoll (1932), and Tate and Palmer (1932) for intermediate energies. Inelastic scattering experiments with an energy analyser of high energy resolution also showed a diffraction pattern similar to elastic scattering at inelastic channels of 6^1P excitation (Gronemeier 1970), and 6^1P and $6p'^3P$ excitation channels (Hanne et al. 1972, Yamazaki et al. 1977c). These are the transitions of high scattering intensities in electron-mercury loss spectra. Polarization measurements of inelastic electrons have recently been made at 6^1P and $6p'^3P$ excitation channels, which also resulted in patterns similar to the elastic cases (Eitel and Kessler 1970, 1971, Hanne and Kessler 1972).

Penny (1932) and Yavorskii (1947) treated the total cross sections for excitation of 6^1P and 6^3P states in mercury using Born-Oppenheimer approximation. McConnell and Moiseiwitsch (1968) refined the above treatments using Born-Ochkur approximation. In regards to oscillator strength, the above treatment gave $f(^1P_1 \rightarrow ^1S_1) = 1.17$ and $f(^3P_1 \rightarrow ^1S_0) = 0.037$ which were in close agreement to the experimental values 1.18 and 0.037 (Lulio 1965). According to development in ESP measurements of inelastic channels, theoretical calculations of differential cross section and ESP at the 6^1P channel would be highly expected. One theoretical calculation has been made and resulted in success in a certain aspect using distorted wave Born approximation (DWB approximation) (Madison and Shelton 1973). However, this calculation is confined to rather lower impact energies (50-180 eV) and failed to give correct cross sections.

In this chapter, the 6^1P excitation cross section of electron-mercury scattering is discussed. For this purpose, the DWB approximation is formulated in detail at 6^1P excitation for the mercury atom and calculations are made for incident electron energies of 50 to 500 eV. This may provide detailed information on the scattering process, particularly on spin polarization in unresearched areas.

The reason for adopting the DWB approximation are as follows; First, Born approximation is known to give inadequate information on the differential scattering cross section at large scattering angles and in every case

predicts zero polarization (see Sec.2-2-2), thus in spite of its simplicity and clearness, Born approximation turns out to be useless. Second, the coupling between 6^1P excitation and elastic channels is generally weak (the intensity ratio between 6^1P excitation and elastic scattering is about 10^{-2} in both the energy and angular regions in question). This weak coupling implies that the scattering of electrons from the inelastic channels to the elastic channel is negligible. Thus, it may not be necessary to adopt an approximation as precise as the close-coupling approximation. Third, the similarity of diffraction patterns at elastic and 6^1P excitation scattering suggests that the elastic scattering plays a dominant role in the 6^1P excitation scattering.

This leads one to expect that the present DWB approximation would provide reasonable accuracy for the interpretation of 6^1P excitation scattering process. Furthermore, as there exist little theoretical results using DWB approximation except for the two works for heavy atoms (Sawada et al. 1971, Madison and Shelton 1973), it is interesting to apply the relativistic DWB approximation to the calculation of cross section and spin polarization of electron-mercury scattering.

3-2 DWB Approximation

As is shown in Sec.2-2-1, the formal solution for the wave equation $H\psi_\alpha = W_\alpha \psi_\alpha$ is written implicitly as

$$\psi_\alpha^{(+)} = \phi_\alpha + \frac{1}{W_\alpha - K \pm i\epsilon} V \psi_\alpha^{(+)} , \quad (3-1)$$

$$K = H - V . \quad (3-2)$$

The transition rate per unit time is given by (Gell-Mann and Goldberger 1953)

$$w_{ij} = 2\pi |T_{ij}|^2 \delta(W_i - W_j) , \quad (3-3)$$

where

$$T_{ij} = \langle \phi_j | V | \psi_i^{(+)} \rangle . \quad (3-4)$$

In the case of $V = V_1 + V_2$, equation (3-4) is written

$$T_{ij} = \langle \Phi_j | V_1 + V_2 | \phi_i^{(+)} \rangle . \quad (3-5)$$

Introducing the eigenfunctions of $K+V_1$ as

$$X_{\alpha}^{(\pm)} = \Phi_{\alpha} + \frac{1}{W - K \pm i\epsilon} V_1 X_{\alpha}^{(\pm)} , \quad (3-6)$$

we can transform the equation (3-5) as follows,

$$\begin{aligned} T_{ij} &= \langle X_j^{(-)} - \frac{1}{W - K - i\epsilon} V_1 X_j^{(-)} | V_1 + V_2 | \phi_i^{(+)} \rangle \\ &= \langle X_j^{(-)} | V_1 + V_2 | \phi_i^{(+)} \rangle - \langle X_j^{(-)} | V_1 \frac{1}{W - K + i\epsilon} (V_1 + V_2) | \phi_i^{(+)} \rangle \\ &= \langle X_j^{(-)} | V_1 + V_2 | \phi_i^{(+)} \rangle - \langle X_j^{(-)} | V_1 | \phi_i^{(+)} - \Phi_i \rangle \\ &= \langle X_j^{(-)} | V_2 | \phi_i^{(+)} \rangle + \langle X_j^{(-)} | V_1 | \Phi_i \rangle . \end{aligned} \quad (3-7)$$

The ingoing distorted waves are related to outgoing waves by time reversal, which has the form (Satchler 1964)

$$\left(X_{\alpha}^{(-)}(\mathbf{k}, \mathbf{r}) \right)_{m', m} = (-1)^{m' - m} \left(X_{\alpha}^{(+)}(-\mathbf{k}, \mathbf{r}) \right)_{-m', -m} \quad (3-8)$$

(The appearance of the ingoing wave in scattering problem is qualitatively explained by Breit and Bethe (1954), and Wu and Ohmura (1962).)

Considering the inelastic scattering of an electron-atom system, we can set V_1 as the atomic potential and V_2 as the interaction potential between the incident and atomic electrons (see Sec. 3-3). In this case, the second term of equation (3-7) vanishes because of the orthogonality of ground and excited states of atomic electrons. Then, equation (3-8) is written

$$T_{ij} = \langle X_j^{(-)} | V_2 | \phi_i^{(+)} \rangle . \quad (3-9)$$

Note must be made of the fact that equation (3-9) is an exact solution of the problem.

In equation (3-9), if the atomic potential V_1 is substantially larger than the interaction potential V_2 , then we may substitute $X_i^{(+)}$ for $\phi_i^{(+)}$ and get

$$T_{ij} = \langle X_j^{(-)} | V_2 | X_i^{(+)} \rangle . \quad (3-10)$$

Equation (3-10) is called distorted wave Born (DWB) approximation, since Born approximation is obtained if $X_j^{(-)}$ and $X_i^{(+)}$ are replaced by plane waves. That is, DWB approximation introduces the effect of distortion of plane wave by the core potential on inelastic scattering.

To clarify the physical aspects of the DWB approximation, we will view scattering of electrons with hydrogen atoms non-relativistically. The wave equation for the system is written

$$\left\{ \frac{1}{2} \cdot (\nabla_1^2 + \nabla_2^2) + E + e^2/r_1 + e^2/r_2 - e^2/r_{12} \right\} \psi = 0, \quad (3-11)$$

where the incident electron is distinguished by the suffix 1, the atomic electron by the suffix 2. The energy E is the sum of the energy E_0 of the atomic electron in its ground state and of the kinetic energy of the incident electron. We may expand the function $\psi(\mathbf{r}_1, \mathbf{r}_2)$ in the form

$$\psi(\mathbf{r}_1, \mathbf{r}_2) = \sum_n \int \psi_n(\mathbf{r}_2) \cdot F_n(\mathbf{r}_1) \quad (3-12)$$

where the functions $\psi_n(\mathbf{r})$ are the eigenfunction for the hydrogen atom, satisfying

$$\left(\frac{1}{2} \nabla^2 + E_n + e^2/r \right) \psi_n(\mathbf{r}) = 0. \quad (3-13)$$

The integral sign denotes integration over the functions of the continuous spectrum. Substituting equation (3-12) in equation (3-11) using equation (3-13) and multiplying $\psi_n^*(\mathbf{r}_2)$ on both sides of this equation and integrating over the coordinate space of the atomic electron, we obtain

$$\left(\frac{\nabla_1^2}{2} + E - E_n \right) F_n(\mathbf{r}_1) = \int (e^2/r_{12} - e^2/r_1) \psi(\mathbf{r}_1, \mathbf{r}_2) \cdot \psi_n^*(\mathbf{r}_2) d\mathbf{r}_2. \quad (3-14)$$

Writing

$$V_{nm}(\mathbf{r}_1) = \int \psi_n^*(\mathbf{r}_2) e^2/r_{12} \cdot \psi_m(\mathbf{r}_2) d\mathbf{r}_2, \quad (3-15)$$

we have

$$\left(\nabla^2/2 + E - E_n \right) F_n(\mathbf{r}) = \sum_m V_{nm} F_m(\mathbf{r}). \quad (3-16)$$

If $V_{nm}(n \geq 0, m \geq 1, n \neq m)$ is neglected in equation (3-16), then we obtain

$$(\nabla^2/2 + E - E_o - V_{oo}) F_o = 0 \quad (3-17-a)$$

$$(\nabla^2/2 + E - E_i - V_{ii}) F_i = V_{io} F_o . \quad (3-17-b)$$

Equation (3-17-a) is merely the ordinary equation for elastic scattering with atomic potential V_{oo} , which can be solved by the same method developed in Sec.2-3. As F_o is known, the equation (3-17-b) becomes a simple inhomogeneous differential equation which can be easily solved (Mott and Massey 1965) as

$$F_i(r, \theta, \phi) \sim -\frac{1}{2\pi} r^{-1} e^{iK_i r} \int V_{io}(r', \theta', \phi') \cdot F_o(r', \theta', \phi') f_i(r', \pi - \theta) d\mathbf{r}' \quad (3-18)$$

where f_i satisfies

$$(\nabla^2/2 + E - E_i - V_{ii}) f_i(\mathbf{r}) = 0 , \quad (3-19)$$

$$\text{and } \cos(\theta) = \cos \theta \cos \theta' + \sin \theta \sin \theta' \cos(\phi - \phi') .$$

As equation (3-18) equals equation (3-10), the essential points concerning DWB approximation are concluded as follows:

- 1) neglect of the scattering from inelastic states to the elastic state and
 - 2) neglect of the interaction between the arbitrary set of inelastic states.
- The "Born" of DWB stems from the approximation mentioned above.

From another viewpoint, DWB approximation is one of the first order perturbation where $K+V$ is chosen as the unperturbed Hamiltonian. On the other hand, Born approximation is the first order perturbation theory where K is chosen as the unperturbed Hamiltonian.

3-3. Application of DWB Approximation to Electron-Impact Excitation of 6¹P State of Mercury Atom

In this section, an attempt is made to rewrite the theoretical expression of DWB approximation in a more concrete form for practical use.

The electron configuration in the mercury atom is expressed as (1s)² (2s)².....(5d)¹⁰ (6s)². In as far as the 6¹P excitation is concerned, the atomic electrons interacting directly with incident electrons are confined

to electrons in the 6s shell. Therefore, it is assumed that atomic electrons other than $(6s)^2$ do not interact directly with the incident electrons. Then, the total Hamiltonian H is written as

$$H = H_0(\mathbf{r}) + H_1(\mathbf{r}_1, \mathbf{r}_2) + \sum_{l=1,2} \frac{e^2}{|\mathbf{r} - \mathbf{r}_l|}, \quad (3-20)$$

where \mathbf{r} and \mathbf{r}_l ($l=1,2$) correspond to incident and 6s electrons respectively and

$$H_0(\mathbf{r}) = K_r + V_{z-2}(\mathbf{r}) \quad (3-21)$$

$$H_1(\mathbf{r}_1, \mathbf{r}_2) = K_{r_1} + K_{r_2} + V_{z-2}(\mathbf{r}_1) + V_{z-2}(\mathbf{r}_2) + \frac{e^2}{|\mathbf{r}_1 - \mathbf{r}_2|}, \quad (3-22)$$

where K is kinetic energy and V_{z-2} the atomic potential energy composed of a mercury nucleus and core electrons. We will rearrange the above Hamiltonian as follows,

$$H_\alpha = K_r + V_z^\alpha(r) + H_1(\mathbf{r}_1, \mathbf{r}_2) \quad (3-23)$$

$$u_\alpha = -V_z^\alpha(r) + V_{z-2}(r) + \sum_{l=1,2} \frac{e^2}{|\mathbf{r} - \mathbf{r}_l|}, \quad (3-24)$$

where

$$V_z^\alpha(r) = V_{z-2}(r) + \langle \xi_\alpha | \sum_{l=1,2} \frac{e^2}{|\mathbf{r} - \mathbf{r}_l|} | \xi_\alpha \rangle, \quad (3-25)$$

and

$$H_1(\mathbf{r}_1, \mathbf{r}_2) \xi_\alpha = E_\alpha \xi_\alpha, \quad (3-26)$$

where α takes values i and j according to the atomic states before and after scattering, respectively. The potential V_2 in equation (3-10) corresponds to the u_α of equation (3-24). Then, the distorted wave function X_α is written as

$$X_\alpha(\mathbf{r}, \mathbf{r}_1, \mathbf{r}_2) = X_\alpha^0(\mathbf{r}) \cdot \xi_\alpha(\mathbf{r}_1, \mathbf{r}_2), \quad (3-27)$$

and X_α^0 satisfies

$$(K_r + V_z^\alpha) X_\alpha^0 = (W - E_\alpha) X_\alpha^0. \quad (3-28)$$

The u_i and u_j differ outwardly from each other but have like effects on equation (3-10) because ξ_i and ξ_j belong to different eigenvalues of the same Hamiltonian. Namely, from equation (3-10)

$$\begin{aligned} T_{ij} &= \langle X_j^{0(-)} \xi_j | -V_z^{\alpha}(r) + V_{z-2}(r) + \sum_{l=1,2} \frac{e^2}{|r-r_l|} | X_i^{0(+)} \xi_i \rangle \\ &= \langle X_j^{0(-)} | \langle \xi_j | \sum_{l=1,2} \frac{e^2}{|r-r_l|} | \xi_i \rangle | X_i^{0(+)} \rangle . \end{aligned} \quad (3-29)$$

For further calculation, we take ξ_i and ξ_j as $(6s)^2 1S$ ground state and $(6s)(6p)^1 P$ excited state respectively. We may write the wave function ξ_i in the form

$$\xi_i = \phi_o(1,2) \cdot \zeta_o(1,2) , \quad (3-30)$$

with

$$\phi_o(1,2) = Y_{00}(\theta_1, \phi_1) \cdot R_{00}(r_1) \cdot Y_{00}(\theta_2, \phi_2) \cdot R_{00}(r_2) , \quad (3-31)$$

where $Y_{lm}(\theta, \phi)$ is a spherical harmonic, $R_{00}(r)$ the radial wave function for the 6s electrons, and $\zeta_o(1,2)$ the singlet spin function associated with spin quantum number $S = M_S = 0$. Concerning ξ_j , we ignore spin-orbit coupling for simplicity and introduce pure Russel-Saunders P-state wave functions given by

$$\xi_j = \sum_{M_L, M_S} C(J, 1, S; M_L, M_S) \cdot \phi_{1M_L}^S(1, 2) \cdot \zeta_{SM_S}(1, 2) , \quad (3-32)$$

where the $C(J, 1, S; M_L, M_S)$ are Clebsch-Gordan coefficients shown in Table 3-1,

$$\begin{aligned} \phi_{1M_L}^S(1, 2) &= \frac{1}{\sqrt{2}} \left\{ Y_{00}(\theta_1, \phi_1) \cdot R_{10}(r_1) \cdot Y_{1M_L}(\theta_2, \phi_2) \cdot R_{11}(r_2) \right. \\ &\quad \left. \pm Y_{00}(\theta_2, \phi_2) \cdot R_{10}(r_2) \cdot Y_{1M_L}(\theta_1, \phi_1) \cdot R_{11}(r_1) \right\} \\ &\quad (+ : S = 0, - : S = 1) \end{aligned} \quad (3-33)$$

are the spacial wave functions with radial functions R_{10} and R_{11} for the 6s and 6p electrons respectively and ζ_{SM_S} is the spin function for the two electrons associated with spin quantum numbers S and M_S .

Table 3-1. Clebsch-Gordan coefficients $(J, 1, S; M-M_S, M_S)$.

$S=$	$M_S=1$	$M_S=0$	$M_S=-1$
$J+1$	$\left[\frac{(J+M)(J+M+1)}{(2J+1)(2J+2)} \right]^{1/2}$	$\left[\frac{(J-M+1)(J+M+1)}{(2J+1)(J+1)} \right]^{1/2}$	$\left[\frac{(J-M)(J-M+1)}{(2J+1)(2J+2)} \right]^{1/2}$
J	$-\left[\frac{(J+M)(J-M+1)}{2J(J+1)} \right]^{1/2}$	$\frac{M}{[J(J+1)]^{1/2}}$	$\left[\frac{(J-M)(J+M+1)}{2J(J+1)} \right]^{1/2}$
$J-1$	$\left[\frac{(J-M)(J-M+1)}{2J(2J+1)} \right]^{1/2}$	$\left[\frac{(J-M)(J+M)}{J(2J+1)} \right]^{1/2}$	$\left[\frac{(J+M+1)(J+M)}{2J(2J+1)} \right]^{1/2}$

The inner parenthesis of equation (3-29) can be easily calculated since the operators in equation (3-29) do not contain spin operator and the matrix elements corresponding to different spin quantum numbers vanish (This means that one of the selection rules corresponding to the conservation of multiplicity holds in DWB approximation.). It is found that

$$\begin{aligned}
 v_{10}^{M_L}(r, \theta, \phi) &\equiv \langle \xi_i | \sum_l \frac{e^2}{|\mathbf{r} - \mathbf{r}_l|} | \xi_j \rangle \\
 &= \frac{(8\pi)^{1/2}}{3} e^2 (\phi_{10}, \phi_{00}) \langle \phi_{11} | F_1(r_1, r) | \phi_{00} \rangle Y_{1, M_L}^*(\theta, \phi) \\
 &\equiv v_{10} \cdot Y_{1, M_L}^*(\theta, \phi)
 \end{aligned} \tag{3-34}$$

with

$$F_n(r_1, r) = \begin{cases} \frac{1}{r_1} \left(\frac{r}{r_1}\right)^n & r_1 > r \\ \frac{1}{r} \left(\frac{r_1}{r}\right)^n & r > r_1 \end{cases} \quad (3-35)$$

In equation (3-34) the following formulae are used

$$\frac{1}{|\mathbf{r} - \mathbf{r}_1|} = \left(\frac{1}{|\mathbf{r}_1|} \sum_{n=0}^{\infty} \left| \frac{\mathbf{r}}{\mathbf{r}_1} \right|^n P_n(\cos \gamma) \right), r_1 > r$$

$$\left(\frac{1}{|\mathbf{r}|} \sum_{n=0}^{\infty} \left| \frac{\mathbf{r}_1}{\mathbf{r}} \right|^n P_n(\cos \gamma) \right), r > r_1 \quad (3-36)$$

where γ is an angle formed by the two vectors \mathbf{r} and \mathbf{r}_1 ,

$$P_n(\cos \gamma) = \frac{4\pi}{2n+1} \sum_m Y_{n,m}^*(\theta_1, \phi_1) \cdot Y_{n,m}(\theta_2, \phi_2) \quad (3-37)$$

and

$$\int Y_{n_1, m_1}^* Y_{1, m} Y_{n_2, m_2} d\Omega = \left(\frac{3}{4\pi}\right)^{1/2} \left(\frac{2n_2+1}{2n_1+1}\right)^{1/2} C(n_2, 1, n_1; m_2, m)$$

$$* C(n_2, 1, n_1; 0, 0). \quad (3-38)$$

As can be seen from equations (3-34) and (3-35), the form factor v_{01} , the radial part of the effective potential which causes $6^1S \rightarrow 6^1P$ excitation behaves like r^{-2} at large r , corresponding to the induction of the electric dipole moment. In general, the form factors appearing in various excitation processes behave like r^{-n} in correspondence to the various multipole moment induced. This also implies that among the various transitions, those corresponding to a momentum transfer of one unit may be relatively strong since the form factor corresponding to these transitions decreases most slowly.

The wave functions $X^{(+)}$ and $X^{(-)}$ can be easily written using the equation (2-17) for the two initial spin states in Sec.2-2-1 as

$$X_{i\uparrow}^{(-)} = 4\pi \left(\sum_{l=0} \sum_{\mu} \frac{e^{i\frac{\pi}{2}l}}{2l+1} \left\{ (l+\mu+\frac{1}{2}) G_l e^{-i\eta_l} + (l-\mu+\frac{1}{2}) G_{-l-1} e^{-\eta_{-l-1}} \right\} \right.$$

$$\left. - \sum_{l=1} \sum_{\mu} \frac{e^{i\frac{\pi}{2}l}}{2l+1} (l-\mu+\frac{1}{2})^{1/2} (l+\mu+\frac{1}{2})^{1/2} (-G_l e^{-\eta_l} + G_{-l-1} e^{-i\eta_{-l-1}}) \right.$$

$$\left. \begin{aligned} & Y_{l, \mu+\frac{1}{2}}(\theta, \phi) \cdot Y_{l, \mu+\frac{1}{2}}^*(\theta', \phi') \\ & Y_{l, \mu+\frac{1}{2}}(\theta, \phi) \cdot Y_{l, \mu-\frac{1}{2}}^*(\theta', \phi') \end{aligned} \right) \quad (3-39-a)$$

$$X_{i\downarrow}^{(-)} = 4\pi \left(- \sum_{l=1} \sum_{\mu} \frac{e^{i\frac{\pi}{2}l}}{(2l+1)} (l+\mu+\frac{1}{2})^{\frac{1}{2}} (l-\mu+\frac{1}{2})^{\frac{1}{2}} (-G_l e^{-i\eta_l} + G_{-l-1} e^{-i\eta_{-l-1}}) \right. \\ \left. \sum_{l=0} \sum_{\mu} \frac{e^{i\frac{\pi}{2}l}}{(2l+1)} \{ (l-\mu+\frac{1}{2}) G_l e^{-i\eta_l} + (l+\mu+\frac{1}{2}) G_{-l-1} e^{-i\eta_{-l-1}} \} \right. \\ \left. Y_{l,\mu-\frac{1}{2}}(\theta, \phi) \cdot Y_{l,\mu+\frac{1}{2}}^*(\theta', \phi') \right. \\ \left. Y_{l,\mu-\frac{1}{2}}(\theta, \phi) \cdot Y_{l,\mu-\frac{1}{2}}^*(\theta', \phi') \right) \quad (3-39-b)$$

and using $Y_{l0} = [(2l+1)/4\pi]^{\frac{1}{2}}$

$$X_{j\uparrow}^{(+)} = (4\pi)^{\frac{1}{2}} \left(\sum_{l=0} \frac{e^{i\frac{\pi}{2}l}}{(2l+1)^{\frac{1}{2}}} \{ l g_l e^{i\delta_l} + (l+1) \cdot g_{-l-1} e^{i\delta_{-l-1}} \} Y_{l,0}(\theta', \phi') \right. \\ \left. \sum_{l=1} \frac{e^{i\frac{\pi}{2}l}}{(2l+1)^{\frac{1}{2}}} \cdot (l+1)^{\frac{1}{2}} \cdot l^{\frac{1}{2}} \cdot (-g_l e^{i\delta_l} + g_{-l-1} e^{i\delta_{-l-1}}) Y_{l,1}(\theta', \phi') \right) \quad (3-40-a)$$

$$X_j^{(+)} = (4\pi)^{\frac{1}{2}} \left(\sum_{l=1} \frac{e^{i\frac{\pi}{2}l}}{(2l+1)^{\frac{1}{2}}} \cdot (l+1)^{\frac{1}{2}} \cdot l^{\frac{1}{2}} \cdot (-g_l e^{i\delta_l} + g_{-l-1} e^{i\delta_{-l-1}}) \cdot Y_{l,-1}(\theta', \phi') \right. \\ \left. \sum_{l=0} \frac{e^{i\frac{\pi}{2}l}}{(2l+1)^{\frac{1}{2}}} \cdot \{ l \cdot g_l \cdot e^{i\delta_l} + (l+1) \cdot g_{-l-1} \cdot e^{i\delta_{-l-1}} \} \cdot Y_{l,0}(\theta', \phi') \right) \quad (3-40-b)$$

where the notation $G_{\mathbf{k}}$ and $\eta_{\mathbf{k}}$ are used for the state elastically scattered by the potential of the excited-state atom in the direction $\mathbf{k} = (K_f, \theta, \phi)$, $g_{\mathbf{k}}$ and $\delta_{\mathbf{k}}$ for the state elastically scattered by the potential of the ground-state atom in the direction of $\mathbf{k}' = (K_i, 0, 0)$ and the coordinates of the incident or scattered electrons are represented by $\mathbf{r}' = (r, \theta', \phi')$. The suffix 0 of X is omitted for simplicity (see equation (3-29)). The sign in front of $\eta_{\mathbf{k}}$ changes depending upon the boundary conditions of incoming waves.

Using the $a_{l\mu}^i$ and $b_{l\mu}^i$ defined by

$$a_{l\mu}^1 = \frac{4\pi e^{i\frac{\pi}{2}l}}{2l+1} \{ (l+\mu+\frac{1}{2}) \cdot G_l \cdot e^{-i\eta_l} + (l-\mu+\frac{1}{2}) \cdot G_{-l-1} \cdot e^{-i\eta_{-l-1}} \} \quad (3-41-a)$$

$$b_{l\mu}^1 = -\frac{4\pi e^{i\frac{\pi}{2}l}}{2l+1} \cdot (l-\mu+\frac{1}{2})^{\frac{1}{2}} \cdot (l+\mu+\frac{1}{2})^{\frac{1}{2}} \cdot (-G_l \cdot e^{-i\eta_l} + G_{-l-1} \cdot e^{-i\eta_{-l-1}}) \quad (3-41-b)$$

$$a_l^2 = \frac{(4\pi)^{1/2} e^{i\frac{\pi}{2}l}}{(2l+1)^{1/2}} (l \cdot g_l \cdot e^{i\delta_l} + (l+1) \cdot g_{-l-1} \cdot e^{i\delta_{-l-1}}) \quad (3-42-a)$$

$$b_l^2 = \frac{(4\pi)^{1/2} e^{i\frac{\pi}{2}l}}{(2l+1)^{1/2}} \cdot (l+1)^{1/2} \cdot l^{1/2} \cdot (-g_l \cdot e^{i\delta_l} + g_{-l-1} \cdot e^{i\delta_{-l-1}}), \quad (3-42-b)$$

we can rewrite equations (3-39) and (3-40) as follows;

$$X_{i\uparrow}^{(-)} = \begin{pmatrix} \sum_{l=0} \sum_{\mu} a_{l\mu}^1 \cdot Y_{l,\mu+1/2}(\theta, \phi) \cdot Y_{l,\mu+1/2}^*(\theta', \phi') \\ \sum_{l=1} \sum_{\mu} b_{l\mu}^1 \cdot Y_{l,\mu+1/2}(\theta, \phi) \cdot Y_{l,\mu-1/2}^*(\theta', \phi') \end{pmatrix} \quad (3-43-a)$$

$$X_{i\downarrow}^{(-)} = \begin{pmatrix} \sum_{l=1} \sum_{\mu} b_{l\mu}^1 \cdot Y_{l,\mu-1/2}(\theta, \phi) \cdot Y_{l,\mu+1/2}^*(\theta', \phi') \\ \sum_{l=0} \sum_{\mu} a_{l,-\mu}^1 \cdot Y_{l,\mu-1/2}(\theta, \phi) \cdot Y_{l,\mu-1/2}^*(\theta', \phi') \end{pmatrix} \quad (3-43-b)$$

$$X_j^{(+)} = \begin{pmatrix} \sum_{l=0} a_l^2 \cdot Y_{l,0}(\theta', \phi') \\ \sum_{l=1} b_l^2 \cdot Y_{l,1}(\theta', \phi') \end{pmatrix} \quad (3-44-a)$$

$$X_j^{(+)} = \begin{pmatrix} \sum_{l=1} b_l^2 \cdot Y_{l,-1}(\theta', \phi') \\ \sum_{l=0} a_l^2 \cdot Y_{l,0}(\theta', \phi') \end{pmatrix} \quad (3-44-b)$$

Then T-matrix of equation (3-29) for various spin states are expressed as

$$\begin{aligned} T_{i\uparrow}^m &= \langle X_{i\uparrow}^{(-)} | V_{01}^m | X_{j\uparrow}^{(+)} \rangle \\ &= \sum_{l=0} \sum_{l'=0} \sum_{\mu} (a_{l\mu}^{1*} | v_{01} | a_{l'}^2) (Y_{l,\mu+1/2}, Y_{lm}^* \cdot Y_{l',0}) Y_{l,\mu+1/2}^*(\theta, \phi) \\ &+ \sum_{l=1} \sum_{l'=1} \sum_{\mu} (b_{l\mu}^{1*} | v_{01} | b_{l'}^2) (Y_{l,\mu-1/2}, Y_{lm}^* \cdot Y_{l',1}) \cdot Y_{l,\mu+1/2}^*(\theta, \phi) \end{aligned}$$

$$\begin{aligned}
&= \sum_{l=1} (a_{l, -\frac{1}{2}+m}^{1*} | v_{01} | a_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; 0, m) Y_{l,m}^* \\
&- \sum_{l=0} (a_{l, -\frac{1}{2}+m}^{1*} | v_{01} | a_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; 0, m) Y_{l,m}^* \\
&+ \sum_{l=1} (b_{l, -\frac{1}{2}+m}^{1*} | v_{01} | b_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; -1, m) Y_{l,m}^* \\
&- \sum_{l=2} (b_{l, -\frac{1}{2}+m}^{1*} | v_{01} | b_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; -1, m) Y_{l,m}^* \\
&\hspace{25em} (3-45-a)
\end{aligned}$$

$$\begin{aligned}
T_{\frac{1}{2}}^m &= \langle X_{i\downarrow}^{(-)} | v_{01}^m | X_{j\uparrow}^{(+)} \rangle \\
&= \sum_{l=1} \sum_{l'=0} \sum_{\mu} (b_{l\mu}^{1*} | v_{01} | a_{l'}^2) (Y_{l, \mu+\frac{1}{2}}, Y_{1m}^* Y_{l',0}^*) Y_{l, \mu-\frac{1}{2}}^* (\theta, \phi) \\
&+ \sum_{l=0} \sum_{l'=1} \sum_{\mu} (a_{l, -\mu}^{1*} | v_{01} | b_{l'}^2) (Y_{l, \mu-\frac{1}{2}}, Y_{1m}^* Y_{l',1}^*) Y_{l, \mu-\frac{1}{2}}^* (\theta, \phi) \\
&= \sum_{l=1} (b_{l, -\frac{1}{2}+m}^{1*} | v_{01} | a_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; 0, m) Y_{l, m-1}^* \\
&- \sum_{l=1} (b_{l, -\frac{1}{2}+m}^{1*} | v_{01} | a_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; 0, m) Y_{l, m-1}^* \\
&+ \sum_{l=0} (a_{l, -m+\frac{1}{2}}^{1*} | v_{01} | b_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; -1, m) Y_{l, -1+m}^* \\
&- \sum_{l=2} (a_{l, -m+\frac{1}{2}}^{1*} | v_{01} | b_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; -1, m) Y_{l, -1+m}^* \\
&\hspace{25em} (3-45-b)
\end{aligned}$$

$$\begin{aligned}
T_{\frac{1}{2}}^m &= \langle X_{i\uparrow}^{(-)} | v_{01}^m | X_{j\downarrow}^{(+)} \rangle \\
&= \sum_{l=0} \sum_{l'=1, \mu} (a_{l, \mu}^{1*} | v_{01} | b_{l'}^2) (Y_{l, \mu+\frac{1}{2}}, Y_{1m}^* Y_{l', -1}^*) Y_{l, \mu+\frac{1}{2}}^* (\theta, \phi) \\
&+ \sum_{l=1} \sum_{l'=0, \mu} (b_{l\mu}^{1*} | v_{01} | a_{l'}^2) (Y_{l, \mu-\frac{1}{2}}, Y_{1m}^* Y_{l', 0}^*) Y_{l, \mu+\frac{1}{2}}^* (\theta, \phi) \\
&= \sum_{l=0} (a_{l, m+\frac{1}{2}}^{1*} | v_{01} | b_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; 1, m) Y_{l, m+1}^* \\
&- \sum_{l=2} (a_{l, m+\frac{1}{2}}^{1*} | v_{01} | b_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; 1, m) Y_{l, m+1}^*
\end{aligned}$$

$$\begin{aligned}
& + \sum_{l=1} (b_{l, m+\frac{1}{2}}^{1*} | v_{01} | a_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; 0, m) Y_{l, m+1}^* \\
& - \sum_{l=1} (b_{l, m+\frac{1}{2}}^{1*} | v_{01} | a_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; 0, m) Y_{l, m+1}^* \\
& \hspace{15em} (3-45-c)
\end{aligned}$$

$$\begin{aligned}
T_{\downarrow\downarrow}^m &= \langle X_{i\downarrow}^{(-)} | V_{01}^m | X_{j\downarrow}^{(+)} \rangle \\
&= \sum_{l=1} \sum_{l'=1} \sum_{\mu} (b_{l\mu}^{1*} | v_{01} | b_{l'}^2) (Y_{l, \mu+\frac{1}{2}}, Y_{1m}^* Y_{l', -1}) Y_{l, \mu-\frac{1}{2}}^* (\theta, \phi) \\
&+ \sum_{l=0} \sum_{l'=0} \sum_{\mu} (a_{l, -\mu}^{1*} | v_{01} | a_{l'}^2) (Y_{l, \mu-\frac{1}{2}}, Y_{1m}^* Y_{l', 0}) Y_{l, \mu-\frac{1}{2}}^* (\theta, \phi) \\
&= \sum_{l=1} (b_{l, m+\frac{1}{2}}^{1*} | v_{01} | b_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; 1, m) Y_{l, m}^* \\
&- \sum_{l=2} (b_{l, m+\frac{1}{2}}^{1*} | v_{01} | b_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; 1, m) Y_{l, m}^* \\
&+ \sum_{l=1} (a_{l, -m-\frac{1}{2}}^{1*} | v_{01} | a_{l-1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l}{2l+1})^{\frac{1}{2}} C(l-1, 1, l; 0, m) Y_{l, m}^* \\
&- \sum_{l=0} (a_{l, -m-\frac{1}{2}}^{1*} | v_{01} | a_{l+1}^2) (\frac{3}{4\pi})^{\frac{1}{2}} (\frac{l+1}{2l+1})^{\frac{1}{2}} C(l+1, 1, l; 0, m) Y_{l, m}^* . \\
& \hspace{15em} (3-45-d)
\end{aligned}$$

Here the equation (3-38) and Table 3-1 are used.

It is easily shown that

$$T_{\uparrow\uparrow}^1 e^{i\phi} = -T_{\downarrow\downarrow}^{-1} e^{-i\phi} = T_1^1 \quad (3-46-a)$$

$$T_{\uparrow\uparrow}^0 = -T_{\downarrow\downarrow}^0 = T_1^0 \quad (3-46-b)$$

$$T_{\uparrow\uparrow}^{-1} e^{-i\phi} = -T_{\downarrow\downarrow}^1 e^{i\phi} = T_1^{-1} \quad (3-46-c)$$

$$T_{\downarrow\uparrow}^1 e^{2i\phi} = T_{\uparrow\downarrow}^{-1} e^{-2i\phi} = T_2^1 \quad (3-46-d)$$

$$T_{\downarrow\uparrow}^0 e^{i\phi} = -T_{\uparrow\downarrow}^0 e^{-i\phi} = T_2^0 \quad (3-46-e)$$

$$T_{\downarrow\uparrow}^{-1} = T_{\uparrow\downarrow}^1 = T_2^{-1} \quad (3-46-f)$$

Comparison of the equations (3-41) and (3-42) with the equation (2-40) shows that the coefficients $a_{l\mu}^i(b_{l\mu}^i)$ represent the direct scattering (spin-flip) during the elastic processes occurring before and after the inelastic single process. Hence, the processes occurring during inelastic scattering are explained schematically from equation (3-45), e.g. $T_{\uparrow\downarrow(\downarrow\uparrow)}^m$ represents the process that initially, an incident electron undergoes a direct (spin-flip) elastic process followed by another single inelastic process and finally undergoes a spin-flip(direct) elastic process once more.

