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## ORDINARY INDUCTION FROM A SUBGROUP AND FINITE GROUP BLOCK THEORY

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### Abstract

The first step in the fundamental Clifford Theoretic Approach to General Block Theory of Finite Groups reduces to:  $H$  is a subgroup of the finite group  $G$  and  $b$  is a block of  $H$  such that  $b({}^g b) = 0$  for all  $g \in G - H$ . We extend basic results of several authors in this situation and place these results into current categorical and character theoretic equivalences frameworks.

### 1. Introduction and statements of results

Let  $G$  be a finite group, let  $p$  be a prime integer and let  $(\mathcal{O}, \mathcal{K}, k)$  be a  $p$ -modular system for  $G$  that is “large enough” for all subgroups of  $G$  (i.e.,  $\mathcal{O}$  is a complete discrete valuation ring,  $k = \mathcal{O}/J(\mathcal{O})$  is an algebraically closed field of characteristic  $p$  and the field of fractions  $\mathcal{K}$  of  $\mathcal{O}$  is of characteristic zero and is a splitting field for all subgroups of  $G$ ).

Let  $N$  be a normal subgroup of  $G$  and let  $\gamma$  be a block (a primitive) idempotent of  $Z(\mathcal{O}N)$ . Set  $H = \text{Stab}_G(\gamma)$  so that  $N \leq H \leq G$ . Also let  $Bl(\mathcal{O}H|\gamma)$  and  $Bl(\mathcal{O}G|\gamma)$  denote the set of blocks of  $\mathcal{O}H$  and  $\mathcal{O}G$  that cover  $\gamma$ , resp. Then it is well-known that if  $b \in Bl(\mathcal{O}H|\gamma)$ , then  $b({}^g b) = 0$  for all  $g \in G - H$  and the trace map from  $H$  to  $G$ ,  $\text{Tr}_H^G$ , induces a bijection  $\text{Tr}_H^G: Bl(\mathcal{O}H|\gamma) \rightarrow Bl(\mathcal{O}G|\gamma)$  such that corresponding blocks are “equivalent.” This basic analysis pioneered by P. Fong and W. Reynolds (cf. [5, V, Theorem 2.5]) is the first step in the fundamental Clifford theoretic approach to general block theory: the reduction to the case of a stable block of a normal subgroup.

Consider the more general situation: ( $P$ )  $H$  is a subgroup of  $G$  and  $e$  is an idempotent of  $Z(\mathcal{O}H)$  is such that  $e({}^g e) = 0$  for all  $g \in G - H$ .

Note that if  $\beta$  is an idempotent of  $Z(\mathcal{O}H)$  such that  $e\beta = \beta$ , then  $\beta({}^g \beta) = 0$  for all  $g \in G - H$ .

Fundamental contributions to this context appear in [9, Theorem 1] and in [11, Theorem 1].

The purpose of this paper is to put the significant results of [9, Theorem 1] and [11, Theorem 1] into current categorical and character theoretic equivalences context and to extend these basic results in this context.

It is also well-known that if  $H$  is a subgroup of  $G$  and if  $\chi \in \text{Irr}_{\mathcal{K}}(H)$  is such that  $\text{Ind}_H^G(\chi) \in \text{Irr}_{\mathcal{K}}(G)$  and if  $e_\chi = (\chi(1)/|H|)(\sum_{h \in H} \chi(h^{-1})h)$  denotes the primitive idempotent of  $Z(\mathcal{K}H)$  associated to  $\chi$ , then  $e_\chi({}^g e_\chi) = 0$  for all  $g \in G - H$  and  $\text{Tr}_H^G(e_\chi)$  is the primitive idempotent of  $Z(\mathcal{K}G)$  associated to  $\text{Ind}_H^G(\chi)$  (cf. Corollary 1.5).

In this article, we shall generally follow the (standard) notation and terminology of [5] and [10].

All rings have identities and are Noetherian and all modules over a ring are unitary and finitely generated left modules. If  $R$  is a ring, then  $R\text{-mod}$  will denote the category of left  $R$ -modules and  $R^0$  denotes the ring opposite to  $R$ .

The required proofs of the following main results will be presented in Section 3. Section 2 contains basic results that are needed in our proofs. We shall assume that  $H$  is a subgroup of the finite group  $G$  in the remainder of this section and we shall let  $T$  be a left transversal of  $H$  in  $G$  with  $1 \in T$ . Thus  $G = \bigcup_{t \in T} tH$  is disjoint.

For our first three results,  $\mathcal{O}$  will denote a commutative Noetherian ring.

Our first two results are well-known and easy to prove (cf. [10, Sections 9 and 16]).

**Lemma 1.1.** *Let  $B$  be a unitary  $\mathcal{O}$ -algebra that is an interior  $H$ -algebra (as in [10, Section 16]). Then:*

(a)

$$\begin{aligned} \text{Ind}_H^G(B) &= \mathcal{O}G \otimes_{\mathcal{O}H} B \otimes_{\mathcal{O}H} \mathcal{O}G = \bigoplus_{s,t \in T} (s(\mathcal{O}H) \otimes_{\mathcal{O}H} B \otimes_{\mathcal{O}H} (\mathcal{O}H)t^{-1}) \\ &\cong \bigoplus_{s,t \in T} (s \otimes_{\mathcal{O}} B \otimes_{\otimes} t^{-1}) \end{aligned}$$

is a unitary interior  $G$ -algebra with  $1_{\text{Ind}_H^G(B)} = \sum_{t \in T} (t \otimes_{\mathcal{O}} 1_B \otimes_{\mathcal{O}} t^{-1})$  and with  $\phi: G \rightarrow \text{Ind}_H^G(B)^\times$  such that  $g \mapsto \sum_{t \in T} (gt \otimes_{\mathcal{O}} 1_B \otimes_{\mathcal{O}} t^{-1})$  for all  $g \in G$ . Moreover  $\{t \otimes_{\mathcal{O}} 1_B \otimes_{\mathcal{O}} t^{-1} \mid t \in T\}$  is a set of orthogonal idempotents of  $\text{Ind}_H^G(B)$ ; and

(b) The map  $\alpha: Z(B) \rightarrow Z(\text{Ind}_H^G(B))$  such that  $z \mapsto \sum_{t \in T} (t \otimes_{\mathcal{O}} z \otimes_{\mathcal{O}} t^{-1})$  for all  $z \in Z(B)$  is an  $\mathcal{O}$ -algebra isomorphism.

