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THE FIRST EIGENVALUE OF *P*-MANIFOLDS

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1. Introduction

Let (M,g) be a compact Riemannian manifold, Δ the Laplacian of (M,g) and $\operatorname{Spec}(M,g) := \{0 = \lambda_0 < \lambda_1 < \lambda_2 < \cdots\}$ the spectrum of Δ of (M,g).

It is an important problem in geometry to find lower bounds for the eigenvalues of Δ of (M, q) in terms of the given geometric data and characterize those Riemannian manifolds (M, q) for which these lower bounds are attained. Lichnerowicz proved in [8] that if (M,g) is a complete Riemannian manifold of dimension $n \geq 2$ with Ricci curvature $Ric_M \geq l$, where l is a positive constant, then the first eigenvalue λ_1 satisfies the inequality $\lambda_1 \geq n/(n-1)l$. Later Obata proved in [9] that equality is attained only for the round sphere of radius $\sqrt{(n-1)/l}$. Antonio Ros studied this problem for P-manifolds. Let us recall that a manifold (M, g) is called a P-manifold, if all the geodesics of (M, g) are periodic. It is well known that these geodesics admit a minimum common period. By normalising the metric we may assume that the period is 2π and call the manifold (M,g) a $P_{2\pi}$ -manifold (See [2]) for a detailed study of P-manifolds). Antonio Ros proved in [12] that if (M,g) is a $P_{2\pi}$ -manifold of dimension $n \geq 2$ with Ricci curvature $\operatorname{Ric}_M \geq l$, then the first eigenvalue λ_1 satisfies the inequality $\lambda_1 \ge (1/3)(2l + n + 2)$ and equality is attained iff for any first eigenfunction f we have that $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for $u \in UM$. He further remarked that in view of Obata's theorem, this should happen only for a small class of manifolds.

In this paper we substantiate his claim by proving

Theorem 1. Let (M,g) be a $P_{2\pi}$ -manifold of dimension $n \ge 2$ with Ricci curvature $\operatorname{Ric}_M \ge l$ and $\lambda_1 = (1/3)(2l + n + 2)$. Then

- (a) λ₁ = (k(m + 1))/2 = λ₁(M) and l = Ric_M where M is a simply connected compact rank-1 symmetric space (CROSS) of dimension n = km with sectional curvature 1/4 ≤ K_M ≤ 1 and k = 1, 2, 4, 8 or n is the degree of the generator of H*(M,Q) = H*(M,Q) and H*(M,Z₂) = H*(M,Z₂) where M is the universal cover of M.
 - (b) If $k \ge 4$ then M is simply connected and the integral cohomology ring of M is same as that of \overline{M} .

- (c) If k = 2 then either M is simply connected or M is non-orientable and it has a two sheeted simply connected cover \widetilde{M} . Moreover $H^*(\widetilde{M}, \mathbb{Z}) = H^*(\widetilde{M}, \mathbb{Z})$.
- 2. If k = 1 then (M, \tilde{g}) is isometric to S^n with constant sectional curvature 1/4.
- 3. If k = n then (M, g) is isometric to S^n with constant sectional curvature 1 (Lichnerowicz-Obata theorem).
- 4. If k = 2, 4 or 8 and if there is a first eigenfunction f without saddle points then the universal cover $(\widetilde{M}, \widetilde{g})$ of (M, g) is isometric to \overline{M} of dimension km.

Remarks.

- 1. In 1c) it should be noted that, if $(1/2) \dim M$ is even then M is forced to be simply connected (See Lemma 2.12 and Proposition 2.16).
- 2. In CROSSes there are first eigenfunctions admitting saddle points. For instance on \mathbb{CP}^n , consider the function defined by

$$f([z_0, z_1, \dots, z_n]) = \frac{a_0 |z_0|^2 + a_1 |z_1|^2 + \dots + a_n |z_n|^2}{|z_0|^2 + |z_1|^2 + \dots + |z_n|^2}$$

in homogeneous co-ordiantes. This function has as many critical values as there are distinct a_i 's; if there are p distinct a_i 's and each a_i occurs m_i times then the number of eigenvalues of hessian of the function f on each critical submanifold is p and the multiplicity of the *i*-th eigenvalue is $2m_i$. In this example, we get a first eigenfunction without saddle points, only if these a_i 's take exactly two values as *i* runs from 0 to n.

In fact a generic first eigenfunction is a Morse function.

The main step in the proof of Theorem 1 is the following

Theorem 2. Let (M,g) be a $P_{2\pi}$ -manifold of dimension $n \ge 2$ and λ be an eigenvalue of Δ with an eigenfunction f such that $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for $u \in UM$. Then $\lambda = (k(m+1))/2 = \lambda_1(\overline{M})$ where \overline{M} is as in Theorem 1.

REMARK. That the behaviour of f is strikingly similar to that in the model CROSSes is also borne out by the auxillary results proved in this paper.

We refer to [2] and [6] for definitions, basic tools and results used in this paper.

2. Preliminaries

In this section we study the topology of critical sets of the function f of the form $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for $u \in UM$ on a $P_{2\pi}$ -manifold (M, g).

DEFINITION. Let (M, g) be a complete Riemannian manifold. A subset $B \subseteq M$

is called *totally a-convex* if for any pair of points $a_1, a_2 \in B$ and any geodesic $\gamma : [0, r] \to M$ with $\gamma(0) = a_1, \gamma(r) = a_2$ and r < a, we have $\gamma([0, r]) \subseteq B$ (See [7]).

Theorem 3. Let (M,g) be a $P_{2\pi}$ -manifold and $f \in C^{\infty}(M)$ be such that $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for $u \in UM$. Then

- 1. For each critical value α of the function f, the set $D_{\alpha} := \{x \in M : f(x) = \alpha \text{ and } \nabla f(x) = 0\}$ is a totally 2π -convex, totally geodesic submanifold of (M,g) without boundary.
- 2. $d(D_{\alpha}, D_{\beta}) = \pi$ for $\alpha \neq \beta$.
- 3. The function f has only finitely many critical values.

