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## RIGIDITY AND STABILITY OF EINSTEIN METRICS —THE CASE OF COMPACT SYMMETRIC SPACES

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### 1. Introduction and results

Let  $M$  be a compact connected manifold of  $\dim M \geq 2$  and  $g$  an Einstein metric on  $M$ . If  $(M, g)$  is the standard sphere, then all Einstein metrics  $g'$  on  $M$  near  $g$  are of constant sectional curvature, and so  $(M, g')$  are homothetic with  $(M, g)$  (Berger [2] Proposition 6.4, Muto [23] p457 Theorem). Such an Einstein metric  $g$  is said to be *rigid*. We know that some of Einstein metrics with vanishing Ricci tensors are not rigid. For example, flat torus and the  $K3$ -surfaces are not rigid (Bourguignon [6] 08). But we know few Einstein metrics with negative definite Ricci tensors which are not rigid. In fact, in this paper we prove the rigidity of Einstein metrics  $g$  such that the universal riemannian covering manifold of  $(M, g)$  is a symmetric space of non-compact type without 2-dimensional factors (Corollary 3.4). Furthermore, for irreducible locally symmetric spaces of compact type, we show the following.

**Theorem 1.1.** *The following simply connected symmetric spaces are infinitesimally deformable. (For the definition of the infinitesimal deformability, see Definition 2.4.)*

$SU(n+1)$  ( $n \geq 2$ ),  $SU(n)/SO(n)$  ( $n \geq 3$ ),  $SU(2n)/Sp(n)$  ( $n \geq 3$ ),  $E_6/F_4$ .

**Theorem 1.2.** *Let  $(M, g)$  be an irreducible locally symmetric space of compact type. If the universal riemannian covering manifold of  $(M, g)$  is neither one of the types in Theorem 1.1 nor of the type  $U(p+q)/U(p) \times U(q)$  ( $p \geq q \geq 2$ ), then  $g$  is rigid.*

Moreover we study the stability of Einstein metrics. It is well-known that Einstein metrics  $g$  are nothing but critical metrics with respect to the total scalar curvature  $T$  (Hilbert [12]). In general, this critical point is neither maximal nor minimal (Berger [1] p290, Muto [24] p 521 Theorem). But if we consider only metrics of constant scalar curvature, then some critical points are maximal. That is, if we denote by  $\mathcal{C}$  the set of all riemannian metrics on  $M$  of constant scalar curvature and with volume 1, then some Einstein metrics

are maximal in  $\mathcal{C}$ . Such an Einstein metric  $g$  is said to be *stable*. For example, all Einstein metrics of compact locally symmetric spaces of non-compact type without 2-dimensional irreducible factors are stable (Koiso [19] Remark 2.6). If an Einstein metric  $g$  is a saddle point of the total scalar curvature  $T$  in  $\mathcal{C}$ , then  $g$  is said to be *unstable*. We show the following theorems on the stability of locally symmetric spaces of compact type.

**Theorem 1.3.** *The following simply connected symmetric spaces are unstable.*

$$Spin(5), Sp(n) \ (n \geq 3), Sp(n)/U(n) \ (n \geq 3).$$

**Theorem 1.4.** *Let  $(M, g)$  be an irreducible locally symmetric space of compact type but not the standard sphere. If the universal riemannian covering manifold of  $(M, g)$  is neither one of the types in Theorem 1.3 nor one of the following types, then  $g$  is stable.*

$$SU(n+1) \ (n \geq 2), U(p+q)/U(p) \times U(q) \ (p \geq q \geq 2), Sp(p+q)/Sp(p) \times Sp(q) \ (p=2, q=1 \text{ or } p \geq q \geq 2), F_4/Spin(9), SU(n)/SO(n) \ (n \geq 3), SU(2n)/Sp(n) \ (n \geq 3), E_6/F_4.$$

The above results are obtained from evaluations of infinitesimal Einstein deformations and the second differential of  $T$  by means of the representation theory, which are made from the tables at the end of this paper.

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## 2. Preliminaries

In this section, we recall some fundamental definitions and some known facts concerning the space of riemannian metrics. Let  $M$  be a compact connected  $C^\infty$ -manifold with  $n = \dim M \geq 2$ . Riemannian metrics on  $M$ , etc. are all to be in  $C^\infty$ -category, unless otherwise stated. For a fibre bundle  $F$  over  $M$ , we denote by  $H^s(F)$  the set of all  $H^s$ -cross sections of  $F$ . Here and throughout in this paper  $H^s$  means an object which has derivatives defined almost everywhere up to order  $s$  and such that each partial derivative is square integrable.  $H^s(F)$  becomes a Hilbert manifold. We denote by  $\mathcal{M}^s$ ,  $\mathcal{D}^s$ ,  $\mathcal{F}^s$  the Hilbert manifold of all  $H^s$ -riemannian metrics on  $M$ , the group of all  $H^s$ -diffeomorphisms of  $M$ , the Hilbert manifold of all positive  $H^s$ -functions on  $M$ , respectively. (Here, we assume that  $s$  is sufficiently large.)

Let  $g$  be a riemannian metric on  $M$ . We denote by  $(\cdot, \cdot)$  the inner product on tensors on  $M$  and by  $\langle \cdot, \cdot \rangle$  the global inner product for tensor fields, i.e.,  $\langle \cdot, \cdot \rangle = \int_M (\cdot, \cdot) v_g$ , where  $v_g$  is the volume element of  $g$ .

**Lemma 2.1** (Ebin [8] 8.20 Theorem). *Let  $g$  be a riemannian metric on  $M$*

and  $I_g$  the isometry group of  $(M, g)$ . If  $s > n/2 + 2$ , then there is a canonically defined submanifold  $\mathcal{S}_g^s$  of  $\mathcal{M}^s$  containing  $g$  with the following properties.

S1) If  $\gamma \in I_g$ , then  $\gamma^*(\mathcal{S}_g^s) = \mathcal{S}_g^s$ .

S2) If  $\gamma \in \mathcal{D}^{s+1}$  and  $\gamma^*(\mathcal{S}_g^s) \cap \mathcal{S}_g^s \neq \emptyset$ , then  $\gamma \in I_g$ .

S3) There is a  $C^\infty$ -local section  $\chi: \mathcal{D}^{s+1}/I_g \rightarrow \mathcal{D}^{s+1}$  defined on an open neighbourhood  $U$  of  $I_g$  such that if  $F: U \times \mathcal{S}_g^s \rightarrow \mathcal{M}^s$  is defined by  $F(u, g') = \chi(u)^*g'$  then  $F$  is a homeomorphism onto an open neighbourhood of  $g$ . Note here that the quotient space  $\mathcal{D}^{s+1}/I_g$  is a Hilbert manifold.

Moreover the orbit  $(\mathcal{D}^{s+1})^*g$  becomes a closed Hilbert submanifold of  $\mathcal{M}^s$ . The tangent space of  $\mathcal{M}^s$  at  $g$  is decomposed into the sum of the tangent space of  $(\mathcal{D}^{s+1})^*g$  at  $g$  and the tangent space of  $\mathcal{S}_g^s$  at  $g$  in the following way.

$$(2.1.1) \quad \begin{aligned} H^s(S^2M) &= \delta^*(H^{s+1}(S^1M)) \oplus \text{Ker } \delta \text{ (orthogonal direct sum)}, \\ T_g(\mathcal{M}^s) &= H^s(S^2M), \quad T_g((\mathcal{D}^{s+1})^*g) = \delta^*(H^{s+1}(S^1M)), \\ T_g(\mathcal{S}_g^s) &= \text{Ker } \delta, \end{aligned}$$

where  $S^pM$  is the vector bundle of covariant symmetric  $p$ -tensors on  $M$ ,  $\delta^*$  and  $\delta$  are differential operators defined by

$$\begin{aligned} 2(\delta^*\xi)_{ij} &= \nabla_i \xi_j + \nabla_j \xi_i \quad \text{for } \xi \in H^{s+1}(S^1M), \\ (\delta h)_i &= -\nabla^l h_{li} \quad \text{for } h \in H^s(S^2M). \end{aligned}$$

Denote by  $\mathcal{M}_c^s$  the space of all  $H^s$ -riemannian metrics on  $M$  with volume  $c$ . Then  $\mathcal{M}_c^s$  and  $\mathcal{S}_g^s \cap \mathcal{M}_c^s$  become a closed submanifold of  $\mathcal{M}^s$  and a submanifold of  $\mathcal{M}_c^s$  respectively, and the above lemma holds also replacing  $\mathcal{M}^s$ ,  $\mathcal{S}_g^s$  by  $\mathcal{M}_c^s$ ,  $\mathcal{S}_g^s \cap \mathcal{M}_c^s$ . In this situation the above decomposition (2.1.1) turns out to

$$(2.1.2) \quad \text{Ker } f = \text{Im } \delta^* \oplus \text{Ker } \delta \cap \text{Ker } f \text{ (orthogonal direct sum),}$$

where  $f$  is defined by  $f h = \langle h, g \rangle$  for  $h \in H^s(S^2M)$ , and  $T_g \mathcal{M}_c^s = \text{Ker } f$ ,  $T_g(\mathcal{S}_g^s \cap \mathcal{M}_c^s) = \text{Ker } \delta \cap \text{Ker } f$ .

**DEFINITION 2.2.** Let  $g$  be an Einstein metric on  $M$  with volume  $c$ . If there is a  $\mathcal{D}^{s+1}$ -invariant open set  $N$  of  $\mathcal{M}_c^s$  containing  $(\mathcal{D}^{s+1})^*g$  such that every  $H^s$ -Einstein metric in  $N$  is an element of  $(\mathcal{D}^{s+1})^*g$ , then  $g$  is said to be *rigid*.

**REMARK (1).** Let  $g$  be a rigid Einstein metric on  $M$  in the sense of the above definition. Then  $g$  is rigid in the sense of the Introduction. In fact, let  $\mathcal{M}_c^\infty$  and  $\mathcal{D}^\infty$  be the space of  $C^\infty$ -riemannian metrics on  $M$  with volume  $c$  and the group of  $C^\infty$ -diffeomorphisms of  $M$  with  $C^\infty$ -topologies, respectively. Then  $N \cap \mathcal{M}_c^\infty$  is open in  $\mathcal{M}_c^\infty$  and invariant under the action of  $\mathcal{D}^\infty$ . If  $g'$  is an Einstein metric in  $N \cap \mathcal{M}_c^\infty$ , then there is  $\gamma \in \mathcal{D}^{s+1}$  such that  $\gamma^*g = g'$ . Then  $\gamma \in \mathcal{D}^\infty$  by Palais [26] and so  $g' \in (\mathcal{D}^\infty)^*g$ . This implies the rigidity of  $g$  in the sense of the Introduction.