**Proposition 1.2.** *Let  $e$  be an idempotent of  $Z(\mathcal{O}H)$  such that  $e({}^g e) = 0$  for all  $g \in G - H$  and set  $E = \text{Tr}_H^G(e) = \sum_{t \in T} ({}^t e)$ , so that  $E$  is an idempotent of  $Z(\mathcal{O}G)$ . Then:*

(a)

$$(\mathcal{O}G)E = (\mathcal{O}G)e(\mathcal{O}G), \quad e(\mathcal{O}G)e = e(\mathcal{O}G)Ee = (\mathcal{O}H)e$$

and the  $\mathcal{O}$ -linear map

$$f: \text{Ind}_H^G((\mathcal{O}H)e) \rightarrow (\mathcal{O}G)E$$

such that  $x \otimes_{\mathcal{O}H} b \otimes_{\mathcal{O}H} y \mapsto xby$  for all  $x, y \in G$  and all  $b \in (\mathcal{O}H)e$  is an interior  $G$ -algebra isomorphism. Also the  $\mathcal{O}$ -linear map

$$\phi: Z((\mathcal{O}H)e) \rightarrow Z(\text{Ind}_H^G((\mathcal{O}H)e))$$

such that  $z \mapsto \sum_{t \in T} (t \otimes_{\mathcal{O}} z \otimes_{\mathcal{O}} t^{-1})$  for all  $z \in Z((\mathcal{O}H)e)$  is an  $\mathcal{O}$ -algebra isomorphism;

- (b) The inclusion map  $\iota: (\mathcal{O}H)e \rightarrow (\mathcal{O}G)E$  is an embedding of interior  $H$ -algebras;  
 (c) The functors

$$\text{Ind}_H^G(*) = (\mathcal{O}G)e \otimes_{(\mathcal{O}H)e} (*): (\mathcal{O}H)e\text{-mod} \rightarrow (\mathcal{O}G)E\text{-mod}$$

and

$$e \cdot \text{Res}_{\mathcal{O}H}^{\mathcal{O}G} (*) = e(\mathcal{O}G) \otimes_{(\mathcal{O}G)E} (*): (\mathcal{O}G)E\text{-mod} \rightarrow (\mathcal{O}H)e\text{-mod}$$

exhibit a Morita equivalence between the Abelian categories  $(\mathcal{O}H)e\text{-mod}$  and  $(\mathcal{O}G)E\text{-mod}$  with associated  $((\mathcal{O}H)e, (\mathcal{O}G)E)$ -bimodule  $e(\mathcal{O}G)$ ; and

- (d) Let  $M$  be an  $(\mathcal{O}H)e$ -module. Then

$$\text{Ind}_H^G(M) = (\mathcal{O}G)e \otimes_{(\mathcal{O}H)e} M = \bigoplus_{t \in T} (t \otimes_{\mathcal{O}} M)$$

and

$$\alpha(g \otimes_{(\mathcal{O}H)e} m) = \begin{cases} 0 & \text{if } g \notin H \\ 1 \otimes_{\mathcal{O}} (\alpha g)m & \text{if } g \in H, \text{ for all } \alpha \in (\mathcal{O}H)e, \text{ all } m \in M \text{ and all } g \in G. \end{cases}$$

Let  $e$  be an idempotent of  $Z(\mathcal{O}H)$ .

REMARK 1.3. Let  $g \in G$ . The following three conditions are equivalent:

- (i)  $e(\mathcal{O}(HgH))e = (0)$ ;  
 (ii)  $e({}^g e) = 0$ ; and  
 (iii)  $e(\mathcal{O}(HgH) \otimes_{\mathcal{O}H} V) = (0)$  for any module  $V$  in  $(\mathcal{O}H)e\text{-mod}$ .

Indeed, it is clear that (i) implies (ii) and (iii). Let  $h_1, h_2 \in H$ . Then  $e(h_1gh_2)e = h_1e({}^g e)gh_2$ , so that (ii) implies (i). Also if  $V = (\mathcal{O}H)e$  in (iii), then

$$e(\mathcal{O}(HgH) \otimes_{\mathcal{O}H} (\mathcal{O}H)e) \cong e(\mathcal{O}(HgH))e$$

in  $(\mathcal{O}H)e\text{-mod}$  and so (iii) implies (i).

**Lemma 1.4** (E.C. Dade [4]). *Let  $\mathcal{K}$  be a field and let  $e$  be an idempotent in  $Z(\mathcal{K}H)$ . Suppose that*

$$\dim(\mathrm{Hom}_{\mathcal{K}G}(\mathrm{Ind}_H^G(X), \mathrm{Ind}_H^G(Y))/\mathcal{K}) = \dim(\mathrm{Hom}_{\mathcal{K}H}(X, Y)/\mathcal{K})$$

*for any irreducible modules  $X, Y$  in  $(\mathcal{K}H)e$ -mod. Then  $e({}^g e) = 0$  for all  $g \in G - H$ .*

An immediate implication of Lemma 1.4 is:

**Corollary 1.5.** *Assume that  $\mathcal{K}$  is a splitting field for  $G$  and  $H$  and that  $e$  is an idempotent of  $Z(\mathcal{K}H)$  such that  $\mathrm{Ind}_H^G$  defines an injective map  $\mathrm{Irr}_{\mathcal{K}}(e) \rightarrow \mathrm{Irr}_{\mathcal{K}}(G)$ . Then  $e({}^g e) = 0$  for all  $g \in G - H$ .*

For the remainder of this section, we assume that  $(\mathcal{O}, \mathcal{K}, k)$  is a  $p$ -modular system that is “large enough” for all subgroups of  $G$ . As is standard, the natural ring epimorphism  $- : \mathcal{O} \rightarrow k = \mathcal{O}/J(\mathcal{O})$  induces an epimorphism on all  $\mathcal{O}$ -algebras that is also denoted by  $-$ . Similarly for  $\mathcal{O}$ -modules.