The relationship between T-matrix and scattering amplitude is given by (Schiff 1955)

$$f = -\frac{1}{2\pi} T. \quad (3-47)$$

Differential cross section and spin polarization are derived from the same procedures shown in Sec. 2-3. For the initial electron beams of $\phi_i = \begin{pmatrix} A \\ B \end{pmatrix} e^{iKz}$ having polarization $\mathbf{p} = (p_x, p_y, p_z)$ the cross section is represented as,

$$\begin{aligned} \frac{d\sigma_{inel}}{d\Omega} &= \frac{1}{4\pi^2} \frac{K_f}{K_i} \left\langle \sum_{j=1,0,-1} \left\{ |AT_{\uparrow\uparrow}^j + BT_{\uparrow\downarrow}^j|^2 + |BT_{\downarrow\downarrow}^j + AT_{\downarrow\uparrow}^j|^2 \right\} \right\rangle \\ &= \frac{1}{4\pi^2} \frac{K_f}{K_i} \sum_{j=1,0,-1} \left\{ \sum_{l=1,2} |T_l^j|^2 - 2 \operatorname{Im} (T_1^{j*} \cdot T_2^j) \cdot (-p_x \sin\phi + p_y \cos\phi) \right\} \end{aligned} \quad (3-48)$$

where K_i and K_f are wave numbers of incident and scattered electrons.

The comparison to equation (2-45) in Sec.2-3 shows a similar relation between the elastic and inelastic scattering cross section. Then the Sherman function S_{inel} in inelastic scattering, the quantity relating to left-right asymmetry, may also be defined as

$$S_{inel} = -2 \sum_{j=-1,0,1} \operatorname{Im} (T_1^{j*} T_2^j) / \sum_{l=1,2} \sum_{j=1,0,-1} |T_l^j|^2 \quad (3-49)$$

One the other hand, the ESP of inelastically scattered electrons are expressed as

$$\begin{aligned}
P_{inel} &= \langle \sigma \rangle \\
&= \sum (A^* T_{\uparrow\uparrow}^{i*} + B^* T_{\uparrow\downarrow}^{i*}, B^* T_{\downarrow\downarrow}^{i*} + A^* T_{\downarrow\uparrow}^{i*}) \sigma \begin{pmatrix} AT_{\uparrow\uparrow}^i + BT_{\downarrow\uparrow}^i \\ BT_{\downarrow\downarrow}^i + AT_{\uparrow\downarrow}^i \end{pmatrix} \\
&= P_{inel}(-\sin \phi, \cos \phi, 0) \quad (3-50)
\end{aligned}$$

$$P_{inel} = 2Im(T_1^{1*} T_2^{-1} T_1^0 T_2^0 + T_1^{-1*} T_2^1) / \sum_l \sum_j |T_j^l|^2, \quad (3-51)$$

where σ denotes the Pauli matrix and $\langle \quad \rangle$ denotes averages over all incident spin directions. The actual calculation shows that the S_{inel} equals the P_{inel} .

As can be easily seen from equations (3-49) and (3-51), the effects of ESP becomes inexistent if all T_j^l is a real or pure imaginary number i.e. the phase shifts η_κ and δ_κ related to elastic scattering play an essential roles on the polarization effect of inelastic scattering.

3-4. Choice of Atomic Wave Function

The atomic potential used is the non-relativistic Hartree potential analytically expressed by Byatt (1956) (See Sec.2-2-3). The radial wave function of the ground state (6s)² and the excited state (6s)(6p) of the mercury atom used are those calculated by McConnell and Moiseiwitsch (1968) using the Coulomb approximation, since the results obtained using these wave functions were in excellent agreement with experiments as has been referred to in Sec.3-1. McConnell and Moiseiwitsch (1968) fitted these wave functions to a sum of exponentials

$$\begin{aligned}
R_{00} &= A_0 \exp(-a_0 r) + B_0 \exp(-b_0 r) \\
R_{10} &= r A'_0 \exp(-a'_0 r) + B'_0 \exp(-b'_0 r) \\
R_{11} &= r(A_1 \exp(-a_1 r) + B_1 \exp(-b_1 r)), \quad (3-52-a)
\end{aligned}$$

with

$$\begin{aligned}
A_0 &= 0.907 \times 10^{-4}, a_0 = 0.612 \times 10^{-2}, B_0 = -0.425 \times 10^{-4}, b_0 = 0.144 \times 10^{-1} \\
A'_0 &= 0.786 \times 10^{-6}, a'_0 = 0.884 \times 10^{-2}, B'_0 = -0.131 \times 10^{-3}, b'_0 = 0.196 \times 10^{-1} \\
A_1 &= 0.103 \times 10^{-6}, a_1 = 0.410 \times 10^{-2}, B_1 = 0.303 \times 10^{-6}, b_1 = 0.164 \times 10^{-1}, \quad (3-52-b)
\end{aligned}$$

and evaluated the total 6^1P and 6^3P excitation cross section. The results calculated for these wave functions are shown in Fig.3-1, together with the

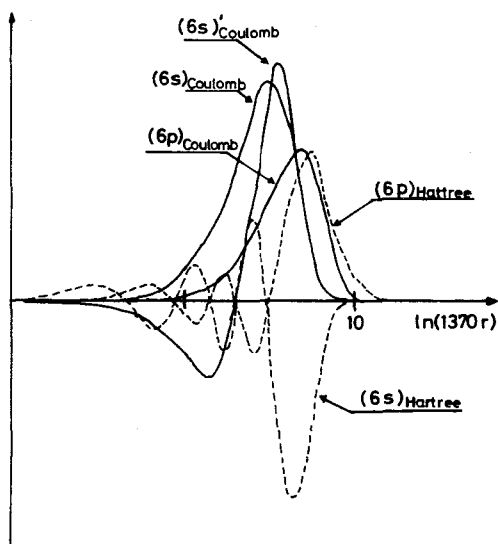


Fig.3-1. Radial wave functions for mercury, $(6s)_{\text{Coulomb}}$; Coulomb approximation for $(6s)$ electron in $(6s)^2$ state, $(6s)'_{\text{Coulomb}}$; Coulomb approximation for $(6s)$ electron in $(6s)(6p)$ state, $(6p)_{\text{Coulomb}}$; Coulomb approximation for $(6p)$ electron in $(6s)(6p)$ state, $(6s)_{\text{Hartree}}$; Hartree approximation for $(6s)$ electron in $(6s)^2$ and $(6s)(6p)$ electron states and $(6p)_{\text{Hartree}}$; Hartree approximation for $(6p)$ electron in $(6s)(6p)$ state. (McConnell and Moiseiwitsch (1968) and Mishra (1952)).

results of self-consistent wave functions using Hartree field for the $6s$ orbital of the normal state $(6s)^2$ of neutral Hg (Mishra 1952). Note that the axis of abscissa is written in log-scale i.e. the departure between functions near $r \sim 0$ is emphasized too much.

It was assumed in the Coulomb approximation that the active electrons

(6s and 6p electrons in the present case) behave like the electrons moving in a Coulomb field C/r having the same binding energy as the actual one, C being the excess charge on the nucleus when the active electrons are removed. Although the wave functions obtained in this way are not the eigenfunctions of the Coulomb field, it is expected that they have satisfactory profiles and magnitudes except those near $r \sim 0$ (Bates and Damgaard 1949). This approximation is justified because the probability of the electrons in question, which exist in the inner part of the atom where the potential departs from C/r , is slight. This can be demonstrated for the oscillator strength by comparing

$$\int_0^{r_b} R_i R_f r^3 dr, \quad (3-53-a)$$

and

$$\int_{r_b}^{\infty} R_i R_f r^3 dr, \quad (3-53-b)$$

where r_b is the boundary value of r at which the atomic potential behaves like C/r , R_i and R_f initial and final radial wave functions respectively. Table 3-2 gives the relevant data for two cases of s-p transitions calculated using self-consistent wave functions (Bates and Damgaard 1949).

Table 3-2.

System	Transition	r_b (in unit of a_0)	$\int_0^{r_b}$	$\int_{r_b}^{\infty}$
Na I	3s-4p	1.5	0.004	0.386
O II	3s-3p	2.0	0.05	4.02

Calculated results by McConnell and Moiseiwitsch agree well with the experiments. This confirms the usefulness of the Coulomb approximation, even though the 6s wave function used behaves like 1s or 2s electrons.

The oscillator strength for the $^1P_1 \rightarrow ^1S_0$ transitions are given by the formulae

$$f = 2 S^2 \sigma^2 / \lambda, \quad (3-54-a)$$

where

$$\sigma^2 = 1/3 \left\{ \int_0^\infty R_{00} R_{11} r^3 dr \right\}^2 \quad (3-54-b)$$

$$S_{\lambda} = \int_0^\infty R_{00} R_{10} r^2 dr, \quad (3-54-c)$$

and λ is the wave length of the radiation. Formula (3-54) results in the values

$$f_{coulomb} = 1.17 \quad (3-55-a)$$

$$f_{Mishra} = 4.76 \quad (3-55-b)$$

$$f_{Madison} = 8.8 \quad (3-55-c)$$

corresponding to the wave functions of Coulomb approximation (McConnell and Moiseiwitsch 1965), of self-consistent approximation by Mishra (1952) and of self-consistent approximation by Madison and Shelton (1973). Madison and Shelton calculated the wave function as the eigenfunction of relativistic atomic ground-state potential. $f_{Coulomb}$ is in close agreement with the experimental value 1.18 of Lulio (1965). This implies that the Coulomb approximated wave functions can closely represent the states of the real atomic electrons, particularly their spacial distribution.

3-5. Adopted Numerical Calculation Procedures

The calculation procedures of g_{κ} and G_{κ} in equation (3-42) are like those in Sec. 2-3, but here both the phases ϕ_{κ} and amplitudes A_{κ} will be estimated by the fourth order Lunge-Kutta process. ' B_0 ', the normalization factor in equation (2-54-b) is determined by the first row of the equation (2-34).

The potential $V(r)$ is expressed using the charge distribution ρ as

$$V(r) = \frac{1}{r} \int_0^r \rho(r') r'^2 dr' + \int_r^\infty \rho(r') r' dr' \quad (3-56)$$

The first and second term are called inner and outer shielding, respectively (Slater 1960). From equation (3-56) and $\rho_{i(f)}(r) = e^2 |R_{i(f)}|^2$ we can construct the potential field of an excited state atom, which is necessary for evaluation of G_{κ} in equation (3-39). The V_{01} changes very slowly and is greater than the atomic potential V_g and V_e at $r \geq 340$ and $r \geq 390$ respectively. This is because V_{01} behaves like r^{-2} asymptotically at large r , as has been pointed out in Sec. 3-3. As the form factor is the long range potential,

the number of partial waves necessary for the evaluation of T-matrix becomes quite large in comparison to those of elastic scattering. However, the number of partial waves distorted by the atomic potential is like those necessary in elastic scattering calculations. Contributions from the distorted partial waves are estimated as follows: 1) Equations (2-51-a) and (2-51-b) are solved numerically by the fourth order Lunge-Kutta process (see Sec.2-4), and the integrations necessary to evaluate T-matrix are performed by trapezoidal rule from $r=0.1$ to $r=r_0$ where $V(r_0) \sim 0$. 2) The phase shifts are estimated at $r=r_0$ and the T-matrix is again integrated numerically to $r=r_1$ using the first row of equation (2-34), the expression of g_{κ} at large r and we took $r_1=13700$ in most cases. Contributions to the T-matrix from the higher order partial waves originate from only the asymptotic region of the form factor, then analytical expression of these is possible using the formula (Watson 1966).

$$\int_0^{\infty} J_{\mu}(ax) \cdot J_{\nu}(bx) \cdot x^{\lambda} dx = \frac{2^{\lambda} \cdot b^{\nu} \Gamma(\alpha)}{a^{\lambda+\mu+1} \Gamma(\nu+1) \cdot \Gamma(1-\beta)} F(\alpha, \beta, \nu+1; b^2/a^2), \quad (3-57-a)$$

where J_{μ} is the Bessel function of μ -th order, $\text{Re}(\lambda) < 1$, $\text{Re}(\lambda+\mu+\nu) > -1$, $a > b > 0$, $\alpha = (\lambda+\mu+\nu+1)/2$, $\beta = (\lambda-\mu+\nu+1)/2$ and $F(\alpha, \beta, \gamma; x)$ the hypergeometric function defined by

$$F(\alpha, \beta, \gamma; z) = \frac{\Gamma(\gamma)}{\Gamma(\alpha) \Gamma(\beta)} \sum_{n=0}^{\infty} \frac{\Gamma(\alpha+n) \Gamma(\beta+n)}{\Gamma(\gamma+n)} \frac{z^n}{n!}. \quad (3-57-b)$$

Spherical harmonics are evaluated at each scattering angle using the recurrence relation as

$$Q_{l+1}^m = \left[\frac{(2l+1)(2l+3)}{(l+m+1)(l-m+1)} \right]^{1/2} \cdot z \cdot Q_l^m - \left[\frac{(2l+3)(l+m)(l-m)}{(2l-1)(l+m+1)(l-m+1)} \right]^{1/2} \cdot Q_{l-1}^m, \quad (3-58)$$

where $Q_l^m(\theta) = Y_l^m(\theta, \phi) e^{-im\phi}$ with initial conditions

$$Q_0^0 = (1/4\pi)^{1/2} \quad (3-59-a)$$

$$Q_1^0 = (3/4\pi)^{1/2} \cos \theta \quad (3-59-b)$$

$$Q_1^{-1} = (3/8\pi)^{1/2} \sin \theta \quad (3-59-c)$$

$$Q_2^0 = (5/4\pi)^{1/2} (3 \cos^2 \theta - 1) / 2 \quad (3-59-d)$$

$$Q_2^{-1} = (15/8\pi)^{1/2} \sin \theta \cdot \cos \theta \quad (3-59-e)$$

$$Q_2^{-2} = (15/32\pi)^{1/2} \sin^2 \theta, \quad (3-59-f)$$

which are derived from

$$Q_l^m = \frac{1}{2^l l!} (-\sin \theta)^m (d/d \cos \theta)^{l+m} (\cos^2 \theta - 1)^l. \quad (3-60)$$

The flow chart and the list of program for the present calculation is shown in Appendix 6.

3-6. Results and Discussion

The DWB calculations are performed for two form factors F_C and F_M , where F_C is obtained using the Coulomb approximated wave functions and F_M is obtained from that used by Madison and Shelton (1973) with a slight modification (see Fig.3-2) which is performed to fit F_M to analytical form for convenience in computer calculation. Actual calculation shows that the modification of this kind incurs little difference on calculated cross section and spin polarization. The calculated results are shown in Figs.

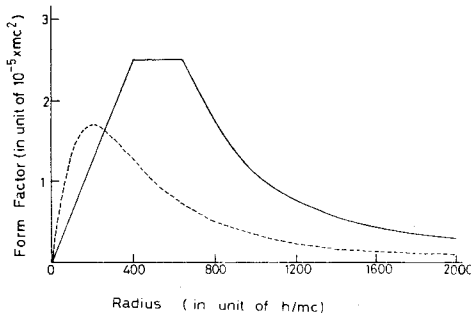


Fig.3-2. Form factor for mercury,—; calculated using Coulomb approximated wave functions, ---- used by Madison and Shelton (1973) (calculated using Hartree wave function) with a little modification.

3-3 to 3-7 for electron impact energies between 50 and 500 eV. Each figure gives 1) a DWB calculation using F_C , 2) a DWB calculation using F_M , 3) an elastic scattering calculation of energy E eV using atomic ground-state potential, 4) an elastic scattering calculation of energy $E-6.7$ eV using atomic excited-state potential and 5) experimental data when available. The experimental data are that of Hanne et al.(1972), Gronemeier (1970) and Yamazaki et al. (1977-c).

The elastic data of Gronemeier is normalized to the present theoretical results at $\theta=80^\circ$ ($E=100$ eV) and at $\theta=90^\circ$ ($E=300$ eV). The number of partial waves estimated numerically ranged from 15 at an incident electron energy of 50 eV, to 43 at an incident electron energy of 500 eV. The total number of partial waves ranged from 125(141) to 522(994) for F_C (F_M) case in the same energy regions.

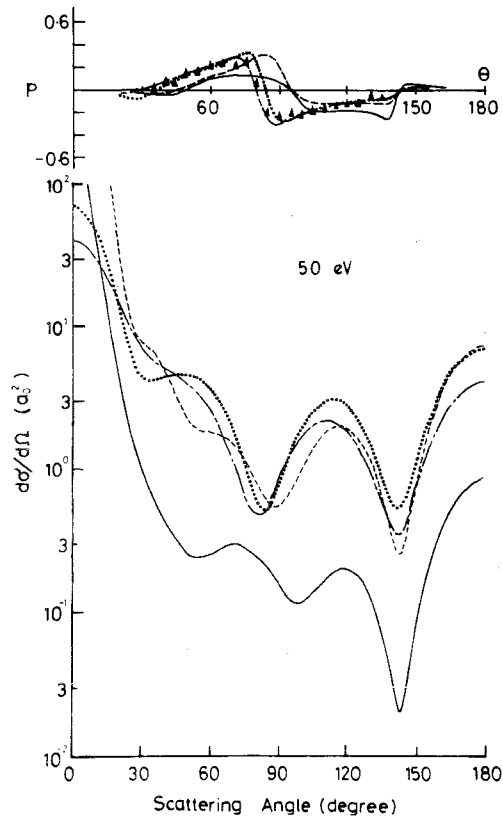


Fig.3-3. Spin polarization and differential cross section for mercury at incident electron energy of 50 eV. (—: DWB calculation for 6s6p¹P excitation using F_C , ----: DWB calculation for 6s6p¹P excitation using F_M , -·-·-: elastic scattering by ground-state atomic potential,: elastic scattering by excited-state atomic potential, ▲: experimental results of spin polarization for 6¹P excitation (Hanne et al. 1972).

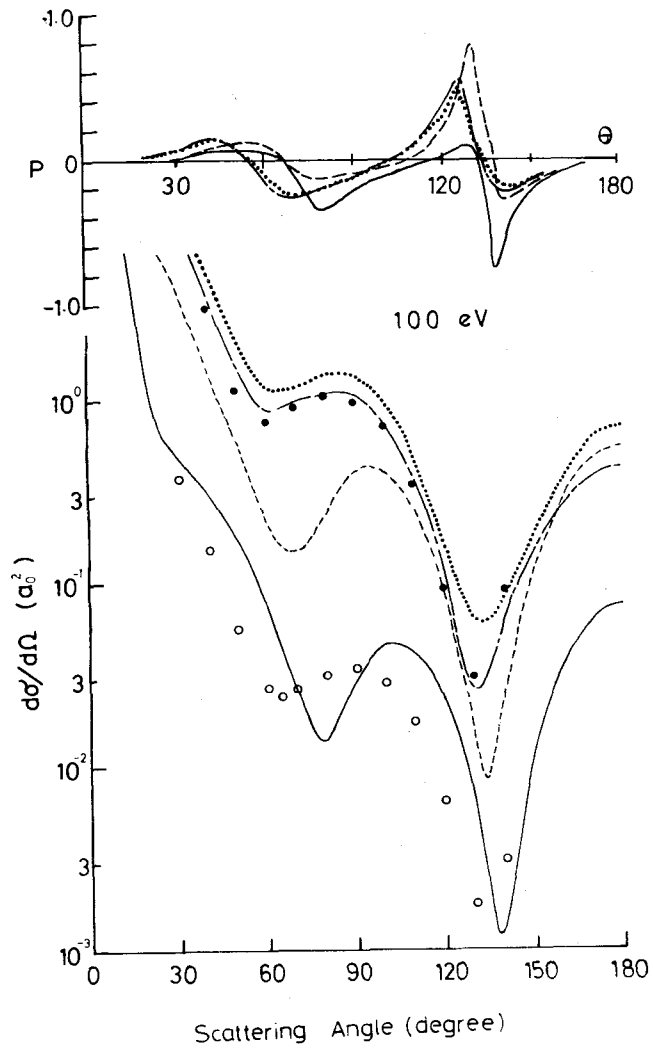


Fig.3-4. Same as Fig.3-3 except here the energy is 100 eV.
 (●: experimental results for the elastic scattering cross section normalized to theoretical results at $\theta=80^\circ$ and
 ○: experimental results for the 6^1P excitation cross section (Gronemeier 1970)).

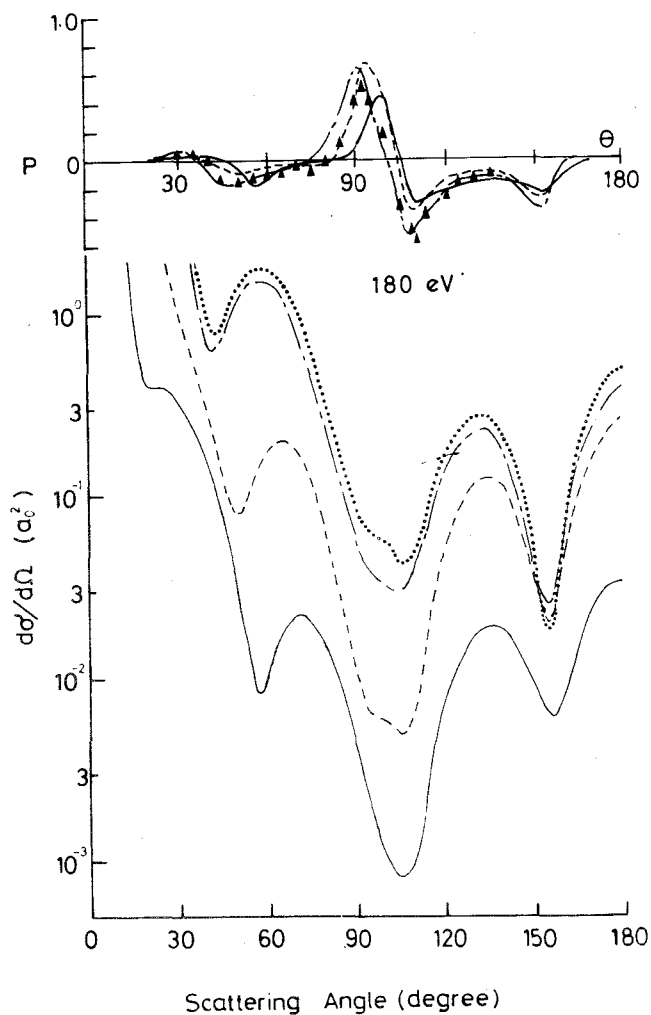


Fig.3-5. Same as Fig.3-3 except here the energy is 180 eV. The curve of spin polarization for elastic scattering by excited-state atomic potential is almost similar to that by ground-state atomic potential and is neglected for simplicity. (\blacktriangle : experimental results of polarization for 6^1P excitation (Hanne et al. 1972))

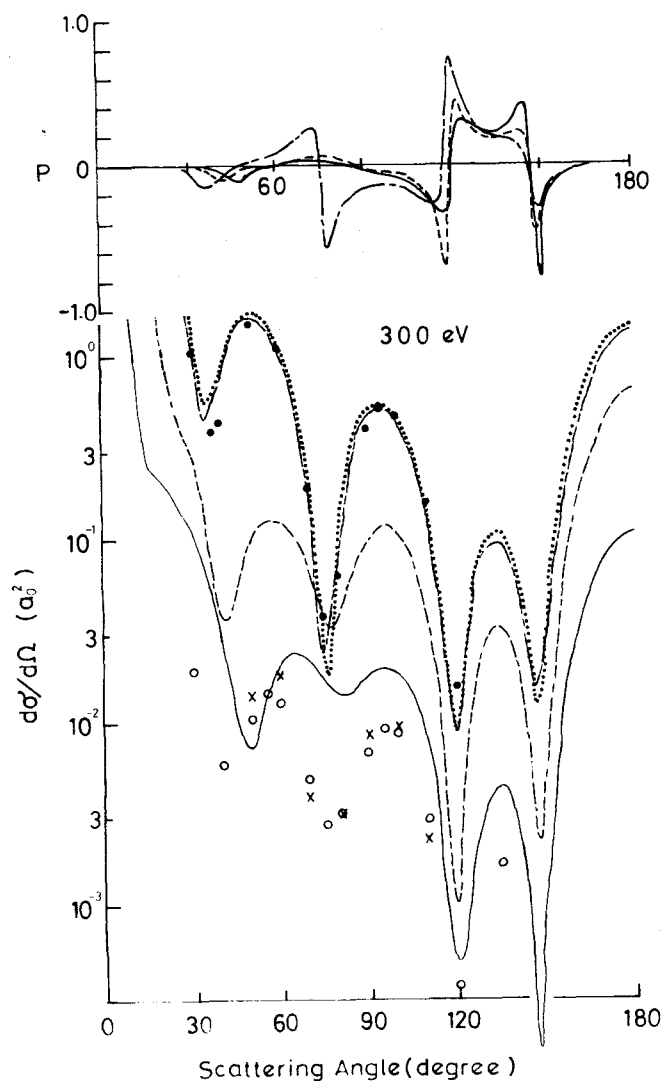


Fig.3-6. Same as Fig.3-3 except here the energy is 300 eV. (●: experimental results for the elastic scattering cross section normalized to theoretical results at $\theta=90^\circ$, ○: experimental results for the 6^1P excitation cross section (Gronemeier 1970) and ×: experimental results for the 6^1P excitation cross section (Yamazaki et al. 1977c). The curve of spin polarization for elastic scattering by excited state atomic potential is neglected for simplicity.)

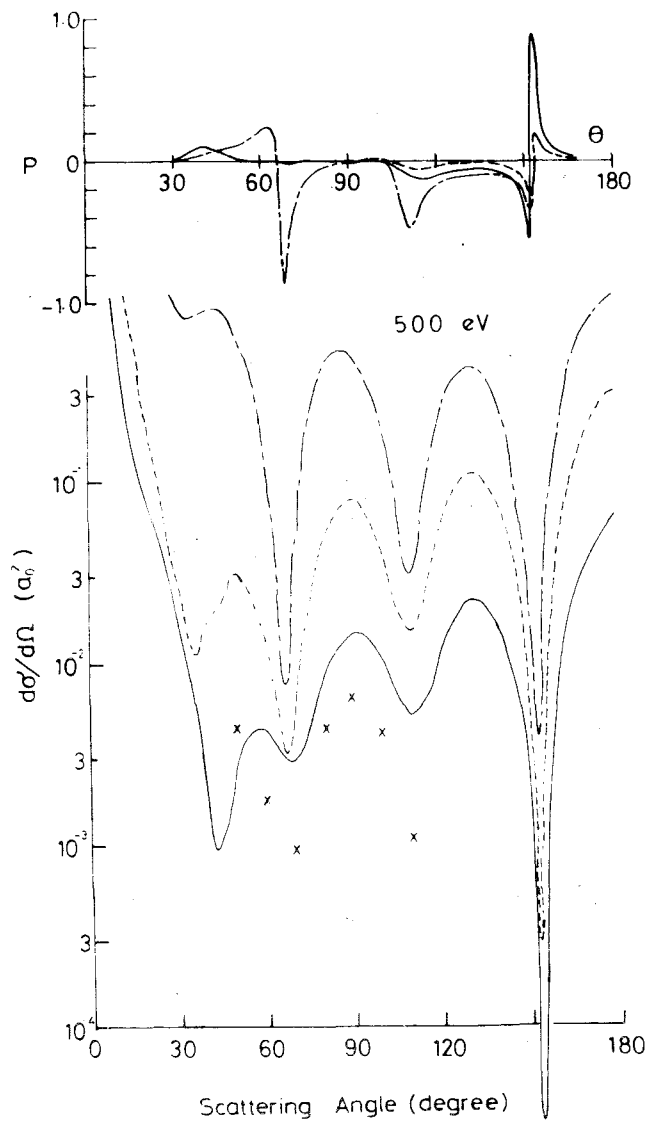


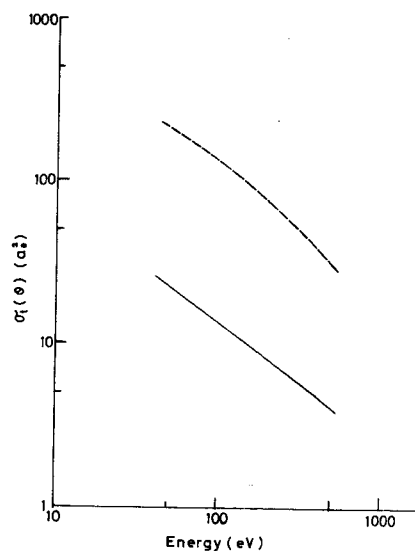
Fig.3-7. Same as Fig.3-3 except here the energy is 500 eV. (The curves of spin polarization and cross section for elastic scattering by excited-state atomic potential are neglected for simplicity).

Figures 3-3 to 3-7 show that the DWB calculation using F_M gives a differential cross section several times larger than that using F_C . As is seen in Fig.3-2, these differences originate from the remarkable difference between the form factors. The atomic wave functions used to calculate F_M were determined by Madison and Shelton as the bound state eigenfunction of the Hartree field, in order to assure the orthogonality between the atomic and free-state wave functions, which reduces computing time drastically if exchange scattering is taken into account. The atomic wave functions obtained in this way spread over a broad radial region causing overestimations of the differential and total cross sections. On the other hand, the atomic wave functions used to calculate F_C are determined as the solution of the Coulomb field, having the same binding energy as the actual one (see Sec.3-4), which provide differential and total cross sections in close agreement with experiments. The extremum points of the cross section calculated using F_C shift to higher scattering angles at forward scattering in comparison to that using F_M throughout the present calculations. In the case of F_C , the order of partial waves which contribute primarily to the T-matrix shifts lower as compared to F_M , which can be seen in Fig.3-2. This situation means that the "effective impact energy" in the case of F_C is smaller than that in the case of F_M for forward scattering where higher order partial waves play important roles, which results in shifts of the extremum points.

A comparison to experiments is discussed in Chapter 5.

In regard to the total cross section, the results obtained using F_C agree well with McConnell and Moiseiwitsch, while the results using F_M are about 10 times greater than those using F_C (see Fig.3-8).

Fig. 3-8. Total cross sections for 6^1P excitation of mercury by electron impact calculated using DWB approximation (—; F_C , - - - -; F_M).



CHAPTER 4, MEASUREMENT OF LOSS SPECTRA

4-1 Introduction

Table 1-1 shows that a few experimental data exist concerning the loss spectra of mercury at intermediate impact energies and at large scattering angles. This situation is very surprising considering that the electron-mercury system was one of the most popular and accessible, due to its large scattering cross section and suitable vapour pressure at room temperature with little influence on the gun heater in the early stages of scattering experiments. A primary reasons for this may be deficiencies of the theoretical investigations. Theoretical treatments of inelastic scattering for heavy elements have been very difficult because of the complexities of the atomic structure and scattering process at intermediate energies. The recently developed high speed digital computer, however, makes it possible to treat accurate and complex theories, as is given in Chapter 3. Furthermore, in recent experiments on electron-mercury scattering at impact energies of several hundreds of eV at forward scattering, it was found that the relative

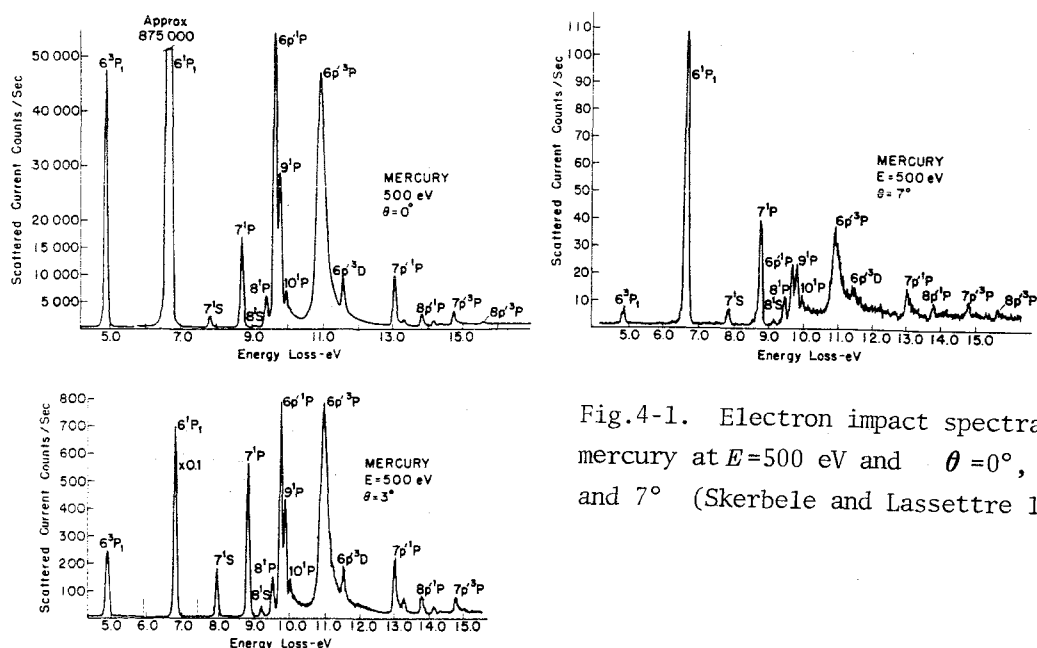


Fig.4-1. Electron impact spectra of mercury at $E=500$ eV and $\theta = 0^\circ, 3^\circ$, and 7° (Skerbele and Lassetre 1972)

intensities between various excitation channels changed rapidly as a function of scattering angles (0° to 7°) (Skerbele and Lassettre 1972), causing interest on the behaviour of the loss spectra at large scattering angles. Fig.4-1 shows that transitions to P-state are strong in contrast to the other transitions as is surmised in Sec.3-3.

Also, from a practical viewpoint, knowledge concerning the differential cross section of inelastic scattering at large angles for mercury is of basic importance for experimental arrangement of the ESP detector using mercury vapour as a target. Optimum conditions of the ESP detector such as impact energy, scattering angle and angular resolution, can be determined by theoretical calculation of elastic differential scattering cross section and spin polarization. However, the optimum condition of energy resolution for the ESP detector can be estimated only by the cross section of inelastic scattering.

This chapter aimed at obtaining the impact spectra for mercury at intermediate energies and large scattering angles, which leads to better understanding of the optimum conditions for the ESP detector in practical use as well.

4-2 Apparatus — Design and Performance

4-2-1 Vacuum System

The apparatus used consists of a primary beam source, a gas cell as a collision chamber and 127° cylindrical type energy analyser system. The loss spectra were measured by scanning the deflecting voltage of the analyser. An outer view of the apparatus is shown in Fig.4-2 by removing the top cover of the vacuum chamber and the mercury reservoir. Fig.4-3 shows cross sectional drawings of the system. The vacuum chamber is a 20 cm high cylinder and 40 cm in diameter, evacuated by a 600 μ /s oil diffusion pump with

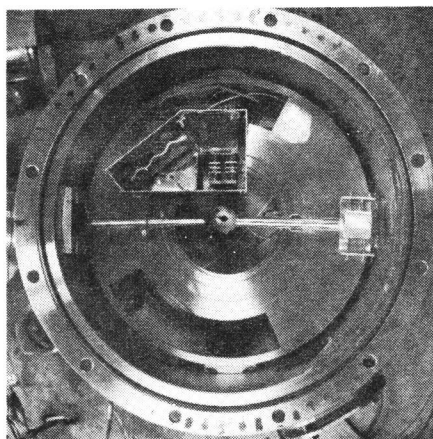


Fig.4-2. Top view of the main chamber removing the top covers of the chamber and energy analyser.

