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ON  $p$ -RADICAL DESCENT OF HIGHER EXPONENT

Kiyoshi BABA

## § 0. Introduction

In the paper [8], P. Samuel has developed the theory of  $p$ -radical descent of exponent one by making use of logarithmic derivatives. In this article we shall give a generalization of his theory to the case of  $p$ -radical descent of higher exponent with the aid of a finite set of higher derivations of finite rank.

In the first section some preparatory results are collected. Let  $A$  be a Krull domain of characteristic  $p > 0$  and  $K$  be its quotient field. Let  $D = (\underline{D}^{(1)}, \dots, \underline{D}^{(r)})$  be an  $r$ -tuple of non-trivial higher derivations  $\underline{D}^{(i)}$ 's of rank  $m_i$  on  $K$  which leave  $A$  invariant. For simplicity we shall abuse the notation  $\underline{D}^{(i)}$  to denote the ring homomorphism of  $K$  into a truncated polynomial ring of order  $m_i$  over  $K$ , i.e.,  $K[t_i: m_i] := K[T_i]/T_i^{m_i+1}$  associated to the higher derivation  $\underline{D}^{(i)}$ . Let  $K'$  be the intersection of the fields of  $\underline{D}^{(i)}$ -constants ( $1 \leq i \leq r$ ) and let  $A' := A \cap K'$ . Let  $T = (T_1, \dots, T_r)$  be an  $r$ -tuple of indeterminates and let  $t_i$  be the residue class of  $T_i$  modulo  $T_i^{m_i+1}$  in  $K[T_i]/T_i^{m_i+1}$ . We shall set  $\mathbf{t} := (t_1, \dots, t_r)$  and  $\mathbf{m} := (m_1, \dots, m_r)$ . We shall denote  $\prod_{i=1}^r K[t_i: m_i]$  by  $K[\mathbf{t} : \mathbf{m}]$ . Similarly we denote

$\prod_{i=1}^r A[t_i: m_i]$  by  $A[t: m]$  where  $A[t_i: m_i]$  is a truncated

polynomial ring of order  $m_i$  over  $A$ . Furthermore we shall define a ring homomorphism  $D$  of  $K$  into  $K[t: m]$  by  $D(z) = (D^{(1)}(z), \dots, D^{(r)}(z))$  ( $z \in K$ ). Let  $\mathcal{L}_A$  and  $\mathcal{L}'_A$  be the sets of elements defined respectively by

$$\mathcal{L}_A = \{ D(z)/z \in K[t: m] \mid z \in K^*, D(z)/z \in A[t: m] \},$$

$$\mathcal{L}'_A = \{ D(u)/u \mid u \in A^* \}.$$

Let  $j: \text{Div}(A') \longrightarrow \text{Div}(A)$  be the homomorphism defined by

$j(\mathfrak{f}) = e(\mathfrak{f})\mathfrak{p}$  where,  $\mathfrak{f}$  is a prime ideal of height one in  $A'$ ,  $\mathfrak{p}$  is the unique prime ideal of height one in  $A$  with  $\mathfrak{p} \cap A' = \mathfrak{f}$  and  $e(\mathfrak{f})$  is the ramification index of  $\mathfrak{p}$  over  $\mathfrak{f}$ . Then we can define the homomorphism  $\bar{j}: \text{Cl}(A') \longrightarrow \text{Cl}(A)$  induced by  $j$  (cf. [8]). Let  $\mathcal{D}$  be the subgroup of  $\text{Div}(A')$  consisting of divisors  $E$ 's such that  $j(E)$  is principal and let  $\Phi_0: \mathcal{D} \longrightarrow \mathcal{L}_A/\mathcal{L}'_A$  be the homomorphism defined by  $\Phi_0(E) = D(x)/x$  modulo  $\mathcal{L}'_A$ , where  $E \in \mathcal{D}$  and  $j(E) = \text{div}_A(x)$ . Let  $\Phi: \text{Ker}(\bar{j}) = \mathcal{D}/F(A') \longrightarrow \mathcal{L}_A/\mathcal{L}'_A$  be the homomorphism induced by  $\Phi_0$  where  $F(A')$  denotes the subgroup of  $\text{Div}(A')$  generated by principal divisors. Furthermore we put  $\mu_i = \min \{ j \mid D_j^{(i)} \neq 0, 1 \leq j \leq m_i \}$  and,  $n_i = \min \{ n \mid m_i < \mu_i p^n \}$  where  $D^{(i)} = \{ D_j^{(i)} \mid 0 \leq j \leq m_i \}$  ( $1 \leq i \leq r$ ).

We denote the Jacobian  $\det(D_{\mu_i}^{(i)}(\alpha_k))_{s \leq i, k \leq r}$  by  $J(D: \alpha; s, r)$

for  $\alpha = (\alpha_1, \dots, \alpha_r) \in A^r$  and  $1 \leq s \leq r$ . We shall use

the notation  $J(D: \alpha)$  instead of  $J(D: \alpha; 1, r)$ . Our main result in § 1 is the following:

Theorem (cf. 1.6) Assume that the following two conditions hold:

$$(1) [K : K'] = p^{n_1 + \dots + n_r}.$$

(2) For each prime ideal  $\mathfrak{p}$  of height one in  $A$ , there exists  $\alpha$  in  $A^r$  such that the Jacobian  $J(D: \alpha)$  is not contained in  $\mathfrak{p}$ .

Then the homomorphism  $\bar{\Phi} : \text{Ker}(\bar{J}) \longrightarrow \mathcal{L}_A / \mathcal{L}'_A$  is an isomorphism.

The property (2) in the above theorem will be referred to as "the height one property". When the height one property is not satisfied,  $\bar{\Phi}$  is not necessarily surjective. Even if  $\bar{\Phi}$  is not surjective, we can determine, in some cases, the cokernel of  $\bar{\Phi}$  (§ 2). As a byproduct we get the following:

Theorem (cf. 2.7). Assume that  $A$  is a unique factorization domain with  $J(D: A) := \{ J(D: A) \mid \alpha \in A^r \} \neq \{0\}$  and  $[K : K'] = p^{n_1 + \dots + n_r}$ . Let  $\mathfrak{p} = cA$  be a principal prime ideal of height one in  $A$  and let  $s^{(i)}(\mathfrak{p}) := \min \{ s \in \mathbb{N} \mid (D^{(i)}(c)/c)^s \in A[t_1: m_i] \}$  for  $1 \leq i \leq r$ , and  $s(\mathfrak{p}) := \max \{ s^{(i)}(\mathfrak{p}) \mid 1 \leq i \leq r \}$ . Then the followings are equivalent to each other:

- (i)  $\Phi : \text{Ker}(\mathbb{J}) \longrightarrow \mathcal{L}_A / \mathcal{L}'_A$  is an isomorphism.
- (ii) For each prime ideal  $\wp$  of height one in  $A$ , either  $J(D : A) \not\subseteq \wp$  or  $e(\wp) = s(\wp)$  occurs.

If  $A$  is a unique factorization domain, it turns out that  $\text{Ker}(\mathbb{J})$  is isomorphic to  $\text{Cl}(A')$ . Therefore, in order to determine  $\text{Cl}(A')$ , it suffices to know  $\text{Ker}(\mathbb{J})$ . In the final section some examples of rings are presented whose divisor class groups are calculated by applying Theorem 1.6.

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Each ring appeared in this paper is commutative with identity. Our terminology and notation are as follows:

Let  $A$  be a Krull domain.

$P(A)$  : the set of prime ideals of height one in  $A$ .

$\text{Div}(A)$  : the free abelian group generated by elements of  $P(A)$ . An element of  $\text{Div}(A)$  is called a divisor.

We shall define the divisor  $\text{div}_A(a)$  ( $a \in A - \{0\}$ ) by  $\text{div}_A(a) = \sum v_{\wp}(a) \wp$  where the sum is taken over all prime ideals  $\wp$ 's in  $P(A)$  and  $v_{\wp}$  is the normalized valuation associated to the prime ideal  $\wp$ . Let  $K$  be the quotient field of  $A$  and  $x$  be an element of  $K^*$ . We define  $\text{div}_A(x) := \text{div}_A(a) - \text{div}_A(b)$  where  $x = a/b$  ( $a, b \in A, b \neq 0$ ).

$F(A)$  : the subgroup of  $\text{Div}(A)$  generated by  $\{\text{div}_A(x) \mid x \in K^*\}$ . We call an element of  $F(A)$  a principal divisor.

$Cl(A) := Div(A)/F(A)$  : the divisor class group of  $A$ .

$cl(E)$  : the divisor class of a divisor  $E$ .

$Supp(E)$  : the support of a divisor  $E$ , i.e., the set of all prime ideals  $\wp$ 's in  $P(A)$  such that  $E = \sum n_{\wp} \wp$  and  $n_{\wp} \neq 0$ .

### § 1. Fundamental theorem

Let  $A$  and  $B$  be commutative rings with common identity such that  $A < B$ . A higher derivation  $\underline{D} = \{ D_j \mid 0 \leq j \leq m \}$  of rank  $m$  of  $A$  into  $B$  is a collection of additive homomorphisms of  $A$  into  $B$  satisfying the following conditions:

$$(1) \quad D_0(a) = a \quad \text{for all } a \text{ in } A.$$

$$(2) \quad D_n(ab) = \sum_{j=0}^n D_j(a) D_{n-j}(b)$$

for  $0 \leq n \leq m$  and  $a, b \in A$  (cf. [5], [6]).

Let  $B[t : m]$  be a truncated polynomial ring of order  $m$  over  $B$ , i.e.,  $B[t : m] = B[T]/T^{m+1}$ . We can define the ring homomorphism  $\phi_{\underline{D}}$  of  $A$  into  $B[t : m]$  associated to a higher derivation  $\underline{D}$  by the following manner:

$$\phi_{\underline{D}}(a) = \sum_{j=0}^m D_j(a) t^j \quad \text{for } a \in A.$$

For simplicity we shall abuse the notation  $\underline{D}$  to denote the ring homomorphism  $\phi_{\underline{D}}$  when there is no fear of confusion.

If  $\underline{D}(a) = a$ ,  $a$  is called a  $\underline{D}$ -constant. We say that  $\underline{D}$  is non-trivial if there exists an element in  $A$  which is not a

$\underline{D}$ -constant. For a non-trivial higher derivation  $\underline{D}$ , the smallest integer among those  $j$  such that  $D_j \neq 0$  for  $1 \leq j \leq m$  is denoted by  $\mu(\underline{D})$ . Let  $C$  be a subset of  $A$ . We say that  $\underline{D}$  leaves  $C$  invariant if  $D_j(C) \subset C$  for  $1 \leq j \leq m$ . Let  $\underline{D}^{(i)}$  be a higher derivation of rank  $m_i$  of  $A$  into  $B$  for  $1 \leq i \leq r$ . Let  $T = (T_1, \dots, T_r)$  be an  $r$ -tuple of indeterminates  $T_1, \dots, T_r$  and let  $t := (t_1, \dots, t_r)$  where  $t_i$  is the residue class of  $T_i$  modulo  $T_i^{m_i+1}$  in  $B[T_i]/T_i^{m_i+1}$ . We shall denote  $\prod_{i=1}^r B[t_i : m_i]$  by  $B[t : m]$  where  $m := (m_1, \dots, m_r)$ . Then  $B[t : m]$  is a  $B$ -algebra in the usual way. Let  $\underline{D} = (\underline{D}^{(1)}, \dots, \underline{D}^{(r)})$  be an  $r$ -tuple of higher derivations of rank  $m$  of  $A$  into  $B$ . A ring homomorphism  $D$  of  $A$  into  $B[t : m]$  is defined by  $D(a) = (\underline{D}^{(1)}(a), \dots, \underline{D}^{(r)}(a))$  ( $a \in A$ ). The intersection of  $\underline{D}^{(i)}$ -constants for  $1 \leq i \leq r$  is called the ring of  $D$ -constants. First we shall prove two lemmas:

Lemma 1.1. Let  $A < B$  be integral domains of characteristic  $p > 0$  and let  $\underline{D} = \{D_j \mid 0 \leq j \leq m\}$  be a non-trivial higher derivation of rank  $m$  of  $A$  into  $B$ . Set  $\mu := \mu(\underline{D})$  and  $d_i := D_{\mu p^i}$ . Then  $d_s(\alpha^{p^k}) = 0$  if  $s < k$  and  $d_s(\alpha^{p^k}) = d_{s-k}(\alpha)^{p^k}$  if  $s \geq k$  ( $\alpha \in A$ ,  $\mu p^s \leq m$ ).

Proof. The proof is easy, hence we omit it.

Q.E.D.

Lemma 1.2. Let  $M = (a_{ij})_{1 \leq i, j \leq r}$  be a non-singular matrix. Then after a suitable change of columns we can bring  $M$  into the one such that every  $M^{(k)}$  ( $1 \leq k \leq r$ ) is a non-singular matrix where

$$M^{(k)} = \begin{pmatrix} a_{kk} & \dots & a_{kr} \\ & \dots & \\ a_{rk} & \dots & a_{rr} \end{pmatrix}.$$

Proof. Let  $\alpha_{ij}$  be the cofactor of  $a_{ij}$ . Then  $\det M = a_{11} \alpha_{11} + a_{12} \alpha_{12} + \dots + a_{1r} \alpha_{1r}$ . Since  $\det M$  does not vanish,  $\alpha_{1j'} \neq 0$  for some  $j'$ . Interchanging the first column with the  $j'$ -th column, we may assume  $\alpha_{11} \neq 0$ , i.e.,  $\det M^{(2)} \neq 0$ . Continuing this process we will arrive at the desired situation. Q.E.D.

