



Title	SINGULARITIES OF THE ASYMPTOTIC COMPLETION OF DEVELOPABLE MÖBIUS STRIPS
Author(s)	Naokawa, Kosuke
Citation	Osaka Journal of Mathematics. 2013, 50(2), p. 425-437
Version Type	VoR
URL	<a href="https://doi.org/10.18910/25082">https://doi.org/10.18910/25082</a>
rights	
Note	

*The University of Osaka Institutional Knowledge Archive : OUKA*

<https://ir.library.osaka-u.ac.jp/>

The University of Osaka

## SINGULARITIES OF THE ASYMPTOTIC COMPLETION OF DEVELOPABLE MÖBIUS STRIPS

KOSUKE NAOKAWA

(Received September 13, 2010, revised September 2, 2011)

### Abstract

We prove that the asymptotic completion of a developable Möbius strip in Euclidean three-space must have at least one singular point other than cuspidal edge singularities. Moreover, if the strip is generated by a closed geodesic, then the number of such singular points is at least three. These lower bounds are both sharp.

### 1. Introduction

First, we define several terminologies. Let  $\gamma = \gamma(s): \mathbb{R} \rightarrow \mathbb{R}^3$  be a  $C^\infty$  map. The map  $\gamma(s)$  is called *l-periodic* if  $\gamma(s+l) = \gamma(s)$  for  $s \in \mathbb{R}$ . A  $C^\infty$  map  $\gamma(s)$  is called *regular* if  $\gamma'(s) := d\gamma(s)/ds$  does not vanish on  $\mathbb{R}$ . We fix such a periodic regular curve  $\gamma$ . An  $\mathbb{R}^3$ -valued vector field  $\xi$  along  $\gamma$  is called *l-odd-periodic* if it satisfies  $\xi(s+l) = -\xi(s)$  for  $s \in \mathbb{R}$ . We also fix such a  $C^\infty$  l-odd-periodic vector field  $\xi$ . A positive real number  $\epsilon$  is taken to be sufficiently small. A  $C^\infty$  map

$$(1.1) \quad F(s, u) = \gamma(s) + u\xi(s) \quad (s \in \mathbb{R}, |u| < \epsilon)$$

is called a *ruled Möbius strip* if  $F$  is an immersion into  $\mathbb{R}^3$ . Then,  $\gamma'(s)$  and  $\xi(s)$  are linearly independent for each  $s \in \mathbb{R}$ . In this situation,  $\gamma$  is called the *generating curve* or *directrix* of  $F$  and  $\xi$  is called the *ruling vector field* of  $F$ . The ruled strip  $F$  is called *developable* if  $F$  is flat (i.e. zero Gaussian curvature). Let  $F(s, u)$  be a ruled Möbius strip as in (1.1). Then, a  $C^\infty$  map

$$\tilde{F}(s, u) = \gamma(s) + u\xi(s) \quad (s, u \in \mathbb{R})$$

is called the *asymptotic completion* (or *a-completion*) of  $F$ . Let ' $\sim$ ' be the equivalence relation which regards two points  $(s, u)$  and  $(s+l, -u)$  as the same point in  $\mathbb{R}^2$ , where  $l$  is the period of the closed curve  $\gamma(s)$ . We set  $M := \mathbb{R}^2/\sim$ . Then,  $\tilde{F}$  can be regarded as a  $C^\infty$  map of  $M$  into  $\mathbb{R}^3$ .

Let  $U$  be an open domain in  $\mathbb{R}^2$  and  $f: U \rightarrow \mathbb{R}^3$  a  $C^\infty$  map. A point  $p \in U$  is called a *singular point* of  $f$  if the Jacobi matrix of  $f$  is of rank less than 2 at  $p$ . It is

---

2010 Mathematics Subject Classification. 53A05, 57R45.  
 Partly supported by the Grant-in-Aid for JSPS Fellows.

well-known that complete and flat surfaces immersed in  $\mathbb{R}^3$  are cylindrical. This fact implies that the a-completion of a developable Möbius strip must have singular points. Since the most generic singular points appeared on developable surfaces are cuspidal edge singularities (cf. [4, 5, 9]), we are interested in how often singular points other than cuspidal edge singularities appear on the a-completion of a developable Möbius strip. (Izumiya–Takeuchi [5] is a nice reference for singularities of ruled surfaces or developable surfaces.)

Recently, global properties of flat surfaces with singularities in  $\mathbb{R}^3$  were investigated in Murata–Umehara [9]. They defined ‘completeness’ for flat fronts (cf. [9, Definition 0.2]) and proved that a complete flat front with embedded ends has at least four singular points other than cuspidal edge singularities if the front has singular points. However, we cannot apply this result, since complete flat fronts are all orientable (cf. [9, Theorem A]). Therefore, it is interesting to determine lower bounds on the number of non-cuspidal-edge singular points on developable Möbius strips. We show the following:

**Theorem 1.** *The asymptotic completion  $\tilde{F}: M \rightarrow \mathbb{R}^3$  of a developable Möbius strip  $F(s, u) = \gamma(s) + u\xi(s)$  has at least one singular point other than cuspidal edge singularities on  $M$ .*

In fact, there are many developable Möbius strips. Chicone–Kalton [2] constructed a developable Möbius strip on each generic closed regular curve in  $\mathbb{R}^3$ . The topological types of Möbius strips are determined by the isotopy types of their generating curves and Möbius twisting numbers. Røgen [12] showed that there exists a developable Möbius strip of an arbitrarily given topological type.