2.1. Proof of Theorem 3

Let $x \in M$. Then $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for every $u \in U_x M$, the unit sphere in $T_x M$. If x is a critical point of the function f, then, since $\nabla f(x) = 0$, we have that

$$B_u = \left. \frac{d}{dt} \right|_{t=0} f(\gamma_u(t))$$
$$= \left< \nabla f(x), \gamma'_u(0) \right>$$
$$= 0$$

Therefore if x is a critical point of the function f, then $f(\gamma_u(t)) = A_u \cos t + C_u$ for every $u \in U_x M$.

To prove Theorem 3(1) we will first prove some lemmas.

Lemma 2.1. Let $x \in D_{\alpha}$, u a unit vector at x and γ_u the corresponding geodesic. If J_v is a normal Jacobi field along γ_u such that $J_v(0) = 0$ and $J'_v(0) = v$, then $(J_v f)(\gamma_u(t)) = -2\langle \nabla^2 f(u), v \rangle (\cos t - 1)$.

Proof. Without loss of generality we may assume that v is a unit vector orthogonal to u. Let $u_{\theta} := \cos \theta u + \sin \theta v$ in $U_x M$. Then $f(\gamma_{u_{\theta}}(t)) = A_{u_{\theta}}(\cos t - 1) + \alpha$, where

$$\begin{aligned} A_{u_{\theta}} &= -\langle \nabla^2 f(u_{\theta}), u_{\theta} \rangle \\ &= -\cos^2 \theta A_u - \sin^2 \theta A_v - 2\sin \theta \cos \theta \langle \nabla^2 f(u), v \rangle \end{aligned}$$

Hence

$$(J_v f)(\gamma_u(t)) = \left. \frac{\partial}{\partial \theta} \right|_{\theta=0} f(\gamma_{u_\theta}(t))$$
$$= \left. \frac{\partial}{\partial \theta} \right|_{\theta=0} [A_{u_\theta}(\cos t - 1) + \alpha]$$

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$$= -2\langle \nabla^2 f(u), v \rangle(\cos t - 1) \qquad \Box$$

Corollary 2.2. If u is an eigenvector of $\nabla^2 f$ in Lemma 2.1, then ∇f is tangential to γ_u for all t.

Proof. As v is orthogonal to u in Lemma 2.1, if $-\nabla^2 f(u) = \mu u$, then $(J_v f)(\gamma_u(t)) = 0$ for all t.

Since for almost all t, $J_v(t)$ can be made any vector normal to $\gamma'_u(t)$, $\nabla f(\gamma_u(t))$ can have no component normal to $\gamma'_u(t)$.

REMARK. This Corollary shows that, for $x \in D_{\alpha}$, if μ is an eigenvalue of $-\nabla^2 f(x)$ and E_{μ} is the corresponding eigensubspace, then for every $u \in S_{\mu}$, the unit sphere in E_{μ} , the geodesics γ_u 's are integral curves of $-\nabla f/||\nabla f||$. As a consequence, it follows from Proposition 1 of [11] that ∇f is an eigenvector of $\nabla^2 f$ along such geodesics.

Corollary 2.3. In Corollary 2.2 above, $\gamma_u(\pi)$ is necessarily a critical point of the function f and $\gamma'_u(\pi)$ is an eigenvector of $-\nabla^2 f$ at $\gamma_u(\pi)$.

Proof. If $-\nabla^2 f(u) = \mu u$, then, since ∇f is tangential to γ_u , we see that $\nabla f(\gamma_u(t)) = \langle \nabla f(\gamma_u(t)), \gamma'_u(t) \rangle \gamma'_u(t)$. Therefore

$$\nabla f(\gamma_u(t)) = \frac{\partial}{\partial t} f(\gamma_u(t)) \gamma'_u(t)$$
$$= \frac{\partial}{\partial t} [\mu(\cos t - 1) + \alpha] \gamma'_u(t)$$
$$= -\mu \sin t \gamma'_u(t)$$

Hence $\nabla f(\gamma_u(\pi)) = 0.$

Also $\lim_{t\to\pi} (\nabla f(\gamma_u(t)))/(t-\pi) = \nabla^2 f(\gamma'_u(\pi))$ by L'Hopitâl's Rule. At the same time

$$\lim_{t \to \pi} \frac{\nabla f(\gamma_u(t))}{t - \pi} = \lim_{t \to \pi} \frac{-\mu \sin t \gamma'_u(t)}{t - \pi}$$
$$= \mu \gamma'_u(\pi)$$

Hence $\nabla^2 f(\gamma'_u(\pi)) = \mu \gamma'_u(\pi).$

We will now come to the

Proof of Theorem 3(1). Let $x, y \in D_{\alpha}$ and γ_u be a geodesic joining x and y such that $\gamma_u(0) = x$ and $\gamma_u(r) = y$ for some $r \in \mathbb{R}^+$. Since $f(x) = f(y) = \alpha$ and

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 $f(\gamma_u(t)) = A_u \cos t + C_u$, we have that $A_u + C_u = A_u \cos r + C_u$. Hence $A_u = 0$ if $r < 2\pi$. This shows that $f(\gamma_u(t)) = \alpha$ for all $t \in [0, r]$.

We will now show that $\gamma_u([0,r]) \subseteq D_\alpha$.

Let $v \perp u$ in $U_x M$. Then we know from Lemma 2.1 that $(J_v f)(\gamma_u(t)) = -2\langle \nabla^2 f(u), v \rangle(\cos t - 1)$. Since $\gamma_u(r) = y$, for $0 < r < 2\pi$, is a critical point of the function f, we see that $(J_v f)(\gamma_u(r)) = 0$. This proves that $\langle \nabla^2 f(u), v \rangle = 0$ for all $v \perp u$. Hence u is an eigenvector of $-\nabla^2 f$ with eigenvalue μ (say). Then $f(\gamma_u(t)) = \mu(\cos t - 1) + \alpha$. However $f(\gamma_u(t)) = \alpha$. Hence $\mu = 0$. Now by Corollary 2.2 and the proof of Corollary 2.3, we know that $\nabla f(\gamma_u(t)) = -\mu \sin t \gamma'_u(t) = 0$. This shows that $\gamma_u(t)$ is a critical point of f for all t. Therefore $\gamma_u(t) \subseteq D_\alpha$ for all t. Hence D_α is totally 2π -convex. We know from theory of convex sets that D_α is a topological manifold with boundary ∂D_α (possibly empty) and $\operatorname{Int}(D_\alpha)$, the interior of D_α , is non-empty, smooth and totally geodesic. Here $\operatorname{Int}(D_\alpha)$ is not the topological interior as a subset of M but the interior of the manifold D_α (See [6]).