Let  $\underline{D} = (\underline{D}^{(1)}, \dots, \underline{D}^{(r)})$  be an  $r$ -tuple of non-trivial higher derivations of rank  $m = (m_1, \dots, m_r)$ . We shall set  $\mu_i := \mu(\underline{D}^{(i)})$  and  $n_i := \min \{n \in \mathbb{N} \mid m_i < \mu_i p^n\}$  where  $\mathbb{N}$  denotes the set of positive integers. Furthermore we shall set  $n(\underline{D}) = n_1 + \dots + n_r$ . Then  $\underline{D}_{\mu_i}^{(i)}$  is a derivation. We denote the Jacobian  $\det(\underline{D}_{\mu_i}^{(i)}(\alpha_k))$  by  $J(\underline{D} : \alpha)$  for  $\alpha = (\alpha_1, \dots, \alpha_r) \in A^r$ . Let  $T = (T_1, \dots, T_r)$  be an  $r$ -tuple of indeterminates  $T_1, \dots, T_r$ . We shall denote  $(T_1^{\mu_1 p^j}, \dots, T_r^{\mu_r p^j})$



by  $T^{p^j \mu}$  where  $\mu = (\mu_1, \dots, \mu_r) \in \mathbb{Z}^r$ .

**Proposition 1.3.** Let  $L < F$  be fields of characteristic  $p > 0$  and let  $D = (D^{(1)}, \dots, D^{(r)})$  be an  $r$ -tuple of higher derivations of rank  $m = (m_1, \dots, m_r)$  of  $L$  into  $F$ . Let  $L'$  be the field of  $D$ -constants. Suppose that there exists an element  $\alpha = (\alpha_1, \dots, \alpha_r)$  in  $L^r$  such that the Jacobian  $J(D : \alpha)$  does not vanish. Then we have  $[L : L'] \geq p^{n(D)}$ . Furthermore if the equality holds, then  $L = L'[\alpha_1, \dots, \alpha_r]$ .

**Proof.** (I) First we shall prove the Proposition in the case  $n := n_1 = \dots = n_r$ . Let  $L_j$  be a subfield of  $L$  defined by  $\{z \in L \mid D(z) = (z, \dots, z) \bmod T^{p^j \mu}\}$  for  $1 \leq j \leq n$ . Then we have  $L_0 \supset L_1 \supset \dots \supset L_n$  where we put  $L_0 := L$  and  $L_n := L'$ . It suffices to show that  $[L_{j-1} : L_j] \geq p^r$  for  $1 \leq j \leq n$ . For simplicity we shall set  $d_j^{(i)} := D_{\mu_i p^j}^{(i)}$ . From the definition of  $L_{j-1}$ , the restriction of  $d_{j-1}^{(i)}$  to  $L_{j-1}$  is a derivation of  $L_{j-1}$  for  $1 \leq i \leq r$ . Let  $\widetilde{L}_{j-1}$  be the intersection of the kernels of these derivations. Then we have  $L_{j-1} \supset \widetilde{L}_{j-1} \supset L_j$ . By Lemma 1.1 we know  $J(D|_{L_{j-1}} : \alpha^{p^{j-1}}) = J(D : \alpha)^{p^{j-1}} \neq 0$  and  $\alpha^{p^{j-1}} \in L_{j-1}^r$ . Hence these derivations are linearly independent over  $F$ . This implies that  $[L_{j-1} : \widetilde{L}_{j-1}] \geq p^r$ ,

hence  $[L_{j-1} : L_j] \geq p^r$ . From our argument we get the following sequence:

$$L_{j-1} \supset L_j^\# := L_j[\alpha_1^{p^{j-1}}, \dots, \alpha_r^{p^{j-1}}] \supset L_j$$

for  $1 \leq j \leq n$ . To prove the latter half, assume that  $[L : L'] = p^{nr}$ . Then we have  $[L_{j-1} : L_j] = p^r$ . Since  $d_{j-1}^{(i)} | L_j^\#$  ( $1 \leq i \leq r$ ) are linearly independent over  $F$ ,  $[L_j^\# : L_j] \geq p^r$ . Therefore we see that  $L_{j-1} = L_j^\#$  for  $1 \leq j \leq n$ , hence  $L = L'[\alpha_1, \dots, \alpha_r]$ .

(II) Next we shall prove the general case. Without loss of generality we may assume that  $n_1 \leq n_2 \leq \dots \leq n_r$ . Moreover by Lemma 1.2 we may assume that  $J(D : \alpha ; k, r) \neq 0$  for  $1 \leq k \leq r$ . This implies that for every  $k$  there exists an integer  $k'$  such that  $d_0^{(k)}(\alpha_{k'}) \neq 0$  and  $k \leq k' \leq r$ . Let  $\bar{n}_1 < \dots < \bar{n}_\rho$  be integers with the property  $\{n_1, \dots, n_r\} = \{\bar{n}_1, \dots, \bar{n}_\rho\}$  and let  $r_\lambda := \#\{i \mid n_i = \bar{n}_\lambda, 1 \leq i \leq r\}$  for  $1 \leq \lambda \leq \rho$ . Then we know

$$r_1 + r_2 + \dots + r_\rho = r,$$

$$r_1 \bar{n}_1 + r_2 \bar{n}_2 + \dots + r_\rho \bar{n}_\rho = n_1 + n_2 + \dots + n_r.$$

For convenience sake we put  $r_0 := 0$ ,  $\bar{n}_0 := 0$  and  $\delta_\lambda := r_0 + \dots + r_\lambda$ .

Let  $K_\lambda$  be the subfield of  $L$  defined by

$$\{z \in L \mid \underline{D}^{(h)}(z) \equiv z \pmod{T_h^{m_h+1}} \quad (1 \leq h \leq \delta_\lambda),$$

$$\underline{D}^{(\chi)}(z) \equiv z \pmod{T_\chi^{w_\chi}} \quad (w_\chi = \mu_\chi p^{\bar{n}_\lambda}, \delta_\lambda < \chi \leq r)\}$$

for  $1 \leq \lambda \leq \rho - 1$  (note that  $n_\chi \geq \bar{n}_{\lambda+1} > \bar{n}_\lambda$ ). Then we have

$$K_0 := L > K_1 > \dots > K_{p-1} > K_p := L'.$$

We shall claim the following inequality for  $1 \leq \lambda \leq p$  :

$$[K_{\lambda-1} : K_\lambda] \geq p^{\varepsilon_\lambda}$$

where  $\varepsilon_\lambda := (r - \delta_{\lambda-1})(\bar{n}_\lambda - \bar{n}_{\lambda-1})$ . Let  $\underline{\Delta}^{(i)}$  be the restriction of  $\underline{D}^{(i)}$  to  $K_{\lambda-1}$ . Then for  $1 \leq \lambda < p$ ,  $\underline{\Delta}^{(i)}$  is a higher derivation of  $K_{\lambda-1}$  into  $F$  of rank  $m_i$  for  $\delta_{\lambda-1} < i \leq \delta_\lambda$  and of rank  $w_i - 1$  for  $\delta_\lambda < i \leq r$  respectively. For  $\lambda = p$ ,  $\underline{\Delta}^{(i)}$  is a higher derivation of  $K_{\lambda-1}$  into  $F$  of rank  $m_i$  for  $\delta_{p-1} < i \leq r$ . The following five assertions are easily verified:

$$(1) \quad K_\lambda = \bigcup_{i=\delta_{\lambda-1}+1}^r \left( \text{the field of } \underline{\Delta}^{(i)}\text{-constants} \right).$$

$$(2) \quad \mu(\underline{\Delta}^{(i)}) = \mu_i p^{\bar{n}_{\lambda-1}} \quad (\delta_{\lambda-1} < i \leq r).$$

$$(3) \quad \text{For } 1 \leq \lambda \leq p,$$

$$\min \{ s \in \mathbb{N} \mid m_u < \mu_u p^{\bar{n}_{\lambda-1}+s} \} = \bar{n}_\lambda - \bar{n}_{\lambda-1} \quad (\delta_{\lambda-1} < u \leq \delta_\lambda).$$

For  $1 \leq \lambda < p$ ,

$$\min \{ s \in \mathbb{N} \mid \mu_v p^{\bar{n}_\lambda} \leq \mu_v p^{\bar{n}_{\lambda-1}+s} \} = \bar{n}_\lambda - \bar{n}_{\lambda-1} \quad (\delta_\lambda < v \leq r)$$

where  $\mathbb{N}$  denotes the set of positive integers.

$$(4) \quad \alpha_i^q \in K_{\lambda-1} \quad \text{where } q := p^{\bar{n}_{\lambda-1}} \quad (\delta_{\lambda-1} < i \leq r).$$

$$(5) \quad J(\underline{\Delta} : \alpha^q ; \delta_{\lambda-1} + 1, r) = J(\underline{D} : \alpha ; \delta_{\lambda-1} + 1, r)^q$$

$\neq 0$  where  $\underline{\Delta} = (\underline{\Delta}^{(1)}, \dots, \underline{\Delta}^{(r)})$ . Therefore we get  $[K_{\lambda-1} : K]$

$\geq p^{\varepsilon_\lambda}$ . Furthermore  $\sum_{\lambda=1}^p \varepsilon_\lambda = n_1 + \dots + n_r = n(D)$ . Hence we have  $[L : L'] \geq p^{n(D)}$ . In order to prove the latter half, it suffices to prove the following:  $K_{\lambda-1} = \widetilde{K}_\lambda$  where  $\widetilde{K}_\lambda := K_\lambda[\alpha_i^q; \delta_{\lambda-1} < i \leq r]$  for  $1 \leq \lambda \leq p$ . Since  $[L : L'] = p^{n(D)}$ , we have  $[K_{\lambda-1} : K_\lambda] = p^{\varepsilon_\lambda}$ . Applying the step (I) to  $\widetilde{K}_\lambda$  and  $\underline{D}^{(i)}|_{\widetilde{K}_\lambda}$  ( $\delta_{\lambda-1} < i \leq r$ ), it is seen that  $[\widetilde{K}_\lambda : K_\lambda] \geq p^{\varepsilon_\lambda}$ . Since  $K_{\lambda-1} \supset \widetilde{K}_\lambda \supset K_\lambda$ , we have  $K_{\lambda-1} = \widetilde{K}_\lambda$ . Q.E.D.

Remark 1.4. The converse of the latter half of the Proposition 1.3 does not hold. Let  $k$  be a field of characteristic  $p > 0$ . Let  $x, y$  be indeterminates over  $k$  and let  $L := k(x, y)$ . Let  $\underline{D}^{(i)}$  ( $i = 1, 2$ ) be higher derivations on  $L$  over  $k$  of rank  $p - 1$  and  $p^2 - 1$  defined respectively by

$$\underline{D}^{(1)}(x) = x(1 + t_1), \quad \underline{D}^{(1)}(y) = y + t_1,$$

$$\underline{D}^{(2)}(x) = x + t_2, \quad \underline{D}^{(2)}(y) = y(1 + t_2).$$

Then  $n_1 = 1, n_2 = 2$  and  $J(D : (x, y)) = xy - 1 \neq 0$ . By

a simple calculation we see that  $L' = k(x^{p^2}, y^{p^2})$ . Therefore

$L = L'[x, y]$ , while  $[L : L'] = p^4 > p^{n_1 + n_2}$ .

(1.5) Let  $A$  be a Krull domain of characteristic  $p > 0$  with the quotient field  $K$ . Let  $D = (\underline{D}^{(1)}, \dots, \underline{D}^{(r)})$  be

an  $r$ -tuple of non-trivial higher derivations of rank  $m = (m_1, \dots, m_r)$  on  $K$  which leave  $A$  invariant. Let  $K'$  be the field of  $D$ -constants and  $A' := A \cap K'$ . Then  $A'$  is also a Krull domain. Since any element of  $K$  is of the form  $a/b$  with  $a \in A, b \in A', K'$  is the quotient field of  $A'$ . For any prime ideal  $\mathfrak{f}$  in  $P(A')$ , there exists only one prime ideal  $\mathfrak{p}$  in  $P(A)$  such that  $\mathfrak{p} \cap A' = \mathfrak{f}$ . From this fact we define the homomorphism  $j : \text{Div}(A') \longrightarrow \text{Div}(A)$  by  $j(\mathfrak{f}) = e(\mathfrak{p})\mathfrak{p}$  where  $e(\mathfrak{p})$  stands for the ramification index of  $\mathfrak{p}$  over  $\mathfrak{f}$ . Since  $A$  is integral over  $A'$ , we can define the canonical homomorphism  $\bar{j} : \text{Cl}(A') \longrightarrow \text{Cl}(A)$  induced by the homomorphism  $j$  (cf. [8]).

Let  $\mathcal{L}_A$  and  $\mathcal{L}'_A$  be sets of elements defined respectively by

$$\mathcal{L}_A := \left\{ D(z)/z \in K[t : m] \mid z \in K^*, D(z)/z \in A[t : m] \right\},$$

$$\mathcal{L}'_A := \left\{ D(u)/u \mid u \in A^* \right\}$$

where  $*$  denotes the set of invertible elements. Since we have

$$(D(z_1)/z_1)(D(z_2)/z_2) = D(z_1 z_2)/z_1 z_2$$

and

$$(D(z)/z)^{-1} = D(z^{-1})/z^{-1} \quad (z \neq 0),$$

$\mathcal{L}_A$  is an abelian group and  $\mathcal{L}'_A$  is its subgroup.

