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Osaka University

CONFORMATIONAL STUDIES
OF
SYNTHETIC COPOLYPEPTIDES

A Thesis Submitted to
The Faculty of Science,
Osaka University
by

NOBORU NISHIOKA

1976

CONFORMATIONAL STUDIES OF SYNTHETIC COPOLYPEPTIDES

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Principal Notations and Abbreviations

For the sake of convenience, various notations and abbreviations used are listed below.

Notations

\bar{N} = average degree of polymerization

\bar{N}_w = weight-average degree of polymerization

\bar{M}_w = weight-average molecular weight

\bar{M}_n = number-average molecular weight

$[A]_0/[I]_0$ = molar ratio of NCA to initiator

b_0 = Moffitt parameter

$[\eta]$ = intrinsic viscosity

$\langle \mu^2 \rangle^{1/2}$ = mean-square dipole moment

μ_h = monomeric dipole moment of helix unit

$\tau T/\eta_0$ = mean rotational relaxation time corrected
for solvent viscosity η_0 and absolute
temperature T

f_N = average fraction of helix units

θ_N = average fraction of intact hydrogen bonds

s = helix-coil equilibrium constant

$\sigma^{1/2}$ = cooperativity parameter for the formation
of helical sequences

Polypeptides

NCA = N-carboxy α -amino acid anhydride

BDG = γ -benzyl D-glutamate

BLG = γ -benzyl L-glutamate

CBL = ϵ -carbobenzoxy L-lysine

LA = L-alanine

PBDG = poly(BDG)

PBLG = poly(BLG)

PCBL = poly(CBL)

PLA = poly(LA)

PBLA = poly(β -benzyl L-aspartate)

Solvents

DCA = dichloroacetic acid

DMF = dimethylformamide

DCM = dichloromethane

EDC = ethylene dichloride

HFIP = hexafluoroisopropanol

TFA = trifluoroacetic acid

Measurements

IR = infrared absorption

NMR = nuclear magnetic resonance

ORD = optical rotatory dispersion

Chapter 1

Introduction

1-1 General Introduction

There are now available high-molecular-weight homopolypeptides of most naturally occurring α -amino acids, copolymers consisting of several amino acid residues in random sequences, and copolymers in which a few sequences of amino acids are linked in block. Furthermore, in recent years, progress has been made in the synthesis of sequential polypeptides which consist of a known repeat sequence of a few kinds of amino acids. The availability of such a variety of synthetic polypeptides has made it possible to investigate their physical properties in more detail and to utilize them more widely as model substances for proteins.¹⁻⁴ For protein chemists, who are primarily interested in the correlation between structure and function of the proteins, conformations and biological activities of synthetic polypeptides in aqueous solution must be the subjects of considerable attraction. On the other hand, polymer physical chemists may find synthetic polypeptides very attractive from the fact that this kind of polymers takes different chain conformations in solution, such as α -helix, β -form, and random coil, depending on the

environmental conditions.

Since the discovery by Doty and collaborators,⁵⁻⁷ the helix-coil transition of polypeptides in solution has been investigated on a number of systems. Theoretical and experimental advance in this field of study may be found in several recent review articles.⁸⁻¹⁶ According to statistical mechanical theories,¹⁷⁻²² the helical fraction of a polypeptide molecule in dilute solution is determined by three parameters N , s , and σ . Here N is the degree of polymerization of the molecule, s the equilibrium constant for the helix formation, and σ the helix-initiation parameter. The theories predict that in the helix-coil transition region a polypeptide molecule consists of an alternating array of helical and random coil sequences, which is often called an interrupted helix, and that the frequency of alternation depends on σ . If experimental data for helical fraction are available as a function of molecular weight, the transition parameters s and σ can be evaluated by analyzing such data in terms of an appropriate theory,²³ e.g., that of Zimm and Bragg.¹⁷ Besides the helical fraction, there are also available theoretical expressions^{22,24-26} for such average quantities of interrupted helix as the mean-square radius of gyration, the mean-square end-to-end distance, the alternating

frequency of helical and random coil sequences, and so forth, all of which are expressed in terms of the three parameters N , s , and σ . Thus the transition parameters s and σ , once evaluated experimentally, allow one to compute the average conformational characteristics of a given polypeptide in sufficient detail.^{27,28} It is known that the helix-coil transition behavior of polypeptides depends greatly on the solvent condition as well as on the structure of polypeptides, and this fact has been interpreted successfully in terms of the effect of solvent conditions on the transition parameters.²⁹⁻³²

Most of these investigations have been concerned with homopolypeptides, and those on copolypeptides are still meager in spite of their importance relative to the protein structure.^{12,13,33-40} This may be attributed to the lack of appropriate model system and relevant theories to analyze their transition data. However, one may not overlook the significance of the contributions by Scheraga and collaborators^{12,13,36,39,40} to the statistical mechanical theories of random copolypeptides. These authors have developed a method called "host-guest technique" to analyze helix-coil transition curves of random copolypeptides which consist of one kind of amino residue (guest) randomly incorporated into the polymer of the other residue (host).³⁹

This method allows the transition parameters of the guest residue to be estimated if those for the host residue are known in advance, and actually it has been applied to a number of naturally occurring amino acids in water, the homopolypeptides of which are insoluble in water.⁴¹⁻⁴⁷

In this thesis, we shall chiefly be concerned with block copolypeptides: the reason is two-fold.

Firstly, the arrangement of amino acid residues in a polypeptide chain is one of the important factors for its helix stability because at least three consecutive residues have to be distorted simultaneously in order that an α -helical turn may be formed and stabilized by one intrachain hydrogen bond.⁴⁸ Copolypeptides consisting of a few blocks of amino acid residues may be the simplest of the model systems for investigating the effect of this factor on the helix stability. However, no such attempt has yet been reported.

Secondly, we have recently developed a procedure for synthesizing and characterizing block copolypeptides of known sequences with moderate chain lengths.⁴⁹ Thus if a block copolypeptide of a few amino acid residues, whose homopolypeptides are well characterized in the statistical-mechanical terms, is chosen as a sample, comparison of its helix-coil transition behavior with those of the parent

homopolypeptides will provide information about the effect of residue arrangement on the helix stability.

Poly(L-alanine) (PLA) is the simplest α -helix-forming polypeptide, having only one methyl group as the side chain. For this structural simplicity as well as its importance in the protein structure, it has been subjected to a number of investigations, although its insolubility in ordinary organic solvents and in aqueous media have greatly hampered the conformational studies. Gratzer and Doty⁵⁰ developed an ingenious means called "block copolymer technique", in which a non-polar polypeptide was sandwiched between blocks of water-soluble D,L-polypeptide. The present study will utilize a similar idea for studying the chain conformation of PLA in organic solvent systems.

1-2 Purposes and Scope of This Work

This thesis concerns itself with conformations of block copolypeptides composed of two kinds of helix-forming peptide residues. Attention is paid to explore how the helix formation of the one of the constituent residues is influenced by the presence of the other. Block copolypeptides synthesized for this purpose were of the types: BLG-CBL, BLG-CBL-BLG, BLG-LA, BLG-LA-BLG, and BLG-BDG, where BLG = γ -benzyl L-glutamate, BDG = γ -benzyl

D-glutamate, CBL = ϵ -carbobenzoxy L-lysine, and LA = L-alanine. Optical rotatory dispersion (ORD), dielectric dispersion, infrared absorption (IR), and intrinsic viscosity ($[\eta]$) were used to estimate the molecular conformation and shape of the copolypeptides.

Chapter 2 summarizes the principal experimental methods employed throughout this work.

Chapter 3 deals with the conformations of block copolypeptides consisting of BLG and CBL in m-cresol. This choice of solvent has been made because PCBL undergoes a thermally-induced helix-coil transition in m-cresol around 25°C but PBLG forms a stable α -helix under the same solvent condition.

Chapter 4 is concerned with helix-coil transitions of BLG-CBL-BLG block copolypeptides in mixtures of dichloroacetic acid (DCA) and ethylene dichloride (EDC). Nagai's theory²² of helix-coil transition in homopolypeptide is extended to block copolypeptides and applied to ORD data for the BLG-CBL-BLG copolypeptides.

In chapter 5, the conformation of block copolypeptides consisting of BLG and LA is discussed. Owing to the absence of polar side chain, PLA hardly dissolves in usual organic solvents. However, block copolypeptides of LA and BLG were found to be soluble in many organic solvents.

The dipole moment of peptide backbone is estimated and the side chain effect on it is discussed with the aid of available data for other polypeptides.

Chapter 6 is devoted to diblock copolypeptides of the type BDG-BLG. If each of the two blocks maintains its intrinsic helical sense, the block copolypeptide should form a once-broken rod. ORD, dielectric dispersion, and viscosity data were used to test this possibility and the conformational induction effect between the D and L residues is discussed.

Chapter 2

Experimental Methods

This chapter describes the principal experimental methods employed throughout the present study. The specific details of each method are deferred to the respective chapters.

2-1 Solvents

Dichloroacetic acid (DCA) was distilled twice with concentrated sulfuric acid under reduced nitrogen atmosphere, bp 50 - 55°C (1 - 2 mm). N,N-Dimethylformamide (DMF) was dried with BaSO₄ or BaO, and distilled under reduced nitrogen atmosphere over BaO, bp 37 - 40°C (8 - 10 mm). m-Cresol was distilled under nitrogen atmosphere over P₂O₅, bp 55 - 60°C (1 - 2 mm). Trifluoroacetic acid (TFA) was fractionally distilled over P₂O₅, bp 68.4 - 69.4°C. Hexafluoroisopropanol (HFIP) was fractionally distilled over CaO, bp 56 - 57°C. Other solvents were thoroughly purified according to the standard procedures and fractionally distilled. All the solvents were used immediately after distillation.

2-2 N-Carboxy α -Amino Acid Anhydride

γ -Benzyl L-glutamate (BLG) and γ -benzyl D-glutamate

(BDG) were converted to the respective N-carboxy anhydrides (NCA) by the method of Blout and Karlson.⁵¹ A weighed amount of glutamate crushed to powder and dried in vacuum was suspended in tetrahydrofuran and then phosgen gas was bubbled through at 40 - 45°C. After several hr a clear, pale yellow solution was obtained. This solution was concentrated under reduced pressure and diluted with chloroform, and to it was added n-hexane slowly until the NCA began to crystallize. The NCA thus obtained was purified by repeated crystallization under nitrogen atmosphere from an ethyl acetate solution with n-hexane as a precipitant.

ϵ ,N-Carbobenzoxy L-lysine-N-carboxy anhydride (CBL-NCA) was prepared from ϵ -carbobenzoxy L-lysine according to Fasman et al.,⁵² except that tetrahydrofuran, instead of ethyl acetate, was used as solvent. The technique was actually identical with that described above.

L-Alanine-N-carboxy anhydride (LA-NCA) was prepared from L-alanine by the method described above with isopropyl ether being used as a solvent for recrystallization.

2-3 Polymerization Kinetics

The polymerization of NCA is generally considered to consist of a repetitive, stepwise addition of peptide units

with the simultaneous expulsion of carbon dioxide.¹ The evolution of CO₂ gas provides a convenient means to follow the progress of this reaction. We used the method of Lundberg and Doty⁵³ to study the polymerization process.

A weighed amount of NCA was dissolved in DMF and mixed with a DMF solution of initiator in a reaction tube of about 2ml capacity, and the CO₂ gas evolved as the result of polymerization was swept out by a stream of dry Ar gas and absorbed into an 0.04N Ba(OH)₂ solution placed in a conductance cell. The change in conductance of the solution was followed by Kohlrausch bridge, and from the data the amount of NCA consumed was calculated according to the expressions:

$$[\text{CO}_2]_t = (V/2)(C_0 - C_t) = (500\text{KV}/A)(1/R_0 - 1/R_t) \quad (2-1)$$

$$[A]_t = [A]_0 - [\text{CO}_2]_t \quad (2-2)$$

where the subscripts 0 and t denote the values of each quantity at time t=0 and t, respectively. [CO₂]_t is the number of moles of CO₂ absorbed at time t, C₀ and C_t are the concentrations of the Ba(OH)₂ solution in equiv./l, R₀ and R_t are the values for the resistance of the Ba(OH)₂

solution, K is the conductivity cell constant ($K = 0.409 \text{ cm}^{-1}$), V is the volume of the $\text{Ba}(\text{OH})_2$ solution in liter ($V = 0.025$), Λ is the equivalent conductance of $\text{Ba}(\text{OH})_2$, and $[\text{A}]_0$ and $[\text{A}]_t$ are the numbers of moles of NCA in the reaction tube. Complete absorption of the evolved CO_2 was effected by adding 0.5 % of 1-butanol to the $\text{Ba}(\text{OH})_2$ solution. The $\text{Ba}(\text{OH})_2$ solution was standardized against known amounts of CO_2 evolved from the permanganate-oxalic acid reaction. The initial concentration of NCA was fixed at about 0.22 mol/l and the molar ratio of NCA to initiator $[\text{A}]_0/[\text{I}]_0$ was 10.

2-4 Molecular Weight Determinations

Weight-average molecular weights \bar{M}_w were determined by the sedimentation equilibrium method with DMF or HFIP of 25°C as solvents. Use was made of a Beckman-Spinco Model E analytical ultracentrifuge equipped with the Rayleigh interference optical system and a Kel-F coated 12 mm double-sector cell. The following equations were used for data analysis.⁵⁴

$$1/M_{\text{app}} = 1/\bar{M}_w + 2A_2(C_a + C_b)/2 \quad (2-3)$$

with M_{app} defined by

$$M_{app} = [2RT/(1 - \bar{v}\rho)\omega^2](C_b - C_a)/(r_b^2 - r_a^2)C_0 \quad (2-4)$$

where C_0 is the initial solute concentration, C_a and C_b are the concentrations at sedimentation equilibrium at the meniscus and the cell bottom, respectively, r_a and r_b are the radial distances from the center of rotation to the meniscus and the bottom, respectively, ρ is the density of the solvent, \bar{v} is the partial specific volume of the solute, ω is the angular velocity of the rotor, A_2 is the second virial coefficient, R is the gas constant, and T is the absolute temperature.

The values for \bar{v} of BLG-CBL-BLG polymers were estimated from those of pure PBLG and PCBL, i.e., 0.786^{55,56} and 0.803 ml/g,⁵⁷ with the assumption that they were linearly related to the weight fraction of BLG. Fragmental data for triblock copolypeptides supported this assumption. The same value of 0.117 ml/g had been reported for the specific refractive index increments (dn/dc) at 546 nm of both PBLG and PCBL in DMF.^{56,57} Hence this value was taken to be appropriate for BLG-CBL-BLG copolypeptides. For BLG-LA-BLG copolypeptides, the \bar{v} in DMF and in HFIP were determined from the density data obtained by using bicapillary pycnometers, and their

Table 2-1 Partial specific volume \bar{v} and specific refractive index increments (dn/dc) of block copolypeptides in DMF and HFIP at 25°C

Sample code	LA wt-% ^a	(dn/dc), ml/g		\bar{v} , ml/g	
		DMF	HFIP	DMF	HFIP
GAG-1	4.0	0.115 ₀	-	-	-
GAG-2	4.3	-	-	0.781	-
GAG-3	10.0	0.113 ₆	0.235	0.774	-
GAG-4	8.5	-	0.235	0.776	-
GAG-5	16.8	-	0.241	-	-
GAG	14.5	-	-	-	0.777
GAG-70	23.7	-	0.249	-	0.778
PBLG		0.117 ₃	-	0.786	0.773

a. Estimated by elemental analysis.

(dn/dc) at 546 nm were determined by the use of a modified Schultz-Cantow differential refractometer. The numerical results obtained are collected in Table 2-1. Figure 2-1 shows that \bar{v} and (dn/dc) are linearly related to the weight fraction of LA. Values for other copolypeptide compositions were obtained from these data by either extrapolation or interpolation.

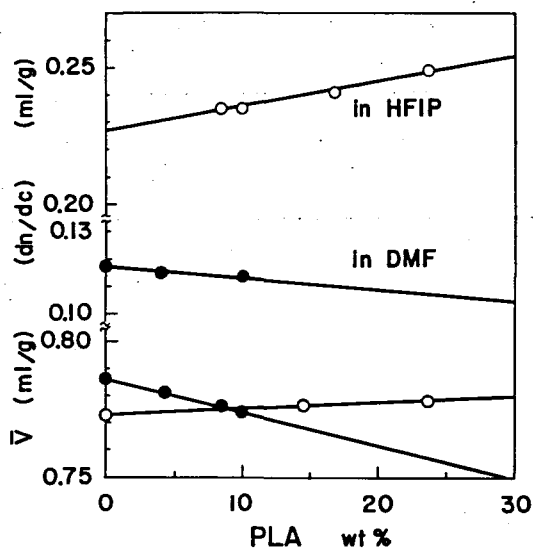


Figure 2-1

Specific refractive index increment (dn/dc) and partial specific volume \bar{v} data for copolypeptides in HFIP (○) and in DMF (●) at 25°C, respectively.

2-5 Dielectric Measurements

A transformer bridge (TR-1C transformer bridge, Ando Electric Co., Tokyo) was used in conjunction with an HP 3310A Function Generator (Yokogawa-Hewlett-Packard) operating at frequencies between 0.005 Hz and 5 MHz. Depending on the frequency range investigated, the following three detectors were used for null-point detection: a 1232AP tuned amplifier and null detector

(20 Hz - 20 KHz, General Radio), a tuned amplifier and null detector (20 - 700 KHz, constructed in our laboratory), and a 9R59DS radio receiver (550 KHz - 30 MHz, TRIO). Most of the measurements were performed in a brass concentric cylindrical cell, which had a guard circuit and was assembled with Tefron spacers. The cell was calibrated with benzene and monochlorobenzene as reference liquids, and it had an air capacitance C_0 of 22.85 pF. The temperature of the cell, measured by means of a thermocouple attached to the outer cell wall, was regulated to $\pm 0.01^\circ\text{C}$. Conductance and capacitance readings were taken on both solution and solvent, and the differences between the corresponding readings, i.e., the capacitance increment ΔC and the conductance increment G , were used to evaluate the dielectric increment $\Delta\epsilon'$ and the loss factor $\Delta\epsilon''$ associated with the solute by means of the equations:

$$\Delta\epsilon' = \Delta C / C_0 \qquad G = G_d + \Delta\epsilon'' C_0 \omega \qquad (2-5)$$

where G_d is the dc conductance and $\omega (=2\pi f)$ is the angular frequency. The value for G at some low frequency, where there was observed no more change in G due to the solute polarization, was taken as that for G_d .

2-6 Optical Rotation Measurements

Optical rotation measurements were performed at various temperatures. A JASCO Model DIP-SL automatic polarimeter with quartz cells of 1 dm path was used at wavelengths of 436, 546, and 578 nm. Optical rotatory dispersions (ORD) were measured in the range of wavelengths from 300 to 600 nm by use of a JASCO ORD/UV-5 recording spectrometer. The data were analyzed in terms of the Moffitt-Yang equation⁵⁸ to determine values of the Moffitt parameters a_0 and b_0 , with λ_0 taken to be 212 nm.

$$[m']_{\lambda} = a_0 \lambda_0^2 / (\lambda^2 - \lambda_0^2) + b_0 \lambda_0^4 / (\lambda^2 - \lambda_0^2)^2 \quad (2-6)$$

where $[m']_{\lambda}$ is the mean residue rotation at wavelength λ , a_0 is a constant which is expected to vary with the nature of the sidechain of the polypeptide and to depend on the solvent used, whereas b_0 should be an intrinsic property of the helical skeleton, and b_0 changes its sign if the sense of the helix is changed.