A developable Möbius strip whose generating curve is a closed geodesic is called a *rectifying Möbius strip*. Roughly speaking, a rectifying strip can be constructed from an isometric deformation of a rectangular domain on a plane (cf. [8, Proposition 2.14]). We also show the following assertion:

**Theorem 2.** *The asymptotic completion  $\tilde{F}: M \rightarrow \mathbb{R}^3$  of a rectifying Möbius strip  $F(s, u) = \gamma(s) + u\xi(s)$  whose generating curve  $\gamma(s)$  is a closed geodesic has at least three singular points other than cuspidal edge singularities on  $M$ .*

The first explicit construction of a rectifying Möbius strip in  $\mathbb{R}^3$  was given by Wunderlich [14]. Recently, Kurono–Umehara [8] proved that there exists a rectifying Möbius strip which is isotopic to any given Möbius strip. See Sabitov [13] for other references and the history.

These lower bounds of the number of non-cuspidal-edge singularities in Theorems 1 and 2 are both sharp. In fact, we give examples which have just one and three non-cuspidal-edge singularities for Theorems 1 and 2, respectively (see Examples 2.10 and 3.4).

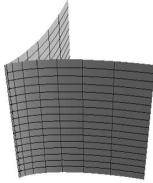


Fig. 1. A cuspidal edge.

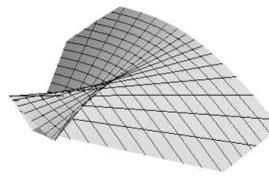


Fig. 2. A swallowtail.

## 2. Singularities of developable Möbius strips

We give definitions of cuspidal-edge and swallowtail singularities and recall the criteria for cuspidal edges and swallowtails as in [7].

**DEFINITION 2.1.** Let  $U_i \subset \mathbb{R}^2$  ( $i = 1, 2$ ) be two open neighborhoods of points  $p_i \in \mathbb{R}^2$  and  $f_i = f_i(u, v): U_i \rightarrow \mathbb{R}^3$  ( $i = 1, 2$ ) two  $C^\infty$  maps. Then  $f_1$  is said to be *right-left equivalent* to  $f_2$  if there exist two diffeomorphisms  $\varphi: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  and  $\Phi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  such that  $\varphi(p_1) = p_2$ ,  $\Phi \circ f_1(p_1) = f_2(p_2)$  and  $\Phi \circ f_1 = f_2 \circ \varphi$  on  $U_1$ .

We set

$$f_C(u, v) := \begin{pmatrix} 2u^3 \\ -3u^2 \\ v \end{pmatrix}, \quad f_S(u, v) := \begin{pmatrix} 3u^4 + u^2v \\ -4u^3 - 2uv \\ v \end{pmatrix}$$

(see Figs. 1 and 2, respectively). The set  $\{(u, v) \in \mathbb{R}^2; u = 0\}$  is a cuspidal edge singularity of  $f_C$  and the point  $(u, v) = (0, 0)$  is a swallowtail singularity of  $f_S$ . A  $C^\infty$  map germ which is right-left equivalent to the map germ  $f_C$  (resp.  $f_S$ ) at  $(u, v) = (0, 0)$  is called a *cuspidal edge* (resp. a *swallowtail*).

**DEFINITION 2.2.** Let  $U \subset \mathbb{R}^2$  be an open domain. A  $C^\infty$  map  $f: U \rightarrow \mathbb{R}^3$  is called a *frontal* if there exists a  $C^\infty$  map  $v: U \rightarrow \mathbb{S}^2$  ( $\mathbb{S}^2$  is the unit sphere) such that  $v(p)$  is perpendicular to  $df(T_p U)$  for  $p \in U$ , where  $df$  is the differential of  $f$  and  $T_p U$  is the tangent plane at  $p$  to  $U$ . Such a map  $v$  is called a *unit normal vector field* of  $f$ . Moreover, if the  $C^\infty$  map  $L := (f, v): U \rightarrow \mathbb{R}^3 \times \mathbb{S}^2$  is an immersion,  $f$  is called a *wave front* (or *front*).

A singular point  $p \in U$  of a frontal  $f(u, v)$  is *non-degenerate* if the differential  $d\lambda$  of  $\lambda := \det(f_u, f_v, v)$  does not vanish at  $p$ , where  $f_u := \partial f / \partial u$  and  $f_v := \partial f / \partial v$ . If a singular point  $p$  is non-degenerate, the singular set of  $f$  is a regular curve near  $p$  on  $U$ . This regular curve  $c(s)$  is called the *singular curve* of  $f$ , and a tangent vector to  $c$  is called a *singular direction* of  $f$ . Moreover, a nonzero vector  $\eta \in T_{c(s)} U$  satisfying  $df(\eta) = 0$  is called a *null direction* of  $f$ . We can take such  $\eta(s)$  as a  $C^\infty$  vector field along  $c(s)$  near  $p$ , and the  $C^\infty$  vector field  $\eta(s)$  is called a *null vector field* of  $f$ .

FACT 2.3 ([7]). Let  $f = f(u, v): U \rightarrow \mathbb{R}^3$  be a wave front. We denote by  $c(s)$  the singular curve near a non-degenerate singular point  $p \in U$  of  $f$  such that  $c(0) = p$ , and by  $\eta(s)$  a null vector field along  $c(s)$ . We set  $\rho := \det(c', \eta)$ . Then,

- (i)  $p$  is a cuspidal edge if and only if  $\rho(0) \neq 0$ ,
- (ii)  $p$  is a swallowtail if and only if  $\rho(0) = 0$  and  $\rho'(0) \neq 0$ .