**Theorem 1.6** (cf. [5, V, Theorem 2.5], [9, Proposition 1] and [11, Theorem 1]). *Assume that  $b \in \mathrm{Bl}(\mathcal{O}H)$  is such that  $b({}^g b) = 0$  for all  $g \in G - H$  (as in Proposition 1.2) and let  $D$  be a defect group of  $b$  in  $H$ . Then:*

- (a) *Proposition 1.2 applies (with  $R = \mathcal{O}$ ),  $B = \mathrm{Tr}_H^G(b) \in \mathrm{Bl}(\mathcal{O}G)$  and  $D$  is a defect group of  $B$  in  $G$ ;*
- (b) *The functors  $\mathrm{Ind}_H^G(*) = (\mathcal{O}G) \otimes_{\mathcal{O}H} (*) = (\mathcal{O}G)b \otimes_{(\mathcal{O}H)b} (*)$ :*

$$(\mathcal{O}H)b\text{-mod} \rightarrow (\mathcal{O}G)B\text{-mod} \quad \text{and} \quad b \cdot \mathrm{Res}_H^G(*) : (\mathcal{O}G)B\text{-mod} \rightarrow (\mathcal{O}H)b\text{-mod}$$

*exhibit a Morita equivalence between the Abelian categories  $(\mathcal{O}H)b\text{-mod}$  and  $(\mathcal{O}G)B\text{-mod}$ . On the character level, this Morita equivalence induces the bijections:*

$$\mathrm{Ind}_H^G : \mathrm{Irr}_{\mathcal{K}}(b) \rightarrow \mathrm{Irr}_{\mathcal{K}}(B), \quad \mathrm{Ind}_H^G : \mathrm{Irr}_k(b) \rightarrow \mathrm{Irr}_k(B)$$

*and*

$$\mathrm{Ind}_H^G : \mathrm{Irr} \mathrm{Br}_{\mathcal{K}}(b) \rightarrow \mathrm{Irr} \mathrm{Br}_{\mathcal{K}}(B).$$

*Moreover, this Morita equivalence has associated bimodules:*

$$(\mathcal{O}G)b \text{ in } (\mathcal{O}G)B\text{-mod}-(\mathcal{O}H)b \text{ and } b(\mathcal{O}G) \text{ in } (\mathcal{O}H)b\text{-mod}-(\mathcal{O}G)B.$$

*Here  $(\mathcal{O}G)b$  when viewed as an  $\mathcal{O}(G \times H)$ -module is indecomposable with  $\Delta D = \{(d, d) \mid d \in D\}$  and trivial  $\Delta D$ -source and a similar fact holds for  $b(\mathcal{O}G)$ ;*

- (c) Let  $M$  be an indecomposable  $(\mathcal{O}H)b$ -module with vertex  $Q$  and  $Q$ -source  $V$ . Then  $\text{Ind}_H^G(M) = \mathcal{O}G \otimes_{\mathcal{O}H} M = (\mathcal{O}G)b \otimes_{(\mathcal{O}H)b} M$  in  $(\mathcal{O}G)B\text{-mod}$  is an indecomposable  $(\mathcal{O}G)$ -module with vertex  $Q$  and  $Q$ -source  $V$ ;
- (d) The above conditions hold over  $k$  for  $\bar{b} \in \text{Bl}(kH)$  and  $\bar{B} = \text{Tr}_H^G(\bar{b}) \in \text{Bl}(kG)$ , etc;
- (e) The inclusion map  $i: (\mathcal{O}H)b \rightarrow (\mathcal{O}G)B$  is an embedding of interior  $H$ -algebras so that  $i$  induces injective maps ([10, Proposition 15.1])

$$i_*: \mathcal{P}\mathcal{G}((\mathcal{O}H)b) \rightarrow \mathcal{P}\mathcal{G}((\mathcal{O}G)B) \quad \text{and} \quad i_*: \mathcal{L}\mathcal{P}\mathcal{G}((\mathcal{O}H)b) \rightarrow \mathcal{L}\mathcal{P}\mathcal{G}((\mathcal{O}G)B).$$

Let  $D_\gamma$  be a defect pointed group of  $(\mathcal{O}H)b$  as an  $H$ -algebra. Thus  $i_*(D_\gamma) = D_{i(\gamma)}$ , where  $i(\gamma) = \{\gamma^{((\mathcal{O}G)E)^*}\}$ , is a defect pointed group of  $(\mathcal{O}G)B$  as a  $G$ -algebra. Thus if  $j \in \gamma$ , then  $j \in i(\gamma)$  and  $j(\mathcal{O}G)Bj = jb(\mathcal{O}G)bj = j(\mathcal{O}H)bj$ , so that these source algebras of  $b$  and  $B$  are equal as interior  $D$ -algebras; and

- (f) The Puig category of local pointed groups of  $b$  in  $\mathcal{O}H$  and of  $B$  in  $\mathcal{O}G$  are equivalent.

The next result illuminates the hypothesis of [11, Theorem 1].

**Proposition 1.7.** *Let  $b$  be a block idempotent of  $Z(\mathcal{O}H)$ . The following four conditions are equivalent:*

- (a)  $\text{Ind}_H^G$  induces an injective map of  $\text{Irr}_k(\bar{b}) \rightarrow \text{Irr}_k(G)$ ;
- (b)  $\text{Ind}_H^G$  induces an injective map of  $\text{Irr}_K(b) \rightarrow \text{Irr}_K(G)$ ; and
- (c)  $\text{Ind}_H^G$  induces an injective map of  $\text{Irr } Br_K(b) \rightarrow \text{Irr } Br_K(G)$ ; and
- (d)  $b({}^g b) = 0$  for all  $g \in G - H$ .

In which case, Theorem 1.6 applies so that  $B = \text{Tr}_H^G(b) \in \text{Bl}(\mathcal{O}G)$ , the functor

$$\text{Ind}_H^G = (\mathcal{O}G)b \otimes_{(\mathcal{O}H)b} (*): (\mathcal{O}H)b\text{-mod} \rightarrow (\mathcal{O}G)B\text{-mod}$$

induces a (Morita) categorical equivalence, the maps of (a), (b) and (c) are bijections, etc.

In our final result, (a), (b), (c) and (d) are presented in [9, Theorem 1] without proof. For the convenience of the reader, we shall include a proof of these items.