It remains to show that $\partial D_{\alpha} = \emptyset$

Now let $p \in \partial D_{\alpha}$ and $q \in \text{Int}(D_{\alpha})$. Then the geodesic segment joining p and q has complementary segment of length less than 2π (as all geodesics are periodic of common period 2π). Hence whole of geodesic is actually contained inside D_{α} and hence there are no boundary points.

Proof of Theorem 3(2). Let α and β be two critical values of the function f such that $\alpha \neq \beta$. Let $x \in D_{\alpha}$ and $y \in D_{\beta}$ with $d(x, y) = t_0$ for some $t_0 \in \mathbb{R}^+$ and γ_u be a geodesic segment such that $\gamma_u(0) = x$ and $\gamma_u(t_0) = y$. Then $f(\gamma_u(t)) = A_u \cos t + C_u$ and

$$-A_u \sin t_0 = \left. \frac{d}{dt} \right|_{t=t_0} f(\gamma_u(t))$$
$$= \langle \nabla f(y), \gamma'_u(t_0) \rangle$$
$$= 0$$

This can happen only if $t_0 = \pi$. This proves that $d(D_\alpha, D_\beta) = \pi$ for $\alpha \neq \beta$.

Proof of Theorem 3(3). It is obvious as the critical submanifolds are constant distance apart. \Box

2.2. In this subsection we will find out the eigenvalues of $\nabla^2 f$ on various D_{α} 's and determine the topology of these D_{α} 's.

Since the function f has only finitely many critical values, we denote these critical values by $\max(f) = \alpha_1, \alpha_2, \dots, \alpha_p = \min(f)$ and we denote by D_i the critical submanifold $\{x \in M : f(x) = \alpha_i \text{ and } \nabla f(x) = 0\}$.

Let $x_0 \in D_{\max} = \{x \in M : f(x) = \max(f)\}$. Then $-\nabla^2 f(x_0)$ is positive semi-definite for each $x \in D_{\max}$. Therefore we can write the distinct eigenvalues of $-\nabla^2 f(x_0)$ as $\mu_p > \mu_{p-1} > \cdots > \mu_2 > \mu_1 = 0$ for some $p \in \{1, 2, \cdots, n\}$. p and μ_i 's may apriori depend on x_0 .

For each *i*, we denote by E_{μ_i} , the μ_i -eigensubspace of $-\nabla^2 f(x_0)$, by S_{μ_i} the unit sphere in E_{μ_i} and by $S_{\mu_i}(0,r)$ the sphere of radius *r* centred at origin in E_{μ_i} . Let $u \in S_{\mu_i}$. Then $\max(f) = A_u + C_u$ and $\mu_i = -\nabla^2 f(u, u) = A_u$. Therefore A_u and hence $C_u = \max(f) - A_u$ are constants on S_{μ_i} . Now we define $S(\mu_i, r) := \exp_x(S_{\mu_i}(0,r))$, the exponential image of the sphere $S_{\mu_i}(0,r)$ of radius *r*. Since $u \in S_{\mu_i}$, it follows from Corollary 2.2 that ∇f is tangential to γ_u for all *t* and hence $\nabla f(\gamma_u(t)) = -\mu_i \sin t \partial_t$ where ∂_t is the radial vector field $\partial/\partial t$. From this we conclude that $\nabla f(y) = 0$ for $y \in D_i(x_0) := S_{\mu_i}(0, \pi)$.

We will now show that $D_i(x_0) = D_i := \{y \in M : f(y) = \max(f) - 2\mu_i \text{ and } \nabla f(y) = 0\}.$

It follows from Corollary 2.3 that $D_i(x_0) \subseteq D_i$. To show that $D_i \subseteq D_i(x_0)$ we start with a Lemma which is a sort of converse to Lemma 2.1.

Lemma 2.4. Let γ_u be a geodesic such that $\gamma_u(0)$ and $\gamma_u(\pi)$ are critical points of the function f. Then both $\gamma'_u(0)$ and $\gamma'_u(\pi)$ are eigenvectors of $\nabla^2 f$.

Proof. Let J_v be the Jacobi field along γ_u such that $J_v(0) = 0$ and $J'_v(0) = v$ for $v \perp u$. We know from Lemma 2.1 that $(J_v f)(\gamma_u(t)) = -2\langle \nabla^2 f(u), v \rangle (\cos t - 1)$. Since $\gamma_u(\pi)$ is a critical point of the function f, at $t = \pi$, $(J_v f)(\gamma_u(\pi)) = 0$. This forces $\langle \nabla^2 f(u), v \rangle = 0$. i.e., $\nabla^2 f$ has u as an eigenvector.

Similarly arguing from the other side we see that $\gamma'_u(\pi)$ is also an eigenvector of $\nabla^2 f$ at $\gamma_u(\pi)$.

Corollary 2.5. If $y \in D_{\alpha}$ and $\beta \neq \alpha$ is another critical value of the function f, then for each $z \in D_{\beta}$ and each geodesic γ joining y and z, $\gamma'(0)$ is in the same eigenspace of $-\nabla^2 f$ at y. Moreover, the eigenvalue is independent of the points y and z.

Proof. If $u = \gamma'(0)$ then $A_u = -\langle \nabla^2 f(u), u \rangle$, and $f(\gamma(t)) = A_u(\cos t - 1) + \alpha$ and so $\beta = -2A_u + \alpha$. Therefore $-A_u = (\beta - \alpha)/2$.

Since it follows from Lemma 2.4 that u is necessarily an eigenvector of $-\nabla^2 f$, the eigenvalue is $(\alpha - \beta)/2$ which is independent of y and z.

This proves that $D_i \subseteq D_i(x_0)$ and hence $D_i = D_i(x_0)$. As a consequence of the Corollary 2.5 above we prove the following

Lemma 2.6. The spectrum of $-\nabla^2 f$ is constant along D_{max} .