It should be remarked that criteria for cuspidal edges and swallowtails of developable surfaces have been given in [5, Theorem 3.7]. One can apply the criteria instead of those in Fact 2.3. However, developable Möbius strips are frontals but not always fronts (see Remark 2.6). The following assertion is useful for our later discussions, which can be proved as a corollary of Fact 2.3:

**Cororally 2.4.** *Let  $f = f(u, v): U \rightarrow \mathbb{R}^3$  be a frontal. We denote by  $c(s)$  the singular curve near a non-degenerate singular point  $p \in U$  of  $f$  such that  $c(0) = p$ , and by  $\eta(s)$  a null vector field along  $c(s)$ . Then  $p$  is a non-cuspidal-edge singular point if  $\rho(s) := \det(c'(s), \eta(s))$  vanishes at  $s = 0$ .*

Cuspidal edges and swallowtails are wave fronts as  $C^\infty$  map germs. By (i) of Fact 2.3, whether a frontal  $f$  is a front or not, a singular point  $p$  of  $f$  is not a cuspidal edge if  $\rho(s)$  vanishes at  $s = 0$ .

Next, we consider the a-completion of a ruled Möbius strip  $F(s, u) = \gamma(s) + u\xi(s)$  with singularities. By a suitable change of parameters, we may assume that  $s$  is an arc-length parameter of  $\gamma$  and  $\xi(s)$  is a unit vector for each  $s \in \mathbb{R}$ . Since the difference of  $F$  and  $\tilde{F}$  is only that of their domains, we express a ruled Möbius strip  $F$  itself and its a-completion  $\tilde{F}$  as the same symbol  $F$  for the sake of simplicity.

**Lemma 2.5.** *Let  $F(s, u) = \gamma(s) + u\xi(s)$  be a ruled Möbius strip, where  $s$  is an arc-length parameter of  $\gamma$  and  $\xi(s)$  is a unit vector for each  $s \in \mathbb{R}$ . Then,*

$$|F_s \times F_u|^2 = \begin{cases} |\xi'(s)|^2 \left( u + \frac{\gamma'(s) \cdot \xi'(s)}{|\xi'(s)|^2} \right)^2 + \frac{\det(\gamma'(s), \xi(s), \xi'(s))^2}{|\xi'(s)|^2} & (\xi'(s) \neq \mathbf{0}), \\ |\gamma'(s) \times \xi(s)|^2 & (\xi'(s) = \mathbf{0}), \end{cases}$$

where the dot ‘·’ is the inner product and the cross ‘×’ is the vector product in  $\mathbb{R}^3$ .

Proof. Since  $F_s = \gamma' + u\xi'$  and  $F_u = \xi$ , this assertion is obvious when  $\xi'(s) = \mathbf{0}$ . So we assume  $\xi'(s) \neq \mathbf{0}$ , and then

$$|F_s \times F_u|^2 = |\xi'|^2 \left( u + \frac{\gamma' \cdot \xi'}{|\xi'|^2} \right)^2 + \frac{|\gamma' \times \xi|^2 |\xi'|^2 - (\gamma' \cdot \xi')^2}{|\xi'|^2}.$$

Since

$$(2.1) \quad \begin{aligned} |(\gamma' \times \xi) \times \xi'|^2 &= |\gamma' \times \xi|^2 |\xi'|^2 - ((\gamma' \times \xi) \cdot \xi')^2 \\ &= |\gamma' \times \xi|^2 |\xi'|^2 - \det(\gamma', \xi, \xi')^2 \end{aligned}$$

and

$$(2.2) \quad |(\gamma' \times \xi) \times \xi'|^2 = |(\gamma' \cdot \xi')\xi - (\xi \cdot \xi')\gamma'|^2 = (\gamma' \cdot \xi')^2,$$

we get the conclusion.  $\square$

The equation  $\det(\gamma', \xi, \xi') = 0$  is a necessary and sufficient condition of flatness of ruled Möbius strips. Hence, if  $F$  is developable, the  $C^\infty$  map

$$(2.3) \quad v(s, u) := \frac{\gamma'(s) \times \xi(s)}{|\gamma'(s) \times \xi(s)|}$$

gives a unit normal vector field along  $F(s, u)$ , so  $F$  is a frontal. Since  $v$  does not depend on  $u$  when  $F$  is developable, we regard as  $v(s) = v(s, u)$  and denote  $v' = v_s$ .

**REMARK 2.6.** Developable Möbius strips are frontals but not always fronts. In fact, a developable Möbius strip  $F(s, u)$  is a front in a neighborhood of a singular point  $(s, u) = (s_0, u_0)$  if and only if  $v'(s_0)$  is not equal to the zero vector, since the Jacobi matrix of the map  $L = (F, v)$  is given by

$$dL = \begin{pmatrix} F_s & F_u \\ v' & \mathbf{0} \end{pmatrix}.$$

We give examples of developable Möbius strips one of whose singularities are not fronts (see Examples 2.10 and 3.4). These singularities look like ‘open swallowtails’ (see Remark 2.11). Moreover, further singularities which are not fronts might appear in general: In fact, if  $v'$  identically vanishes on an open interval  $I$ , the image of the restriction of  $F$  to  $I \times \mathbb{R}$  is contained in a plane. If there exist singular points on the plane, the singularities are not fronts.

**Lemma 2.7.** *Let  $F: M \ni (s, u) \mapsto \gamma(s) + u\xi(s) \in \mathbb{R}^3$  be the a-completion of a developable Möbius strip, where  $s$  is an arc-length parameter of  $\gamma$  and  $\xi(s)$  is a unit vector for each  $s \in \mathbb{R}$ . Then,*

- (i) *each singular point of  $F$  is non-degenerate,*
- (ii) *the singular set  $S(F)$  of  $F$  is given by*

$$S(F) = \left\{ (s, u) \in M; u = -\frac{|\gamma'(s) \times \xi(s)|^2}{\gamma'(s) \cdot \xi'(s)}, \xi'(s) \neq \mathbf{0} \right\},$$

(iii) the null vector field of  $F$  is given by

$$\frac{\partial}{\partial s} - (\gamma' \cdot \xi) \frac{\partial}{\partial u}.$$