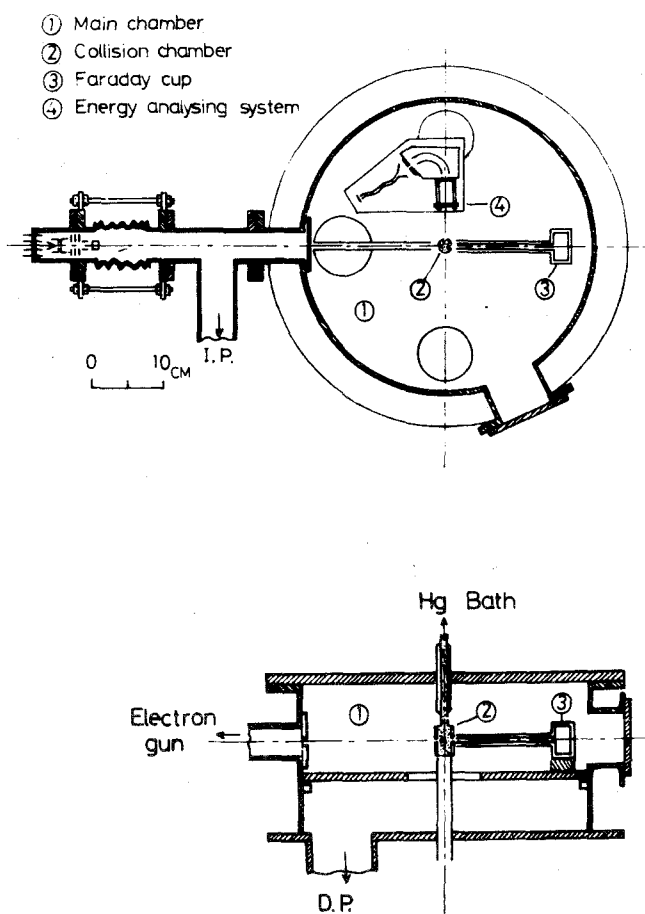


Fig.4-3. Cross section of the apparatus

a liquid nitrogen cooled baffle. The actual pumping speed is reduced to about 100 ℓ/s by the baffle and the connection tube to the chamber, which provides a residual gas pressure of 10^{-7} Torr. Gas pressure increases to 10^{-5} Torr during operation due to mercury vapour. The vacuum system is sealed with Viton O-rings.

The collision chamber at the center of the vacuum chamber, shown in Fig.4-4, consists of two tight concentric cylinders. The outer cylinder C_1 is static and the inner C_2 rotatable. The inner cylinder is 40 mm high and 18 mm of inner diameter. The collision chamber is pumped through four apertures A_2 , A_3 , A_4 and A'_4 so that the incident and scattered electrons may pass. The collision chamber provides a scattering angle from 50° to

130° by utilization of the two apertures, A_4 and A'_4 . The majority parts in the chamber were made of stainless steel to avoid amalgamating with mercury vapour and an excessive magnetic field. However, some parts are made of gold plated copper to avoid charging-up effects.

Tungsten ribbon ($0.025 \times 0.75 \text{ mm}^2$) was used as a cathode filament of the electron gun, which provides an electron beam current of 2-20 μA at the accelerating energies of 300-2000 eV with a beam diameter of less than 3 mm ϕ at a relative distance of 300 mm from the electron gun.

As can be seen in Fig.4-3, the gun is mechanically adjustable from outside the vacuum by means of three screws. The beam can be additionally adjusted by an electrostatic deflector.

The electron beam current is stabilized to about 0.1% for all beam current and accelerating energy ranges by controlling the filament current automatically (see Sec.4-2-5). The gun assembly is differentially pumped from the main chamber through 3 mm ϕ round slits by a 40 l/s ion pump to approx. 5×10^{-7} Torr to avoid instability caused by mercury vapour and to get longer life time of the filament.

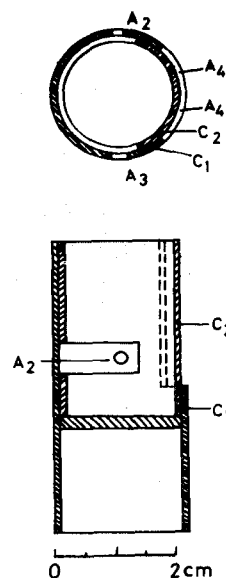


Fig.4-4. Cross section of the collision chamber.

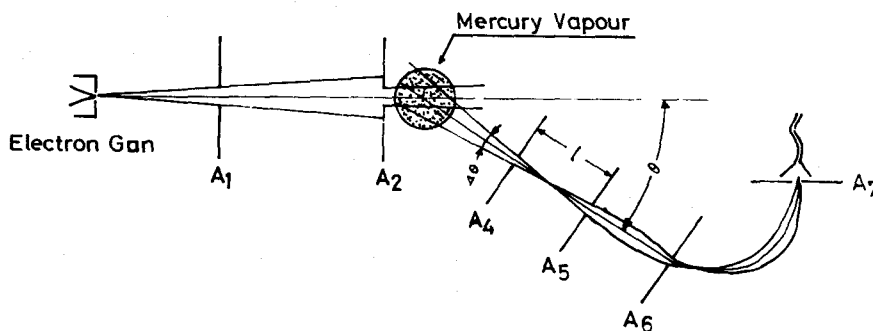


Fig.4-5. Scattering geometry (A_1 and A_2 ; round slit, A_4 , A_5 , A_6 and A_7 ; rectangular slit).

The scattering geometry is shown in Fig.4-5. Apertures A_1 , A_2 and A_3 are round and have diameter of 3, 2 and 3 mm respectively, while A_4 , A_5 , A_6 and A_7 are rectangular, A_4 is $0.7 \times 5 \text{ mm}^2$ and the slit widths of A_5 , A_6 and A_7 vary from 0.1 to 3 mm with 5 mm height and set usually at 0.1 mm. The rectangular slits were adopted to obtain a high scattering intensity even in high angular resolution experiments. The distance between A_4 and A_5 was usually set at 50 mm, so the angular resolution $\Delta\theta$ is $\approx 1^\circ$.

The scattering angle θ is defined as the angle formed by the line through the centers of the collimating apertures (A_1 and A_2) for the incident beam, and the line through the centers of the acceptance apertures at the collision chamber and in front of the decelerating asymmetric lens. It was estimated that the reproducibility of the scattering angle was $\pm 0.5^\circ$

and the shift from the true scattering angle was less than $\pm 2^\circ$, taking into account the residual magnetic field as well. The mercury vapour is produced in a mercury bath which is automatically controlled to maintain a constant temperature of about 60°C resulting in vapour pressure $\approx 10^{-2}$ Torr (see Fig.4-6), and is led to the collision chamber by a pyrex tube whose temperature is higher than the mercury bath's to prevent condensation. All the heaters used are wound so as to be inductionless.

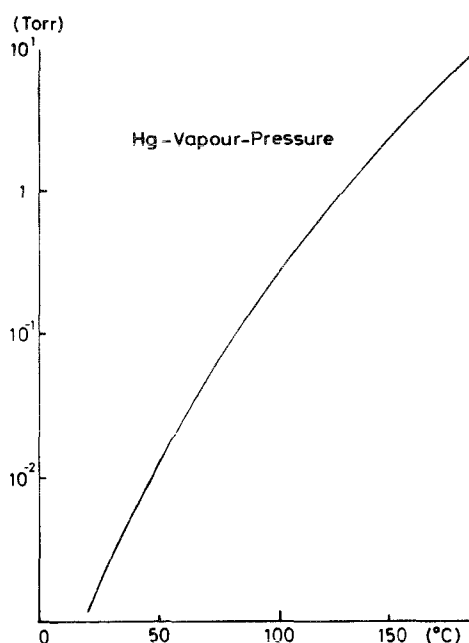


Fig.4-6. Relation of Hg vapour pressure to temperature (Weast 1972).

4-2-2. Magnetic Field

Charged particles in a magnetic field B make a circular motion of radius r

$$r = \frac{\sqrt{2mE}}{qB} \quad , \quad (4-1)$$

where m is the mass of the charged particles, E the kinetic energy and q the charge of the particles. Then, the shift Δx of the charged particles is expressed as

$$\Delta x = \frac{x^2}{2r} = \frac{q B x^2}{2 \sqrt{2mE}} \quad (4-2)$$

where x is the path length of the electron beam (see Fig.4-7). To make the angular shift smaller than the angular resolution $\Delta\theta$, the terrestrial magnetic field B_t should be reduced to

$$B_t \leq \frac{2 \sqrt{2mE} \cdot \Delta\theta}{q x} \quad (4-3)$$

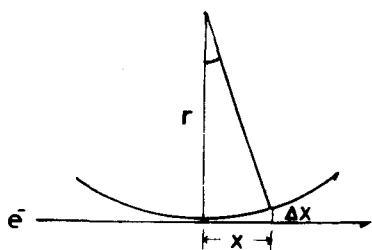


Fig.4-7. Relation between path length x and shifted distance Δx of electron in magnetic field.

Using equation (4-3), the maximum residual magnetic field allowed in the present experiments is shown in Fig.4-8 for two path lengths.

The magnetic field is corrected by a Helmholtz coil of 150 cm diameter and 40 cm height.

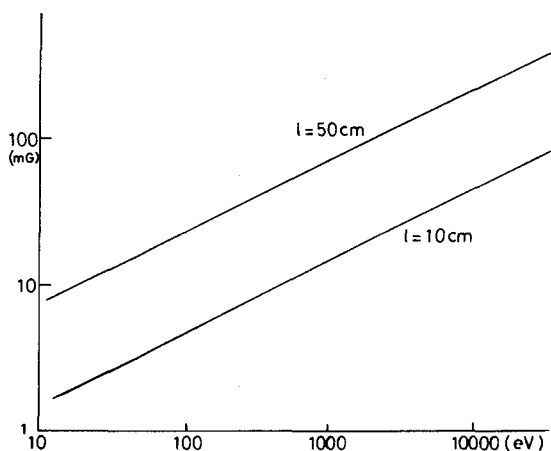


Fig.4-8. The maximum residual magnetic field allowable in the present experiments.

4-2-3 Energy Analyzer

The energy analyser is composed of a decelerating asymmetric three-slit lens, cylindrical 127° sector-type analyser and electron multiplier, as is shown in Figs.4-9 and 4-10. All gaps of the case of the energy analyser are sealed except for the slits, in order to prevent the secondary or backscattered electrons from straying into the electron multiplier.

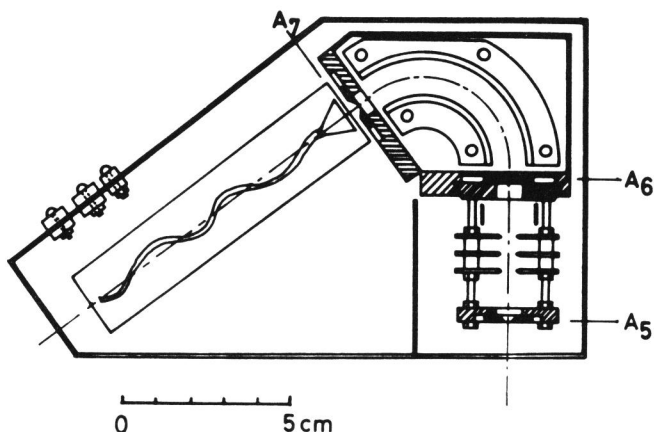


Fig.4-9. Cross section of the energy analyser composed of three-slit asymmetric lens, 127° sector type analyser and electron multiplier.

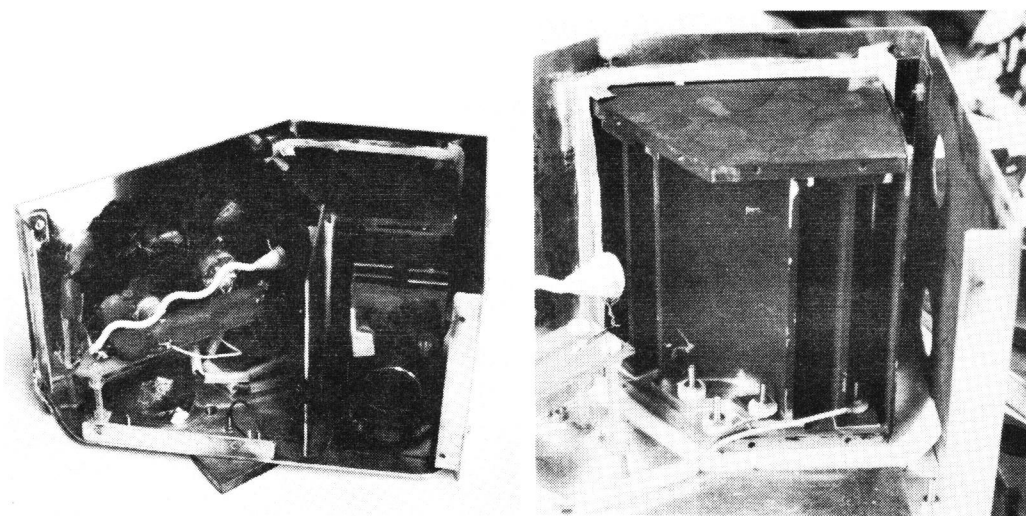


Fig.4-10. Outer view of the energy analyser removing the top and front covers (a), and sector-type 127° analyser removing the side covers (b).

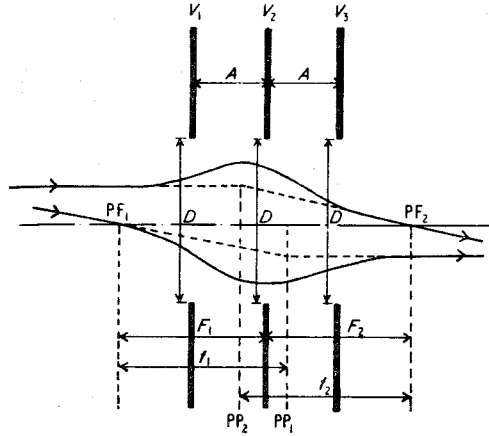


Fig.4-11. Geometry of the asymmetric three-aperture lens studied by Read (1970)

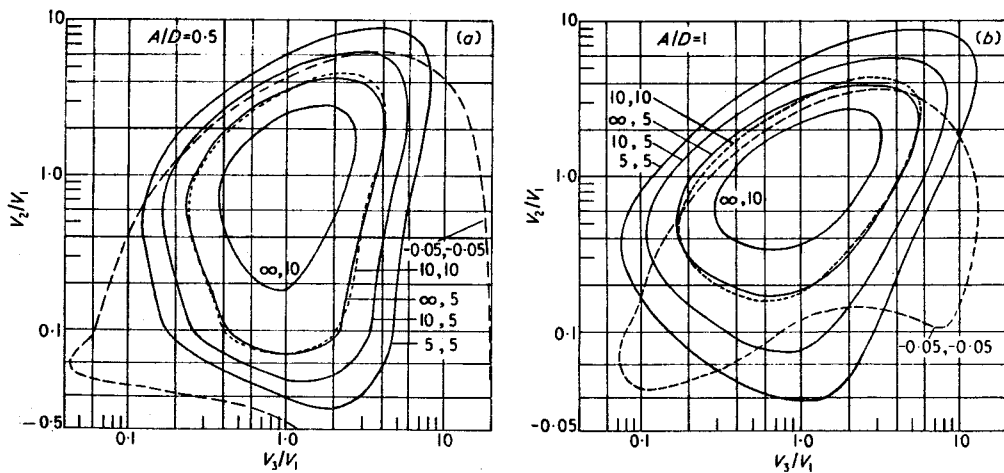


Fig.4-12. The focusing condition of the asymmetric three-aperture lens having $A/D=0.5$ and 1 . The sets of numbers given in the figures show the distances between objective plane and lens, and between imaging plane and lens in unit of aperture diameter (Read, 1970).

Faraday cup goes from zero, to its maximum, and back to zero again. The shape of the curve of current measured with a Faraday cup against deflector voltage is displayed on an oscilloscope screen. The focusing condition is determined from the curve obtained in this way as conditions that provide the most high and narrow peak. The results are shown in Fig.4-14 where the dotted line shows that the theoretical results for the asymmetric three-aperture lens having a similar construction to the slit lens used in the present experiments. (Read 1970).

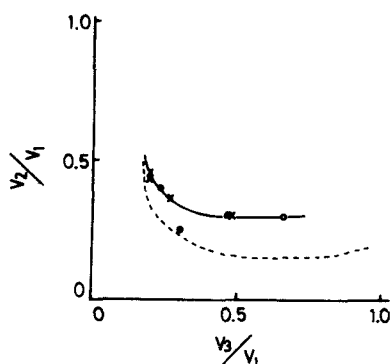


Fig.4-14. Focusing condition of the three-slit lens for $V = 300$ eV (o) and 500 eV(x). (Dashed line shows theoretical results for an asymmetric three-aperture lens having similar construction as the three-slit lens (Read 1970).

The outer and inner radius of the sector analyser are 30 and 20 mm, respectively, and the height is 50 mm. As the width of slit A_5 , A_6 and A_7 is set at about 0.1 mm, this analyser provides an energy resolution of $E/\Delta E \sim r/2\Delta r \sim 100$ where E is electron energy entering the slit of the sector analyser, ΔE energy resolution, r central radius of the slit of the analyser, and Δr width of the slit of the analyser, and the value is confirmed experimentally by the FWHM of the elastic scattering peak (see Fig.4-22).

The sector is coated with soot produced by burning liquid benzene, to minimize the generation of secondary electrons.

4-2-4 Detector

The energy analysed scattered electrons are detected by a channeltron electron multiplier (Murata Co., Ceratron Type EMW-1081E, see Fig.4-10) with an acceptance cone. The multiplier pulses are inductively coupled from the analysing system at a high voltage potential into a pre-amplifier

at earth potential. The pulses pass through a pulse height analyser and are counted by a multi-channel scaler (M.C.S.).

The pulse height distributions (P.H.D.) are shown in Fig.4-15 as a function of the multiplier voltage. The multiplier is operated at 4500 eV to

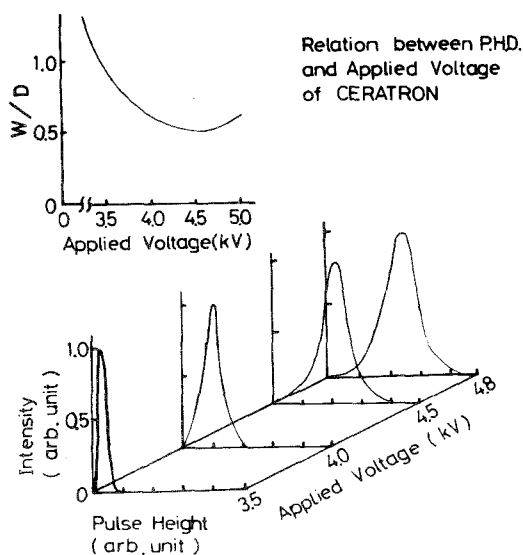


Fig.4-15. Relation between P.H.D. and applied voltage of Ceratron, and a pulse height resolution W/D of Ceratron as a function of applied voltage, where W is FWHM of P.H.D. and D the mean value of pulse height.

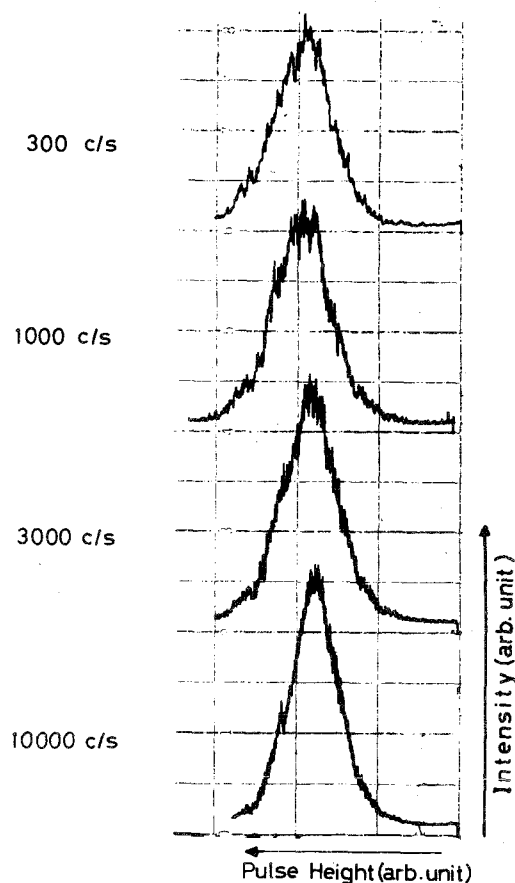


Fig.4-16. Relation between R.H.D. and count rate of Ceratron.

ensure a pulse height resolution maximum, which is defined as the ratio between the mean value of pulse height and the FWHM of P.H.D. (see Fig.4-15)

As is seen in Fig.4-16, the P.H.D. of the multiplier depends slightly on the count ratio if it is less than 10^{-4} c/s. During the present experiments this condition was always satisfied.

The multiplier efficiency is not uniform over the entrance plane. For example, if an electron enters along the channel axis, it may penetrate quite a distance into the multiplier before releasing secondary electrons resulting in a lessened gain. Thus, it was always set off-axis from electron path to attain a higher pulse height. The dark current of the multiplier is less than 0.1 pulse per minute.

4-2-5 Measurement System

A schematic diagram of the electric circuit used in the analysing system is shown in Fig.4-17.

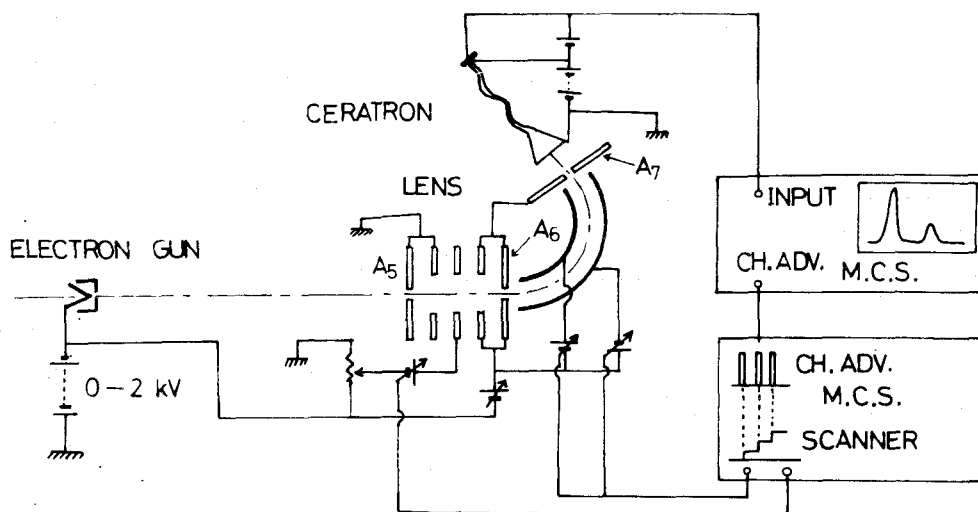


Fig.4-17. Electric circuit of the analyser system for measurements of loss spectra.

The loss spectra were obtained by scanning the potential of the sector and the lens, to obtain the best fit for the focusing condition. These potentials varied synchronously with the channel advance signal of the multi-channel-scaler (MCS) as is shown in Fig.4-17 (Ino-Tech TI-5200).

The whole electric circuit has a stability of about 0.01%. The primary electron beam current is stabilized by monitoring the current to the Faraday cup (see Fig.4-18). The monitored current using this circuit

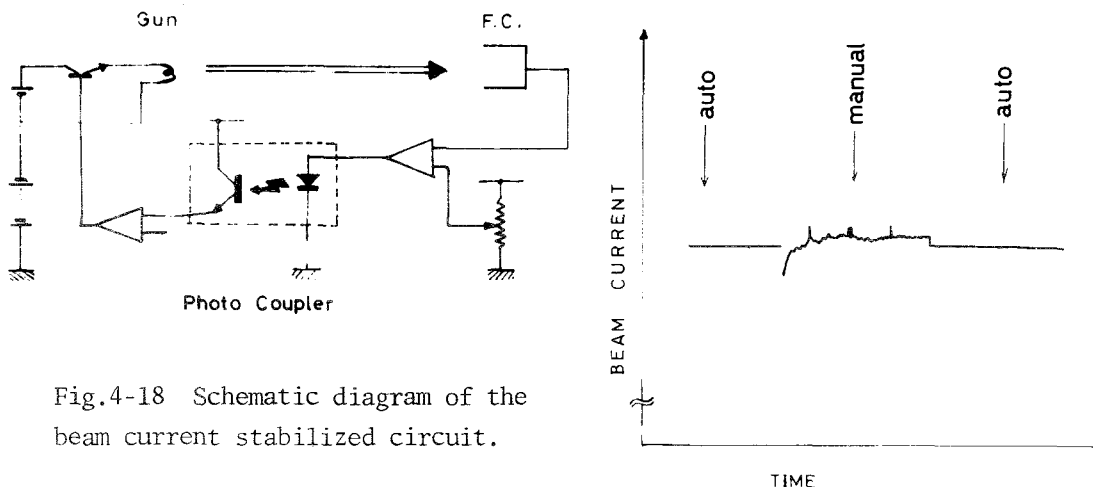


Fig.4-18 Schematic diagram of the beam current stabilized circuit.

is shown in Fig.4-19, together with the current obtained using a heater current stabilized circuit. As is shown in Fig.4-20, the MCS scanner is composed of a 1 MHz oscillator, counters, photo-couplers and 10-bit D-A converters (1024 steps). It serves the dwell time of 10^{-3} to 8 sec for each channel, and the scanning may be started or stopped at every 64 steps. The sector potential is swept linearly with regard to the MSC scanner output, however the lens potential should be swept non-linearly as can be seen in Fig.4-14. To simulate the curve, a non-linear amplifier was con-

Fig.4-19 Stability of electron beam current (auto; beam-current-stabilized mode, manual; gun-heater-current stabilized mode).

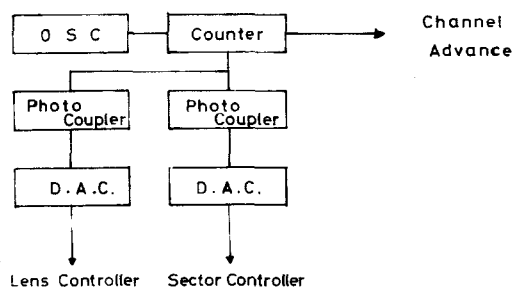


Fig.4-20. Schematic diagram of MCS scanner.

structed (see Fig.4-21). As the sweeping voltage of the sector potential is small compared to the sector potential itself, one would expect the circuit shown in Fig.4-21 to provide good results.

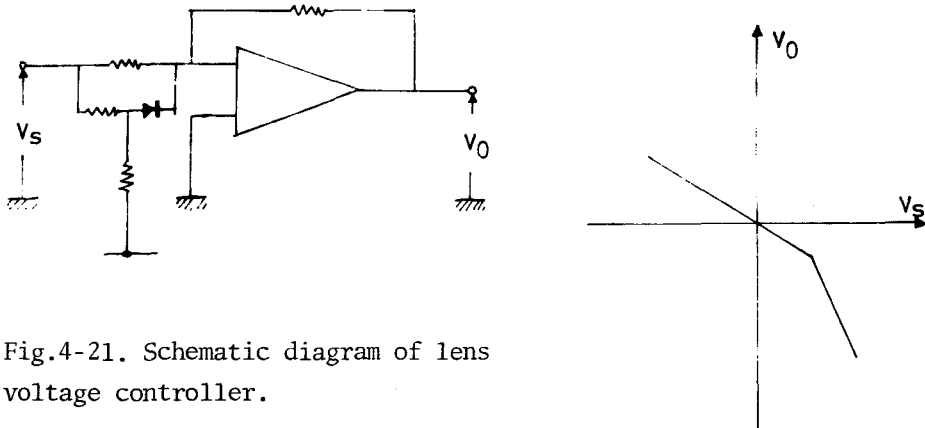


Fig.4-21. Schematic diagram of lens voltage controller.

4-3. Results and Discussion

4-3-1 Calibration

An example of the measured results of the loss spectra is shown in Fig.4-22 ($E=300$ eV, $\theta=60^\circ$). Many peaks corresponding to various excitation processes can be observed, i.e. the excitations for $(6s)(6p)^3P$ (4.9 eV), $(6s)(6p)^1P$ (6.7 eV), $(6s)(7s)^1S$ (7.9 eV), $(5d)^9(6s)^2(6p)^1P$ (9.8 eV) and $(5d)^9(6s)^2(6p)^3P$ (11.0 eV) states.

The background is estimated as the average number of electrons counted at each channel of the M.C.S. corresponding to loss energies between 2 and 4 eV, for the lowest excited state of mercury atom exists at 4.9 eV above the ground state.

The relative transmission efficiency α of the analysing system was determined by measuring the scattering intensity of elastic electrons by varying the incident energies from E to $E-\Delta E$. The standard transmission efficiency α_s was determined for each incident energy at the scattering angle where the differential cross section of an elastic scattering remains almost constant for both the variation of the incident energy and scattering angle (e.g. 300 eV 100° , 400 eV 90° etc. see Figs.2-5 to 2-7. The relative transmission efficiency α was measured for each incident energy

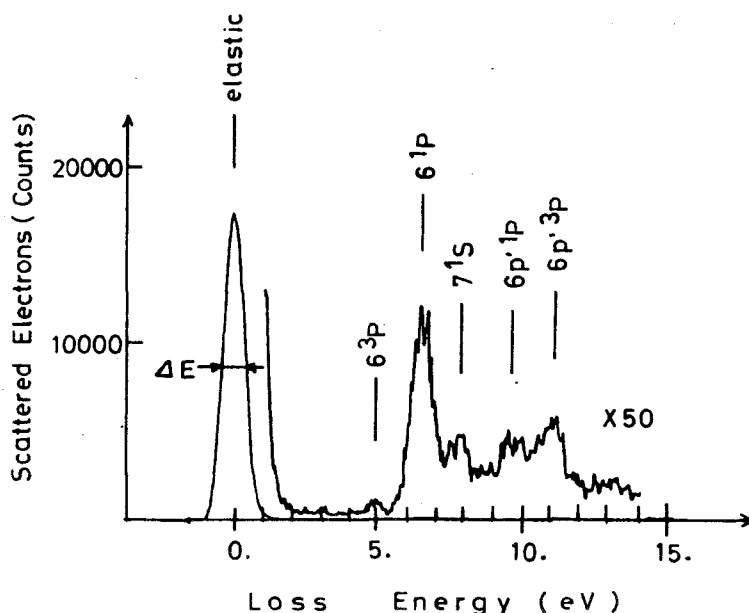


Fig.4-22. Example of the measured results of the loss spectra ($E=300$ eV and $\theta=60^\circ$)

and scattering angle, the profiles of which were compared to α_s to assure a correct position for the analyser. Using the transmission efficiencies thus obtained, the ratio of the elastic and inelastic scattering cross section was determined correctly for each incident energy and scattering angle.

4-3-2 Loss Spectra

Fig.4-24 show the electron impact spectra for mercury corresponding to the incident electron energies from 300 eV to 1000 eV, scattering angles from 50° to 110° and loss energies from 0 eV to 15 eV. Elastic peaks are abbreviated for simplicity. Each spectrum shown in Fig.4-23 is obtained from the measured results (an example is shown in Fig.4-22) through the following procedures; 1) Transmission efficiency α was measured and compared with α_s . 2) The ratio between the background and the peak counts of 6^1p excitation was established to be less than 10 % (usually the ratio was less than 5%), otherwise the data was omitted. 3) The background was subtracted from each channel intensity and then the intensity of each channel was corrected by the transmission efficiency α . 4) The loss spectra were normalized by the elastic scattering intensity. Finally, the data thus obtain-

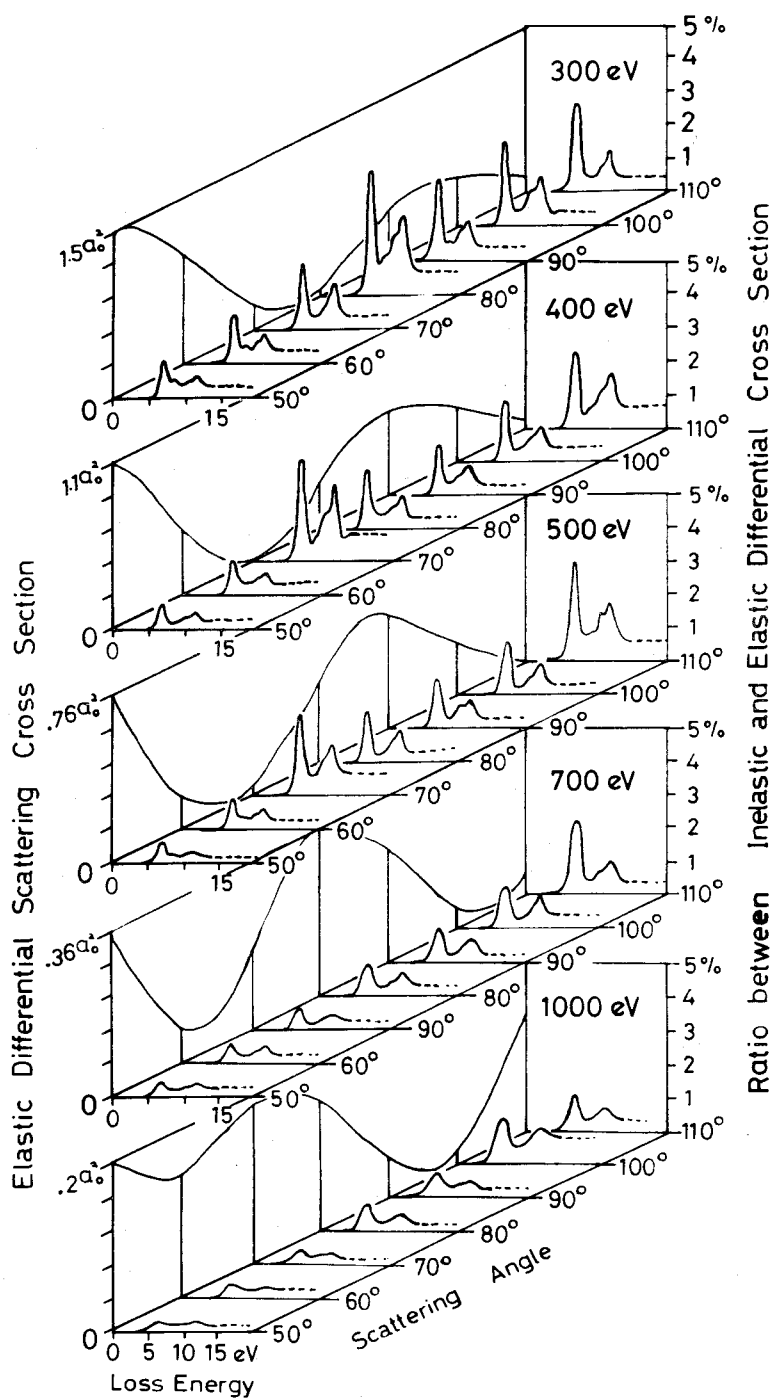


Fig.4-23. Energy loss spectra for mercury (The spectra are normalized by the elastic scattering intensity having the same impact energy and scattering angle).

ed which correspond to the same conditions as to impact energy and scattering angle were summed up and averaged to reduce the statistical deviation.

Concerning the ratio between 6^1P excitation and elastic scattering, it was estimated that error was less than 20 % including statistical error and the error induced by both the background and variation of transmission efficiencies. During measurement, the actual energy resolution was varied from 0.7 eV to 1.8 eV (FWHM) by controlling the electron energy entering the sector analyser in accordance with impact energy increases from 300 eV to 1000 eV. As has already been pointed out in Sec.2-4, the profiles of the theoretically calculated cross section of the elastic scattering agree well with experimental results, except that the extremum points of the calculated cross sections shift slightly to scattering angles smaller than the experimental (Walker 1969). Therefore, in Fig.4-23, the calculated results of the elastic scattering cross section, shown in Fig.2-5 are used instead of the experimental ones to avoid inaccuracies stemming from difficulties of measuring absolute transmission efficiency for various scattering angles. Concerning the absolute values of the cross section, the comparison between the experimental data and the calculated results shows that the latter is about 1.5 times larger than the former at scattering angles larger than 30° (see Sec.2-4). Thus the absolute values of the inelastic scattering cross section obtained from Fig.4-23 provides only the coarse evaluation which are probably a few times larger than the true values.

Two inelastic peaks corresponding to $6^1P((5d)^{10}(6s)(6p))$ and $6p^1^3P((5d)^9(6s)^2(6p))$ excitations (6.7 eV and 11.0 eV energy losses respectively), appeared clearly in every spectrum, as is shown in Fig.4-23. These were also reported in a forward scattering experiment by Skerbele and Lassettre (1972). Fig.4-23 also shows that each elastic scattering intensity varies 2 or 3 orders of magnitude as a function of scattering angle, while the ratio between inelastic and elastic intensities remains in the same order of magnitude (a few percent) for dominant inelastic scatterings. Hence these oscillatory features, which are remarkable for elastic scattering in these energy regions, also appear in each dominant inelastic scattering, although they become smoother than that for elastic scattering.