REMARK (2). Let  $g$  be an Einstein metric on  $M$  with volume  $c$ . If all 1-parameter families  $g(t)$  of  $H^s$ -Einstein metrics in  $\mathcal{M}_c^s$  such that  $g(0)=g$  are contained in  $(\mathcal{D}^{s+1})^*g$ , then  $g$  is said to be *non-deformable*. We easily see from the closedness of  $(\mathcal{D}^{s+1})^*g$  in  $\mathcal{M}_c^s$  that if  $g$  is rigid, then  $g$  is non-deformable.

Note that the defining equation of Einstein metric is given as follows: If we define a  $C^\infty$ -map  $E: \mathcal{M}^s \rightarrow H^{s-2}(S^2M)$  by

$$E(g) = S_g - (\langle S_g, g \rangle / n \cdot \text{Vol}(M, g)) \cdot g \quad \text{for } g \in M^s,$$

where  $S_g$  is the Ricci tensor of  $g$ , then  $g$  is Einstein if and only if  $E(g)=0$ .

**Lemma 2.3.** *Let  $s$  be an integer  $> n/2+2$  and  $g$  an Einstein metric on  $M$  with volume  $c$ . We restrict the  $C^\infty$ -map  $E: \mathcal{M}^s \rightarrow H^{s-2}(S^2M)$  to  $S_g^s \cap \mathcal{M}_c^s$ . Then the differential  $dE$  of  $E$  at  $g$  is given by*

$$(dE)(h) = \frac{1}{2}(\bar{\Delta} + 2L - \text{Hess tr})h \quad \text{for } h \in \text{Ker } \delta \cap \text{Ker } f.$$

Moreover, we have

$$\text{Ker}(dE) \cap \text{Ker } \delta \cap \text{Ker } f = \text{Ker}(\bar{\Delta} + 2L) \cap \text{Ker } \delta \cap \text{Ker tr}.$$

where

$$\begin{aligned} (\bar{\Delta}h)_{ij} &= -\nabla^i \nabla_i h_{ij}, \\ (Lh)_{ij} &= R_i^k j^l h_{kl} \quad \text{for } h \in H^s(S^2M), \\ \text{tr } h &= g^{kl} h_{kl} \quad \text{for } h \in H^s(S^2M), \\ (\text{Hess } f)_{ij} &= \nabla_i \nabla_j f \quad \text{for } f \in H^s(M) = H^s(S^0M), \end{aligned}$$

and the sign of the curvature tensor  $R$  is given as  $R_{ijij} \leq 0$  for the standard sphere.

Proof. Similar to Berger and Ebin [3] Lemma 7.1.

DEFINITION 2.4. Let  $g$  be an Einstein metric on  $M$  with volume  $c$ . If the space  $\text{Ker}(dE) \cap T_g(S_g^s \cap \mathcal{M}_c^s)$  vanishes, then  $g$  is said to be *infinitesimally non-deformable*. Otherwise  $g$  is said to be *infinitesimally deformable*.

For  $s > n/2+4$ , we denote by  $C_c^s$  the space of all  $H^s$ -riemannian metrics  $g$  on  $M$  with volume  $c$  and of constant scalar curvature.

**Lemma 2.5** (Fischer and Marsden [9] Theorem 3, Koiso [18] Theorem 2.5, [19] Proposition 2.1). *Let  $s$  be an integer  $> n/2+4$  and  $g$  an Einstein metric on  $M$  with volume  $c$ , but  $(M, g)$  is not the standard sphere. Then there is a neighbourhood  $U$  of  $g$  in  $M^s$  such that  $U \cap C_c^s$  becomes a closed submanifold of  $U$ . If we define a map  $\chi: \mathcal{F}^s \times (U \cap C_c^s) \rightarrow M^s$  by  $\chi(f, g') = f \cdot g'$ , then  $\chi$  is a diffeomorphism onto an open set of  $\mathcal{M}^s$ . Moreover the decomposition  $T_g \mathcal{M}^s = T_g(\mathcal{F}^s \cdot g) \oplus T_g(C_c^s \cap U)$  of the tangent space  $T_g \mathcal{M}^s = H^2(S^2M)$  is given by*

$$\begin{aligned} T_g(\mathcal{F}^s \cdot g) &= \mathbf{R} \cdot g \oplus \text{Ker } f \cap H^s(M) \cdot g, \\ T_g(C_c^s \cap U) &= \text{Im } \delta^* \oplus \text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f. \end{aligned}$$

Here  $\alpha: H^s(S^2M) \rightarrow H^{s-4}(M)$  is a differential operator given by

$$\alpha(h) = \Delta(\Delta - \varepsilon) \text{tr } h \quad \text{for } h \in H^s(S^2M),$$

where  $\Delta$  is the Laplacian of  $(M, g)$  and  $\varepsilon$  is the constant defined by  $S = \varepsilon \cdot g$ .

If we denote by  $T(g)$  the total scalar curvature of  $H^s$ -riemannian metric  $g$  on  $M$ , i.e.,  $T(g) = \langle \tau_g, 1 \rangle$  where  $\tau_g$  is the scalar curvature of  $g$ , then a riemannian metric  $g$  on  $M$  with volume  $c$  is an Einstein metric if and only if  $g$  is a critical point of  $C^\infty$ -function  $T$  on  $\mathcal{M}_c^s$  (Hilbert [12]). Therefore, for an Einstein metric  $g$ , we see

$$(dT)_g(\text{Ker } f) = 0.$$

As for the Hessian  $(\text{Hess } T)_g$  on  $(\text{Ker } f) \times (\text{Ker } f)$ , we know the following

**Lemma 2.6** (Koiso [19] Theorem 2.4, Theorem 2.5). *Let  $g$  be an Einstein metric on  $M$ . If  $(M, g)$  is not the standard sphere, then  $(\text{Hess } T)_g|_{(\text{Ker } f \cap H^s(M) \cdot g) \times (\text{Ker } f \cap H^s(M) \cdot g)}$  is positive definite,  $(\text{Hess } T)_g|_{\text{Im } \delta^* \times \text{Im } \delta^*} = 0$ , and  $(\text{Hess } T)_g|_{(\text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f) \times (\text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f)}$  is given by*

$$(\text{Hess } T)_g(h, h) = -\frac{1}{2} \langle \bar{\Delta}h + 2Lh, h \rangle.$$

**DEFINITION 2.7.** Let  $g$  be an Einstein metric on  $M$  but  $(M, g)$  be not the standard sphere. If  $(\text{Hess } T)_g|_{(\text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f) \times (\text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f)}$  is negative definite, then the Einstein metric  $g$  is said to be *stable*. If there is an element  $h$  of  $\text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f$  such that  $(\text{Hess } T)_g(h, h) > 0$ , then  $g$  is said to be *unstable*.

**REMARK (1).** Definition 2.4 (infinitesimal deformability) and Definition 2.7 (stability) are independent of the choice of  $s$ , since  $\bar{\Delta} + 2L$  is an elliptic operator and hence its eigentensor fields are  $C^\infty$ .

**REMARK (2).** If  $g$  is a stable Einstein metric, then  $g$  is infinitesimally non-deformable. This follows from  $\text{Ker } (\bar{\Delta} + 2L) \cap \text{Ker } \delta \cap \text{Ker } \text{tr} \subset \text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f$  and the above formula for  $\text{Hess } T$  in Lemma 2.6.

**REMARK (3).** Let  $g$  be an Einstein metric on  $M$  and  $(\tilde{M}, \tilde{g})$  a compact riemannian covering manifold of  $(M, g)$ . If  $\tilde{g}$  is stable then  $g$  is also stable. In particular, the stability of an Einstein metric of a locally symmetric space of compact type reduces to the stability of an Einstein metric of a simply connected symmetric space of the same type.

**Lemma 2.8** (Koiso [19] Theorem 2.5). *Let  $g$  be an Einstein metric on  $M$ . Then the space  $\text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f$  coincides with  $\text{Ker } \delta \cap \text{Ker } \text{tr}$ . Moreover, if  $h \in \text{Ker } \delta \cap \text{Ker } \text{tr}$  and*

$$\langle Lh, h \rangle > -\frac{\varepsilon}{2} \langle h, h \rangle \quad \text{or} \quad \langle Lh, h \rangle > \varepsilon \langle h, h \rangle,$$

where  $S_g = \varepsilon \cdot g$ , then  $(\text{Hess } T)_g(h, h) < 0$ .

**Corollary 2.9.** *Let  $g$  be an Einstein metric on  $M$ . If the universal riemannian covering manifold of  $(M, g)$  is a symmetric space of non-compact type without 2-dimensional factors, then  $g$  is stable.*

Proof. In this case, the inequality  $\langle Lh, h \rangle > \varepsilon \langle h, h \rangle$  holds for all non-zero  $h \in \text{Ker } \text{tr}$  (Koiso [19] Remark 2.6). Thus Lemma 2.8 implies our Corollary. Q.E.D.

### 3. Infinitesimal non-deformability and rigidity

**Lemma 3.1.** *Let  $s$  be an integer  $> n/2 + 2$  and  $g$  an Einstein metric on  $M$  with volume  $c$ . If there is an open neighbourhood  $V$  of  $g$  in  $S_g^s \cap \mathcal{M}_c^s$  such that  $g$  is the only one  $H^s$ -Einstein metric in  $V$ , then  $g$  is rigid.*

Proof. We use the notation in Lemma 2.1. We see  $(\mathcal{D}^{s+1})^*(V) = (\mathcal{D}^{s+1})^*(F(U \times V))$  and so  $(\mathcal{D}^{s+1})^*(V)$  is a  $\mathcal{D}^{s+1}$ -invariant open set of  $\mathcal{M}_c^s$ . If  $g'$  is an  $H^s$ -Einstein metric in  $(\mathcal{D}^{s+1})^*(V)$ , then  $g'$  is isometric with an  $H^s$ -Einstein metric in  $V$ , which is nothing but  $g$ . Therefore  $g' \in (\mathcal{D}^{s+1})^*g$ , which means the rigidity of  $g$ . Q.E.D.