Proof. We set  $\lambda := \det(F_s, F_u, v)$ . Since  $F$  is developable,  $\det(\gamma', \xi, \xi') = 0$  holds. By Lemma 2.5,  $(s, u) \in M$  is a singular point of  $F$  if and only if

$$(2.4) \quad u = -\frac{\gamma'(s) \cdot \xi'(s)}{|\xi'(s)|^2}, \quad \xi'(s) \neq \mathbf{0}.$$

Since  $\gamma'(s)$  and  $\xi(s)$  are linearly independent and  $\xi'(s)$  is perpendicular to  $\xi(s)$  for each  $s \in \mathbb{R}$ , the equality  $\gamma'(s) \cdot \xi'(s) = 0$  holds if and only if  $\xi'(s) = \mathbf{0}$ . Therefore,  $\lambda_u = \gamma' \cdot \xi' / |\gamma' \times \xi|$  does not vanish on  $S(F)$ , so we obtain (i). We have  $|\gamma' \times \xi|^2 |\xi'|^2 = (\gamma' \cdot \xi')^2$  by (2.1) and (2.2). Therefore, (ii) holds by (2.4). Let  $(s_0, u_0)$  be a singular point. Since  $k := \gamma'(s_0) \cdot \xi(s_0)$  ( $\neq 0$ ) satisfies  $F_s(s_0, u_0) = k F_u(s_0, u_0)$ , we have (iii).  $\square$

Since  $\xi$  is not a constant vector field, there exists a point  $s \in \mathbb{R}$  such that  $\xi'(s) \neq \mathbf{0}$ . Therefore, the singular set  $S(F)$  is not empty. The following lemma gives a proof of Theorem 1.

**Lemma 2.8.** *Let  $F(s, u) = \gamma(s) + u\xi(s)$  be a developable Möbius strip. The  $a$ -completion of  $F$  has at least one singular point other than cuspidal edge singularities on each connected component of  $S(F)$ . In particular, the  $a$ -completion of  $F$  has at least one singular point other than cuspidal edge singularities.*

Proof. We remark that there exists a point  $s \in \mathbb{R}$  such that  $\xi'(s) = \mathbf{0}$ , since  $\gamma' \cdot \xi'$  is an odd-periodic function. Let  $\{(s, u(s))\}_{s \in \mathbb{R}}$  be the graph of the singular curve of  $F$  in the  $(s, u)$ -plane, and let  $\{(s, u(s))\}_{s_1 < s < s_2}$  be a connected component of  $S(F)$ . Then, the two points  $s_1$  and  $s_2$  satisfy  $\xi'(s_1) = \xi'(s_2) = \mathbf{0}$  and  $\xi'(s) \neq \mathbf{0}$  for  $s \in (s_1, s_2)$ . Suppose  $\gamma'(s) \cdot \xi'(s) > 0$  for  $s \in (s_1, s_2)$ . By Lemma 2.7 (ii), the function  $u(s)$  satisfies

$$\lim_{s \searrow s_1} u(s) = \lim_{s \nearrow s_2} u(s) = -\infty,$$

where  $\searrow$  and  $\nearrow$  mean approaching from above and below, respectively. Then, the function

$$P(s) := -u(s) - \int_{s_1}^s \gamma'(t) \cdot \xi(t) dt \quad (s_1 < s < s_2)$$

satisfies

$$\lim_{s \searrow s_1} P(s) = \lim_{s \nearrow s_2} P(s) = \infty,$$

since  $|\gamma'(s) \cdot \xi(s)| < 1$ . This implies that  $P(s)$  attains a minimum at a point  $s = s_0$ . Let  $\rho(s)$  be the determinant of the  $2 \times 2$  matrix consisting of the two vectors (in the  $(s, u)$ -plane) for the singular direction and null direction of  $F$ . Then, the function  $\rho(s) = -u'(s) - \gamma'(s) \cdot \xi(s) = P'(s)$  vanishes at  $s = s_0$ . By Corollary 2.4, the singular point  $(s_0, u(s_0))$  is not a cuspidal edge singularity. The case  $\gamma' \cdot \xi' < 0$  is similar.  $\square$

REMARK 2.9. Lemmas 2.7 and 2.8 also imply that the number of non-cuspidal-edge singular points on the  $\alpha$ -completion of a developable Möbius strip is greater than or equal to the number of connected components of the zero set of  $\xi'$ , if these numbers are finite.

We close this section with an example having only one singular point other than cuspidal edge singularities. This implies that Theorem 1 gives the sharpest lower bound.

EXAMPLE 2.10. We define a  $2\pi$ -periodic regular curve  $\gamma = \gamma(s): \mathbb{R} \rightarrow \mathbb{R}^3$  by

$$\gamma(s) := \begin{pmatrix} \sin 2s \\ \cos 2s \\ \frac{1}{\sqrt{2}} \sin s \end{pmatrix},$$

whose curvature function  $\kappa(s)$  does not vanish. Let  $\xi = \xi(s)$  be the  $2\pi$ -odd-periodic and non-vanishing vector field along  $\gamma$  given by

$$\xi(s) := p(s)\mathbf{e}(s) + \cos\left(\frac{s}{2}\right)\mathbf{n}(s) + \sin\left(\frac{s}{2}\right)\mathbf{b}(s),$$

where  $\mathbf{e}$  is the unit tangent vector field,  $\mathbf{n}$  is the normal vector field and  $\mathbf{b}$  is the bi-normal vector field of  $\gamma$ . Moreover,  $\tau$  is the torsion function of  $\gamma$  and

$$p(s) := \frac{1}{\kappa(s)} \left( \frac{1}{2|\gamma'(s)|} + \tau(s) \right) \Big/ \sin \frac{s}{2}.$$