**Theorem 1.8** (cf. [9, Theorem 1]). *Assume that  $b \in \text{Bl}(\mathcal{O}H)$  is such that  $b({}^g b) = 0$  for all  $g \in G - H$  (as in Theorem 1.6). Set  $\Omega = \{{}^g b \mid g \in G\}$  so that  $B = (\sum_{\omega \in \Omega} \omega) \in \text{Bl}(\mathcal{O}G)$ , etc.*

- (a) Let  $(P, \bar{b}_P)$  be a  $b$ -subpair of  $H$ . Then  $\bar{b}_P({}^x \bar{b}_P) = 0$  for all  $x \in C_G(P) - C_H(P)$ , Theorem 1.6 (d) applies  $s(\bar{b}_P) = \text{Tr}_{C_H(P)}^{C_G(P)}(\bar{b}_P) \in \text{Bl}(kC_G(P))$ ,  $(P, s(\bar{b}_P))$  is a  $B$ -subpair of  $G$  and the  $k$ -linear map

$$\begin{aligned} \mu: \text{Ind}_{C_H(P)}^{C_G(P)}(kC_H(P)\bar{b}_P) &= kC_G(P) \otimes_{kC_H(P)} kC_H(P)\bar{b}_P \otimes_{kC_H(P)} kC_G(P) \\ &\rightarrow kC_G(P)s(\bar{b}_P) \end{aligned}$$

such that  $x \otimes_{kC_H(P)} \alpha \otimes_{kC_H(P)} y \rightarrow x\alpha y$  for all  $x, y \in C_G(P)$  and all  $\alpha \in kC_H(P)\overline{b}_P$  is an interior  $C_G(P)$ -algebra isomorphism. Also  $\text{Ind}_H^G: \text{Irr}_k(\overline{b}_P) \rightarrow \text{Irr}_k(s(\overline{b}_P))$  is a bijection;

(b) The map  $(P, \overline{b}_P) \mapsto (P, s(\overline{b}_P))$  from the set of  $b$ -subpairs of  $H$  into the set of  $B$ -subpairs of  $G$  is injective;

(c) Let  $(Q, \overline{b}_Q)$  and  $(P, \overline{b}_P)$  be  $b$ -subpairs of  $H$ . Then:

(i)  $\{g \in G \mid {}^g(Q, s(\overline{b}_Q)) = (P, s(\overline{b}_P))\} = C_G(P)\{h \in H \mid {}^h(Q, \overline{b}_Q) = (P, \overline{b}_P)\}$  so that  $(Q, \overline{b}_Q)$  and  $(P, \overline{b}_P)$  are conjugate in  $H$  if and only if  $(Q, s(\overline{b}_Q))$  and  $(P, s(\overline{b}_P))$  are conjugate in  $G$ , and

(ii)  $(Q, \overline{b}_Q) \leq (P, \overline{b}_P)$  in  $H$  if and only if  $(Q, s(\overline{b}_Q)) \leq (P, s(\overline{b}_P))$  in  $G$ ;

(d) For any  $B$ -subpair  $(P', \overline{B}_{P'})$  of  $G$  there is an  $x \in G$  and a  $b$ -subpair  $(P, \overline{b}_P)$  of  $H$  such that  ${}^x(P', \overline{B}_{P'}) = (P, s(\overline{b}_P))$ ; consequently the Brauer category of  $b$  in  $H$  is equivalent to the Brauer category of  $B$  in  $G$ ;

(e) Let  $(Q, \overline{b}_Q)$  be a  $b$ -subpair of  $H$ . The injective map  $i_*: \mathcal{LPG}((\mathcal{O}H)b) \rightarrow \mathcal{LPG}((\mathcal{O}G)B)$  of Theorem 1.6 induces a bijection

$$i_*^{(Q, \overline{b}_Q)}: \{Q_\gamma \in \mathcal{LPG}((\mathcal{O}H)b) \mid Q_\gamma \text{ is associated with } (Q, \overline{b}_Q)\} \\ \rightarrow \{Q_\delta \in \mathcal{LPG}((\mathcal{O}G)B) \mid Q_\delta \text{ is associated with } (Q, s(\overline{b}_Q))\}$$

in which  $Q_\gamma \mapsto Q_{i_*(\gamma)}$  for all  $Q_\gamma \in \mathcal{LPG}((\mathcal{O}H)b)$  such that  $Q_\gamma$  is associated with  $(Q, \overline{b}_Q)$ ;

(f) Let  $(P, \overline{b}_P)$  be a  $b$ -subpair of  $H$  and let  $(P, s(\overline{b}_P))$  be the corresponding  $B$ -subpair of  $G$ . Let  $b_P$  be the unique block idempotent of  $Z(\mathcal{O}C_H(P))$  that “lifts”  $\overline{b}_P$ . Then  $b_P({}^x b_P) = 0$  for all  $x \in C_G(P) - C_H(P)$ ,  $s(b_P) = \text{Tr}_{C_H(P)}^{C_G(P)}(b_P)$  is a block idempotent of  $\mathcal{O}C_G(P)$  that “lifts”  $s(\overline{b}_P)$  and Theorem 1.6 applies to  $b_P \in \text{Bl}(\mathcal{O}C_H(P))$  where  $C_H(P) \leq C_G(P)$ ; and

(g) Let  $(D, \overline{b}_D)$  be a maximal  $b$ -subpair of  $H$ . Let  $P \leq D$  and let  $(P, \overline{b}_P)$  be the unique  $b$ -subpair of  $H$  such that  $(P, \overline{b}_P) \leq (D, \overline{b}_D)$ . Then

$$\text{Ind}_{C_H(P)}^{C_G(P)}(*): R_{\mathcal{K}}(C_H(P), b_P) \rightarrow R_{\mathcal{K}}(C_G(P), s(b_P))$$

is a perfect isometry and consequently induces the linear map

$$\text{Ind}_{C_H(P)}^{C_G(P)}(*)' : CF_{P'}(C_H(P), b_P, \mathcal{K}) \rightarrow CF_{P'}(C_G(P), s(b_P), \mathcal{K}).$$

Let  $u \in D$  and set  $P = \langle \mu \rangle$ . Then

$$d_G^{(u, s(b_P))} \circ \text{Ind}_H^G(*) = \text{Ind}_{C_H(P)}^{C_G(P)}(*)' \circ d_H^{(u, b_P)} : CF(H, b, \mathcal{K}) \rightarrow CF_{P'}(C_G(P), s(b_P), \mathcal{K}).$$

Consequently the perfect isometry  $\text{Ind}_H^G(*): R_{\mathcal{K}}(H, b) \rightarrow R_{\mathcal{K}}(G, B)$  is part of an isotopy between  $b$  and  $B$  with local system the family  $\{\text{Ind}_{C_H(P)}^{C_G(P)}(*) \mid P \leq D, P \text{ cyclic}\}$ .