Fig.4-24 shows the ratio of intensity between 6^1P excitation and elastic scattering. That for 300 eV electrons agrees well with the results reported by Gronemeier (1970) (see Fig.3-6).

At other inelastic channels, in the impact energies between 300 eV

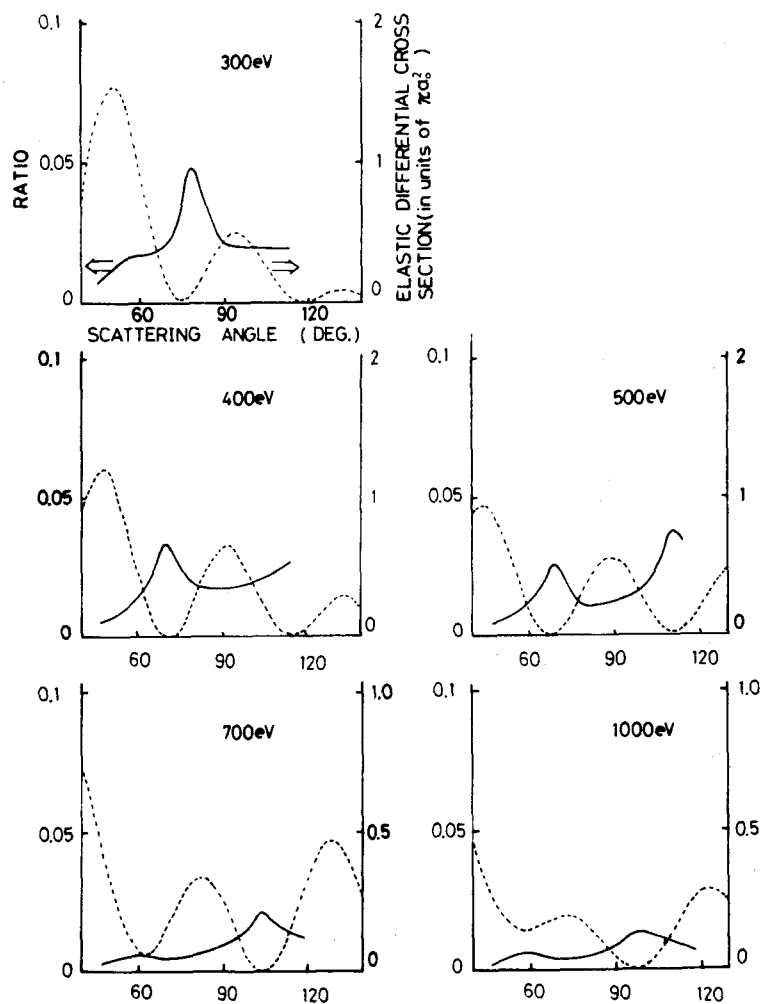


Fig.4-24. The intensity ratio of 6^1P excitation and elastic cross section (solid line) and differential cross section of elastic scattering (dashed line).

and 500 eV, $7^1S(7.9 \text{ eV})$ and $6p'^1P(9.8 \text{ eV})$ excitations were observed at shoulders of 6^1P and $6p'^3P$ peaks. The intensity of the strictly forbidden 7^1S excitation was larger than that of the $6^3P(4.9 \text{ eV})$ excitation (allowed due to spin-orbit coupling), however at forward scattering the latter was much larger than the former. The intensity ratio of 6^3P and 6^1P was equal to or less than $\sim 10^{-2}$ at the incident energy of 300 eV, and at higher impact energies 6^3P excitation was no longer observed because of a lower scattering intensity. The 7^1P excitation, the intensity of which was larger than that of 7^1S excitation at forward scattering, was rarely observed in the present experiment. For the ionization channels, particularly loss energy of more than about 13 eV, it seems that the variation of the intensity ratio in regard to scattering angles was not as clear as that of the excitation scattering to discrete levels.

CHAPTER 5. 6^1P EXCITATION ---- COMPARISON OF THEORY AND EXPERIMENT

5-1 Introduction

In this chapter the theoretical and experimental results will be compared. It was found that the DWB approximation provides results in close agreement with experiments. Moreover, in regard to spin polarization, the DWB approximation predicts a new phenomena at an electron impact energy greater than 300 eV.

As has already been discussed in Sec.4-3-2, the differential cross section of 6^1P excitation shows oscillatory features. This may be explained qualitatively by the following processes; the incident electron undergoes the inelastic process at a small angle followed by large angle elastic process, or vice versa, since the inelastic scattering cross section is very large at only forward scattering. Since this model breaks down the inelastic scattering into two elementary processes including the elastic process, the oscillatory features appearing in the inelastic scattering may be attributed to the elastic process. Furthermore, if one takes into account that the inelastic scattering at forward scattering is confined almost to optically allowed transitions, this model may also explain the dominance of the 6^1P excitation. Concrete theoretical treatment of this model is embodied by DWB approximation in Sec.3-2.

5-2 Comparison of Theory and Experiment

Figs.3-4, 3-6 and 3-7 show that the cross sections calculated using F_C provide values that agree well with the experimental data for each incident energy. However, concerning the profiles, the cross sections using F_M give results superior to those using F_C . These results suggest that the actual form factor has a profile similar to F_M and a value similar to F_C . This means that 1) the actual atomic field effectively acting on a 6s or 6p electron may be weak compared to the field of the Coulomb approximation, which may result in spreading of the atomic electrons over wide radial region and may originate from exchange effect and mutual shielding (see Fig.3-1), 2) the actual radial wave functions R_{00} and R_{10} (see equations (3-31) and (3-33)) may be much more isolated from each other than those used in F_M . This results in the reduction of the value of (R_{10}, R_{00}) appearing in the

form factor having the form (see equations (3-34) and (3-35))

$$v_{01} = \frac{(8\pi)^{1/2}}{3} (R_{10}, R_{00}) (R_{11} | F_1 | R_{00}) , \quad (5-1)$$

with

$$(R_{11} | F_1 | R_{00}) = \frac{1}{r^2} \int_0^r R_{11} R_{00} r^3 dr + r \int_r^\infty R_{11} R_{00} dr_1 . \quad (5-2)$$

Concerning the spin polarization, as is seen in Figs.5-1 and 5-2 the calculations using F_M provide results in closer agreement to the experimen-

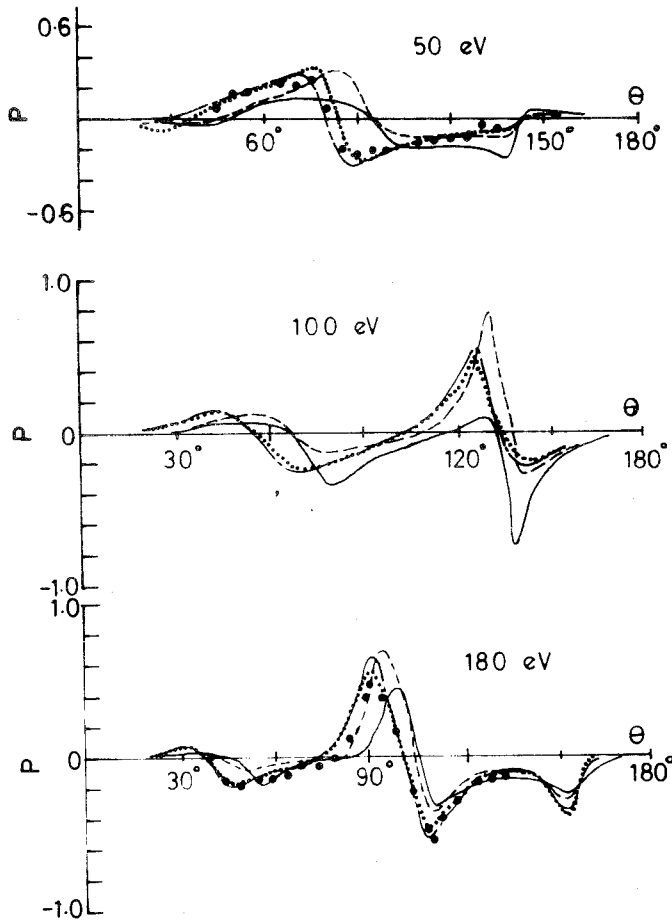


Fig.5-1. Spin polarization for mercury at incident electron energies of 50, 100 and 180 eV (—: DWB calculation for 6^1P excitation using F_C (see Sec. 3-6), ---: DWB calculation for 6^1P excitation using F_M , ———: elastic scattering by ground-state atomic potential,: elastic scattering by excited-state atomic potential and •: experimental results for 6^1P excitation (Hanne et al. 1972).

tal results than that using F_C , as would be expected from the profiles of the cross section (Note that spin polarization depends not on values of cross section but on profiles of cross section. See e.g. equations (2-42), (3-51) or (7-3)). However, it should be mentioned that the experimental results of spin polarization for 6^1P excitation are best expressed by the calculation

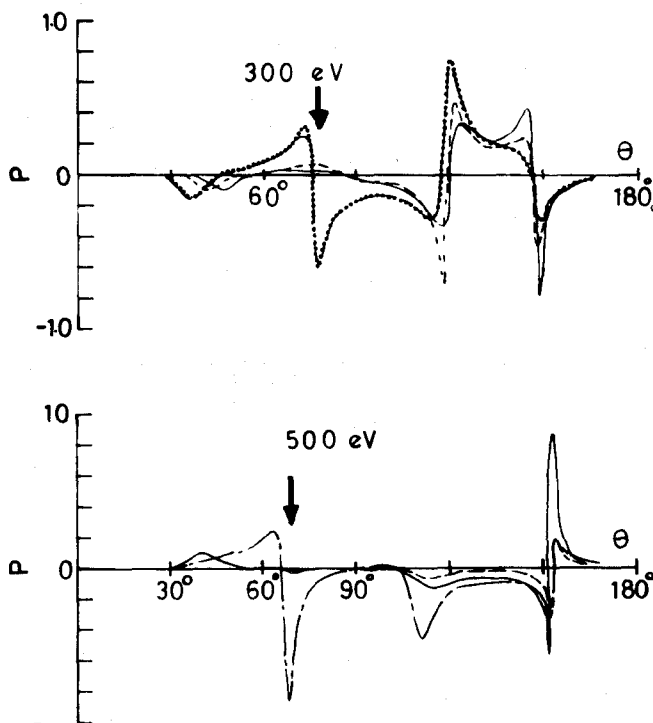


Fig.5-2. Same as Fig.5-1 except here the energies are 300 and 500 eV (Note that sharp peaks of the spin polarization appearing in the elastic scattering at the scattering angle of about 75° (300 eV) and 70° (500 eV), disappear in 6^1P excitation scattering.).

for elastic scattering in the present calculation. This is not the case for the calculation by Madison and Shelton (1973). They obtained results in close agreement to experimental results for incident energies between 50 and 180 eV (see Fig.5-3). It is thought that this is primarily a result of the difference in form factor used (as is seen from Fig.3-2, the profiles of F_M are simplified for convenience in the present calculation).

Although the spin polarization for incident energy of 100 eV had not been measured until presently, the profiles given in Fig. 5-1 are thought to be reasonable and conceivable considering that the experimental results of

spin polarization for 6^1P excitation were most like that of elastic scattering. However, for incident energy of 300 eV and 500 eV, it is notable that the sharp peaks of the spin polarization appearing in the elastic cases at the scattering angle of about 75° and 70° , respectively, disappear in calculations of 6^1P excitations using both F_C and F_M (see Fig.5-2). For 6^1P excitation scattering and incident electron energy over 180 eV, because no experimental data on spin polarization have been reported until currently

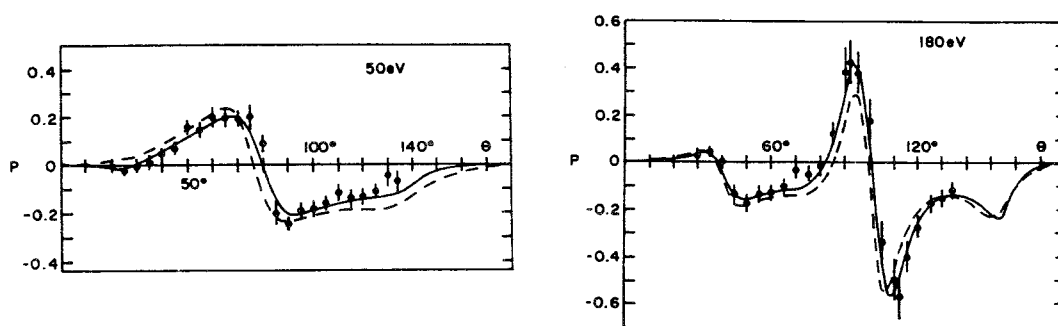


Fig. 5-3. Spin polarization for 6^1P excitation scattering at incident energies of 50 and 180 eV calculated by Madison and Shelton (1973) using DWB approximation for Mayer's potential (solid line, 1957), Coulthard's potential (dashed line, 1967) and experimental data by Eitel and Kessler (1970).

it is uncertain as to whether the drastic departure of spin polarization between the elastic and 6^1P excitation scattering means new phenomena or a breakdown of the DWB approximation. However, generally speaking, the DWB approximation provides better results at higher incident energies where a large number of partial waves contribute to forward scattering, most of which have nearly zero polarization, thus the reduction of the spin polarization is thought to be reasonable.

Further measurements of spin polarization in these energy regions would be highly desirable.

PART II. ESP-DETECTOR USING MERCURY VAPOUR

CHAPTER 6. INTRODUCTION

Currently, ESP experiments are being carried out by several groups in various regions of physics. These ESP experiments except for that listed in Tables 1-1 and 1-2 are summarized in Table 6-1 classifying into 5 groups, i.e. 1) the group entitled "Field Emission" treating ESP experiments or theories of field emitted electron beam usually from ferromagnetic materials, 2) the group "Photo Emission (solid)" treating those of photo electrons from ferromagnetic materials or of photo electrons from solid excited by circularly polarized light, 3) the group "LEED" treating those of diffracted electron beam in low energy electron diffraction, 4) the group "Photo Emission (vapour)" treating those of photo electrons from polarized atomic beam or photo electrons excited by circularly polarized light from unpolarized atomic beam and 5) the group "Others". In these experiments, spin polarization is usually detected using scattering of polarized electron beam with heavy atoms (Au-foil or Hg-vapour), the efficiency of which is equal to or less than 10^{-5} (The principle of the detector is given in Chapter 7.). It should be noted that the value is quite small compared with that of light.

A simple question arises as to whether or not a usual magnetometer can detect a direction of electron spin. Incapability of detecting electron spin with macroscopic magnetometer is qualitatively described in Appendix 2 according to Mott's consideration together with incapability of polarizing electrons with macroscopic magnetic field.

Since the first measurement of spin polarization using double scattering by gold thin film at 340 keV (Shull et al. 1943), the detection of electron spin in high energy electrons is usually performed using scattering with gold thin film, which is called Mott detector (see Fig.6-1). On the other hand, the first ESP measurement for low energy electrons was performed using

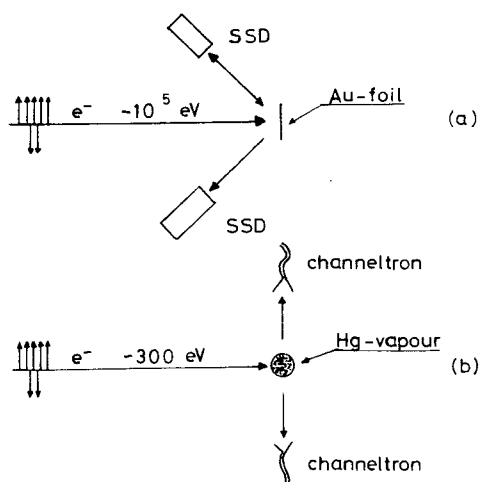


Fig.6-1. Schematic diagram of ESP detector using (a) Au-foil (Mott detector) and (b) Hg-vapour.

mercury vapour (Deichsel 1961). The ESP detector of this type is widely used for various ESP experiments such as e^- -rare gas elastic scattering and e^- -Ne resonance scattering. Mott detector was first used by Jost and Kessler (1965, 1966) for low energy experiments. Schematic diagrams of these two types of ESP detector are shown in Fig.6-1. Because of a high efficiency and ease of treatment in a high vacuum, the Mott detector has been widely used not only in atomic physics but also in surface physics, where ultra-high-vacuum ($10^{-10} \sim 10^{-11}$ Torr) is necessary. However, it should be noted that a Mott detector which uses high energy electrons ($\sim 100\text{keV}$) is not suitable for the experiments of low and mediate energy regions in which major ESP experiments concentrate. Hence the present study attempts to develop an ESP detector suitable for low and mediate energy regions utilizing the electron-mercury scattering, which can also be used as a source of polarized electrons for e.g. surface physics study (see Sec.8-3).

Optimum conditions of ESP detector using mercury vapour are discussed and determined systematically in Chapter 7 using the results in Chapter 2, 3, 4 and 5. In Chapter 8, ESP detector and apparatus for double scattering experiments constructed according to the line shown in Chapter 7 is described. Also described in this chapter is high beam current Pierce type electron gun using single LaB_6 crystal as a cathode for double scattering experiments.

Another possibilities of detecting electron spin are proposed by several authors (Tolhoek 1956, Feder 1975):

- 1) Measurement of the spin angular momentum carried by electrons with longitudinal polarization in a mechanical way. This may be realized by measuring a torque of a suspended disk where polarized electrons are falling (A kind of Einstein-de-Haas effect).

- 2) Measurement of the polarization of emitted light from an atom which is excited by polarized electrons.

- 3) Measurement of intensity asymmetry of diffracted beams in LEED (low energy electron diffraction) experiments. The principle is like that of a Mott detector and a detector using mercury vapour as scatterer. The methods of 2) and 3) may be more practical than that of 1).

Table 6-1

Field Emission

Exp.	Gd (polycrystal)	Hofmann et al.	1967
Theor.	Gd	Müller et al.	1967
Exp.	Ni(single)	Gleich et al.	1971
Exp.	EuS-coated W	Müller et al.	1972
Exp.	W(single)	Regenfus and Sütsch	1974
Exp.	Ni(single)	Müller	1975
Theor.	Effect due to external field	Eckstein and Müller	1975
Exp.	Ni(single)	Eib and Alvarado	1976
Exp.	EuS coated W	Kiskev et al.	1976
Exp.	Fe,Ni,Co,rare-earth metal	Chrobok et al.	1977
Exp.	Ni(100)	Landolt and Campagna	1977
Theor.	W(001) with adatom	Feder	1977

Photo Emission (Solid)

Exp.	Ni(single,poly)	Bänninger et al.	1970
Exp.	Alkali metal	Heinzmann et al.	1971
Theor.	Ni(single)	Smith and Traum	1971
Theor.	Ni,Co	Anderson	1971
Theor.	Ni,Co	Wohlfarth	1971
Exp.	Alkali metal (circularly po.light)	Heinzmann et al.	1972
Exp.	Co	Busch et al.	1972
Theor.	Ferromagnetic metal	Murao	1972
Exp.	Ni,Co,Fe	Adler et al.	1973a
Exp.	Ferro magnetic metal	Adler et al.	1973b
Theor.	EuO(100)	Eastmann	1973
Summary		Campagna et al.	1973
Theor.	Ferromagnetic metal	Murao	1974
Exp.	EuO(La doped)	Meier et al.	1975
Exp.	EuO	Sattler and Siegmann	1975
Exp.	GaAs	Pierce et al.	1975
Exp.	Ferrite (Fe_3O_4 , $\text{Li}_{0.5}\text{Fe}_{2.5}\text{O}_4$)	Alvarado et al.	1975b
Exp.	La-doped EuO	Meier et al.	1975

Theor.	Ce by circularly po. light	Koyama and Merz	1975
Theor.	Photo Emission (circularly po light)	Koyama	1975
Theor.	Ni(single)	Wohlfarth	1975

LEED

Theor.		Jennings	1970
Theor.	E(001)	Jennings	1971
Theor.		Jennings	1972
Theor.	Fe(001)	Feder	1973
Theor.	W(110), W(001)	Feder	1974
Exp.	W(001)	O'Neill et al.	1975
Theor.	W(001)	Jennings	1975
Theor.	W(001)	Feder	1976
Theor.	W(001)	Feder et al.	1976
Theor.	Ni(111)	Feder	1977

Photo Emission (vapour)

Theor.		Fano	1969
Exp.	Ce	Heinzmann et al.	1970
Exp.	Po. Alkali Atom	W. Hughes et al.	1972
Exp.	Ce Po. light	Drachenfels et al.	1974
Exp.	Th	Heinzmann et al.	1975
Exp.	Ce	Granneman et al.	1976
Theor.		Feder	1977

Others

Theor.	exchange scattering	Byrne and Farago	1965
Theor.	Ne(resonance scattering)	Franzen and Gupta	1965
Exp.	K, exchange scattering	Farago and Siegmann	1966
Theor.	electron-magnon scattering	DeWames and Vredevoe	1967
Exp.	K, exchange scattering	Campbell et al.	1971
Theor.	excitation process of po. atom	Kleinpopp	1971

Exp.	Ne(resonance scattering)	Heindorff et al.	1973
Theor.	Ne(resonance scattering)	Suzuki and Tanaka	1973
Theor.	excitation process of po. atom	Blum and Kleinpoppen	1974
Theor.	Ne(resonance scattering)	Suzuki et al.	1975
Theor.	surface of ferromag- netic metal	Penn	1975
	Hg(resonance scattering)	Düweke et al.	1976
Exp.	Hg(exchange scattering)	Hanne and Kessler	1976
Theor.	Hg(exchange scattering)	Hanne	1976

CHAPTER 7. OPTIMUM CONDITIONS OF THE ESP DETECTOR

7-1. Introduction

The ESP detector constructed by Deichsel (1961) is shown in Fig.7-1. It consists of a mercury reservoir, two sector type energy analysers and two electron multipliers. This was used to investigate the spin polarization of the electrons elastically scattered by the mercury atom. The energy resolution was set at less than 4.9 eV, the lowest excitation energy of mercury corresponding to 6^3P excitation. The scattering angle and angular resolution were set at 90° and 6° respectively. However, the

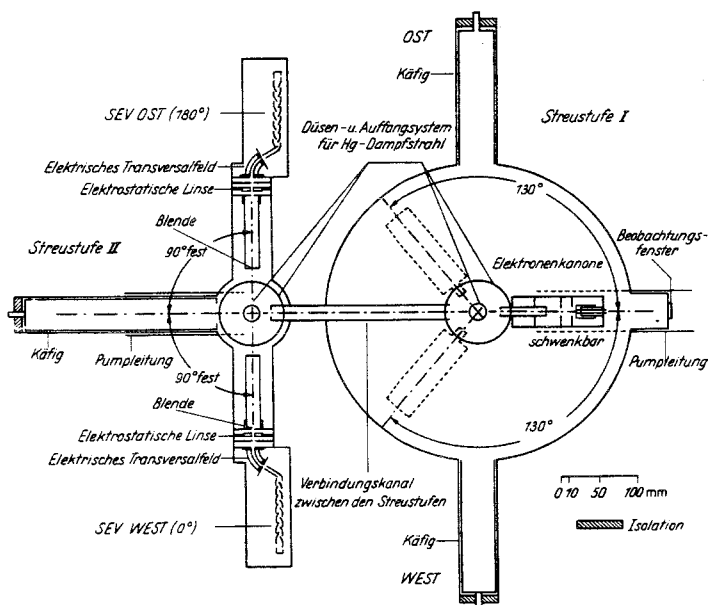


Fig.7-1. Electron spin polarization (ESP) detector (left side chamber) and polarizer (right side chamber) used by Deichsel (1961).

theoretical basis for these values was not shown with the exception of the energy resolution for the ESP detector.

Gronemeier (1970) measured the 6^1P excitation scattering, which is usually the most dominant inelastic process in electron-mercury scattering for electron impact energies between 20 and 300 eV and Yamazaki et al. (1977c) measured the loss spectra of mercury atom for electron impact energies between 300 and 1000 eV (see Secs. 3-6 and 4-3-2). The results

show that these inelastic electrons have little influence on the determination of the spin polarization when used in hundreds eV energy regions.

In this chapter, optimum conditions of the ESP detector using electron-mercury scattering are systematically studied and a high efficient ESP detector of simple construction is proposed.

7-2. Determination of E , θ and $\Delta\theta$

From equation (2-45), we can see that transverse spin polarization of electrons (i.e. spin polarization perpendicular to the scattering plane which is described by primary beam and direction of observation) can be analyzed by the scattering asymmetry. Substituting $\theta=0$ and π , and $\mathbf{P}=(0, P, 0)$ in equation (2-45), we obtain

$$\sigma_L = \sigma_0 (1 + SP) \quad (7-1)$$

$$\sigma_R = \sigma_0 (1 - SP), \quad (7-2)$$

respectively (see Fig.7-2-a). Equations (7-1) and (7-2) lead to

$$N_R/N_L = (1 - PS) / (1 + PS), \quad (7-3)$$

where $N_{R(L)} = N_{in} \cdot N_{Hg} \sigma_{R(L)} d\Omega$, N_{in} is the number of incident electrons per unit area, N_{Hg} the number of mercury atom in collision volume, and $d\Omega$ the acceptance solid angle of electron detector. Thus, we can determine the polarization of incident electrons by measuring the ratio of left-right asymmetry N_R/N_L .

Fig.7-2-b shows the differential cross section for a totally polarized incident electron beam of 300 eV. It seems that the greater the absolute value of the Sherman function, the greater the detectability of spin polarization becomes. However, from a practical point of view it should be noted that in these energy and angular regions, the differential cross section becomes extremely small, so the efficiency of the detector is reduced. Moreover, the Sherman function S in these regions is in general rapidly varying for both the scattering angle and the impact energy (see Figs.7-3 to 7-6). This makes it difficult to estimate the Sherman function both theoretically and experimentally. The theoretical value of Sherman function differs considerably from the experimental one in these regions.

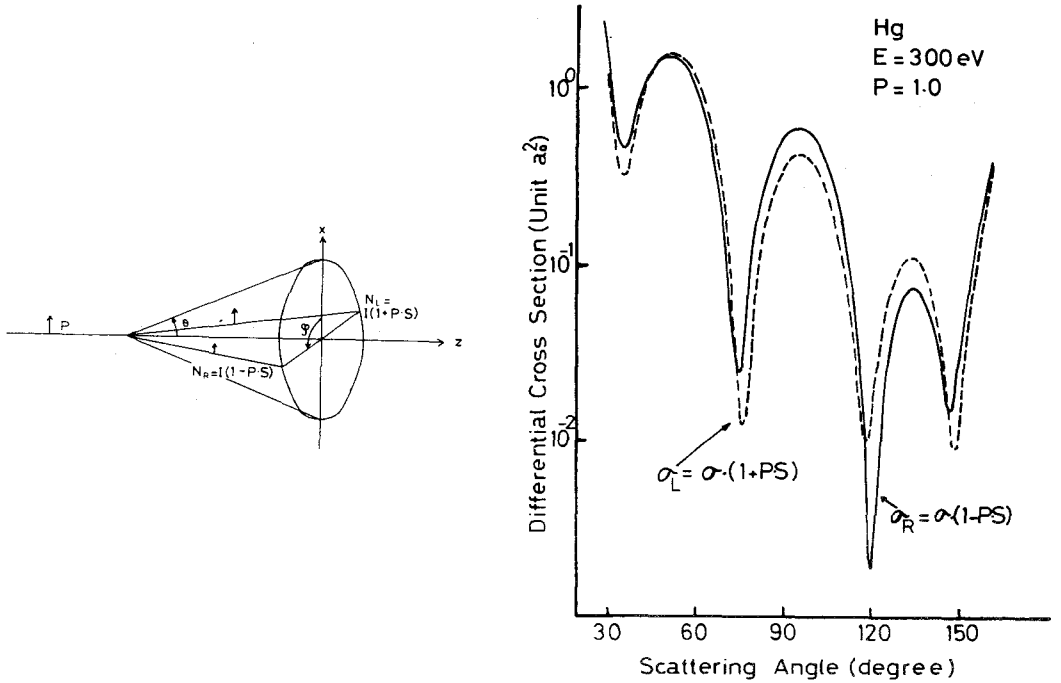


Fig.7-2. (a) Schematic diagram of left-right scattering asymmetry of a beam polarized perpendicular to scattering plane.
 (b) Cross section for a totally polarized electron beam scattered by mercury atom at $E = 300$ eV.

Furthermore, experimentally determined Sherman function in these regions depends strongly on the accuracy of the scattering angle and the angular resolution of the system.

To determine the best condition of the ESP detector systematically from these various parameters, first, the statistical error of the measured polarization is estimated from equation (7-3) as

$$\Delta P_{rel} = (2N)^{1/2} \cdot \Delta P / P \sim \{(\sigma P^2 S^2)^{-1} - \sigma^{-1}\}^{1/2} \quad (7-4)$$

Calculating the right hand side of equation (7-4) and taking into account both the differential cross section and ESP we can estimate the suitable regions for the energy, scattering angle and angular resolution necessary for the detector. Examples of the results are shown in Figs.7-3 to 7-6, together with the cross section and spin polarization. The results are summarized in Fig.7-7 for cases of the two different angular resolutions.

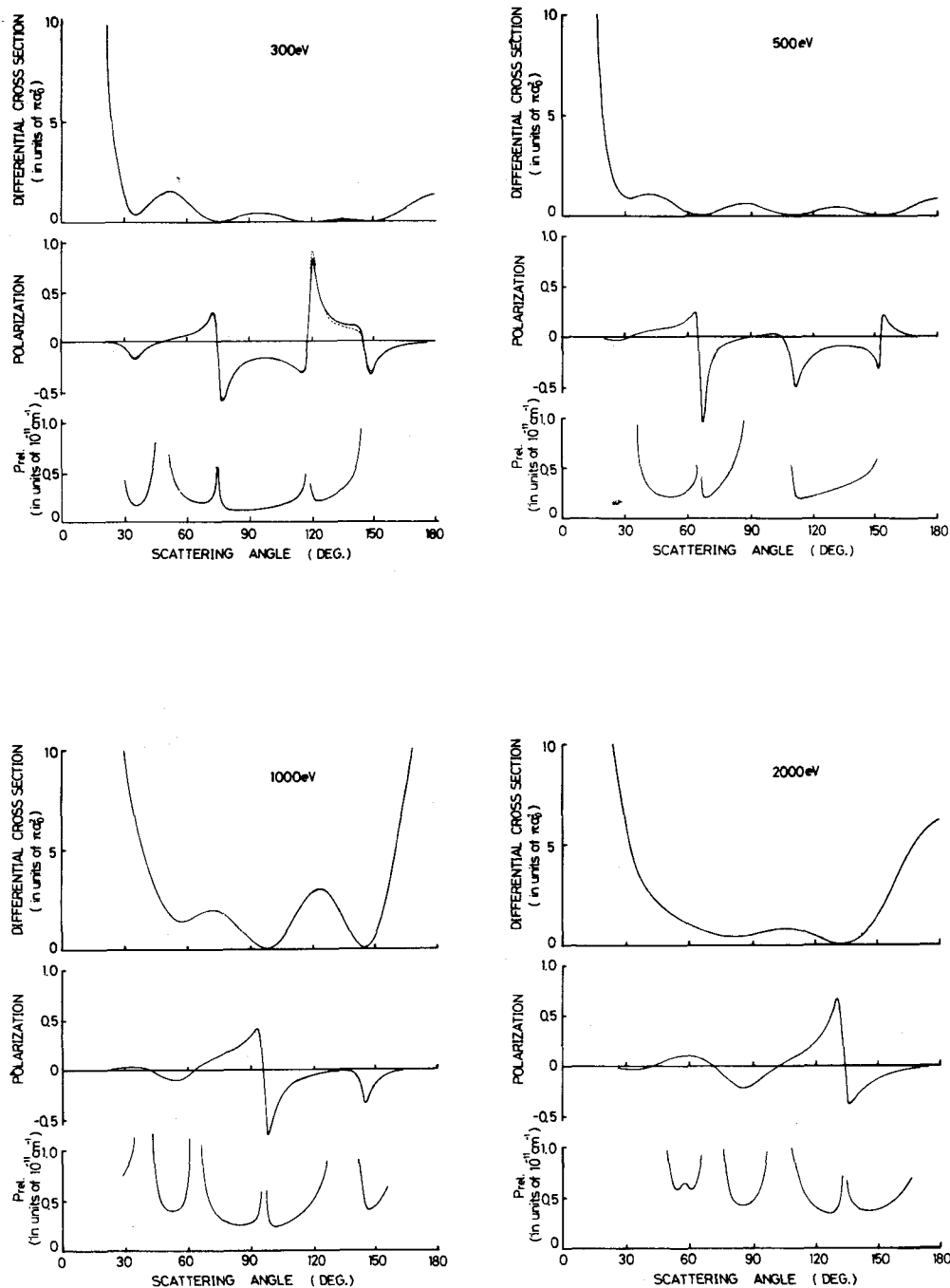


Fig.7-3 to 7-6. Relation between cross section, spin polarization and ΔP_{rel} for initial spin polarization of $P = 0.1$.

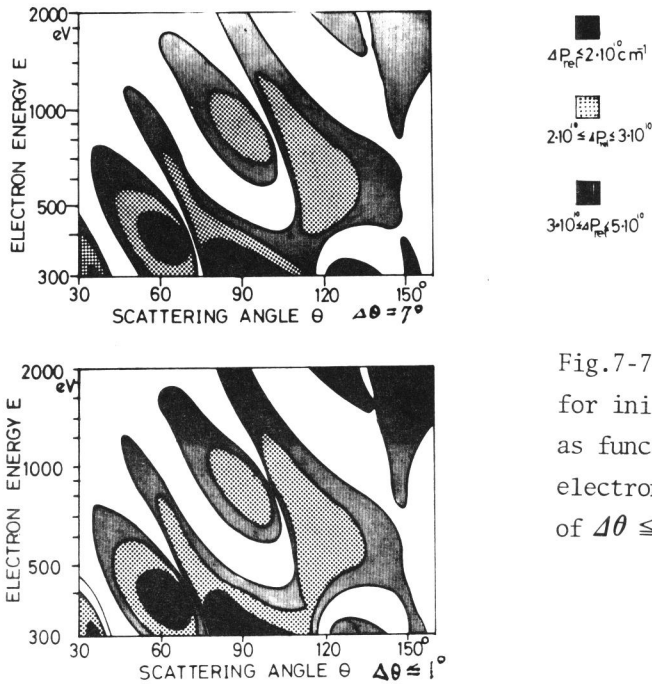


Fig.7-7. Contours of constant ΔP_{rel} for initial polarization of $P = 0.1$ as function of scattering angle and electron energy for angular resolution of $\Delta\theta \leq 1^\circ$ and $\Delta\theta = 7^\circ$.

These results show that the optimum conditions do not concentrate around extremum points of Sherman function, but exist around 1) 300 eV, 90° , 2) 400 eV, 70° , 3) 600 eV, 120° and 4) 1000 eV, 90° . In these energy and angular regions, particularly at 300 eV, both the cross section and Sherman function are slowly changing functions with respect to scattering angle, so it is concluded that high angular resolution and high accuracy of angular setting are not necessary for the ESP detector to achieve high ESP resolution.

Other important points are 1) the Sherman function necessary for determination of polarization has nearly the same value for several theoretical calculations and experiments in these energy and angular regions, so the accurate Sherman function can be easily obtained both theoretically and experimentally, 2) S/N ratio may increase because of high scattering intensity.

It was concluded that an electron energy of 300 eV and a scattering angle of about 95° is the best condition for the optimum operation of the ESP detector in the hundreds eV energy regions.

As can be seen in equation (7-3), the left-right asymmetry remains constant over the region of scattering angle where Sherman function S remains constant. From equation (7-3), for the angular resolution of $\Delta\theta$, the

observed spin polarization P_{obs} is expressed as

$$P_{obs}/P = 1 + \frac{(\Delta\theta)^2}{24} \left(\frac{S''}{S} + \frac{2\sigma' S'}{\sigma S} \right) \quad (7-5)$$

where $S' = \partial S / \partial \theta$, $\sigma' = \partial \sigma / \partial \theta$ and $S'' = \partial^2 S / \partial \theta^2$

From equation (7-5), the error caused by the variation of the Sherman function in the angular range of 90° to 105° for incident energy of 300 eV is estimated to be less than about 1%.