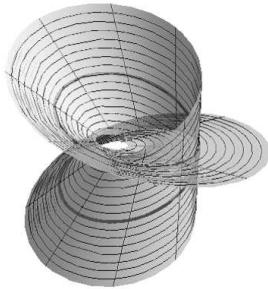
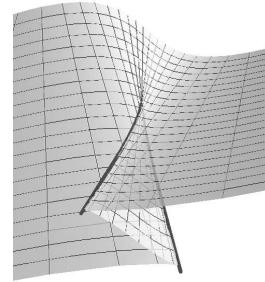
We remark that  $p(s)$  and  $\xi(s)$  are both smooth at  $s = k$  ( $k \in \mathbb{Z}$ ). Since  $\det(\gamma', \xi, \xi') = 0$ , the map  $F(s, u) = \gamma(s) + u\xi(s)$  is a developable Möbius strip (see Fig. 3).

The generating curve  $\gamma(s)$  can be expressed by a rational function; if  $x(s) := \tan(s/2)$  for  $-\pi < s < \pi$ , then we have

$$\gamma(x) = \frac{1}{(1+x^2)^2} \begin{pmatrix} 4x(1-x^2) \\ (1-2x-x^2)(1+2x-x^2) \\ \sqrt{2}x(1+x^2) \end{pmatrix} \quad (x \in \mathbb{R}).$$

We set

$$\hat{\xi}(x) := \frac{\xi(s)}{\cos(s/2)} = \hat{p}(x)\mathbf{e}(x) + \mathbf{n}(x) + x\mathbf{b}(x),$$

Fig. 3. The image of  $F(s, u)$ .Fig. 4. An open swallowtail in  $\mathbb{R}^3$ .

where  $\hat{p}(x)$  is a certain  $C^\infty$  function. Let  $\rho(x)$  be the determinant of the  $2 \times 2$  matrix consisting of the two vectors for the singular direction and null direction of  $F(x, v) = \gamma(x) + v\hat{\xi}(x)$ . We obtain<sup>1</sup>

$$\rho = \frac{1}{|\hat{\xi} \times \hat{\xi}_x|^2} \frac{(b_1 + b_{12}\sqrt{f_2})^2}{(a_1 + a_{12}\sqrt{f_2})} \frac{xA(x)}{(1 + x^2)^3(f_1)^{7/2}(f_2)^2},$$

where  $f_1(x) := 3 + 5x^2 + 3x^4$ ,  $f_2(x) := 9 + 14x^2 + 9x^4$ . Here,  $a_1$ ,  $a_{12}$ ,  $b_1$ ,  $b_{12}$  and  $A$  are polynomials in  $x$  such that they have only even-degree terms and are non-negative. Moreover, the asymptotic line at  $x = \infty$  has no singular points, so  $\rho(x) = 0$  if and only if  $x = 0$  (i.e.  $s = 0$ ). By Corollary 2.4, the singular point corresponding to  $s = 0$  is not a cuspidal edge singularity. On the other hand,  $v'(s) = 0$  if and only if  $s = 0$ , where  $v(s)$  is defined by (2.3). Therefore, each singular point except at  $s = 0$  is a cuspidal edge by Fact 2.3 (i).

REMARK 2.11. By author's computer graphics, the singularity on the asymptotic line at  $s = 0$  looks like an 'open swallowtail' (see Fig. 4; cf. [1]). The author does not know a criterion for open swallowtails of developable surfaces.

### 3. The proof of Theorem 2

Let  $F(s, u) = \gamma(s) + u\xi(s)$  be a rectifying Möbius strip whose generating curve  $\gamma$  is a closed geodesic (see the introduction). We may assume that  $s$  is an arc-length parameter of  $\gamma$ . Then,  $\gamma(s)$  and  $\xi(s)$  satisfy

$$(3.1) \quad \gamma''(s) \cdot \xi(s) = 0 \quad (s \in \mathbb{R}),$$

since  $\gamma(s)$  is a geodesic. Conversely, the generating curve  $\gamma(s)$  is a geodesic if  $\gamma(s)$  and  $\xi(s)$  satisfy (3.1) (cf. [8]). We normalize the ruling vector  $\xi(s)$  for each  $s \in \mathbb{R}$  so

---

<sup>1</sup>The software *Mathematica* (Version 7.0.0, Wolfram research) was used for this calculation.

that the projection of  $\xi(s)$  into the normal plane at the point  $\gamma(s)$  is a unit vector, i.e.

$$(3.2) \quad |\gamma'(s) \times \xi(s)| = 1 \quad (s \in \mathbb{R}),$$

where the normal plane is the plane perpendicular to  $e(s) = \gamma'(s)$ . Then,  $\xi$  can be expressed by (cf. [4, 8])

$$(3.3) \quad V := \frac{\tau}{\kappa} e + b$$

when  $\kappa$  is nonzero, where  $\kappa$  is the curvature function,  $\tau$  is the torsion function and  $\{e, n, b\}$  is the Frenet frame of  $\gamma$ . This vector field  $V$  is called the *normalized Darboux vector field* of  $\gamma$ . The ratio  $\sigma := \tau/\kappa$  is called the *conical curvature* of  $\gamma$  (cf. Heil [3]). We remark that  $V$  may not be defined at zeros of  $\kappa$ , so we cannot use the expression (3.3) as a representation of  $\xi$  if  $\kappa = 0$ . To avoid this difficulty, we define the new framing  $\{e, \hat{n}, \hat{b}\}$  instead of  $\{e, n, b\}$  later (cf. (3.4)), and use  $\hat{V}$  as in (3.5) instead of  $V$ . Then,  $\hat{n}$ ,  $\hat{b}$  and  $\hat{V}$  are smooth at the zeros of  $\kappa$ .