Proof. Let $x_0 \in D_{\text{max}}$. Then for each eigenvalue μ_i , we have the submanifold

 $D_i(x_0) = \exp_{x_0}(S_{\mu_i}(0, \pi))$. Also it follows from Lemma 2.4 that, for every $x \in D_{\max}$, the set of unit vectors $\{u \in U_x M : \gamma_u(0) = x \text{ and } \gamma_u(\pi) \in D_i(x_0)\}$ is the unit sphere of the eigenspace of $\nabla^2 f$ with eigenvalue μ_i . This implies that

- 1. The number of distinct eigenvalues of $-\nabla^2 f$ on D_{max} and hence on all the critical submanifolds is constant.
- 2. Each eigenvalue μ_i is constant on D_{max} .

REMARK. This Lemma 2.6 verifies that each critical submanifold D_{α} is nondegenerate in the sense of **R**. Bott.

Now, since μ_i are the only eigenvalues of $-\nabla^2 f$ on D_{\max} it follows from Corollary 2.5 and Lemma 2.6 above that any critical submanifold D_{α} coincides with one of the D_i 's. Hence the only critical values of the function f are $\max(f) - 2\mu_i$ where μ_i 's are the eigenvalues of $-\nabla^2 f$ on D_{\max} and the eigenvalues of $-\nabla^2 f$ on D_i are $\{\mu_{ij} := \mu_j - \mu_i, 1 \le j \le p\}$. Thus we have proved the following

Corollary 2.7.

- 1. For each critical value $\alpha \neq \max(f)$, the critical submanifold D_{α} coincides with D_i for some i where $2 \leq i \leq p$.
- 2. The only critical values of the function f are $\max(f) 2\mu_i$ where μ_i 's are the eigenvalues of $-\nabla^2 f$ on D_{\max} for $1 \le i \le p$. Moreover the eigenvalues of $-\nabla^2 f$ on D_i are $\{\mu_{ij} := \mu_j \mu_i, 1 \le j \le p\}$.

We will now prove the following

Lemma 2.8. Let $x \in D_{\alpha}$, $u \in S_{\mu}(x)$ and $v \in S_{\mu'}(x)$ where $\mu \neq \mu'$ and $S_{\mu}(x)$ be the unit sphere in the eigenspace of $\nabla^2 f(x)$ with eigenvalue μ . Let J_v , as before, denote the Jacobi field along γ_u such that $J_v(0) = 0$ and $J'_v(0) = v$. Then $\langle \nabla^2 f(J_v(\pi)), J_v(\pi) \rangle = -4(\mu' - \mu)$.

Moreover, if $v' \in S_{\mu''}(x)$ such that $\mu'' \neq \mu'$ and v' is orthogonal to u, then $\langle \nabla^2 f(J_v(\pi)), J'_v(\pi) \rangle = 0.$

Proof. By Corollary 2.1 and Corollary 2.3, $\gamma_u(\pi)$ is a critical point of the function f. Hence $\nabla^2 f$ at $\gamma_u(\pi)$ can be identified with the matrix of second partial derivative at this point. Therefore $\langle \nabla^2 f(J_v(\pi)), J_v(\pi) \rangle = -2(\partial^2/\partial\theta^2) |_{\theta=0} A_{u_{\theta}}$ where $u_{\theta} = \cos \theta u + \sin \theta v$ and $A_{u_{\theta}} = -\langle \nabla^2 f(u_{\theta}), u_{\theta} \rangle$. In our situation

$$-A_{u_{\theta}} = \langle \nabla^2 f(u_{\theta}), u_{\theta} \rangle$$
$$= \cos^2 \theta \mu + \sin^2 \theta \mu'$$

Hence $-(\partial^2/\partial\theta^2)|_{\theta=0} A_{u_{\theta}} = -2(\mu'-\mu)$ and $\langle \nabla^2 f(J_v(\pi)), J_v(\pi) \rangle = -4(\mu'-\mu)$. Similarly considering the two parameter variation defined by $u_{\theta,\phi} := \cos\theta u + \frac{1}{2}$

 $\sin\theta(\cos\phi v + \sin\phi v')$ we have that

$$\begin{aligned} A_{u_{\theta,\phi}} &= -\langle \nabla^2 f(u_{\theta,\phi}), u_{\theta,\phi} \rangle \\ &= -(\mu \cos^2 \theta + \sin^2 \theta (\cos^2 \phi \mu' + \sin^2 \phi \mu'')) \end{aligned}$$

and

$$\langle \nabla^2 f(J_v(\pi)), J_{v'}(\pi) \rangle = -2 \left. \frac{\partial}{\partial \theta} \frac{\partial}{\partial \phi} \right|_{\theta=\phi=0} A_{u_{\theta,\phi}}$$
$$= 0 \qquad \qquad \square$$

Corollary 2.9. Let $x \in D_{\alpha}$, $0 \neq v \perp u$, $u \in S_{\mu}(x)$ and J_{v} be the Jacobi field along γ_{u} such that $J_{v}(0) = 0$ and $J'_{v}(0) = v$. If $J_{v}(\pi) = 0$, then $v \in S_{\mu}(x)$.

Proof. Let $v = \sum_{\nu} v_{\nu}$ be the decomposition into eigenvectors. Then $J_v(\pi) = \sum_{\nu} J_{v_{\nu}}(\pi) = 0$. In particular $\langle \nabla^2 f(J_v(\pi)), J_{v_{\nu}}(\pi) \rangle = 0$ for each eigenvalue v_{ν} . By the Lemma 2.8 above this gives $4(\mu - \nu) ||v_{\nu}||^2 = 0$. Therefore $v_{\nu} = 0$ whenever $\mu \neq \nu$.

Corollary 2.10. For $x \in D_{\alpha}$ and for any non-zero eigenvalue μ of $-\nabla^2 f(x)$, the map $\exp_x : S_{\mu}(0, \pi) \to D_{\mu}(x) = D_{\alpha-2\mu}$ is a fibration with (k-1)-dimensional fibres and hence the multiplicity of μ is divisible by k where k-1 is the index of geodesics γ of length 2π in (M, g).

Proof. For each $u \in S_{\mu}(x)$, the geodesic γ_u has index k-1 on $[0, 2\pi)$ and its segments $[0, \pi]$ and $[\pi, 2\pi]$ are both minimizing. Hence all the conjugate points to $\gamma_u(0)$ are concentrated at π . By the Corollary 2.9 above the Jacobi fields must come from $v \in S_{\mu}(x)$. This proves the first part of the Corollary.