**Lemma 3.2.** *Let  $g$  be an Einstein metric on  $M$  with  $S = \varepsilon \cdot g$ . We define operators  $\beta: H^s(S^2M) \rightarrow H^{s-2}(S^2M)$  and  $\gamma: H^s(S^2M) \rightarrow H^{s-1}(S^1M)$  by*

$$\beta(h) = (\bar{\Delta} + 2L - \text{Hess } \text{tr})h,$$

$$\gamma(h) = \left( \delta + \frac{1}{2} d \text{tr} \right) h.$$

Then  $\gamma\beta = (\bar{\Delta} - \varepsilon)\delta,$

where  $(\bar{\Delta}\varepsilon)_i = -\nabla^l \nabla_l \xi_i,$  for  $\xi \in H^s(S^1M).$

Proof. Remark that  $\nabla^k R^l{}_k{}^m{}_i = 0$ . In fact, by the second Bianchi identity,

$$\nabla^k R^l{}_k{}^m{}_i = -\nabla^m R^l{}_{ki} - \nabla_i R^l{}_{k}{}^{km} = \nabla^m S^l{}_i - \nabla_i S^{lm} = 0.$$

Now,

$$\begin{aligned} & [\delta(\bar{\Delta} + 2L - \text{Hess } \text{tr})h]_i \\ &= -\nabla^l (-\nabla^k \nabla_k h_{li} + 2R^l{}_i{}^{km} h_{km} - \nabla_i \nabla_l h^k{}_k) \\ &= \nabla^l \nabla^k \nabla_k h_{li} - 2\nabla^l (R^l{}_i{}^{km} h_{km}) + \nabla^l \nabla_i \nabla_l h^k{}_k, \end{aligned}$$

$$\begin{aligned}
\nabla^l \nabla^k \nabla_i h_{li} &= R^{lkm} \nabla_m h_{li} + R^{lkm} \nabla_k h_{mi} + R^{lkm} \nabla_i h_{lm} + \nabla^k \nabla^l \nabla_k h_{li} \\
&= -S^{lm} \nabla_m h_{li} + S^{km} \nabla_k h_{mi} + R^l{}^m{}_i \nabla^k h_{lm} \\
&\quad + \nabla^k (R^l{}^m{}_i h_{mi} + R^l{}^m{}_i h_{lm} + \nabla_k \nabla^l h_{li}) \\
&= R^l{}^m{}_i \nabla^k h_{lm} + S_k{}^m \nabla_m h_{mi} + R^l{}^m{}_i \nabla^k h_{lm} + (\bar{\Delta} \delta h)_i \\
&= 2R^l{}^m{}_i \nabla^k h_{lm} - \varepsilon (\delta h)_i + (\bar{\Delta} \delta h)_i, \\
\nabla^l (R^k{}_i{}^m h_{km}) &= R^k{}_i{}^m \nabla^l h_{km} = R^l{}^m{}_i \nabla^k h_{lm}, \\
\nabla^l \nabla_i \nabla_l h^k{}_k &= R^l{}^m{}_i \nabla_m h^k{}_k + \nabla_i \nabla^l \nabla_l h^k{}_k \\
&= S_i{}^m \nabla_m h^k{}_k - (d\Delta \operatorname{tr} h)_i \\
&= \varepsilon (d \operatorname{tr} h)_i - (d\Delta \operatorname{tr} h)_i.
\end{aligned}$$

Hence  $\delta(\bar{\Delta} + 2L - \operatorname{Hess} \operatorname{tr})h = (\bar{\Delta} - \varepsilon)\delta h + d(\varepsilon - \Delta) \operatorname{tr} h$ . On the other hand,

$$\begin{aligned}
&\operatorname{tr} (\bar{\Delta} + 2L - \operatorname{Hess} \operatorname{tr})h \\
&= -\nabla^l \nabla_l h^k{}_k + 2R^{li}{}_j h_{ij} - \nabla^l \nabla_l h^k{}_k \\
&= 2\Delta \operatorname{tr} h - 2\varepsilon \operatorname{tr} h.
\end{aligned}$$

Therefore  $\frac{1}{2} d \operatorname{tr} (\bar{\Delta} + 2L - \operatorname{Hess} \operatorname{tr})h = d(\Delta - \varepsilon) \operatorname{tr} h$ . Thus

$$\gamma \beta h = (\bar{\Delta} - \varepsilon) \delta h. \quad \text{Q.E.D.}$$

**Proposition 3.3.** *Let  $g$  be an Einstein metric on  $M$ . If  $g$  is infinitesimally non-deformable, then  $g$  is rigid.*

**REMARK.** Let  $g$  be an Einstein metric on  $M$  and  $(\tilde{M}, \tilde{g})$  a compact riemannian covering manifold of  $(M, g)$ . This proposition implies that if  $\tilde{g}$  is infinitesimally non-deformable, then  $g$  is rigid. In particular, the rigidity of an Einstein metric of a locally symmetric space of compact type reduces to the infinitesimal non-deformability of an Einstein metric of a simply connected symmetric space of the same type.

**Proof.** First we show that  $\beta(\operatorname{Ker} \delta \cap \operatorname{Ker} f)$  is closed in  $H^{s-2}(S^2M)$ . Lemma 3.2 implies that  $\beta(\operatorname{Ker} \delta) \subset \operatorname{Ker} \gamma$  and so  $\beta(\operatorname{Ker} \delta) \subset \operatorname{Im} \beta \cap \operatorname{Ker} \gamma$ , here the space  $\operatorname{Im} \beta \cap \operatorname{Ker} \gamma$  is closed in  $H^{s-2}(S^2H)$ , since  $\beta$  is an elliptic operator. Let  $h \in H^s(S^2M)$  satisfies  $\beta h \in \operatorname{Ker} \gamma$ . Decompose  $h$  by the formula (2.1.1) as  $h = \psi + \delta^* \xi$ ;  $\delta \psi = 0$ . Then by Lemma 3.2,

$$0 = \gamma \beta h = (\bar{\Delta} - \varepsilon) \delta h = (\bar{\Delta} - \varepsilon) \delta \delta^* \xi.$$

This equation implies that such  $\xi$  is an element of the vector space  $\operatorname{Ker}(\bar{\Delta} - \varepsilon) \delta \delta^*$ , which is finite deminsional since  $(\bar{\Delta} - \varepsilon) \delta \delta^*$  is elliptic. Let  $c$  be the volume of  $(M, g)$ . Then  $\delta(\psi - \langle \psi, g \rangle / nc) \cdot g = 0$  and  $f(\psi - \langle \psi, g \rangle / nc) \cdot g = 0$ . Thus

$$\beta(\operatorname{Ker} \delta \cap \operatorname{Ker} f) + \beta \delta^*(\operatorname{Ker}(\bar{\Delta} - \varepsilon) \delta \delta^*) + R \cdot g \supset \operatorname{Im} \beta \cap \operatorname{Ker} \gamma.$$



Therefore  $\beta(\text{Ker } \delta \cap \text{Ker } f)$  is a finite codimensional subspace of the closed subspace  $\text{Im Ker } \beta \cap \text{Ker } \gamma$  of  $H^{s-2}(S^2M)$ , and so Palais [25] Chapter VII Proof of Theorem 1 implies that  $\beta(\text{Ker } \delta \cap \text{Ker } f)$  is a closed subspace of  $H^{s-2}(S^2M)$ .

Next, we denote by  $p$  the orthogonal projection:  $H^{s-2}(S^2M) \rightarrow \beta(\text{Ker } \delta \cap \text{Ker } f)$ . Then we can apply the inverse function theorem to the  $C^\infty$ -map  $p \circ E: S_g^s \cap \mathcal{M}_c^s \rightarrow \beta(\text{Ker } \delta \cap \text{Ker } f)$ . In fact, Lemma 2.3 implies that  $d(p \circ E|_{S_g^s \cap \mathcal{M}_c^s})_g = \frac{1}{2} p \circ \beta|_{\text{Ker } \delta \cap \text{Ker } f}$  and the assumption implies that this differential is bijective. Thus the assumption of Lemma 3.1 holds and hence we get our assertion. Q.E.D.

**Corollary 3.4.** *Let  $g$  be an Einstein metric on  $M$  but not the standard sphere. If  $g$  is stable, then  $g$  is rigid. In particular, if the inequality of Lemma 2.8 holds for all non-zero  $h \in \text{Ker } \delta \cap \text{Ker } \text{tr}$ , then  $g$  is rigid. Moreover, any Einstein metric of a locally symmetric space of non-compact type without 2-dimensional factors is rigid.*

*Proof.* This is easily seen by Remark (2) following Definition 2.7, Lemma 2.8 and Corollary 2.9.

**REMARK.** The author does not know whether the converse of Proposition 3.3 holds or not.

#### 4. Fundamental formulae

In this section we assume that  $(M, g)$  is a locally symmetric Einstein manifold.

**Lemma 4.1.** *If  $S = \varepsilon \cdot g$ , then the following formulae hold.*

$$(4.1.1) \quad (\bar{\Delta} + 2L)L = L(\bar{\Delta} + 2L) \quad \text{on } C^\infty(S^2M),$$

$$(4.1.2) \quad Lg = -\varepsilon \cdot g,$$

$$(4.1.3) \quad \delta(\bar{\Delta} + 2L) = (\Delta - 2\varepsilon)\delta \quad \text{on } C^\infty(S^2M),$$

$$(4.1.4) \quad (\bar{\Delta} + 2L)\delta^* = \delta^*(\Delta - 2\varepsilon) \quad \text{on } C^\infty(S^1M),$$

$$(4.1.5) \quad 2\delta\delta^* = \Delta - 2\varepsilon + d\delta \quad \text{on } C^\infty(S^1M).$$

*Proof.* These are easily seen, by  $\nabla R = 0$  and computations similar to Proof of Lemma 3.2.