2-7 Viscosity Measurements

Viscosity measurements were made by use of Ubbelohde viscometers designed so that no kinetic energy correction was needed. Data were taken with DCA, DMF, and m-cresol

as solvents and analyzed to determine intrinsic viscosities by the usual Huggins plots.

2-8 Infrared Absorption Measurements

Oriented films were prepared on KBr plates by rubbing viscous dioxane solutions with spatula in one direction until the solvent had been evaporated.

Infrared absorption measurements were taken on the films thus prepared by means of a JASCO Model DS-402G spectrometer. The spectral region of $4000 - 400 \text{ cm}^{-1}$ was examined.

Chapter 3

Conformation of Block Copolypeptides of γ -Benzyl L-Glutamate and ϵ -Carbobenzoxy L-Lysine in m-Cresol

3-1 Introduction

It has been shown that homopolypeptides of γ -benzyl L-glutamate (BLG) residues dissolved in m-cresol assume a stable α -helical conformation,^{5,59-61} while those of ϵ -carbobenzoxy L-lysine (CBL) residues in the same solvent undergo a sharp coil-to-helix transition in the temperature region around 25°C.^{57,62-64} In this chapter we describe an experimental investigation of the question as to whether helix formation of the CBL chain is affected if it is incorporated between blocks of helical BLG chains. Figure 3-1 schematically shows predicted conformation of PBLG, PCBL, and a triblock copolypeptide of the type BLG-CBL-BLG in various solvents. Of particular interest is the behavior in m-cresol at low temperature, where PBLG is helical but PCBL is randomly coiled.^{5,57,59-64} If there were no helix inducing effect of the flanking BLG blocks, the molecule would take up a once-broken rod shape as shown. The desired triblock copolypeptides with a central CBL block of varying length were prepared by successive polymerization of the corresponding amino acid N-carboxy

	PBLG	BLG-CBL-BLG	PCBL
DCA			
DMF			
m-cresol (low temp.)			
m-cresol (high temp.)			

Figure 3-1.

Conformation of PBLG, PCBL, and triblock copolyptide BLG-CBL-BLG in various solvents.

anhydride (NCA) with a primary amine as initiator. First, the kinetic processes of the copolymerization were pursued in order to examine whether the procedure used gives samples of the desired copolyptide structure. Then, optical rotatory dispersion, dielectric dispersion, and intrinsic viscosity were used to estimate the molecular conformation and shape of the samples in m-cresol at room temperature. The results revealed an appreciable conformational induction both in tri-block and di-block

copolypeptides.

3-2 Polypeptide Samples

The preparation of triblock copolypeptides of the type BLG-CBL-BLG was carried out in DMF at room temperature according to the following scheme. First, BLG-NCA was polymerized with n-butylamine as an initiator, with the mole ratio of NCA to initiator fixed at 20. The initial NCA concentration was about 5 % by weight. The process of polymerization was followed by measuring the pressure of CO₂ gas evolved. After about three hr the evolution of CO₂ gas stopped, and an aliquot of the polymerization mixture was transferred to mixture of CBL-NCA and DMF, in which the NCA-initiator mole ratio had been adjusted to a specific value. After the polymerization initiated by the aliquot had been continued for six hr, a weighed amount of BLG-NCA dissolved in DMF was added, and the polymerization mixture was allowed to stand overnight with stirring. The final mixture was poured into a large volume of methanol, and the polypeptide precipitated was collected and freeze-dried from dioxane solution. In this way, five samples were prepared, which were expected to contain a CBL block of desired length (5 to 60 residues) between two BLG blocks, each consisting of about 20 residues. Another sample of

the structure BLG-CBL was prepared in a similar way.

According to well-established evidence,^{51,53,65-68} the amino acid residue at the growing end of each preformed polypeptide chain maintains activity so that it can initiate polymerization of newly added NCA. Thus, during the period of standing, a polypeptide chain should grow from one end of the each preformed polypeptide chain to form a block copolypeptide. The length of the blocks depend on the mole ratio of NCA to initiator under the assumption described above.

The triblock copolypeptide samples were fractionated by the column elution method with mixtures of methanol and dichloromethane as eluent, and the appropriate middle fractions were chosen for measurements. These fractionation data indicated that the original samples had relatively narrow distributions in both molecular weight and composition. Table 3-1 summarizes the preparative data. The amino acid compositions of the block copolypeptides were determined by elemental analysis. The weight-average molecular weights of the fractionated samples were determined by the sedimentation equilibrium method, with the results given in Table 3-2.

Table 3-1. Preparative data for block copolypeptides

Sample code	$[A]_0/[I]_0^a$	$\bar{M}_v \times 10^{-4}^b$	\bar{N}_v	CBL ^c mole %	Yield %
GLG-1	20-5-20	1.05	46.9	11.5	86
GLG-2	20-10-20	1.18	52.0	18.5	83
GLG-3	20-20-20	1.32	56.9	29.9	82
GLG-4	20-40-20	1.75	73.2	46.3	87
GLG-5	20-60-20	2.30	94.4	57.5	87
GL-6	20-20	1.12	46.9	45.9	71

a. Mole ratio of NCA to initiator.

b. Calculated from intrinsic viscosities in DCA at 25°C, $[\eta]_{DCA}$, using an empirical relation between $[\eta]_{DCA}$ and \bar{M}_w for the block copolypeptides shown in Figure 3-8.

c. Estimated by elemental analysis.

3-3 Reaction Kinetics

Polymerization of NCA initiated with a primary amine usually follows a first-order reaction scheme represented by^{51,65-68}

$$\log ([A]_0/[A]_t) = 0.434[I]_0k_2t \quad (3-1)$$

where $[I]_0$ is the molar concentration of initiator at time $t = 0$ and k_2 is the rate constant for the propagation step

Table 3-2. Molecular weights of fractionated block copolypeptides

Sample code	$\bar{M}_w \times 10^{-4}$	$A_2 \times 10^4$ mol ml/g ²	CBL mol %	\bar{N}_w^a	\bar{N}_G^b	\bar{N}_L^c
GLG-12	1.31	8.1	11.3	58.5	51.9	6.6
GLG-22	1.39	6.9	18.8	61.1	49.6	11.5
GLG-331	1.64	6.3	28.5	71.0	50.8	20.2
GLG-42	1.79	6.2	45.9	74.9	40.5	34.4
GLG-52	2.16	5.0	58.9	88.5	36.4	52.1
GL-6	1.34	6.7	45.9	56.1	30.4	25.7

a. Weight-average degree of polymerization.

b. Average degree of polymerization of BLG residues.

c. Average degree of polymerization of CBL residues.

in liter/mol sec. This equation can be transformed to the statement that plots of $\log([A]_0/[A]_t)$ versus t follow a straight line passing through the origin and that, with the value of $[I]_0$ known separately, one can evaluate k_2 from the slope of the line. Equation (3-1) holds when the initiation step is much faster than the propagation step, and moreover, the latter proceeds without termination. If such an ideal reaction mechanism is obeyed, the product should have a narrow molecular weight distribution

represented by Poisson's formula, and the number-average degree of polymerization should be equal to $[A]_0/[I]_0$. In fact, it was found^{49,53,65} that polymerization of BLG-NCA in DMF typically obeyed this ideal reaction mechanism, and the finding was successfully used to synthesize once-broken rod polypeptides^{49,61} and DL block copolypeptides⁴⁹ of narrow molecular weight distribution. These studies suggest that use of DMF as solvent together with a primary amine as initiator was crucial for realization of the ideal reaction mechanism: polymerization of BLG-NCA in dioxane was shown to follow a two-stage reaction mechanism^{53,65} and to yield a very broad distribution of molecular weight. Therefore, the present copolymerization was carried out with DMF as solvent and n-butylamine as initiator, with the expectation that the sample should have a sequence length distribution close to that computed from the $[A]_0/[I]_0$ values taken at the three steps of the copolymerization. The following data confirm this expectation.

Figure 3-2 illustrates typical kinetic data for the case in which $[A]_0/[I]_0 = 10$ at each of the three stages. It is to be observed that CO_2 gas started evolving immediately after addition of each NCA solution. The three portions of the curve, two for polymerization of BLG-NCA

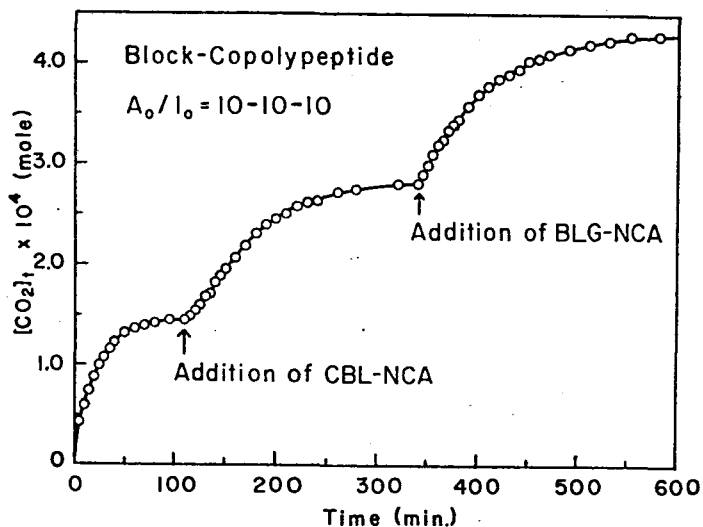


Figure3-2.

Kinetic curve for block copolymerization of BLG-NCA and CBL-NCA with initiation by n-butylamine in DMF at 25°C. The $[I]_0$ at the three stages were 13.3×10^{-3} , 6.63×10^{-3} , and 4.63×10^{-3} mol/l, respectively, with the mole ratios of NCA being fixed at 10.

and one for polymerization of CBL-NCA, are separately replotted in Figure 3-3 according to Eq. (3-1). For all the three kinetic processes the experimental data are seen to obey Eq. (3-1). The polymerization rates for this and another case in which $[A]_0/[I]_0 = 5$ are given in Table 3-3. For comparison, homopolymerization of CBL-NCA was carried out in DMF of 25°C with n-butylamine as initiator. The results also conformed to the first-order reaction

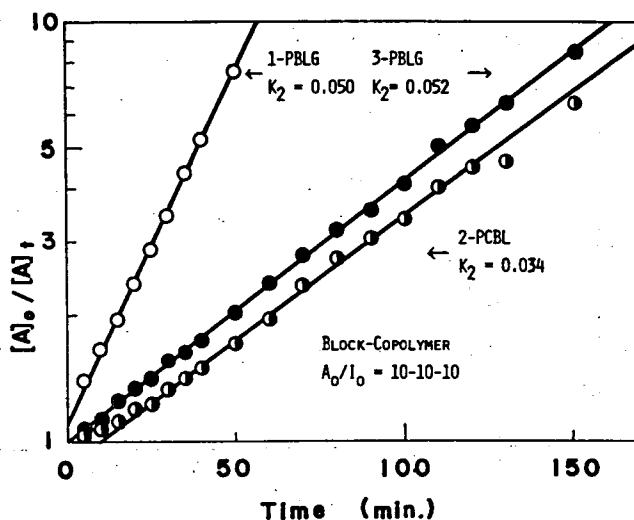


Figure 3-3.

Logarithm of $[A]_0/[A]_t$ plotted versus time with the data shown in Figure 3-2.

mechanism and yielded the rate constants included in Table 3-3. Within experimental uncertainty, the rate constants for CBL-NCA are identical both in the homopolymerization and in the copolymerization. It is also seen that the rate constants for the BLG-NCA in the initial and final steps of the copolymerization are in substantial agreement with each other. Therefore, a block copolymer synthesized in this way is expected to have a sequence length distribution corresponding to the amounts of NCAs that have been fed successively into the

Table 3-3. Polymerization rates of NCAs
in DMF at 25°C

NCA ^a	[A] ₀ /[I] ₀	k ₂ , l/mol sec
BLG-CBL-BLG	10-5-10	0.053-0.038-0.048
BLG-CBL-BLG	10-10-10	0.050-0.034-0.052
CBL	10	0.039
CBL	20	0.049
CBL	30	0.044

a. Polymerized with initiation by n-butylamine
from left to right in the indicated order.

reaction mixtures.

Preparative polymerizations were carried out in a similar fashion, except that the reaction times were longer than those for the kinetic experiments. The results are summarized in Table 3-1, which, as expected from the above-mentioned discussion of the kinetic data, shows that the viscosity-average degree of polymerization of the samples obtained are in good agreement with the total mole ratios of NCA to initiator. The mole fractions of CBL residues determined from elemental analysis also agree with those calculated from the amounts of the NCAs used.

3-4 Optical Rotatory Dispersion

Table 3-4 lists values of the Moffitt parameters a_0 and b_0 obtained for CBL-BLG copolypeptides. The values of b_0 for the triblock copolypeptides are in the range between -460 and -540 at 20°C and a little larger at 40°C. With the understanding that b_0 is a direct measure of helical fraction, we may conclude for the reason given later that the triblock copolypeptides examined are essentially helical in m-cresol.

As the degree of polymerization N decreases, the b_0 of PBLG in m-cresol shows a systematic increase, starting

Table 3-4. Moffitt parameters for block copolypeptides in m-cresol

Sample code	$-b_0$		a_0	
	20°C	40°C	20°C	40°C
GLG-12	539	517	362	333
GLG-22	532	513	323	294
GLG-331	513	493	267	243
GLG-42	459	443	158	146
GLG-52	463	466	111	119
GL-6	405	436	158	171

from a value of -630 characteristic of α -helix at very large N and reaching -540 at N = 50 when estimated from available data.^{49,60,69} No direct estimation of the b_0 for helical PCBL in m-cresol is feasible, because this polypeptide in m-cresol cannot be brought to a perfect helix in the temperature range accessible to experiment. On the other hand, both PBLG and PCBL become helical in DMF, but the $-b_0$ value of the latter is lower than that of the former by 10 - 15 %.⁵⁷ If a similar difference is assumed to exist for m-cresol solution, we may assign a value of -460 for the b_0 of a helical PCBL sample with an N of 50 in m-cresol. Thus the b_0 values for helical triblock copolypeptides with an N of 50 should increase from -540 to -460 as the CBL content increases.

Looking at Table 3-4 with this expectation, one finds that the triblock copolypeptides studied all assume helical conformation in m-cresol at 20 and 40°C. Since the isolated PCBL chains in the same solvent at 20°C are randomly coiled, this finding implies that the flanking helical BLG blocks act to induce helical winding of the central CBL block. Naturally, the fact that both PBLG and PCBL form helices of the same screw sense (right-handed) should favor such an induction effect, but it is rather surprising that the effect is still apparent even in sample

GLG-52 which contains as long a central CBL block as 60 residues.

The b_0 for a BLG-CBL diblock copolypeptide GL-6 is -405 at 20°C and decreases to -436 at 40°C. This fact indicates that the CBL block, which is partially helical even at 20°C, presumably due to conformational induction of the BLG block, becomes more helical at 40°C, and it is consistent with the observation that PCBL itself undergoes a thermally-induced coil-to-helix transition in the temperature range 20 - 40°C.^{57,62-64}

Conformational induction has also been reported for DL and other types of block copolypeptides,^{53,70,71} and the findings have been used to determine unknown screw senses of particular residues blocked with residues of known screw sense.^{70,71} The degree of conformational induction is expected to depend on the sequence of the blocks as well as on the relative stability of their helices, i.e., the free energy difference between helical residues. Theories have been worked out in the conformations of various types of copolypeptides,^{39,71,72} but they are not in the form amenable for a direct comparison with the present data. In this connection, we wish to remark that Klug and Applequist⁷¹ have recently analyzed ORD data for a series of DL block copolypeptides

of varying DL ratios. They obtained a value of 100 - 400 cal/mol for the free energy difference between right- and left-handed α -helices of PBG and poly(L-tyrosine) compared it with an estimate from conformational analysis.⁷³ In the next chapter, we will develop a theoretical treatment of block copolypeptides and discuss their conformations statistical-mechanically.

3-5 Dielectric Dispersion

The dielectric method gives the dipole moment and relaxation time of the dissolved molecule, both of which are closely related to the molecular conformation. A polypeptide molecule in the helical conformation has a large electric dipole along the helix axis.^{61,63, 74-85} The orientation of residue dipoles in the α -helix is schematically shown in Figure 3-4. For a rigid α -helical molecule, the resultant dipole moment may be proportional to the degree of polymerization. However, the dipole moment of a random coil or a broken-rod polypeptide may be different from that of the α -helical one, because the dipole moment is a vectorial quantity. Thus introduction of only one break in the helix is expected to bring about a drastic change in its dielectric characteristics. It is also pertinent to remark that the dielectric method is

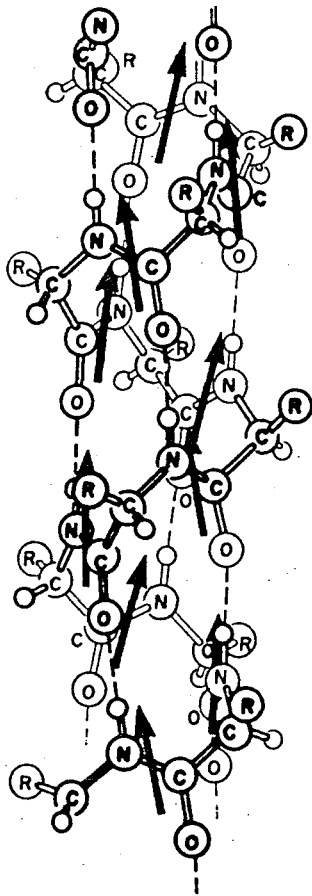


Figure 3-4.
Orientation of residue
dipole in the α -helix.⁷⁶

particularly powerful for low molecular weight samples studied here, to which the light-scattering method is not always applicable with accuracy.

The dielectric dispersion of a solution characterized by a single relaxation time τ obeys Debye's equations

$$\Delta\epsilon' = \epsilon_{\infty} + [\Delta\epsilon/(1 + \omega^2\tau^2)] \quad (3-2)$$

$$\Delta\epsilon'' = \Delta\epsilon\omega\tau / (1 + \omega^2\tau^2) \quad (3-2)$$

where $\Delta\epsilon'$ and $\Delta\epsilon''$ are the real and imaginary parts of the complex dielectric constant of the solution in excess of those of the solvent, respectively. and $\Delta\epsilon = \epsilon_s - \epsilon_\infty$, with ϵ_s being the static dielectric constant of the solution and ϵ_∞ the dielectric constant in the region of high frequency where only the solvent molecule contribute to the polarization. A plot of $\Delta\epsilon''$ versus $\Delta\epsilon'$, called the Cole-Cole plot, forms a semicircle with its center on the $\Delta\epsilon'$ axis, and the segment cut out of the horizontal axis by the circle, i.e., the diameter of the circle, gives $\Delta\epsilon$, and the frequency f_c corresponding to the summit of the arc is related to the mean rotational relaxation time τ by $\tau = 1/2\pi f_c$.