By Corollary 2.3, as u runs over $S_{\mu}(x)$, the unit vectors $\gamma'_{u}(\pi)$ exhaust all the eigenvectors of $\nabla^{2} f$ with eigenvalue $-\mu$ sitting along $D_{\alpha-2\mu}$. Hence the multiplicity of μ is divisible by k.

REMARK. Since dim*M* is divisible by *k*, even for $\mu = 0$, the multiplicity is divisible by *k*.

We will now study these fibrations.

Let $x \in D_{\alpha}$ and μ a non-zero eigenvalue of $-\nabla^2 f(x)$ on D_{α} . Then we have seen in Corollary 2.10 that $\exp_x : S_{\mu}(0,\pi) \to D_{\alpha-2\mu}$ is a constant rank map and the rank of \exp_x is dim $E_{\mu} - k$. If k = 1, then $\exp_x : S_{\mu}(0,\pi) \to D_{\alpha-2\mu}$ is a covering. If $k \geq 2$, then either

F1. k-1 = 1, 3, or 7 in which case the connected components of the fibres are homotopy spheres $\sum_{k=1}^{k-1}$ and k-1 = 7 occurs only when $S_{\mu} = S^{15}$ (see [4])

or

F2. $k-1 \neq 1$, 3 and 7 in which case the fibration has to be trivial.

When F2 holds we have the following

Proposition 2.11. Let $x \in D_{\alpha}$ and the fibration $\exp_x : S_{\mu}(0, \pi) \to D_{\alpha-2\mu}$ be such that $k - 1 \neq 1$, 3 and 7. Then

- 1. the fibration is trivial for all critical values α ,
- 2. the function f does not have saddle points and

3. *M* is homeomorphic to S^n .

Proof. If the fibration $\exp_x : S_{\mu}(0,\pi) \to D_{\alpha-2\mu}$ is non-trivial for some critical value α and some non-zero eigenvalue μ of $-\nabla^2 f$ on D_{α} , then from [4] it follows that the connected components of the fibres are homotopy spheres $\sum^{k-1}, k-1=1$, 3, or 7. Hence by our assumption the fibration has to be trivial for all critical values α of the function f. This also shows that all critical submanifolds are singleton.

Since the geodesics from D_{α} to D_{\min} for $\alpha > \min(f)$ must necessarily be in the direction of negative eigenvalues of $\nabla^2 f$, the local minimum i.e., index=0, must necessarily be unique.

Now starting with D_{\min} we attach the discs of radius π from each eigenspace at every level. Since these discs are simply connected and the boundary, being the sphere of dimension greater than or equal to 2 is simply connected, by Van Kampen's Theorem, we get a simply connected space at every stage. Hence M is simply connected. Further from our construction, it is clear that M is also an integral cohomology CROSS and the degree of generator of $H^*(M,\mathbb{Z})$ is k where $k \neq 2$, 4 and 8.

Now it is a result in cohomology theory that in this case k = n, the dimension of M (See [2]). Hence there are only two critical submanifolds D_{max} and D_{min} and they are singletons.

This proves that the function f does not have saddle points and from our construction it is clear that M is homeomorphic to S^n .

REMARK. By case (3) of Theorem 1 (to be proved later), we have isometry with S^n .

Now we come to case F1. First we start with the following

Lemma 2.12.

- 1. Either all D_{α} 's are simply connected integral cohomology CROSSes, or
- 2. all D_{α} 's are non-orientable and $\pi_1(D_{\alpha}) \simeq \mathbb{Z}_2$.

Proof. Let D_{α} and D_{β} be two distinct critical submanifolds. Then, we know from corollaries 2.5 and 2.10 that $\exp_x : S_{(\alpha-\beta)/2}(0,\pi) \to D_{\beta}$ is a fibration for $x \in D_{\alpha}$ and $\exp_y : S_{(\beta-\alpha)/2}(0,\pi) \to D_{\alpha}$ is a fibration for $y \in D_{\beta}$. If the number of connected components in each fibre is r for the fibration $\exp_x : S_{(\alpha-\beta)/2}(0,\pi) \to D_{\beta}$, then by symmetry we see that for the fibration $\exp_y : S_{(\beta-\alpha)/2}(0,\pi) \to D_{\alpha}$ also the number of connected components in each fibre is r. Therefore $\#\pi_1(D_{\alpha}) = \#\pi_1(D_{\beta})$ and we have shown that

- 1. either all D_{α} 's are simply connected, or
- 2. all D_{α} 's are non-simply connected and they all have fundamental groups of same cardinality.

We will now show that when $\pi_1(D_\alpha)$ is non-trivial all D_α 's are non-orientable and $\pi_1(D_\alpha) \simeq \mathbb{Z}_2$.

Since $\exp_x : S_{(\alpha-\beta)/2}(0,\pi) \to D_{\beta}$ is of constant rank, we have a foliation $\mathcal{F}_{\alpha\beta}$ of $S_{(\alpha-\beta)/2}(0,\pi)$ given by the family of (k-1)-planes $\ker(d\exp_x)_u$ for $u \in S_{(\alpha-\beta)/2}(0,\pi)$. For each point $u \in S_{(\alpha-\beta)/2}(0,\pi)$, the leaf through u is the connected component through u in the fibre $\exp_x^{-1}(\exp_x(u))$. Let $\mathcal{L}_{\alpha\beta}$ be the leaf space of this foliation and $\Pi_{\alpha\beta} : S_{(\alpha-\beta)/2}(0,\pi) \to \mathcal{L}_{\alpha\beta}$ the natural projection. Then $S_{(\alpha-\beta)/2}(0,\pi)$ is a (k-1)-sphere bundle over $\mathcal{L}_{\alpha\beta}$ and the map $\mathcal{L}_{\alpha\beta} \to D_{\beta}$ is a covering [2]. Since $\Pi_{\alpha\beta} : S_{(\alpha-\beta)/2}(0,\pi) \to \mathcal{L}_{\alpha\beta}$ is a sphere bundle, it follows that $\mathcal{L}_{\alpha\beta}$ is a simply connected integral cohomlogy CROSS. If we now show that a simply connected integral cohomology CROSSes can have only non-orientable 2-sheeted quotients, then we will be through.