**Lemma 4.2.** *Let  $(M, g)$  be a compact locally symmetric Einstein manifold.*

*Let  $h \in H^s(S^2M)$  satisfy  $\bar{\Delta}h + 2Lh = -\lambda h$  ( $\lambda \geq 0$ ). Decompose  $h$  by (2.1.1) as  $h = \delta^*\xi + \psi$ ;  $\delta\psi = 0$ . If  $\text{tr } \delta^*\xi = 0$ , then  $\delta h = 0$ .*

*Proof.* Note that  $\delta\xi = -\text{tr } \delta^*\xi = 0$  and  $\delta^*$  is the formal adjoint of  $\delta$ .

$$\begin{aligned}
& \langle (\Delta - 2\varepsilon)\xi, (\Delta - 2\varepsilon)\xi \rangle \\
&= \langle (\Delta - 2\varepsilon)\xi, 2\delta\delta^*\xi \rangle \quad (\text{by (4.1.5)}) \\
&= \langle \delta^*(\Delta - 2\varepsilon)\xi, 2\delta^*\xi \rangle \\
&= \langle (\bar{\Delta} + 2L)\delta^*\xi, 2\delta^*\xi \rangle \quad (\text{by (4.1.4)}) .
\end{aligned}$$

Here the decomposition  $\text{Im } \delta^* \oplus \text{Ker } \delta$  is invariant under  $\bar{\Delta} + 2L$  by (4.1.3) and (4.1.4), and hence  $(\bar{\Delta} + 2L)\delta^*\xi = -\lambda\delta^*\xi$ . Thus

$$\langle (\Delta - 2\varepsilon)\xi, (\Delta - 2\varepsilon)\xi \rangle = -2\lambda \langle \delta^*\xi, \delta^*\xi \rangle \leq 0. \quad (\text{by } \lambda \geq 0)$$

Therefore  $(\Delta - 2\varepsilon)\xi = 0$ , and

$$\begin{aligned}
\langle \delta^*\xi, \delta^*\xi \rangle &= \langle \delta\delta^*\xi, \xi \rangle = \frac{1}{2} \langle (\Delta - 2\varepsilon)\xi, \xi \rangle \quad (\text{by (4.1.5)}) \\
&= 0.
\end{aligned}$$

Thus  $\delta^*\xi = 0$  and so  $h = \psi$ . Hence  $\delta h = 0$ .

Q.E.D.

## 5. Lichnerowicz operator and Casimir operator

In this section, we assume that  $(M, g)$  is a compact symmetric space  $G/K$ , where  $G$  is a compact connected Lie group and  $(G, K)$  is a symmetric pair. As usual, let  $\mathfrak{g}$  be the Lie algebra of  $G$ ,  $\mathfrak{k}$  the Lie algebra of  $K$ ,  $\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$  the canonical decomposition. Then the tangent space  $T_o M$  of  $M$  at the origin  $o$  is identified with  $\mathfrak{m}$ . The metric of  $M$  is always induced by a  $G$ -invariant inner product  $B$  on  $\mathfrak{g}$  with  $B(\mathfrak{k}, \mathfrak{m}) = 0$ . We fix such an inner product  $B$  once and for all. We extend  $B$   $\mathbb{C}$ -bilinearly on  $\mathfrak{g}^c \times \mathfrak{g}^c$  and often write as  $B(X, Y) = (X, Y)$ .

Since  $K$  acts on the complexification  $\mathfrak{m}^c$  of  $\mathfrak{m}$  by the adjoint action  $\text{Ad}$ , the tensor space  $\otimes^p \mathfrak{m}^{*c}$  of degree  $p$  of the dual space  $\mathfrak{m}^{*c}$  of  $\mathfrak{m}^c$  is a  $K$ -module. Then the complex covariant  $p$ -tensor bundle  $T^p M^c$  of  $M$  is identified with the homogeneous vector bundle  $G \times_K \otimes^p \mathfrak{m}^{*c}$  associated to the principal bundle  $\pi: G \rightarrow G/K$ , in the following way. Let  $a$  be a point in  $M$  and  $s$  an element of  $T_a^p M^c$ . For  $x \in \pi^{-1}(a)$  we get  $(x, (\pi^* s)_x | \mathfrak{m}^c \times \cdots \times \mathfrak{m}^c) \in G \times \otimes^p \mathfrak{m}^{*c}$ , where we regard  $X \in \mathfrak{m}^c$  as a left invariant vector field on  $G$ , and  $\otimes^p \mathfrak{m}^{*c}$  the space of  $\mathbb{C}$ -multilinear forms on  $\mathfrak{m}^c$ . We identify  $s$  with the element  $[(x, (\pi^* s)_x | \mathfrak{m}^c \times \cdots \times \mathfrak{m}^c)] \in G \times_K \otimes^p \mathfrak{m}^{*c}$ , where we denote by  $[*]$  the equivalence class of  $*$ .

Generally, for a finite dimensional (complex)  $K$ -module  $U$ , a cross section  $s$  of the homogeneous vector bundle  $G \times_K U$  over  $M$  may be identified with a  $U$ -valued function  $s$  on  $G$  such that  $s(xy) = y^{-1}s(x)$  for all  $x \in G$  and  $y \in K$ . Let  $C^\infty(G, U)_K$  be the space of all such  $s$ . Then  $C^\infty(G, U)_K$  becomes a  $G$ -module by the  $G$ -action  $(xs)(y) = s(x^{-1}y)$  for  $x, y \in G$ . In particular, the vector space  $C^\infty(T^p M^c)$  of all complex covariant  $p$ -tensor fields on  $M$  is identified with  $C^\infty(G, \otimes^p \mathfrak{m}^{*c})_K$  as  $G$ -module. For a (differential) operator  $\zeta: C^\infty(T^p M) \rightarrow$

$C^\infty(T^q M)$ , we extend  $\zeta$   $\mathbb{C}$ -linearly to the operator:  $C^\infty(T^p M^c) \rightarrow C^\infty(T^q M^c)$  and denote it by the same symbol  $\zeta$ .

Now, we define a linear map  $D: C^\infty(G, \otimes^p \mathfrak{m}^* c)_K \rightarrow C^\infty(G, \otimes^{p+1} \mathfrak{m}^* c)_K$  by

$$(DS)(x)(X_0, \dots, X_p) = (X_0[s(X_1, \dots, X_p)])(x) \quad (x \in G)$$

where  $s \in C^\infty(G, \otimes^p \mathfrak{m}^* c)_K$  and  $X_i \in \mathfrak{m}$ . It is easy to see that  $Ds \in C^\infty(G, \otimes^{p+1} \mathfrak{m}^* c)_K$  and  $D$  is a  $G$ -homomorphism.

**Lemma 5.1.** *The linear map  $D$  regarded as a linear map from  $C^\infty(T^p M^c)$  to  $C^\infty(T^{p+1} M^c)$  coincides with the covariant derivative  $\nabla$  of the symmetric space  $(M, g)$ .*

*Proof.* Since  $\nabla$  and  $D$  are  $G$ -homomorphism, it is sufficient to prove that the equation holds at the identity  $e \in G$ . Let  $s \in C^\infty(T^p M^c) = C^\infty(G, \otimes^p \mathfrak{m}^* c)_K$ . Then for  $X_0, \dots, X_p \in \mathfrak{m}^c$ ,

$$\begin{aligned} (\nabla s)(e)(X_0, \dots, X_p) &= (\nabla s)_o(\pi_* X_0, \dots, \pi_* X_p) \\ &= (\nabla_{\pi_* X_0} s)_o(\pi_* X_1, \dots, \pi_* X_p). \end{aligned}$$

Here we extend each  $X_i \in T_e(G)^c$  to the right invariant vector field  $\tilde{X}_i$ . Then each  $\pi_* \tilde{X}_i$  defines a vector field on  $M$ , and we get

$$\begin{aligned} (\nabla s)(e)(X_0, \dots, X_p) &= (\nabla_{\pi_* \tilde{X}_0} s)_o(\pi_* \tilde{X}_1, \dots, \pi_* \tilde{X}_p) \\ &= \{ \pi_* \tilde{X}_0[s(\pi_* \tilde{X}_1, \dots, \pi_* \tilde{X}_p)] - \sum_{i=1}^p s(\pi_* \tilde{X}_1, \dots, \nabla_{\pi_* \tilde{X}_0} \pi_* \tilde{X}_i, \dots, \pi_* \tilde{X}_p) \}_o. \end{aligned}$$

Since  $X_0, X_i \in \mathfrak{m}^c$  and  $(M, g)$  is symmetric, we have  $(\nabla_{\pi_* \tilde{X}_0} \pi_* \tilde{X}_i)_o = 0$ , and the right hand side  $= X_0[(\pi^* s)(\tilde{X}_1, \dots, \tilde{X}_p)]$ . Moreover, if we regard  $X_i$  as left invariant vector fields on  $G$ , this is equal to

$$\begin{aligned} &(\mathcal{L}_{X_0}(\pi^* s))_e(\tilde{X}_1, \dots, \tilde{X}_p) + \sum_{i=1}^p (\pi^* s)_e(\tilde{X}_1, \dots, [X_0, \tilde{X}_i], \dots, \tilde{X}_p) \\ &= (\mathcal{L}_{X_0}(\pi^* s))_e(X_1, \dots, X_p) \\ &= (X_0[(\pi^* s)(X_1, \dots, X_p)])(e) - \sum_{i=1}^p (\pi^* s)_e(X_1, \dots, [X_0, X_i], \dots, X_p) \\ &= (Ds)(e)(X_0, \dots, X_p). \end{aligned} \quad \text{Q.E.D.}$$

Let  $V$  be a  $\mathfrak{g}$ -module. We define an operator on  $V$  which is called the *Casimir operator*, by

$$C = -\sum_i Z_i \cdot Z_i,$$

where  $\{Z_i\}$  are orthonormal basis of  $\mathfrak{g}$  (with respect to the fixed inner product  $B$ ). Note that if  $V$  is a finite dimensional  $G$ -module, then  $V$  is a  $\mathfrak{g}$ -module in the natural way, and so the Casimir operator on  $V$  is defined. If  $U$  is a finite

dimensional  $K$ -module, then  $\mathfrak{g}$  acts on  $C^\infty(G, U)_K$  via the differentiation by left invariant vector fields, i.e.,

$$(Xs)(x) = \left. \frac{d}{dt} \right|_0 s(x \exp tX)$$

for  $X \in \mathfrak{g}$ ,  $s \in C^\infty(G, U)_K$ ,  $x \in G$ . Thus the Casimir operator  $C$  on  $C^\infty(G, U)_K$  is defined.

**DEFINITION 5.2.** We define the operator  $Q, L, \bar{\Delta}$  and the Lichnerowicz operator  $\Delta$  on the vector space  $C^\infty(T^p M^c)$  as follows.

$$\begin{aligned} p(Qs)_{i_1 \dots i_p} &= \sum_{a=1}^p S_{i_a}^k s_{i_1 \dots \hat{i}_a \dots i_p}^{(a)}, \\ (Ls)_{i_1 \dots i_p} &= \sum_{a < b} R_{i_a i_b}^k s_{i_1 \dots \hat{i}_a \dots \hat{i}_b \dots i_p}^{(a)(b)}, \\ (\bar{\Delta}s)_{i_1 \dots i_p} &= -\nabla^i \nabla_i s_{i_1 \dots i_p}, \\ \Delta s &= \bar{\Delta}s + 2Ls + pQs. \end{aligned}$$

**REMARK.** This definition does not contradict the previous definitions and the ordinary Laplace-Bertrami operator (Lichnerowicz [20] 10). But we shall not use these notations except in the following proposition.