Let  $\mathcal{D}$  be the subgroup of  $\text{Div}(A')$  consisting of divisors  $E$ 's such that  $j(E)$  is principal. Then we get  $\text{Ker}(\bar{j}) = \mathcal{D}/F(A')$ . We shall define the homomorphism  $\Phi_0$  of  $\mathcal{D}$  into  $\mathcal{L}_A/\mathcal{L}'_A$  by the following manner: Let  $E$  be

a divisor of  $\mathcal{D}$  and  $x$  be an element of  $K^*$  satisfying  $j(E) = \text{div}_A(x)$ . Then we set  $\bar{\Phi}_0(E) := \mathcal{D}(x)/x$  modulo  $\mathcal{L}'_A$ . It is easily seen that  $\bar{\Phi}_0$  is well-defined. Moreover if  $x'$  is in  $K'$ ,  $\bar{\Phi}_0(\text{div}_A(x')) = \mathcal{D}(x')/x' = 1$  where  $1 = (1, \dots, 1) \in A^r$ , hence the homomorphism  $\bar{\Phi}$  of  $\text{Ker}(\bar{j})$  into  $\mathcal{L}_A/\mathcal{L}'_A$  induced by the homomorphism  $\bar{\Phi}_0$  is also well-defined. On the other hand, the relation  $\mathcal{D}(x)/x = \mathcal{D}(u)/u$  ( $x \in K^*$ ,  $u \in A^*$ ) implies  $\mathcal{D}(xu^{-1})/xu^{-1} = 1$ , i.e.,  $xu^{-1} \in K'$  and  $E = \text{div}_A(xu^{-1})$ . This implies that  $\bar{\Phi}$  is injective (cf. [8], p.86). Set  $\mu := (\mu_1, \dots, \mu_r)$  and  $n(\mathcal{D}) := n_1 + \dots + n_r$  where  $\mu_i := \mu(\mathcal{D}^{(i)})$  and  $n_i := \min \{ n \in \mathbb{N} \mid m_i < \mu_i p^n \} \ (1 \leq i \leq r)$ .

Theorem 1.6. Let  $A, K, K', \mathcal{D}$  and  $n(\mathcal{D})$  have the same meaning as in 1.5. Assume the following two conditions hold:

$$(1) \ [K : K'] = p^{n(\mathcal{D})}.$$

(2) For each prime ideal  $\mathfrak{p}$  in  $P(A)$ , there exists an element  $\alpha$  in  $A^r$  such that the Jacobian  $J(\mathcal{D} : \alpha)$  is not contained in  $\mathfrak{p}$ .

Then the homomorphism  $\bar{\Phi} : \text{Ker}(\bar{j}) \longrightarrow \mathcal{L}_A/\mathcal{L}'_A$  is an isomorphism.

Proof. Since  $\bar{\Phi}$  is injective, it suffices to prove the following: If  $\mathcal{D}(x)/x$  is in  $\mathcal{L}_A$  ( $x \in K^*$ ), then there exists a divisor  $E$  in  $\mathcal{D}$  such that  $j(E) = \text{div}_A(x)$ . Set

$n := \max \{n_1, \dots, n_r\}$ . Note that for each prime ideal in  $P(A')$  there exists a unique prime ideal in  $P(A)$  which contracts to  $\mathfrak{p}$  because  $A^{p^n} < A'$ . Therefore the surjectivity of  $\Phi$  is seen by showing that if  $D(x)/x$  is in  $\mathcal{L}_A$  ( $x \in K^*$ ), then  $e(\mathfrak{p})$  divides  $v_{\mathfrak{p}}(x)$  for every prime ideal  $\mathfrak{p}$  in  $P(A)$  where  $v_{\mathfrak{p}}(x)$  denotes the normalized valuation of  $K$  associated to the prime ideal  $\mathfrak{p}$ . Hence by localizing, we may assume that  $A$  is a discrete valuation ring with the maximal ideal  $\mathfrak{p}$ . Thus we have only to show the following:

Proposition 1.7. Let  $A$  be a discrete valuation ring with the maximal ideal  $\mathfrak{p}$  and let  $K, K', D$  and  $n(D)$  have the same meaning as in 1.5. Assume that the following two conditions hold:

$$(1) [K : K'] = p^{n(D)}.$$

(2) There exists an element  $\alpha$  in  $A^r$  such that the Jacobian  $J(D : \alpha)$  is not contained in  $\mathfrak{p}$ .

If  $D(x)/x$  is in  $\mathcal{L}_A$  ( $x \in K^*$ ), then  $e$  divides  $v(x)$  where we put  $e := e(\mathfrak{p})$  and  $v$  is the normalized valuation of  $K$  associated to  $A$ .

Proof. Our proof consists of several steps:

(I) First we shall consider the case  $m_i = 1$  (hence  $\mu_i = n_i = 1$ ) for  $1 \leq i \leq r$ . We shall set  $\underline{D}^{(i)} = \{\text{id}, D^{(i)}\}$ .

Then  $D^{(i)},_s$  are derivations. We shall define the higher derivation  $\underline{\Delta}^{(i)} = \{ \text{id}, \underline{\Delta}^{(i)} \}$  of rank 1 on  $K$  in the following way:

$$\underline{\Delta}^{(i)}(z) = J^{-1} \det \begin{pmatrix} D^{(1)}(\alpha_1), \dots, D^{(1)}(\overset{i}{z}), \dots, D^{(1)}(\alpha_r) \\ \dots \dots \dots \\ D^{(r)}(\alpha_1), \dots, D^{(r)}(z), \dots, D^{(r)}(\alpha_r) \end{pmatrix}$$

for  $z \in K$  ( $1 \leq i \leq r$ ) where  $J := J(D : \alpha)$ . Then we have

$\underline{\Delta}^{(i)}(\alpha_k) = \delta_{ik}$  where  $\delta_{ik}$  denotes the Kronecker's delta

( $1 \leq i, k \leq r$ ). Since  $J$  is not in  $\mathfrak{p}$ ,  $J$  is a unit of

$A$ , hence  $\underline{\Delta}^{(i)}(A) \subset A$  for  $1 \leq i \leq r$ . Set  $\underline{\Delta} := (\underline{\Delta}^{(1)},$

$\dots, \underline{\Delta}^{(r)})$ . Since  $\underline{\Delta}^{(i)}$  is an  $A$ -linear combination of

$D^{(k)},_s$  and  $D^{(k)}$  is also an  $A$ -linear combination of  $\underline{\Delta}^{(k)},_s$ ,

we have the following three assertions:

(1)  $K'$  is the field of  $\underline{\Delta}$ -constants.

(2)  $J(\underline{\Delta} : \alpha) = 1$ .

(3)  $\underline{\Delta}(x)/x \in \mathcal{L}_A$ .

Hence it suffices to prove the Proposition with respect to

$\underline{\Delta}$  instead of  $D$ . We shall prove that  $e$  divides  $v(x)$

by induction on  $r$ . As is well known  $e$  takes no other

value than some power of  $p$ . Hence in the case  $r = 1$ , it

suffices to prove the following: If  $p$  does not divide  $v(x)$ ,

then  $e = 1$ .

Let  $\pi$  be a uniformisant of the discrete valuation ring

$A$ . Then we can write  $x = u \pi^{v(x)}$  for some  $u \in A^*$ . Since



$$\Delta^{(1)}(u)/u + v(x)\Delta^{(1)}(\pi)/\pi = \Delta^{(1)}(x)/x \in A$$

and since  $p$  does not divide  $v(x)$ , we have  $\Delta^{(1)}(\pi)/\pi \in A$ .

This implies that we can define the derivation  $\tilde{\Delta}^{(1)}$  of

$A/\wp$  induced by  $\Delta^{(1)}$ . Set  $\mathcal{K} := A/\wp$  and  $\mathcal{K}' := A'/\wp$  where  $\wp := \wp \cap A'$ . Since  $\Delta^{(1)}(\alpha_1) = 1$  implies  $\tilde{\Delta}^{(1)} \neq 0$ , we have  $[\mathcal{K} : \mathcal{K}'] > 1$ . Therefore from the inequality  $e[\mathcal{K} : \mathcal{K}'] \leq [K : K'] = p$ , it follows that  $e = 1$ .

Suppose  $r > 1$  and the assertion holds for  $r - 1$ .

Set  $\bar{K} :=$  the field of  $\Delta^{(1)}$ -constants and  $\bar{A} := A \cap \bar{K}$ . Since

$$[K : K'] = p^r \text{ and } J(\Delta|_{\bar{K}} : \alpha; 2, r) = 1, \text{ Proposition 1.3}$$

implies that  $[K : \bar{K}] = p$  and  $[\bar{K} : K'] = p^{r-1}$ . Furthermore

we have  $K = \bar{K}[\alpha_1]$  and  $\bar{K} = K'[\alpha_2, \dots, \alpha_r]$ . Then the

restriction of  $\Delta^{(i)}$  to  $\bar{K}$  is a derivation on  $\bar{K}$  such

that  $\Delta^{(i)}(\bar{A}) \subset \bar{A}$  for  $2 \leq i \leq r$ . Let  $e_1$  be the ramification

index of  $\wp$  over  $\wp \cap \bar{A}$ . Since  $[K : \bar{K}] = p$  and  $\Delta^{(1)}(\alpha_1) = 1$ ,  $e_1$  divides  $v(x)$  from the argument in the case  $r = 1$ .

Therefore we can write  $x = uy$  for some  $u$  in  $A^*$  and  $y$  in  $\bar{K}^*$ . It follows from  $\Delta(x)/x = (\Delta(u)/u)(\Delta(y)/y)$  that

$$\Delta(y)/y \in (A \cap \bar{K})[t : m] = \bar{A}[t : m]. \text{ Furthermore } J(\Delta|_{\bar{K}} :$$

$$\alpha; 2, r) = 1 \in \bar{A}^* \text{ and } \alpha_2, \dots, \alpha_r \in \bar{A}. \text{ Let } e_2 \text{ be the}$$

ramification index of  $\bar{\wp} := \wp \cap A$  over  $\wp' := \wp \cap A'$  and

$\bar{v}$  be the normalized valuation of  $K$  associated to the prime

ideal  $\bar{\wp}$ . Apply the induction assumption to  $\Delta|_{\bar{K}}$ , then we

see that  $e_2$  divides  $\bar{v}(y)$ . On the other hand  $v(x) = v(y)$

$= e_1 \bar{v}(y)$  and  $e = e_1 e_2$ . Hence  $e$  divides  $v(x)$ .

(II) Suppose that  $n := n_1 = \dots = n_r$ . We shall prove the Proposition by induction on  $n$ . For the case  $n = 1$ , let  $\tilde{K} = \{ z \in K \mid D(z) \equiv (z, \dots, z) \pmod{T^{p+1}} \}$ . Then  $K > \tilde{K} > K'$  and Proposition 1.3 implies that  $[K : \tilde{K}] \geq p^r$ . Since  $[K : K'] = p^r$ , we get  $\tilde{K} = K'$  and  $e$  divides  $v(x)$  by the previous argument. Suppose that  $n > 1$  and the Proposition is proved for  $n - 1$ . Let  $L_1 = \{ z \in K \mid D(z) \equiv (z, \dots, z) \pmod{T^{p\mu}} \}$  and  $A'_1 := A \cap L_1$ . It is easily seen that

$$(1) \quad \mu(D^{(i)}|_{L_1}) = \mu_1 p.$$

$$(2) \quad \min \{ s \in \mathbb{N} \mid m_i < \mu_1 p^{1+s} \} = n_i - 1 = n - 1 \quad (1 \leq i \leq r).$$

$$(3) \quad J(D|_{L_1} : \alpha^p) = J(D : \alpha)^p \notin \mathfrak{P}_1 := \mathfrak{P} \cap A'_1.$$

$$(4) \quad \alpha^p \in A_1^r.$$

Hence Proposition 1.3 implies that  $[K : L_1] = p^r$  and  $[L_1 : K'] = p^{(n-1)r}$  because  $[K : K'] = p^{nr}$ . We shall prove that the restriction of  $D$  to  $L_1$  is an  $r$ -tuple of non-trivial higher derivations of rank  $m$  on  $L_1$  which leave  $A'_1$  invariant. We know  $L_1 = K'[\alpha_1^p, \dots, \alpha_r^p]$  by Proposition 1.3. For any element  $z$  in  $L_1$ ,  $z$  is of the form

$$z = \sum_{i_1, \dots, i_r \in \mathbb{Z}_+} c_{i_1 \dots i_r} (\alpha_1^p)^{i_1} \dots (\alpha_r^p)^{i_r} \quad (c_{i_1 \dots i_r} \in K')$$

where  $\mathbb{Z}_+$  denotes the set of non-negative integers. Therefore we get

$$D(z) = \sum c_{i_1 \dots i_r} D(\alpha_1^p)^{i_1} \dots D(\alpha_r^p)^{i_r}.$$

From Lemma 1.1 and the definition of  $L_1$ , it follows that

$D(\alpha_k^p) \in L_1[t : m]$ . This implies that  $D(L_1) \subset L_1[t : m]$ .

Since  $A'_1 = A \cap L_1$ ,  $D|_{L_1}$  becomes an  $r$ -tuple of non-trivial higher derivations of rank  $m$  on  $L_1$  with the desired property.

Let  $e_1$  be the ramification index of  $\wp$  over  $\wp_1$ . Let

$\widetilde{K}$  be a subfield of  $K$  defined by  $\{ z \in K \mid D(z) \equiv (z, \dots, z) \pmod{T^{u+1}} \}$  where  $\mathbf{1} = (1, \dots, 1)$ . Then we have  $K \supset \widetilde{K} \supset L_1$

and Proposition 1.3 implies  $[K : \widetilde{K}] \geq p^r$ . Since  $[K : L_1] = p^r$ ,

we get  $\widetilde{K} = L_1$  and  $e_1$  divides  $v(x)$  by the argument in (I).

Hence we can write  $x = uy$  for some  $u$  in  $A^*$  and  $y$  in  $L_1^*$ .

Therefore  $D(y)/y \in A_1[t : m]$ . Let  $e_2$  be the ramification index of  $\wp_1$  over  $\wp \cap A'$  and  $v'$  be the normalized valuation of  $L_1$  associated to the prime ideal  $\wp_1$ . By induction hypothesis, we know that  $e_2$  divides  $v'(y)$  and therefore  $e$  divides  $v(x)$ .