**REMARK 3.1.** In [4],  $V$  is called the *modified Darboux vector* along  $\gamma$ . Moreover, the criteria of cuspidal edges and swallowtails on the rectifying developable surfaces associated to  $\gamma$  are given in terms of conical curvature  $\sigma = \tau/\kappa$  if  $\kappa$  does not vanish. For example, on the assumption that  $\kappa \neq 0$ , a point  $(s_0, u_0)$  is a non-cuspidal-edge singularity of  $F(s, u) = \gamma(s) + uV(s)$  if and only if  $u_0 = -1/\sigma'(s_0)$ ,  $\sigma'(s_0) \neq 0$  and  $\sigma''(s_0) = 0$  (see [4, Theorem 2.2]). However, it is known that the curvature functions of closed geodesics of rectifying Möbius strips must have zeros (cf. [11]).

We recall the following facts in order to explain properties of the conical curvature of a regular space curve.

**FACT 3.2** (cf. [3]). Let  $I \subset \mathbb{R}$  be an open interval and  $\gamma: I \rightarrow \mathbb{R}^3$  a regular curve. If the curvature function  $\kappa$  of  $\gamma$  does not vanish, then the geodesic curvature function of the unit tangent vector field  $e: I \rightarrow \mathbb{S}^2$  of  $\gamma$  as a spherical curve is equal to the conical curvature  $\sigma = \tau/\kappa$  of  $\gamma$ .

A  $C^\infty$  function  $g = g(s): I \rightarrow \mathbb{R}$  is said to be  *$C^1$ -strictly increasing* (resp.  *$C^1$ -monotone increasing in the wider sense*) if  $g'(s) > 0$  (resp.  $g'(s) \geq 0$ ) for  $s \in I$ . A regular spherical curve  $\alpha = \alpha(s): I \rightarrow \mathbb{S}^2$  is called an *honestly positive spiral* (resp. *positive spiral*) if the geodesic curvature function of  $\alpha$  is  $C^1$ -strictly increasing (resp.  $C^1$ -monotone increasing in the wider sense).

**FACT 3.3** ([6, 10]). Let  $\alpha = \alpha(s): I \rightarrow \mathbb{S}^2$  be an honestly positive spiral (resp. *positive spiral*). We denote by  $C(s) \subset \mathbb{S}^2$  the osculating circle of  $\alpha$  at  $s \in I$  and assign  $C(s)$  the orientation compatible with that of  $\alpha(s)$  for each  $s \in I$ . Let  $D(s)$  be the left-hand domain of  $C(s)$ . Then,  $s_1 < s_2$  implies  $\overline{D(s_2)} \subset D(s_1)$  (resp.  $D(s_2) \subset D(s_1)$ ).

Proof of Theorem 2. Let  $F(s, u) = \gamma(s) + u\xi(s)$  be a rectifying Möbius strip whose generating curve  $\gamma$  is a closed geodesic. We may assume that  $s$  is an arc-length parameter of  $\gamma$  and normalize  $\xi(s)$  as in (3.2) by a suitable change of the parameter  $u$ . Then,  $\gamma(s)$  and  $\xi(s)$  satisfy (3.1).

The Frenet frame of  $\gamma(s)$  cannot be defined if  $\kappa(s) = 0$ . However, we can construct an ‘extended’ Frenet frame defined on  $\mathbb{R}$  by using the ruling vector field  $\xi(s)$ . We set

$$(3.4) \quad \hat{\mathbf{n}} := -\mathbf{e} \times \xi, \quad \hat{\mathbf{b}} := \mathbf{e} \times \hat{\mathbf{n}}, \quad \hat{\kappa} := \mathbf{e}' \cdot \hat{\mathbf{n}}, \quad \hat{\tau} := -\hat{\mathbf{b}}' \cdot \hat{\mathbf{n}}, \quad \hat{\sigma} := \mathbf{e} \cdot \xi.$$

These vector fields and functions are of class  $C^\infty$ . Then  $\{\mathbf{e}, \hat{\mathbf{n}}, \hat{\mathbf{b}}\}$  satisfies

$$\mathbf{e}' = \hat{\kappa} \hat{\mathbf{n}}, \quad \hat{\mathbf{n}}' = -\hat{\kappa} \mathbf{e} + \hat{\tau} \hat{\mathbf{b}}, \quad \hat{\mathbf{b}}' = -\hat{\tau} \hat{\mathbf{n}}.$$

Therefore, we have  $\kappa = |\hat{\kappa}|$ . Moreover, if  $\kappa(s) \neq 0$ , then

$$\hat{\mathbf{n}}(s) = \epsilon \mathbf{n}(s), \quad \hat{\mathbf{b}}(s) = \epsilon \mathbf{b}(s),$$

where  $\epsilon := \hat{\kappa}(s)/\kappa(s)$  ( $= \pm 1$ ). The function  $\hat{\tau}(s)$  is exactly equal to  $\tau(s)$  if  $\kappa(s) \neq 0$ . Then,  $\xi$  is exactly equal to

$$(3.5) \quad \hat{V} := \hat{\sigma} \mathbf{e} + \hat{\mathbf{b}}.$$

Since  $\det(\mathbf{e}, \xi, \xi') = 0$ , we have  $\hat{\tau} = \hat{\sigma} \hat{\kappa}$ . Therefore, we regard  $\hat{\mathbf{n}}$ ,  $\hat{\mathbf{b}}$ ,  $\hat{\kappa}$ ,  $\hat{\tau}$  and  $\hat{\sigma}$  as smooth extensions of  $\mathbf{n}$ ,  $\mathbf{b}$ ,  $\kappa$ ,  $\tau$  and  $\sigma$ , respectively. We set

$$\hat{K}_+ := \{s \in \mathbb{R} : \hat{\kappa}(s) > 0\}, \quad \hat{K}_0 := \{s \in \mathbb{R} : \hat{\kappa}(s) = 0\}, \quad \hat{K}_- := \{s \in \mathbb{R} : \hat{\kappa}(s) < 0\}.$$