The number of doubly scattered electrons N_2 may be roughly estimated as

$$N_2(\alpha) = N_i N_H^2 \int \sigma(\theta) \sigma(\alpha - \theta) d\theta \quad (7-6)$$

where α and θ are the final and intermediate scattering angle respectively.

Equation (7-6) shows that ratio of double scattering to single scattering is proportional to mercury vapour density, so in general sufficiently low density of mercury vapour is required for precise measurement of spin polarization (W. Eitel et al. 1968). Fig.7-8 shows $\sigma(\theta) \sigma(\alpha - \theta)$ as a function of θ for $\alpha = 95^\circ$ and 75° . It shows that for $\alpha = 95^\circ$, the probability of the double scattering is dominant only near $\theta \sim 0^\circ$ or 95° . A polarization \mathbf{P} of incident electron is transformed to \mathbf{P}_s after scattering according to the equation (2-48). Equation (2-48) shows that at forward scattering, initial polarization \mathbf{P} is conserved during scattering, because $S \sim U \sim 0$ and $T \sim 1$ at forward scattering as is seen from Figs.7-9 and 2-9.

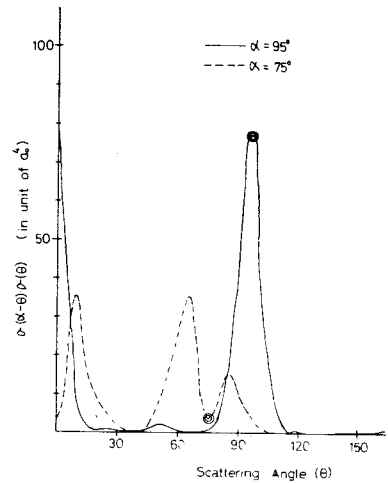


Fig.7-8. Contribution of doubly scattered electrons for incident electron energy of $E = 300$ eV and final scattering angle of $\alpha = 95^\circ$ (solid line) and $\alpha = 75^\circ$ (dashed line) as a function of scattering angle of first scattering.

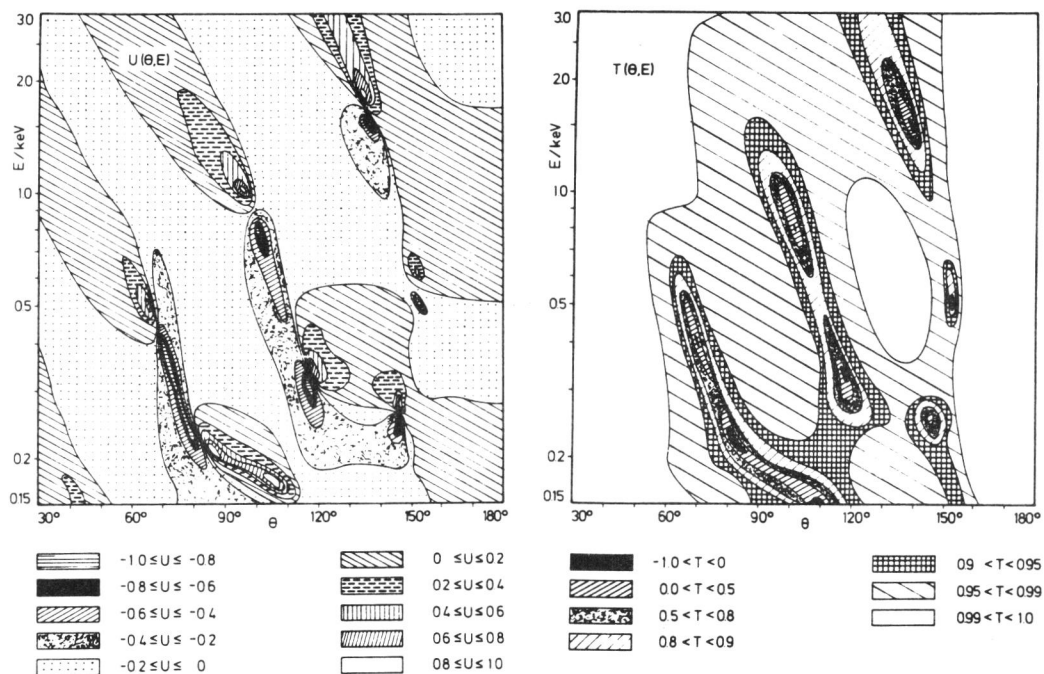


Fig.7-9. Contours of constant U (a) and T (b) for mercury as function of scattering angle and electron energy. U describes the rotation of the polarization out of its initial plane. T describes the reduction of the polarization component due to scattering (Kessler 1976).

(Note that $S^2 + U^2 + T^2 = 1$). This affects little on the determination of spin polarization. It is not the case at $\alpha = 75^\circ$ where an effective Sherman function originating from double scattering is modified by the Sherman function at $\theta = 65^\circ$ and 85° . It is concluded that the region of large cross section is desirable to make the affect of double scattering relatively less.

7-3. Determination of ΔE

In this section, the energy resolution necessary for an ESP detector is investigated. From equation (7-3), the observed spin polarization P_{obs} is expressed as

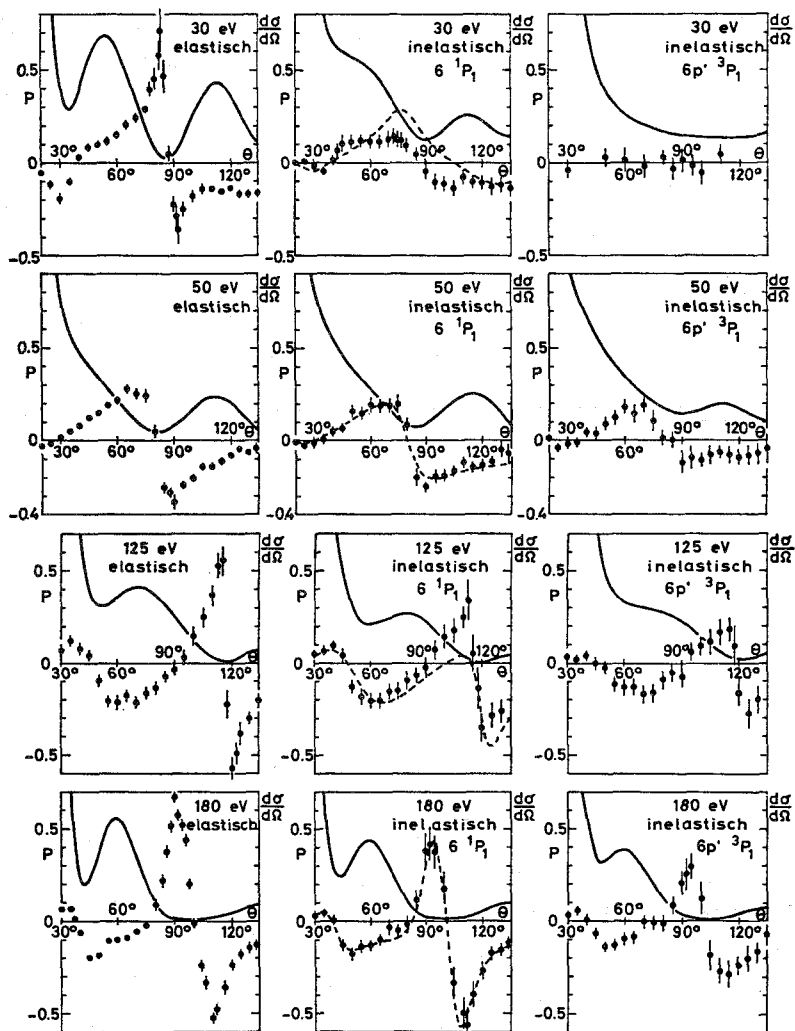


Fig.7-10. Experimental results of cross section and spin polarization for 6^1P and $6p'^3P$ excitation scattering.

$$\begin{aligned}
P_{obs} \cdot S &= (N_R - N_L + n_R - n_L) / (N + n) \\
&= P \cdot S \cdot \{ 1 - S_{inel} / S \} n / N \quad ,
\end{aligned}
\tag{7-7}$$

where n_R (n_L) is the number of inelastically scattered electrons to the right (left) side, S_{inel} the Sherman function of inelastically scattered electrons

$$N = N_R + N_L \tag{7-8-a}$$

$$n = n_R + n_L \tag{7-8-b}$$

From equation (7-7), if $P_{inel} = 0$, i.e. inelastically scattered electrons are not polarized, the error induced by these electrons is estimated to be n/N , the ratio of inelastic electrons to elastic ones.

As was experimentally investigated in Chapter 4, the ratio n/N for a hundreds eV electron remains within a few percent (see Fig.4-24). It increases when elastic cross section decrease. This implies that the error induced by inelastic electrons can be reduced if we adopt a condition where the elastic cross section is large.

Moreover, as was experimentally investigated by Hanne et al. (1972), inelastically scattered electrons corresponding to 6^1P and $6p^1^3P$ excitations are polarized to some extent and show the similar profiles of spin polarization to elastically scattered electrons (see Fig.7-10). These facts suggest that the error originating from inelastic scattering can be less than n/N (see equation 7-7).

It is concluded that the energy resolution of ~ 13 eV ($6.7 \text{ eV} \times 2$) or more may not cause significant error (less than 2% see Fig.4-24) in the ESP detector, particularly at region near the maximum points of cross section.

7-4. Conclusion

The optimum condition proposed in this chapter is of practical importance for reducing unnecessary labor in both the construction of the ESP detector and precise measurement of spin polarization. From the discussions developed in Secs. 7-2 and 7-3, it is concluded that

$$\begin{aligned}
E &\sim 300 \text{ eV} \\
\Delta E &\sim 13 \text{ eV} \\
\theta &\sim 95^\circ \\
\Delta\theta &\sim 15^\circ
\end{aligned}
\tag{7-9}$$

may give the best condition for the ESP detector. Advantages of the condition are summarized as follows;

- 1) In this region, the Sherman function can be determined accurately.
 - 2) The high accuracy of angular setting is not necessary, which results in simple construction of the ESP detector.
 - 3) A large acceptance angle is allowable which results in high efficiency of the ESP detector.
 - 4) The scattering cross section is large which results in better S/N ratio.
 - 5) The ratio of inelastic to elastic scattering cross section is small which results in high efficiency and simple construction of the ESP detector.
 - 6) The affect of multiple scattering is small which allows high density mercury vapour so results in high efficiency of the ESP detector.
- For this condition and the mercury density of $10^{15}/\text{cm}^3$ (corresponding to the vapour pressure of $\sim 3 \times 10^{-2}$ Torr), the efficiency α_{eff} of the ESP analyser amount to approx. 10^{-6} where

$$\alpha_{eff} = S_{eff}^2 \cdot I/I_0, \tag{7-10}$$

S_{eff} is an effective Sherman function and I/I_0 the intensity ratio of scattered to incident electrons. Equation (7-10) is derived from equation (7-4) taking into account the usual experimental condition of $S \cdot P \ll 1$. A common Mott detector provides an efficiency of approx. 10^{-5} (see e.g. Kessler 1976) which is about one order larger than the value now obtained. However, the ESP detector using mercury has various advantages, primarily 1) electrons need not be accelerated to energies as high as 100 keV, which results in simple construction and easy operation of ESP detector, 2) this detector can also be used as a polarized electron source (see Chapter 8).

CHAPTER 8. DOUBLE SCATTERING EXPERIMENT

8-1 Introduction

The optimum conditions of the ESP detector have been systematically determined in Chapter 7. In this chapter, the apparatus for double scattering experiments will be described (see Fig.8-1).

The first and second scattering is for production and detection of polarization, respectively. The arrangement of the ESP detector, the

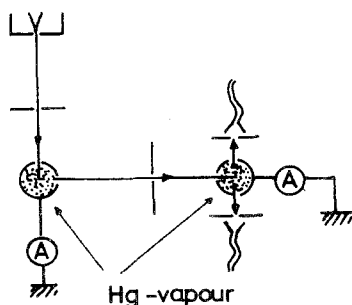


Fig.8-1. Schematic diagram of the double scattering experiment for mercury vapour.

second scattering, is determined according to the line shown in Chapter 7. As a standard polarized electron beam source, electron-mercury elastic scattering is used, because the spin polarization in the electron mercury elastic scattering has been investigated in detail by several authors (see Tables 1-1 and 1-2). Since the intensity of an electron beam after double scattering is extremely weak, a Pierce-type electron gun of high intensity was constructed using a single crystal LaB_6 cathode.

8-2. Apparatus -- Design and Performance

8-2-1. Construction

The apparatus consists of an electron beam source, a first vacuum chamber as an electron spin polarizer and a second vacuum chamber as an ESP detector. An outer view of the apparatus shown in Fig.8-2 removing the top cover of the vacuum chamber. Fig. 8-3 shows cross sectional drawings of the system. The scattering angle at the first chamber is set at $\sim 100^\circ$ where highly polarized electrons are provided at $E \sim 850 \text{ eV}$ (see Fig.2-5). Fig.8-4 shows the block diagram of the vacuum system.

The first vacuum chamber is a 20 cm high cylinder of 12.4 cm inner diameter pumped by a 120 ℓ/s oil diffusion pump evacuating the system to residual gas pressure of 10^{-5} Torr, but the pressure increases to 5×10^{-5} Torr during operation due to mercury vapour. The gun part is differential-

ly pumped by a 600 l/s oil diffusion pump which provides a residual gas pressure of $\sim 5 \times 10^{-6}$ Torr. In the center of the second chamber, a collision chamber is set which is also used as the mercury reservoir and the Faraday cup from monitoring the primary electron beam current. The density of the mercury vapour is controlled by the inductionless heater wound around the

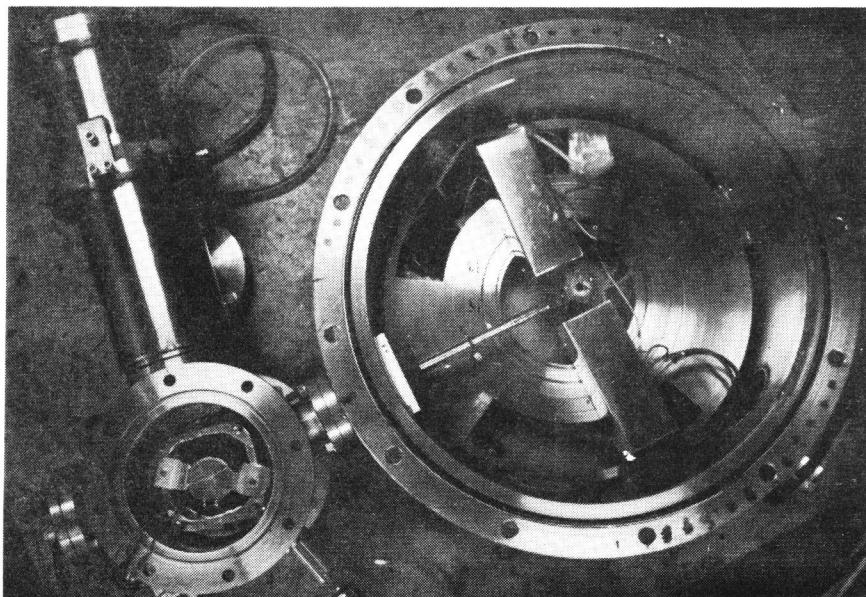


Fig.8-2. Top view of the apparatus for the double scattering removing the top cover of both 1st and 2nd chambers.

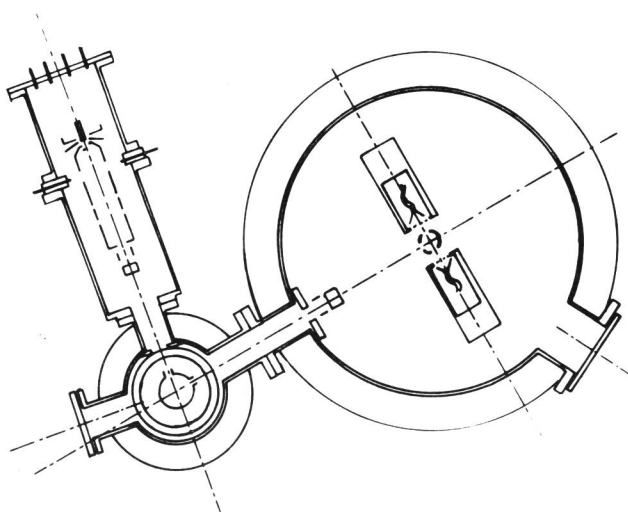


Fig.8-3. Schematic diagram of the apparatus constructed for the double scattering.

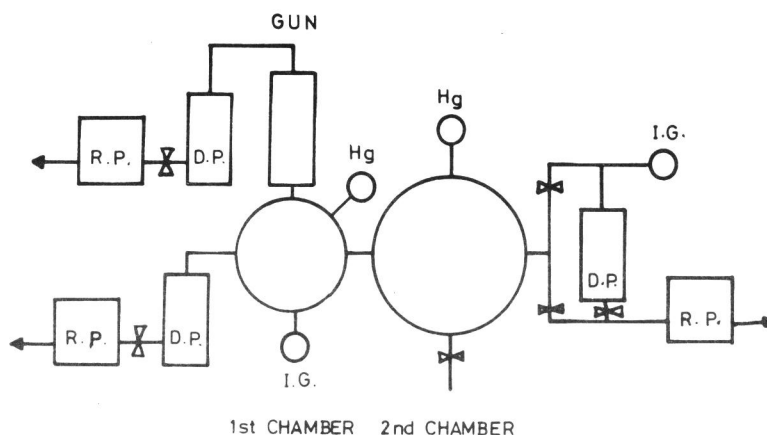


Fig.8-4. Schematic diagram of the vacuum system.

collision chamber which is pumped through two apertures (inner diameter of 4mm) which allow the incident and scattered electrons to pass.

The construction of the second chamber is like that used in Chapter 4 except the energy analyser and the collision chamber which is also used as a Faraday cup. Two energy analysers are set in the left and right sides of the collision chamber (in the scattering plane) at symmetric position with respect to incident beam axis at a scattering angle of approx. 95° .

The cross sectional drawings of the energy analyser are shown in Fig. 8-5. The energy analysis is performed by a twofold retarding mesh (gold plated tungsten of 100 mesh) instead of a sector type analyser, the construction of which is confirmed by the investigation in Chapter 7. (It was shown that even energy resolution of approx. 13 eV was still practically feasible). The outer view of the analyser is shown in Fig.8-6.

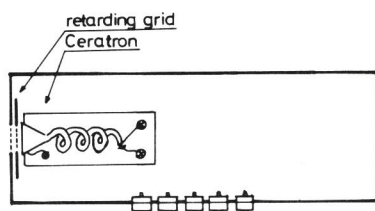


Fig.8-5. Schematic diagram of the energy analyser to the ESP detector.

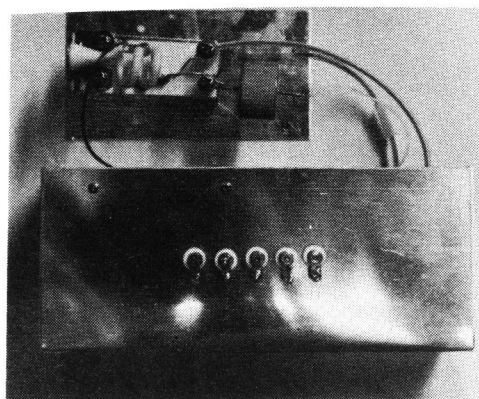


Fig.8-6. View of the electron multiplier and the case of energy analyser.

The electron multiplier used is a kind of channeltron (Murata Co., Ceratron Type EMS-6081B) with an acceptance cone and a holding stage.

8-2-2. Pierce-Type Electron Gun Using LaB₆ Single Crystal as a Cathode

As the intensity of an electron beam after double scattering is extremely weak, an electron gun of high beam intensity is necessary for double scattering experiment. For this purpose, a Pierce-Type electron gun of high beam intensity was constructed (Pierce 1954). The Pierce-Type electron gun is designed for use in space-charge limited regions and provides a parallel electron beam. The main part of this gun consists of a cathode with flat

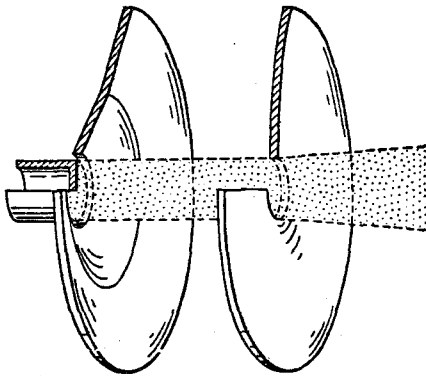


Fig.8-7. Schematic diagram of cathode and anode electrodes of Pierce type electron gun (Pierce 1954).

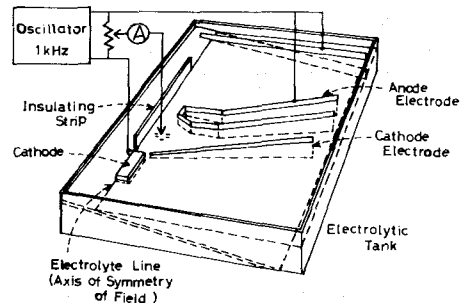


Fig.8-8. Schematic diagram of the electrolytic tank.

surface, a cathode electrode and an anode electrode as is schematically shown in Fig.8-7. The actual shapes of these electrode are determined using an electrolytic tank (see Fig.8-8). It was concluded that the shape of the cathode electrode should have the same shape as the usual Pierce gun with an angle of 67.5° between the electrode and the beam axis.

The cathode are heated by electron-bombardment. To prevent the bombarding electrons from straying into the electron beam from the cathode, a shielding electrode is set between the cathode electrode and the heater for electron bombardment. Parts of both the cathode and shielding electrodes near the cathode consist of Ta to obtain stable performance during operation at high temperatures. Fig.8-9 is a cross sectional drawing of the Pierce

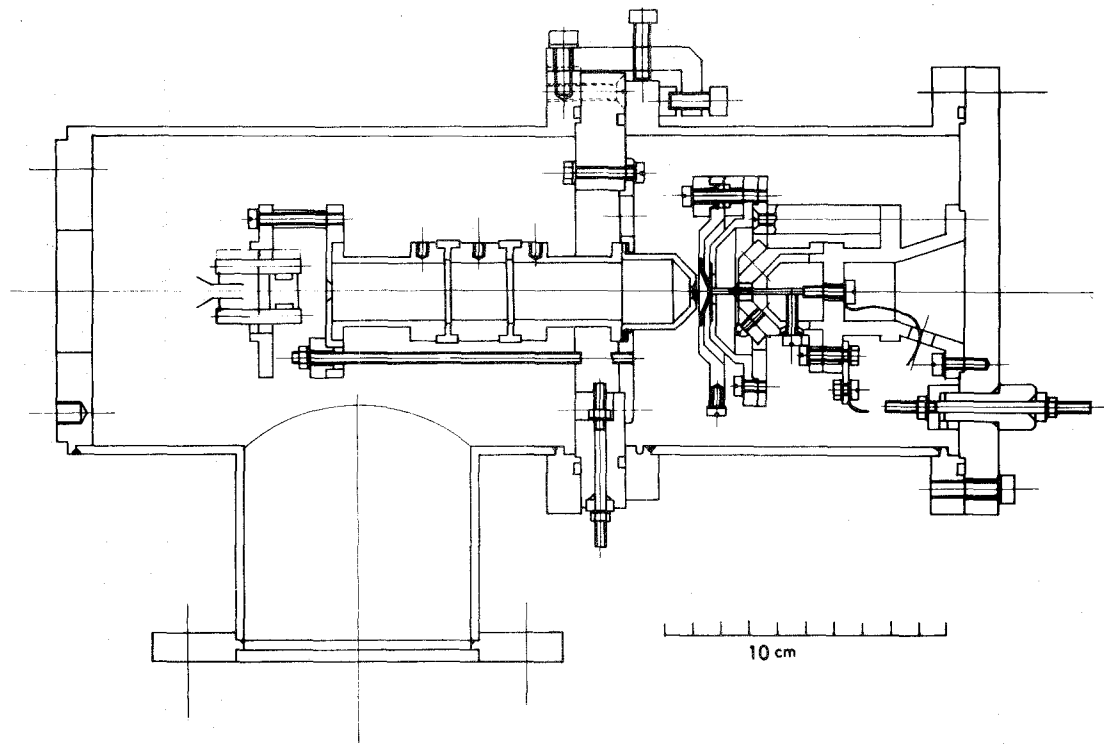


Fig.8-9. Cross section of the Pierce-type electron gun with einzel lens and deflector, and its vacuum chamber.

gun constructed. An asymmetric three cylindrical lens with deflector is set in front of the anode electrode.

LaB₆ single crystal is used as a cathode because of its high emission current and stable performance over a long period of time (long service life) which originates from the low values of both the work function and the vapour pressure (J.M. Lafferty 1951). Moreover, the LaB₆ single crystal has flat surface plane of stoichiometric uniformity (T. Tanaka et al. 1975) which enables one to obtain a beam of higher current intensity with good parallelism and spatial uniformity.

The cathode consists of a LaB₆ single crystal rod with a diameter of 2mm and length of 7mm held in a Ta cylinder. The Ta cylinder is connected to a 2mm diameter W-rod with three spot welded Ta wires in order to limit power consumption. Furthermore, this prevents the gun assembly from deteriorating the vacuum by heating the area surrounding the cathode. The distance between the anode and the cathode was 5.5 mm and the perveance was $2.42 \times 10^{-7} \text{ A/V}^{3/2}$. Two Ta ribbons ($0.025 \times 0.75 \text{ mm}$) were held around the LaB₆ cathode. The two Ta ribbons had heating currents of opposite direction in order to reduce the current-induced magnetic field around the emitter.

Fig.8-10 is an outer view of the cathode and bombarding system with both the cathode and the shielding electrode removed.

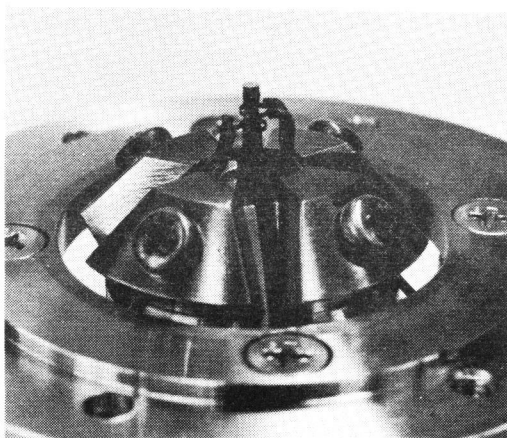


Fig.8-10. View of the single crystal LaB₆ cathode and its holding system removing anode, cathode and shielding electrodes.

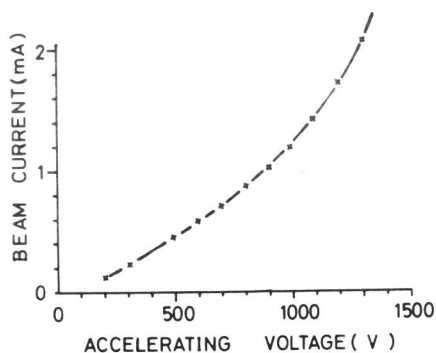


Fig.8-11. Relationship between beam current and accelerating voltage (x: beam current).

To evaluate the performance, LaB₆ was heated up to ~1600°C with accelerating voltage range of 200-1300 eV. With these operating conditions, the gun was being operated in the space charge limited region, with approx. 12W of power consumed. During operation, evaporated LaB₆ is continually deposited on the Ta-ribbons which reduces the work function of the ribbons, which in turn reduces the heating power to approx. 6 W. The beam diameter was observed with a fluorescent screen situated 10 cm along the beam path from the anode. The spot size of the beam was found to be 3-4 mm in diameter for the above accelerating voltages. The beam current was measured by a Faraday cup having an inner diameter of 4 mm at a vacuum pressure of 3×10^{-6} Torr. Fig. 8-11 shows the relationship between the beam current and the accelerating voltage. The rapid variation of the beam current in current stability measurements was rarely observed and the beam current drift was less than 0.1 %. As in seen in Fig.8-11 the actual perveance was found to be $3.8 \times 10^{-8} \text{ A/V}^{3/2}$.

8-2-3. System of Measurement

A schematic diagram of the electric circuit used for the double scattering experiment is seen in Fig.8-12. The memory section of M.C.A. was divided into two parts to treat the signals of the two origins. A pulse is at first amplified and then arrives at A.D.C. input in the M.C.A. through the mixer. At the same time, the memory region is selected based

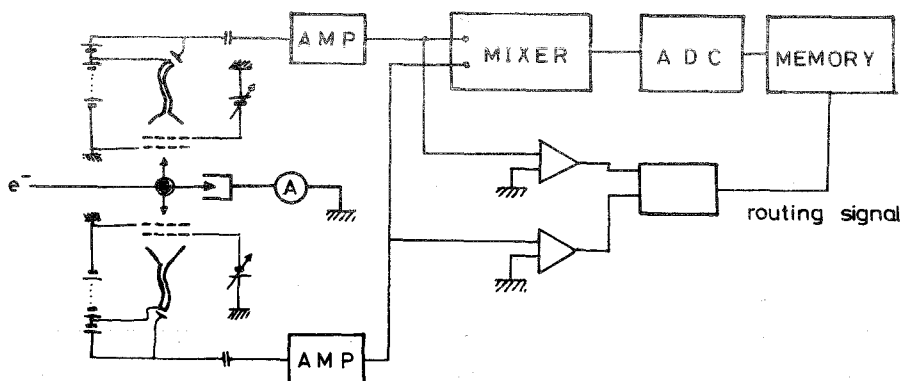


Fig.8-12. Schematic diagram of the electric circuit for double scattering experiment

on which multipliers the pulse originates. This is a successful method when counting ratio of both the multipliers are low because switching time or dead time of M.C.A. has little effect on measurements. Moreover, we can correct the external and internal background by direct observation of P.H.D.

The system used was Ortec "Spectroscopy Amplifier 472A (x2), Ortec "Dual Sum and Invert Amplifier, 433A", and Ino-Tech IT-5200 Multi-Channel-Analyser.

8-3. Proposal for an Application of Electron-Mercury Scattering to a Polarized Beam Source

As is shown in Sec.2-3 and Appendix 3, polarizing and analysing powers are expressed by the same function S (Sherman function) in the case of elastic scattering. In a certain type of scattering experiment, an intensity measurement using an initially polarized electron beam is superior to a polarization measurement using initially unpolarized electron beam.* This is precisely the case in studies of surface physics, where primary electron beam should be sufficiently weak so as not to damage a sample surface.

Kessler (1976) summarized various polarized sources and estimated its beam qualities, which is shown in Table 8-1. ' $P^2 I$ ', appearing at the top of the 5th column in Table 8-1, may serve as a kind of parameter for an estimation of beam quality (see Sec.7-4, where the similar quantity α_{eff} is used for the estimation of efficiency of the ESP detector.). The 6-th column Beam quality q is determined by

$$q = \frac{P^2 I}{r_0^2 \alpha_0^2 E_0} \quad , \quad (8-1)$$

* Recently, an experiment of similar conception is reported to be in progress (Unertl et al. 1977) where photo electrons from GaAs surface are used as a polarized electron source for LEED study.

where r_0 is the radius of a beam-cross-section minimum, α_0 the corresponding semi-aperture of the beam and E_0 the energy of electrons leaving the source. We can see that q takes into account the polarization, intensity and collimation of the beam. From Table 8-1, the beam source using "scatter-

Method	P	I		$P^2 I$ (Ampere)	Beam quality q Eq. (7.19)
		d.c. (Ampere)	pulsed($\approx 1\mu s$) (el./pulse)		
Scattering from unpolarized targets	0.2	$3.5 \cdot 10^{-8}$		10^{-9}	Medium
Exchange scattering from polarized atoms	0.5		10^8	$2 \cdot 10^{-14}$	Low to medium
	0.2		10^7	$7 \cdot 10^{-12}$	
Photoionization of polarized atoms	0.76		$8 \cdot 10^8$	10^{-8}	Medium
Fano effect	0.65	$1.5 \cdot 10^{-9}$		$6 \cdot 10^{-10}$	Medium
	0.9		$3 \cdot 10^9$	$2 \cdot 10^{-11}$	
Collisional ionization in optically pumped He discharge	0.3	$5 \cdot 10^{-7}$		$5 \cdot 10^{-8}$	Medium to high
Field emission from ferromagnets	0.89	10^{-6}		$8 \cdot 10^{-7}$	High

Table 8-1. Comparison of various sources of polarized electrons (Kessler 1976).

ing from unpolarized targets" is ranked "medium". Thus, the ESP detector using mercury vapour may work well as a polarized beam source, if an electron gun is mounted together with the electron multiplier.

It is worth noting that this system provides precise information on the scattering process by comparing the polarizing and analysing power, i.e., these two values are not equal to each other e.g. in exchange scattering as is shown in Appendix 4.

SUMMARY

The present work consists of two parts, Part I which consists of Chapter 1-5 and Part II, Chapter 6-8. The main subject of Part I is "Electron-Mercury Scattering" and that of Part II, "ESP detector using Mercury Vapour". The results obtained in the present study are summarized chapter by chapter as follows.

Part I Electron-Mercury Scattering

Chapter 1; Introduction

- 1) The characteristics of electron-heavy atom scattering are briefly described.
- 2) A historical view of electron-mercury scattering is given together with bibliographies. It becomes apparent that the studies have been strongly biased toward elastic scattering for both experimental and theoretical studies.

Chapter 2; Theory of Electron-Mercury Elastic Scattering --- Modification and Extension of Current Theory

- 1) Particular attention needed for the theoretical treatment of electron-heavy atom scattering is described.
- 2) e^- -Hg elastic scattering is treated relativistically using a non-relativistic Hartree potential. The scattering amplitude is written down for an arbitrary incident direction of electron beam.
- 3) The efficient and accurate computer program is developed for electron-atom elastic scattering. Theoretical calculation is made for both the differential cross section and spin polarization of the incident electron energy between 300 and 2000 eV. In these energy regions, the calculated results agree well with both experimental results and other theoretical results calculated by more accurate but complex theories, which include exchange effect between incident and atomic electrons, and distortion of atom by electric field of incident electrons.
- 4) The cross section calculated in this way provides important information concerning study on the behaviour of Auger electrons in solids using Monte-Carlo calculation. Because the screened Rutherford scattering formula which is usually used in the Monte-Carlo calculation breaks down for low energy electron scattering.

Chapter 3; Theory of Electron-Mercury Inelastic Scattering

--- Application of DWB Theory

- 1) DWB approximation is applied to electron-impact excitation of 6^1P state of mercury.
- 2) The computer program is developed for electron-atom inelastic scattering using the DWB approximation. The DWB calculation is performed for atomic wave functions constructed using Coulomb approximation and Hartree approximation in the incident electron energy of 50 to 500 eV.
- 3) It is shown that at an impact energy greater than 300 eV, the spin polarization of inelastic electrons differs considerably from that of elastic electrons at scattering angles less than 90° for both the atomic wave functions.

Chapter 4; Measurement of Loss Spectra

- 1) Construction of an apparatus for the measurement of loss spectra is described.
- 2) The loss spectra of electron-mercury scattering are obtained in the energy region between 300 and 1000 eV at a scattering angle of 50 to 110° .
- 3) Allowed transitions such as 6^1P and $6p^1^3P$ excitation are dominant in these energies and scattering angles, and show similar diffraction pattern to elastic scattering. However, the transition rate to 7^1S state (strictly forbidden transition) is higher than that to 6^3P state, and 6^3P state is higher than that to 7^1P state (allowed transition).

Chapter 5; 6^1P Excitation --- Comparison of Theory and Experiment

- 1) The results in Chapters 3 and 4 are compared.
- 2) The DWB calculation using Coulomb approximated atomic wave function provides values of cross section in close agreement with experiment, however, the DWB approximation using Hartree atomic wave function provides profiles of angular dependence of cross section and spin polarization in close agreement with experiment.
- 3) From this result, it is conjectured that the actual 6s and 6p wave functions of mercury may have similar profiles to that of Hartree wave functions. However, (6s) wave function for $(6s)^2$ state and that for $(6s)(6p)$ state may be much more isolated from each other in the actual case than in Hartree case.