(III) We shall prove the general case. Without loss of generality we may assume the following:

$$(1) \quad n_1 \leq n_2 \leq \dots \leq n_r.$$

$$(2) \quad J(D : \alpha ; k, r) \notin \wp \quad \text{for } 1 \leq k \leq r.$$

Let  $\bar{n}_1, \dots, \bar{n}_r$  and  $K_\lambda$  have the same meaning as in the step (II) of the proof of Proposition 1.3. We shall use the induction

on  $\mathfrak{p}$ . The case  $\mathfrak{p} = 1$  is treated in (II). Suppose that  $\mathfrak{p} > 1$  and the Proposition is proved for  $\mathfrak{p} - 1$ . Proposition 1.3 and its proof shows  $[K_{\lambda-1} : K_{\lambda}] \geq p^{\varepsilon_{\lambda}}$ . Since  $[K : K'] = p^{n(\mathbb{D})}$ , we have  $[K : K_1] = p^{r\bar{n}_1}$  and  $[K_1 : K'] = p^{n(\mathbb{D}) - r\bar{n}_1}$ . Let  $A_1 := A \cap K_1$  and  $e_1$  be the ramification index of  $\mathfrak{p}$  over  $\mathfrak{p}_1 := \mathfrak{p} \cap A_1$ . Then the step (II) implies that  $e_1$  divides  $v(x)$ . Hence we can write  $x = uy$  for some  $u$  in  $A^*$  and  $y$  in  $K_1^*$ . Then  $\mathbb{D}(y)/y \in A_1[t : m]$ . For  $r_1 < i \leq r$ , we have the followings:

$$(1) \quad \mu(\mathbb{D}^{(i)}|_{K_1}) = \mu_i p^{\bar{n}_1}.$$

$$(2) \quad \min \{ s \in \mathbb{N} \mid m_1 < \mu_i p^{\bar{n}_1 + s} \} = n_i - \bar{n}_1.$$

$$(3) \quad J(\mathbb{D}|_{K_1} : \alpha^q ; r_1 + 1, r) = J(\mathbb{D} : \alpha ; r_1 + 1, r)^q$$

$$\in A_1^* \quad \text{where} \quad q := p^{\bar{n}_1}.$$

$$(4) \quad \# \{ n_i - \bar{n}_1 \mid r_1 < i \leq r \} < \mathfrak{p}.$$

Let  $e_2$  be the ramification index of  $\mathfrak{p}_1$  over  $\mathfrak{p} \cap A'$  and  $v'$  be the normalized valuation of  $K_1$  associated to the prime ideal  $\mathfrak{p}_1$ . Then induction hypothesis implies that  $e_2$  divides  $v'(y)$ , hence  $e$  divides  $v(x)$ . Q.E.D.

## § 2. Cokernel of $\bar{\Phi}$

We shall retain the same notations and assumptions used in § 1, (1.5).

**Proposition 2.1.** Let  $S$  be a multiplicatively closed subset of  $A'$  consisting of prime elements in  $A$ . Let  $H$  be the subgroup of  $\text{Div}(A')$  generated by  $\mathfrak{p} \in P(A')$  such that  $\mathfrak{p} \cap S \neq \emptyset$ , and  $L$  be the subgroup of  $\mathcal{L}_A$  generated by the set  $\{D(a)/a \in \mathcal{L}_A \mid a \in A \cap A_S^*\}$ . Let  $L \vee \mathcal{L}_A'$  denote the subgroup of  $\mathcal{L}_A$  generated by  $L$  and  $\mathcal{L}_A'$ . Let  $f$  be the restriction of  $\bar{\Phi}$  to  $(H + F(A')/F(A')) \cap \text{Ker}(\bar{J})$ . Let the homomorphisms  $\bar{J}_S : \text{Cl}(A'_S) \longrightarrow \text{Cl}(A_S)$ ,  $\bar{\Phi}_S : \text{Ker}(\bar{J}_S) \longrightarrow \mathcal{L}_{A_S}/\mathcal{L}'_{A_S}$  be defined in a similar way as  $\bar{J}$  and  $\bar{\Phi}$  respectively. Then we have the following commutative diagram of exact rows and columns:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & \text{Coker}(f) & \longrightarrow & \text{Coker}(\bar{\Phi}) & \longrightarrow & \text{Coker}(\bar{\Phi}_S) \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & L \vee \mathcal{L}'_A / \mathcal{L}'_A & \longrightarrow & \mathcal{L}_A / \mathcal{L}'_A & \longrightarrow & \mathcal{L}_{A_S} / \mathcal{L}'_{A_S} \\
 & & \uparrow f & & \uparrow \bar{\Phi} & & \uparrow \bar{\Phi}_S \\
 0 & \longrightarrow & (H + F(A')/F(A')) \cap \text{Ker}(\bar{J}) & \longrightarrow & \text{Ker}(\bar{J}) & \longrightarrow & \text{Ker}(\bar{J}_S) \longrightarrow 0 \\
 & & \uparrow 0 & & \uparrow 0 & & \uparrow 0
 \end{array}$$

where  $\mathcal{L}_A / \mathcal{L}'_A \longrightarrow \mathcal{L}_{A_S} / \mathcal{L}'_{A_S}$  is the homomorphism induced by

the inclusion  $\mathcal{L}_A \longrightarrow \mathcal{L}_{A_S}$  and  $\text{Ker}(\bar{f}) \longrightarrow \text{Ker}(\bar{f}_S)$  is the natural homomorphism  $\text{Cl}(A') \longrightarrow \text{Cl}(A'_S)$ .

Proof. The homomorphism  $\text{Ker}(\bar{f}) \longrightarrow \text{Ker}(\bar{f}_S)$  is well-defined since we have a commutative diagram:

$$\begin{array}{ccc} \text{Cl}(A) & \longrightarrow & \text{Cl}(A_S) \\ \bar{f} \uparrow & & \bar{f}_S \uparrow \\ \text{Cl}(A') & \longrightarrow & \text{Cl}(A'_S) \end{array}$$

The middle sequence forms evidently a complex. For any element  $D(x)/x \in \mathcal{L}_A \cap \mathcal{L}'_{A_S}$  ( $x \in K^*$ ), we can write

$$D(x)/x = D(a/s)/(a/s) = D(a)/a$$

for some  $a/s \in A_S^*$  ( $a \in A$ ,  $s \in S$ ). Since  $a/s$  is a unit of  $A_S$ ,  $a$  is in  $A_S^*$ . Hence  $D(a)/a$  is in  $L \vee \mathcal{L}'_A$  and the middle row is exact. The exactness of the third row is seen as follows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & H + F(A')/F(A') & \longrightarrow & \text{Cl}(A') & \longrightarrow & \text{Cl}(A'_S) \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & G & \longrightarrow & \text{Ker}(\bar{f}) & \longrightarrow & \text{Ker}(\bar{f}_S) \\ & & \uparrow & & \uparrow & & \uparrow \\ & & 0 & & 0 & & 0 \end{array}$$

is commutative where  $G = (H + F(A')/F(A')) \cap \text{Ker}(\bar{f})$ . Since  $S$  is generated by prime elements of  $A$ , we have  $\text{Cl}(A) \cong \text{Cl}(A_S)$  ([4], Cor. 7.3, [7]). Therefore  $\text{Ker}(\bar{f}) \longrightarrow \text{Ker}(\bar{f}_S)$  is surjective. Furthermore  $\text{Im}(f) \subset L \vee \mathcal{L}'_A/\mathcal{L}'_A$ . The rest is

immediate from the Snake lemma ([2], Chap. 1, §1. Prop. 2).

Q.E.D.

proposition 2.2. Let  $\underline{D} = \{ D_j \mid 0 \leq j \leq m \}$  be a higher derivation of rank  $m$  on  $A$  and let  $\wp$  be a principal prime ideal in  $P(A)$ , say,  $\wp = cA$ . Let

$$s_0 := \min \{ s \in \mathbb{N} \mid (\underline{D}(c)/c)^s \in A[t : m] \}$$

and

$$r_0 := \min \{ \gamma \in \mathbb{N} \mid D_\gamma(c) \notin \wp \}$$

(if  $D_\gamma(c) \in \wp$  for all  $1 \leq \gamma \leq m$ , we put  $r_0 := m + 1$ ).

Then the following three assertions hold:

(1)  $s_0$  is a power of  $p$ .

(2) Write  $s_0 = p^{\alpha_0}$ , then  $\alpha_0 = \min \{ \alpha \in \mathbb{Z}_+ \mid r_0 p^\alpha \geq m + 1 \}$

where  $\mathbb{Z}_+$  denotes the set of non-negative integers.

(3)  $(\underline{D}(c)/c)^h \in A[t : m]$  if and only if  $s_0$  divides  $h$ .

Proof. (1) Write  $s_0 = s' p^\alpha$ ,  $p \nmid s'$ . Then it suffices to prove that  $s' = 1$ . In the relation

$$(\underline{D}(c)/c)^{s_0} = (1 + \dots + (D_{r_0}(c)/c)^{p^\alpha} t^{r_0 p^\alpha} + \dots) s',$$

the coefficient of  $t^{r_0 p^\alpha}$  is of the form  $s' (D_{r_0}(c)/c)^{p^\alpha} + a$

( $a \in A$ ). If  $r_0 p^\alpha > m$ , then  $(\underline{D}(c)/c)^{p^\alpha} \in A[t : m]$ , i.e.,

$s' = 1$  because of the minimality of  $s_0$ . Hence if  $s' > 1$ ,

we must have  $r_0 p^\alpha \leq m$ . Then the coefficient of  $t^{r_0 p^\alpha}$  is

in  $A$  and  $D_{r_0}(c)^{p^\alpha}$  is in  $c^{p^\alpha}A$ . This implies that  $D_{r_0}(c)$  is in  $cA = \mathfrak{p}$ , which contradicts to the definition of  $r_0$  (note that  $r_0 \leq m$ ).

(2) Set  $\alpha' := \min \{ \alpha \in \mathbb{Z}_+ \mid r_0 p^\alpha \geq m+1 \}$ . Then we have  $(\underline{D}(c)/c)^{p^{\alpha'}} \in A[t : m]$ , hence by the minimality of  $s_0$  we have  $s_0 \leq p^{\alpha'}$ . On the other hand  $r_0 p^{\alpha_0} \geq m+1$  because otherwise  $(\underline{D}(c)/c)^{p^{\alpha_0}} \notin A[t : m]$ . Hence  $\alpha_0 \geq \alpha'$ . Combining these,  $\alpha_0 = \alpha'$ .

(3) It suffices to prove the "only if" part. Write  $h = s_0 q + h'$ ,  $0 \leq h' < s_0$ . Suppose that  $(\underline{D}(c)/c)^h \in A[t : m]$ . Since  $(\underline{D}(c)/c)^{s_0} \in A[t : m]$  and  $(\underline{D}(c)/c)^{s_0}$  is a unit of  $A[t : m]$ , we see that  $(\underline{D}(c)/c)^{-s_0 q} \in A[t : m]$ . Hence  $(\underline{D}(c)/c)^{h'} \in A[t : m]$  and  $h' = 0$  by the minimality of  $s_0$ . Q.E.D.

Corollary 2.3. In the above notations,  $s_0$  divides  $e$  where  $e := e(\mathfrak{p})$ .

Proof. Notice that  $e$  is a power of  $p$  because  $\mathfrak{p}^{p^n} < \mathfrak{p} \cap A'$  for some  $n$ . Hence it remains only to prove that  $(\underline{D}(c)/c)^e \in A[t : m]$ . For every prime ideal  $\mathfrak{q}$  in  $P(A)$ , we can write  $c^e = ux$  for some  $u \in A_{\mathfrak{q}}^*$  and  $x \in K'$ . Then we know that  $(\underline{D}(c)/c)^e = \underline{D}(u)/u \in A_{\mathfrak{q}}[t : m]$ . Since  $A = \bigcap_{\mathfrak{q}} A_{\mathfrak{q}}$ ,



we have  $(D(c)/c)^e \in A[t : m]$ .

Q.E.D.

Lemma 2.4. Let  $A$  be a Krull domain and let  $a_1, \dots, a_\nu$  ( $\nu \geq 2$ ) be elements of  $A$  such that  $\text{Supp}(\text{div}_A(a_k)) \cap \text{Supp}(\text{div}_A(a_\lambda)) = \emptyset$  for  $1 \leq k, \lambda \leq \nu$ ,  $k \neq \lambda$ . Let  $f_k(X)$  ( $1 \leq k \leq \nu$ ) be polynomials in one variable  $X$  over the quotient field of  $A$  defined by

$$f_k(X) = 1 + (\alpha_1^{(k)}X + \dots + \alpha_m^{(k)}X^m)/a_k$$

with  $\alpha_1^{(k)}, \dots, \alpha_m^{(k)} \in A$ . If the product  $f_1(t) \dots f_\nu(t)$  is in  $A[t : m]$ , then all  $f_k(t)$ 's are in  $A[t : m]$  ( $1 \leq k \leq \nu$ ).

Proof. We shall use the induction on  $\nu$ . Let  $\gamma_k$  be the smallest integer among those  $j$  such that  $\alpha_j^{(k)}/a_k \notin A$  (if  $\alpha_j^{(k)}/a_k \in A$  for all  $1 \leq j \leq m$ , we put  $\gamma_k = m + 1$ ). In the case  $\nu = 2$ , we may assume that  $\gamma_1 \leq \gamma_2$ . If  $\gamma_1 = m + 1$ , then  $\gamma_2 = m + 1$  and  $f_1(t), f_2(t)$  are already in  $A[t : m]$ , hence the Lemma is proved. Suppose that  $\gamma_1 \leq m$ . The coefficient of  $t^{\gamma_1}$  of  $f_1(t)f_2(t)$  is

$$(\alpha_{\gamma_1}^{(1)}/a_1) + (\alpha_{\gamma_1-1}^{(1)}/a_1)(\alpha_1^{(2)}/a_2) + \dots + (\alpha_{\gamma_1}^{(2)}/a_2).$$

Hence  $(\alpha_{\gamma_1}^{(1)}/a_1) + (\alpha_{\gamma_1}^{(2)}/a_2)$  is in  $A$ . This means that

$a_2 \alpha_{\gamma_1}^{(1)} + a_1 \alpha_{\gamma_1}^{(2)}$  is in  $a_1 a_2 A$ , hence  $a_2 \alpha_{\gamma_1}^{(1)}$  is in  $a_1 A$ .