We regard  $\mathbf{e} = \gamma'$  as a closed curve in  $\mathbb{S}^2$ . The spherical curve  $\mathbf{e}$  has singular points at zeros of  $\kappa$ . For each  $s \in \mathbb{R}$ , the vectors  $\hat{\mathbf{n}}(s)$  and  $\hat{\mathbf{b}}(s)$  can be regarded as a unit tangent vector and a unit conormal vector of the spherical curve  $\mathbf{e}(s)$ , respectively. In particular,  $\{\hat{\mathbf{n}}, \hat{\mathbf{b}}, \mathbf{e}\}$  gives a smooth positive orthonormal frame along  $\mathbf{e}$ . Since  $\hat{\sigma}$  is of class  $C^\infty$ , we can smoothly extend to  $\mathbb{R}$  the osculating circle  $C(s) \subset \mathbb{S}^2$  of  $\mathbf{e}(s)$ . In fact, the extended osculating circle  $\hat{C}(s)$  can be canonically defined by a circle on  $\mathbb{S}^2$  which passes  $\mathbf{e}(s)$  and whose center is

$$\exp_{\mathbf{e}(s)} \left( \frac{1}{2} \left( \arctan \frac{2}{\hat{\sigma}(s)} \right) \hat{\mathbf{b}}(s) \right),$$

where  $\exp_p : T_p \mathbb{S}^2 \rightarrow \mathbb{S}^2$  is the exponential map at a point  $p \in \mathbb{S}^2$ . We assign  $\hat{C}(s)$  the orientation compatible with the direction of  $\hat{\mathbf{n}}(s)$ . If  $s \in \hat{K}_+$  (resp.  $\hat{K}_-$ ), then the orientation of  $\hat{C}(s)$  is equal (resp. opposite) to that of  $C(s)$ . Let  $\hat{D}(s)$  be the left-hand domain of  $\hat{C}(s)$ .

Since  $\xi' = \hat{\sigma}'\mathbf{e}$ , by Remark 2.9, it is sufficient to show that the number of the connected components of the zero point set of  $\hat{\sigma}'(s)$  is at least three. We suppose that the number of locally maximal or locally minimal points of the odd-periodic function  $\hat{\sigma}$  is only one. We may assume that  $s = 0$  is the locally minimal point. Then  $\hat{\sigma}$  is a  $C^1$ -monotone increasing function in the wider sense on the closed interval  $[0, l]$ , where  $l$  is the period of  $\gamma(s)$ . The restriction of the spherical curve  $\mathbf{e}$  to each connected component of  $\hat{K}_+$  (resp.  $\hat{K}_-$ ) is a positive (resp. negative) spiral. If we take two points  $s_1$  and  $s_2$  satisfying  $s_1 < s_2$  in each connected component of  $\hat{K}_+ \cup \hat{K}_-$ , we have  $D(s_2) \subset D(s_1)$  by Fact 3.3. On the other hand, if we take two points  $s_1$  and  $s_2$  satisfying  $s_1 < s_2$  in each connected component of  $\hat{K}_0$ , it holds that  $\hat{\kappa} = \hat{\tau} = 0$  on the closed interval  $[s_1, s_2]$ . Therefore  $\hat{\mathbf{n}}$  and  $\hat{\mathbf{b}}$  are constant on  $[s_1, s_2]$ , so we have  $D(s_2) \subset D(s_1)$ . Since the domain  $D(s)$  depends smoothly on  $s \in \mathbb{R}$ , we have  $D(s_2) \subset D(s_1)$  for  $s_1$  and  $s_2$  satisfying  $s_1 < s_2$ . In particular, we obtain  $D(l) \subset D(0)$ . On the other hand, the orientation of  $C(l)$  is opposite to that of  $C(0)$ , since  $\hat{\mathbf{n}}$  is odd-periodic. Hence,  $D(0) \cap D(l)$  is empty. However, since  $D(l)$  is not empty, this is a contradiction. Since  $\hat{\sigma}$  is odd-periodic,  $\hat{\sigma}$  must have at least three locally minimal or locally maximal points. Then, by Remark 2.9, we obtain Theorem 2.  $\square$

EXAMPLE 3.4. We set

$$\gamma(s) := \frac{1}{1 + (s + s^3)^2} \begin{pmatrix} \frac{2}{5}s + s^3 + s^5 \\ s + s^3 \\ -\frac{8}{5} \end{pmatrix},$$

which gives a closed regular curve of  $\mathbb{S}^1 = \mathbb{R} \cup \{\infty\}$  in  $\mathbb{R}^3$ . Moreover,  $\gamma$  has only one inflection point at  $s = \infty$ , where the inflection point is a zero of the curvature function of  $\gamma$ . We set  $\hat{\gamma}(t) := \gamma(1/t)$ . Since

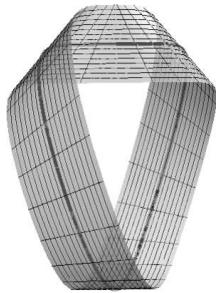
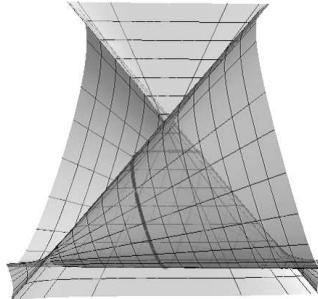
$$\hat{\gamma}'(t) \times \hat{\gamma}^{(3)}(t)|_{t=0} \neq \mathbf{0}, \quad \det(\hat{\gamma}'(t), \hat{\gamma}^{(3)}(t), \hat{\gamma}^{(4)}(t))|_{t=0} = 0$$

and [8, Corollary 2.11], the  $C^\infty$  map  $F(s, u) = \gamma(s) + u\xi(s)$  is a rectifying Möbius strip, where  $\xi(s)$  is as in (3.3). The a-completion of  $F$  has just three singular points other than cuspidal edges (see Figs. 5 and 6).