Figure 3-5 shows a typical Cole-Cole plots for samples GLG-331 and GL-6 in m-cresol at 20°C. The data points taken in the frequency range between 250 Hz and 2 MHz fall on a semicircle whose center is located near the $\Delta\epsilon'$ axis. The closeness of the plot for GLG-331 to the Debye circle indicates that the sample is nearly homogeneous with respect to relaxation times, and hence with respect to molecular weights, too. Similar results were obtained for other fractionated samples. Since polydispersity may give

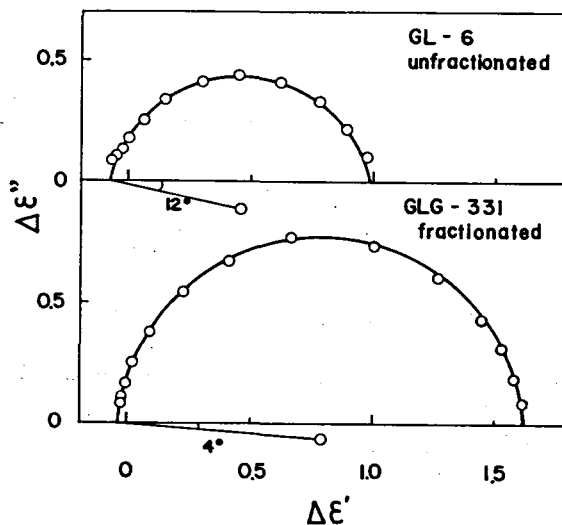


Figure 3-5.
 Cole-Cole plots for samples GLG-331 and
 GL-6 in m-cresol at 20°C.

rise to non-Debye type dispersions, the Cole-Cole plot may be used as a sensitive measure of heterogeneity in molecular weight. Looking at Figure 3-5, one sees that unfractionated sample GL-6 gives a Cole-Cole plot whose center is displaced a little more downward. This fact suggests that this sample has a broader molecular weight distribution than those of the fractionated samples. However, from our previous studies with PBLG,⁶¹ we infer that the polydispersity index \bar{M}_w/\bar{M}_n of sample GL-6 would not have been more than 1.2, where \bar{M}_n is the number-

average molecular weight.

In order to calculate the mean-square dipole moment from experimental value for $\Delta\epsilon$, we made use of the equation proposed by Applequist and Mahr,⁷⁹ which can be recast at the limit of infinite dilution in the form⁶¹

$$\langle \mu^2 \rangle^{1/2} = (3kTM/4\pi N_A)(\Delta\epsilon/c)(q/fg) \quad (3-3)$$

where M is the molecular weight of the polypeptide, k is the Boltzmann constant, T is the absolute temperature, N_A is the Avogadro's number, and c is the solute concentration in g/ml. The factor q/fg depends primarily on the shape of the solute molecule; it is determined in particular by the axial ratio p for ellipsoids of revolution.^{15,61,86} We here assume^{15,61} that the molecular shape of a copolypeptide is approximated by an ellipsoid of revolution with an axial ratio equal to $\bar{N}_w/10$, with \bar{N}_w being the weight-average degree of polymerization. Table 3-5, which summarizes the calculated results, shows that $\langle \mu^2 \rangle^{1/2}$ for the triblock copolypeptides in *m*-cresol at 20°C are approximately proportional to \bar{N}_w . This proportionality suggests that the molecular shape is rodlike, the fact compatible with the conclusion from the ORD data that the triblock copolypeptides examined are essentially helical under the same solvent conditions.

Table 3-5. Dielectric dispersion data for block copolypeptides in m-cresol

Sample code	$\langle \mu^2 \rangle^{1/2}$, D				$\tau T / \eta_0 \times 10^3$, sec deg/poise			
	10°C	20°C	30°C	40°C	10°C	20°C	30°C	40°C
GLG-12	287	295	300	-	3.14	3.07	2.90	-
GLG-22	299	305	310	-	3.52	3.37	3.12	-
GLG-331	346	352	359	-	4.91	4.76	4.74	-
GLG-42	374	379	383	378	5.66	5.52	5.29	4.94
GLG-52	-	457	458	453	-	8.25	7.69	7.41
GL-6	227	234	-	-	2.89	2.79	-	-

If a triblock copolypeptide molecule assumes a straight-rod shape in the temperature range investigated, the resultant mean dipole moment may be expressed by the following equation

$$\mu = \mu_G \bar{N}_G + \mu_L \bar{N}_L \quad (3-4)$$

where μ_G and μ_L are the monomeric dipole moments in the direction of the helix axis for BLG and CBL residues, respectively, and \bar{N}_G and \bar{N}_L are the degree of polymerization of BLG and CBL residues, respectively. It is, however, reasonable to consider that some residues at the ends of each block cannot be involved in a helical

section. Assuming that the number of such residues is constant and independent of the degree of polymerization, Eq. (3-4) is modified to

$$\mu - \mu_G \bar{N}_G = (\mu^* - \mu_G \bar{N}_G^* - \mu_L \bar{N}_L^*) + \mu_L \bar{N}_L \quad (3-5)$$

where the asterisk denotes the values of a standard sample chosen arbitrarily. This equation predicts that a plot of $\mu - \mu_G \bar{N}_G$ versus \bar{N}_L follows a straight line, that μ can be evaluated from the slope of the line, and that the ordinate intercept gives the contribution from the nonhelical loose ends. In Figure 3-6, the observed values

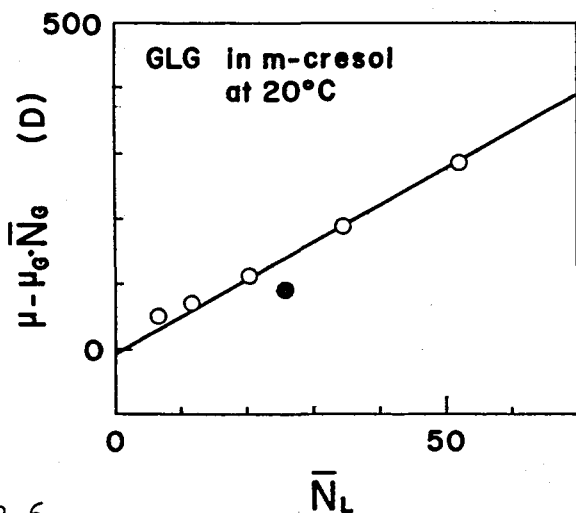


Figure 3-6.

A plot of $\mu - \mu_G \bar{N}_G$ versus the degree of polymerization \bar{N}_L of CBL block. The value of 4.7 D was used for μ_G .⁶¹ The full circle denotes the result for sample GL-6.

of $\mu - \mu_G \bar{N}_G$ are plotted against \bar{N}_L . Here we used for μ_G the value of 4.7 (D) obtained by Matsumoto et al.⁶¹ The indicated line yields 5.6 ± 0.1 D for μ_L , which is in fair agreement with 5.4 and 6.2 D deduced for PCBL in m-cresol by Omura et al.⁶³ from dipole moment data in the helix-coil transition region. Therefore we conclude that the average dipole moment per residue is larger for CBL than for BLG. Much lower values would be obtained for μ if the central block were randomly coiled and bent. Note that μ_G for once-broken rod PBLG is nearly half as large as that for straight rod one.^{61,78} In Figure 3-6, the full circle denotes the result for sample GL-6. It appears below the indicated line. This result means incomplete helix formation of this sample, and is consistent with the ORD data.

Figure 3-7 shows double-logarithmically the \bar{N}_w dependence of mean rotational relaxation time τ corrected for solvent viscosity η_0 and absolute temperature T . The dashed lines denote the data by Matsumoto et al.⁶¹ for PBLG in helicogenic solvents. The data for the triblock copolypeptides follow closely the curve for straight rod PBLG and are much larger than those for once-broken rod ones. These results also lend support to the conclusion that the molecular shape of the triblock copolypeptides

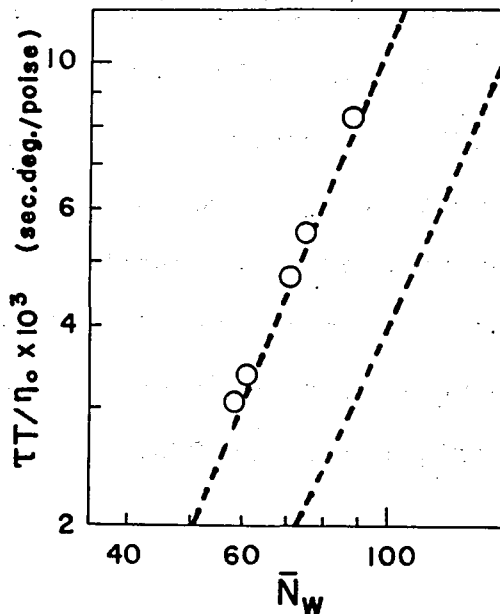


Figure 3-7.

Double logarithmic plot of $\tau T / \eta_0$ versus \bar{N}_w for block copolypeptides of BLG and CBL in m-cresol at 20°C.

The dashed lines denote the data for straight rod PBLG (upper) and for once-broken rod PBLG (lower), respectively.⁶¹

in m-cresol is essentially rodlike.

3-6 Intrinsic Viscosity

Intrinsic viscosities in a helix-breaking solvent DCA and in m-cresol are summarized in Table 3-6 and are compared in Figure 3-8, where the two dashed lines

represent the data for PBLG in DCA and in a helicogenic solvent DMF.^{15,49} In this range of \bar{N}_w , the statistical radius of a polypeptide molecule should be smaller in the helical state than in the random coil state, and so is the intrinsic viscosity. The present data for the triblock copolypeptides are consistent with this prediction, because, as demonstrated by ORD and dielectric measurements, the molecular conformation is helical in m-cresol and randomly coiled in DCA.

Table 3-6. Viscosity data for block copolypeptides in DCA and m-cresol

Sample code	[η], dl/g		
	DCA 25°C	m-cresol 20°C 40°C	
GLG-12	0.158	0.0785	0.0670
GLG-22	0.164	0.0840	0.0755
GLG-331	0.195	0.106	0.0970
GLG-42	0.198	0.112	0.103
GLG-52	0.220	0.115	0.107
GL-6	0.151	0.0895	0.0790

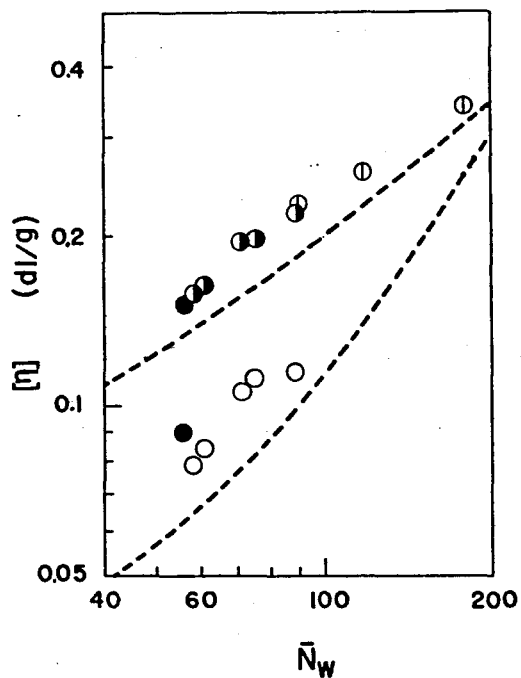


Figure 3-8.

Comparison of intrinsic viscosity - \bar{N}_w relationships. (⊕), PCBL in m-cresol at 15°C, ⁵⁷ (○), block copolypeptides in m-cresol at 20°C and (●), in DCA at 25°C; (●), data for sample GL-6. The dashed lines denote the data for PBLG in DCA (upper) and in DMF (lower) at 25°C. ^{15,49}

3-7 Summary

Block copolypeptides of γ -benzyl L-glutamate and ϵ -carbobenzoxy L-lysine were synthesized by polymerization of the corresponding amino acid-N-carboxy anhydrides with initiation by n-butylamine. Optical rotatory dispersion data showed that the block copolypeptides of the type BLG-CBL-BLG were essentially helical in m-cresol at 20°C, despite the fact that in the same solvent conditions the isolated CBL chains assume completely random coiled conformation. Dielectric dispersion and viscosity data indicated the shape of the triblock copolypeptides was rodlike. These results suggest that the central CBL block is forced to take up a rigid helical conformation by an interaction from the flanking BLG blocks, which tend to assume a stable helical conformation under the solvent conditions studied. The conformational induction by the BLG blocks extend over a distance as long as 60 residues when each of the BLG blocks is 20 residues long.

Chapter 4

Helix-Coil Transition of Block Copolypeptides of γ -Benzyl L-Glutamate and ϵ -Carbobenzoxy L-Lysine

4-1 Introduction

It has been shown in Chapter 3 that triblock copolypeptides of the type BLG-CBL-BLG are essentially helical in *m*-cresol at room temperature, although under the same solvent condition, the isolated CBL chain of a length similar to that of the central block is completely randomly coiled. This fact is an example of the conformational induction effect that have been demonstrated with various combinations of peptide residues.^{53,71,72} The extent of conformational induction is expected to vary with the solvent condition under which the polymer is studied as well as with the residue combination. It is therefore interesting to examine the conformation of the triblock copolypeptide BLG-CBL-BLG in solvent mixtures of varying helix-supporting power. In the work described below, we have chosen mixtures of DCA and EDC for the reason that detailed information was available about the helix-coil transitions of the homopolypeptides of constituent residues, PBLG and PCBL, in these mixtures.^{30,32,56,57}

According to the well-established theories,^{15,16} the

conformation of a helix-forming homopolypeptide in dilute solution is characterized by three parameters, i.e., the degree of polymerization of the polypeptide N , the equilibrium constant between helix and random coil residues s , and the helix-initiation parameter σ . Various attempts have been made to extend these theories to copolypeptides by introducing some additional assumptions and approximations.^{12,13,33-40} However, their applications have been restricted only to random copolypeptides.^{39,41-47} In this chapter, a similar theoretical approach aiming especially at block copolypeptides is presented. The derived theoretical expressions are used to predict characteristic features of the conformational induction in block copolypeptides and applied to detailed experimental data obtained for the BLG-CBL-BLG copolypeptides.

Our triblock copolymers exhibit an appreciable conformational transition at room temperature in DCA-EDC mixtures of such DCA contents in which PBLG is almost perfectly helical^{30,56} but PCBL is randomly coiled.^{32,57} Therefore, the homopolymer data for the transition parameters evaluated at different solvent compositions must be extrapolated to the solvent composition range appropriate for the copolymers. To check the validity of the extrapolation procedure employed, we have attempted a

direct determination of the transition parameters for PBLG under the necessary solvent conditions and found a satisfactory agreement between the direct and indirect estimates.

4-2 Theoretical

The conformation of an α -helix-forming polypeptide in solution can be described by the theory of Zimm and Bragg¹⁷ and of the subsequent authors.¹⁸⁻²² Here we follow Nagai's formulation²² in order to develop a theory adaptable to block copolypeptides, especially of the type G-L-G. The theories of block copolypeptides proposed so far are not necessarily in the form applicable to experimental data.⁷² At first, Nagai's theory²² is described briefly, and its extension to block copolypeptides is presented.

4-2-1 The Theory of Nagai for Homopolypeptides

Let us consider a homopolypeptide chain consisting of N monomeric residues which are numbered 1, 2, ..., N from the carboxy terminal. For convenience of presentation, a peptide residue ($-\text{CO}-\text{HC}^\alpha\text{R}-\text{NH}-$) is called helix unit when distorted to the α -helical conformation, while it is random coil unit when allowed to rotate around the bonds $\text{C}-\text{C}^\alpha$ and $\text{C}^\alpha-\text{N}$. Here R denotes the side chain attached to the

α -carbon. These units are designated h and c, respectively. Thus a particular conformation of an α -helix-forming chain is represented by sequence of the symbols h and c. The NH group of the i-th residue is assumed to form a hydrogen bond with the CO group of the (i+4)-th residue if and only if the three residues between them are distorted simultaneously to the α -helical conformation. To conform to this α -helical conformation, therefore, it is necessary to consider not only the nearest neighbor interaction but also the interaction among essentially three consecutive residues.

We now derive the partition function by the matrix method, taking into account the interaction among four consecutive residues. In so doing, we make use of a transition probability matrix P whose elements are determined by the statistical weights of the central residue in three consecutive residues in seven different conformations. The statistical weights assigned are as follows:

hhh	1
hch	1
chh	$\sigma^{1/2}$
cch	1
hhc	$\sigma^{1/2}$

hcc	1
ccc	1

We exclude two joint conformations chc and chhc, since these conformations, though not impossible, are physically unreasonable. This is because only one or only two consecutive distorted residues do not lead to the formation of intramolecular hydrogen bond. The parameter s is the statistical weight of a helix unit inside a helical sequence, or it may be interpreted as the equilibrium constant for the growing reaction of a helical sequence. The parameter $\sigma^{1/2}$ is the statistical weight of the terminal unit of a helical sequence and called the cooperativity parameter for the formation of helical sequences.

If it is assumed that the carboxyl group and amino group of the terminal residues of the chain are not capable of hydrogen-bonding, the partition function of an α -helix-forming chain consisting of N residues, Z_N , can be written

$$Z_N = e_1 P^{N-2} e_N \quad (4-1)$$

with the transition probability matrix P give by

			i+1	h	h	h	h	c	c	c
			i	h	c	h	c	h	c	c
i-2	i-1	i	i-1	h	h	c	c	h	h	c
h	h	h		s	0	0	0	$\sigma^{1/2}$	0	0
h	c	h		0	0	$\sigma^{1/2}$	0	0	0	0
c	h	h		s	0	0	0	0	0	0
c	c	h	P =	0	0	$\sigma^{1/2}$	0	0	0	0
h	h	c		0	1	0	0	0	1	0
h	c	c		0	0	0	1	0	0	1
c	c	c		0	0	0	1	0	0	1

(4-2)

Since the first and N-th residues cannot be involved in a helical section, the end vectors associated with the first and N-th residues are written

$$\begin{aligned}
 \underline{e}_1 &= (0, 0, 0, 1, 0, 0, 1) \\
 \underline{e}_N &= (0, 0, 0, 0, 1, 1, 1)^T
 \end{aligned}
 \tag{4-3}$$

respectively, where the superscript T denotes the transpose of a vector.

The characteristic equation of \underline{P} is found to be

$$\lambda^2(\lambda - s)(\lambda - 1) = s\sigma
 \tag{4-4}$$

The eigenvalues of \underline{P} , i.e., the root of this equation, are

denoted by $\lambda_1, \lambda_2, \lambda_3,$ and λ_4 in their order of magnitude, i.e., $\lambda_1 > \dots > \lambda_4$. With these eigenvalues, the right- and left-hand eigenvectors, $\underline{U}(\lambda)$ and $\underline{V}(\lambda)$, are expressed as

$$\begin{aligned} \underline{U}(\lambda) &= \left(1, \frac{s\sigma^{1/2}}{\lambda^2}, \frac{s}{\lambda}, \frac{s\sigma^{1/2}}{\lambda^2}, \frac{\lambda-s}{\sigma^{1/2}}, \frac{\lambda-s}{\sigma^{1/2}}, \frac{\lambda-s}{\sigma^{1/2}}\right)^T \\ \underline{V}(\lambda) &= c(\lambda) \left(1, \frac{\sigma^{1/2}}{\lambda^2}, \frac{\lambda-s}{s}, \frac{\lambda-s}{s\sigma^{1/2}}, \frac{\sigma^{1/2}}{\lambda}, \frac{\sigma^{1/2}}{\lambda^2}, \frac{\lambda-s}{s\sigma^{1/2}}\right) \end{aligned} \quad (4-5)$$

where

$$c(\lambda) = \lambda(\lambda - 1)F(\lambda)$$

with

$$F(\lambda) = 1/[4\lambda^2 - 3(1 + s)\lambda + 2s]$$

Since the matrices \underline{P} and \underline{P}^{N-2} may be expanded as

$$\underline{P} = \sum_{i=1}^4 \lambda_i \underline{U}(\lambda_i) \underline{V}(\lambda_i) \quad (4-6)$$

$$\underline{P}^{N-2} = \sum_{i=1}^4 \lambda_i^{N-2} \underline{U}(\lambda_i) \underline{V}(\lambda_i) \quad (4-7)$$

Eq. (4-1) is brought to the expression

$$\begin{aligned} Z_N &= \sum_{i=1}^4 \lambda_i^{N-2} [\underline{e}_1 \underline{U}(\lambda_i) \underline{V}(\lambda_i) \underline{e}_N] \\ &= \sum_{i=1}^4 \lambda_i^N (\lambda_i - s) F(\lambda_i) \end{aligned} \quad (4-8)$$

By using Z_N thus obtained Nagai has derived expressions for

various important average quantities which characterize the equilibrium conformation of α -helix-forming polypeptide chain.²² Two of them are given below.