REMARK 1.9. In the situation of Theorem 1.8 and after Theorem 1.6 (a) has been established, the more general investigations of [6] apply (cf. [6, Remark 1.3 (a)]).

**2. Preliminary results**

Let  $G$  be a finite group and let  $(\mathcal{O}, \mathcal{K}, k = \mathcal{O}/J(\mathcal{O}))$  be a  $p$ -modular system that is “large enough” for all subgroups of  $G$ . We shall, as in [3], set  $CF_{p'}(G, \mathcal{K}) = \{f \in CF(G, \mathcal{K}) \mid f(G - G_{p'}) = (0)\}$ .

Let  $u$  be a  $p$ -element of  $G$  and set  $P = \langle u \rangle$ . Let  $\chi \in \text{Irr}_{\mathcal{K}}(G)$  and let  $\phi \in \text{Irr } Br_{\mathcal{K}}(C_G(P)) \subseteq CF_{p'}(C_G(P), \mathcal{K})$ . We shall let  $d_u(\chi, \phi)$  denote the generalized decomposition number associated to  $u \in G_p$ ,  $\chi \in \text{Irr}_{\mathcal{K}}(G)$  and  $\phi \in \text{Irr } Br_{\mathcal{K}}(C_G(P))$ , cf. [5, IV, Section 6]. Thus  $d_G^u(\chi)(*) \in CF_{p'}(C_G(P), \mathcal{K})$  where  $d_G^u(\chi)(s) = \chi(us) = \sum_{\phi \in \text{Irr } Br_{\mathcal{K}}(C_G(P))} d_u(\chi, \phi)\phi(s)$  for all  $s \in C_G(P)_{p'}$ . Moreover, as in [3, Section 4A], if  $b \in \text{Bl}(\mathcal{O}G)$  and  $b_P \in \text{Bl}(\mathcal{O}C_G(P))$ , then  $d_G^{(u, b_P)}: CF(G, b, \mathcal{K}) \rightarrow CF_{p'}(C_G(P), b_P, \mathcal{K})$  is defined by: if  $\alpha \in CF(G, b, \mathcal{K})$  and  $s \in C_G(P)_{p'}$ , then  $(d_G^{(u, b_P)}(\alpha))(s) = (b_P \cdot d_G^u(\alpha))(s) = \alpha(usb_P)$ .

Since  $\text{Irr}_{\mathcal{K}}(b)$  is a basis of  $CF(G, b, \mathcal{K})$ , the  $\mathcal{K}$ -linear map  $d_G^{(u, b_P)}$  is characterized by the well-known:

**Lemma 2.1.** *Let  $\chi \in \text{Irr}_{\mathcal{K}}(b)$ . If  $Br_P(b)\bar{b}_P = 0$ , then  $d_G^{(u, b_P)}(\chi) = 0$ . If  $Br_P(b)\bar{b}_P = \bar{b}_P$ , then  $d_G^{(u, b_P)}(\chi) = \sum_{\phi \in \text{Irr } Br_{\mathcal{K}}(b_P)} d_u(\chi, \phi)\phi$*

Proof. With the notation and hypotheses of this lemma, the first statement is a consequence of Brauer’s Second Main Theorem on Blocks ([5, IV, Theorem 6.1]) and the second statement is a consequence of [2, Theorem A2.1]. □

REMARK 2.2. As above, if  $\phi \in \text{Irr } Br_{\mathcal{K}}(C_G(P))$  corresponds to  $\gamma \in \mathcal{LP}((\mathcal{O}G)^P)$  (i.e.,  $\phi$  is the irreducible Brauer character obtained from the irreducible  $kC_G(P)$ -module  $kC_G(P)Br_P(j)/J(kC_G(P)Br_P(j))$  for any  $j \in \gamma$ ), then, by [10, Theorem 43.4]  $d_u(\chi, \phi) = \chi(uj)$  for any  $j \in \gamma$ .

**3. Proofs**

As noted above, Lemma 1.1 and Proposition 1.2 are well-known and easy to prove.

Proof of Lemma 1.4. Assume the hypotheses of Lemma 1.4. Let  $S$  be a set of double  $(H, H)$ -coset representatives in  $G$  such that  $1 \in S$  and let  $X, Y$  be irreducible modules in  $(\mathcal{K}H)e$ -mod. Here

$$\begin{aligned} \text{Hom}_{\mathcal{K}G}(\text{Ind}_H^G(X), \text{Ind}_H^G(Y)) &\cong \text{Hom}_{\mathcal{K}H} \left( X, \bigoplus_{s \in S} (\mathcal{K}(HsH) \otimes_{\mathcal{K}H} Y) \right) \\ &\cong \bigoplus_{s \in S} \text{Hom}_{\mathcal{K}H}(X, \mathcal{K}(HsH) \otimes_{\mathcal{K}H} Y) \end{aligned}$$



in  $\mathcal{K}$ -mod. Thus  $\text{Hom}_{\mathcal{K}H}(X, \mathcal{K}(HsH) \otimes_{\mathcal{K}H} Y) = (0)$  for all  $1 \neq s \in S$ .

Fix  $1 \neq s \in S$  and an irreducible module  $X$  in  $(\mathcal{K}H)e$ -mod.

We assert: (\*)  $\text{Hom}_{\mathcal{K}H}(X, \mathcal{K}(HsH) \otimes_{\mathcal{K}H} V) = (0)$  for all  $V$  in  $(\mathcal{K}H)e$ -mod.