Let Y be a simply connected integral cohomology CROSS. If G is a nontrivial finite group acting fixed point freely on Y, then a simple application of Lefschetz's fixed point Theorem tells us that $G \simeq \mathbb{Z}_2$. Again a simple application of Lefschetz's fixed point Theorem tells us that any \mathbb{Z}_2 action on Y has a fixed point if $H^*(Y,\mathbb{Z}) = H^*(\mathbb{C}a\mathbb{P}^2,\mathbb{Z})$. In other cases it follows from [3] that

- 1. if $H^*(Y,\mathbb{Z}) = H^*(\mathbb{QP}^h,\mathbb{Z})$, then any \mathbb{Z}_2 -action on Y must have a fixed point, and
- 2. if $H^*(Y,\mathbb{Z}) = H^*(\mathbb{CP}^h,\mathbb{Z})$ then a fixed point free action of \mathbb{Z}_2 is possible only when h is odd and in this case the quotient is not orientable.

Thus we have proved that

- 1. if k 1 = 1 then exactly one of the following holds true :
 - (a) For each α, D_α is a simply connected integral cohomology CROSS and the degree of the generator of H*(D_α, Z) is 2, or
 - (b) For each α, D_α is non-orientable, π₁(D_α) ≃ Z₂ and (1/2) dim D_α is odd.

2. if k-1 = 3 or 7, then each D_{α} is a simply connected integral cohomolgy CROSS and the degree of the generator of $H^*(D_{\alpha}, \mathbb{Z})$ is k.

For each $\alpha \neq \beta$, we denote by $D_{\alpha} * D_{\beta}$, the submanifold obtained by attaching the disc bundles of $E_{(\alpha-\beta)/2}$ and $E_{(\beta-\alpha)/2}$ along the boundary set. Then we have the following

Lemma 2.13. Each D_{α} is orientable iff $D_{\alpha} * D_{\beta}$ is orientable. Further if D_{α} is not orientable then $\pi_1(D_{\alpha})$ is isomorphic to $\pi_1(D_{\alpha} * D_{\beta})$.

Proof. Let us assume that each D_{α} is orientable. We saw in the Lemma 2.12 that D_{α} is orienable iff D_{α} is simply connected.

Now, $D_{\alpha}*D_{\beta}$ is obtained by attaching the disc bundles of $E_{(\alpha-\beta)/2}$ and $E_{(\beta-\alpha)/2}$ along the boundary set. These disc bundles are simply connected and the boundary set being S^{rk-1} bundles over D_{α} 's with $r \ge 1$ and $k \ge 2$, is connected. Hence by Van Kampen's Theorem $D_{\alpha}*D_{\beta}$ is simply connected. This proves that if each D_{α} is orientable then $D_{\alpha}*D_{\beta}$ is orientable.

Let us now assume that each D_{α} is non-orientable and we will show that $D_{\alpha} * D_{\beta}$ is non-orientable and $\pi_1(D_{\alpha})$ is isomorphic to $\pi_1(D_{\alpha} * D_{\beta})$.

For each critical value α , we denote by D_{α} , the simply connected two sheeted cover of D_{α} . Then by the arguments above, it follows that $\widetilde{D}_{\alpha}*\widetilde{D}_{\beta}$ (constructed in an obvious way) is a simply connected integral cohomology CROSS covering $D_{\alpha}*D_{\beta}$. This proves that $D_{\alpha}*D_{\beta}$ is non-orientable and $\pi_1(D_{\alpha}*D_{\beta}) \simeq \mathbb{Z}_2$.

From the inclusion $i: D_{\alpha} \to D_{\alpha} * D_{\beta}$, we have the natural map $i_*: \pi_1(D_{\alpha}) \to \pi_1(D_{\alpha} * D_{\beta})$. We will be through if this map is non-trivial.

Let γ be a non-trivial geodesic loop in D_{α} . Let $\tilde{\gamma}$ be the lift of γ in D_{α} . Now, if $i_*(\gamma)$ is trivial in $\pi_1(D_{\alpha}*D_{\beta})$, then its lift $i_*(\gamma)$ is a closed geodesic loop in $\widetilde{D_{\alpha}}*\widetilde{D_{\beta}}$ which is contained in $\widetilde{D_{\alpha}}$. But $\tilde{\gamma} = i_*(\gamma)$. This implies that $\tilde{\gamma}$ is a closed geodesic loop in $\widetilde{D_{\alpha}}$. Therefore γ must be homotopically trivial, a contradiction. Hence $i_*(\gamma)$ is non-trivial in $\pi_1(D_{\alpha}*D_{\beta})$ and this proves that $\pi_1(D_{\alpha})$ is isomorphic to $\pi_1(D_{\alpha}*D_{\beta})$.

Next we prove the following

Lemma 2.14. For each α , the normal bundle $N_M(D_\alpha)$ of D_α is orientable along D_α .

Proof. If D_{α} is orientable then it is simply connected and hence the normal bundle $N_M(D_{\alpha})$ of D_{α} is orientable along D_{α} .

We will now assume that D_{α} is not orientable. It suffices to show that for each critical value $\beta \neq \alpha$, the subbundle $E_{(\alpha-\beta)/2}$ of the normal bundle $N_M(D_{\alpha})$ is orientable along D_{α} .

For a vector bundle E over D_{α} , we denote by $\Lambda^{\text{top}}(E)$, the top exterior line bundle of E over D_{α} .

We know that

$$\Lambda^{\operatorname{top}}(T(D_{\alpha}*D_{\beta})|_{D_{\alpha}}) = \Lambda^{\operatorname{top}}(TD_{\alpha} \oplus E_{\frac{\alpha-\beta}{2}})$$
$$= \Lambda^{\operatorname{top}}(TD_{\alpha}) \otimes \Lambda^{\operatorname{top}}(E_{\frac{\alpha-\beta}{2}})$$

Hence from the properties of the Stiefel-Whitney classes, it follows that $w_1(\Lambda^{\text{top}}(T(D_{\alpha} * D_{\beta}) | D_{\alpha})) = w_1(\Lambda^{\text{top}}(TD_{\alpha})) + w_1(\Lambda^{\text{top}}(E_{(\alpha-\beta)/2}))$ in $H^1(D_{\alpha}, \mathbb{Z}_2)$; here $w_1(*)$ denotes the first Stiefel-Whitney class.