The average fraction of intact hydrogen bonds in the chain, θ_N , is represented by

$$\theta_N = N^{-1} \partial(\ln Z_N) / \partial(\ln s) \quad (4-9)$$

Substitution of Eq. (4-8) yields

$$\theta_N = N^{-1} Z_N^{-1} \sum_{i=1}^4 \lambda_i^N [Nc(\lambda_i) \zeta(\lambda_i) - I_1(\lambda_i)] \quad (4-10)$$

where

$$\zeta(\lambda) = (\lambda - s)F(\lambda)$$

$$I_1(\lambda) = 2\lambda(\lambda - 1)(\lambda - s)(2\lambda^2 - s)F(\lambda)^3$$

The average fraction of helix units in the chain, f_N , can be calculated from

$$\begin{aligned} f_N &= N^{-1} [\partial(\ln Z_N) / \partial(\ln s) + \partial(\ln Z_N) / \partial(\ln \sigma^{1/2})] \\ &= N^{-1} Z_N^{-1} \sum_{i=1}^4 \lambda_i^N [Nf(\lambda_i) \zeta(\lambda_i) - I_2(\lambda_i)] \quad (4-11) \end{aligned}$$

where

$$f(\lambda) = (\lambda - 1)(3\lambda - 2s)F(\lambda)$$

$$I_2(\lambda) = 2\lambda(\lambda - 1)(\lambda - s)(6\lambda^2 - 8s\lambda + 3s^2)F(\lambda)^3$$

4-2-2 Theory of Triblock Copolypeptides

We next develop a proper form of the partition function for a triblock copolypeptide of the type G-L-G; G and L denote two kinds of amino acid residues. As in the case of homopolypeptide discussed above, it is assumed that the statistical weight of a central residue in given three consecutive residues is determined by the joint conformation of the three residues concerned, but this time their residue arrangement has to be taken into account. Then each residue, excepting those located at G-L and L-G block boundaries, may be given the transition probability matrix characteristic of the corresponding homopolypeptide. At least four residues at a block boundary need a separate consideration, because each of them lies in a different residue arrangement from each other. However, we here assume that each residue is given the transition probability matrix characteristic of the corresponding homopolypeptide irrespective of its location. This assumption is equivalent to replacing the statistical weights of the boundary residues by the geometric means of the corresponding ones of the two residues G and L. Effect of such a replacement on average quantities of the molecule may be relatively small, the population of the boundary residues being small in the block copolypeptides

to be considered. The validity of this approximate treatment, however, has to be tested with experimental data.

Let us consider a triblock copolyptide
 $(BLG)_m - (CBL)_n - (BLG)_p$ having $m, n,$ and p residues on each block. Application of Nagai's theory²² to this polypeptide, with the assumption introduced above, gives the partition function Z_N of the form:

$$Z_N = e_{\sim 1} (P_{\sim G})^{m-1} (P_{\sim L})^n (P_{\sim G})^{p-1} e_{\sim N} \quad (4-12)$$

where the subscripts G and L refer to BLG and CBL, respectively, and $N = m + n + p$. After similar manipulations employed in the previous section, Eq. (4-12) is transformed to

$$\begin{aligned} Z_N &= e_{\sim 1} \left[\sum_{i=1}^4 \lambda_{Gi}^{m-1} \tilde{U}(\lambda_{Gi}) \tilde{V}(\lambda_{Gi}) \right] \left[\sum_{j=1}^4 \lambda_{Lj}^n \tilde{U}(\lambda_{Lj}) \tilde{V}(\lambda_{Lj}) \right] \\ &\quad \times \left[\sum_{k=1}^4 \lambda_{Gk}^{p-1} \tilde{U}(\lambda_{Gk}) \tilde{V}(\lambda_{Gk}) \right] e_{\sim N} \\ &= \sum_{i,j,k=1}^4 \lambda_{Gi}^{m-1} \lambda_{Lj}^n \lambda_{Gk}^{p-1} \tilde{V}(\lambda_{Gi}) \tilde{U}(\lambda_{Lj}) \tilde{V}(\lambda_{Lj}) \tilde{U}(\lambda_{Gk}) \\ &\quad \times [e_{\sim 1} \tilde{U}(\lambda_{Gi}) \tilde{V}(\lambda_{Gk}) e_{\sim N}] \\ &= \sum_{i,j,k=1}^4 \lambda_{Gi}^m \lambda_{Lj}^n \lambda_{Gk}^p \tilde{V}(\lambda_{Gi}) \tilde{U}(\lambda_{Lj}) \tilde{V}(\lambda_{Lj}) \tilde{U}(\lambda_{Gk}) \end{aligned}$$

$$\begin{aligned}
&= \sum_{i,j,k=1}^4 \lambda_{Gi}^m \lambda_{Lj}^n \lambda_{Gk}^p V(\lambda_{Gi}) U(\lambda_{Lj}) V(\lambda_{Lj}) U(\lambda_{Gk}) \\
&\quad \times (\lambda_{Gi} - s_G) F(\lambda_{Gk}) \quad (4-13)
\end{aligned}$$

where λ_{Gi} and λ_{Lj} ($i, j=1, 2, 3, 4$) are the roots of Eq. (4-4), with s and σ being replaced by s_G and σ_G and s_L and σ_L , respectively. The helical fraction of block copolypeptide can be calculated by Eq. (4-9) with

$$\partial/\partial(\ln s) = \partial/\partial(\ln s_G) + \partial/\partial(\ln s_L) \quad (4-14)$$

4-2-3 Theoretical Predictions

It has been shown in Chapter 3 that the central CBL block in a triblock copolypeptide of the type BLG-CBL-BLG is forced to take up an α -helical conformation in *m*-cresol in which PCBL itself undergoes a sharp thermal transition. At first, we shall explain this conformational induction by using the copolymer theory developed in the previous section. PBLG is known to form a stable α -helix in *m*-cresol with the transition parameters of $s_G = 1.61$ and $\sigma_G^{1/2} = 0.04$,⁶⁰ while the thermal transition of PCBL in *m*-cresol is characterized by a much smaller $\sigma_L^{1/2}$ of 0.0025.⁵⁷ In the discussion to follow, these parameter values are used to test theoretical predictions on the helix stability of the triblock copolypeptide under the

solvent conditions considered.

Figure 4-1 illustrates the dependence of helical fraction θ_N on the degree of polymerization N_L of the central CBL block as a function of s_L , with the degree of polymerization N_G of each flanking BLG block being fixed at 20. It is seen that θ_N first increases, passes through a maximum, and then decreases gradually with increasing N_L .

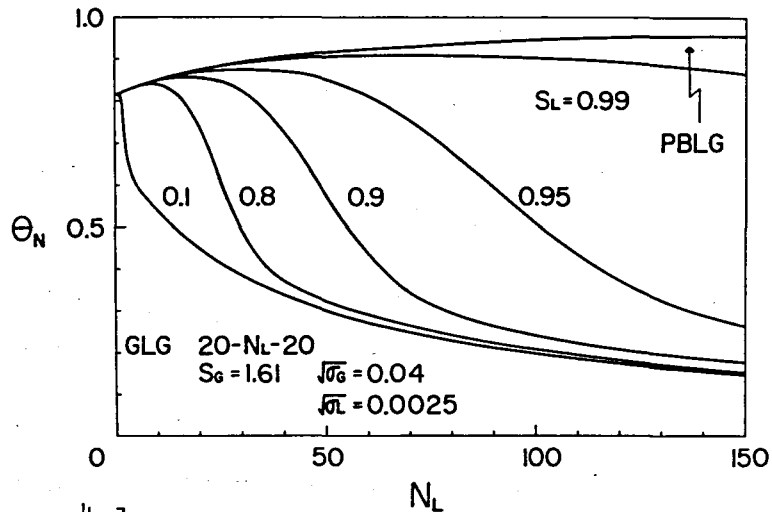


Figure 4-1.

Plots of helical fraction θ_N versus the degree of polymerization N_L of central CBL block as a function of s_L , with the degree of polymerization N_G of each flanking BLG block being fixed at 20; 20- N_L -20. The curve labeled as PBLG indicates the theoretical values of θ_N for PBLG having the same degree of polymerization as the triblock copolyptide. The parameters chosen are $s_G = 1.61$, $\sigma_G^{1/2} = 0.04$, and $\sigma_L^{1/2} = 0.0025$.

However, for $s_L > 0.95$, the change in θ_N with N_L is very small at least up to N_L of 60. The values of θ_N are converted to the average number of helical residues N_h and plotted against N_L in Figure 4-2. Unless s_L is too small, N_h first increases linearly with N_L , which implies that a short CBL block sandwiched between BLG blocks is always forced to assume helical conformation. Near the transition point for the CBL block, i.e., for s_L close to unity, N_h tends to increase steadily with N_L . As N_L is increased further, N_h tends to decrease toward some asymptotic value

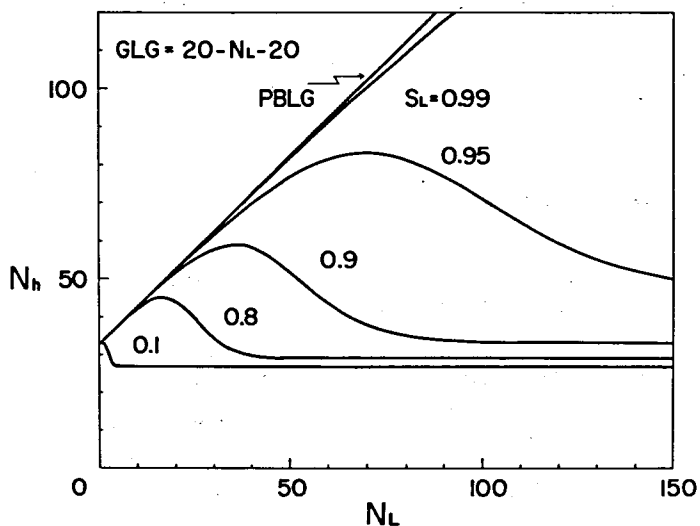


Figure 4-2.

Plots of the average number of helical residues N_h versus N_L as a function of s_L , with N_G being fixed at 20. The same parameters as those in Figure 4-1 are used.

depending on s_L . Panel(a) of Figure 4-3 shows how θ_N varies with N_G for a fixed N_L of 40 and a set of the transition parameters: $s_G = 1.61$, $\sigma_G^{1/2} = 0.04$, $s_L = 0.99$, and $\sigma_L^{1/2} = 0.0025$. It is to be noted that N_G of 8 is large enough to bring about an appreciable conformational induction for the parameter values chosen. Panel(b) of the same figure indicates that inequality of the chain lengths of the two flanking blocks has little effect on θ_N if they are longer than 8. This critical length of BLG chain may be compared with the previous estimate of the

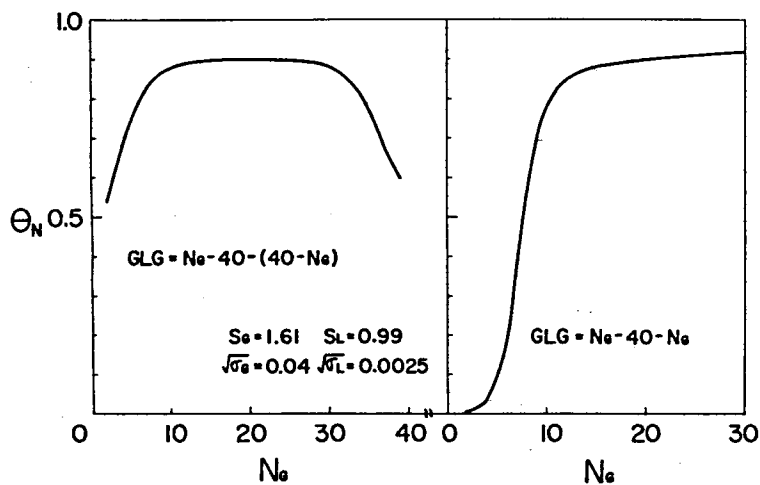


Figure 4-3.

(b)

(a)

Plots of θ_N versus N_G , with N_L being fixed at 40. The parameters chosen are $s_G=1.61$, $\sigma_G^{1/2}=0.04$, $s_L=0.99$, and $\sigma_L^{1/2}=0.0025$.

minimum chain length required for the formation of an α -helix, which is between 6 and 10.^{53,71}

Figure 4-4 demonstrates the s_L dependence of θ_N as a function of s_G for a triblock copolyptide 20 - 40 - 20, when $\sigma_G^{1/2} = 0.04$ and $\sigma_L^{1/2} = 0.0025$. The conformational induction is seen to occur abruptly at some s_L value, which become larger for smaller s_G . Even for $s_G < 1.0$, θ_N becomes greater than 0.5 for larger s_L ; only if all the CBL residues were in the helical conformation, θ_N would be 0.5. This implies that helix formation is promoted by the

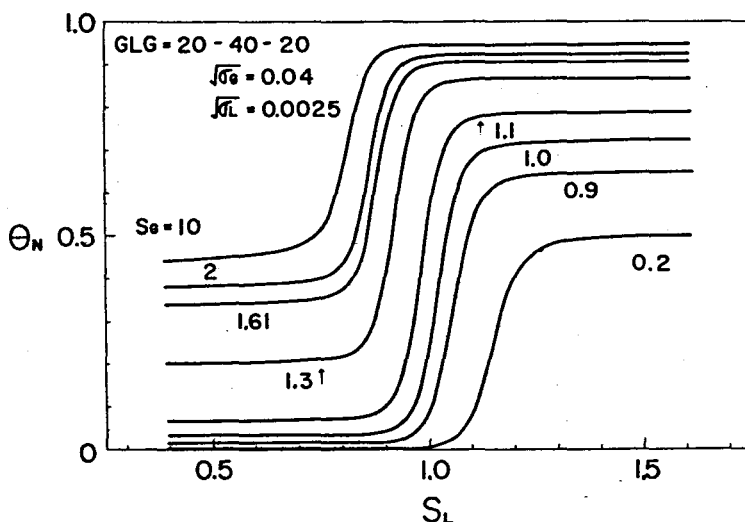


Figure 4-4.

The s_L dependence of θ_N as a function of s_G for a triblock copolyptide 20-40-20, when $\sigma_G^{1/2} = 0.04$ and $\sigma_L^{1/2} = 0.0025$.

central CBL block as its helix stability becomes greater than that of the BLG blocks.

In Figure 4-5 are plotted the values of θ_N against s_G , with s_L as parameter. The change in θ_N with s_G is more gradual than that with s_L depicted in Figure 4-4. This fact predicts that s_G is the main determining factor for the helix stability of the triblock copolyptide. The experimental finding that the conformational induction by the BLG blocks extends over 60 CBL residues is consistent with the theoretical arguments presented above, because

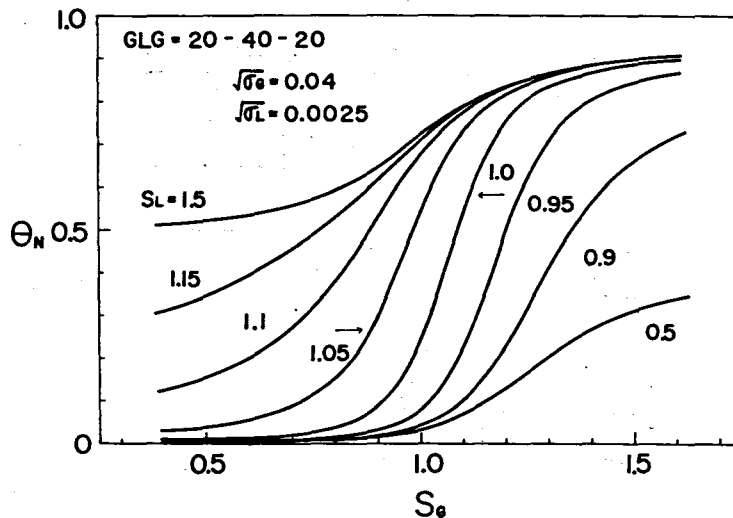


Figure 4-5.

The s_G dependence of θ_N as a function of s_L for a triblock copolyptide, when $\sigma_G^{1/2} = 0.04$ and $\sigma_L^{1/2} = 0.0025$.

the s_L value in m-cresol is estimated to be about 1.000 ± 0.004 ⁵⁷ and because N_G is larger than 10 for all of the samples studied.

4-3 Experimental Results

Five samples of G-L-G triblock copolypeptide, one G-L block copolypeptide sample, and five PBLG sample were subjected to ORD measurements, with DCAOEDC mixtures as solvent. Their molecular weights and compositions are given in Table 4-1.

Figure 4-6 shows the thermal transition curves of sample GLG-331 in DCA-EDC mixtures of indicated volume ratios. One can observe that the triblock copolypeptide undergoes a thermally-induced coil-to-helix transition of inverse type, and that the transition behavior depends remarkably on solvent composition. The transition appears to become sharpest between 50 and 55 vol-% DCA, and this composition range may be compared with about 75 vol-% for PBLG^{30,56} and 33 vol-% for PCBL.^{32,57} It is interesting to note that an alternating copolypeptide of BLG and CBL, poly(ϵ -carbobenzoxy-L-lysyl- γ -benzyl-L-glutamate), undergoes an inverse thermal transition in a similar composition range.^{87,88}

Table 4-1. Degrees of polymerization of block copolypeptides and PBLG

Sample code	$\bar{M}_w \times 10^{-4}$	\bar{N}_w	\bar{N}_G	\bar{N}_L
GLG-12	1.31	58.5	51.9	6.6
GLG-22	1.39	61.1	49.6	11.5
GLG-331	1.64	71.0	50.8	20.2
GLG-42	1.79	74.9	40.5	34.4
GLG-52	2.16	88.5	36.4	52.1
GL-6	1.34	56.1	30.4	25.7
A-2	0.476	21.8		
A _n -4 ^a	0.85 ^b	39		
A-3	1.06	48.4		
A-43	1.46	66.7		
A _n -22	3.38	154		
E-2	23.7			

a. PBDG sample.

b. Viscosity-average molecular weight.

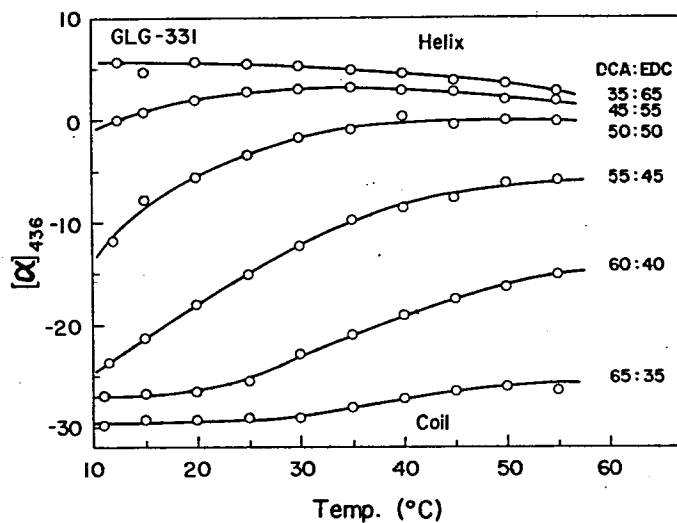


Figure 4-6.