Indeed, we may assume that  $V$  is reducible in  $(\mathcal{K}H)e$ -mod and we proceed by induction on  $\dim(V/\mathcal{K})$ . Let  $V_1$  be a maximal submodule of  $V$ . Then

$$(0) \rightarrow V_1 \rightarrow V \rightarrow V/V_1 \rightarrow (0)$$

is a short exact sequence in  $(\mathcal{K}H)e$ -mod. Thus, since  $\mathcal{K}(HsH)|(\mathcal{K}G)$  in  $\mathcal{K}H$ -mod- $\mathcal{K}H$ ,

$$(0) \rightarrow \mathcal{K}(HsH) \otimes_{\mathcal{K}H} V_1 \rightarrow \mathcal{K}(HsH) \otimes_{\mathcal{K}H} V \rightarrow \mathcal{K}(HsH) \otimes_{\mathcal{K}H} (V/V_1) \rightarrow (0)$$

is a short exact sequence in  $\mathcal{K}H$ -mod. Consequently

$$\begin{aligned} \text{Hom}_{\mathcal{K}H}(X, \mathcal{K}(HsH) \otimes_{\mathcal{K}H} V_1) \\ \rightarrow \text{Hom}_{\mathcal{K}H}(X, \mathcal{K}(HsH) \otimes_{\mathcal{K}H} V) \\ \rightarrow \text{Hom}_{\mathcal{K}H}(X, \mathcal{K}(HsH) \otimes_{\mathcal{K}H} (V/V_1)) \end{aligned}$$

is exact in  $\mathcal{K}$ -mod and we conclude from the induction hypothesis that

$$\text{Hom}_{\mathcal{K}H}(X, \mathcal{K}(HsH) \otimes_{\mathcal{K}H} V) = (0).$$

This establishes (\*).

Since  $X$  can be any irreducible  $(\mathcal{K}H)e$ -module, (\*) implies that  $\text{Soc}(e\mathcal{K}(HsH) \otimes_{\mathcal{K}H} V) = (0)$  for any module  $V$  in  $(\mathcal{K}H)e$ -mod. Thus  $e\mathcal{K}(HsH)e \otimes_{\mathcal{K}H} V = (0)$  for any module  $V$  in  $(\mathcal{K}H)e$ -mod and we are done.  $\square$

**Proof of Theorem 1.6.** Assume the hypotheses of Theorem 1.6. Applying Proposition 1.2, [5, V, Lemma 1.2] and [5, III, Lemma 9.6],  $D$  is contained in a defect group of  $B \in \text{Bl}(\mathcal{O}G)$ . Since  $\text{Ind}_H^G: \text{Irr}_{\mathcal{K}}(b) \rightarrow \text{Irr}_{\mathcal{K}}(B)$  is bijective, [5, IV, Theorem 4.5] and degree considerations complete a proof of (a). Clearly  $(\mathcal{O}G)b$  is indecomposable in  $\mathcal{O}(G \times H)(B \otimes_{\mathcal{O}} b^0)$ -mod and  $D \times D$  is a defect group of  $B \otimes_{\mathcal{O}} b^0 \in \text{Bl}(\mathcal{O}(G \times H))$ . Also  $(\mathcal{O}H)b|_{\text{Res}_{H \times H}^{G \times H}}((\mathcal{O}G)b)$  in  $\mathcal{O}(H \times H)$ -mod and  $(\mathcal{O}H)b$  is indecomposable in  $\mathcal{O}(H \times H)$ -mod with  $\Delta D$  as a vertex and trivial  $\Delta D$ -source. Then [5, III, Lemma 4.6 (ii) and Corollary 6.8] implies the last part of (b). Thus (b) holds, [5, III, Corollary 4.7] yields (c) and (d) and (e) are clear. Finally (e) and [5, Theorem 47.10 (b)] yield (f).  $\square$

**Proof of Proposition 1.7.** Assume the situation of this proposition. Let  $V$  and  $W$  be irreducible  $(kH)\bar{b}$ -modules with irreducible characters  $\phi_V, \phi_W$  in  $\text{Irr}_k(\bar{b})$  and irreducible Brauer characters  $\beta_V, \beta_W$  in  $\text{Irr } Br_{\mathcal{K}}(b)$ .

Assume that (a) holds. Then  $\text{Ind}_H^G(V)$  is an irreducible  $kG$ -module and  $\text{Ind}_H^G(\beta_V) = \beta_{\text{Ind}_H^G(V)} \in \text{Irr } Br_{\mathcal{K}}(G)$ . Similarly  $\text{Ind}_H^G(W)$  is an irreducible  $kG$ -module and  $\text{Ind}_H^G(\beta_W) = \beta_{\text{Ind}_H^G(W)} \in \text{Irr } Br_{\mathcal{K}}(G)$ . Suppose that  $\beta_{\text{Ind}_H^G(V)} = \beta_{\text{Ind}_H^G(W)}$ . Then

$$\phi_{\text{Ind}_H^G(V)} = \overline{\beta_{\text{Ind}_H^G(V)}} = \overline{\beta_{\text{Ind}_H^G(W)}} = \phi_{\text{Ind}_H^G(W)}, \quad \text{Ind}_H^G(V) \cong \text{Ind}_H^G(W) \quad \text{in } kG\text{-mod}$$

and hence  $\text{Ind}_H^G(\phi_V) = \text{Ind}_H^G(\phi_W)$ . But then  $\phi_V = \phi_W$ ,  $V \cong W$  in  $(kH)\bar{b}$ -mod and  $\beta_V = \beta_W$ , so that (c) follows.

Assume that (c) holds. Then  $\bar{\beta}_V = \phi_V \in \text{Irr}_k(\bar{b})$  and  $\text{Ind}_H^G(\beta_V) = \beta_{\text{Ind}_H^G(V)} \in \text{Irr } Br_{\mathcal{K}}(G)$ . Thus  $\text{Ind}_H^G(\phi_V) = \phi_{\text{Ind}_H^G(V)} \in \text{Irr}_{\mathcal{K}}(G)$ . Similarly  $\beta_{\text{Ind}_H^G(W)} \in \text{Irr } Br_{\mathcal{K}}(G)$  and  $\text{Ind}_H^G(\phi_W) = \phi_{\text{Ind}_H^G(W)} \in \text{Irr}_k(G)$ . Suppose that  $\text{Ind}_H^G(\phi_V) = \text{Ind}_H^G(\phi_W)$ . Then  $\beta_{\text{Ind}_H^G(V)} = \beta_{\text{Ind}_H^G(W)} = \text{Ind}_H^G(\beta_V) = \text{Ind}_H^G(\beta_W)$ , so that  $\beta_V = \beta_W$ ,  $\phi_V = \phi_W$  and (a) holds. Consequently (a) and (c) are equivalent.

That (d) implies (a), (b) and (c) is a consequence of Theorem 1.6 (b). Assume (a) and let  $g \in G - H$ . Then  $M = b\mathcal{O}(HgH)b$  is an  $\mathcal{O}$ -lattice where  $\bar{M} = \bar{b}k(HgH)\bar{b} = (0)$  by Corollary 1.5. Consequently  $b\mathcal{O}(HgH)b = (0)$  and (d) holds.