Since $i_*: \pi_1(D_\alpha) \to \pi_1(D_\alpha * D_\beta)$ is an isomorphism, the natural map $i^*: H^1(D_\alpha * D_\beta, \mathbb{Z}_2) \to H^1(D_\alpha, \mathbb{Z}_2)$ is also an isomorphism. Under this isomorphism $w_1(\Lambda^{\text{top}}T(D_\alpha * D_\beta)) \mapsto w_1(\Lambda^{\text{top}}TD_\alpha) + w_1(\Lambda^{\text{top}}E_{(\alpha-\beta)/2})$. Since $D_\alpha * D_\beta$ is non-orientable, $w_1(T(D_\alpha * D_\beta))$ is the unique non-zero element in $H^1(D_\alpha * D_\beta, \mathbb{Z}_2)$ and hence its image $w_1(\Lambda^{\text{top}}TD_\alpha) + w_1(\Lambda^{\text{top}}E_{(\alpha-\beta)/2})$ is the non-zero element in $H^1(D_\alpha, \mathbb{Z}_2)$. This implies that $w_1(\Lambda^{\text{top}}E_{(\alpha-\beta)/2}) = 0$ in $H^1(D_\alpha, \mathbb{Z}_2)$ and hence the normal bundle $N_M(D_\alpha)$ of D_α is orientable along D_α .

Now we are in a position to prove the following

Proposition 2.15. The following statements are equivalent

- 1. *M* is orientable.
- 2. D_{α} 's are orientable.
- 3. D_{α} 's are simply connected.
- 4. *M* is simply connected.

Proof. The proof of the claims that $4 \Rightarrow 1 \Rightarrow 2 \Rightarrow 3$ is obvious. We will now come to the proof of $3 \Rightarrow 4$.

We again remark here that the local minimum i.e., index=0 is unique (See proposition 2.11). Hence starting with D_{\min} which is simply connected, we attach disc bundles at every level along the boundary set. These disc bundles are simply connected and the boundary set being the S^{rk-1} bundle, for $r \ge 1$ and $k \ge 2$, over D_{α} is connected. Hence by Van Kampen's Theorem, we get a simply connected space at every stage. This implies that M is simply connected.

Similar statement can also be made when M is not orientable. We state this as

Proposition 2.16. The following statements are equivalent

- 1. *M* is not orientable.
- 2. *M* is not simply connected and $\pi_1(M)$ is isomorphic to \mathbb{Z}_2 .
- 3. D_{α} 's are not simply connected and $\pi_1(D_{\alpha})$ is isomorphic to \mathbb{Z}_2 .
- 4. D_{α} 's are not orientable.

Proof. If M is not orientable, then we take the orientable two sheeted cover

 $(\widetilde{M}, \widetilde{g})$ of (M, g). Then $(\widetilde{M}, \widetilde{g})$ is also a $P_{2\pi}$ -manifold. For otherwise the common index of geodesics of length 4π in $(\widetilde{M}, \widetilde{g})$ will be 2k + n - 1 > n + 1, a contradiction. Now the rest of the proof goes through by appealing to proposition 2.15.

3. Proof of Theorem 2

Let λ be an eigenvalue of Δ with an eigenfunction f such that $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for $u \in UM$. We know from Theorem 3 that the function has only finitely many critical values say $\{\alpha_i : 1 \leq i \leq p\}$. Let $D_{\max} = D_1, D_2, \dots, D_p = D_{\min}$ be the critical submanifolds of the function f with critical values α_i .

Let $\mu_p > \mu_{p-1} > \cdots > \mu_2 > \mu_1 = 0$ be the eigenvalues of $-\nabla^2 f$ on D_{\max} . We saw in Corollary 2.10 that for each $x \in D_{\max}$, the map $\exp_x |_{S_{\mu_j}(0,\pi)} : S_{\mu_j}(0,\pi) \to D_j$ is a fibration with fibres of dimension k-1. Therefore we can write $\dim E_{\mu_j} = kr_j$ for some non-negative integer $r_j \in \{1, 2, \cdots, n\}$. Hence $\dim D_j = k(r_j - 1)$.

We also know from Corollary 2.7 that the eigenvalues of $-\nabla^2 f$ on D_i are $\{\mu_{ij}: \mu_j - \mu_i, 1 \leq j \leq p\}$ and from Corollary 2.10 that $\exp|_{S_{\mu_{ij}}(0,\pi)}: S_{\mu_{ij}}(0,\pi) \to D_j$ is a fibration for $j \neq i$. In particular $\exp: S_{-\mu_i}(0,\pi) \to D_{\max}$ is a fibration. Hence $\dim E_{\mu_{ij}} = \dim E_{\mu_j} = kr_j$ and $\dim E_{-\mu_i} = \dim D_{\max} + k = k(r_1 + 1)$.

Now we will compute Δf along D_i 's.

Since f is an eigenfunction of Δ with eigenvalue λ , for each $x \in D_{\max}$

$$egin{aligned} &\lambda \max(f) &= \Delta f(x) \ &= Tr(-
abla^2 f(x)) \ &= k \sum_{i=1}^p r_i \mu_i \end{aligned}$$

and for each $y \in D_j$

$$\lambda \alpha_j = \Delta f(y)$$

But we know that $\alpha_i = \max(f) - 2\mu_i$. Therefore

$$\begin{split} \lambda(\max(f) - 2\mu_j) &= k(r_1 + 1)(\mu_1 - \mu_j) + k \sum_{i \ge 2} r_i(\mu_i - \mu_j) \\ &= -k\mu_j + k \sum_{i=1}^p r_i(\mu_i - \mu_j) \\ &= -k\mu_j + k \sum_i r_i\mu_i - k\mu_j \sum_i r_i \\ &= -k(1 + \sum_i r_i)\mu_j + \lambda \max(f) \end{split}$$

This proves that

$$\lambda = \frac{k(m+1)}{2}$$

where $m = \sum_{i} r_i$.

We know from Bott-Samelson Theorem for *P*-manifolds that $H^*(M, \mathbb{Q})$ has exactly one generator (See [1], [2]). From Lemma 2.12 and the discussion towards the end of its proof, it follows that the degree of the generator is *k*. Therefore $\lambda = k(m+1)/2 = \lambda_1(\overline{M})$ where \overline{M} is a CROSS of dimension km with sectional curvarture $1/4 \le K_{\overline{M}} \le 1$ and $H^*(M, \mathbb{Q}) = H^*(\overline{M}, \mathbb{Q})$.