By Lemma 2.7, the singular curve of  $F$  is given by

$$s \mapsto \left( s, u(s) := -\frac{|\gamma'(s)|}{\hat{\sigma}'(s)} \right).$$

Moreover, the null vector field of  $F$  is  $\partial/\partial s$ . We denote by  $\rho(s)$  the determinant of the  $2 \times 2$  matrix consisting of the two vectors for the singular direction and null direction

Fig. 5. The image of  $F(s, u)$ .Fig. 6. The a-completion of  $F$ .

of  $F$ . Then, we can calculate<sup>2</sup>

$$\rho(s) = u'(s) = \frac{(1 + s^2 + 2s^4 + s^6)a(s)^{3/2}Q(s)}{s^2b(s)^2},$$

where  $a(s)$ ,  $b(s)$ ,  $Q(s)$  are certain polynomials which have only even-dimensional terms and  $a(s), b(s) > 0$ . It can be rigorously checked that the polynomial  $Q(s)$  has just two roots by Sturm's theorem. Moreover, considering  $\rho$  under the parameter  $t = 1/s$ , we have  $\rho(t) \rightarrow 0$  ( $t \rightarrow 0$ ), so  $\rho(t)$  has three zeros including  $t = 0$  ( $s = \infty$ ). On the other hand, since  $v(s, u) := \hat{n}(s)$  is a unit normal vector field along  $F$ , the  $C^\infty$  map  $L = (F, v)$  is not immersed only at  $(s, u) = (\infty, u(\infty))$ . Then,  $F$  has exactly three non-cuspidal-edge singular points by Fact 2.3 (i) and Corollary 2.4. We remark that the singularity at  $(s, u) = (\infty, u(\infty))$  is a shape like an open swallowtail (see Fig. 4). The other two non-cuspidal-edge singularities are both swallowtails (cf. (ii) of Fact 2.3).

ACKNOWLEDGEMENTS. The author is grateful to Masaaki Umehara for encouragement and suggestions, and to the referee and Wayne Rossman for carefully reading and commenting to this paper. The author also thanks Yoshio Agaoka and Kentaro Saji for helpful information.

---

### References

- [1] V.I. Arnol'd: *Lagrangian manifolds with singularities, asymptotic rays and the unfurled swallowtail*, Funktsional. Anal. i Prilozhen. **15** (1981), 1–14, 96.
- [2] C. Chicone and N.J. Kalton: *Flat embeddings of the Möbius strip in  $\mathbf{R}^3$* , Comm. Appl. Non-linear Anal. **9** (2002), 31–50.
- [3] E. Heil: *A four-vertex theorem for space curves*, Math. Pannon. **10** (1999), 123–132.

---

<sup>2</sup>The software *Mathematica* (Version 7.0.0, Wolfram research) was used for this calculation.

- [4] S. Izumiya, H. Katsumi and T. Yamasaki: *The rectifying developable and the spherical Darboux image of a space curve*; in Geometry and Topology of Caustics—CAUSTICS '98 (Warsaw), Banach Center Publ. **50**, Polish Acad. Sci. Inst. Math., Warsaw, 1999, 137–149.
- [5] S. Izumiya and N. Takeuchi: *Geometry of ruled surfaces*; in Applicable Mathematics in the Golden Age (edited by J.C. Misra), Narosa Publishing House, New Delhi, India, 2003, 305–338.
- [6] A. Kneser: *Bemerkungen über die Anzahl der Extreme der Krümmung auf geschlossenen Kurven und über verwandte Fragen in einer nichteuklidischen Geometrie*, Festschrift zum **70**, Geburtstag von H. Weber 1912, 170–180.
- [7] M. Kokubu, W. Rossman, K. Saji, M. Umehara and K. Yamada: *Singularities of flat fronts in hyperbolic space*, Pacific J. Math. **221** (2005), 303–351.
- [8] Y. Kurono and M. Umehara: *Flat Möbius strips of given isotopy type in  $\mathbf{R}^3$  whose centerlines are geodesics or lines of curvature*, Geom. Dedicata **134** (2008), 109–130.
- [9] S. Murata and M. Umehara: *Flat surfaces with singularities in Euclidean 3-space*, J. Differential Geom. **82** (2009), 279–316.
- [10] U. Pinkall: *On the four-vertex theorem*, Aequationes Math. **34** (1987), 221–230.
- [11] T. Randrup and P. Røgen: *Sides of the Möbius strip*, Arch. Math. (Basel) **66** (1996), 511–521.
- [12] P. Røgen: *Embedding and knotting of flat compact surfaces in 3-space*, Comment. Math. Helv. **76** (2001), 589–606.
- [13] I.Kh. Sabitov: Isometric Immersions and Embeddings of Locally Euclidean Metrics, Cambridge Scientific Publishers, Cambridge, 2008.
- [14] W. Wunderlich: *Über ein abwickelbares Möbiusband*, Monatsh. Math. **66** (1962), 276–289.

Department of Mathematics  
 Graduate School of Science  
 Osaka University  
 Toyonaka, Osaka 560-0043  
 Japan

Current address:  
 Department of Mathematics  
 Tokyo Institute of Technology  
 2-12-1 Ookayama, Meguro-ku, Tokyo 152-8551  
 Japan  
 e-mail: naokawa1@is.titech.ac.jp