Temperature dependence of specific rotation at 436 nm, $[\alpha]_{436}$, for sample GLG-331 in DCA-EDC mixtures of different compositions. Compositions are indicated by volume ratios of DCA to EDC at 25°C.

Figure 4-7 shows the transition curves of PBLG in a DCA-EDC mixture (55 vol-% DCA) as functions of molecular weight, where the data for GLG-331 are replotted for comparison. The transition curve of GLG-331 lies far below that of PBLG sample of the same chain length but above that expected for an isolated flanking BLG block

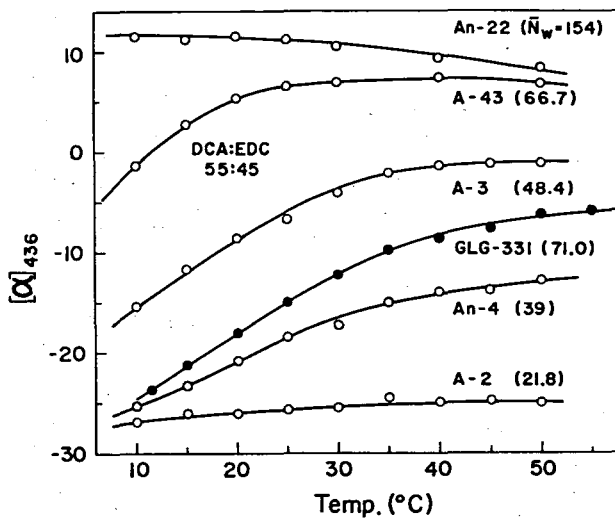


Figure 4-7.

Temperature dependence of $[\alpha]_{436}$ for GLG-331 and PBLG in a DCA-EDC mixture (55 vol-% DCA) as a function of weight-average degree of polymerization \bar{N}_w . The value of $[\alpha]_{436}$ for sample PBDG A_n-4 are indicated by changing their signs.

($\bar{N}_w \approx 25$). This finding may also be taken as evidence for the conformational induction that has occurred in the DCA-EDC mixture studied.

4-4 Analysis of Transition Curves

4-4-1 PBLG Data

At first, ORD data for PBLG in a DCA-EDC mixture (55 vol-% DCA) as functions of molecular weight are analyzed to evaluate the transition parameters s_G and σ_G . As is usually done, the helical fraction is calculated from the Moffitt parameter b_0 by

$$\text{helical fraction} = (b_0 - b_0^C)/(b_0^H - b_0^C) \quad (4-15)$$

where b_0^H and b_0^C denote the values of b_0 for perfect helix and random coil, respectively. Theoretical calculations with helical polypeptides have suggested that b_0 is more closely related to the number of intact hydrogen bonds than to the number of helical residues.^{89,90} Therefore, we here regard the quantity on the right-hand side of Eq. (4-15) as θ_N rather than f_N . No such differentiation is necessary in usual cases where larger molecular weights are treated.¹⁶

The use of Eq. (4-15) for evaluating θ_N faces a difficult problem of how to determine the values of b_0^H and b_0^C , because these quantities usually depend on polypeptide-solvent systems chosen,⁵⁷ and in some cases, also on temperature.^{32,60,91,92} To solve this problem, ORD data

were taken on three PBLG samples of different molecular weights (A-2, A_n-4, E-2) in DCA-EDC mixtures (20 and 90 vol-% DCA) over the temperature range 10 - 50°C. The values of b₀ in the 90 vol-% DCA were found to vary little with temperature and molecular weight, and hence their average was taken as b₀^C. On the other hand, the b₀ value in the 20 vol-% mixture, which is considered to be strongly helix supporting, changed from -630 to -610 as the temperature was increased from 10 to 50°C. Thus, the value at each temperature was taken as b₀^H at that temperature.

Data for θ_N at a given temperature were fitted to Nagai's theoretical expression, Eq. (4-10),²² to evaluate the values of s and σ^{1/2} at that temperature. The curve fitting operation was truncated when the quantity τ defined by

$$\tau = \sum_i [(\theta_{N,\text{expt}})_i - (\theta_{N,\text{calcd}})_i]^2 \quad (4-16)$$

become minimum, where the summation was carried out over all the possible samples examined, with θ_{N,expt} and θ_{N,calcd} being the experimental values of θ_N and theoretical ones calculated for the corresponding N and a given set of s and σ^{1/2}, respectively. Figure 4-8 illustrates the curve-fitting procedure with the data for

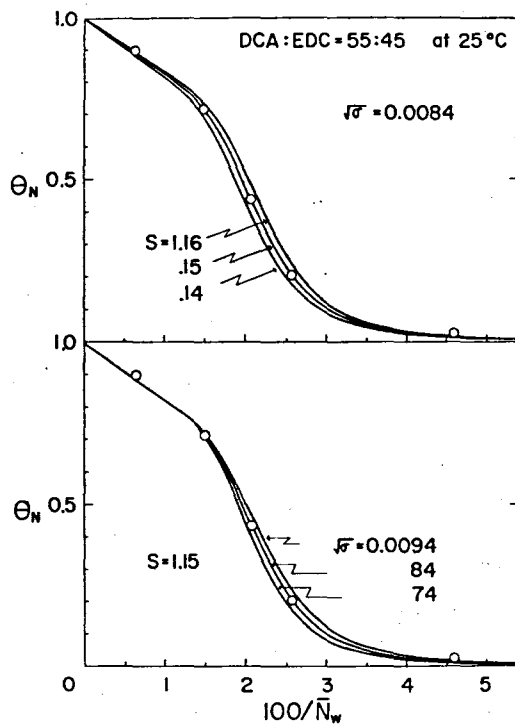


Figure 4-8.

Dependence of θ_N on the degree of polymerization for PBLG in a DCA-EDC mixture (55 vol-% DCA) at 25°C. The circles are the experimental values for θ_N , which are estimated from b_0 by using Eq. (4-15), and the solid lines represent the theoretical ones calculated for the indicated parameter values.

a DCA-EDC mixture (55 vol-% DCA) at 25°C, where the solid lines indicate the theoretical θ_N calculated by using Eq. (4-10) for the sets of values s and $\sigma^{1/2}$ indicated and the circles denote the experimental θ_N values. It is seen that there exists a range of s and $\sigma^{1/2}$ which fit the theory equally well to experimental points.

Therefore, their central values were taken as those for the solvent condition chosen. Similar procedures were

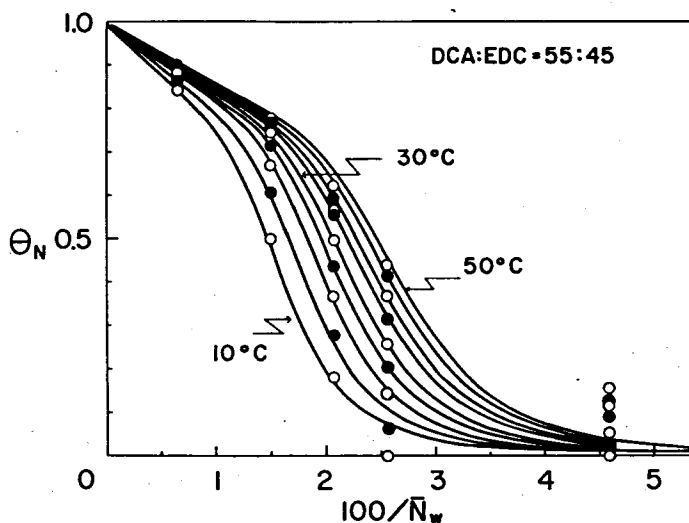


Figure 4-9.

Plots of θ_N versus $1/\bar{N}_w$ for PBLG in a DCA-EDC mixture (55 vol-% DCA) at 50, 45, 40, 35, 30, 25, 20, 15, and 10°C from top to bottom. The solid lines represent the theoretical values calculated for the respective sets of values s_G and $\sigma_G^{1/2}$ given in Table 4-2.

Table 4-2. Transition parameters of PBLG in a mixture of DCA-EDC (55 vol-% DCA)

Temp. °C	s_G	$\sigma_G^{1/2} \times 10^2$	$s_{G,calcd}^a$
10	1.10	0.75	1.13
15	1.12	0.76	1.15
20	1.14	0.76	1.16
25	1.15	0.84	1.17
30	1.16	0.89	1.18
35	1.17	0.98	1.18
40	1.18	1.00	1.19
45	1.19	1.04	1.19
50	1.20	1.06	1.19

a. Calculated values from the data of Sayama et al.³⁰

applied to the data at other temperature. The resulting s and $\sigma^{1/2}$ as a function of temperature are summarized in Table 4-2. Figure 4-9 shows plots of θ_N versus $1/\bar{N}_w$ in the same DCA-EDC mixture at various temperatures. The solid lines represent the theoretical values calculated with the values of s and $\sigma^{1/2}$ given in Table 4-2. The

agreement between theory and experiment is satisfactory except for the lowest-molecular-weight sample.

4-4-2 Block Copolypeptide Data

Determination of helical fraction for block copolypeptides may also be carried out by using Eq. (4-15), but this time b_0^H and b_0^C may depend on polypeptide composition. The b_0 values contain contributions from BLG as well as CBL blocks and may be expressed as

$$b_0 = X(b_0)_L^\xi + (1 - X)(b_0)_G^\xi \quad (\xi = H \text{ or } C) \quad (4-17)$$

where X denotes the mole fraction of CBL residues, and $(b_0)_G^\xi$ and $(b_0)_L^\xi$ represent the values of b_0 of PBLG and PCBL, respectively. The available data³² for $(b_0)_G^\xi$ and $(b_0)_L^\xi$ were substituted into Eq. (4-15) to determine the helical fraction of each sample at desired temperature.

Figure 4-10 shows the temperature dependence of b_0 for block copolypeptides consisting of BLG and CBL blocks in a DCA-EDC mixture (55 vol-% DCA). The transition curve shifts toward higher temperature and becomes gradual as the CBL content in the sample is increased. These b_0 data are converted to θ_N by the procedure described above and analyzed by the theory developed in Section 4-2. The theory gives θ_N as a function of s_G , σ_G , s_L , σ_L , N_G , and

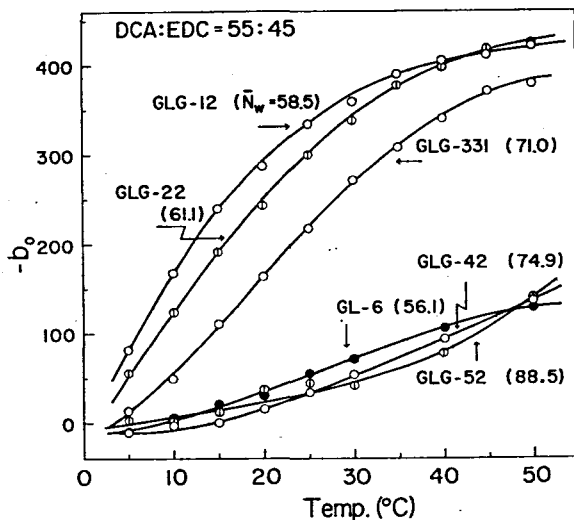


Figure 4-10.

Temperature dependence of Moffitt parameter b_0 for block copolypeptides in a DCA-EDC mixture (55 vol-% DCA).

and N_L . We may use the values of N_G and N_L given in Table 4-1 and the data for s_G and σ_G determined in the previous section (Table 4-2). Since σ is essentially independent of solvent composition and temperature,³⁰⁻³² we may take $\sigma_L^{1/2}$ to be 0.003, the average value of $\sigma^{1/2}$ obtained previously for PCBL in DCA-EDC mixtures of lower DCA contents.³² With these parameter values, θ_N were calculated for each sample and selected temperatures as a function of s_L , and the value of s_L which gave the best

Table 4-3. Transition parameters of PCBL in a
DCA-EDC mixture (55 vol-% DCA) at
various temperatures

Temp. °C	s_L ($\sigma_L^{1/2}=0.003$)					$s_{L,calcd}^a$
	GLG-12	GLG-22	GLG-331	GLG-42	GLG-52	
5	0.96	0.95	0.81	-	-	0.76
10	1.04	0.99	0.92	-	-	0.78
15	1.04	1.00	0.95	-	0.81	0.80
20	1.01	0.99	0.95	0.86	0.97	0.82
25	1.01	1.00	0.95	0.92	0.96	0.83
30	1.03	1.00	0.95	0.93	0.96	0.85
35	1.00	1.01	0.95	-	-	0.86
40	0.99	1.01	0.95	0.94	0.97	0.87
45	0.95	1.02	0.95	-	-	0.88
50	0.93	1.00	0.94	0.94	0.97	0.89

a. Calculated values from the data of Maekawa et al.³²

agreement between theory and experiment was chosen. The values of s_L thus chosen are summarized in Table 4-3. Although these values of s_L scatter between 0.8 and 1.04 depending on temperature and sample, a value of 0.95 may be taken as their average.

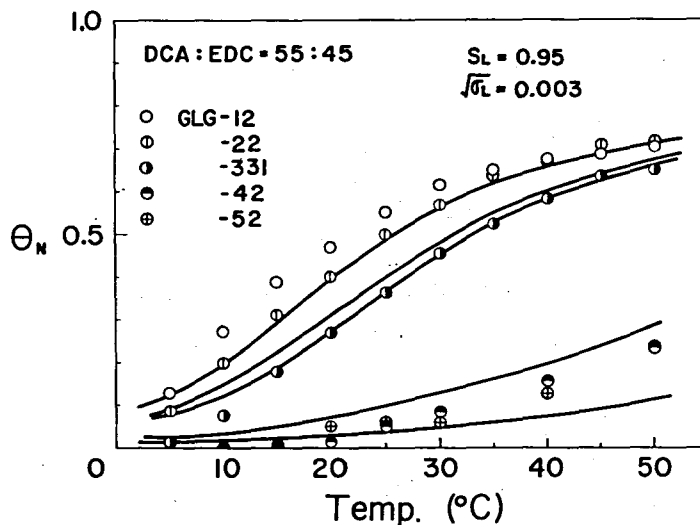


Figure 4-11.

Comparison between theoretical values and experimental ones, where the solid lines represent the theoretical Θ_N computed for $s_L = 0.95$ and the circles denote the experimental values. The data for s_G and $\sigma_G^{1/2}$ listed in Table 4-2 and $\sigma_L^{1/2} = 0.003$ were used to estimate the theoretical values.

In Figure 4-11, the solid lines represent the theoretical Θ_N computed for $s_L = 0.95$ and the circles denote the experimental values. Agreement between theory and experiment is not necessarily satisfactory. For an illustrative purpose, the theoretical curves of sample GLG-331 for various sets of s and $\sigma^{1/2}$ are shown in Figure 4-12. The top panel examines the effect of s_L on

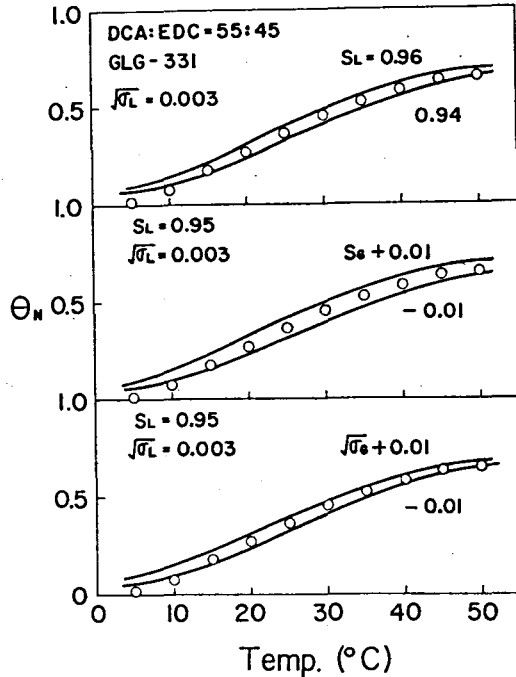


Figure 4-12.

Comparison between theoretical values and experimental ones, where the solid lines represent the theoretical Θ_N and the circles denote the experimental values; s_L is varied in the top panel, while s_G and $\sigma_G^{1/2}$ are varied in the middle and bottom panels, respectively. The same parameters as those in Figure 4-11 are used for the computations.

Θ_N , whereas the middle and bottom panels show the effects of s_G and σ_G , respectively. It can be seen that Θ_N is more sensitive to s_G and σ_G than to s_L . It was found that $\sigma_L^{1/2}$ had little effect on the calculated Θ_N . This

calculation suggests that the helical stability of flanking BLG blocks plays a more decisive role than that of the central block in determining the conformation of the entire molecule, at least, in our special case where PBLG helix is more stable than PCBL helix in the given solvent condition.

4-5 Discussion

For a solvent mixture composed of a non-polar liquid such as EDC and an organic acid such as DCA, the equilibrium constant s depends both on temperature T and the activity a of the acid component. It has been shown that ^{29.30}

$$s = s_0 / (1 + Ka) \quad (4-18)$$

where s_0 is the value of s in the non-polar solvent and K is the equilibrium constant between peptide residue and dimeric acid. K and s_0 are function only of T and may be expressed as ³⁰

$$s_0 = \exp[-(\Delta H_0 - T\Delta S_0)/RT] \quad (4-19)$$

$$K = \exp[-(\Delta H_a - T\Delta S_a)/RT] \quad (4-20)$$

where R is the gas constant and ΔH_0 , ΔS_0 , ΔH_a , and ΔS_a

are the parameters independent of T. Equation (4-18) combined with Eqs. (4-19) and (4-20) allows s to be determined as a function of T and a, provided that the values of these parameters are available. The relevant data for PBLG³⁰ and PCBL³² in DCA-EDC mixtures found in the literature are reproduced in Table 4-4. With these data, we have calculated the values of s_G and s_L in a DCA-EDC mixture (55 vol-% DCA) as functions of temperature. The values for PBLG are given in Table 4-2 and those for PCBL are in Table 4-3. The excellent agreement of the PBLG values with the directly estimated ones listed in the

Table 4-4. Thermodynamic parameters associated with helix-coil transition of PBLG and PCBL in DCA-EDC mixtures

	PBLG	PCBL
ΔH_0 , cal/mol	-800	-510
ΔS_0 , cal/deg mol	-1.6	-1.2
ΔH_a , cal/mol	-3500	-3100
ΔS_a , cal/deg mol	-11.4	-9.4
s_0 , at 30°C	1.69	1.32
K, at 30°C	1.2	1.51

same table justifies the validity of the extrapolation procedure based on Eq. (4-18). On the other hand, the extrapolated s_L value appears to be consistently smaller than those derived from the copolymer data, which implies that the helical conformation of the block copolymer is stabler than expected from the homopolymer data alone. Thus we find that the molecular mechanism we have assumed for the boundaries between blocks is too simple to account for such stability enhancement.