**Proposition 5.3.** *The Lichnerowicz operator  $\Delta$  regarded as an endomorphism of  $C^\infty(G, \otimes^p \mathfrak{m}^{*c})_K$  coincides with the Casimir operator  $C$ .*

**Proof.** Let  $S_i, T_j$  be orthonormal basis of  $\mathfrak{k}, \mathfrak{m}$ , respectively. It is sufficient to prove that the equation holds at the identity  $e \in G$ . For  $s \in C^\infty(G, \otimes^p \mathfrak{m}^{*c})$  and  $X_1, \dots, X_p \in \mathfrak{m}^c$ , which are regarded as left invariant vector fields on  $G$ , we have

$$\begin{aligned} &-(Cs)(X_1, \dots, X_p) \\ &= \sum_i S_i \cdot S_i[s(X_1, \dots, X_p)] + \sum_j T_j \cdot T_j[s(X_1, \dots, X_p)] \\ &T_j \cdot T_j[s(X_1, \dots, X_p)] \\ &= T_j[(D_s)(T_j, X_1, \dots, X_p)] \\ &= T_j[(\nabla s)(T_j, X_1, \dots, X_p)] \\ &= (D\nabla s)(T_j, T_j, X_1, \dots, X_p) \\ &= (\nabla\nabla s)(T_j, T_j, X_1, \dots, X_p). \end{aligned}$$

Therefore  $\sum_j T_j \cdot T_j[s] = -\bar{\Delta}s$  at  $e$ . Moreover in virtue of the equality  $s(xy) = y^{-1}s(x)$  for  $x \in G, y \in K$ , we have

$$\begin{aligned} &S_i \cdot S_i[s(X_1, \dots, X_p)] \\ &= \sum_k S_i[s(X_1, \dots, [S_i, X_k], \dots, X_p)] \end{aligned}$$

$$= 2 \sum_{k < l} s(X_1, \dots, [S_i, X_k], \dots, [S_i, X_l], \dots, X_p) \\ + \sum_k s(X_1, \dots, [S_i, [S_i, X_k]], \dots, X_p).$$

On the other hand, regarding an element of  $\mathfrak{m}^C$  as a tangent vector of  $G$  at  $e$ , we have

$$(Ls)_o(\pi_* X_1, \dots, \pi_* X_p) \\ = \sum_{\substack{k < l \\ a, b}} (R(\pi_* X_k, \pi_* T_a) \pi_* X_l, \pi_* T_b) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* T_b^{(l)}, \dots, \pi_* X_p) \\ = - \sum_{\substack{k < l \\ a, b}} ([X_k, T_a], [X_l, T_b]) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* T_b^{(l)}, \dots, \pi_* X_p) \\ = - \sum_{\substack{k < l \\ a, b, i}} ([X_k, T_a], S_i) ([X_l, T_b], S_i) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* T_b^{(l)}, \dots, \pi_* X_p) \\ = - \sum_{\substack{k < l \\ a, b, i}} ([S_i, X_k], T_a) ([S_i, X_l], T_b) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* T_b^{(l)}, \dots, \pi_* X_p) \\ = - \sum_{\substack{k < l \\ i}} s(\pi_* X_1, \dots, \pi_* [S_i, X_k], \dots, \pi_* [S_i, X_l], \dots, \pi_* X_p).$$

$$p(Qs)_o(\pi_* X_1, \dots, \pi_* X_p) \\ = \sum_{k, a} S(\pi_* X_k, \pi_* T_a) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* X_p) \\ = - \sum_{k, a, b} (R(\pi_* X_k, \pi_* T_b) \pi_* T_a, \pi_* T_b) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* X_p) \\ = \sum_{k, a, b} ([X_k, T_b], [T_a, T_b]) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* X_p) \\ = \sum_{k, a, b, i} ([X_k, T_b], S_i) ([T_a, T_b], S_i) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* X_p) \\ = \sum_{k, a, b, i} ([S_i, X_k], T_b) ([S_i, T_a], T_b) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* X_p) \\ = \sum_{k, a, i} ([S_i, X_k], [S_i, T_a]) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* X_p) \\ = - \sum_{k, a, i} ([S_i, [S_i, X_k]], T_a) s(\pi_* X_1, \dots, \pi_* T_a^{(k)}, \dots, \pi_* X_p) \\ = - \sum_{k, i} s(\pi_* X_1, \dots, \pi_* [S_i, [S_i, X_k]], \dots, \pi_* X_p).$$

Thus  $\sum_i S_i \cdot S_i[s] = -(2Ls + pQs)$  at  $e$

Q.E.D.

**Corollary 5.4.** *If  $(M, g)$  is a symmetric Einstein manifold such that  $S = \varepsilon \cdot g$ , then for  $p=2$  we have  $\bar{\Delta} + 2L = C - 2\varepsilon$ .*

**Lemma 5.5** (Frobenius reciprocity, c.f. Wallach [29] Theorem 8.2). *Let  $V$  be a finite dimensional  $G$ -module and  $U$  a finite dimensional  $K$ -module. For a  $G$ -homomorphism  $\phi: V \rightarrow C^\infty(G, U)_K$ , we define a  $K$ -homomorphism  $\tilde{\phi}: V \rightarrow U$  by  $\tilde{\phi}(v) = \phi(v)(e)$ . Then the correspondance:  $\phi \rightarrow \tilde{\phi}$  is an isomorphism as vector space from  $\text{Hom}_G(V, C^\infty(G, U)_K)$  to  $\text{Hom}_K(V, U)$ .*

REMARK. Let  $V$  be a finite dimensional  $G$ -submodule of  $C^\infty(G, U)_K$ .

Then  $C$  leaves  $V$  invariant and coincides with the Casimir operator of the  $G$ -module  $V$  on  $V$ . This follows from  $\sum Z_i \cdot Z_i = \sum \tilde{Z}_i \cdot \tilde{Z}_i$  and that for the inclusion  $\phi: V \rightarrow C^\infty(G, U)_K$  we have  $\phi X = -\tilde{X}\phi$  on  $V$  for all  $X \in \mathfrak{g}$ .

**Corollary 5.6.** *Let  $V \subset C^\infty(S^2 M^C)$  be a finite dimensional  $G$ -submodule of the eigenspace of  $\bar{\Delta} + 2L$  with a non-positive eigenvalue. If  $\text{Hom}_K(V, \mathbf{C}) = 0$  or  $\text{Hom}_K(V, \mathfrak{m}^{*C}) = 0$ , then  $\delta(V) = 0$ .*

Proof. If  $\text{Hom}_K(V, \mathfrak{m}^{*C}) = 0$ , then  $\text{Hom}_G(V, C^\infty(G, \mathfrak{m}^{*C})_K) = 0$  and so  $\delta(V) = 0$ . If  $\text{Hom}_K(V, \mathbf{C}) = 0$ , then  $\text{Hom}_G(V, C^\infty(G, \mathbf{C})_K) = 0$  and so the assumption of Lemma 4.2 holds for each  $h \in V$ . Thus  $\delta(V) = 0$ . Q.E.D.

REMARK. The results in this section are true for any (not necessarily compact) symmetric space  $(M, g)$ , except Lemma 5.5 and Corollary 5.6. This is pointed out by M. Takeuchi.

## 6. Fundamental lemmas for root systems

Let  $(\mathfrak{g}, \mathfrak{t})$  be an effective symmetric pair of compact type with the inner product  $B$  fixed in 5. Then  $\mathfrak{g}$  is semi-simple. We introduce the following ordinary notation.

$\mathfrak{g} = \mathfrak{k} + \mathfrak{m}$ : the canonical decomposition,

$\theta$ : the involution of  $\mathfrak{g}$  defined by  $\theta|_{\mathfrak{k}} = \text{id}_{\mathfrak{k}}$ ,  $\theta|_{\mathfrak{m}} = -\text{id}_{\mathfrak{m}}$ ,

$\mathfrak{a}$ : a Cartan subalgebra of  $\mathfrak{k}$ ,

$\mathfrak{t}$ : a Cartan subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{a}$ ,

$\mathfrak{b} = \mathfrak{t} \cap \mathfrak{m}$ ,

$\Sigma(\mathfrak{g}) \subset \mathfrak{t}$ : the root system of  $\mathfrak{g}$  with respect to  $B$ ,

$\Sigma(\mathfrak{k}) \subset \mathfrak{a}$ : the root system of  $\mathfrak{k}$  with respect to  $B|_{\mathfrak{k}} \times \mathfrak{k}$ , so that there are root vectors  $\{X_\alpha \in \mathfrak{g}^C; \alpha \in \Sigma(\mathfrak{g})\}$  such that

$$[H, X_\alpha] = \sqrt{-1}B(\alpha, H)X \quad \text{for all } H \in \mathfrak{t},$$

$$[X_\alpha, X_{-\alpha}] = \sqrt{-1}\alpha,$$

$$B(X_\alpha, X_{-\alpha}) = 1,$$

$$[X_\alpha, X_\beta] = \sqrt{-1}N_{\alpha, \beta}X_{\alpha+\beta} \quad (N_{\alpha, \beta} \in \mathbf{R}),$$

$$N_{-\alpha, -\beta} = -N_{\alpha, \beta},$$

$$(N_{\alpha, \beta})^2 = q(1+p)B(\alpha, \alpha)/2,$$

where  $\{\beta + n\alpha; n \in \mathbf{Z}, -p \leq n \leq q\}$  is the maximal  $\alpha$ -series containing  $\beta$ . Moreover  $X_\alpha$  and  $X_{-\alpha}$  are conjugate with respect to the complex conjugation of  $\mathfrak{g}^C$  with respect to  $\mathfrak{g}$  with one another. Let  $\bar{\phantom{x}}$  be the orthogonal projection:  $\mathfrak{t} \rightarrow \mathfrak{a}$ . Let  $>$  be a linear order of  $\mathfrak{t}$  such that if  $H > 0$  then  $\theta H > 0$  or  $H \in \mathfrak{b}$ . Let  $\mathfrak{B}$  be the base with respect to the order  $>$ , i.e.,  $\mathfrak{B}$  is the set of all simple roots with respect to  $>$ . Let  $\gg$  be the order defined by the base  $\mathfrak{B}$ , i.e.,  $x \gg y$

if and only if  $x-y = \sum_{\alpha \in \mathfrak{B}} z^\alpha \cdot \alpha \neq 0$  and  $z^\alpha \geq 0$  for all  $\alpha \in \mathfrak{B}$ .