Since  $\text{Supp}(\text{div}_A(a_1)) \cap \text{Supp}(\text{div}_A(a_2)) = \emptyset$ ,  $\alpha_{\gamma_1}^{(1)}$  is in  $a_1 A$ . This is absurd. Suppose that  $\nu > 2$  and the assertion holds for  $\nu - 1$ . Notice that  $\text{Supp}(\text{div}_A(a_1)) \cap \text{Supp}(\text{div}_A(a_2 \dots a_\nu)) = \emptyset$ . By our argument in the case  $\nu = 2$ ,  $f_1(t)$  is in  $A[t : m]$  and  $f_2(t) \dots f_\nu(t)$  is in  $A[t : m]$ . From the induction hypothesis, it follows that  $f_2(t), \dots, f_\nu(t)$  is in  $A[t : m]$ . Q.E.D.

Proposition 2.5. Let  $\underline{D}$  be a higher derivation of rank  $m$  on  $A$  and let  $a = u c_1^{j_1} \dots c_\nu^{j_\nu}$  ( $u \in A^*$ ,  $j_1, \dots, j_\nu \in \mathbb{Z}$  and  $c_1, \dots, c_\nu$  are distinct prime elements of  $A$ ). Let

$$s_k := \min \{ s \in \mathbb{N} \mid (\underline{D}(c_k)/c_k)^s \in A[t : m] \}.$$

Then  $\underline{D}(a)/a \in A[t : m]$  if and only if  $s_k$  divides  $j_k$  for  $1 \leq k \leq \nu$ .

Proof. The "if" part of the Proposition is obvious. We shall prove the "only if" part. Assume that  $\underline{D}(a)/a$  is in  $A[t : m]$ . Then we have  $(\underline{D}(c_1)/c_1)^{j_1} \dots (\underline{D}(c_\nu)/c_\nu)^{j_\nu}$  is in  $A[t : m]$ . Since  $c_1, \dots, c_\nu$  are distinct prime elements of  $A$ , the assumptions of Lemma 2.4 are satisfied. Hence by Lemma 2.4,  $(\underline{D}(c_k)/c_k)^{j_k}$  is in  $A[t : m]$  for  $1 \leq k \leq \nu$ . Therefore Proposition 2.2, (3) implies that  $s_k$  divides  $j_k$ .

for  $1 \leq k \leq \nu$ .

Q.E.D.

Let  $\underline{D} = (\underline{D}^{(1)}, \dots, \underline{D}^{(r)})$  be an  $r$ -tuple of non-trivial higher derivations of rank  $m = (m_1, \dots, m_r)$  on  $A$ . Let  $c$  be a prime element of  $A$ . Set

$$s^{(i)} := \min \{ s \in \mathbb{N} \mid (\underline{D}^{(i)}(c)/c)^s \in A[t_i: m_i] \} \quad (1 \leq i \leq r)$$

and

$$s_0 := \max \{ s^{(i)} \mid 1 \leq i \leq r \}.$$

Then  $s_0$  is a power of  $p$  by Proposition 2.2, (1) and  $s_0$  divides the ramification index of  $cA$  over  $cA \cap A'$  by Corollary 2.3.

$$\text{Let } J(\underline{D} : A) := \{ J(\underline{D} : \alpha) \mid \alpha = (\alpha_1, \dots, \alpha_r) \in A^r \}.$$

If  $J(\underline{D} : A) \neq \{0\}$ ,  $\{ \wp \in P(A) \mid J(\underline{D} : A) < \wp \}$  is a finite set because  $A$  is a Krull domain.

Theorem 2.6. Let  $A, A', K, K', \underline{D}$  and  $n(\underline{D})$  be as before. Assume that  $J(\underline{D} : A) \neq \{0\}$  and let  $\wp_1, \dots, \wp_\nu$  be all of  $\wp$ 's in  $P(A)$  such that  $J(\underline{D} : A) < \wp$ . Furthermore assume that  $[K : K'] = p^{n(\underline{D})}$  and  $\wp_k$ 's ( $1 \leq k \leq \nu$ ) are principal. Set  $\wp_k = c_k A$ ,

$$s_k^{(i)} := \min \{ s \in \mathbb{N} \mid (\underline{D}^{(i)}(c_k)/c_k)^s \in A[t_i: m_i] \} \quad (1 \leq i \leq r)$$

and,

$$s_k := \max \{ s_k^{(i)} \mid 1 \leq i \leq r \}.$$

Let  $e_k$  be the ramification index of  $\wp_k$  over  $\wp_k \cap A'$  for  $1 \leq k \leq \nu$ . Then we get the following exact sequence:

$$0 \longrightarrow \text{Ker}(\mathbb{J}) \xrightarrow{\Phi} \mathcal{L}_A / \mathcal{L}'_A \longrightarrow \prod_{k=1}^{\nu} \mathbb{Z} / (e_k / s_k) \mathbb{Z} \longrightarrow 0.$$

Proof. Let  $n := \max \{n_1, \dots, n_r\}$  and  $S$  be the multiplicatively closed subset of  $A'$  generated by  $c_1^{p^n}, \dots, c_{\nu}^{p^n}$ . Then we get an isomorphism  $\bar{\Phi}_S : \text{Ker}(\mathbb{J}_S) \longrightarrow \mathcal{L}_{A_S} / \mathcal{L}'_{A_S}$  from Theorem 1.6. Therefore Proposition 2.1 implies that  $\text{Coker}(f) \cong \text{Coker}(\bar{\Phi})$ . Hence it suffices to prove

$$\text{Coker}(f) \cong \prod_{k=1}^{\nu} \mathbb{Z} / (e_k / s_k) \mathbb{Z}. \text{ Set } \wp_k := \wp_k \cap A' \quad (1 \leq k \leq \nu).$$

Then  $\wp_1, \dots, \wp_{\nu}$  are all prime ideals in  $P(A')$  with  $\wp_k \cap S \neq \emptyset$ . For each  $k$  ( $1 \leq k \leq \nu$ ), we have  $\mathbb{J}(\wp_k) = e_k \wp_k = \text{div}_A(c_k^{e_k})$  by the definition. Hence  $f(\text{cl}(\wp_k)) = (D(c_k)/c_k)^{e_k}$  and

$$\text{Im}(f) = \langle (D(c_k)/c_k)^{e_k} \mid 1 \leq k \leq \nu \rangle \vee \mathcal{L}'_A / \mathcal{L}_A.$$

Next we shall prove the following:

$$L \vee \mathcal{L}'_A / \mathcal{L}_A = \langle (D(c_k)/c_k)^{s_k} \mid 1 \leq k \leq \nu \rangle \vee \mathcal{L}'_A / \mathcal{L}_A.$$

Suppose that  $D(a)/a \in L$  ( $a \in A \cap A_S^*$ ), then it is seen that

$$\text{Supp}(\text{div}_A(a)) \subset \{\wp_1, \dots, \wp_{\nu}\}.$$

Hence we can write  $a = u c_1^{j_1} \dots c_{\nu}^{j_{\nu}}$  for some  $u \in A^*$  and

$j_1, \dots, j_\nu \in \mathbb{Z}$ . Notice that  $\underline{D}^{(i)}(a)/a \in A[t_i: m_i]$  for  $1 \leq i \leq r$ . Then Proposition 2.5 implies that  $s_k^{(i)}$  divides  $j_k$  for  $1 \leq i \leq r$  and  $1 \leq k \leq \nu$ . Therefore  $s_k$  divides  $j_k$  for  $1 \leq k \leq \nu$ . Conversely, it is easily seen that  $(D(c_k)/c_k)^{s_k}$  is in  $L$  ( $1 \leq k \leq \nu$ ). So we have the required result. Consequently we know

$$\text{Coker}(f) \cong \frac{\langle (D(c_k)/c_k)^{s_k} \mid 1 \leq k \leq \nu \rangle \vee \mathcal{L}'_A}{\langle (D(c_k)/c_k)^{e_k} \mid 1 \leq k \leq \nu \rangle \vee \mathcal{L}'_A}.$$

We shall define the homomorphism  $\theta$  by the following manner:

$$\theta : \prod_{k=1}^{\nu} \mathbb{Z}/(e_k/s_k)\mathbb{Z} \longrightarrow \text{Coker}(f),$$

$$\begin{aligned} & \theta(\text{the residue class of } (j_1, \dots, j_\nu)) \\ &= \text{the residue class of } \prod_{k=1}^{\nu} (D(c_k)/c_k)^{s_k j_k}. \end{aligned}$$

Then it is easily seen that  $\theta$  is well-defined and surjective.

We shall show that  $\theta$  is injective. Suppose that

$$\theta(\text{the residue class of } (j_1, \dots, j_\nu)) = \mathbb{1}.$$

Then there exist elements  $i_1, \dots, i_\nu \in \mathbb{Z}$  and  $\alpha \in A^*$  such that

$$(D(\alpha)/\alpha) \prod_{k=1}^{\nu} (D(c_k)/c_k)^{s_k j_k} = \prod_{k=1}^{\nu} (D(c_k)/c_k)^{e_k i_k}.$$

Put  $x := \prod_{k=1}^{\nu} \alpha c_k^{d_k}$  where  $d_k := s_k j_k - e_k i_k$ . Then  $D(x)/x$

$= 1$  and  $x \in K'$ . Let  $v_k$  be the normalized valuation of  $K$  associated to the prime ideal  $\mathfrak{p}_k$  and  $A'_k$  be the localization of  $A'$  with respect to  $\mathfrak{p}_k$ . Let  $u_k$  be a uniformisant of  $A'_k$  for  $1 \leq k \leq \nu$ . Since  $x$  is in  $K'$ , there exist elements  $\alpha_k \in A_k^{!*}$  and  $f_k \in \mathbb{Z}$  such that  $x = \alpha_k u_k^{f_k}$  for  $1 \leq k \leq \nu$ . Then we have  $d_k = v_k(x) = v_k(\alpha_k u_k^{f_k}) = v_k(u_k^{f_k}) = f_k e_k$ . Hence  $e_k$  divides  $s_k j_k$ , i.e.,  $e_k/s_k$  divides  $j_k$  for  $1 \leq k \leq \nu$ . This implies that  $\theta$  is injective. Q.E.D.

Let  $\mathfrak{p} = cA$  be a principal prime ideal in  $P(A)$  and let  $s^{(i)}(\mathfrak{p}) := \min \{ s \in \mathbb{N} \mid (\underline{D}^{(i)}(c)/c)^s \in A[t_i: m_i] \}$  ( $1 \leq i \leq r$ ), and  $s(\mathfrak{p}) := \max \{ s^{(i)}(\mathfrak{p}) \mid 1 \leq i \leq r \}$ .

**Theorem 2.7.** Assume that  $A$  is a unique factorization domain and let  $\underline{D} = (\underline{D}^{(1)}, \dots, \underline{D}^{(r)})$  be an  $r$ -tuple of non-trivial higher derivations on  $A$  satisfying the conditions  $J(\underline{D} : A) \neq \{0\}$  and  $[K : K'] = p^{n(\underline{D})}$ . Then the followings are equivalent to each other:

- (i)  $\Phi : \text{Ker}(\underline{J}) \longrightarrow \mathcal{L}_A / \mathcal{L}'_A$  is an isomorphism.
- (ii) For each prime ideal  $\mathfrak{p}$  in  $P(A)$ , either  $J(\underline{D} : A) \not\subseteq \mathfrak{p}$  or  $e(\mathfrak{p}) = s(\mathfrak{p})$  occurs where  $e(\mathfrak{p})$  stands for the ramification index of  $\mathfrak{p}$  over  $\mathfrak{p} \cap A'$ .

**Proof.** Immediate from Theorem 2.6.

Q.E.D.

### § 3. Calculus of divisor class groups

In this section we shall determine divisor class groups of certain rings as applications of the preceding results. As before  $k$  will be a field of characteristic  $p > 0$  unless otherwise specified.

**Proposition 3.1.** Let  $A = k[x, y]$  be a two-dimensional polynomial ring over  $k$  with the quotient field  $K$ . Let  $\alpha, \beta$  be integers such that  $0 < \alpha, \beta < p^n$ . Let  $\underline{D}$  be the higher derivation of rank  $p^n - 1$  on  $K$  over  $k$  defined by

$$\underline{D}(x) = x(1 + t)^\alpha, \quad \underline{D}(y) = y(1 + t)^\beta$$

and let  $K'$  be the field of  $\underline{D}$ -constants. Let  $p^\gamma$  be the maximal  $p$ -th power which divides  $\text{GCD}(\alpha, \beta)$ . Set  $\alpha = \alpha' p^\gamma$ , and  $\beta = \beta' p^\gamma$ . Then we have the following assertions:

$$(1) \quad [K : K'] = p^{n-\gamma}.$$

$$(2) \quad \mathcal{L}_A / \mathcal{L}'_A = \mathbb{Z}/p^{n-\gamma}\mathbb{Z}.$$

$$(3) \quad \text{Assume that } p \text{ does not divide either } \alpha \text{ or } \beta.$$

Then  $\text{Cl}(A') \cong \mathbb{Z}/p^n\mathbb{Z}$  where  $A' := A \cap K'$ , and  $A'$  is the normalization of  $k[x^{p^n}, y^{p^n}, x^{p^n-\beta'}y^{\alpha'}]$ .

**Proof.** (I) We may assume that  $p$  does not divide  $\alpha'$ .

Set  $F_s := k(x^{p^s}, y^{p^s}, x^{-\beta'}y^{\alpha'})$  for  $0 \leq s \leq n$ . Then we have

$$K = F_0 \supset F_1 \supset \dots \supset F_{n-1} \supset F_n.$$

Hence  $\text{GCD}(\alpha', p^s) = 1$  implies that  $F_{s-1} = F_s(x^{p^{s-1}})$  and  $x^{p^{s-1}} \in F_{s-1} - F_s$ . Therefore  $[F_{s-1} : F_s] = p$  for  $1 \leq s \leq n$ .