4-6 Summary

Nagai's theory²² of helix-coil transition in homopolypeptides has been extended to derive an expression for helical triblock copolypeptides of the type G-L-G. The helical fraction of the block copolypeptide was expressed as functions of s , σ , and the degree of polymerization of each block. Conformational induction by the flanking BLG blocks observed in *m*-cresol was illustrated successfully by the theory thus developed. Helix-coil transitions in PBLG and in block copolypeptides of BLG and CBL in DCA-EDC mixtures were investigated. The appropriate transition solvent composition of block copolypeptides was found to be about 55 vol-% DCA. The transition parameters of PBLG and the block copolypeptides

determined in a DCA-EDC mixture (55 vol-% DCA) were compared with those calculated by use of the theory proposed for the solvent effect.^{30,32} The consistency between experimental values and calculated ones for PBLG was quantitative, but that for PCBL was only qualitative.

Chapter 5

Conformation of Poly(L-Alanine) Flanked with γ -Benzyl L-Glutamate Blocks in m-Cresol

5-1 Introduction

It is well known that the stability of α -helical conformation of a given polypeptide depends largely on its side chain, i.e., the kind of amino acid residue, and solvent conditions.¹⁻¹⁶ Many investigations have been performed to know about the roles that the amino acid side chain and solvent play in determining the conformation of polypeptides in solution. Among the polypeptides studied so far, poly(L-alanine) (PLA) is unique and may serve as a reference material from various points of view, because it has only one methyl group as its side chain and very important in the protein structure. However, PLA is hardly soluble in ordinary organic solvents as well as in aqueous media, and hence its conformation studies have been possible only in such particular solvents as DCA, hexafluoroisopropanol (HFIP), trifluoroacetic acid (TFA), and mixtures containing these organic acids.⁹³⁻⁹⁶ This solubility problem may be solved by a technique called "block copolymer technique", which utilizes a given non-polar polypeptide sandwiched between blocks of

hydrophilic D,L-polypeptide. Gratzer and Doty⁵⁰ were the first to demonstrate the potential of this technique by investigating the conformation of PLA flanked with poly(D,L-glutamic acid) in aqueous solution. Since then the same procedure has been applied by subsequent investigators to PLA and other hydrophobic polypeptides.⁹⁶⁻¹⁰³

Motivated by these studies, we chose PLA flanked with PBLG chain in order to study the conformation of PLA in organic solvents. PBLG chosen as flanking block dissolves in many organic solvents so that it was expected to help solubilize the central chain of major concern.

Polypeptides are electrically polar, carrying permanent dipoles at the planar CO-NH groups of the backbone chain and generally at some atomic group of the side chain.¹⁰⁴ Because of the vector nature of dipoles, we should in principle obtain information about the mean-square dipole moment averaged over all possible conformations of the backbone and all accessible orientations of the side chain from dielectric measurements. In the α -helical conformation, a polypeptide molecule should form a large permanent dipole, since all the dipoles on the backbone chain point approximately parallel to the helix axis, whereas the side

chain dipoles almost cancel with each other due to their random orientation. We may extract information about the side chain orientation from the difference between the overall dipole moment and the backbone dipole moment if the latter can be estimated separately. Wada¹⁰⁴ proposed two methods for evaluating the backbone dipole; in one of them DL random copolymers of γ -benzyl glutamates were used, and in the other random copolymers of BLG and L-alanine (LA) were examined. However, both involve rather lengthy extrapolation procedures, so that the accuracy of the derived values has to be checked by some independent experiments. One of the aims of investigation in this chapter is a direct evaluation of the backbone dipole with the use of BLG-LA copolymers.

5-2 Polypeptide Samples

Triblock copolypeptides of the type BLG-LA-BLG were synthesized by successive polymerization of BLG-NCA and LA-NCA, using a procedure similar to that in Chapter 3. The only difference was that dichloromethane (DCM), instead of DMF, was used as solvent at the second and third stages of polymerization. When the LA-NCA content was large, the polymerization mixture became turbid after addition of LA-NCA at the second stage. The solution

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became clearer by the addition of DCM, but remained slightly turbid for larger LA-NCA contents. This was probably because the PLA chains tended to form aggregates in DCM or DMF for their poor solubility. It seemed that the amino acid residue at the growing end of the preformed polymer maintained a polymerizing activity even in such cases, because CO₂ gas started evolving immediately after the addition of BLG-NCA to the turbid solution. Diblock and random copolymers were prepared similarly with DMF as solvent. Samples GAG-6, GAG-7, GA-11, and GA-12 synthesized in this way were purified in the following way. They were dispersed in DMF, insoluble parts were collected and freeze-dried from dioxane solutions, though only sparingly soluble. The soluble parts contained a negligible amount of polymers presumably of low molecular weights. Random copolymers GAG-8, GAG-9, and GAG-10 were fractionated by the column elution method, and appropriate middle fractions were selected for physical measurements.

Amino acid compositions of the copolypeptides were determined by elemental analysis and NMR spectra. NMR spectra of TFA solutions were recorded on a Varian XL-100 spectrometer. Chemical shift values were obtained from the reference peak of internal tetramethylsilane. The

NMR spectra were analyzed in terms of the integrated area for the alanine CH_3 peak and that of the $\gamma\text{-CH}_2$ peak of BLG.⁹⁶ Table 5-1 summarizes the preparative data. Solubility of the copolypeptides was examined for various organic liquids, with the results given in Table 5-2. It can be seen that all the samples dissolved in DCA, TFA, HFIP, and m-cresol, but samples with higher alanine contents did not dissolve in DMF, DCM, and dioxane. Sedimentation equilibrium experiments were performed to obtain weight-average molecular weights, with either DMF or HFIP as solvent. The results are given in Table 5-3.

5-3 Experimental Results

5-3-1 Optical Rotatory Dispersion

Values of the Moffitt parameter b_0 obtained for the copolypeptides in m-cresol at four temperatures are summarized in Table 5-4. One can observe that the $-b_0$ values do not show an abrupt change with temperature that would be expected for a helix-coil transition but decrease only gradually with increasing temperature. This decrease in b_0 is opposite to the one which would be predicted from the dependence of b_0 on the refractive index of solvent.⁶⁹ Some polypeptides are known to

Table 5-1. Preparative data for copolypeptides

Sample code	$[A]_0/[I]_0$	$[\eta]^c$ dl/g	\bar{N}_v^d	Yield %	LA ^e mol-%	Solvent ^f
GAG-1	30-5-30	0.157	62	87	10.6	DMF
GAG-2	30-10-30	0.165	67	84	11.8	DMF
GAG-3	30-20-30	0.176	74	84	26.2	DMF
GAG-4	50-30-50	0.251	115	89	22.7	DMF + DCM
GAG-5	50-50-50	0.251	115	80	36.5	DMF + DCM
GAG-6	50-75-50	0.350	175	92	45.6	DMF + DCM
GAG-7	50-100-50	0.404	210	94	51.8	DMF + DCM
GAG-8 ^a	60:10	0.172	72	87	14.1	DMF
GAG-9 ^a	60:20	0.166	67	88	24.4	DMF
GAG-10 ^a	100:50	0.197	85	84	35.0	DMF
GA-11 ^b	100-30	0.262	120	87	23.6	DMF
GA-12 ^b	100-50	0.274	130	89	30.2	DMF

a. Random copolypeptides.

b. Diblock copolypeptides.

c. Determined in DCA at 25°C.

d. Estimated from intrinsic viscosities in DCA at 25°C using the empirical relation shown in Figure 5-4.

e. Estimated by elemental analysis.

f. Polymerization solvents.

Table 5-2. Solubilities of copolypeptides
in various solvents

Sample code	Solvents						
	DMF	DCM	dioxane	DCA	TFA	HFIP	m-cresol
GAG-1	0	0	0	0	0	0	0
GAG-2	0	0	0	0	0	0	0
GAG-3	0 ^a	0	0	0	0	0	0
GAG-4	0 ^a	0	X	0	0	0	0
GAG-5	X	Δ	X	0	0	0	0
GAG-6	X	Δ	X	0	0	0	0
GAG-7	X	X	X	0	0	0	0
GAG-8	0	0	0	0	0	0	0
GAG-9	0	0	0	0	0	0	0
GAG-10	0	0	0	0	0	0	0
GA-11	X	X	X	0	0	0	0
GA-12	X	X	X	0	0	0	0

0 : soluble

Δ : slightly turbid

X : insoluble

a. Aggregation

Table 5-3. Molecular weights of BLG-LA
copolypeptides in DMF and HFIP at 25°C

Sample code	$\bar{M}_w \times 10^{-4}$	$A_2 \times 10^4$ mol ml/g ²	\bar{N}_w	\bar{N}_G	\bar{N}_A	LA ^a mol-%
GAG-1	1.34 ^b	7.6	66.3	68.7	7.5	11.3
GAG-2	1.39 ^b	8.5	69.1	60.7	8.4	12.2
GAG-3	1.33	10.0	73.3	54.6	18.7	25.5
GAG-4	2.44	6.7	131.0	101.9	29.1	22.2
GAG-5	1.89	10.5	116.4	71.7	44.7	38.4
GAG-60	2.38	8.7	154.4	86.6	67.8	43.9
GAG-70	2.26	10.3	154.1	78.8	75.3	48.9
GAG-82	1.17 ^b	8.6	59.5	50.1	9.4	15.8
GAG-92	1.20 ^b	8.8	64.1	50.2	13.9	21.7
GAG-102	1.73 ^b	5.4	101.3	68.2	33.1	32.7
GA-110	2.2 ₆ ^c		125	93	32	25.4
GA-120	2.2 ₅ ^c		135	87	48	35.9

a. Estimated by elemental analysis and NMR spectra.

b. Determined in DMF.

c. Viscosity-average molecular weights calculated from intrinsic viscosities using the empirical relation shown in Figure 5-4.

A_2 = Second virial coefficient.

\bar{N}_G = Average number of BLG residues.

\bar{N}_A = Average number of LA residues.

Table 5-4. Moffitt parameters for BLG-LA copolypeptides in m-cresol

Sample code	$-b_0$			
	10°C	25°C	40°C	50°C
GAG-1	564	530	510	506
GAG-2	592	546	530	520
GAG-3	560	526	510	500
GAG-4	578	550	526	524
GAG-5	550	516	506	504
GAG-60	564	538	506	498
GAG-70	556	534	512	508
GAG-82	534	508	496	482
GAG-92	526	498	478	476
GAG-102	514	488	476	466
GA-110	569	564	534	533
GA-120	559	531	513	496

show a similar temperature dependence of b_0 in helicogenic solvents without accompanying an appreciable conformational transition.^{60,91}

For most of the right-handed α -helical polypeptides, b_0 is reported to be in the range between -600 and -700. One may conclude, therefore, that the conformations of

the copolypeptides examined was not perfectly helical, because their b_0 values was found between -590 and -470. However, Matsumoto and Teramoto⁶⁰ have reported that the b_0 of PBLG in m-cresol, a typical helicogenic solvent, depends appreciably on molecular weight. Using their data,⁶⁰ we may estimate that the values of b_0 for PBLG samples having the same degrees of polymerization as the copolypeptides are about -540 ~ -580. PLA is known to exist in the right-handed α -helical conformation in the solid state,¹⁰⁵ in some non-aqueous solvents,¹⁰⁶ and in aqueous solution when blocked in copolypeptides.^{50,99,100} The values of its b_0 are about -600 in aqueous solutions and somewhat larger in non-aqueous solutions.⁵⁰ Although no b_0 data are available for PLA in m-cresol, we may conclude that the conformation of the copolypeptides are essentially helical in m-cresol in the temperature range between 10 and 50°C, and that the low b_0 values observed may be due to a molecular weight dependence as found with PBLG.⁶⁰

5-3-2 Dielectric Dispersion

Dielectric dispersion curves were obtained for m-cresol solutions at four temperatures between 15 and 50°C. Figure 5-1 shows typical Cole-Cole plots for sample

GAG-10 (unfractionated) and GAG-102 (fractionated) in m-cresol at 25°C. The data points fall on semicircles whose centers are located close to $\Delta\epsilon'$ axis. As expected, the fractionated sample is more homogeneous in molecular weight than the unfractionated one, since the plot of the former is closer to the Debye dispersion than is the latter. Similar trends were found with other fractionated samples. The root-mean-square dipole moment $\mu = \langle \mu^2 \rangle^{1/2}$ and mean rotational relaxation time τ were

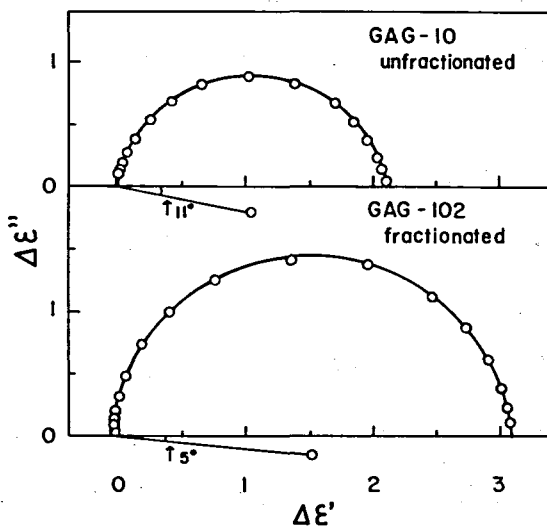


Figure 5-1.

Cole-Cole plots for Sample GAG-10 (unfractionated) and Sample GAG-102 (fractionated) in m-cresol at 25°C.

estimated according to the procedure described in Chapter 3. All the numerical results are summarized in Table 5-5. It is seen that μ and τ are smooth function of temperature in the range examined. These features conform to the above-mentioned conclusion from ORD data that no conformational transition occurs in the solvent conditions studied.

Table 5-5. Dielectric dispersion data for BLG-LA copolypeptides in m-cresol

Sample code	$\langle \mu^2 \rangle^{1/2}$, D				$\tau T / \eta_0 \times 10^3$, sec deg/poise			
	15°C	25°C	40°C	50°C	15°C	25°C	40°C	50°C
GAG-1	324	328	323	322	4.10	3.90	3.76	3.58
GAG-2	337	341	341	343	4.43	4.20	4.10	3.93
GAG-3	373	375	376	369	5.21	5.10	4.79	4.72
GAG-4	640	649	648	646	19.1	18.3	17.4	16.8
GAG-5	604	608	608	615	19.1	18.7	16.8	16.7
GAG-60	820	831	832	816	40.2	39.9	37.1	34.6
GAG-70	855	861	860	858	53.5	52.5	50.0	50.7
GAG-82	292	292	296	295	3.38	3.29	3.15	3.11
GAG-92	304	301	309	304	3.89	3.91	3.42	3.50
GAG-102	539	534	523	521	11.3	10.7	9.53	9.09
GA-110	657	659	662	670	26.2	25.1	23.8	22.7
GA-120	710	721	721	712	29.2	29.3	26.9	26.1

Figure 5-2 shows molecular weight dependence of the mean rotational relaxation times in m-cresol at 25°C corrected for the solvent viscosity η_0 and temperature, where the dashed line denotes the values of Matsumoto et al.⁶¹ for PBLG in helicogenic solvents. All the data points, irrespective of the copolymer type, follow closely the PBLG curve which has a slope of about 2.6. Ignoring

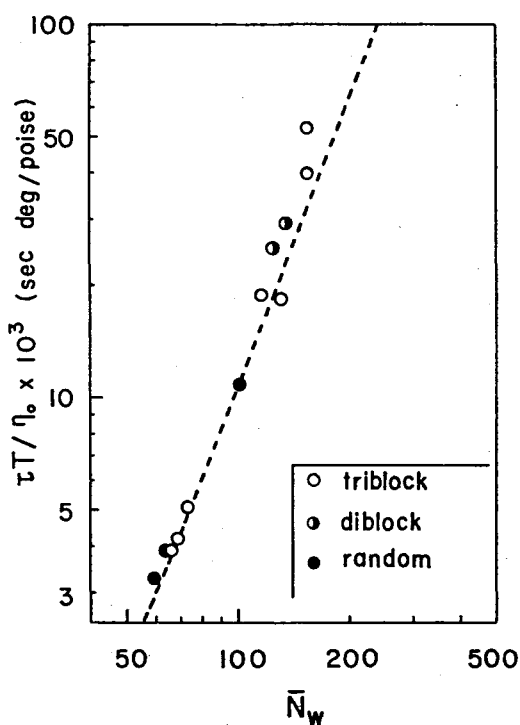


Figure 5-2.

Double logarithmic plot of $\tau T / \eta_0$ versus \bar{N}_w for copolypeptides in m-cresol at 25°C. The dashed line denotes the data for straight rod PBLG.⁶¹

the difference in amino acid composition among the different samples, one can take these results to reveal that the molecular shape of the copolypeptides is straight rod. This conclusion is consistent with the finding from ORD measurements that the molecular conformation is essentially helical.

If a helical copolypeptide molecule assumes the shape of straight rod, its mean dipole moment μ may be expressed by the algebraic sum of contributions from the constituent amino acid residues, each of which is proportional to the number of the corresponding residues in the molecule. Assuming as in Chapter 3 that a certain fixed number of residues at the ends of each block end, irrespective of the total chain length, are not involved in the helical conformation, one can write for BLG-LA copolymers

$$\mu - \mu_G \bar{N}_G = (\mu^* - \mu_G \bar{N}_G^* - \mu_A \bar{N}_A^*) + \mu_A \bar{N}_A \quad (5-1)$$

where μ_A and μ_G are the monomeric dipole moments along the helix axis of LA and BLG, respectively, and \bar{N}_A and \bar{N}_G are the number of LA and BLG residues, respectively. The asterisks denote the corresponding values for an arbitrary chosen reference sample.

Figure 5-3 shows a plot of $(\mu - \mu_G \bar{N}_G)$ against \bar{N}_A for

triblock copolymers, diblock copolymers, and random copolymers in m-cresol at 25°C, where $\mu_G = 4.7$ D obtained by Matsumoto et al.⁶¹ has been used. The prediction of Eq. (5-1) is well obeyed by the data points, and the straight line yields 6.4 ± 0.1 D for μ_A . The small ordinate intercept of the line tells that the contribution from the unfolded terminal residues is negligible.

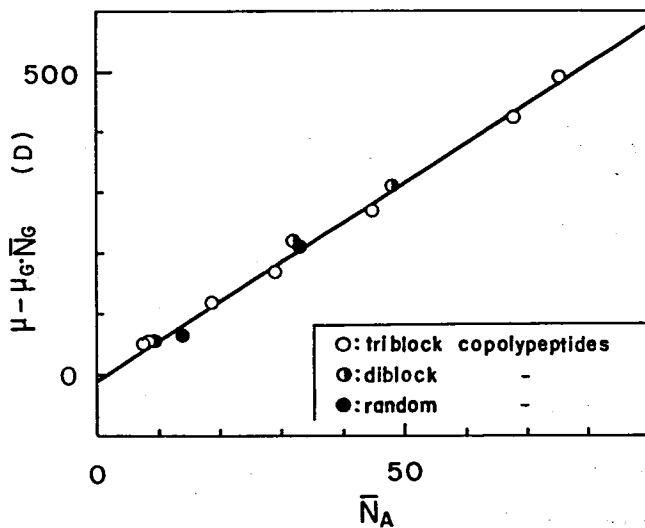


Figure 5-3.

Plot of $(\mu - \mu_G \bar{N}_G)$ versus \bar{N}_A for copolypeptides in m-cresol at 25°C.