Part II. ESP-Detector Using Mercury Vapour

Chapter 6; Introduction

- 1) Studies on ESP experiments and ESP detectors used for these experiments are briefly reviewed.

Chapter 7; Optimum Conditions of the ESP Detector.

- 1) Optimum conditions of ESP detector are systematically investigated utilizing the results in Chapters 2,3 and 4.
- 2) The conclusions obtained are $E=300$ eV, $\theta=95^\circ$, $\Delta E \approx 13$ eV and $\Delta \theta \approx 10^\circ$.
- 3) The spin-polarization detector using mercury is compared to the conventional Mott detector to draw out the conclusion that the former type is more appropriate for wider application.

Chapter 8; Double Scattering Experiment

- 1) An apparatus for double scattering experiments is described, using the conclusion derived in Chapter 7.
- 2) The Pierce-type electron gun using LaB_6 single crystal cathode is designed and constructed for double scattering experiment.
- 3) It is proposed that an ESP detector of this type may be also used as a polarized electron source which provides a powerful method particularly in the region of surface physics.

APPENDIX 1. QUALITATIVE EXPLANATION OF THE DIFFRACTION EFFECT IN e-ATOM SCATTERING

One of the most important reason for the occurrence of the diffraction effect is that a wave length of low and intermediate energy electrons is comparable to the radius of an atom as has already been mentioned in Sec.1-1. Although the atomic radius of various elements is comparable with each other, the diffraction effect becomes clear and complex for heavier elements. Furthermore, the maximum impact energy for the diffraction effect to occur shifts to higher energies for heavier elements. For example they are 6 eV for H and 15 eV for He (Mott and Massey 1965), however, higher than 10 keV for Hg. The following consideration provides a qualitative explanation for these phenomena. The diffraction pattern appears if only several partial waves with considerable values of phase shifts contribute to scattering. For this, atomic potential should be narrow and deep enough, and attractive. This is because for attractive case, atomic potential can pull in considerable cycles of wave if the deepness of the potential is sufficient, which results in large phase shift. This is not the case for narrow and repulsive potential because the cycles that can be swept out of the potential region are very small, which results in small phase shift. If the potential is long range, a number of partial waves contribute to scattering, so diffraction phenomena can not be observed for both attractive and repulsive potentials.

The radial wave equation is written as

$$\frac{d^2 L}{dr^2} + \frac{2}{r} \frac{dL}{dr} + (K^2 - U(r) - \frac{l(l+1)}{r^2}) L = 0, \quad (A-1-1)$$

for non-relativistic case (cf. equation 2-32). It is seen that an effective potential consists of an attractive atomic potential and repulsive centrifugal potential. Putting $U(r) = -2 \frac{Ze^2}{r} \exp(-r/a_0)$ for simplicity, we obtain condition for atomic number,

$$Z > l(l+1)/a_0 \cdot e^2 \sim l(l+1), \quad (A1-2)$$

which is the condition that the atomic potential dominates the centrifugal potential in a certain radial regions. In equation (A1-2), the screening parameter a_0 is assumed to be the order of Bohr radius. It is seen from equation (A1-2) that the number of partial waves which undergo an atomic potential dominantly becomes larger as the Z -number increases. This means

that the diffraction pattern becomes complex for heavier element. Furthermore, a main part of an l -th partial wave exists around the principal region of the effective potential if

$$Ka_0 < 1, \quad (A1-3)$$

where K is a wave number of incident electron. From equation (A1-2) and (A1-3), the maximum impact energy where the diffraction effect occurs clearly is estimated as i.e. 13 eV for H and 1000 eV for Hg. Large discrepancy for mercury will be reduced if the depth of the atomic potential is taken into consideration.

APPENDIX 2. INCAPABILITY OF POLARIZING AND ANALYZING ELECTRON SPIN BY MACROSCOPIC METHOD

Let us consider at first producing polarized electrons by macroscopic field (a kind of Stern-Gerlach experiment).

Suppose that a beam of electrons travels along the z -axis with velocity v_z in an unhomogeneous magnetic field \mathbf{H} . It is assumed that H_z is everywhere zero, and that in the xz -plane H_y is also zero i.e. an actual shape of magnet has a same cross section with respect to xy -plane along z -axis and is symmetric with respect to xz -plane (see Fig.A-1). The force on the electron tending to split the beam is

$$e \frac{\partial H_x}{\partial x}. \quad (A2-1)$$

On the other hand, because of the finite breadth of the electron beam, electrons travelling at a distance Δy from the xz -plane will be subject to a force

$$e v_z H_y, \quad (A2-2)$$

in the x -direction. Considering that

$$\text{div}(\mathbf{H}) = 0, \quad (A2-3)$$

equation (A2-2) can be rewritten as

$$e v_z \frac{\partial H}{\partial x} \cdot \Delta y . \quad (\text{A2-4})$$

This force causes a spreading of the beam, so should be smaller than (A2-1), i.e.,

$$e v_z \frac{\partial H}{\partial x} \cdot \Delta y < e \frac{\partial H}{\partial x} \cdot \Delta x . \quad (\text{A2-5})$$

However, the uncertainty principle states, that

$$\Delta v_y \cdot \Delta y \sim 1 . \quad (\text{A2-6})$$

Inequality (A2-5) therefore leads to the inequality

$$\Delta v_y > v_z . \quad (\text{A2-7})$$

That is to say, the slit must be so narrow (of the order of the de Broglie wave length) that we have not got a beam at all, but a cylindrical wave emerging from it (Mott 1929).

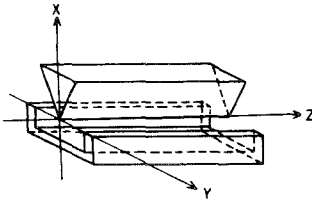


Fig. A-1. Schematic diagram of the magnet.

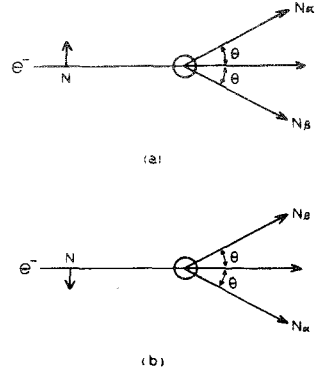


Fig. A-2. Scattering asymmetry for totally polarized incident electron beam.

The situation is similar for detecting electron spin. Suppose the electron was at distance R from the magnetometer, then the order of magnitude of the magnetic field due to the spin is

$$e/R^3 \quad . \quad (A2-8)$$

There may be a magnetic field due to the motion of the electron, the order of which is

$$e v/R^2 \quad , \quad (A2-9)$$

where v is the velocity of the electron. From the uncertainty principle

$$\Delta R \Delta v \sim 1 \quad , \quad (A2-10)$$

where ΔR and Δv are the uncertainties in our knowledge of R and v . Now in order that equation (A2-8), the effect of the spin, shall be observable, it must be greater than the uncertainty in equation (A2-9). That is to say,

$$e/R^3 > e \Delta v/R^2 \quad . \quad (A2-11)$$

Hence from equation (A2-10)

$$\Delta R > R \quad . \quad (A2-12)$$

Measurement will therefore be impossible (Mott 1929).

APPENDIX 3. RELATIVISTIC RUTHERFORD SCATTERING FORMULA

For Coulomb potential $-Ze^2/r$, the integral in equation (2-26) can be performed and results in

$$\begin{aligned} f_{Born} &= Ze^2 \{ H(\mathbf{k}_f) + W \} / (\mathbf{k}_i - \mathbf{k}_f)^2 \cdot u(\mathbf{k}_i) \\ &= Ze^2 / (4\mathbf{k}^2 \cdot \sin^2 \theta / 2) \{ H(\mathbf{k}_f) + W \} u(\mathbf{k}_i) \quad , \end{aligned} \quad (A3-1)$$

where $\phi_j(r') = e^{i\mathbf{k}_i \cdot \mathbf{r}'} u(\mathbf{k}_i)$ and $W + H(\mathbf{k}_f) = W - \alpha \mathbf{k}_f - \beta$. The operator $W + H(\mathbf{k}_f)$ gives 0 when operated to negative energy states and gives finite value when operated to positive energy states, which assures the final states being in positive energy states. Thus

$$d\sigma/d\Omega = |f_{Born}|^2 = \{ Ze^2 / (4K^2 \cdot \sin^2 \theta / 2) \}^2 2W u(\mathbf{k}_i)^+ \{ H(\mathbf{k}_f) + W \} u(\mathbf{k}_i) \quad . \quad (A3-2)$$

The linearly independent solution of free states can be written as

$$u_1(\mathbf{k}_i) = \sqrt{(W+1)/2W} \begin{Bmatrix} 1 \\ 0 \\ K_{iz}/(W+1) \\ (K_{ix} + iK_{iy})/(W+1) \end{Bmatrix}, \quad (\text{A3-3})$$

and

$$u_2(\mathbf{k}_i) = \sqrt{(W+1)/2W} \begin{Bmatrix} 0 \\ 1 \\ (K_{ix} - iK_{iy})/(W+1) \\ -K_{iz}/(W+1) \end{Bmatrix}, \quad (\text{A3-4})$$

which is proved by direct substitution. For the state expressed by equation (A3-3), equation (A3-2) gives

$$\begin{aligned} d\sigma/d\Omega &= \frac{Z^2 e^4}{4K^4 \sin^4 \theta/2} \cdot \frac{1}{2} \{ (W^2+1) + (\mathbf{k}_i, \mathbf{k}_f) \} \\ &= \frac{Z^2 e^4}{4K^4 \sin^4 \theta/2} (W^2 - K^2 \cdot \sin^2 \theta/2) \\ &= \frac{Z^2 e^4}{4v^4 \sin^4 \theta/2} (1 - v^2 \cdot \sin^2 \theta/2)(1 - v^2), \end{aligned} \quad (\text{A3-5})$$

where $v=K/W$. Equation (A3-5) is just equal to equation (2-27). The calculation for the state expressed by equation (A3-4) also results in the same equation as (A3-5), this means that Sherman function always equals to zero in Born approximation. So for the analysis of phenomena concerning spin polarization, the Born approximation is an ineffective approximation.

To investigate the origin of the second factor of equation (A3-5), we shall treat a spin 0 particle by Klein-Gordon equation, i.e.

$$\{ (W-V)^2 - (\mathbf{p}^2 + 1) \} \psi = 0. \quad (\text{A3-6})$$

A similar procedure adopted in Sec.2-2-1 gives the integral equation of (A3-6) as follows; The Lippmann-Schwinger equation of (A3-6) is written as

$$\psi = \phi + \frac{1}{W^2 - \mathbf{p}^2 - 1 + i\epsilon} (2WV - V^2) \psi. \quad (\text{A3-7})$$

Using equation (2-21),

$$\psi = \psi_0 - \frac{1}{4\pi} \frac{e^{iKr}}{r} \int e^{-i\mathbf{k}_f \cdot \mathbf{r}'} (2WV(\mathbf{r}') - V(\mathbf{r}')^2) \psi(\mathbf{r}') d\mathbf{r}', \quad (\text{A3-8})$$

the notation used here is same as that used in Sec.2-2-1.

Introducing first Born approximation, i.e. $\psi \rightarrow \psi_0$, $2WV + V^2 \rightarrow 2WV$ equation (A3-8) results in

$$\psi = \psi_0 - \frac{e^{iKr}}{r} \cdot Z e^2 W / (\mathbf{k}_i - \mathbf{k}_f)^2. \quad (\text{A3-9})$$

Thus

$$f_{KG} = -Z e^2 W / (2K^2 \cdot \sin^2 \theta / 2), \quad (\text{A3-10})$$

and

$$\begin{aligned} \left(\frac{d\sigma}{d\Omega} \right)_{KG} &= \frac{Z^2 e^4}{4K^4 \sin^4 (\theta/2)} W^2 \\ &= \frac{Z^2 e^4}{4v^4 \sin^4 (\theta/2)} (1-v^2). \end{aligned} \quad (\text{A3-11})$$

The comparison with equation (A3-5) or (2-27) shows that the factor $(1-v^2 \cdot \sin^2 \theta / 2)$ is dropped out in the case of spin 0 Klein-Gordon particle.

It may be concluded that this factor reflects the effect of electron spin (Nishijima, 1973).

APPENDIX 4. QUALITATIVE EXPLANATIONS OF THE TWO ROLES OF SHERMAN FUNCTION

Let scattering efficiencies be α and β for spin-up electrons scattered to left and right side respectively, then α and β are also the scattering efficiencies for spin-down electrons scattered to right and left side respectively (see Fig.A-2). Then for an initially unpolarized electron beam, the spin polarization P_L after scattering to left side may be expressed as

$$P_L = \frac{N\alpha - N\beta}{N\alpha + N\beta} = \frac{\alpha - \beta}{\alpha + \beta}. \quad (\text{A4-1})$$

On the other hand, the left-right asymmetry for a totally polarized incident electron beam (spin-up state) may be expressed as

$$N_R/N_L = \beta/\alpha = (1-P_L)/(1+P_L) . \quad (\text{A4-2})$$

From equation (3-7), it is seen that P_L plays also a role of Sherman function.

On the other hand, for example in the case of exchange scattering, P and S are not equal to each other. Putting direct and exchange scattering amplitude as $f(\theta)$ and $g(\theta)$, we have the cross section for an unpolarized electrons scattered by totally polarized atoms as follows (Kessler 1976);

Process	Cross Section	
$e_{\downarrow} + A_{\uparrow} \quad e_{\downarrow} + A_{\uparrow}$	$ f(\theta) ^2$	(A4-3)
$e_{\downarrow} + A_{\uparrow} \quad e_{\uparrow} + A_{\downarrow}$	$ g(\theta) ^2$	
$e_{\uparrow} + A_{\uparrow} \quad e_{\uparrow} + A_{\uparrow}$	$ f(\theta) - g(\theta) ^2$	

and

$e_{\uparrow} + A_{\downarrow} \quad e_{\uparrow} + A_{\downarrow}$	$ f(\theta) ^2$	(A4-4)
$e_{\uparrow} + A_{\downarrow} \quad e_{\downarrow} + A_{\uparrow}$	$ g(\theta) ^2$	
$e_{\downarrow} + A_{\downarrow} \quad e_{\downarrow} + A_{\downarrow}$	$ f(\theta) - g(\theta) ^2$	

for spin-up and spin-down atomic state respectively. Then the spin polarization P of initially unpolarized electrons scattered by totally polarized spin-up atoms is expressed as

$$P = \frac{|f(\theta) - g(\theta)|^2 + |g(\theta)|^2 - |f(\theta)|^2}{|f(\theta) - g(\theta)|^2 + |f(\theta)|^2 + |g(\theta)|^2} . \quad (\text{A4-5})$$

On the other hand, the scattering intensity of totally polarized spin-up and spin-down electrons scattered by totally polarized spin up atoms are $|f(\theta) - g(\theta)|^2$ and $|f(\theta)|^2 + |g(\theta)|^2$, respectively. So the value corresponding to the value P in equation (A3-5) may be expressed as

$$S = \frac{|f(\theta) - g(\theta)|^2 - |f(\theta)|^2 - |g(\theta)|^2}{|f(\theta) - g(\theta)|^2 + |f(\theta)|^2 + |g(\theta)|^2} . \quad (\text{A4-6})$$

It is worth noting that this is not a left-right asymmetry but a "spin-up-down" asymmetry (Kessler, 1976).

APPENDIX 5. VARIOUS CALCULATION PROCEDURES FOR THE ESTIMATION OF PHASE SHIFT

1) A direct integration of equation (2-28) by Runge-Kutta process was performed by Bunyan (1962). This method was usually used in the calculation of atomic wave function. (e.g. Mayer 1957)

2) Putting $g_K = a^{1/2} G_K / r$ and substituting in equation (2-32) one gets

$$d^2 G_l / dr^2 + [K^2 - l(l+1)/r^2 - U_l(r)] G_l = 0, \quad (A5-1)$$

where $-U_l = 2WV + V^2 + \frac{(l+1)}{r} \frac{a'}{a} - \frac{3}{4} \frac{a'^2}{a^2} + \frac{1}{2} \frac{a''}{a}$.

Equation (A5-1) shows that the difference from the non-relativistic equation is only the potential that should be adopted. This method is quite suitable if there has already existed a computer program for non-relativistic equation (Madison and Shelton 1973) or if one wants to compare the results between relativistic and non-relativistic calculations. (Meister and Weiss 1968).

3) Another method is the power expansion method developed by Bühring (1965). He divided the radial region into three and put

$$V^I(r) = \sum_{m=-1}^{\infty} v_m^I r^m \quad (A5-2-a)$$

$$V^M(r) = \sum_n v_n^M(y) (r-y)^n \quad (A5-2-b)$$

$$V^E(r) = v_{-1}^E r^{-1}, \quad (A5-2-c)$$

and

$$\left. \begin{matrix} f^I(r) \\ g^I(r) \end{matrix} \right\} = \alpha r^{-1+s} \left\{ \begin{matrix} \sum_{n=0} a_n^I r^n \\ \sum_{n=0} b_n^I r^n \end{matrix} \right. \quad (A5-3-a)$$

$$\left. \begin{matrix} f^M(r) \\ g^M(r) \end{matrix} \right\} = r^{-1} \left\{ \begin{matrix} \sum_{n=0} a_n^M (r-y)^n \\ \sum_{n=0} b_n^M (r-y)^n \end{matrix} \right. \quad (A5-3-b)$$

$$\left. \begin{matrix} f^E(r) \\ g^E(r) \end{matrix} \right\} \sim C e^{tr} r^s \left\{ \begin{matrix} \sum_{n=0} a_n r^{-n} \\ \sum_{n=0} b_n r^{-n} \end{matrix} \right., \quad (A5-3-c)$$

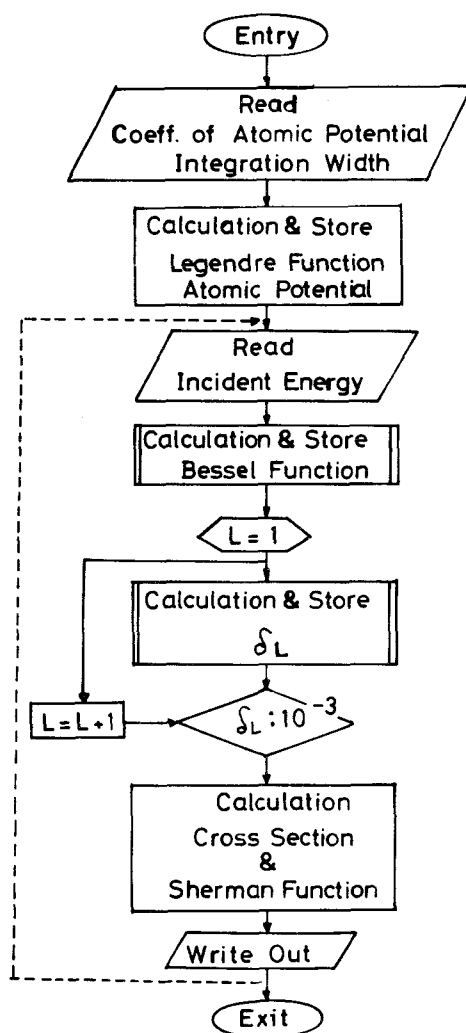
corresponding to the three regions. Substituting in equation (2-28), one obtains series of coefficients. This method provides one a method to escape from truncation errors.

4) Fourth method is the one developed by Lin et al. (1963) and used by Bunyan and Schonfelder (1965), and in the present calculation (see Sec. 2-4). As is shown, equation (2-51), is a non-linear equation, so it is very difficult to treat it in an analytical manner. However, this method separates the wave function into two parts i.e. amplitude and phase parts, in order to obtain a slowly changing variables. Furthermore, these equations are first order differential equations and for the evaluation of phase shift, it suffices to treat only the former. (see equation (2-51-a)).

It is expected that the fourth method provides a sufficient accuracy and simple calculation procedures for the calculation using high speed digital computer.

APPENDIX 6. COMPUTER PROGRAM LIST

a) Elastic scattering case



```

C      ASYMMETRY OF ELECTRON SCATTERING FROM MERCURY
0001 DIMENSION FLI(10,300),FLJ(10,300),P(9),YANDL(300,2),S(3),SHIG(3)
0002 COMMON/DRC/ZZ(10000),RR(10000),ZZ(15000),NN,M1,H2,M3,ERADI,NNN,NN
1NN
0003 COMMON,BES,FJ(300),FNEU(300),RK,KR
0004 READ(5,100)PA1,PA2,PA3,PB1,PB2,PB3,RADIUS,H
0005 100 FORMAT(6F8.4,F10.4,F8.4)
0006 WRITE(6,100)PA1,PA2,PA3,PB1,PB2,PB3,RADIUS,H
0007 DO 1100 N=1,300
0008   YANDL(N,1)=0.0
0009   YANDL(N,2)=0.0
0010   FJ(N)=0.0
0011   FNEU(N)=0.0
0012 1100 CONTINUE
0013   DO 10 NX=1,10
0014     NK=50+10*NK
0015     FNK=NK
0016     FNK=FNK*0.01745329252
0017     X=COS(FNK)
0018     P0=1.0
0019     P1=X
0020     FL10=0.0
0021     FL20=0.0
0022     FL11=X+1.0
0023     FL21=X-1.0
0024     FLI(NK,1)=FL10
0025     FLI(NK,2)=FL11
0026     FLJ(NK,1)=FL20
0027     FLJ(NK,2)=FL21
0028     DO 10 NY=3,300
0029       FNY=NY
0030       FLI(NK,NY)=((2.0-FNY-3.0)*X*FL11-(FNY-1.0)*FL10-(1.0-X)*P0)/(FNY-2.0)
0031       FLJ(NK,NY)=((2.0-FNY-3.0)*X*FL21-(FNY-1.0)*FL20-(1.0-X)*P0)/(FNY-2.0)
0032       P2=((2.0-FNY-3.0)*X*P1-(FNY-2.0)*P0)/(FNY-1.0)
0033       FL10=FL11
0034       FL11=FLI(NK,NY)
0035       FL20=FL21
0036       FL21=FLJ(NK,NY)
0037       P0=P1
0038       P1=P2
0039 10 CONTINUE
0040   DO 20 NY=3,10
0041     NY=NY-1
0042     FNY=NY
0043     FNY2=FNY*2.
0044     DO 30 NX=1,7
0045       NK1=NX
0046       P(NK1)=FLI(NK1,4Y)+FLJ(NK1,NY)/FNY2
0047 30 CONTINUE
0048   WRITE(6,200)NY1,(P(NX),NX=1,7)
0049 200 FORMAT(1H0,12,7E15.7)
0050 20 CONTINUE
C
C
C
C
C
C
0051 PR1=PB1*3.553022E-2
0052 PR2=PR2*3.553022E-2
0053 PR3=PR3*3.553022E-2
0054 PA1=PA1*58377491
0055 PA2=PA2*58377491
0056 PA3=PA3*58377491
0057 Z0=PA1*PA2*PA3
0058 Z1=PA1*PB1*PA2*PB2*PA3*PB3
0059 Z2=PA1*PB1*PB1*PA2*PB2*PB2*PA3*PB3*PB3
0060 Z3=PA1*PB1*PB1*3.0*PA2*PB2*3.0*PA3*PB3*3.0
0061 Z1=Z1
0062 Z2=Z2*0.5
0063 Z3=Z3*0.5
0064 RADIUS=RADIUS/10.0*H*0
0065 RADIUS=RADIUS/3.0*H*145E-11
0066 RAD=1.
0067 RAD1=5.
0068 RADIUS=Z0.
0069 ERADI=RAD*EXP(ERADI)
0070 FFA=ERADI/H*2.0*ERADI+1
0071 NN=INT(FFA)
0072 NN=NN/2*2+1
0073 H1=RADI/FLOAT(NN-1)

```

```

0074 DO 40 N=1,NN
0075   FF=FLOAT(N-1)*H1
0076   RR(N)=RAD*EXP(FF)
0077   ZZ(N)=PA1*EXP(-PB1*RR(N))+PA2*EXP(-PB2*RR(N))+PA3*EXP(-PB3*RR(N))
0078 40 CONTINUE
0079   H1=2.0*H1
0080   NN=NN+1
0081   DO 41 NN=1,10000
0082     RR(N)=0
0083     ZZ(N)=0
0084 41 CONTINUE
0085     FFNN=(RADIUS-ERADI)/H*2.0+1
0086     NN=INT(FFNN)
0087     NN=NN/2*2+1
0088     H2=(RADIUS-ERADI)/FLOAT(NN-1)
0089     H=H2
0090     DO 42 N=1,NNN
0091       FF=FLOAT(N-1)*H2*ERADI
0092       ZZ(N)=PA1*EXP(-PB1*FF)+PA2*EXP(-PB2*FF)+PA3*EXP(-PB3*FF)
0093 42 CONTINUE
0094     NN1=NNN+1
0095     DO 43 NN1=1,15000
0096       ZZ(N)=0
0097 43 CONTINUE
0098     FFNN=(RADIUS-RADIUS)/H*2.0+1
0099     NN=INT(FFNN)
0100     H3=(RADIUS-RADIUS)/FLOAT(NN-1)
0101     WRITE(6,940)H1,H2,H3,NN,NNN,NNN
0102 940 FORMAT(1H0,4E15.7,3I15)
0103     WRITE(6,9450)RR(N),N=1,1201,200
0104     WRITE(6,9450)ZZ(N),N=1,1201,200
0105     WRITE(6,9450)ZZ(N),N=1,1201,200
0106 9450 FORMAT(7E15.6)
0107     RADIUS1=PB1*RADIUS
0108     RADIUS2=PB2*RADIUS
0109     RADIUS3=PB3*RADIUS
0110     D0=PA1*EXP(-RADIUS1)+PA2*EXP(-RADIUS2)+PA3*EXP(-RADIUS3)
0111     D1=PA1*PB1*EXP(-RADIUS1)+PA2*PB2*EXP(-RADIUS2)+PA3*PB3*EXP(-RADIUS3)
0112     RADIUS=RADIUS-RADIUS
0113     D1=RADIUS*D1
0114     D2=PA1*PB1*PB1*EXP(-RADIUS1)+PA2*PB2*PB2*EXP(-RADIUS2)+PA3*PB3*PB3*EXP(-RADIUS3)
0115     D2=RADIUS*RADIUS*D2
0116     SGM=D2*5-D1*3.5-D0*6.
0117     FMUU=D2*D1*5-D0*8.
0118     FMUU=FMUU
0119     FMUU=D2*5-D1*2.5-D0*3.
0120     WRITE(6,9500)RADIUS,RADIUS,SGM,FMUU,FMUU
0121 9500 FORMAT(1H0,RADIUS=E10.3,8H RADIUS=E10.3,5H SGM=E10.3,6H FMUU=E10.3,6H FMUU=E10.3)
0122   READ(5,9000)NEN
0123 9000 FORMAT(1I0)
0124   NEN=N1
0125 9200 READ(5,9100)NENERG
0126 9100 FORMAT(1I0)
0127   WRITE(6,900) NENERG
0128 900 FORMAT(1H0,18H ***** ENERGY=E10.19H EV DESU *****
0129   ENERGY=FLOAT(NENERG)/511000.0+1.0
0130   FK=ENERGY*ENERGY-1.0
0131   FK=FK*0.5
0132   RK=RADIUS*FK
0133   CALL BESSEL
0134   WRITE(6,300) KR
0135 300 FORMAT(1H0,30H KEISANSARETA BESSEL HO JISU =,I4,5H DESU)
0136   WRITE(6,350)WK
0137 350 FORMAT(1H0,16H HASU = RADIUS =,E15.7,5H DESU)
0138   WRITE(6,360)(FJ(N),N=1,7)
0139 360 FORMAT(1H0,7E15.6)
0140   WRITE(6,370)(FNEU(N),N=1,7)
0141 370 FORMAT(1H0,7E15.6)
0142   L=0

```



```

0001 SUBROUTINE DIRAC(PHAI,FKK,L,ENERGY,PA1,PA2,PA3,PB1,PB2,PB3,RADIUS,
0002 1PHAIL,H1,SGM,FMUU,FNUU,RAD1,RADIU,RADIUU)
COMMON/DRC,ZZ(10000),RR(10000),ZZZ(15000),NN,H1,H2,H3,ERAD1,NNN,NN
1NN
0003 Y1=2,PHAI
0004 NN1=NN-2
0005 DO 3100 N=1,NN1,2
0006 N1=N+1
0007 N2=N+2
0008 RK1=FKK*SIN(Y1)+ZZ(N)*RR(N)*(ENERGY-COS(Y1))
0009 RK1=H1+RK1
0010 RK2=FKK*SIN(Y1+RK1)+ZZ(N1)*RR(N1)*(ENERGY-COS(Y1+RK1))
0011 RK2=H1+RK2
0012 RK3=FKK*SIN(Y1+RK2)+ZZ(N1)*RR(N1)*(ENERGY-COS(Y1+RK2))
0013 RK3=H1+RK3
0014 RK4=FKK*SIN(Y1+2,0RK3)+ZZ(N2)*RR(N2)*(ENERGY-COS(Y1+2,0RK3))
0015 RK4=H1+RK4
0016 Y1=Y1+(RK1+2,0(RK2+RK3)+RK4)/3,
0017 3100 CONTINUE
0018 NNN1=NNN-2
0019 R1=ERAD1
0020 R2=R1+H2
0021 R3=R2+H2
0022 DO 3200 N=1,NNN1,2
0023 N1=N+1
0024 N2=N+2
0025 RK1=(FKK*SIN(Y1)+ZZZ(N1))/R1+ENERGY-COS(Y1)
0026 RK1=HARK1
0027 RK2=(FKK*SIN(Y1+RK1)+ZZZ(N1))/R2+ENERGY-COS(Y1+RK1)
0028 RK2=HARK2
0029 RK3=(FKK*SIN(Y1+RK2)+ZZZ(N1))/R2+ENERGY-COS(Y1+RK2)
0030 RK3=HARK3
0031 RK4=(FKK*SIN(Y1+2,0RK3)+ZZZ(N2))/R3+ENERGY-COS(Y1+2,0RK3)
0032 RK4=HARK4
0033 Y1=Y1+(RK1+2,0(RK2+RK3)+RK4)/3,
0034 R1=R1+H
0035 R2=R2+H
0036 R3=R3+H
0037 3200 CONTINUE
0038 NNNN1=NNNN-1
0039 R2=R1+H3,5
0040 R3=R1+H3
0041 DO 3300 N=1,NNNN1
0042 RRA1=R1-RADIUS
0043 RRA2=R2-RADIUS
0044 RRA3=R3-RADIUS
0045 RRA1=RRA1/RADIUU
0046 RRA2=RRA2/RADIUU
0047 RRA3=RRA3/RADIUU
0048 Z1=RRA1+RRA1*(SGM+RRA1)*(FMUU+RRA1)*FNUU)
0049 Z2=RRA2+RRA2*(SGM+RRA2)*(FMUU+RRA2)*FNUU)
0050 Z3=RRA3+RRA3*(SGM+RRA3)*(FMUU+RRA3)*FNUU)
0051 RK1=(FKK*SIN(Y1)+Z1)/R1+ENERGY-COS(Y1)
0052 RK1=H3+RK1
0053 RK2=(FKK*SIN(Y1+RK1)+Z2)/R2+ENERGY-COS(Y1+RK1)
0054 RK2=H3+RK2
0055 RK3=(FKK*SIN(Y1+RK2)+Z2)/R2+ENERGY-COS(Y1+RK2)
0056 RK3=H3+RK3
0057 RK4=(FKK*SIN(Y1+2,0RK3)+Z3)/R3+ENERGY-COS(Y1+2,0RK3)
0058 RK4=H3+RK4
0059 Y1=Y1+(RK1+2,0(RK2+RK3)+RK4)/3,
0060 R1=R1+H3
0061 R2=R2+H3
0062 R3=R3+H3
0063 3300 CONTINUE
0064 PHAIL=Y1/2,
0065 RETURN
0066 END

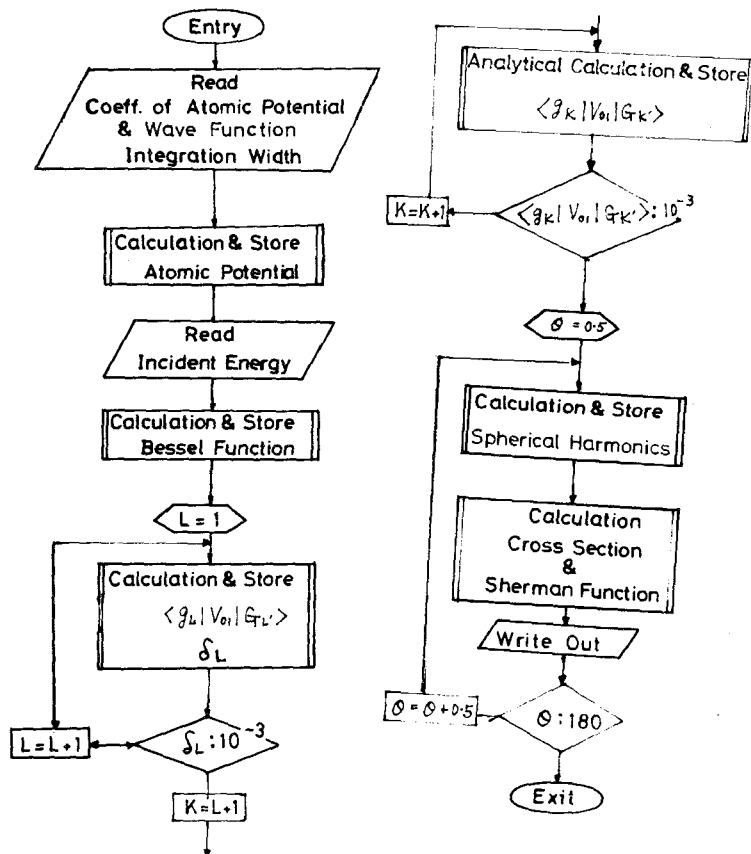
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5001 SUBROUTINE BESSEL
5002 COMMON/BES,FJ(300),FNEU(300),RK,KR
5003 FJ0=SIN(RK)/RK
5004 FJ1=(SIN(RK1)/RK-COS(RK1))/RK
5005 FNEU0=-COS(RK1)/RK
5006 FNEU1=(COS(RK1)/RK+SIN(RK1))/RK
5007 FJ(1)=FJ0
5008 FJ(2)=FJ1
5009 FNEU(1)=FNEU0
5010 FNEU(2)=FNEU1
5011 KR=INT(RK)+50
5012 KR=MIN0(KR,300)
5013 KR2=KR-1
5014 DO 2100 K=1,3,KR2
5015 FKRI=KR1
5016 FJ(KR1)=-FJ0+(2,0FKRI-3,0)*FJ1/RK
5017 FNEU(KR1)=-FNEU0+(2,0FKRI-3,0)*FNEU1/RK
5018 FJ0=FJ1
5019 FJ1=FJ(KR1)
5020 FNEU0=FNEU1
5021 FNEU1=FNEU(KR1)
5022 2100 CONTINUE
5023 DO 2200 K=1,KR,300
5024 FJ(KR1)=0,0
5025 FNEU(KR1)=0,0
5026 2200 CONTINUE
5027 RETURN
5028 END