Set  $s_0 := \min \{s \mid x^{p^s} \in K', 1 \leq s \leq n\}$ . We shall show that

$s_0 = n - \gamma$ . From  $\underline{D}(x^{p^{n-\gamma}}) = x^{p^{n-\gamma}}$ , it follows that  $x^{p^{n-\gamma}} \in K'$  and  $s_0 \leq n - \gamma$ . On the other hand  $\underline{D}(x^{p^{n-\gamma-1}}) \neq x^{p^{n-\gamma-1}}$  because  $p$  does not divide  $\alpha'$ . This implies that  $s_0 = n - \gamma$ . Since  $\mu(\underline{D}) = p^\gamma$ , we know that  $[K : K'] \geq p^{n-\gamma}$  by Proposition 1.3. Then we get  $K' = F_{s_0}$  because  $F_{s_0} \subset K' \subset K = F_0$  and  $[F_0 : F_{s_0}] = p^{s_0} = p^{n-\gamma}$ . Hence  $[K : K'] = p^{s_0} = p^{n-\gamma}$ .

(2) Since  $A^* = k^*$ , we have  $\mathcal{L}'_A = \{1\}$ . We shall show that  $\mathcal{L}_A = \{(1+t)^{ds} \in k[t : m] \mid s \in \mathbb{Z}\}$  where  $d := \text{GCD}(\alpha, \beta)$  and  $m := p^n - 1$ . Notice that

$$\mathcal{L}_A = \left\{ \underline{D}(f)/f \in K[t : m] \mid f \in A - \{0\}, \underline{D}(f)/f \in A[t : m] \right\}$$

because  $\underline{D}(f_1/f_2)/(f_1/f_2) = \underline{D}(f_1 f_2^m)/f_1 f_2^m$  ( $f_1, f_2 (\neq 0) \in A$ ).

For every polynomial  $f \in A - \{0\}$ , the total degree of the coefficient of  $t^j$  in  $\underline{D}(f)$  is not more than that of  $f$  for  $0 \leq j \leq m$  by the definition of  $\underline{D}$ . Hence  $\underline{D}(f)/f$

$\in A[t : m]$  implies that  $\underline{D}(f)/f \in k[t : m]$ . Set



$f := \sum a_{ij} x^i y^j$  ( $a_{ij} \in k^*$ ) and  $\underline{D}(f)/f = h(t)$  where  $h(T) \in k[T]$ . Then we see

$$\sum a_{ij} x^i y^j (1+t)^{i\alpha+j\beta} = \sum a_{ij} x^i y^j h(t).$$

Since  $x, y$  and  $T$  are algebraically independent over  $k$ ,

we get  $(1+t)^{i\alpha+j\beta} = h(t)$ . Hence  $i\alpha + j\beta$  is constant modulo  $p^n$  for any  $i, j$  with  $a_{ij} \neq 0$ . On the other hand  $i\alpha + j\beta$  is a multiple of  $d = \text{GCD}(\alpha, \beta)$ . Therefore

we know  $\underline{D}(f)/f = (1+t)^{ds'}$  where  $s' = (i\alpha + j\beta)/d$ .

This means that  $\mathcal{L}_A$  is contained in  $\{(1+t)^{ds} \in k[t : m] \mid s \in \mathbb{Z}\}$ .

Since  $\text{GCD}(\alpha, \beta) = d$ , there exist integers  $a, b$  such that  $a\alpha + b\beta = d$ . Then we have  $\underline{D}(x^a y^b)/x^a y^b = (1+t)^d$ .

This implies that  $(1+t)^d$  is in  $\mathcal{L}_A$ . Hence  $\mathcal{L}_A$

$= \{(1+t)^{ds} \in k[t : m] \mid s \in \mathbb{Z}\}$ . Let  $\theta : \mathbb{Z}/p^{n-\gamma}\mathbb{Z} \longrightarrow \mathcal{L}_A$

be the homomorphism defined by  $\theta$  (the residue class of  $s$ )

$= (1+t)^{ds}$ . Then we see easily that  $\theta$  is well-defined and surjective. We shall prove the injectivity of  $\theta$ .

Assume that  $\theta$  (the residue class of  $s$ )  $= 1$ . Then  $(1+t)^{ds}$

$= 1$  in a truncated polynomial ring  $k[t : m]$ . Write  $d = d'p^\gamma$

and  $s = s'p^\delta$  ( $p \nmid d'$  and  $p \nmid s'$ ). Since  $(1+t)^{ds} = (1+t^{p^{\gamma+\delta}})^{d's'}$

and  $p \nmid d's'$ , the coefficient of  $t^{p^{\gamma+\delta}}$  does not vanish.

Hence  $p^{\gamma+\delta} \geq p^n$  and  $\delta \geq n - \gamma$ . This implies that  $s \in p^{n-\gamma}\mathbb{Z}$  and  $\theta$  is injective. Finally we have  $\mathcal{L}_A/\mathcal{L}'_A \cong \mathcal{L}_A \cong \mathbb{Z}/p^{n-\gamma}\mathbb{Z}$ .

(3) Since  $p$  does not divide either  $\alpha$  or  $\beta$ , we see that the height one property for  $\underline{D}$  is satisfied. It follows from (1) that  $[K : K'] = p^n$  (note that  $\gamma = 0$ ). Therefore Theorem 1.6 implies that  $\text{Ker}(\bar{j}) \cong \mathcal{L}_A/\mathcal{L}'_A$ . Since  $A$  is a unique factorization domain, we have  $\text{Cl}(A') = \text{Ker}(\bar{j})$ , hence  $\text{Cl}(A') \cong \mathbb{Z}/p^n\mathbb{Z}$ . The rest is obvious from the fact  $A'$  is normal and integral over  $k[x^{p^n}, y^{p^n}, x^{p^n-\beta'}y^{\alpha'}]$  (note that  $K' = F_n$ ). Q.E.D.

By making use of Proposition 3.1 we get the following:

Proposition 3.2. The divisor class group of a surface  $S : Z^{p^n} = XY$  is a cyclic group of order  $p^n$ .

Proof. Let  $x, y$  be independent variables over  $k$ . Then the coordinate ring of the surface  $S$  is isomorphic to  $A'_1 := k[x^{p^n}, y^{p^n}, xy]$ . Set  $\alpha := 1$  and  $\beta := p^n - 1$  in Proposition 3.1, then we have  $\text{Cl}(A') \cong \mathbb{Z}/p^n\mathbb{Z}$  where  $A' = A \cap K'$  is a Krull domain in Proposition 3.1. We shall show that  $A'_1 = A'$ . We see that  $A'_1$  is normal because the surface

$S$  has only isolated singular point (cf. [4], Th. 4.1). Since  $A'$  is the normalization of  $k[x^{p^n}, y^{p^n}, xy]$  by Proposition 3.1, (3), we get  $A'_1 = A'$ . Q.E.D.

Remark 3.3. Let  $\mathfrak{g}$  be a prime ideal in  $P(A')$  generated by  $x^{p^n}$  and  $xy$ . Since  $j(\mathfrak{g}) = \text{div}_A(x)$  and since  $\overline{\mathbb{D}}(\text{cl}(\mathfrak{g})) = \underline{D}(x)/x$ ,  $\text{cl}(\mathfrak{g})$  generates  $\text{Cl}(A') \cong \mathbb{Z}/p^n\mathbb{Z}$ .

In order to generalize Proposition 3.2, we shall prove

$\text{Cl}(R_1 \otimes_k \dots \otimes_k R_r) \cong \prod_{i=1}^r \text{Cl}(R_i)$  in a certain restricted case as an application of Theorem 1.6.

Proposition 3.4. Let  $A_i$  be a polynomial ring in a finite set of variables over  $k$  and set  $K_i := Q(A_i)$  ( $1 \leq i \leq r$ ).

Let  $\underline{D}^{(i)}$  be a non-trivial higher derivation of rank  $m_i$  on  $K_i$  over  $k$  leaving  $A_i$  invariant. Let  $K'_i$  be the field of  $\underline{D}^{(i)}$ -constants and set  $A'_i := A_i \cap K'_i$  ( $1 \leq i \leq r$ ). Assume that the height one property holds for  $\underline{D}^{(i)}$  and  $[K_i : K'_i] = p^{n_i}$  where  $n_i := n(\underline{D}^{(i)})$  for  $1 \leq i \leq r$ . Set  $A := A_1 \otimes_k$

$\dots \otimes_k A_r$  and  $A' := A'_1 \otimes_k \dots \otimes_k A'_r$  with  $L := Q(A)$  and

$L' := Q(A')$ . Then we have  $\text{Cl}(A') \cong \prod_{i=1}^r \text{Cl}(A'_i)$ .

Proof. We have only to prove the Proposition in the case  $r = 2$  because we can get the general case by induction on  $r$ .

Set  $A_1 = k[x_1, \dots, x_d]$  and  $A_2 = k[y_1, \dots, y_e]$  where  $x_1, \dots, x_d$  and  $y_1, \dots, y_e$  are independent variables over  $k$ . Then  $A \cong k[x_1, \dots, x_d, y_1, \dots, y_e]$ . We shall extend  $\underline{D}^{(1)}$  to  $L$  by the following way:

$$\underline{D}^{(1)}(y_1) = y_1, \dots, \underline{D}^{(1)}(y_e) = y_e.$$

Similarly we shall extend  $\underline{D}^{(2)}$  to  $L$ . Then  $D := (\underline{D}^{(1)}, \underline{D}^{(2)})$  is a 2-tuple of non-trivial higher derivations of rank  $m := (m_1, m_2)$  on  $L$  over  $k$  leaving  $A$  invariant.

We shall show that  $A' = A \cap L'$ . Since  $K_i$  ( $i = 1, 2$ ) are regular extensions of  $k$ ,  $K'_i$  ( $i = 1, 2$ ) are also regular extensions of  $k$ . Besides,  $A'_i$  ( $i = 1, 2$ ) are integrally closed integral domains. Therefore  $A' = A'_1 \otimes_k A'_2$  is an integrally closed integral domain ([2], Chap. 5, §1, Cor. of Prop. 19). Furthermore  $A \cap L'$  is an integral extension of  $A'$  with the same quotient field  $L' = Q(A \cap L') = Q(A')$ . Hence we have  $A' = A \cap L'$ .

Next we shall prove that  $L'$  is the field of  $D$ -constants. It is easily seen that  $A'_1 \otimes_k A'_2 = A'_1[y_1, \dots, y_e]$  is the ring of  $\underline{D}^{(1)}$ -constants in  $A$ . Similarly  $A_1 \otimes_k A'_2$  is the ring of

$\underline{D}^{(2)}$ -constants in  $A$ . We know that  $A'_1 \otimes_k A'_2 = (A'_1 \otimes_k A_2) \cap (A_1 \otimes_k A'_2)$  ([2], Chapter 1, §2, Proposition 7). Therefore  $A' = A'_1 \otimes_k A'_2$  is the ring of  $\underline{D}$ -constants in  $A$ . It is clear that  $L' = Q(A')$  is contained in the field of  $\underline{D}$ -constants. Since  $A$  is the integral closure of  $A'$  in  $L$ , any element of  $L$  is of the form  $a/b$  ( $a \in A$ ,  $b \in A'$ ). Suppose that  $\underline{D}(a/b) = a/b$  ( $a \in A$ ,  $b \in A'$ ). Then we have  $\underline{D}(a) = \underline{D}((a/b)b) = \underline{D}(a/b)\underline{D}(b) = (a/b)b = a$ , hence  $a$  is in  $A'$ . This implies that  $a/b$  is in  $L'$ . Finally  $L'$  is the field of  $\underline{D}$ -constants.

We shall show that the height one property holds for  $\underline{D}$ . Since  $A$  is  $A_i$ -flat, we know that  $\text{ht}(\wp \cap A_i) \leq 1$  ( $i = 1, 2$ ) for all  $\wp \in P(A)$  ([4], Proposition 6.4). Set  $\wp_i := \wp \cap A_i$ . Then there exists an element  $\alpha_i$  in  $A_i$  such that the Jacobian  $J(\underline{D}^{(1)} : \alpha_1)$  is not contained in  $\wp_1$  because the height one property holds for  $\underline{D}^{(1)}$ . On the other hand we have  $J(\underline{D} : (\alpha_1, \alpha_2)) = J(\underline{D}^{(1)} : \alpha_1)J(\underline{D}^{(2)} : \alpha_2)$ . Suppose that  $J(\underline{D} : (\alpha_1, \alpha_2)) \in \wp$ , then either  $J(\underline{D}^{(1)} : \alpha_1)$  or  $J(\underline{D}^{(2)} : \alpha_2)$  is in  $\wp$ , say,  $J(\underline{D}^{(1)} : \alpha_1) \in \wp$ . This means that  $J(\underline{D}^{(1)} : \alpha_1) \in \wp \cap A_1 = \wp_1$ , which contradicts to the height one property for  $\underline{D}^{(1)}$ .

We shall show that  $[L : L'] = p^{n(\underline{D})}$ . Set  $L_1 = Q(A'_1 \otimes_k A_2)$ ,

then we have  $L \supset L_1 \supset L'$ . We know that  $[L : L'] \geq p^{n(D)}$

because of Proposition 1.3. Since  $[L : L'] = [L : L_1][L_1 : L']$ , it suffices to prove that  $[L : L_1] \leq p^{n_1}$  and  $[L_1 : L'] \leq p^{n_2}$ .

We shall prove that  $[L : L_1] \leq p^{n_1}$ . It is easily verified

that  $L = Q(K_1 \otimes_k K_2)$ ,  $L_1 = Q(K'_1 \otimes_k K_2)$  and  $K'_1 \otimes_k K_2 = L_1 \cap (K_1 \otimes_k K_2)$ .