5-3-3 Intrinsic Viscosity

Intrinsic viscosities measured in m-cresol and DCA at 25°C are summarized in Table 5-6. Figure 5-4 shows double logarithmic plots of intrinsic viscosity versus degree of polymerization for the two solvents, where the two dashed lines represent $[\eta]$ values for PBLG in DCA

Table 5-6. Viscosity data for BLG-LA copolypeptides in DCA and m-cresol at 25°C

Sample code	$[\eta]$, dl/g	
	DCA	m-cresol
GAG-1	0.157	0.0710
GAG-2	0.165	0.0945
GAG-3	0.176	0.112
GAG-4	0.251	0.198
GAG-5	0.251	0.217
GAG-60	0.342	0.346
GAG-70	0.414	0.454
GAG-82	0.158	0.0773
GAG-92	0.166	0.0987
GAG-102	0.234	0.145
GA-110	0.268	0.237
GA-120	0.286	0.275

and DMF, respectively.^{15,49} It is well known that for most polypeptides DCA is a helix-breaking solvent, whereas DMF is a helicogenic solvent. This is the case with PBLG, too. Thus the PBLG data suggest that in this range of small \bar{N}_w $[\eta]$ in a helicogenic solvent is smaller than that in a random coil solvent. One can observe in Figure 5-4 that the $[\eta]$ in m-cresol is smaller than that in DCA for every sample, except for sample

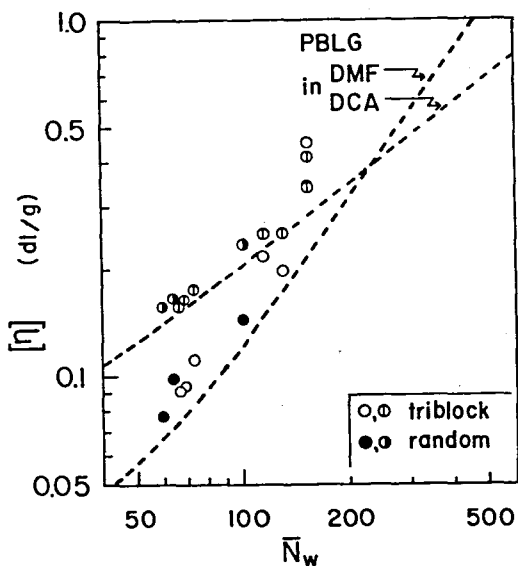


Figure 5-4.

Double logarithmic plots of $[\eta]$ versus \bar{N}_w for BLG-LA copolypeptides in DCA and m-cresol at 25°C. (○), (⊙), triblock samples in m-cresol and DCA, respectively, (●), (⊗), random samples in m-cresol, DCA, respectively.

and

GAG-70. These results may be taken to imply that the molecular conformation is helical in m-cresol and is randomly coiled in DCA, which is consistent with the dielectric dispersion data and ORD data mentioned above. However, there are arguments that the PLA molecule is partially helical in DCA,^{93,95} so that no more conclusion than this can be extracted from the present $[\eta]$ data alone.

5-4 Discussion

When a polypeptide molecule takes up a rigid α -helical conformation, the backbone dipoles are arranged almost parallel to the helix axis, whereas the side chain dipoles may undergo thermal fluctuations. The dipole moment of a helix unit, μ_h , consists of two contributions, one from the dipole associated with the backbone CO-NH group and the other from the side chain dipoles located, for example, at the C=O group. The determination of μ_h will provide useful information about the average orientation of the side chain relative to the helix axis. The available data for μ_h for various polypeptides are listed in Table 5-7, where the values deduced by Wada¹⁰⁴ are also included for comparison. For each polypeptide there is a small difference between Wada's value¹⁰⁴ and

Table 5-7. Comparison of monomeric dipole moments

Polypeptide	Side chain	μ_h , D	
		Ours ^d	Wada
PLA	$-\text{CH}_3$	6.4	6.0
PBLA ^a	$-\text{CH}_2-\text{COO}-\text{Bzl}^c$	4.6	3.0
PBLG	$-(\text{CH}_2)_2-\text{COO}-\text{Bzl}$	4.7	3.4
PCBL	$-(\text{CH}_2)_4-\text{NH}-\text{COO}-\text{Bzl}$	5.4 ~ 6.2	-
PBDLG ^b	$-(\text{CH}_2)_2-\text{COO}-\text{Bzl}$	-	6.0

a. Poly(β -benzyl L-aspartate).

b. Random copolypeptide of PBLG and PBDG.

c. Benzyl group, i.e., $-\text{CH}_2-\text{C}_6\text{H}_5$.

d. Data obtained in our laboratory, i.e.,
 PLA : This work, PBLA : Saruta et al.⁸⁵

PBLG : Matsumoto et al.⁶¹

PCBL : Omura et al.⁶³

those of others.^{61,63,85} This is because different equations have been used to estimate dipole moments from dielectric data. Therefore, the relative change in μ_h among different polypeptides is important. One can observe in Table 5-7 that the μ_h for PLA is larger than those of the other polypeptides. Since alanine has no polar side chain, its μ_h should represent the backbone moment itself. Wada^{104h} had taken this trend to imply

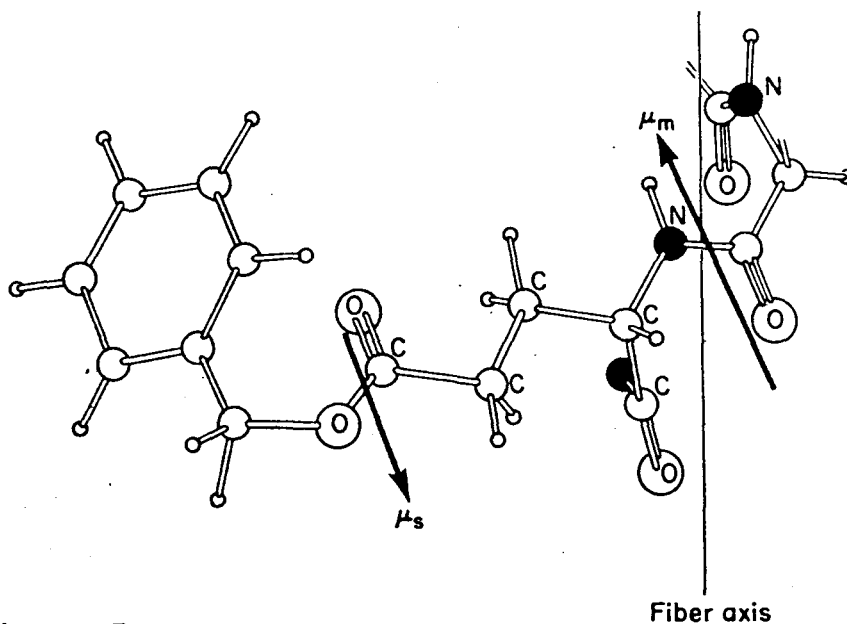


Figure 5-5.

A model for the side chain conformation of PBLG and orientation of its dipole. The side chain dipole in the CO group runs antiparallel with the backbone amide dipole; μ_m =main chain dipole, μ_s =side chain dipole.

that for a helical polypeptide with a flexible polar side chain the direction of the side chain dipoles becomes more or less antiparallel with the backbone dipole. The present result confirms his conclusion. It is worthwhile to note that Wada's conclusion¹⁰⁴ is compatible with a model built by Tsuboi¹⁰⁷ for the side chain of PBLG in the solid state on the basis of infrared dichroic spectra of oriented films (see Figure 5-5).

When a polypeptide molecule has flexible polar side

chains, the side chain dipole tends to run more or less antiparallel with the backbone dipole due to the dipole-dipole interaction between them, thus reducing the total dipole moment of the molecule. Such a trend is expected to become less pronounced as the side chain gets longer and the side chain polar group is removed from the backbone. The observed fact that μ_h is an increasing function of the side chain length is compatible with this expectation. However, Bradbury et al.¹⁰⁸ from the NMR shifts of the β -methylene protons of PBLG and PBLA concluded that the side chains of these polypeptides in the helical conformation had no specific orientation. Erenrich and Scheraga⁸⁴ examined the monomeric dipole moments of PBLA and its phenyl-substituted derivatives and reached a similar conclusion. Although we have no definite explanation for this discrepancy, we are tempted to consider that the NMR and dielectric dispersion might be associated with different conformational averages.

Gratzer and Doty⁵⁰ reported that the α -helix of alanine was very stable in water. On the contrary, the measurement by Ingwall et al.¹⁰⁰ showed that the alanine helix was not so stable. In Chapter 3, we showed that the conformational induction by flanking blocks occurs in the triblock copolypeptides of the type BLG-CBL-BLG.

Conformational induction has also been reported for DL and other types of block copolypeptides.^{53,70,71} A similar effect of conformational induction must be found also with the block copolypeptides studied here, but the data are still insufficient to carry out even a qualitative analysis.

5-5 Summary

Triblock, diblock, and random copolypeptides of BLG and LA were synthesized by successive polymerization of the corresponding NCAs with initiation by n-butylamine. It was shown by ORD measurements that all the copolypeptides were essentially helical in m-cresol between 10 and 50°C. An analysis of dielectric dispersion data in m-cresol yield a value of 6.4 ± 0.1 D for the monomeric dipole moment of LA residue. This value is larger than those reported for other polypeptides, which fact is taken to mean that the side chain dipole runs antiparallel with the backbone dipole. For polypeptides carrying a polar side chain, the monomeric dipole moment tends to increase with increasing side chain length. This is ascribed to the fact that the side chain dipole may get increased rotational freedom as the side chain gets longer.

Chapter 6

Conformation of Diblock Copolypeptides of γ -Benzyl D-Glutamate and L-Glutamate in m-Cresol

6-1 Introduction

Two methods^{49,61,109} have been proposed to synthesize a once-broken rod, one of the intermediate conformations between random coil and rigid rod. One is the polymerization of an N-carboxy- α -amino acid anhydride (NCA) with a diamine as an initiator, and the other is the block copolymerization of D and L forms of NCA. In the former, the diamine residue incorporated somewhere in the central part of the chain may not be tolerant of helix formation and should act as a more or less flexible joint connecting the two helical chains. It is experimentally established that poly(α -amino acid) consisting of one type of enantiomeric residues forms a helix of one definite screw sense, e.g., poly(γ -benzyl L-glutamate) (PBLG) forms a right-handed α -helix, whereas the D enantiomer forms the left-handed helix.¹¹⁰ In the case of DL copolypeptide, a few monomer units located in the boundary region between D and L chains cannot be involved in an α -helix of one screw sense at the same time, so they might play a role of flexible joint

connecting the two helical rods. This expectation did not always agree with the recent dielectric dispersion measurements on equimolar copolymers by Matsumoto,¹¹¹ who found that the copolymer behaved more or less like straight rods. This may be attributed either to that the boundary region has negligible flexibility or to that the entire molecule is forced to take helical conformation of one screw sense (conformational induction). Matsumoto¹¹¹ has taken the former view, but the conformational induction was actually observed for the first time by Lundberg and Doty⁵³ who studied DL block copolymerization of BLG and BDG. The conformational induction has also been noted for other types of block copolypeptides and random copolypeptides.^{70,71,104,112-114}

In this chapter, we deal with DL block copolypeptides of different D/L mole ratios prepared by successive polymerization of BDG-NCA and BLG-NCA with the primary amine initiation. Polymerization processes and conformations in helicogenic solvents have been studied by optical rotatory dispersion, dielectric dispersion, and infrared spectroscopy to disclose the effect of conformational induction.

Table 6-1. Preparative data for diblock copolypeptides

Sample code	$[A]_0/[I]_0$	$\bar{M}_v \times 10^{-4}{}^a$	\bar{N}_v	BLG ^b mol-%	Yield %
DL-1	10-10	0.80	36	47.0	83
DL-2	25-25	1.29	59	47.0	91
DL-3	50-50	1.90	87	48.0	80
DL-10	20-30	1.25	57	41.4	82
DL-11	20-40	1.50	68	32.8	81
DL-12	20-80	1.80	82	19.4	87
DL-13	20-160	2.30	105	-	87
DL-16	20-5	0.60	27	7.8	73

a. Calculated from intrinsic viscosities in DCA at 25°C $[\eta]_{DCA}$ using the empirical relation between $[\eta]_{DCA}$ and \bar{M}_w for PBLG.^{15,49}

b. Estimated by optical rotation data in DCA at 25°C.

6-2 Polypeptide Samples

Diblock copolypeptides having a structure BLG-BDG were obtained by successive polymerization of BLG-NCA and BDG-NCA in DMF at room temperature according to the scheme described in Chapter 2. Table 6-1 gives the preparative data. Most of the diblock samples were fractionated by the column elution method with methanol-DCM mixtures as

Table 6-2. Molecular weights of fractionated diblock copolypeptides in DMF at 25°C

Sample code	$\bar{M}_w \times 10^{-4}$	$A_2 \times 10^4$ mol ml/g ²	BLG mol-%	\bar{N}_w	\bar{N}_D	\bar{N}_L
DL-1	0.732	19.0	47.0	33.4	17.7	15.7
DL-2	1.17	10.0	47.0	53.4	28.3	25.1
DL-032	1.-2	6.3	48.6	83.1	42.7	40.4
DL-102	1.33	10.4	36.0	60.7	38.8	21.9
DL-112	1.53	12.1	28.3	69.9	50.1	19.8
DL-123	1.74	7.7	16.7	79.5	66.2	13.3
DL-134	2.45	6.2	8.8	111.9	102.1	9.8
DL-162	0.741	11.3	9.0	33.8	30.4	3.4

\bar{N}_L = the degree of polymerization of the L-block.

\bar{N}_D = the degree of polymerization of the D-block.

elutents, and appropriate middle fractions of them were used for physical measurements. The results from the molecular weight determination are given in Table 6-2. Here the amino acid compositions of the diblock copolypeptides were estimated by optical rotation data in DCA at 25°C.

6-3 Optical Rotation

6-3-1 Polymerization Process

Lundberg and Doty⁵³ studied the polymerization process of BDG-NCA initiated by the preformed PBLG and found that a few BDG residues attached to the L-chain were forced to assume the right-handed α -helix

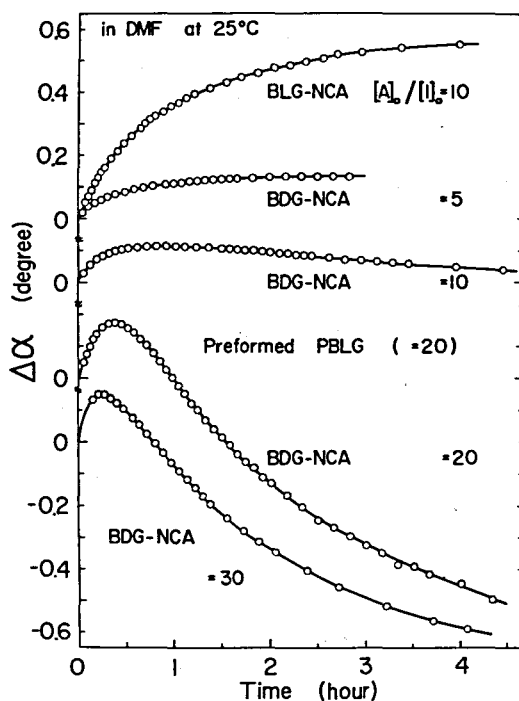


Figure 6-1.

Changes in optical rotation during the polymerization of BLG-NCA and BDG-NCA initiated by aliquots of the preformed PBLG for the $[A]_0/[I]_0$ values indicated. The value of $[A]_0/[I]_0$ of the preformed PBLG is equal to 20.

characteristic of PBLG. We performed similar experiments to know to what extent such conformational induction persists in copolypeptides of the types BLG-BDG and BLG-BDG-BLG.

Figure 6-1 illustrates the change in optical rotation, $\Delta\alpha$, accompanying the successive polymerization of BDG-NCA and BLG-NCA initiated by aliquots of the preformed PBLG solution. In all the cases the initiator

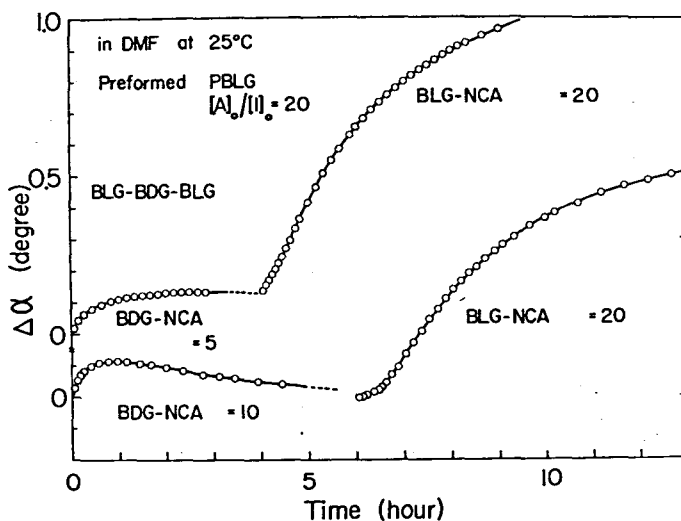


Figure 6-2.

Changes in optical rotation during the polymerization of BLG-NCA at the third stage of block copolymerization. The value of $[A]_0/[I]_0$ at the three stages are 20-5-20 and 20-10-20, respectively.

PBLG had $[A]_0/[I]_0$ of 20, where $[A]_0$ and $[I]_0$ are the initial molar concentrations of BLG-NCA and n-butylamine, respectively. The same enantiomer pair shows a monotonic increase in optical rotation, whereas the opposite pair shows an initial increase followed by a gradual decrease toward some plateau value. For larger BDG contents, the helix sense eventually reverses as the polymerization proceeds. More precisely speaking, for $[A]_0/[I]_0$ of BDG equal to 5, the change is monotonous increase, while for $[A]_0/[I]_0 > 10$ it exhibits a distinct maximum. This result shows that the helix sense of the attached PBLG chain reverses at $[A]_0/[I]_0$ between 5 and 10, which confirms the previous finding of Lundberg and Doty.⁵³ Figure 6-2 illustrates how $\Delta\alpha$ changes with time in the polymerization of BLG-BDG-BLG copolypeptides at the indicated $[A]_0/[I]_0$ values. In both cases, the value of $\Delta\alpha$ increases monotonously at the final stage. Thus it is suggested that the central BDG block has been forced to assume the right-handed α -helix by the interaction from the flanking BLG blocks.

6-3-2 Helix-Coil Transition

If each block of DL block copolypeptide maintains its own helix sense, without any interaction between the

two blocks, the resulting b_0 in a helicogenic solvent may be expressed by

$$b_0 = Xb_0^L + (1 - X)b_0^D \quad (6-1)$$

where b_0^D and b_0^L are the b_0 values for the D and L blocks, respectively, and X is the mole fraction of L residue; naturally $b_0^D \approx -b_0^L$. Figure 6-3 shows a plot of b_0 versus $(1 - X)$ for m-cresol solution (○) and DMF solution (⊕) at 25°C. It is seen that b_0 increases almost linearly

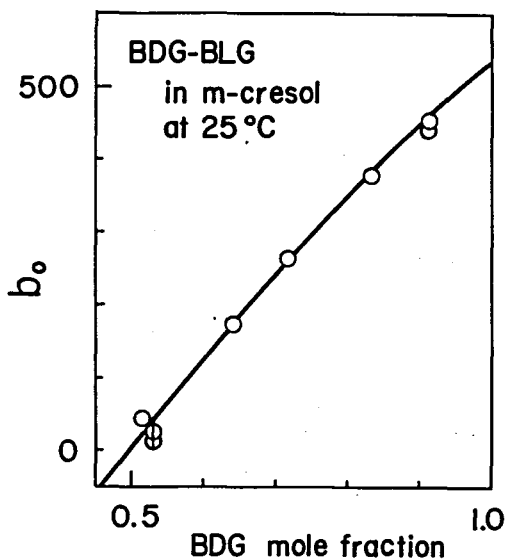


Figure6-3.