```

b) Inelastic scattering case



```

INELASTIC SCATTERING CROSS SECTION FOR MERCURY
00001 DIMENSION GRAPH (121)
00002 DIMENSION ABTAN (2,2)
00003 DIMENSION FUNC(2,2,2)
00004 COMMON/SCDL/SXND(300,2,2),COSDL(300,2,2),SCSQ(300,2,2)
00005 COMMON/RADAL/RADIUS(6),RADIAL(10000)
00006 COMMON/FKF/FK(2)
00007 COMMON/HABA/HABA(4)
00008 COMMON/KKAISU/KAISU(4)
00009 COMMON/WAVPOT/POTE(2,3),WAVEFU(3,2,2)
00010 COMMON/VVPOT/VPOT(10000,2),VPOTEI(10000)
00011 COMMON/AASSIC/AASSIC(2000,3)
00012 COMMON/BES/FJ(300,2),FNEU(300,2),RK(2),KR(2)
00013 COMMON/ZZB/ZBE(4,2)
00014 COMMON/PPHAI/PHAI(2,4,2),AMPL(2,4,2)
00015 COMMON/PPHAI0/PHAI0(2,2),AMPO(2,2)
00016 COMMON/MATRIX/FMATRIX(2000,2,2,2)
00017 COMMON/LIL/LI(2,2)/ENERG/ENERGY(2)
00018 COMMON/PPHAI1/AMPI(2,2,10000)
00019 COMMON/TANDEL/TANDL(300,2,2)
00020 COMMON/AAAAMP/AMP(2000,2,3,2),BBMP(300,2,3,2),ABMP(300,2,3,2)
00021 1,BAMP(300,2,3,2)
00022 COMMON/SIG/SIGMA(4),SHERM(4,2)
00023 COMMON/FFSC/FSC(2,3,2)
00024 DIMENSION NI(360)
00025 DIMENSION SIGMA(360,6)
00026 DIMENSION SHERM(360,4)
00027 DIMENSION FRE(2),FIM(2),GRE(2),GIM(2)
C ABTAN(I,J) I,L OR -L-1,,J,ELASTIC OR INELASTIC
C WAVEFU(I,J,K) I,STATE,,J,ATAMA OR KATA NOKEISU
C VPOTEI(I,J) J,ELASTIC OR INELASTIC
C KR=RADIUS*(WAVE NUMBER),KR=PHYSIE NUMBER
C VOTENTIAL NO TENKAKEISU
C PHAI(I,J,K) I,L OR -L-1,,J,KEISU,,K,,ELASTIC OR INELASTIC
C FMATRIX(I,J,K,M) I,L,,J,L OR -L-1 OF ELASTIC,,K,PLUS OR MINUS SIGNS OF
C INELASTIC,,M, ABSOLUTE MAGNITUDE OF L OF INELASTIC
C PHAI(I,J,K),AMPI(I,J,K) KIKU SARETA INELASTIC NO WAVE FUNCTION 1,
C -1,,J,NVE OR OLD ONE
C TANDL(I,J,K) JAL OR -L-1,,K,ELASTIC OR INELASTIC
C AMP(I,J,K,L) J,(L,L+1) OR (L,L-1) ,,K MAGNETIC UANTUM NUMBER,,L,R
C MAGINARY PART
C
00027 NPN=2000
00028 NPP=300
00029 NSEK=10000
00030 READ(5,100)RADIUS
00031 100 FORMAT(6F10.2)
00032 READ(5,200)HABA(2)
00033 200 FORMAT(F10.5)
00034 READ(5,300)((POTE(I,J),I=1,2),J=1,3)
00035 300 FORMAT(3E15.5)
00036 READ(5,400)((WAVEFU(I,J,K),J=1,2),K=1,2),I=1,3)
00037 400 FORMAT(4E15.5)
00038 READ(5,701)IP,DEL
00039 701 FORMAT(110,E10.1)
00040 READ(5,500)NEN
00041 500 FORMAT(110)
00042 WRITE(6,102)
00043 102 FORMAT(//////////38H ***** YOMIKOMI DATA NOHAJIMARI *****)
00044 WRITE(6,702)IP,DEL
00045 702 FORMAT(1H0,13H PHASE LIMIT=,110,19H PHASE SHIFT LIMIT=,E10.1)
00046 WRITE(6,101)RADIUS
00047 101 FORMAT(1H0,8H RADIUS =,6F10.2)
00048 WRITE(6,201)HABA(2)
00049 201 FORMAT(1H,17H SEKIBUN HABA(2)=,F10.5)
00050 WRITE(6,301)
00051 301 FORMAT(1H0,33H YOMIKIMARETA POTENTIAL NO KEISU=)
00052 WRITE(6,300)POTE
00053 WRITE(6,401)
00054 401 FORMAT(1H0,36H YOMIKOKARETA 6S 6P NO WAVE FUNCTION)
00055 WRITE(6,400)WAVEFU
00056 CALL POTENT(NSEK)
00057 WRITE(6,610)((VPOTE(I,J),I=100,NSEK,1000),J=1,2)
00058 WRITE(6,610)(VPOTEI(I),I=100,NSEK,1000)
00059 610 FORMAT(1H,10X,E10.3)
00060 CALL DIRACI
00061 WRITE(6,650)
00062 650 FORMAT(//////////27H POTENTIAL*R=Z(R) NO TENKA1)
00063 WRITE(6,700)((ZBE(I,J),I=1,4),J=1,2)
00064 700 FORMAT(4E15.5)

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00065 DO 600 I=1,NEN
00066 READ(5,5100)ENERG
00067 5100 FORMAT(F10.3)
00068 DO 50 M=1,2
00069 DO 50 J=1,2
00070 DO 50 K=1,NSEK
00071 AMPI(M,J,K)=0.
00072 50 CONTINUE
00073 DO 61 J=1,2
00074 DO 61 K=1,4
00075 DO 61 M=1,2
00076 AMPI(J,K,M)=0.
00077 PHAI(J,K,M)=0.
00078 61 CONTINUE
00079 DO 60 M=1,3
00080 DO 60 J=1,NPN
00081 ASSLEG(J,M)=0.
00082 60 CONTINUE
00083 DO 810 J=1,2
00084 DO 810 J=1,2
00085 AMPO(J,JJ)=0.
00086 810 CONTINUE
00087 FFF=0.
00088 ENRGY(1)=ENERG
00089 ENRGY(2)=ENERG-6.703
00090 WRITE(6,800)ENERG
00091 800 FORMAT(1H1,//////////20X,21H ***** NYUSHA ENRGY=,F10.1,6H *****)
00092 DO 5150 J=1,NPP
00093 DO 5150 JJ=1,2
00094 DO 5150 JJ=1,2
00095 TANDL(J,JJ,JJJ)=0.
00096 SINDL(J,JJ,JJJ)=0.
00097 COSDL(J,JJ,JJJ)=1.
00098 SCSQ(J,JJ,JJJ)=1.
00099 5150 CONTINUE
00100 DO 5200 J=1,2
00101 ENRGY(J)=ENERG(J)/511008.41.
00102 FK(J)=(ENERG(J)*ENERG(J)-1.)*.5
00103 AK(J)=FK(J)*RADIUS(5)
00104 5200 CONTINUE
00105 CALL BESSEL(NPP)
00106 WRITE(6,900)RK
00107 900 FORMAT(//13H HASU*RADIUS=2E15.5)
00108 950 FORMAT(30H KEISANSARETA BESSEL NO JISU=,2115)
00109 WRITE(6,950)KR
00110 WRITE(6,1000)((FJ(II,JJ),I=1,5),JJ=1,2)
00111 WRITE(6,1000)((FNEU(II,JJ),II=1,5),JJ=1,2)
00112 1000 FORMAT(/5E15.5)
00113 DO 5250 LL=1,NPN
00114 DO 5250 M=1,2
00115 DO 5250 J=1,2
00116 DO 5250 K=1,2
00117 FMATRIX(LL,M,J,K)=0.
00118 5250 CONTINUE
00119 READ(5,703)KLUNG,KTAE,KANKAK
00120 703 FORMAT(31I)
00121 IF(KLUNG.EQ.1)GO TO 704
00122 LL=1
00123 5300 CONTINUE
00124 CALL DTRAC2(LL,FFF)
00125 WRITE(6,1200)LL,L
00126 WRITE(6,1210)
00127 WRITE(6,6300)
00128 6300 FORMAT(2X,2H 0,13X,2H 1,11X,2H 2,11X,2H 3,19X,2H 0,11X,2H 1,11X,2
00129 1210 FORMAT(//16X,9(2H *)7X,2H L,7X,9(2H *)9X,9(2H *)5X,5H -L-1,4X,
00130 19(2H *))
00131 DO 1150 II=1,2
00132 IF(11.EQ.2)GO TO 6000
00133 WRITE(6,1100)((PHAI(JJ,KK,II),KK=1,4),JJ=1,2)
00134 6100 CONTINUE
00135 WRITE(6,1160)((AMPL(JJ,KK,II),KK=1,4),JJ=1,2)
00136 GO TO 6200
00137 6000 CONTINUE
00138 WRITE(6,1110)((PHAI(JJ,KK,II),KK=1,4),JJ=1,2)
00139 GO TO 6100
00140 1150 CONTINUE
00141 1100 FORMAT(1H0,15H ELASTIC PHAI=,4E13.4,8X,4E13.4)
00142 1110 FORMAT(1H,15H INELASTIC PHAI=,4E13.4,8X,4E13.4)

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00143 1160 FORMAT(10X,6H AMPL=,4E13.4,8X,4E13.4)
00144 WRITE(6,8000)
00145 8000 FORMAT(//5X,2X,9(2H *),4X,6H PHAIO,5X,10(2H *),10X,11(2H *),6X,
15H AMPO,5X,12(2H *)
WRITE(6,8100)
00146 8100 FORMAT(14X,8H ELASTIC,20X,10H INELASTIC,30X,8H ELASTIC,20X,10H INE
ILASTIC)
00147 WRITE(6,8200)
00148 8200 FORMAT(2(8X,2H L,12X,5H -L-1,3X),10X,2(8X,2H L,12X,5H -L-1,3X))
00149 ARITE(6,8300)
00150 8300 FORMAT(5H R=,1)
00151 WRITE(6,1220)((PHAIO(K,J),K=1,2),J=1,2),((AMPO(K,J),K=1,2),J=1,2)
00152 1220 FORMAT(4E15.5,10X,4E15.5)
00153 1200 FORMAT(1H1,////15(2H _),5X,4H LL=10,20X,4T6,5X,15(2H _))
00154 CALL LUNGE(LL,NPN,NSEK)
00155 LL2=LL+2
00156 LL1=LL+1
00157 JJJ=1
00158 KKK=1
00159 IF(LL)5450,5320,5310
00160 5450 CONTINUE
00161 JJJ=2
00162 KKK=2
00163 GO TO 5310
00164 5320 CONTINUE
00165 JJJ=2
00166 J=1
00167 K=2
00168 TANU=FK(J)*FJ(LL2,J)-FJ(LL1,J)*((ENERGY(J)+1.)*TAN(PHAIO(K,J))+
I(1.+FLOAT(L(1,J)+L(K,J))/RADIUS(5))
00169 TANL=FK(J)*FNEU(LL2,J)-FNEU(LL1,J)*((ENERGY(J)+1.)*TAN(PHAIO(K,J))
+I(1.+FLOAT(L(1,J)+L(K,J))/RADIUS(5))
00170 TANL(LL1,K,J)=TANU/TANL
00171 SINDL(LL1,K,J)=SINDL(LL1,K,J)/(FJ(LL2,J)*FNEU(LL1,J)-FJ(LL1,J)
I*FNEU(LL2,J))/FK(J)
00172 COSDL(LL1,K,J)=TANL*EXP(AMPO(K,J))*COS(PHAIO(K,J))/RADIUS(5)
00173 COSDL(LL1,K,J)*COSDL(LL1,K,J)/(FJ(LL2,J)*FNEU(LL1,J)-FJ(LL1,J)
I*FNEU(LL2,J))/FK(J)
00174 SINDL(LL1,K,J)=SINDL(LL1,K,J)
00175 COSDL(LL1,K,J)=COSDL(LL1,K,J)
00176 SCSQ(LL1,K,J)=(SINDL(LL1,K,J)**2 +COSDL(LL1,K,J)**2)**.5
00177 IF(SCSQ(LL1,K,J).EQ.0)SCSQ(LL1,K,J)=1.
00178 COSDL(LL1,K,J)=COSDL(LL1,K,J)/SCSQ(LL1,K,J)
00179 SINDL(LL1,K,J)=SINDL(LL1,K,J)/SCSQ(LL1,K,J)
00180 GO TO 5310
00181 5310 CONTINUE
00182 DO 5500 J=JJJ,2
00183 IF(J.EQ.2)GO TO 5410
00184 GO TO 5420
00185 5410 CONTINUE
00186 LL2=LL+2
00187 LL1=LL+1
00188 5420 CONTINUE
00189 DO 5400 K=KKK,2
00190 TANU=FK(J)*FJ(LL2,J)-FJ(LL1,J)*((ENERGY(J)+1.)*TAN(PHAIO(K,J))+
I(1.+FLOAT(L(1,J)+L(K,J))/RADIUS(5))
00191 TANL=FK(J)*FNEU(LL2,J)-FNEU(LL1,J)*((ENERGY(J)+1.)*TAN(PHAIO(K,J))
+I(1.+FLOAT(L(1,J)+L(K,J))/RADIUS(5))
00192 TANL(LL1,K,J)=TANU/TANL
00193 SINDL(LL1,K,J)=TANU*EXP(AMPO(K,J))*COS(PHAIO(K,J))/RADIUS(5)
00194 SINDL(LL1,K,J)=SINDL(LL1,K,J)/(FJ(LL2,J)*FNEU(LL1,J)-FJ(LL1,J)
I*FNEU(LL2,J))/FK(J)
00195 COSDL(LL1,K,J)=TANL*EXP(AMPO(K,J))*COS(PHAIO(K,J))/RADIUS(5)
00196 COSDL(LL1,K,J)=COSDL(LL1,K,J)/(FJ(LL2,J)*FNEU(LL1,J)-FJ(LL1,J)
I*FNEU(LL2,J))/FK(J)
00197 SINDL(LL1,K,J)=SINDL(LL1,K,J)
00198 COSDL(LL1,K,J)=COSDL(LL1,K,J)
00199 SCSQ(LL1,K,J)=(SINDL(LL1,K,J)**2 +COSDL(LL1,K,J)**2)**.5
00200 IF(SCSQ(LL1,K,J).EQ.0)SCSQ(LL1,K,J)=1.
00201 SINDL(LL1,K,J)=SINDL(LL1,K,J)/SCSQ(LL1,K,J)
00202 COSDL(LL1,K,J)=COSDL(LL1,K,J)/SCSQ(LL1,K,J)
00203 5400 CONTINUE
00204 5500 CONTINUE
00205 DO 5600 J=1,2
00206 LL1=LL+1
00207 LL2=LL+2
00208 IF(J.EQ.2)GO TO 5610
00209 GO TO 5620
00210 5610 CONTINUE
00211 LL1=LL2
00212
00213
00214 LL2=LL+1
00215 5620 CONTINUE
00216 DO 5600 K=1,2
00217 APTAN(K,J)=ABS(TANL(LL1,K,J))
00218 5600 CONTINUE
00219 AMTAN=1.
00220 IF(LL.LT.0)GO TO 7002
00221 AMTAN=AMAX1(APTAN(1,1),APTAN(1,2),APTAN(2,1),APTAN(2,2))
00222 WRITE(6,5376)
00223 WHITE(6,5375)
00224 5376 FORMAT(1H0)
00225 5375 FORMAT(15X,8H (L,L+1),6X,11H (-L-1,L+1),4X,9H (L,-L-2),4X,12H (-L-
11,-L-2), 9X,8H (L,L-1),5X,11H (-L-1,L-1),7X,7H (L,-L),8X,10H (-L-1
2,-L))
00226 5370(((FMATRX(LL+1,K,M,N),K=1,2),M=1,2),N=1,2)
00227 5370 FORMAT(1H ,8H FMATRX=,4E15.5,5X,4E15.5)
00228 LL1=LL+1
00229 LL2=LL+2
00230 WRITE(6,5381)(SINDL(LL1,K,1),K=1,2),(SINDL(LL2,K,2),K=1,2)
00231 WRITE(6,5382)(COSDL(LL1,K,1),K=1,2),(COSDL(LL2,K,2),K=1,2)
00232 WRITE(6,5380)(SCSQ(LL1,K,1),K=1,2),(SCSQ(LL2,K,2),K=1,2)
00233 5380 FORMAT(1H0,8H TANDL= ,10H (ELASTIC),2E15.5,12H (INELASTIC),2E15.5)
00234 5381 FORMAT(1H0,8H SINDL= ,10H (ELASTIC),2E15.5,12H (INELASTIC),2E15.5)
00235 5382 FPMAT(1H0,8H COSDL= ,10H (ELASTIC),2E15.5,12H (INELASTIC),2E15.5)
00236 5386 FORMAT(1H0,8H SCSQ= ,10H (ELASTIC),2E15.5,12H (INELASTIC),2E15.5)
00237 GO TO 7003
00238 7002 CONTINUE
00239 WRITE(6,7004)(SINDL(LL+2,K,2),K=1,2)
00240 WRITE(6,7005)(COSDL(LL+2,K,2),K=1,2)
00241 WRITE(6,7006)(TANDL(LL+2,K,2),K=1,2)
00242 WRITE(6,7007)(SCSQ(LL+2,K,2),K=1,2)
00243 7004 FORMAT(1H0,8H SINDL= ,12H (INELASTIC),2E15.5)
00244 7005 FORMAT(1H0,8H COSDL= ,12H (INELASTIC),2E15.5)
00245 7006 FORMAT(1H0,8H TANDL= ,12H (INELASTIC),2E15.5)
00246 7007 FORMAT(1H0,8H SCSQ= ,12H (INELASTIC),2E15.5)
00247 7003 CONTINUE
00248 IF(LL.LT.0)GO TO 7001
00249 LL1=LL+1
00250 DO 5383 J=1,2
00251 IF(J.EQ.2)LL1=LL+2
00252 DO 5383 K=1,2
00253 FUNC(K,J,1)=EXP(AMPO(K,J))*COS(PHAIO(K,J))/RADIUS(5)/SCSQ(LL1,K,J
1)
00254 FUNC(K,J,2)=FJ(LL1,J)*COSDL(LL1,K,J)-FNEU(LL1,J)*SINDL(LL1,K,
1,J)
00255 5383 CONTINUE
00256 WRITE(6,5385)
00257 5385 FORMAT(1H0,32H WAVE FUNCTION NO TSUNAGARI GAU1)
00258 WRITE(6,5384)((FUNC(K,J,JJ),JJJ=1,2),K=1,2,J=1,2)
00259 5384 FORMAT(/,8E15.5)
00260 7001 CONTINUE
00261 IF(LL.GE.IP)GO TO 7000
00262 LL=LL+1
00263 IF(AMTAN.GE.DEL)GO TO 5300
00264 GO TO 7100
00265 7000 CONTINUE
00266 LL=LL+1
00267 WRITE(6,7200)
00268 7200 FORMAT(////35H ***** CAUTION ***** PHAISE IS OVER)
00269 7100 CONTINUE
00270 WRITE(6,7300)
00271 7300 FORMAT(25(2H *),19H PHAISE SHIFT OWARI,25(2H *))
00272 DO 5000 J=1,LL
00273 NN=2
00274 IF(J.EQ.1)NN=1
00275 DO 5000 N=1,NN
00276 J1=J+1
00277 IF(J.EQ.1)J1=J-1
00278 DO 5000 M=1,2
00279 DO 5000 K=1,2
00280 FMATRX(J,K,M,N)=FMATRX(J,K,M,N)/SCSQ(J,K,1)/SCSQ(J1,M,2)
00281 5000 CONTINUE
00282 WRITE(6,5371)RADIUS(5)
00283 5371 FORMAT(1H1,////10X,1H R=,F10.1,10X,25H DE NORMALIZE SARETA ATAI)
00284 WHITE(6,5375)
00285 WHITE(6,5373)
00286 WHITE(6,5372)(J,(((FMATRX(J,K,M,N)K=1,2),M=1,2),N=1,2),J=1,LL)
00287 5373 FORMAT(1H ,8H FMATRX=)
00288 5372 FORMAT(1H ,1M,4E15.5,5X,4E15.5)
00289 IF(KATE.EQ.1)GO TO 707
00290 IK=1
00291

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00370 GIM(JJ)=GIM(JJ)+2.*(AL+1)*AL/(2.*AL+1.))**5*(SINH(J,1,IJJ)**2+2.
00371 1INDL(J,2,JJ)**2)*ASSLEG(J,2)
00372 4000 CONTINUE
00373 SIGMAA(K,JJ+4)=FRE(JJ)**2+FIM(JJ)**2+GRE(JJ)**2+GIM(JJ)**2
00374 SHERMM(K,JJ+1)=2.*(FRE(JJ)*GIM(JJ)-FIM(JJ)*GRE(JJ))/SIGMAA(K,JJ+4)
00375 SIGMAA(K,JJ+4)=SIGMAA(K,JJ+4)*3.141592654/FK(JJ)**2
00376 SIGMAA(K,JJ+4)=SIGMAA(K,JJ+4)*5.3249E-05
00377 4100 CONTINUE
00378 WRITE(6,900)AK,(((FSC(11,J,KK),11=1,2),KK=1,2),J=1,3)
00379 9100 FORMAT(1H,F10,1,3(2E10,2X,2E10,2))
00380 9200 CONTINUE
00381 WRITE(6,4450)
00382 4450 FORMAT(1H1)
00383 WRITE(6,4400)
00384 4400 FORMAT(2X,5H KAKU,3X,18(2H *),6H SIGMA,17(2H *),5X,8(2H *),7H SHER
00385 IMA,7(2H *))
00386 ALA=9.7266E-03E-3*FLOAT(KANKAK)
00387 SIGT0=0.
00388 SIGT02=0.
00389 SIGT03=0.
00390 SIGT04=0.
00391 DO 4300 K=KANKAK,360,KANKAK
00392 AK=FLOAT(K)*.5
00393 AK=AK*.1,745329252E-02
00394 AK=SIN(AK)
00395 KIK=MOD(K,10)
00396 IF(KIK.EQ.1)GO TO 4401
00397 GO TO 4402
00398 4401 CONTINUE
00399 WRITE(6,4403)
00400 4403 FORMAT(1H0,5(2H -))
00401 4402 CONTINUE
00402 WRITE(6,4200)AK,(SIGMAA(K,J),J=1,6),(SHERMM(K,J),J=1,4)
00403 4200 FORMAT(F6,1,6E12,4,5X,4E12,4)
00404 SIGT01=SIGT01+SIGMAA(K,1)*AK1
00405 SIGT02=SIGT02+SIGMAA(K,2)*AK1
00406 SIGT03=SIGT03+SIGMAA(K,3)*AK1
00407 4300 CONTINUE
00408 SIGT01=SIGT01+ALAK*6.283185307
00409 SIGT02=SIGT02+ALAK*6.283185307
00410 SIGT03=SIGT03+ALAK*6.283185307
00411 SIGT04=SIGT01+SIGT02+SIGT03
00412 4404 FORMAT(1H0,20H TOTAL CROSS SECTION,4E20,3)
00413 WRITE(6,4404)SIGT01,SIGT02,SIGT03,SIGT04
00414 DATA BLANK,SIG,H11,KYO,ZERO/1H,1H,1H*,1H0,1H1/
00415 WRITE(6,4450)
00416 DO 4410 K=10,180,2
00417 K1=2*K
00418 SIG1=SIGMAA(K,5)/(SIGMAA(360,5)*20.
00419 NSIG1=INT(SIG1)
00420 TF(NSIG1,GT)120)NSIG1=120
00421 H12=SIGMAA(K1,4)/SIGMAA(360,4)*20.
00422 NH12=INT(SHERMM(K1,4)*25.*101.)
00423 NH11=INT(H12)
00424 TF(NH11,GT,120)NH11=120
00425 NSH1=INT(SHERMM(K1,2)*25.*101.)
00426 NSH1=INT(SHERMM(K1,2)*25.*101.)
00427 DO 4411 J=1,121
00428 GRAPH(J)=BLANK
00429 4411 CONTINUE
00430 GRAPH(1)=ZERO
00431 GRAPH(81)=KYO
00432 GRAPH(101)=ZERO
00433 WRITE(6,4412)K,GRAPH,K
00434 4412 CONTINUE
00435 GRAPH(NSIG1)=SIG
00436 GRAPH(NH11)=H11
00437 GRAPH(NH12)=KYO
00438 WRITE(6,4413)GRAPH
00439 GRAPH(NSH1)=SIG
00440 GRAPH(NSH2)=H11
00441 WRITE(6,4413)GRAPH
00442 4413 FORMAT(1H*,6X,121A1)
00443 4412 FORMAT(1H,14,2X,121A1,14)
00444 4410 CONTINUE
00445 600 CONTINUE
00446 STOP
00447 END

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00445 SUBROUTINE SPHERI(K,LL,NPN)
00446 COMMON/AAASLG/ASSLEG(2000,3)
00447 DIMENSION F(3,2)
00448 FK=FLOAT(K)*.01745329252*.5
00449 CFK=COS(FK)
00450 SPK=SIN(FK)
00451 ASSLEG(1,1)=.0.2820947918
00452 ASSLEG(2,1)=.0.4886025119*CFK
00453 ASSLEG(2,2)=.0.3454941495*SPK
00454 ASSLEG(3,1)=.0.3153915653*(3.*CFK*CFK-1.)
00455 F(1,1)=ASSLEG(3,1)
00456 ASSLEG(3,2)=.0.772548404*SPK*CFK
00457 F(2,1)=ASSLEG(3,2)
00458 ASSLEG(3,3)=.0.386274202*SPK*SPK
00459 F(3,1)=ASSLEG(3,3)
00460 ASSLEG(4,1)=.0.3731763326*CFK*(5.*CFK*CFK-3.)
00461 F(1,2)=ASSLEG(4,1)
00462 ASSLEG(4,2)=.0.3231801841*SPK*(5.*CFK*CFK-1.)
00463 F(2,2)=ASSLEG(4,2)
00464 ASSLEG(4,3)=.0.1021985476*CFK*SPK*SPK
00465 F(3,2)=ASSLEG(4,3)
00466 DO 200 I=5,LL+2
00467 FI=FLOAT(I)-2.
00468 DO 300 J=1,3
00469 FJ=(FLOAT(J)-1.)
00470 ASSLEG(I,J)=((2.*FI+1.)*(2.*FI+3.)/(FI+FJ+1.)/(FI-FJ+1.))*5*CFK
00471 IK=(J,2)-((2.*FI+3.)*(FI+FJ)*(FI-FJ)/(2.*FI-1.)/(FI+FJ+1.)/(FI-FJ-1.))*0.5*F(J,1)
00471 300 CONTINUE
00472 DO 200 J=1,3
00473 F(J,1)=F(J,2)
00474 F(J,2)=ASSLEG(I,J)
00475 200 CONTINUE
00476 RETURN
00477 END

00478 SUBROUTINE POTENTI(NSFK)
00479 COMMON/WAVPOT/POTR(2,3),WAVEFU(3,2,2)
00480 COMMON/RADIAL/RADTUS(6),RADIAL(10000)/HHABA/HABA(4)
00481 COMMON/KKAISU/KAIUS(4)
00482 COMMON/VPOT/VPOT(10000,2),VPOTEI(10000)
00483 HABA(1)=HABA(2)/RADTUS(2)
00484 KAIUS(1)=INT(ALOG(10.*RADTUS(2))/HABA(1))
00485 HABA(1)=ALOG(10.*RADTUS(2))/FLOAT(KAIUS(1))
00486 KAIUS(2)=INT((RADTUS(3)-RADTUS(2))/HABA(2))
00487 HABA(2)=(RADTUS(3)-RADTUS(2))/FLOAT(KAIUS(2))
00488 HABA(3)=2.*HABA(2)
00489 KAIUS(3)=INT((RADTUS(4)-RADTUS(3))/HABA(3))
00490 HABA(3)=(RADTUS(4)-RADTUS(3))/FLOAT(KAIUS(3))
00491 HABA(4)=2.5*HABA(3)
00492 KAIUS(4)=INT((RADTUS(5)-RADTUS(4))/HABA(4))
00493 HABA(4)=(RADTUS(5)-RADTUS(4))/FLOAT(KAIUS(4))
00494 DO 100 I=1,KAIUS(1)
00495 RADIAL(I)=0.1*EXP(HABA(1)*FLOAT(I))
00496 100 CONTINUE
00497 J=1+KAIUS(1)
00498 JJ=KAIUS(1)+KAIUS(2)
00499 DO 200 K=2,4
00500 DO 300 I=J,JJ
00501 RADIAL(I)=RADTUS(K)*HABA(K)*FLOAT(I-J+1)
00502 300 CONTINUE
00503 J=JJ+1
00504 KK=K+1
00505 IF(KK.GE.5)GO TO 210
00506 JJ=JJ+KAIUS(KK)
00507 200 CONTINUE
00508 210 CONTINUE
00509 JJ=JJ+1
00510 IF(JJ.GE.NSFK)GO TO 2000
00511 GO TO 2100
00512 2000 CONTINUE
00513 WRITE(6,2300)
00514 WRITE(6,2200)
00515 WRITE(6,2300)
00516 2200 FORMAT(29H SPKIBUN KAIUS DIMENSION OVER)
00517 2300 FORMAT(1H,50(2H*))
00518 2100 CONTINUE

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00519 DO 400 I=JJ,NSFK
00520 RADIAL(I)=RADTUS(5)+HABA(4)*FLOAT(I-JJ)
00521 400 CONTINUE
00522 RADIAL NO KETSAN OWARI GOKUROSAN
00523 JJ=JJ-1
00524 WRITE(6,1000)HABA
00525 1000 FORMAT(1H,14H SEKIBUN HABA=,4F15.5)
00526 WRITE(6,1100)KAIUS
00527 1100 FORMAT(1H,15H SEKIBUN KAIUS=,4F15.5)
00528 WRITE(6,1200)(RADIAL(I),I=100,NSFK,1000)
00529 1200 FORMAT(1H,3H R=,7X,12E10.2)
00530 DO 500 NN=1,NSFK
00531 R=RADIAL(NN)
00532 VPOT(NN,1)=0.
00533 VPOT(NN,2)=0.
00534 VPOTEI(NN)=0.
00535 DO 600 I=1,3
00536 VPOT(NN,1)=VPOT(NN,1)-POTEI(1,I)*EXP(-POTE(2,I)*R)
00537 600 CONTINUE
00538 VPOT(NN,1)=VPOT(NN,1)/R
00539 DO 700 I=1,2
00540 DO 700 J=1,2
00541 A1=WAVEFU(1,1,I)*WAVEFU(1,1,J)
00542 A2=WAVEFU(1,2,1)*WAVEFU(1,2,J)
00543 VPOT(NN,2)=VPOT(NN,2)+A1/A2/A2*(2./A2/R*(1.-EXP(-A2*R))-EXP(-A2*R)
00544 )
00545 700 CONTINUE
00546 VPOT(NN,2)=2.*VPOT(NN,2)
00547 IZYO KITEIZYOTAI KARANO POTENTIAL
00548 A1=WAVEFU(2,1,1)*2
00549 A2=WAVEFU(2,2,1)*2
00550 VPOT(NN,2)=VPOT(NN,2)-A1/A2/A2*(24./A2**3*(1.-EXP(-A2*R))/R-EXP(-
00551 A2*R)*(18./A2/A2+6./A2*R*R))
00552 DO 800 I=1,2
00553 DO 800 J=1,2
00554 A1=WAVEFU(3,1,1)*WAVEFU(3,1,J)
00555 A2=WAVEFU(3,2,1)*WAVEFU(3,2,J)
00556 VPOT(NN,2)=VPOT(NN,2)+A1/A2/A2*(24./A2**3*(1.-EXP(-A2*R))/R-EXP(-
00557 A2*R)*(18./A2/A2+6./A2*R*R))
00558 800 CONTINUE
00559 A1=WAVEFU(2,1,1)*WAVEFU(2,1,2)
00560 A2=WAVEFU(2,2,1)*WAVEFU(2,2,2)
00561 VPOT(NN,2)=VPOT(NN,2)-2.*A1/A2/A2*(6./A2*(1.-EXP(-A2*R))/R-EXP(
00562 1-A2*R)*(4./A2*R))
00563 A1=WAVEFU(2,1,2)*WAVEFU(2,1,2)
00564 A2=WAVEFU(2,2,2)*2
00565 VPOT(NN,2)=VPOT(NN,2)-A1/A2/A2*(2./A2*(1.-EXP(-A2*R))/R-EXP(-A2*R)
00566 )
00567 VPOT(NN,2)=VPOT(NN,1)-VPOT(NN,2)
00568 POT OF INELA OWARI MATAMATA GOKUROSAN
00569 DO 900 I=1,2
00570 DO 900 J=1,2
00571 A1=WAVEFU(1,1,I)*WAVEFU(3,1,J)
00572 A2=WAVEFU(1,2,I)*WAVEFU(3,2,J)
00573 VPOTEI(NN)=VPOTEI(NN)+3.*A1/A2/A2*(8./A2**3*(1.-EXP(-A2*R))/R-(
00574 18./A2/A2/R+6./A2*R)*EXP(-A2*R))
00575 900 CONTINUE
00576 VPOTEI(NN)=VPOTEI(NN)*1.5437488
00577 500 CONTINUE
00578 WRITE(6,5000)((I,RADIAL(I),VPOTEI(I)),I=1,NSFK,10)
00579 5000 FORMAT(4(I7,2F13.4))
00580 RETURN
00581 END

00573 SUBROUTINE BESSEL(NPP)
00574 COMMON/BES/FJ(300,2),FNEU(300,2),RK(2),KR(2)
00575 DO 100 I=1,2
00576 R=RK(I)
00577 FJ0=SIN(R)/R
00578 FJ1=(SIN(R)/(R)-COS(R))/R
00579 FNEU0=-COS(R)/R
00580 FNEU1=(COS(R)/R+SIN(R))/R
00581 FJ(1,J)=FJ0
00582 FJ(2,J)=FJ1
00583 FNEU(1,J)=FNEU0
00584 FNEU(2,J)=FNEU1

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00585      KR(J)=INT(R)+50
00586      KR(J)=MINO(KR(J),NPP)
00587      KR2=KR(J)-1
00588      DO 200 KRI=3,KR2
00589      FKRI=KRI
00590      FJ(KRI,J)=-FJO+(2.*FKRI-3.)*FJ1/R
00591      FNEU(KRI,J)=-FNEUO+(2.*FKRI-3.)*FNEU1/R
00592      FJO=FJ1
00593      FJ1=FJ(KRI,J)
00594      FNEUO=FNEU1
00595      FNEU1=FNEU(KRI,J)
00596      200 CONTINUE
00597      DO 300 KRI=KR(J),NPP
00598      FJ(KRI,J)=1.
00599      FNEU(KRI,J)=1.
00600      300 CONTINUE
00601      100 CONTINUE
00602      RETURN
00603      END

00604      SUBROUTINE BESEL1(LL,I,NPP)
00605      COMMON/BES/FJ(300,2),FNEU(300,2),RK(2),KR(2)
00606      DO 100 J=1,2
00607      R=RK(J)
00608      FJO=SIN(R)/R
00609      FJ1=(SIN(R)/(R)-COS(R))/R
00610      FNEUO=-COS(R)/R
00611      FNEU1=(COS(R)/R+SIN(R))/R
00612      FJ(1,J)=FJO
00613      FJ(2,J)=FJ1
00614      FNEU(1,J)=FNEUO
00615      FNEU(2,J)=FNEU1
00616      KR(J)=LL+3
00617      KR2=KR(J)-1
00618      DO 200 KRI=3,KR2
00619      FKRI=KRI
00620      FJ(KRI,J)=-FJO+(2.*FKRI-3.)*FJ1/R
00621      FNEU(KRI,J)=-FNEUO+(2.*FKRI-3.)*FNEU1/R
00622      FJO=FJ1
00623      FJ1=FJ(KRI,J)
00624      FNEUO=FNEU1
00625      FNEU1=FNEU(KRI,J)
00626      200 CONTINUE
00627      IF (I.GE.3) GO TO 150
00628      DO 300 KRI=KR(J),NPP
00629      FNEU(KRI,J)=0.
00630      FJ(KRI,J)=0.
00631      300 CONTINUE
00632      150 CONTINUE
00633      100 CONTINUE