Therefore any element of  $L$  is of the form  $\alpha/\beta$  with  $\alpha$

$\in K_1 \otimes_k K_2$  and  $\beta \in K'_1 \otimes_k K_2$ . Let  $a_1, \dots, a_\nu$  ( $\nu := p^{n_1}$ )

be  $K'_1$ -basis of  $K_1$ . Then  $K_1 \otimes_k K_2$  is generated by  $a_1 \otimes 1$ ,

$\dots, a_\nu \otimes 1$  over  $K'_1 \otimes_k K_2$ . Since any element of  $L$  is of

the form  $\alpha/\beta$  ( $\alpha \in K_1 \otimes_k K_2$ ,  $\beta \in K'_1 \otimes_k K_2$ ),  $L$  is generated

by  $a_1 \otimes 1, \dots, a_\nu \otimes 1$  over  $L_1$ , hence  $[L : L_1] \leq \nu = p^{n_1}$ .

Similarly we have  $[L_1 : L'] \leq p^{n_2}$ .

Let

$$\mathcal{L}_i = \left\{ D^{(i)}(z_i)/z_i \mid z_i \in K_i^*, D^{(i)}(z_i)/z_i \in A_i[t_i : m_i] \right\},$$

$$\mathcal{L}'_i = \left\{ D^{(i)}(u_i)/u_i \mid u_i \in A_i^* \right\} \quad \text{for } i = 1, 2,$$

$$\mathcal{L} = \left\{ D(z)/z \mid z \in L^*, D(z)/z \in A[t : m] \right\}$$

and,

$$\mathcal{L}' = \left\{ D(u)/u \mid u \in A^* \right\}$$

where  $t = (t_1, t_2)$ . Since we know that  $Cl(A'_i) \cong \mathcal{L}_i/\mathcal{L}'_i$

( $i = 1, 2$ ),  $Cl(A') \cong \mathcal{L}/\mathcal{L}'$  and  $\mathcal{L}'_i = \mathcal{L}' = \{1\}$ , it

remains only to prove that  $\mathcal{L}_1 \times \mathcal{L}_2 \cong \mathcal{L}$ . Let  $\theta$  be the homomorphism of  $\mathcal{L}_1 \times \mathcal{L}_2$  into  $\mathcal{L}$  defined by

$$(\underline{D}^{(1)}(a_1)/a_1, \underline{D}^{(2)}(a_2)/a_2) = \underline{D}(a_1 a_2)/a_1 a_2, (a_i \in K_i^*).$$

It is easily seen that  $\theta$  is injective. We shall show that

$\theta$  is surjective. Suppose that  $\underline{D}(f)/f \in \mathcal{L}$  ( $f \in A - \{0\}$ ).

Then there exist polynomials  $g_i(T_i)$  in  $A[T_i]$  ( $i = 1, 2$ )

such that  $\underline{D}(f)/f = (g_1(t_1), g_2(t_2))$ . Comparing the total

degree with respect to  $y_1, \dots, y_e$  of  $\underline{D}^{(1)}(f)$  with that

of  $f g_1(t_1)$ , we see that  $g_1(t_1)$  is in  $A_1[t_1: m_1]$ . Write

$f = \sum_Y a_Y b_Y$  ( $a_Y \in A_1$ ,  $b_Y \in A_2$  and  $\{b_Y\}$  is linearly independent over  $k$ ), then we have

$$\sum_Y (\underline{D}^{(1)}(a_Y) - g_1(t_1) a_Y) b_Y = 0.$$

This implies that  $\underline{D}^{(1)}(a_Y) = g_1(t_1) a_Y$  for all  $Y$ . Therefore

$\underline{D}^{(1)}(a)/a = g_1(t_1)$  for some  $a \in A_1$ . Similarly  $\underline{D}^{(2)}(b)/b$

$= g_2(t_2)$  for some  $b \in A_2$ . Hence  $\theta(\underline{D}^{(1)}(a)/a, \underline{D}^{(2)}(b)/b)$

$= \underline{D}(f)/f$ . Furthermore we know that  $\mathcal{L} = \{ \underline{D}(f)/f \mid f \in A - \{0\},$

$\underline{D}(f)/f \in A[t : m] \}$ . Therefore  $\theta$  is surjective and we get

the desired result.

Q.E.D.

Remark 3.5. By the similar method as the proof of

Proposition 3.4, we can get the following fact using units

theorem ([10], Corollary 1.8). But the proof is more complicated,

so we omit it:

"Let  $A_i := \bigoplus_{s \in \mathbb{Z}_+} (A_i)_s$  ( $1 \leq i \leq r$ ) be graded unique factorization domains with  $(A_i)_0 = k$  and let  $K_i$  be its quotient field. Assume that  $K_i$  ( $1 \leq i \leq r$ ) are regular extensions of  $k$ . Let  $\underline{D}^{(i)}$  be a non-trivial higher derivation of rank  $n_i$  on  $K_i$  over  $k$  leaving  $A_i$  invariant for  $1 \leq i \leq r$ . Let  $K'_i$  be the field of  $\underline{D}^{(i)}$ -constants and set  $A'_i := A_i \cap K'_i$  ( $1 \leq i \leq r$ ). Assume that the height one property holds for  $\underline{D}^{(i)}$  and  $[K_i : K'_i] = p^{n_i}$  where  $n_i := n(\underline{D}^{(i)})$  for  $1 \leq i \leq r$ . Set  $A := A_1 \otimes_k \dots \otimes_k A_r$  and  $A' := A'_1 \otimes_k \dots \otimes_k A'_r$  with  $L := Q(A)$  and  $L' := Q(A')$ . Furthermore assume that  $A_1 \otimes_k \dots \otimes_k A_i$  ( $1 \leq i \leq r$ ) are unique factorization domains. Then we have  $Cl(A') \cong \prod_{i=1}^r Cl(A'_i)$ ."

The following Proposition is immediate from Proposition 3.4.

Proposition 3.6. The divisor class group of an affine variety in  $A^{3r}$  defined by the equations  $Z_i^{q_i} = X_i Y_i$  ( $1 \leq i \leq r$ ) is isomorphic to  $\prod_{i=1}^r \mathbb{Z}/q_i \mathbb{Z}$  where  $q_i := p^{n_i}$ .

Remark 3.7. The coordinate ring of this variety is



isomorphic to  $A' := k[x_1^{q_1}, y_1^{q_1}, x_1 y_1, \dots, x_r^{q_r}, y_r^{q_r}, x_r y_r]$ .

And if we denote by  $\mathcal{P}_i$  a prime ideal in  $P(A')$  generated by  $x_i^{q_i}, x_i y_i$  for  $1 \leq i \leq r$ , then  $\text{cl}(\mathcal{P}_i)$  ( $1 \leq i \leq r$ ) generate  $\text{Cl}(A')$ .

As another generalization of Proposition 3.2 we have the following:

Proposition 3.8. The divisor class group of a hypersurface  $S : Z^{p^n} = X_1 X_2 \cdots X_r$  ( $r \geq 2$ ) is isomorphic to  $(\mathbb{Z}/p^n \mathbb{Z})^{r-1}$ .

The coordinate ring of this hypersurface  $S$  is isomorphic

to  $A' := k[x_1^{p^n}, x_2^{p^n}, \dots, x_r^{p^n}, x_1 x_2 \cdots x_r]$  where  $x_1, x_2,$

$\dots, x_r$  are independent variables over  $k$ . If we denote by

$\mathcal{P}_i$  a prime ideal in  $P(A')$  generated by  $x_i^{p^n}$  and  $x_1 x_2 \cdots x_r$

for  $1 \leq i \leq r-1$ , then  $\text{cl}(\mathcal{P}_i)$  ( $1 \leq i \leq r-1$ ) generate

$\text{Cl}(A')$ .

Proof. We see easily that  $A'$  is the coordinate ring of the hypersurface  $S$ . We shall set  $A = k[x_1, x_2, \dots, x_r]$  and  $K := Q(A)$ . Let  $\underline{D}^{(i)}$  be the higher derivation of rank  $p^n - 1$  on  $K$  over  $k$  satisfying

$$\underline{D}^{(i)}(x_i) = x_i(1 + t_i),$$

$$\underline{D}^{(i)}(x_j) = x_j \quad (1 \leq j \leq r-1, \quad j \neq i),$$

$$\underline{D}^{(i)}(x_r) = x_r(1 + t_i)^{-1}$$

for  $1 \leq i \leq r-1$ . Then we have

$$J(\underline{D} : (x_1, \dots, \hat{x}_s, \dots, x_r)) = (-1)^{r+s} x_1 \dots \hat{x}_s \dots x_r$$

for  $1 \leq s \leq r$  where  $\underline{D} = (\underline{D}^{(1)}, \dots, \underline{D}^{(r-1)})$  and the symbol

$\wedge$  over a letter means that the letter is missing. Let  $K'$

be the field of  $\underline{D}$ -constants. Then Proposition 1.3 implies that

$[K : K'] \geq p^{n(r-1)}$ . We shall set

$$K_i := k(x_1^{p^n}, x_2^{p^n}, \dots, x_i^{p^n}, x_{i+1}, \dots, x_r, x_1 \dots x_r)$$

for  $1 \leq i \leq r-1$  and  $K_r := k(x_1^{p^n}, \dots, x_r^{p^n}, x_1 \dots x_r)$ . Then

$K = K_1$ ,  $K_i = K_{i+1}(x_{i+1})$  and  $x_{i+1}^{p^n} \in K_{i+1}$  for  $1 \leq i \leq r-1$ .

Besides,  $K \supset K' \supset K_r$ . This implies that  $[K : K'] \leq p^{n(r-1)}$ ,

hence  $[K : K'] = p^{n(r-1)}$ . Since the hypersurface  $S$  has no

singularity of codimension one, we see that  $A'$  is normal.

Then we get  $A' = A \cap K'$ . Therefore we have  $\text{Cl}(A') \cong \mathcal{L}_A / \mathcal{L}'_A$

by Theorem 1.6. Let  $\theta$  be the homomorphism of  $(\mathbb{Z}/p^n\mathbb{Z})^{r-1}$

into  $\mathcal{L}_A$  defined by

$$\theta(\text{the residue class of } (j_1, \dots, j_{r-1}))$$

$$= \underline{D}(a)/a$$

$$= ((1 + t_1)^{j_1}, \dots, (1 + t_{r-1})^{j_{r-1}})$$

where  $a := x_1^{j_1} \dots x_{r-1}^{j_{r-1}}$ . Then  $\theta$  is well-defined and bijective

by the similar device to the proof of Proposition 3.1. Consequently  $\text{Cl}(A') \cong \mathcal{L}_A / \mathcal{L}_A' \cong \mathcal{L}_A \cong (\mathbb{Z}/p^n\mathbb{Z})^{r-1}$ . Since  $D(x_i)/x_i$  ( $1 \leq i \leq r-1$ ) generate  $\mathcal{L}_A$ ,  $\text{cl}(\mathcal{P}_i)$  ( $1 \leq i \leq r-1$ ) generate  $\text{Cl}(A')$ . Q.E.D.

For future reference we shall recollect the known results concerning Galois descent and semigroup rings. Let  $G$  be a finite group of automorphisms of a Krull domain  $A$  and let  $A'$  be the invariant subring of  $A$  with respect to  $G$ . Since  $A$  is integral over  $A'$ , we can define the homomorphism  $\mathbb{J} : \text{Cl}(A') \longrightarrow \text{Cl}(A)$  by  $\mathbb{J}(\text{cl}(\mathcal{P})) = \text{cl}(\sum e(\mathcal{P})\mathcal{P})$  where the sum is taken over all prime ideal  $\mathcal{P}$  in  $P(A)$  such that  $\mathcal{P} \cap A' = \mathcal{P}$ . If every prime ideal  $\mathcal{P}$  in  $P(A)$  is unramified over  $\mathcal{P} \cap A'$ ,  $A$  is called divisorially unramified over  $A'$ .

Lemma 3.9. If  $A$  is divisorially unramified over  $A'$ , there is an isomorphism  $\text{Ker}(\mathbb{J}) \cong H^1(G, A^*)$  (cf. [4], Theorem 16.1).

Lemma 3.10. Let  $\mathcal{D}(A/A')$  be the Dedekind different of  $A$  over  $A'$ . Then we have the following; a prime ideal  $\mathcal{P}$  in  $P(A)$  is unramified over  $\mathcal{P} \cap A'$  if and only if

$\mathcal{O}(A/A') \not\subseteq \wp$  ([4], Proposition 16.3).

Let  $f(X)$  be the minimal polynomial for a primitive element  $\alpha$  of  $Q(A)$  over  $Q(A')$ . Let  $f'(X)$  denote the derivative of  $f(X)$  with respect to  $X$ . Then we have  $f'(\alpha) \in \mathcal{O}(A/A')$ . Hence each prime ideal  $\wp$  in  $P(A)$  such that  $f'(\alpha) \notin \wp$  is unramified over  $\wp \cap A'$  by Lemma 3.10.

Furthermore we need the following fact concerning semigroup rings.

Lemma 3.11. Let  $K_i[\Gamma]$  be a semigroup ring over a field  $K_i$  generated by a semigroup  $\Gamma \subset \mathbb{Z}_+^n$  ( $i = 1, 2$ ). Assume that  $K_i[\Gamma]$  ( $i = 1, 2$ ) are Krull domains. Then we have  $\text{Cl}(K_1[\Gamma]) = \text{Cl}(K_2[\Gamma])$  (cf. [1], Proposition 7.3).

By making use of Proposition 3.8 and Galois descent we get the following:

Proposition 3.12. Let  $k$  be a field of arbitrary characteristic. Then the divisor class group of a hypersurface  $S : Z^d = X_1 X_2 \cdots X_r$  ( $r \geq 2$ ) over  $k$  is isomorphic to  $(\mathbb{Z}/d\mathbb{Z})^{r-1}$ .