Relationship between Moffitt parameter b_0 and BDG mole fraction for DL block copolypeptides in DMF (⊕)¹¹¹ or m-cresol (○) at 25°C.

with $(1 - X)$, giving about 530 at $X = 0$. Between 25 and 65°C , the b_0 value for either sample decreased slightly with increasing temperature but showed no sign of transition. We may conclude from these results that both the D and L blocks in the block copolymer maintain their own screw senses and no detectable conformational induction takes place between them. If the conformational induction were to exist, the data points should deviate upward from the linear relation.

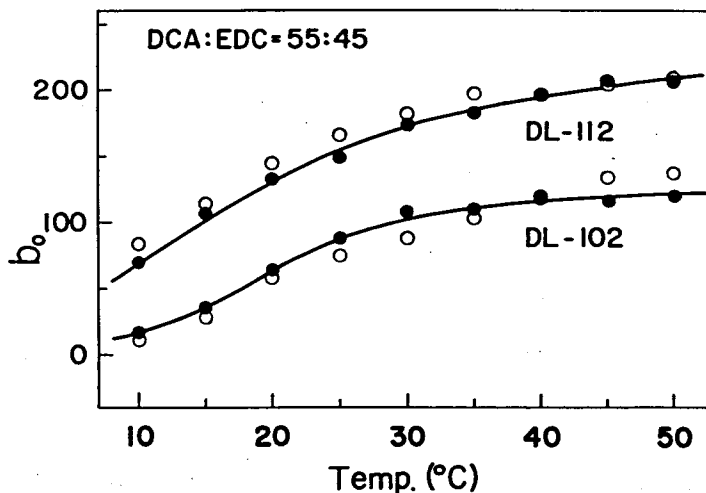


Figure 6-4.

Temperature dependence of b_0 for samples DL-102 and DL-112 in a DCA-EDC mixture (55 vol-% DCA). The filled circles indicate the experimental values and the open circles are calculated by Eq. (6-1).

Figure 6-4 shows plots of b_0 versus temperature for samples DL-102 and DL-112 in a DCA-EDC mixture (55 vol-% DCA) (filled circles). One can observe that the diblock copolypeptides undergo a thermally-induced helix-coil transition as does a low molecular weight PBLG (see Figure 4-7 in Chapter 4). If it is assumed that the two blocks in a diblock copolypeptide undergo the transition independently, the observed b_0 should be equal to the value that would be obtained for a mixture of PBLG and PBDG of the corresponding chain lengths. The open circles in Figure 6-4 denote the b_0 values calculated from Eq. (6-1) on this assumption, with the b_0 values of sample PBLG A-2, PBLG A-3, and PBDG A_n-4 being used for b_0^L and b_0^D . Good agreement between observed and calculated b_0 ensures the assumption that each block undergoes a thermal transition independently.

Klug and Applequist⁷¹ observed an appreciable induction effect for diblock copolypeptides with shorter D chains in helicogenic solvents. They analyzed their b_0 data as a function of the length of D chain to estimate the free energy difference between left-handed and right-handed α -helices, giving 100 ~ 600 cal/ mol of res. The reported value of the Zimm-Bragg s for PBLG (regular

right-handed helix) in m-cresol at 25°C is 1.6,⁶⁰ which corresponds to a free energy difference of -280 cal/mol of res. Therefore the fact that no conformational induction was detected for higher molecular weights suggests that the free energy difference between left-handed and right-handed helices is at least larger than 280 cal/mol of res. For a more quantitative discussion, one must resort to a statistical mechanical treatment of Go and Saito,⁷² in which a polypeptide chain is allowed to assume both right-handed and left-handed helices. However, experimental data are still insufficient to apply it.

6-4 Infrared Spectra

Skeletal vibrations of polypeptide chains are often conformationally sensitive and reflect small perturbations which are caused by introducing a few D-residues in a sequence of L-residues. Especially, the bands appearing in the far-infrared region can be used for conformational diagnoses of polypeptide chains and for estimating the fraction of the α -helical forms.¹¹⁵ In a random copolypeptide of D,L-amino acids, the minor residues, say D-residues, incorporated in long chains of L-residues will be forced to take up the right-handed helical sense

characteristic of L-homopolypeptides.^{104,112-114}

Therefore, the right-handed α -helix of the copolypeptide may be slightly deformed by the presence of the minor component and may give rise to new absorption bands which are located at different wavenumbers and have different intensities from those of the regular α -helix.

Tsuboi et al.¹¹² synthesized a series of copoly D,L- γ -benzyl glutamates with various D/L ratios and studied the effect of such structure deformation on infrared spectra. They interpreted the absorbed spectra changes as follows; the parallel bands at 1440 and 1422 cm^{-1} , the stronger parallel bands at 1354, 1298, and 1213 cm^{-1} , and the perpendicular band at 1212 cm^{-1} arise from the D-residues incorporated in the right-handed α -helix of PBG, and the parallel bands at 1419, 1119, and 563 cm^{-1} and the perpendicular band at 1121 cm^{-1} arise only from the regular α -helix of PBLG. In Figure 6-5 some of the absorption curves obtained with oriented films are given. For comparison the absorption curves of homopolypeptide sample PBLG-5 ($\bar{M}_v = 44 \times 10^4$) are shown. As can be seen from Figure 6-5, the spectra for the copolymers resemble very closely those of PBLG. Therefore, the fraction of deformed helix, if present, cannot be estimated from these data.

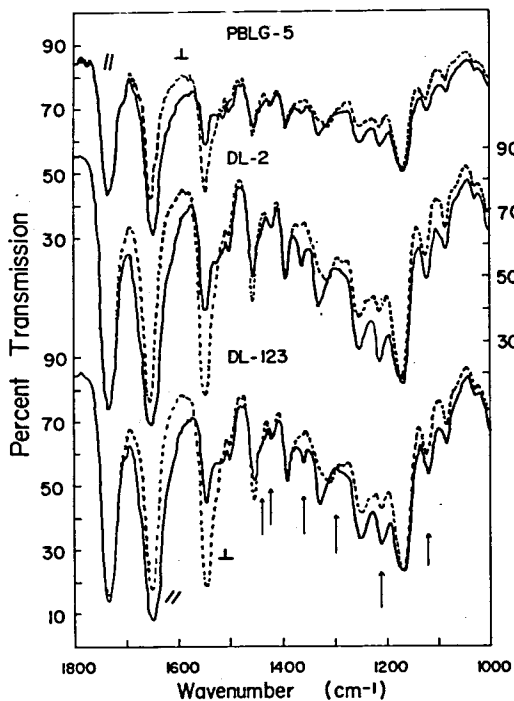


Figure 6-5.

Infrared absorption spectra of oriented films of PBLG and DL block copolypeptides in the $1000 - 1800 \text{ cm}^{-1}$ region. (—) electric vector of infrared radiation parallel to the fiber axis, (....) electric vector of infrared radiation perpendicular to the fiber axis.

According to Tsuboi et al.¹¹² a DL copolypeptide molecule in the solid state assume three different conformations, i.e., regular α -helix, deformed helix, and random coil. Their relative fractions may be estimated by measuring infrared absorption intensities of

conformation-sensitive bands. The bands at 563 and 613 cm^{-1} of unoriented films were chosen for this purpose, because the former was considered to be solely due to the regular α -helix and the latter was associated with both regular and deformed helices. The intensity of the band at 697 cm^{-1} (assigned to the CH out-of-plane vibration of the benzene ring) was used as an internal standard. Sample PBDG A_n-52 was selected as the reference for the regular helix. Figure 6-6 shows

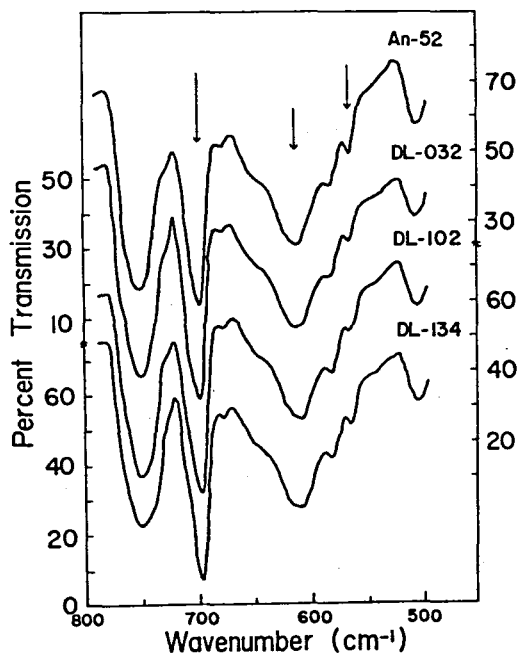


Figure 6-6.

Infrared absorption spectra of unoriented films of PBLG and DL block copolypeptides in the 800-550 cm^{-1} region.

infrared absorption spectra of unoriented films in the low wavenumber region. Table 6-3 summarizes the relative absorbances of the bands at 613 and 563 cm^{-1} and the fractions of regular and deformed helices for various samples. One can observe in Table 6-3 that, on the average, the sum of regular and deformed helices appears to be somewhat larger than the regular helix alone. However, the difference between them, i.e., the fraction of deformed helix, is not beyond the errors in the determination of absorbances. Therefore we may conclude

Table 6-3. Ratios of absorbances at 563, 613, and 697 cm^{-1} , and helical fractions

Sample code	$\frac{A_{613}}{A_{697}}$	$\frac{A_{563}}{A_{697}}$	helical fraction	
			regular + deformed	regular
A_n -52	0.264 \pm 0.02	0.127 \pm 0.015	1.00	1.00
DL-1	0.152	0.062	0.58	0.49
DL-2	0.258	0.128	0.98	1.0
DL-032	0.241	0.106	0.91	0.83
DL-102	0.234	0.107	0.87	0.84
DL-112	0.250	0.113	0.95	0.89
DL-123	0.253	0.133	0.96	1.0
DL-134	0.237	0.116	0.90	0.91

that there is not appreciable conformational induction in the solid state, too. Thus we find a situation quite different from the case of random copolymers, where a considerable fraction of deformed helix has been observed.¹¹²⁻¹¹⁴ This is probably because the conformational induction persists only over a short chain length, a fact noted in the polymerization kinetic experiments.

6-5 Dielectric Dispersion

Figure 6-7 shows typical Cole-Cole plots for samples

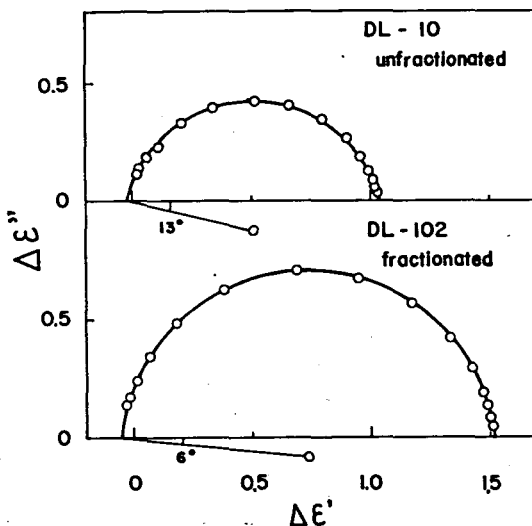


Figure 6-7.

Cole-Cole plots for samples DL-10 and DL-102 in m-cresol at 25°C.

Table 6-4. Dielectric dispersion data for diblock copolypeptides in m-cresol at 25°C

Sample code	$\langle \mu^2 \rangle^{1/2}$ D	$\tau T / \eta_0 \times 10^3$ sec deg/poise
DL-1	134	1.23
DL-2	225	2.88
DL-032	337	6.69
DL-102	254	2.68
DL-112	285	3.75
DL-123	316	5.40
DL-134	459	11.9
DL-162	141	1.01

DL-10 (unfractionated) and DL-102 (fractionated) in m-cresol at 25°C. The data points for each sample form a semicircle with its center located near the $\Delta\epsilon'$ axis, which indicates that the molecular weight distributions of both samples are moderately narrow. Similar data were obtained for other samples. The mean-square dipole moment and mean rotational relaxation time were calculated by the procedure described in Chapter 3. All the calculated results are given in Table 6-4. Figure 6-8 shows the molecular weight dependence of dipole moment,

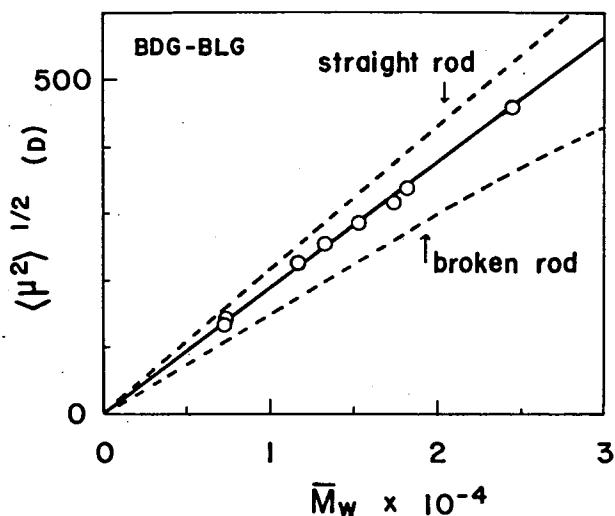


Figure 6-8.

Molecular weight dependence of dipole moment for DL block copolypeptides. The dashed lines denote the data for straight rod PBLG (upper) and for once-broken rod PBLG (lower), respectively.⁶¹

where, for comparison, the data of Matsumoto et al.⁶¹ for straight rod PBLG (upper curve) and once-broken rod PBLG (lower curve) in helicogenic solvents are included. The copolymer data approximately follow the curve for straight rod at low molecular weights, as also found by Matsumoto,¹¹¹ but deviate downward at higher molecular weights. If the molecular shape of the DL block copolymer were of once-broken rod with the boundary portion acting as a flexible joint, the mean dipole moment would be $2^{1/2}$

times as small as that of the straight rod of the same length. One can see in Figure 6-8 that the data points for the copolymers appear plotted between straight rod and once-broken rod. This can be understood if the boundary portion does not permit free rotation of the two rods. A similar explanation has been offered by Matsumoto et al.⁶¹ to account for the data of once-broken rod PBLG prepared by the diamine initiation. Note that if the internal rotation at the joint is restricted the dipole moment becomes smaller for the diamine-initiated polymers, in which the two chains are joined in a head-to-head fashion, and than for the DL block polymers, in which the two chains are joined in a head-to-tail fashion.

Figure 6-9 shows double-logarithmically the molecular weight dependence of mean rotational relaxation time τ corrected for solvent viscosity η_0 and absolute temperature T , where the dashed lines denote the data by Matsumoto et al.⁶¹ for straight rod PBLG and for once-broken rod PBLG in helicogenic solvents, respectively. The data points follow closely the curve for straight rod PBLG and are located far above the curve for once-broken rod ones. Thus the molecular shape of our DL block copolypeptides is hydrodynamically equivalent to rigid straight rod. This may happen if the boundary between D and

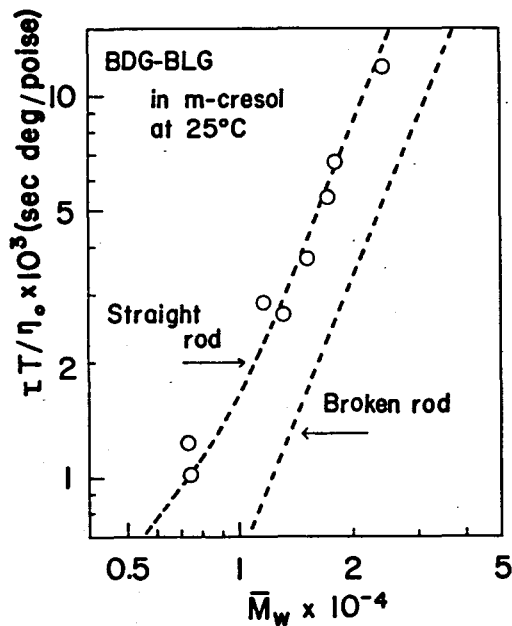


Figure 6-9.

Double logarithmic plot of $\tau T/\eta_0$ versus \bar{M}_w for block copolypeptides in m-cresol at 25°C. The dashed lines denote the data for straight rod PBLG (upper) and for once-broken rod PBLG (lower), respectively.⁶¹

L-chains, though not in the α -helical conformation, allows a very limited internal rotation.

6-6 Intrinsic Viscosity

Intrinsic viscosities $[\eta]$ in DCA and DMF at 25°C are listed in Table 6-5. Figure 6-10 shows conventional double logarithmic plots of $[\eta]$ versus \bar{M}_w , where the two dashed lines represent the data for PBLG in DCA (upper

Table 6-5. Viscosity data for diblock copolypeptides in DCA and DMF at 25°C

Sample code	[η], dl/g	
	DCA	DMF
DL-1	0.104	0.0483
DL-2	0.138	0.0622
DL-032	0.180	0.0895
DL-102	0.150	0.0640
DL-112	0.163	0.0708
DL-123	0.186	0.0880
DL-134	0.231	0.125
DL-162	0.111	0.0442

curve) and in DMF (lower curve) at 25°C, respectively.^{15,49} There is no discernible difference between [η] for PBLG and those for the DL block copolymers. Thus[✓] see again_{we} that the DL block copolypeptides are hydrodynamically indistinguishable from straight rod PBLG. This is at variance with the experimental finding of Teramoto et al.¹⁰⁹ and the theory of Yu and Stockmayer,¹¹⁶ which showed that the [η] of a once-broken rod molecule of high molecular weight was 0.85 times as large as that of a straight rod of the same length. Recently Taki and

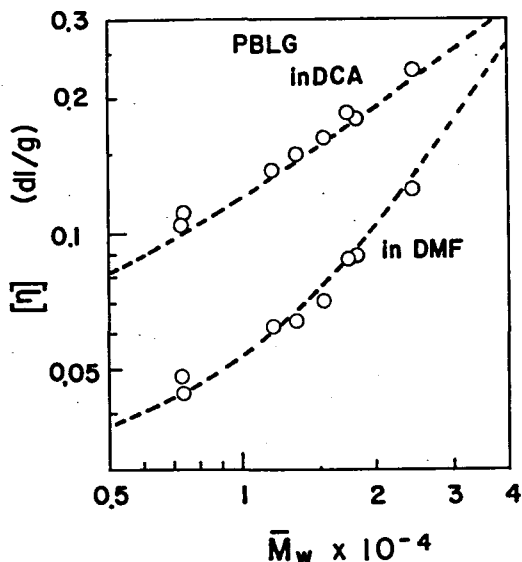


Figure 6-10.

Double logarithmic plots of $[\eta]$ versus \bar{M}_w for diblock copolypeptides in DCA and DMF at 25°C, respectively. The dashed lines denote the data for PBLG in DCA (upper) and DMF (lower) at 25°C, respectively.^{15,49}

Fujita¹¹⁷ have derived theoretically even a smaller value of 5/8 for this ratio. However, the theories are not applicable to such low molecular weight samples as examined in the present study.

6-7 Summary

Block copolypeptides of BLG and BDG having different block length were synthesized by successive polymerization

of the respective NCAs with n-butylamine as initiator. Optical rotation data and infrared spectra showed that no conformational induction is caused in DL block copolypeptides of relatively high molecular weights. The molecular shape of the copolypeptides in helicogenic solvents was found from dielectric and viscosity data to be essentially of straight rod. These results were explained by considering that the boundary portion between D and L chains, though not in the helical conformation, is subjected to a very restricted internal rotation.

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