00634      RETURN
00635      END
00636

00637      SUBROUTINE DIRAC1
00638      COMMON/WAVPOT/POTE(2,3),WAVEFU(3,2,2)
00639      COMMON/ZZB/ZBE(4,2)
00640      DO 100 I=1,4
00641      DO 100 J=1,2
00642      ZBE(I,J)=0.
00643      100 CONTINUE
00644      DO 200 I=1,3
00645      DO 200 J=1,2
00646      ZBE(I,J)=ZBE(1,J)+POTE(1,I)
00647      ZBE(2,J)=ZBE(2,J)+POTE(1,I)*POTE(2,I)
00648      ZBE(3,J)=ZBE(3,J)+POTE(1,I)*POTE(2,I)*POTE(2,I)/2.
00649      ZBE(4,J)=ZBE(4,J)+POTE(1,I)*POTE(2,I)*3./6.
00650      200 CONTINUE
00651      ZBE(2,2)=3./8.*WAVEFU(2,1,1)*WAVEFU(2,1,1)*WAVEFU(2,2,1)**(-4.)*4.

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00652      1*WAVEFU(2,1,1)*WAVEFU(2,1,2)*(WAVEFU(2,2,1)*WAVEFU(2,2,2)**(-3.)*
00653      2.25*WAVEFU(2,1,2)*WAVEFU(2,1,2)*WAVEFU(2,2,2)**(-2.)*ZBE(2,2)
00654      ZBE(4,2)=ZBE(4,2)-WAVEFU(2,1,2)*WAVEFU(2,1,2)/6.
00655      DO 300 I=1,2
00656      DO 300 J=1,2
00657      ZBE(2,2)=ZBE(2,2)+6.*WAVEFU(3,1,1)*WAVEFU(3,1,J)*(WAVEFU(3,2,1)+WA
00658      VEFU(3,2,J))**(-4.)*-2.*WAVEFU(1,1,1)*WAVEFU(1,1,3)*(WAVEFU(1,2,1)+
00659      2*WAVEFU(1,2,J))**(-2.)*
00660      ZBE(4,2)=ZBE(4,2)+WAVEFU(1,1,1)*WAVEFU(1,1,3)/3.
00661      300 CONTINUE
00662      RETURN
00663      END

00664      SUBROUTINE DIRAC2(LL,FFF)
00665      COMMON/PHAI/PHAI(2,4,2),AMPL(2,4,2)
00666      COMMON/ZZH/ZHE(4,2)
00667      COMMON/PHATO/PHATO(2,2),AMPO(2,2)
00668      COMMON/LIL/L(2,2)/EENERG/ENERGY(2)
00669      COMMON/HHABA/HABA(4)
00670      L(1,1)=L1
00671      L(1,2)=L1+1
00672      L(2,1)=L1-1
00673      L(2,2)=L1-2
00674      IF (LL) 100,150,200
00675      100 L(1,2)=1
00676      L(2,1)=1
00677      GO TO 200
00678      150 L(1,1)=1
00679      GO TO 200
00680      200 CONTINUE
00681      DO 300 I=1,2
00682      DO 400 J=1,2
00683      PHAI(I,1,J)=-ZBE(1,J)/FLOAT(L(I,J))
00684      400 CONTINUE
00685      DO 300 J=1,2
00686      PHAI(I,1,J)=ARSIN(PHAI(I,1,J))
00687      IF (PHAI(I,1,J)) 501,502,502
00688      501 PHAI(I,1,J)=3.141592654-PHAI(I,1,J)
00689      502 CONTINUE
00690      PH1=PHAI(I,1,J)
00691      FLL=FLOAT(L(I,J))
00692      PHAI(I,2,J)=(ENERGY(J)+ZBE(2,J)-COS(PH1))/(1.-2.*FLL*COS(PH1))
00693      PHAI(I,3,J)=2.*PHAI(I,2,J)*SIN(PH1)*(1.-FLL*PHAI(I,2,J))+ZBE(3,J)
00694      PHAI(I,3,J)=PHAI(I,3,J)/2./(1.-FLL*COS(PH1))
00695      PHAI(I,4,J)=2.*PHAI(I,3,J)*SIN(PH1)*(1.-2./3.*FLL*PHAI(I,2,J))+2.*PHA
00696      I(I,2,J)*PHAI(I,2,J)*COS(PH1)*(1.-2./3.*FLL*PHAI(I,2,J))+ZBE(4,J)
00697      PHAI(I,4,J)=PHAI(I,4,J)/(3.-2.*FLL*COS(PH1))
00698      AMPL(I,1,J)=AMPL(I,1,J)-AMPO(I,J)
00699      AMPL(I,2,J)=(FLL*FLL-ZBE(1,J)*ZBE(1,J))*0.5
00700      AMPL(I,3,J)=SIN(PH1)*(2.*FLL*PHAI(I,2,J)-1.)
00701      AMPL(I,4,J)=PHAI(I,2,J)*COS(PH1)*FLL*PHAI(I,2,J)-1.*FLL*PHAI(I,3
00702      1,J)*SIN(PH1)
00703      300 CONTINUE
00704      DO 500 I=1,2
00705      DO 500 J=1,2
00706      PHAI(I,1,J)=PHAI(I,1,J)/2.
00707      PHATO(I,J)=0.
00708      500 CONTINUE
00709      DO 600 I=1,2
00710      DO 600 J=1,2
00711      FK=FLOAT(K)
00712      PHATO(I,J)=PHATO(I,J)+(.1*EXP(HABA(1)))*(FK-1.*PHAI(I,K,J)
00713      650 CONTINUE
00714      AMPO(I,J)=AMPL(I,1,J)+AMPL(I,2,J)*ALOG(.1*EXP(HABA(1)))+AMPL(I,3,J
00715      1)*1.*EXP(HABA(1))+AMPL(I,4,J)*.01*EXP(2.*HABA(1))
00716      600 CONTINUE
00717      RETURN
00718      END

00719      SUBROUTINE LUNGE(LL,NPW,NSEK)
00720      DIMENSION DELTA(4),DELAHP(4)
00721      COMMON/HHABA/HABA(4)/KKAISU/KAISU(4)
00722      COMMON/RRADAL/RADIAL(10000)/EENERG/ENERGY(2)

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00717 COMMON/MATRIX/PMATRIX(2000,2,2,2)/VVPOT/VPOT(10000,2),VPOTEI(10000)
00718 COMMON/PHAI1/AMPI(2,2,10000)
00719 COMMON/LIL/L(2,2)/PHAI0/PHAI0(2,2),AMPO(2,2)
00720 LIL=LIL+1
00721 KKK=1
00722 KAI=1
00723 KAIS=KAISU(1)
00724 HAB=HABA(1)
00725 100 CONTINUE
00726 DO 200 I=KAI,KAIS
00727 DO 300 J=1,2
00728 DO 400 K=1,2
00729 FL=FLOAT(L(K,J))
00730 PHA=PHAI0(K,J)
00731 DELTA(1)=FL*SIN(2.*PHA)+RADIAL(I)*(-VPOT(I,J)+ENERGY(J)-COS(2.*PHA))
00732 DELTA(1)=HAB*DELTA(1)
00733 DELTA(2)=FL*SIN(2.*PHA+DELTA(1))*RADIAL(I)+RADIAL(I+1))/2.*((-VPO
IT(I,J)-VPOT(I+1,J))/2.+ENERGY(J)-COS(2.*PHA+DELTA(1)))
00734 DELTA(2)=HAB*DELTA(2)
00735 DELTA(3)=FL*SIN(2.*PHA+DELTA(2))*((RADIAL(I)+RADIAL(I+1))/2.*((-VPO
IT(I,J)-VPOT(I+1,J))/2.+ENERGY(J)-COS(2.*PHA+DELTA(2))))
00736 DELTA(3)=HAB*DELTA(3)
00737 DELTA(4)=FL*SIN(2.*PHA+DELTA(3))*RADIAL(I+1)*(-VPOT(I+1,J)+ENERG
Y(J)-COS(2.*PHA+DELTA(3))))
00738 DELTA(4)=HAB*DELTA(4)
00739 PHAI0(K,J)=PHAI0(K,J)+(DELTA(1)+2.*DELTA(2)+DELTA(3))+DELTA(4))/6
1.
00740 DELAMP(1)=-FL*COS(2.*PHA)-RADIAL(1)*SIN(2.*PHA)
00741 DELAMP(2)=-FL*COS(2.*PHA+DELTA(1))-RADIAL(1)+RADIAL(I+1))/2.-SIN(
1.2.*PHA+DELTA(1))
00742 DELAMP(3)=-FL*COS(2.*PHA+DELTA(2))-RADIAL(1)+RADIAL(I+1))/2.*SIN(
1.2.*PHA+DELTA(2))
00743 DELAMP(4)=-FL*COS(2.*PHA+DELTA(3))-RADIAL(I+1)*SIN(2.*PHA+DELTA
(3))
00744 AMPO(K,J)=AMPO(K,J)+HAB*(DELAMP(1)+2.*DELAMP(2)+DELAMP(3))+DELAMP
(4))/4.
00745 300 CONTINUE
00746 IF(LL.LT.0)GO TO 270
00747 DO 250 J=1,2
00748 DO 250 K=1,2
00749 IF(AMPO(K,1).LE.-170.)GO TO 251
00750 FMATRIX(LL1,K,J,2)=FMATRIX(LL1,K,J,2)+EXP(AMPO(K,1))*COS(PHAI0(K,
11))+VPOTEI(1)*AMPI(J,2,1)*HAB*RADIAL(I)*2
00751 IF(AMPO(J,2).LE.-170.)GO TO 251
00752 FMATRIX(LL1,K,1)=FMATRIX(LL1,K,J,1)+EXP(AMPO(K,1))*COS(PHAI0(K,1))
1* VPOTEI(1)*EXP(AMPO(J,2))*COS(PHAI0(J,2))+HAB*RADIAL(I)
00753 251 CONTINUE
00754 250 CONTINUE
00755 270 CONTINUE
00756 AMPI(1,2,1)=AMPI(1,1,1)
00757 AMPI(2,2,1)=AMPI(2,1,1)
00758 AMPI(1,1,1)=EXP(AMPO(1,2))*COS(PHAI0(1,2))/RADIAL(1)
00759 AMPI(2,1,1)=EXP(AMPO(2,2))*COS(PHAI0(2,2))/RADIAL(1)
00760 200 CONTINUE
00761 WRITE(6,1000)
00762 1100 FORMAT(5H R=15)
00763 WRITE(6,1000)((PHAI0(I,J),I=1,2),J=1,2),((AMPO(I,J),I=1,2),J=1,2)
00764 1000 FORMAT(4E15.5,10X,4E15.5)
00765 210 CONTINUE
00766 KKK=KKK+1
00767 KAI=KAI+KAISU(KKK)
00768 KAIS=KAIS+KAISU(KKK)
00769 HAB=HABA(KKK)
00770 DO 500 I=KAI,KAIS
00771 DO 400 J=1,2
00772 DO 400 K=1,2
00773 FL=FLOAT(L(K,1))
00774 PHA=PHAI0(K,J)
00775 DELTA(1)=FL*SIN(2.*PHA)/RADIAL(1)+ENERGY(J)-VPOT(I,J)-COS(2.*PHA)
00776 DELTA(1)=HAB*DELTA(1)
00777 DELTA(2)=FL*SIN(2.*PHA+DELTA(1))/(RADIAL(1)+RADIAL(I+1))*2.*ENERGY
J(I,J)-VPOT(I,J)+VPOT(I+1,J))/2.-COS(2.*PHA+DELTA(1))
00778 DELTA(2)=DELTA(2)+HAB
00779 DELTA(3)=FL*SIN(2.*PHA+DELTA(2))/(RADIAL(1)+RADIAL(I+1))*2.*ENERGY
J(I,J)-VPOT(I,J)+VPOT(I+1,J))/2.-COS(2.*PHA+DELTA(2))
00780 DELTA(3)=HAB*DELTA(3)
00781 DELTA(4)=FL*SIN(2.*PHA+DELTA(3))/RADIAL(I+1)+ENERGY(J)-VPOT(I+1,
1,J)-COS(2.*PHA+DELTA(3))
00782 DELTA(4)=DELTA(4)+HAB
00783 PHAI0(K,J)=PHAI0(K,J)+(DELTA(1)+2.*DELTA(2)+DELTA(3))+DELTA(4))/
16.
00784 DELAMP(1)=-FL*COS(2.*PHA)/RADIAL(1)-SIN(2.*PHA)
00785 DELAMP(2)=-FL*COS(2.*PHA+DELTA(1))/(RADIAL(1)+RADIAL(I+1))*2.-SIN(

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00787 12.*PHA+DELTA(1))
00788 DELAMP(3)=-FL*COS(2.*PHA+DELTA(2))/(RADIAL(1)+RADIAL(I+1))*2.-SIN(
12.*PHA+DELTA(2))
00789 DELAMP(4)=-FL*COS(2.*PHA+DELTA(3))/(RADIAL(I+1)-SIN(2.*PHA+DELTA
1(3)))
00790 AMPO(K,J)=AMPO(K,J)+HAB*(DELAMP(1)+2.*DELAMP(2)+DELAMP(3))+DELAMP
1(4))/6.
00791 400 CONTINUE
00792 IF(LL.LT.0)GO TO 370
00793 DO 350 J=1,2
00794 DO 350 K=1,2
00795 FMATRIX(LL1,K,J,2)=FMATRIX(LL1,K,J,2)+EXP(AMPO(K,1))*COS(PHAI0(K,
11))+VPOTEI(1)*AMPI(J,2,1)*HAB*RADIAL(1)
00796 FMATRIX(LL1,K,1)=FMATRIX(LL1,K,J,1)+EXP(AMPO(K,1))*COS(PHAI0(K,1))
1*VPOTEI(1)*EXP(AMPO(J,2))*COS(PHAI0(J,2))+HAB
00797 350 CONTINUE
00798 370 CONTINUE
00799 AMPI(1,2,1)=AMPI(1,1,1)
00800 AMPI(2,2,1)=AMPI(2,1,1)
00801 AMPI(1,1,1)=EXP(AMPO(1,2))*COS(PHAI0(1,2))/RADIAL(1)
00802 AMPI(2,1,1)=EXP(AMPO(2,2))*COS(PHAI0(2,2))/RADIAL(1)
00803 500 CONTINUE
00804 KKK=KKK+1
00805 A=RADIUS(KKK1)
00806 WRITE(6,1200)A,KAI,KAIS
00807 1200 FORMAT(1H,3H R=,F5.1,10(2H *),14H SEKIBUN KAISU,2110)
00808 WRITE(6,1000)((PHAI0(K,J),K=1,2),J=1,2),((AMPO(K,J),K=1,2),J=1,2)
00809 IF(KKK.NE.4)GO TO 210
00810 DO 3000 M=1,2
00811 FMATRIX(1,2,M,2)=0.
00812 DO 3000 N=1,2
00813 FMATRIX(1,1,M,N)=0.
00814 3000 CONTINUE
00815 RETURN
00816 END

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00817 SUBROUTINE REI(LL,LK,NPN,NPP)
00818 DIMENSION C1(2),C2(2),C3(2)
00819 COMMON/SIG/SIGMA(4),SHERM(4,2)
00820 COMMON/BES/FJ(300,2),FNEU(300,2),RX(2),KR(2)
00821 COMMON/AASSS/ASSLEG(2000,3)
00822 COMMON/FFSC/FSC(2,3,2)/AAAAMP/AAMP(2000,2,3,2),BBMP(300,2,3,2),
1BMP(300,2,3,2),BAMP(300,2,3,2)
00823 C F(1,K,K) 1,UP-UP ORUP-DOWN,,J,MAFNETIC QUANTUM NUMBR,,KREAL OR IMAG
DO 50 M=1,4
00824 SIGMA(N)=0
00825 DO 50 M=1,2
00826 SHERM(N,M)=0.
00827 50 CONTINUE
00828 DO 60 M=1,3
00829 DO 60 M=1,2
00830 DO 60 M=1,2
00831 FSC(M,N,1)=0.
00832 60 CONTINUE
00833 DO 100 M=1,3
00834 M1=M-2
00835 DO 200 LL1=0,LL
00836 LL1=LL1+1
00837 FLL=FLOAT(LL1)
00838 IF(M1)300,400,500
00839 300 CONTINUE
00840 ALLEG=ASSLEG(LL1,1,2)
00841 ALLEG=ASSLEG(LL1,3)
00842 C1(2)=(FLL/(2.*FLL+3.)/2.)*.5
00843 IF(LL1.EQ.0)GO TO 350
00844 C1(1)=(FLL+1.)/(2.*FLL-1/2.)*.5
00845 C2(2)=(FLL+1.)*(FLL+2.)/FLL/(2.*FLL-1/2.)*.5
00846 C2(1)=(FLL*(FLL-1.)/(FLL+1.)/(2.*FLL+3.)/2.)*.5
00847 GO TO 600
00848 350 CONTINUE
00849 C1(1)=0.
00850 C2(1)=0.
00851 C2(2)=0.
00852 GO TO 600
00853 400 CONTINUE
00854 ALLEG=ASSLEG(LL1,1)

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00855 ALEGI=ASSLEG(LLL,2)
00856 C1(2)=((FLL+1.)/(2.*FLL+3.))*5
00857 C2(1)=((FLL*(FLL+2.)/(2.*FLL+3.))/(FLL+1.))*5
00858 IF(LLL.LE.0)GO TO 550
00859 C1(1)=(FLL/(2.*FLL-1.))*5
00860 C2(2)=(FLL+1.)*(FLL-1.)/FLL/(2.*FLL-1.))*5
00861 GO TO 600
00862 450 CONTINUE
00863 C1(1)=0.
00864 C2(2)=0.
00865 GO TO 600
00866 550 CONTINUE
00867 C1(1)=0.
00868 C2(2)=0.
00869 GO TO 600
00870 500 CONTINUE
00871 ALEG=ASSLEG(LLL,1)
00872 ALEGI=ASSLEG(LLL,1)
00873 C1(2)=(FLL/(2.*FLL+3.)/2.))*5
00874 C2(1)=(FLL+2.)/(2.*FLL+3.))*5
00875 C2(1)=C2(1)/2.)*5
00876 IF(LLL.LE.0)GO TO 550
00877 C1(1)=(FLL+1.)/(2.*FLL-1.))*5
00878 C1(1)=C1(1)/2.)*5
00879 C2(2)=(FLL-1.)/(2.*FLL-1.)/2.))*5
00880 600 CONTINUE
00881 C1(2)=C1(2)*(FLL+1.)/(2.*FLL+1.))*5
00882 C2(1)=C2(1)*(FLL+1.)/(2.*FLL+1.))*5
00883 C2(2)=C2(2)*(FLL/(2.*FLL+1.))*5
00884 C1(1)=C1(1)*(FLL/(2.*FLL+1.))*5
00885 IF(LLL.GT.LK+2)GO TO 610
00886 DO 700 I=1,2
00887 FSC(1,M,1)=FSC(1,M,1)*(AAMP(LLL,2,M,1)*C1(1)-AAMP(LLL,1,M,1)*
IC1(2)+BUMP(LLL,1,M,1)*C2(1)-BUMP(LLL,2,M,1)*C2(2))*ALEG
FSC(2,M,1)=FSC(2,M,1)*(BAMP(LLL,2,M,1)*C1(1)-BAMP(LLL,1,M,1)*
IC1(2)+ABMP(LLL,1,M,1)*C2(1)-ABMP(LLL,2,M,1)*C2(2))*ALEGI
00888 700 CONTINUE
00889 GO TO 620
00890 610 CONTINUE
00891 DO 710 I=1,2
00892 FSC(1,M,1)=FSC(1,M,1)*(AAMP(LLL,2,M,1)*C1(1)-AAMP(LLL,1,M,1)*
IC1(2))*ALEG
00893 710 CONTINUE
00894 620 CONTINUE
00895 300 CONTINUE
00896 DO 800 I=1,2
00897 FSC(1,M,1)=FSC(1,M,1)*3.464101615
00898 FSC(2,M,1)=FSC(2,M,1)*3.464101615
00899 800 CONTINUE
00900 DO 900 N=1,2
00901 DO 900 L=1,2
00902 SIGMA(M)=SIGMA(M)+FSC(L,M,N)*FSC(L,M,N)
00903 900 CONTINUE
00904 SIGMA(M)=SIGMA(M)*5.3294E-05
00905 100 CONTINUE
00906 FSC(2,2,1)=FSC(2,2,1)
00907 FSC(2,2,2)=FSC(2,2,2)
00908 DO 1000 M=1,3
00909 DO 1000 K=1,2
00910 SHERM(4,2)=SHERM(4,2)+2.*FLOAT((-J)*K)*FSC(1,M,K)*FSC(2,M,J-K)
00911 SHERM(4,1)=SHERM(4,1)+2.*FLOAT((-J)*M*K)*FSC(1,M,K)*FSC(2,M-K)
00912 J=K
00913 1000 CONTINUE
00914 DO 910 I=1,3
00915 SIGMA(4)=SIGMA(4)+SIGMA(I)
00916 910 CONTINUE
00917 SHERM(4,2)=SHERM(4,2)/SIGMA(4)*5.3294E-05
00918 SHERM(4,1)=SHERM(4,1)/SIGMA(4)*5.3294E-05
00919 RETURN
00920 END

00921 SUBROUTINE NAO(I1,I2,N,NP,NPP)
00922 DIMENSION SGL(2,2,2)
00923 COMMON/MATRIX/FMATRIX(200,2,2,2)
00924 COMMON/AAAAMP/AAMP(200,2,2,2),BUMP(300,2,2,2),ABMP(300,2,2,2)
00925 1,BAMP(300,2,2,2)
COMMON/TANDE1/TANDE1(300,2,2)
COMMON/SCDL/SINDL(300,2,2),COSDL(300,2,2),SCSQ(300,2,2)
C SDL(I,J,K) I,J,L DR -1-1 OF ELASTIC OR INELASTIC,K,SIN OR COS
00926 LK=LK+2
00927 DO 1000 N=1,NPP
00928 DO 1000 NN=1,2
00929 DO 1000 NNN=1,3
00930 DO 1000 NNNN=1,2
00931 BBMP(N,NN,NNN,NNNN)=0.
00932 ABMP(N,NN,NNN,NNNN)=0.
00933 BAMP(N,NN,NNN,NNNN)=0.
00934 1000 CONTINUE
00935 DO 1100 N=1,NPN
00936 DO 1100 NN=1,2
00937 DO 1100 NNN=1,3
00938 DO 1100 NNNN=1,2
00939 AAMP(N,NN,NNN,NNNN)=0.
00940 1100 CONTINUE
00941 DO 100 I=1,2
00942 EXS=1.
00943 JJ=2
00944 IF(1.EQ.2)GO TO 250
00945 JJ=1
00946 EXS=1.
00947 250 CONTINUE
00948 DO 200 J=JJ,LL
00949 JJ=J+1
00950 AJ=FLOAT(J-1)
00951 IF(1.EQ.1)GO TO 350
00952 JJ=1
00953 350 CONTINUE
00954 AJ=FLOAT(J-1)
00955 AL=FLOAT(2*J-1)*(FLOAT(2*J-1))*5
00956 IF(J.GT.LK+3)GO TO 310
00957 DO 300 K=1,2
00958 DO 300 M=1,2
00959 SDL(K,M,1)=SINDL(JI,M,1)*COSDI(J,K,2)+SINDL(J,K,2)*COSDI(JI,M,1)
00960 SDL(K,M,2)=COSDI(JI,M,1)*COSDI(J,K,2)-SINDL(JI,M,1)*SINDL(J,K,2)
00961 300 CONTINUE
00962 GO TO 320
00963 310 CONTINUE
00964 DO 330 K=1,2
00965 DO 330 M=1,2
00966 SDL(K,M,1)=0
00967 SDL(K,M,2)=1.
00968 330 CONTINUE
00969 320 CONTINUE
00970 MM=1
00971 MM=3
00972 IF(J.EQ.1)GO TO 600
00973 GO TO 700
00974 600 CONTINUE
00975 MM=2
00976 MM=2
00977 700 CONTINUE
00978 DO 400 M=MM,MM
00979 AM=FLOAT(M-2)
00980 DO 400 K=1,2
00981 EXS=EXS*FLOAT((-1)**K)
00982 SF1=SDL(1,1,K)*FMATRX(JI,1,1,3-1)
00983 SF2=SDL(1,2,K)*FMATRX(JI,2,1,3-1)
00984 SF3=SDL(2,1,K)*FMATRX(JI,1,2,3-1)
00985 SF4=SDL(2,2,K)*FMATRX(JI,2,2,3-1)
00986 AAMP(J,I,M,K)=EXS/AL*((AJ+AM)*(AJ1*SF1+(AJ1+1.)*SF2)+(AJ-AM+1.)*
1*(AJ1*SF3+(AJ1+1.)*SF4))
00987 IF(J.GT.LK+3)GO TO 401
00988 BBMP(J,I,M,K)=EXS/AL*((AJ+AM)*(AJ-AM+1.)*AJ1*(AJ1+1.))*5*(SF1-SF2)
00989 1-(AJ+AM)*(SF3-SF4)
00990 BAMP(J,I,M,K)=EXS/AL*((AJ+AM)*(AJ-AM+1.))*5*(AJ1*(SF1-SF3)
00991 1-(AJ1+1.)*(SF2-SF4))
00992 BAMP(J,I,M,K)=BAMP(J,I,M,K)
00993 401 CONTINUE
00994 400 CONTINUE
00995 200 CONTINUE
00996 100 CONTINUE
00997 WRITE(6,3500)
00998 3500 FORMAT(////29H AAMP NO ZERO DE ARUBEKI ATAI)
00999 WRITE(6,3000)((AAMP(1,2,M,N),M=1,3),N=1,2)
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01001 WRITE(6,3100)((BBMP(1,1,M,N),M=1,3),N=1,2)
01002 WRITE(6,3100)((BBMP(1,2,M,N),M=1,3),N=1,2)
01003 WRITE(6,3100)((BBMP(2,2,M,N),M=1,3),N=1,2)
01004 WRITE(6,3200)((BAMP(1,1,M,N),M=1,3),N=1,2)
01005 WRITE(6,3200)((BAMP(1,2,M,N),M=1,3),N=1,2)
01006 WRITE(6,3300)((ABMP(1,2,M,N),M=1,3),N=1,2)
01007 WRITE(6,3300)((ABMP(2,2,M,N),M=1,3),N=1,2)
01008 3000 FORMAT(1H,6H AAMP=.6E15.5)
01009 3100 FORMAT(1H,6H BBMP=.6E15.5)
01010 3200 FORMAT(1H,6H BAMP=.6E15.5)
01011 3300 FORMAT(1H,6H ABMP=.6E15.5)
01012 DO 2000 M=1,3
01013 DO 2000 N=1,2
01014 AAMP(1,2,M,N)=0.
01015 BAMP(1,1,M,N)=0.
01016 BBMP(1,2,M,N)=0.
01017 BAMP(1,2,M,N)=0.
01018 BBMP(1,2,M,N)=0.
01019 ABMP(1,2,M,N)=0.
01020 BBMP(2,2,M,N)=0.
01021 ABMP(2,2,M,N)=0.
01022 2000 CONTINUE
01023 3600 FORMAT(12E11.3)
01024 WRITE(6,3601)
01025 3601 FORMAT(6H AAMP=)
01026 WRITE(6,3605)((((AAMP(L,I,M,J),J=1,2),M=1,3),I=1,2),L=1,LK*2)
01027 WRITE(6,3605)
01028 3605 FORMAT(1H)
01029 WRITE(6,3600)((AAMP(L,I,1,2),I=1,2),L=LK*3,LL)
01030 WRITE(6,3602)
01031 3602 FORMAT(6H ABMP=)
01032 WRITE(6,3600)((((ABMP(L,I,M,J),J=1,2),M=1,3),I=1,2),L=1,LK*2)
01033 WRITE(6,3603)
01034 3603 FORMAT(6H BAMP=)
01035 WRITE(6,3600)((((BAMP(L,I,M,J),J=1,2),M=1,3),I=1,2),L=1,LK*2)
01036 WRITE(6,3604)
01037 3604 FORMAT(6H BBMP=)
01038 WRITE(6,3600)((((BBMP(L,I,M,J),J=1,2),M=1,3),I=1,2),L=1,LK*2)
01039 RETURN
01040 END

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01041 SUBROUTINE TAE(LL,NPN,NPP)
01042 DIMENSION VPTEI(20000)
01043 DIMENSION FMTRX(300,2,2,2),FMTRX1(300,2,2,2)
01044 COMMON/HABA/HABA(4)
01045 COMMON/WAVPOT/POTE(2,3),WAVEFU(3,2,2)
01046 COMMON/BES/FJ(300,2),FNEU(300,2),RK(2),KR(2)
01047 COMMON/SCDL/SINDL(300,2,2),COSDL(300,2,2),SCSQ(300,2,2)
01048 COMMON/MATRIX/FMTRX(2000,2,2,2)
01049 COMMON/RRADAL/RADIUS(6)
01050 COMMON/FKF/FK(2)
01051 N99=20000
01052 LK=LL
01053 DO 60 I=1,NPP
01054 DO 60 J=1,2
01055 DO 60 K=1,2
01056 DO 60 M=1,2
01057 FMTRX1(I,J,K,M)=0.
01058 60 CONTINUE
01059 N=4
01060 HAB=1./FK(1)/41.958
01061 IF(HAB.LT.HABA(4))GO TO 70
01062 GO TO 80
01063 70 CONTINUE
01064 WRITE(6,90)
01065 90 FORMAT(/20(2H *),22H CAUTION TAE INCORRECT,20(2H *)
01066 HAB=HABA(4)+.01
01067 80 CONTINUE
01068 AA=(HAB/HABA(4))**(1./FLOAT(N))
01069 RAD=(RADIUS(6)-RADIUS(5))/(AA*N-1.)*AA-1.1
01070 NN=INT(RAD/HABA(4)/AA)
01071 HAB=RAD/FLOAT(NN)
01072 NN1=1
01073 NN2=NN
01074 WRITE(6,110)NN
01075 110 FORMAT(/18H TAE SEKIBUN KAISU,110//)
01076 J1=1
01077 RAD1=RADIUS(5)
01078 IF(NN.GE.N99)GO TO 4000
01079 GO TO 4100
01080 4000 CONTINUE
01081 WRITE(6,4200)

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01082 4200 FORMAT(10X,32H CAUTION?? VPTEI DIMENSION OVER)
01083 GO TO 1200
01084 4100 CONTINUE
01085 400 CONTINUE
01086 DO 50 I=1,N99
01087 VPTEI(I)=0.
01088 50 CONTINUE
01089 DO 2000 I=1,NPP
01090 DO 2000 J=1,2
01091 DO 2000 K=1,2
01092 DO 2000 M=1,2
01093 FMTRX(I,J,L,M)=0.
01094 2000 CONTINUE
01095 DO 100 I=NN1,NN2
01096 FFI=FLOAT(I)
01097 R=RADI+HAB*FFI
01098 RK(1)=R*FK(1)
01099 RK(2)=R*FK(2)
01100 CALL RESEL1(LL,I,NPP)
01101 DO 300 J=1,2
01102 DO 300 K=1,2
01103 A1=WAVEFU(1,1,J)*WAVEFU(3,1,K)
01104 A2=WAVEFU(1,2,J)*WAVEFU(3,2,K)
01105 VPTEI(I)=VPTEI(I)+3.*A1/A2/A2*(8./A2**3.*(1.-EXP(-A2*R))/R/R-(8.
1/A2/A2/R+4./A2*R)*EXP(-A2*R))
01106 300 CONTINUE
01107 VPTEI(I)=VPTEI(I)*1.5437488
01108 DO 200 K=1,2
01109 JJ=1
01110 IF(K.EQ.2)JJ=2
01111 DO 200 J=JJ,LL
01112 J1=J+1
01113 IF(K.EQ.2)J1=J-1
01114 FMTRX(J,1,1,K)=FMTRX(J,1,1,K)+FJ(J,1)*VPTEI(I)*FJ(J1,2)*R*R
01115 FMTRX(J,1,2,K)=FMTRX(J,1,2,K)+FJ(J,1)*VPTEI(I)*FNEU(J1,2)*R*R
01116 FMTRX(J,2,1,K)=FMTRX(J,2,1,K)+FNEU(J,1)*VPTEI(I)*FJ(J1,2)*R*R
01117 FMTRX(J,2,2,K)=FMTRX(J,2,2,K)+FNEU(J,1)*VPTEI(I)*FNEU(J1,2)*R*R
01118 200 CONTINUE
01119 100 CONTINUE
01120 DO 3000 J=1,LL
01121 DO 3000 I=1,2
01122 DO 3000 K=1,2
01123 DO 3000 M=1,2
01124 FMTRX1(J,I,K,M)=FMTRX1(J,I,K,M)+FMTRX(J,I,K,M)*HAB
01125 3000 CONTINUE
01126 HAB=HAB*AA
01127 RADI=RADI+RAD*AA*(J1-1)
01128 WRITE(6,3100)R,RADI
01129 3100 FORMAT(1H,3H R=,E15.5,9H DE OWARI,3H R=,E15.5,12H DE HAJIMARU)
01130 J1=J1+1
01131 IF(J1.NE.5)GO TO 400
01132 WRITE(6,3300)
01133 3300 FORMAT(1H,6H FMTRX1=)
01134 WRITE(6,3200)((FMTRX1(J,I,K,M),I=1,2),M=1,2,J=1,LL*2)
01135 3200 FORMAT(2E15.5)
01136 DO 1000 N=1,2
01137 JJ=1
01138 IF(N.EQ.2)JJ=2
01139 DO 1000 J=JJ,LL
01140 J=J-1
01141 IF(N.EQ.2)J1=J-1
01142 DO 1000 I=1,2
01143 DO 1000 K=1,2
01144 FMATRX(J,I,K,N)=FMATRX(J,I,K,N)+COSDL(J,I,1)*COSDL(J1,K,2)*
1FMTRX1(J,1,1,N)+SINDL(J,I,1)*SINDL(J1,K,2)*FMTRX1(J,2,2,N)-
2COSDL(J,I,1)*SINDL(J1,K,2)*FMTRX1(J,1,2,N)-SINDL(J,I,1)*COSDL(J1,
3K,2)*FMTRX1(J,2,1,N)
01145 1000 CONTINUE
01146 FMATRX(1,1,1,1)=0.
01147 FMATRX(1,1,2,1)=0.
01148 FMATRX(2,1,1,2)=0.
01149 FMATRX(2,2,1,2)=0.
01150 VP=0.
01151 DO 5400 J=1,2
01152 DO 5400 I=1,2
01153 A1=WAVEFU(1,1,I)*WAVEFU(5,1,J)
01154 A2=WAVEFU(1,2,I)*WAVEFU(5,2,J)
01155 VP=VP+0.*A1/A2**5
01156 5400 CONTINUE
01157 VP=VP*1.5437488

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01158 5200 CONTINUE
01159 LL=LL+1
01160 AA=1.
01161 BB=1.
01162 DO 5100 J=1,LL-2
01163 FJ1=FLOAT(J)
01164 AA=AA*FJ1/(FJ1-.5)
01165 BB=BB*FJ1/(FJ1-1.5)
01166 5100 CONTINUE
01167 AA=AA/(FJ1+.5)/(FJ1+1.5)*(FJ1+1.)/(FJ1+2.5)
01168 BB=BB/(FJ1-.5)/(FJ1+.5)
01169 AA1=AA
01170 BB1=BB
01171 LAL=LL*10
01172 DO 5300 J=1,LAL+200
01173 FJ1=FLOAT(J)
01174 AA=AA*(FJ1-.5)/FJ1*(FJ1+FLOAT(LL-1))/(FJ1+FLOAT(LL-1)+1.5)
1*(FK(2)/FK(1))**2
BB=BB*(FJ1-1.5)/FJ1*(FJ1+FLOAT(LL-1)-1.)/(FJ1+FLOAT(LL-1)-.5)
01175 1*(FK(2)/FK(1))**2
AA1=AA1+AA
BB1=BB1+BB
01176 5300 CONTINUE
01177 AA1=AA1*VP*(FK(2)/FK(1))**LL/FK(1)
01178 BB1=-BB1*VP*(FK(2)/FK(1))**(LL-2)/FK(1)
01179 DO 5500 I=1,2
01180 DO 5500 J=1,2
01181 FMATRX(LL,I,J,1)=AA1
01182 FMATRX(LL,I,J,2)=BB1
01183 5500 CONTINUE
01184 IF(LL.GE.NPN-5)GO TO 5600
01185 AB1=AMAX1(AA1,BB1)
01186 IF(AB1.GT.10E-4)GO TO 5200
01187 5600 CONTINUE
01188 FMATRX(LK+1,1,1,2)=-FMATRX(LK+1,1,1,2)
01189 FMATRX(LK+1,2,1,2)=-FMATRX(LK+1,2,1,2)
01190 FMATRX(LK+2,1,1,2)=-FMATRX(LK+2,1,1,2)
01191 FMATRX(LK+2,2,1,2)=-FMATRX(LK+2,2,1,2)
01192 1200 CONTINUE
01193 RETURN
01194 END
01195

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