$h_g$ : the highest root of  $\Sigma(g)$ ,

$2\delta_g$ : the sum of positive roots of  $g$ ,

$D(g)$ : the set of all dominant weights of  $\Sigma(g)$ .

**Lemma 6.1** (Murakami [21] (33)).  $\Sigma(g) = \Sigma_1 \cup \Sigma_2 \cup \Sigma_3$  (*disjoint union*)  
where

$$\Sigma_1 = \{\alpha \in \Sigma(g); \theta\alpha = \alpha, X_\alpha \in \mathfrak{f}\},$$

$$\Sigma_2 = \{\alpha \in \Sigma(g); \theta\alpha = \alpha, X_\alpha \in \mathfrak{m}\},$$

$$\Sigma_3 = \{\alpha \in \Sigma(g); \theta\alpha \neq \alpha\}.$$

**Lemma 6.2** (Murakami [21] Lemma 6).  $\Sigma(g) \cap \mathfrak{b} = \emptyset$ .

**Lemma 6.3** (c.f. Bourbaki [5] p148 Theoreme 1). If  $\alpha, \beta \in \Sigma(g)$ ,  $\beta \neq \pm\alpha$ , then  $\alpha - \text{sign}(\alpha, \beta) \cdot \beta \in \Sigma(g)$ .

**Corollary 6.4.** Let  $\alpha, \beta \in \Sigma(g)$ . If  $\bar{\alpha} = \bar{\beta}$ , then  $\beta = \alpha$  or  $\beta = \theta\alpha$ .

Proof. Assume that  $\beta \neq \alpha, \theta\alpha$ . If  $(\alpha, \beta) > 0$ , then  $\beta \neq -\alpha$  and Lemma 6.3 implies that  $\alpha - \beta \in \Sigma(g)$  which contradicts Lemma 6.2. Thus  $(\alpha, \beta) \leq 0$ . Similarly  $(\alpha, \theta\beta) \leq 0$ . Then  $(\theta\alpha, \theta\beta) \leq 0$ ,  $(\theta\alpha, \beta) \leq 0$  and

$$0 \leq (\bar{\alpha}, \bar{\alpha}) = (\bar{\alpha}, \bar{\beta}) = \frac{1}{4}(\alpha + \theta\alpha, \beta + \theta\beta) \leq 0,$$

and so  $\bar{\alpha} = 0$ , which contradicts Lemma 6.2.

Q.E.D.

**Corollary 6.5.**  $(\Sigma_1 \cup \Sigma_2) \cap \overline{\Sigma_3} = \emptyset$ .

**Proposition 6.6.** Let  $\Sigma(m)$  be the set of all weights relative to  $\mathfrak{a}$ , with multiplicity counted, of the  $K$ -module  $m^c$ . Then  $\Sigma(m) \setminus \{0\} = \overline{\Sigma(g)} \setminus \Sigma(\mathfrak{f})$  and the multiplicity of a non-zero weight in  $\Sigma(m)$  is one. The multiplicity of 0 in  $\Sigma(m)$  is  $\dim \mathfrak{b}$ .

Proof.  $\Sigma(m) \setminus \{0\} = \{\bar{\alpha}; \alpha \in \Sigma(g), \theta X_\alpha \neq X_\alpha\}$  by Lemma 6.2. Thus the proof reduces to Corollary 6.5.

**Lemma 6.7** (c.f. Bourbaki [5] p168 Proposition 29). If  $\lambda \gg 0$ , then  $(\delta_g, \lambda) > 0$ .

**Proposition 6.8.** Let  $\lambda, \mu \in D(g)$ . If  $\lambda \gg \mu$ , then  $(\lambda + 2\delta_g, \lambda) > (\mu + 2\delta_g, \mu)$ .

Proof. We see  $\lambda - \mu \gg 0$  and hence  $(\lambda + \mu, \lambda - \mu) \geq 0$ ,  $(2\delta_g, \lambda - \mu) > 0$  by Lemma 6.7. Thus we get the assertion. Q.E.D.

## 7. Procedure of calculation

In this section we assume that  $(M, g)$  is a simply connected irreducible symmetric space  $G/K$  of compact type, with  $G$  compact simply connected and  $K$  connected. Thus  $(M, g)$  is an Einstein manifold and  $B$  is unique up to constant factor. We denote by  $C^\infty(S^2M^c)$  the space of complex symmetric covariant 2-tensors on  $M$ . An element  $s \in C^\infty(S^2M^c)$  is said to be  $G$ -finite if the smallest  $G$ -invariant subspace of  $C^\infty(S^2M^c)$  containing  $s$  is of finite dimension. The space of all  $G$ -finite elements of  $C^\infty(S^2M^c)$  is denoted by  $C_f^\infty(S^2M^c)$ . It is a  $G$ -submodule of  $C^\infty(S^2M^c)$  containing all finite dimensional  $G$ -submodule of  $C^\infty(S^2M^c)$ . Since  $\bar{\Delta} + 2L$  is an elliptic  $G$ -invariant differential operator on  $C^\infty(S^2M^c)$ , each eigenspace of  $\bar{\Delta} + 2L$  is contained in  $C_f^\infty(S^2M^c)$ . Moreover, the self-adjointness of  $\bar{\Delta} + 2L$  and  $L$  and (4.1.1) imply that the  $G$ -module  $C_f^\infty(S^2M^c)$  is decomposed into  $G$ -invariant simultaneous eigenspaces for  $\bar{\Delta} + 2L$  and  $L$ . We denote by  $S^2m^{*c}$  and  $S_0^2m^{*c}$  the second symmetric tensor product of  $m^*$  and the subspace of  $S^2m^{*c}$  consisting of all  $s \in S^2m^{*c}$  with  $\text{tr}_B s = 0$ , respectively. Let

$$S^2m^{*c} = V_0 \oplus V_1 \oplus \cdots \oplus V_r$$

be an irreducible decomposition of  $S^2m^{*c}$  as  $K$ -module. In this decomposition we may assume that  $V_0 = C \cdot B$  and  $\sum_{i=1}^r V_i = S_0^2m^{*c}$ , and that  $L$  is a scalar operator  $l_i$  on  $V_i$ . The homogeneous vector bundle  $G \times_K S^2m^{*c} = S^2M^c$  is decomposed into  $\bigoplus_{i=0}^r G \times_K V_i$  and the  $G$ -module  $C^\infty(G, S^2m^{*c})_K = C^\infty(S^2M^c)$  is decomposed into  $\bigoplus_{i=0}^r C^\infty(G, V_i)_K$ . Therefore the  $s$ -eigenspace  $E_s$  of the operator  $\bar{\Delta} + 2L = C - 2\varepsilon$  (cf. Corollary 5.4) is decomposed as  $G$ -module in the following way.

$$E_s = \sum_{i=0}^r E_{s,i} \quad \text{where } E_{s,i} = E_s \cap C^\infty(G, V_i)_K.$$

Note that  $L$  is the scalar operator  $l_i$  on  $C^\infty(G, V_i)_K$ .

Let  $V_c$  be a finite dimensional  $G$ -module such that the Casimir operator of  $V_c$  is the scalar operator  $c$ . If we apply Lemma 5.4 and Lemma 5.5 and Remark following Lemma 5.5 to  $V_c$ , we see that  $\phi(V_c) \subset E_{c-2\varepsilon, i}$  for the element  $\phi$  of  $\text{Hom}_G(V_c, C^\infty(G, V_i)_K)$  corresponding to a non-zero  $\tilde{\phi} \in \text{Hom}_K(V_c, V_i)$ .

Now, let us recall some facts on (finite dimensional)  $G$ -modules of a general compact connected Lie group  $G$ . In the same way as in previous section, we define an inner product  $B$  on  $\mathfrak{g}$ , a linear order  $>$  on a Cartan subalgebra  $\mathfrak{t}$ , the order  $\gg$  defined by the base,  $D(\mathfrak{g})$ ,  $\delta_{\mathfrak{g}}$  and so on. For  $\lambda \in D(\mathfrak{g})$  we denote by  $V_G(\lambda)$  the irreducible  $G$ -module whose highest weight relative to  $\mathfrak{t}$  is  $\lambda$ , more precisely, the isomorphism class of such a  $G$ -module. For a  $G$ -module  $W$  we denote by  $\Lambda_G(W)$  the set of all weights relative to  $\mathfrak{t}$ , with multiplicity counted. If  $W$  is an irreducible  $G$ -module, we denote by  $\lambda_G(W)$  its highest weight.



**Lemma 7.1** (Freudenthal [10] 43.1.9). *The Cassimir operator on the  $G$ -module  $V_G(\lambda)$  is the scalar operator  $(\lambda + 2\delta_g, \lambda)$ .*

**Lemma 7.2** (Freudenthal [10] 48.3). *If  $\mu \in D(g)$ , then the multiplicity  $m(\mu)$  of  $\mu$  in  $V_G(\lambda)$  is given recursively by the formula;*

$$(\lambda + \delta_g, \lambda + \delta_g) - (\mu + \delta_g, \mu + \delta_g) m(\mu) = \sum_{\substack{\alpha \in \sum(\mathfrak{g}), \alpha \gg 0 \\ i \geq 1}} 2m(\mu + i\alpha)(\mu + i\alpha, \alpha).$$

REMARK. For the multiplicities  $m(\mu)$  for small  $\lambda$  of the type  $E_8, F_4, G_2$ , see also Freudenthal [11] Table E, Veldkamp [28] Table I, Humphreys [13] p124 Table 2, respectively.

Let  $W$  be a  $G$ -module such that  $\Lambda_G(W)$  is given concretely. Let  $\lambda$  be a  $\gg$ -maximal element of  $\Lambda_G(W)$ . We get  $\Lambda_G(V_G(\lambda))$  concretely, using Lemma 7.2. Then  $W$  is decomposed as  $W = W' \oplus V$ , where  $\Lambda_G(W') = \Lambda_G(W) \setminus \Lambda_G(V_G(\lambda))$  and  $\Lambda_G(V) = \Lambda_G(V_G(\lambda))$ . Thus, inductively, we get concretely the set of highest weights of irreducible components of  $W$ , with multiplicity counted. This set will be denoted by  $H_G(W)$ .

Now, we come back to our symmetric space  $G/K$  and give a procedure of calculation. The results are in the Table. We use Bourbaki [5] Planche I-IX, where all concrete tables of  $\sum(\mathfrak{g})$  are given. We use also the inner product  $B$  given in the tables.