Assume (b) and for each  $\chi \in \text{Irr}_{\mathcal{K}}(b)$ , let  $e_\chi = (\chi(1)/|H|)(\sum_{h \in H} \chi(h^{-1})h)$  be the primitive idempotent of  $Z(\mathcal{K}H)$  corresponding to  $\chi$ . Then  $e_\chi({}^g e_\chi) = 0$  for all  $g \in G - H$  by Corollary 1.5 and  $\text{Tr}_H^G(e_\chi) = e_{\text{Ind}_H^G(\chi)}$  is the primitive idempotent of  $Z(\mathcal{K}G)$  corresponding to  $\text{Ind}_H^G(\chi)$ . Let  $\chi, \psi \in \text{Irr}_{\mathcal{K}}(b)$  and let  $g \in G - H$ . Then  $e_\chi({}^g e_\psi) = (e_\chi \text{Tr}_H^G(e_\chi))(\text{Tr}_H^G(e_\chi)^g e_\psi) = 0$ , (d) holds and our proof is complete.  $\square$

Proof of Theorem 1.8. For (a), note that  $Br_P(b)\bar{b}_P = \bar{b}_P$ . Let  $x \in C_G(P) - C_H(P)$ ; then

$$\bar{b}_P({}^x \bar{b}_P) = \bar{b}_P Br_P(b) Br_P({}^x b)({}^x \bar{b}_P) = \bar{b}_P Br_P(b({}^x b))({}^x \bar{b}_P) = 0.$$

Thus  $\text{Stab}_{C_G(P)}(\bar{b}_P) = C_H(P)$  and, since  $Br_P(B)\bar{b}_P = \bar{b}_P$ , we conclude that

$$Br_P(B) \text{Tr}_{C_H(P)}^{C_G(P)}(\bar{b}_P) = \text{Tr}_{C_H(P)}^{C_G(P)}(\bar{b}_P).$$

Then Proposition 1.2 and Theorem 1.6 yield (a). Since  $Br_P(b)s(\bar{b}_P) = \bar{b}_P$ , (b) holds.

Let  $(Q, \bar{b}_Q)$  and  $(P, \bar{b}_P)$  be  $b$ -subpairs of  $H$  and let  $S$  be a left transversal of  $C_H(Q)$  in  $C_G(Q)$  with  $1 \in S$ , so that  $C_G(Q) = \bigcup_{s \in S} sC_H(Q)$  is disjoint. Let  $h \in H$  be such that  ${}^h(Q, \bar{b}_Q) = (P, \bar{b}_P)$ . Then

$${}^h s(\bar{b}_Q) = \sum_{s \in S} (hs)\bar{b}_Q = \sum_{s \in S} (hsh^{-1})(h\bar{b}_Q) = s(\bar{b}_P)$$

and hence

$$C_G(P)\{h \in H \mid {}^h(Q, \bar{b}_Q) = (P, \bar{b}_P)\} \leq \{g \in G \mid {}^g(Q, s(\bar{b}_Q)) = (P, s(\bar{b}_P))\}.$$

Conversely, let  $g \in G$  be such that  ${}^g(Q, s(\bar{b}_Q)) = (P, s(\bar{b}_P))$ . Then  ${}^gB = B$  and  ${}^g\Omega = \Omega$ . Let  $U$  be a left transversal of  $C_H(P)$  in  $C_G(P)$  with  $1 \in U$ , so that  $C_G(P) = \bigcup_{u \in U} uC_H(P)$  is disjoint. Here  ${}^gs(\bar{b}_Q) = s(\bar{b}_P) = \sum_{u \in U} Br_P(u)b({}^u\bar{b}_P)$ ,  $Br_Q(b)s(\bar{b}_Q) = \bar{b}_Q$  and  $Br_P(u)b({}^u\bar{b}_P) = {}^u\bar{b}_P$  for all  $u \in U$ . Thus

$$0 \neq {}^g\bar{b}_Q = Br_P({}^gb)s(\bar{b}_P) = \sum_{u \in U} Br_P({}^gb)({}^u\bar{b}_P).$$

We conclude that  ${}^gb = {}^bu$  for some  $u \in U$  and so  $g = uh$  for some  $h \in H$ . But then  ${}^g(Q, s(\bar{b}_Q)) = {}^u({}^hQ, {}^hs(\bar{b}_Q)) = (P, s(\bar{b}_P))$  and  $({}^hQ, {}^hs(\bar{b}_Q)) = (P, s(\bar{b}_P))$ . Since  $Br_Q(b)s(\bar{b}_Q) = \bar{b}_Q$ , we have  $Br_P(b)s(\bar{b}_P) = {}^h\bar{b}_Q$  and then  ${}^h\bar{b}_Q = \bar{b}_P$ , which completes a proof of (c) (i).

For a proof of (c) (ii), it suffices to assume that  $Q \trianglelefteq P$ . First suppose that  $(Q, \bar{b}_Q) \leq (P, \bar{b}_P)$ . Thus  $\bar{b}_Q$  is  $P$ -stable and  $Br_P(b_Q)\bar{b}_P = \bar{b}_P$ . As  $P \leq N_G(Q)$ , we conclude that  $P$  stabilizes  $s(\bar{b}_Q)$ . Let  $U$  be a left transversal of  $C_H(P)$  in  $C_G(P)$  with  $1 \in U$ . Here

$$Br_P(s(\bar{b}_Q))\bar{b}_P = Br_P(s(\bar{b}_Q)) Br_P(\bar{b}_Q)\bar{b}_P = Br_P(\bar{b}_Q)\bar{b}_P = \bar{b}_P$$

and, since  $C_G(P) \leq C_G(Q)$ , we have  $Br_P(s(\bar{b}_Q)){}^u\bar{b}_P = {}^u\bar{b}_P$  for all  $u \in U$ . Thus  $Br_P(s(\bar{b}_Q))s(\bar{b}_P) = s(\bar{b}_P)$ . Conversely, suppose that  $(Q, s(\bar{b}_Q)) \leq (P, s(\bar{b}_P))$ . Then  $s(\bar{b}_Q) \in (kC_G(Q))^P$  and  $Br_P(s(\bar{b}_Q))s(\bar{b}_P) = s(\bar{b}_P)$ . Utilizing [10, Lemma 40.2],

$$\begin{aligned} s(\bar{b}_P) Br_P(b) &= \bar{b}_P = Br_P(s(\bar{b}_Q))s(\bar{b}_P) Br_P(b) \\ &= Br_{P/Q}(s(b_Q) Br_Q(b))\bar{b}_P = Br_{P/Q}(\bar{b}_Q)\bar{b}_P = Br_P(\bar{b}_Q)\bar{b}_P. \end{aligned}$$

Since  $s(\bar{b}_Q) Br_Q(b) = \bar{b}_Q$ ,  $\bar{b}_Q$  is  $P$ -stable and so  $(Q, \bar{b}_Q) \leq (P, \bar{b}_P)$  which completes a proof of (c) (ii).