Proof. It is easily seen that the coordinate ring of the hypersurface  $S$  is isomorphic to  $A' := k[x_1^d, \dots, x_r^d, x_1 \cdots x_r]$  where  $x_1, \dots, x_r$  are independent variables over  $k$ . Since  $A'$  is generated by monomials, we may assume that  $k$  is algebraically closed by Lemma 3.11. Let  $p$  denote the

characteristic of  $k$ . In the case  $p = 0$ , we can conclude the result simply through Galois descent. So we omit the proof. Assume that  $p > 0$  and write  $d = ap^n$ ,  $p \nmid a$ . We shall set  $B = k[x_1^{p^n}, \dots, x_r^{p^n}, x_1 \dots x_r]$ , then we have  $B \supset A'$ . Let  $\omega$  be a primitive  $a$ -th root of unity and  $\sigma_i$  be the automorphism of  $B$  defined by the following manner:

$$\begin{aligned} \sigma_i(x_i^{p^n}) &= \omega x_i^{p^n}, & \sigma_i(x_j^{p^n}) &= x_j^{p^n} \quad (1 \leq j \leq r-1, j \neq i), \\ \sigma_i(x_r^{p^n}) &= \omega^{-1} x_r^{p^n} \quad \text{and,} & \sigma_i(x_1 \dots x_r) &= x_1 \dots x_r \end{aligned}$$

for  $1 \leq i \leq r-1$ . Then  $\sigma_i$  is well-defined. Let  $G$  be the subgroup of  $\text{Aut } B$  generated by  $\sigma_i$  ( $1 \leq i \leq r-1$ ). Then we get  $B^G = A'$ . In order to use Galois descent, we must prove that  $B$  is divisorially unramified over  $A'$ . We shall set

$$K_i := k(x_1^d, \dots, x_i^d, x_{i+1}^{p^n}, \dots, x_r^{p^n}, x_1 \dots x_r)$$

for  $1 \leq i \leq r-1$ . Then  $F_s(T) = T^a - x_s^d$  is the minimal polynomial for a primitive element  $x_s^{p^n}$  of  $K_{s-1}$  over  $K_s$  and  $F'_s(x_s^{p^n}) = a(x_s^{p^n})^{a-1}$  for  $1 \leq s \leq r$  where  $K_0 := Q(B)$  and  $K_r := Q(A')$ . Therefore every prime ideal  $\mathfrak{p}$  in  $P(B)$  except  $\mathfrak{p}_s = (x_s^{p^n}, x_1 \dots x_r)$  ( $1 \leq s \leq r$ ) is unramified over  $\mathfrak{p} \cap A'$ . By a direct calculation the ramification index of  $\mathfrak{p}_s$  over  $\mathfrak{p}_s \cap A'$  is one. Hence  $B$  is divisorially unramified over  $A'$ . By Galois descent we get the following exact sequence:

$$0 \longrightarrow H^1(G, B^*) \longrightarrow \text{Cl}(B^G) \longrightarrow \text{Cl}(B).$$

Since  $G$  acts trivially on  $B^* = k^*$ , we know that  $H^1(G, B^*) \cong \text{Hom}_{\mathbb{Z}}(G, k^*)$ . Furthermore it is easily verified that

$\text{Hom}_{\mathbb{Z}}(G, k^*) \cong (\mathbb{Z}/a\mathbb{Z})^{r-1}$  because  $\omega$  is in  $k$ . On the other

hand, Proposition 3.8 shows that  $\text{Cl}(B) \cong (\mathbb{Z}/p^n\mathbb{Z})^{r-1}$ . Let

$\mathfrak{P}_i$  be a prime ideal in  $P(A')$  generated by  $x_i^d$  and  $x_1 \cdots x_r$  for  $1 \leq i \leq r-1$ . Then we have  $\mathfrak{P}_i \cap A' = \mathfrak{P}_i$  and  $j(\mathfrak{P}_i) = \mathfrak{P}_i$  where  $j : \text{Div}(A') \longrightarrow \text{Div}(B)$ . Besides,  $\text{cl}(\mathfrak{P}_i)$  ( $1 \leq i \leq r-1$ ) generate  $\text{Cl}(B) \cong (\mathbb{Z}/p^n\mathbb{Z})^{r-1}$ . Finally we get the following exact sequence:

$$0 \longrightarrow (\mathbb{Z}/a\mathbb{Z})^{r-1} \longrightarrow \text{Cl}(A') \longrightarrow (\mathbb{Z}/p^n\mathbb{Z})^{r-1} \longrightarrow 0.$$

Since  $a$  and  $p^n$  are relatively prime,  $\text{Ext}_{\mathbb{Z}}^1((\mathbb{Z}/p^n\mathbb{Z})^{r-1}, (\mathbb{Z}/a\mathbb{Z})^{r-1})$  vanishes and the above sequence splits ([3], p. 290, Theorem 1.1). This implies that  $\text{Cl}(A') \cong (\mathbb{Z}/d\mathbb{Z})^{r-1}$ . Q.E.D.

Remark 3.13. In the notations of the proof of Proposition 3.12,  $p^n \text{cl}(\mathfrak{P}_i)$  ( $1 \leq i \leq r-1$ ) generate  $\text{Ker}(j)$  because  $j(p^n \mathfrak{P}_i) = \text{div}_B(x_i^{p^n})$  and  $\text{Ker}(j) \cong \text{Hom}_{\mathbb{Z}}(G, k^*) \cong (\mathbb{Z}/a\mathbb{Z})^{r-1}$ . Furthermore it follows from Proposition 3.8 that  $\text{cl}(\mathfrak{P}_i)$  ( $1 \leq i \leq r-1$ ) generate  $\text{Cl}(A')$  modulo  $\text{Ker}(j)$ . Hence  $\text{cl}(\mathfrak{P}_i)$  ( $1 \leq i \leq r-1$ ) generate  $\text{Cl}(A')$ .

Proposition 3.14. Let  $k$  be a field of arbitrary characteristic. Then the divisor class group of the homogeneous coordinate ring of a Veronese transform  $v_d(\mathbb{P}^r)$  of a projective space  $\mathbb{P}^r$  over  $k$  ( $d \geq 2$ ) is a cyclic group of order  $d$ .

Proof. Let  $x_0, x_1, \dots, x_r$  be independent variables over  $k$ . We shall set  $A := k[x_0, x_1, \dots, x_r]$ . Let  $A'$  be the subring of  $A$  generated by monomials with degree  $d$ . Then  $A'$  is isomorphic to the homogeneous coordinate ring of  $v_d(\mathbb{P}^r)$ . We may assume that  $k$  is algebraically closed by Lemma 3.11. Let  $p$  denote the characteristic of  $k$ . In the case  $p = 0$ , we have  $\text{Cl}(A') \cong \mathbb{Z}/d\mathbb{Z}$  by [8], p. 85, (1). Assume that  $p > 0$  and  $d$  is a power of  $p$ , say,  $d = p^n$ . Let  $\underline{D}$  be the higher derivation on  $Q(A)$  over  $k$  of rank  $d - 1$  defined by  $\underline{D}(x_i) = x_i(1 + t)$  ( $0 \leq i \leq r$ ). Then we see easily that  $A'$  is the ring of  $\underline{D}$ -constants and  $[K : K'] = d$  where  $K := Q(A)$  and  $K' := Q(A')$ . Since  $J(\underline{D} : x_i) = x_i$  ( $0 \leq i \leq r$ ), the height one property is satisfied. Hence by Theorem 1.6,  $\text{Cl}(A') \cong \text{Ker}(\bar{J}) \cong \mathcal{L}_A / \mathcal{L}'_A \cong \mathcal{L}_A$ . Let  $\theta$  be the homomorphism of  $\mathbb{Z}/d\mathbb{Z}$  into  $\mathcal{L}_A$  satisfying  $\theta(\text{the residue class of } j) = (\underline{D}(x_0)/x_0)^j$ . It is easily seen that  $\theta$  is well-defined and bijective. Hence we have  $\text{Cl}(A') \cong \mathbb{Z}/d\mathbb{Z}$ . If  $d$  is not a power of  $p$ , write  $d = ap^n$ ,  $p \nmid a$  and let  $B$  be the subring of  $A$  generated by monomials with degree  $p^n$ . Let  $\omega$  be a primitive  $a$ -th root of unity and let  $\sigma$  be the automorphism of  $B$  defined by  $\sigma(M) = \omega M$  for every monomial  $M$  with degree  $p^n$ . Let  $G$  be the subgroup of  $\text{Aut } B$  generated by  $\sigma$ . Then we have  $A' = B^G$ . Since  $x_i^{p^n}$  is a primitive element of  $Q(B)$  over  $Q(A')$  for  $0 \leq i \leq r$ , it is easily seen that  $B$  is divisorially unramified over  $A'$ . By the similar device to the proof of Proposition

3.12, we get  $Cl(A') \cong \mathbb{Z}/d\mathbb{Z}$ .

Q.E.D.

All rings appeared in the above Propositions are generated by monomials. The coordinate ring of the following surface is not generated by monomials:

Proposition 3.15. Let  $n$  be a positive integer and  $s$  be a non-negative integer with  $0 \leq s \leq n$ . Then the divisor class group of a surface  $S : Z^{p^n} = X^{p^s} Y^{p^n} - Y$  is isomorphic to  $\mathbb{Z}/p^{n-s}\mathbb{Z}$ .

Proof. Let  $x, y$  be independent variables over  $k$ . Then it is easily seen that the affine coordinate ring of the surface  $S$  is given by  $A' := k[x^{p^n}, y^{p^n}, x^{p^s} y^{p^n} - y]$ . Set  $A := k[x, y]$  and let  $\underline{D}$  be the higher derivation of rank  $m := p^n - 1$  on  $Q(A)$  over  $k$  defined by  $\underline{D}(x) = x + t$ ,  $\underline{D}(y) = y + y^{p^n} t^{p^s}$ . Then it is easily checked that the assumptions in Theorem 1.6 are satisfied. Define the homomorphism of  $\mathbb{Z}/p^{n-s}\mathbb{Z}$  into  $\mathcal{L}_A$  by  $\theta$  (the residue class of  $i$ )  $= (\underline{D}(y)/y)^i$ . Then  $\theta$  is well-defined and injective. We shall show that  $\theta$  is surjective. Suppose that  $\underline{D}(f)/f \in A[t : m]$  ( $f \in A - \{0\}$ ), then there exists an element  $g(t)$  of  $A[t]$  such that  $\underline{D}(f)/f = g(t)$ . Since the degree with respect to  $x$  of the coefficient of  $t^j$  in  $\underline{D}(f)$  is not more than that of  $f$  for  $0 \leq j \leq m$ , we have  $g(t) \in k[y][t : m]$ . Write



$$f = a_0(y) + a_1(y)x + \dots + a_h(y)x^h,$$

$$a_\nu(y) \in k[y] \quad (0 \leq \nu \leq h) \quad \text{and} \quad a_h(y) \neq 0.$$

From  $\underline{D}(f) = fg(t)$ , we get

$$\begin{aligned} & \underline{D}(a_0(y)) + \underline{D}(a_1(y))(x+t) + \dots + \underline{D}(a_h(y))(x+t)^h \\ &= a_0(y)g(t) + a_1(y)g(t)x + \dots + a_h(y)g(t)x^h. \end{aligned}$$

Comparing the coefficients of  $x^h$  on both sides, we have  $\underline{D}(a_h(y)) = a_h(y)g(t)$  because  $x, y$  and  $T$  are algebraically independent over  $k$ . By Lemma 3.17, there exists an integer  $i$  such that  $g(t) = (\underline{D}(y)/y)^i$ . Hence  $\theta$  is surjective and  $\text{Cl}(A') \cong \mathbb{Z}/p^{n-s}\mathbb{Z}$ . Q.E.D.

Remark 3.16. Let  $\mathfrak{p}$  be the prime ideal in  $P(A')$  generated by  $y^{p^n}$  and  $x^{p^s}y^{p^n} - y$ . Then  $\text{cl}(\mathfrak{p})$  generates  $\text{Cl}(A')$ . The  $q$ -th symbolic power  $\mathfrak{p}^{(q)}$  of  $\mathfrak{p}$  is a principal ideal generated by  $y^{p^{n-s}}$  where  $q := p^{n-s}$ .

Lemma 3.17. Let  $A = k[y]$  be a one-dimensional polynomial ring over  $k$ . Let  $n$  be a positive integer and  $s$  be a non-negative integer with  $0 \leq s \leq n$ . Let  $\underline{D}$  be the higher derivation of rank  $m := p^n - 1$  on  $Q(A)$  over  $k$  defined by  $\underline{D}(y) = y + y^{p^n}t^{p^s}$ . If  $\underline{D}(f)/f$  ( $f \in A - \{0\}$ ) is in  $A[t : m]$ , there exists an integer  $i$  such that  $\underline{D}(f)/f = (\underline{D}(y)/y)^i$ .

Proof. Set  $A' := k[y^{p^{n-s}}]$ , then we have  $A' = A \cap K'$

where  $K'$  is the field of  $\underline{D}$ -constants. Notice that  $\wp := yA$  is the only prime ideal in  $P(A)$  such that  $D_q(A) \subset \wp$  ( $q := p^s$ ). Then we have  $e(\wp) = p^{n-s}$  and  $s(\wp) = 1$ . Hence we get the following exact sequence by Theorem 2.6.

$$0 \longrightarrow \text{Ker}(\bar{j}) \longrightarrow \mathcal{L}_A / \mathcal{L}'_A \xrightarrow{\eta} \mathbb{Z}/p^{n-s}\mathbb{Z} \longrightarrow 0.$$

Notice that  $\eta$  (the residue class of  $(\underline{D}(y)/y)^j$ ) = the residue class of  $j$ . Furthermore  $\text{Ker}(\bar{j}) \cong \text{Cl}(A') = 0$  and  $\mathcal{L}'_A = \{1\}$ . So we have the desired result. Q.E.D.

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