I. Murakami [22] p 297 and p 305 shows the relation between the basis of  $\mathfrak{g}$  and  $\mathfrak{k}$ . Combining Planche I-IX in Bourbaki [5], we get  $\Lambda_K(\mathfrak{m}^c) = \sum(\mathfrak{m})$  by Proposition 6.6.

II. We decompose the  $K$ -module  $S^2\mathfrak{m}^{*c}$  into irreducible components. The weights  $\Lambda_K(S^2\mathfrak{m}^{*c})$  is given as  $\{\alpha + \beta; \{\alpha, \beta\} \subset \sum(\mathfrak{m})\}$ . Thus we get  $H_K(S^2\mathfrak{m}^{*c})$  in the above way. Let  $H_K(S^2\mathfrak{m}^{*c}) = \{\mu_0 = 0, \mu_1, \dots, \mu_r\}$ . As the result of calculation we know that  $\mu_i$  are distinct each other (cf. Kaneyuki and Nagano [14], Takeuchi [27]). So we shall order them, in such way that  $\mu_0 < \mu_1 < \dots < \mu_r$ . Denoting by  $V_i$  the irreducible  $K$ -submodule of  $S^2\mathfrak{m}^{*c}$  with the highest weight  $\mu_i$ , we get a decomposition of  $S^2\mathfrak{m}^{*c}$  as in the beginning of this section.

III. We compute the eigenvalue  $l_i$  of  $L$  on  $V_i$ . Let  $\sum'_3$  be a subset of  $\sum_3$  with the following property; for each  $\alpha \in \sum_3$ , either one and only one of  $\alpha$  or  $\theta\alpha$  belongs to  $\sum'_3$ . Set

$$T_\alpha = X_\alpha \quad \text{if } \alpha \in \sum_2,$$

$$T_\alpha = \frac{1}{\sqrt{2}}(X_\alpha - \theta X_\alpha) \quad \text{if } \alpha \in \sum'_3.$$

Choose orthonormal basis  $\{H_i\}$  of  $\mathfrak{b}$ . Then  $\{T_\alpha, T_{\bar{\alpha}}, H_i; \alpha \in \sum_2, \beta \in \sum'_3\}$  are basis of  $\mathfrak{m}^c$ . Let  $\{T_\alpha^*, T_{\bar{\alpha}}^*, H_i^*\}$  be the dual basis of  $\mathfrak{m}^{*c}$ . Then

$$B = \sum_{\alpha \in \Sigma_2} T_\alpha^* \cdot T_{-\alpha}^* + \sum_{\beta \in \Sigma_3'} T_\beta^* \cdot T_{-\beta}^* + \sum_i H_i^* \cdot H_i^*.$$

By the formula  $B(R(X, Y)Z, U) = -B([X, Y], [Z, U])$  for symmetric spaces (c.f. Kobayashi and Nomizu [16] p 231 Theorem 3.2), we can easily check that the  $T_\alpha \cdot T_\beta$ -coefficient of  $L(T_\gamma \cdot T_\delta)$  is zero if  $\alpha + \beta \neq \gamma + \delta$ , where we write  $T_0$  for  $H_i$ . Therefore, for  $\mu \in \Lambda_K(S^2 \mathfrak{m}^{*c})$ , the subspace  $W_\mu$  of  $S^2 \mathfrak{m}^{*c}$  generated by  $\{T_\alpha^* \cdot T_\beta; \alpha + \beta = \mu\}$  is invariant by the operator  $L$ . We denote by  $\text{Tr}(\mu)$  the trace of  $L$  on  $W_\mu$ . Then

$$\text{Tr}(\mu_j) = \sum_{i=1}^r l_j \cdot m_j(\mu_i),$$

where  $m_j(\mu_i)$  is the multiplicity of  $\mu_i$  in  $V_j$  (cf. Kaneyuki and Nagano [14] (2.1)). Thus if we know the value of  $\text{Tr}(\mu_i)$ , then we get the value of  $l_j$  inductively. In particular, we know the value of  $\varepsilon$  by (4.1.2):  $\varepsilon = -l_0$ .

Now, we give the  $T_\alpha \cdot T_\beta$ -coefficient  $L_{\alpha\beta}$  of  $L(T_\alpha \cdot T_\beta)$ . These will enable us to compute  $\text{Tr}(\mu_i)$  and to compute  $l_i$  by the above formula.

a) Group type. See Kaneyuki and Nagano [14] Lemma 2.2.

b) Inner type (that is,  $\mathfrak{b} = 0$ ).  $L_{\alpha\alpha} = (\alpha, \alpha)$  and  $L_{\alpha\beta} = (\alpha, \beta) + (N_{\alpha, -\beta})^2$  if  $\alpha \neq \beta$ .

c) Exterior type (that is,  $\mathfrak{b} \neq 0$ ). We assume that  $\alpha - \theta\beta \notin \sum(\mathfrak{g})$  for  $\alpha \in \sum(\mathfrak{m}) \setminus \{0\}$  and  $\beta \in \sum_3'$ . This assumption is satisfied in each case. In the following table, the symbol  $\bar{\alpha}$  is used only if  $\alpha \in \sum_3'$ .

$$\begin{aligned} L_{\alpha\alpha} &= (\alpha, \alpha), \\ L_{\alpha\beta} &= (\alpha, \beta) + (N_{\alpha, -\beta})^2 \quad \text{if } \alpha \neq \beta, \\ L_{\bar{\alpha}\bar{\alpha}} &= (\bar{\alpha}, \bar{\alpha}), \\ L_{\bar{\alpha}\bar{\beta}}(\alpha \neq \beta) &= \begin{cases} (\bar{\alpha}, \bar{\beta}) + (N_{\alpha, -\beta})^2/2 & \text{if } \alpha - \beta \notin \mathfrak{a}, \\ (\bar{\alpha}, \bar{\beta}) & \text{if } \alpha - \beta \in \mathfrak{a} \text{ and } \alpha - \beta \notin \sum(\mathfrak{k}), \\ (\bar{\alpha}, \bar{\beta}) + (N_{\alpha, -\beta})^2 & \text{if } \alpha - \beta \in \mathfrak{a} \text{ and } \alpha - \beta \in \sum(\mathfrak{m}), \end{cases} \\ L_{\alpha\bar{\beta}} &= (N_{\alpha, -\beta})^2/2, \\ \sum_i L_{\alpha i} &= (\alpha, \alpha), \\ \sum_i L_{\bar{\alpha} i} &= (\alpha, \alpha) - (\bar{\alpha}, \bar{\alpha}), \\ l_0 &= -\{\sum_{\alpha \in \Sigma_2} (\alpha, \alpha) + \sum_{\beta \in \Sigma_3'} (\bar{\beta}, \bar{\beta})\}/2. \end{aligned}$$

REMARK. This method is developed by Borel [4], Kaneyuki and Nagano [14, 15]. See also Calabi and Vesentini [7].

IV. Let  $D_i = \{\lambda \in D(\mathfrak{g}); \mu_i \leq \bar{\lambda}\}$  for  $1 \leq i \leq r$  and put  $D = \bigcup_{i=1}^r D_i$ . Then Lemma 5.5 implies that if  $V_G(\lambda)$  is a  $G$ -submodule of  $C^\infty(G, V_i)_K$  then  $\lambda \in D_i$ . Thus if  $V_G(\lambda)$  is a  $G$ -submodule of  $\text{Ker tr}$  then  $\lambda \in D$ .

Put  $L_i = \min\{(\lambda + 2\delta_{\mathfrak{g}}, \lambda); \lambda \in D_i\}$  for  $1 \leq i \leq r$ . In order to find  $\lambda \in D_i$  which attains the minimum  $L_i$ , we have only to check  $\gg$ -minimal weights in  $D_i$ , by

virture of Proposition 6.8. Note that if  $L_i > 2\varepsilon$ , then Lemma 7.1 implies  $E_{s,i} = 0$  for  $s \leq 0$ .

Now assume that for each  $1 \leq i \leq r$ ,  $l_i > l_0/2$  or  $L_i > 2\varepsilon$ . Then  $E_s \cap \text{Ker } \delta \cap \text{Ker } \text{tr} = 0$  for  $s \leq 0$ , which implies that this symmetric space is stable, unless it is the standard sphere. In fact, suppose that there exists a non-zero  $h \in E_s \cap \text{Ker } \delta \cap \text{Ker } \text{tr}$ . Decompose  $h$  as

$$h = \sum_{i=0}^r h_i, \quad h_i \in E_{s,i}.$$

Then from the above we have

$$h = \sum_{l_i > l_0/2} h_i$$

and hence the orthogonality  $(V_i, V_j) = 0$  ( $i \neq j$ ) implies  $\langle Lh, h \rangle > -\varepsilon \langle h, h \rangle / 2$ . This contradicts Lemma 2.8. See Table.

V. Now, we consider a weight  $\lambda \in D$  such that  $(\lambda + 2\delta_g, \lambda) \leq 2\varepsilon$ . If  $H_K(S_0^2 m^* c) \cap H_K(V_G(\lambda)) = \phi$ , then  $V_G(\lambda)$  is not a  $G$ -submodule of  $\text{Ker } \text{tr}$ . If  $H_K(S_0^2 m^* c) \cap H_K(V_G(\lambda)) \neq \phi$  and if  $H_K(V_G(\lambda)) \ni 0$  or  $h_m$ , where  $h_m$  is the highest weight of  $\sum(m)$ , then Corollary 5.6 implies that  $V_G(\lambda)$  is a  $G$ -submodule of  $\text{Ker } \text{tr}$  such that  $\Delta h + 2Lh = \{(\lambda + 2\delta_g, \lambda) - 2\varepsilon\}h$  and  $\delta h = 0$  for all  $h \in V_G(\lambda)$ .

Therefore if  $(\lambda + 2\delta_g, \lambda) = 2\varepsilon$  for one of such  $\lambda$ , then  $g$  is infinitesimally deformable ( $A_n$  ( $n \geq 2$ ),  $AI_1$  ( $n \geq 1$ ),  $AI_2$  ( $n \geq 2$ ),  $AI$  ( $n \geq 3$ ),  $EIV$ ). If  $(\lambda + 2\delta_g, \lambda) < 2\varepsilon$  for one such  $\lambda$ , then  $g$  is unstable provided  $M$  is not the standard sphere ( $B_2$ ,  $C_n$  ( $n \geq 3$ ),  $CI$  ( $n \geq 3$ )).