Let  $(P', \bar{B}_{P'})$  be a  $B$ -subpair of  $G$ . Let  $(D, b_D)$  be a maximal  $b$ -subpair of  $H$ ; thus  $(D, s(\bar{b}_D))$  is a maximal  $B$ -subpair of  $G$ . Then there is an  $x \in G$  such that  ${}^x(P', \bar{B}_{P'}) \leq (D, s(\bar{b}_D))$ . Thus  $({}^xP', {}^x\bar{B}_{P'}) \leq (D, s(\bar{b}_D))$  and setting  $Q = {}^xP'$ , we have  $(Q, \bar{b}_Q) \leq (D, \bar{b}_D)$  for a unique  $\bar{b}_Q \in Bl(kC_H(Q))$ . But then  $(Q, s(\bar{b}_Q)) \leq (D, s(\bar{b}_D))$ ; consequently  ${}^x\bar{B}_{P'} = s(\bar{b}_Q)$  and  ${}^x(P', \bar{B}_{P'}) = (Q, s(\bar{b}_Q))$ , which completes a proof of (d).

For (e), let  $(Q, \bar{b}_Q)$  be a  $b$ -subpair of  $H$ . By (a),  $kC_H(Q)\bar{b}_Q$ -mod and  $kC_G(Q)\bar{B}_Q$ -mod are Morita equivalent. Thus  $|\mathcal{P}(kC_H(Q)\bar{b}_Q)| = |\mathcal{P}(kC_G(Q)\bar{B}_Q)|$ . Clearly

$$|\{Q_\gamma \in \mathcal{LPG}((OH)b) \mid Q_\gamma \text{ is associated with } (Q, \bar{b}_Q)\}| = |\mathcal{P}(kC_H(Q)\bar{b}_Q)|$$

and

$$|\{Q_\delta \in \mathcal{LPG}((OG)B) \mid Q_\delta \text{ is associated with } (Q, s(\bar{b}_Q))\}| = |\mathcal{P}(kC_G(Q)s(\bar{b}_Q))|.$$

Also if  $Q_\gamma \in \mathcal{LPG}((\mathcal{O}H)b)$  and  $Q_\gamma$  is associated with  $(Q, \bar{b}_Q)$  and  $j \in \gamma$ , then

$$Br_Q(j)\bar{b}_Q = Br_Q(j) = Br_Q(j)\bar{b}_Q s(\bar{b}_Q) = Br_Q(j)s(\bar{b}_Q).$$

Thus  $\iota_*(Q_\gamma) \in \mathcal{LPG}((\mathcal{O}G)B)$  and  $i_*(Q_\gamma)$  is associated with  $(Q, s(\bar{b}_Q))$ . The desired conclusion now follows from Theorem 1.6 (e).

Let  $(P, \bar{b}_P)$ ,  $(P, s(\bar{b}_P))$  and  $b_P$  be as in (f). Note that  $\text{Ind}_H^G: \text{Irr}_k(\bar{b}_P) \rightarrow \text{Irr}_k(s(\bar{b}_P))$  is a bijection by (a). Then Proposition 1.2 and Theorem 1.6 yield (f).

Let  $(D, \bar{b}_D)$  and  $(P, b_P)$  be as in (g). Then Theorem 1.6 (b) and [3, Proposition 1.2] imply that  $\text{Ind}_{C_H(P)}^{C_G(P)}(*): \mathcal{RK}(C_H(P), b_P) \rightarrow \mathcal{RK}(C_G(P), s(b_P))$  is a perfect isometry that induces the linear map

$$\text{Ind}_{C_H(P)}^{C_G(P)}(*)' : CF_{p'}(C_H(P), b_P, \mathcal{K}) \rightarrow CF_{p'}(C_G(P), s(b_P), \mathcal{K}).$$

Let  $u \in D$ , set  $P = \langle u \rangle$  and let  $\psi \in \text{Irr}_{\mathcal{K}}(b)$ . Then, by Lemma 2.1,

$$\text{Ind}_{C_H(P)}^{C_G(P)}(d^H(u, b_P)(\psi)) = \sum_{\phi \in \text{Irr } Br_{\mathcal{K}}(b_P)} d_u(\psi, \phi)(\text{Ind}_{C_H(P)}^{C_G(P)}(\phi))$$

and

$$d_G^{(u, s(b_P))}(\text{Ind}_H^G(\psi)) = \sum_{\phi \in \text{Irr } Br_{\mathcal{K}}(b_P)} (d_u(\text{Ind}_H^G(\psi), \text{Ind}_{C_H(P)}^{C_G(P)}(\phi)) \text{Ind}_{C_H(P)}^{C_G(P)}(\phi)).$$

The desired conclusion now follows from [11, Theorem 1 (iv)]. An alternate proof can be obtained from [10, Theorem 43.4]. Indeed, let  $\phi \in \text{Irr } Br_{\mathcal{K}}(b_P)$  and let  $\gamma \in \mathcal{LP}(((\mathcal{O}H)b)^P)$  correspond as in Remark 2.2. It is easy to see that  $\text{Ind}_{C_H(P)}^{C_G(P)}(\phi) \in \text{Irr } Br_{\mathcal{K}}(s(b_P))$  corresponds  $i(\gamma) \in \mathcal{LP}((\mathcal{O}G)B)^P$ . Let  $j \in \gamma$ . Here Proposition 1.2 (d) implies that  $\text{Ind}_H^G(\psi)(uj) = \psi(uj)$  and the desired conclusion follows from Remark 2.2. □

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