If  $H_K(S_0^2 m^* c) \cap H_K(V_G(\lambda)) = \phi$  for all  $\lambda \in D$  such that  $(\lambda + 2\delta_g, \lambda) = 2\varepsilon$ , then  $g$  is infinitesimally non-deformable, and so it is rigid ( $B_2$ ,  $C_n$  ( $n \geq 3$ ),  $CII$  ( $p = 2$  or  $q \geq 3$ ),  $FII$ ).

VI. Let  $M = G/K$  be of Hermitian type but not the standard sphere. Assume that  $\lambda = h_g$  is the only one element of  $D$  such that  $(\lambda + 2\delta_g, \lambda) = 2\varepsilon$  and  $H_K(S_0^2 m^* c) \cap H_K(V_G(\lambda)) \neq \phi$ , and that  $H_K(S_0^2 m^* c) \cap H_K(V_G(h_g))$  consists of exactly one element. Then  $E_0 \cap \text{Ker } \text{tr}$  is the unique  $G$ -submodule of  $\text{Ker } \text{tr}$  which is isomorphic to  $V_G(h_g)$  as  $G$ -module. We denote by  $J$  the almost complex structure of  $M$  acting on  $C^\infty(S^1 M^c)$ . For a (complex) Killing vector field  $\xi$  on  $M$ , we define an element  $h$  of  $\text{Ker } \text{tr}$  by  $h = \delta^* J\xi - ((\text{tr } \delta^* J\xi)/n) \cdot g$  regarded as  $\xi \in C^\infty(S^1 M^c)$ . If  $h = 0$ , then  $\delta^* J\xi \in \text{Im } \delta^* \cap C^\infty(M)^c \cdot g$ . It follows from Lemma 2.5 that  $\delta^* J\xi = 0$  and so  $J\xi$  is also a Killing vector field. Thus we have  $\xi = 0$ . Therefore the correspondence  $\xi \rightarrow h$  is injective. Moreover we see that if  $\delta h = 0$ , then  $h \in \text{Ker } \alpha \cap \text{Ker } \delta \cap \text{Ker } f$ , and hence  $h = 0$  by Lemma 2.5. Thus the space  $P$  of all  $h$  defined by Killing vector fields  $\xi$  is a non-trivial  $G$ -submodule of  $\text{Ker } \text{tr}$  such that  $\text{Ker } \delta \cap P = 0$ . On the other hand, since the operators  $\delta^*$ ,  $J$ ,  $\text{tr}$  are  $G$ -homomorphisms,  $P$  is isomorphic with  $V_G(h_g)$  as  $G$ -module. Thus  $E_0 \cap \text{Ker } \text{tr} = P$ . Therefore  $E_0 \cap \text{Ker } \delta \cap \text{Ker } \text{tr} = 0$  and hence  $M$  is rigid

$(B_2/A_1 \cdot T, CI (n \geq 3))$ . If, moreover, there is no element  $\lambda \in D$  such that  $(\lambda + 2\delta_g, \lambda) < 2\varepsilon$  and  $H_K(S_0^2 m^{*c}) \cap H_K(V_G(\lambda)) \neq \phi$ , then we see  $G/K$  is stable  $(B_1/A_2 \cdot T)$ .

Table: Values of  $l_i$  and  $L_i$ 

Type	$G$ $K$ condition	$l_i \ (i \geq 1)$ $L_i \ (i \geq 1)$ condition for existence of $\mu_i \ (i \geq 1)$	$\varepsilon$ condition for stability (*)
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(\*)  $\bigcirc$  : stable.

$\times$  : the data do not lead the stability only by procedure I~IV.

In this table we omit  $\mu_j$  when  $l_j = l_i$  and  $L_j = L_i$  for some  $i < j$ .

$A_n$	$A_n \times A_n$ $A_n$ $n \geq 1$	$-(n+1)$ $2n(n+2)/(n+1)$ $n \geq 2$	$-1$ $4(n-1)(n+2)/(n+1)$ $n \geq 3$	$1$ $4(n+1)$	$n+1$  $n=1$
$B_n$	$B_n \times B_n$ $B_n$ $n \geq 2$	$-2$ $4(2n-3)$	$-(n-3/2)$ $4n$	$1$ $4(2n-1)$	$2n-1$  $n \geq 3$
$C_n$	$C_n \times C_n$ $C_n$ $n \geq 3$	$-(n+2)$ $4n-1$	$-1$ $8n$	$2$ $8(n+1)$	$2(n+1)$  $\times$
$D_n$	$D_n \times D_n$ $D_n$ $n \geq 4$	$-2$ $8n-18$	$-(n-2)$ $2(2n-1)$	$1$ $8(n-1)$	$2(n-1)$  $\bigcirc$
$E_6$	$E_6 \times E_6$ $E_6$	$-3$ $34$	$1$ $48$		$12$  $\bigcirc$
$E_7$	$E_7 \times E_7$ $E_7$	$-4$ $54$	$1$ $72$		$18$  $\bigcirc$
$E_8$	$E_8 \times E_8$ $E_8$	$-6$ $94$	$1$ $120$		$30$  $\bigcirc$
$F_4$	$F_4 \times F_4$ $F_4$	$-5/2$ $24$	$1$ $36$		$9$  $\bigcirc$
$G_2$	$G_2 \times G_2$ $G_2$	$-5$ $24$	$3$ $48$		$12$  $\bigcirc$

<i>AIII</i>	$A_{p+q-1}$ $A_{p-1} \cdot A_{q-1} \cdot T$ $p \geq q \geq 1$	$-p$ $2(l+1)$ $q \geq 2$	$-q$ $2(l+1)$ $p \geq 2$	$0$ $4l$ $q \geq 2$	$-2$ $4l$ $q \geq 2$	$2$ $4(l+2)$	$l+1$  $q=1$
<i>BI</i> <i>BII</i>	$B_{p+q}$ $B_p \cdot D_q$ $p \geq 0, q \geq 1$	$-2$ $4(2l-3)(*)$ $p \geq 1$	$-2(q-1)$ $2(2l+1)$ $p \geq 1$	$-(2p-1)$ $2(2l+1)$	$2$ $8$ $p \geq 1$	$2l-1$ $p \neq 1$ or $q \geq 2$	
<i>CI</i>	$C_n$ $A_{n-1} \cdot T$ $n \geq 3$	$-(n+2)$ $4n$	$0$ $2(2n+1)$	$-2$ $2(2n+1)$	$4$ $8(n+2)$	$2(n+1)$  $\times$	
<i>CII</i>	$C_{p+q}$ $C_p \cdot C_q$ $p \geq q \geq 1$	$-2(q+1)$ $4l$ $p \geq 2$	$-2(p+1)$ $4l$ $q \geq 2$	$-2$ $8(l-1)$ $q \geq 2$	$2$ $4(2l+1)$	$2(l+1)$ $p=1$ or $q=1, p \geq 3$	
<i>DI<sub>1</sub></i>	$D_{p+q}$ $D_p \cdot D_q$ $p \geq q \geq 1$ $p+q \geq 4$	$-2$ $8(l-2) (*)$	$-2(q-1)$ $4l$	$-2(p-1)$ $4l$	$2$ $4(2l-1)$	$2(l-1)$  $\bigcirc$	
<i>DIII</i>	$D_n$ $A_{n-1} \cdot T$ $n \geq 3$	$-(n-2)$ $4(n-1)$	$0$ $8(n-2)$ $n \geq 4$	$-4$ $8(n-2)$ $n \geq 4$	$2$ $4(2n-1)$	$2(n-1)$  $\bigcirc$	
<i>EII</i>	$E_6$ $A_1 \cdot A_5$	$-2$ $36$	$-4$ $36$	$2$ $52$		$12$  $\bigcirc$	
<i>EIII</i>	$E_6$ $D_5 \cdot T$	$-4$ $24$	$0$ $36$	$-6$ $36$	$2$ $52$	$12$  $\bigcirc$	
<i>EV</i>	$E_7$ $A_7$	$-4$ $56$	$2$ $76$			$18$  $\bigcirc$	
<i>EVI</i>	$E_7$ $D_6 \cdot A_1$	$-2$ $56$	$-6$ $56$	$2$ $76$		$18$  $\bigcirc$	
<i>EVII</i>	$E_7$ $E_6 \cdot T$	$-6$ $36$	$0$ $56$	$-8$ $56$	$2$ $76$	$18$  $\bigcirc$	
<i>EVIII</i>	$E_8$ $D_8$	$-6$ $96$	$2$ $124$			$30$  $\bigcirc$	
<i>EIX</i>	$E_8$ $E_7 \cdot A_1$	$-2$ $96$	$-10$ $96$	$2$ $124$		$30$  $\bigcirc$	

FI	$F_4$	-2	-3	2			9
	$C_3 \cdot A_1$	26	26	40			○
FII	$F_4$	-5	1				9
	$B_4$	12	24				×
G	$G_2$	-6	-4	6			12
	$A_1 \cdot A_1$	28	28	60			○
AI <sub>1</sub>	$A_{2n}$	$-(n+1/2)$		-1	2	$l+1$	
	$B_n$	$2(l+1)$		$4l$	$4(l+2)$		
	$n \geq 1$			$n \geq 2$		×	
AI <sub>2</sub>	$A_{2n-1}$	$-n$		-1	2	$l+1$	
	$D_n$	$2(l+1)$		$4l$	$4(l+2)$		
	$n \geq 2$					×	
AII	$A_{2n-1}$	$-n$		-2	1	$l+1$	
	$C_n$	$2(l+1)$		$4l$	$4l$		
	$n \geq 2$	$n \geq 3$		$n \geq 4$		$n=2$	
DI <sub>2</sub>	$D_{p+q+1}$	-2		$-(2q-1)$	$-2(p-1)$	2	$2(l-1)$
DII	$B_p \cdot B_q$	$8(l-2)$ (*)		$4l$	$4l$	$4(2l-1)$	○
	$p \geq q \geq 0$	$q \geq 1$					
EI	$E_6$	-3	2				12
	$C_4$	36	52				○
EIV	$E_6$	-6	1				12
	$F_4$	52/3	36				×

(\*1) This holds when  $p, q \geq 2$ . The value is  $6(l-1)$  if  $p=1, q \geq 2$ ,  $2(2l-1)$  if  $p \geq 2, q=1$  and 4 if  $p=q=1$ .

(\*2) This holds when  $q \geq 2$ . The value is  $4(l-1)$  if  $q=1$ .

(\*3) This holds when  $q \geq 2$ . The value is  $3(2l-3)$  if  $q=1$ .

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