4. Proof of Theorem 1

By hypothesis $\operatorname{Ric}_M \geq l$ and $\lambda_1 = (1/3)(2l + n + 2)$. Hence for any first eigenfunction f we have that $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for $u \in UM$ (See [12]).

Proof of 1a. It follows from Theorem 2 that $\lambda_1 = (k(m+1))/2$. Since λ_1 is also equal to (1/3)(2l+n+2), we get that $l = (k(m-1))/4 + (k-1) = \operatorname{Ric}_{\overline{M}}$. Again from the proof of Theorem 2 it follows that $H^*(M, \mathbb{Q}) = H^*(\overline{M}, \mathbb{Q})$ and also that $H^*(\widetilde{M}, \mathbb{Z}_2) = H^*(\overline{M}, \mathbb{Z}_2)$.

Proof of 1b. Since $k \ge 4$, it follows from Lemma 2.12 that all D_{α} 's are simply connected and from proposition 2.15 shows that M is simply connected.

Since each D_{α} is a simply connected integral cohomology CROSS and we are attaching only rk-dimensional cells at each level along D_{α} 's, we see that M is also an integral cohomolgy CROSS and the degree of the generator of $H^*(M,\mathbb{Z})$ is k.

 \square

REMARK. If the integral cohomology ring of M is same as that of the cohomology projective plane then the function can have at most three critical submanifolds D_{\max} and D_{\min} and one saddle. If there are three critical submanifolds then all of them are points; if there are only two critical submanifolds D_{\max} and D_{\min} again one of them is a point.

Proof of 1c. Since k = 2, it follows from Lemma 2.12 and propositons 2.15 and 2.16 that, M is either simply connected or it has orientable 2-sheeted simply connected cover. That the integral cohomology ring of \widetilde{M} is same as that of \overline{M} follows from the proof of Theorem 1b). This completes the proof of Theorem 1c).

Proof of 2. Let $(\widetilde{M}, \widetilde{g})$ be the universal cover of (M, g) and $\Pi : \widetilde{M} \to M$ the covering map. Since k = 1, we have that $\operatorname{Ric}_M = (n-1)/4$. Therefore $\operatorname{Ric}_{\widetilde{M}} =$

 $\operatorname{Ric}_{M} = (n-1)/4$. Now by Bonnet-Myers' Theorem it follows that $\operatorname{diam}(\widetilde{M}, \widetilde{g}) \leq 2\pi$. We will now show that $\operatorname{diam}(\widetilde{M}, \widetilde{g}) \geq 2\pi$. Then it will follow from the rigidity of Bonnet-Myers' Theorem [6] or Cheng's maximal diameter Theorem [5] that $(\widetilde{M}, \widetilde{g})$ is isometric to S^{n} with constant sectional curvature 1/4.

Since (M, g) is a $P_{2\pi}$ -manifold it follows that (M, \tilde{g}) is a $P_{4\pi}$ manifold (See [2]). If γ is a geodesic between two critical submanifolds then the index of $\gamma|_{[0,2\pi)} = 0$. Since the index of geodesics of length 2π in (M, g) is constant, we see that all such geodesics must have index 0. Hence $\gamma(2\pi)$ is conjugate $\gamma(0)$ with full multiplicity n-1, for any geodesic γ in (M, g). This implies that, in (M, \tilde{g}) also, we must have that $\widetilde{\gamma}(2\pi)$ is conjugate to $\widetilde{\gamma}(0)$ with full multiplicity n-1 for any geodesic $\widetilde{\gamma}$ and no more conjugate points in between. This proves that every point in (M, \tilde{g}) has conjugate locus at constant distance 2π . Therefore for every $x \in \widetilde{M}$ and $u \in T_x \widetilde{M}$ a unit vector $d(\exp_x)_{tu}: T_x\widetilde{M} \to T_{\widetilde{\gamma}_u(t)}\widetilde{M}$ is non-singular for $0 \le t < 2\pi$ and $d(\exp_x)_{2\pi u}(v)$ for all $v \perp u$. This implies that \exp_x is a local diffeomorphism on the open ball $B(0,2\pi)$ of radius 2π centred at origin in $T_x \widetilde{M}$ and $\exp_x(S(0,2\pi))$ is singleton. Hence $\exp_x : D(0,2\pi)/S(0,2\pi) \to M$ is a covering. Here $D(0,2\pi)$ is the disc of radius 2π and $S(0,2\pi)$ is the sphere of radius 2π both centred at origin in $T_x M$. This implies that \widetilde{M} is diffeomorphic to S^n . Since $\exp_x : D(0, 2\pi)/S(0, 2\pi) \to \widetilde{M}$ is a diffeomorphism the cut points to x can not occur before 2π . This implies that diam $(\widetilde{M}, \widetilde{g}) \geq 2\pi$. Hence $(\widetilde{M}, \widetilde{g})$ is isometric to S^n with constant sectional curvature.

REMARKS.

- 1. If dim M is even then (M, g) is isometric to \mathbb{RP}^n with constant sectional curvature 1/4. If dim M is odd only even order lens spaces can occur. i.e., $\pi_1(M)$ is of even order. In this case $\pi_1(M)$ acts linearly on S^n , leaving invariant, at least as many great spheres as the number of critical levels of the function f.
- 2. We can in fact show that any $P_{2\pi}$ -metric g on \mathbb{RP}^n is standard. We give a proof below.

Let (\mathbb{RP}^n, g) be a $P_{2\pi}$ -manifold. Then its universal cover (S^n, \tilde{g}) is a $P_{4\pi}$ manifold. We also know that the index of geodesics of length 2π in \mathbb{RP}^n is constant and the same is true about the geodesics of length 4π . In (\mathbb{RP}^n, g) for any geodesic γ , the point $\gamma(2\pi)$ is conjugate to $\gamma(0)$ with full multiplicity n-1. Hence $\tilde{\gamma}$, the lift of γ , will have $\tilde{\gamma}(2\pi)$ conjugate to $\tilde{\gamma}(0)$ with full multiplicity n-1 and hence no more conjugate points can occur in between. Hence for all geodesics $\tilde{\gamma}$ in S^n , the point $\tilde{\gamma}(2\pi)$ is conjugate to $\tilde{\gamma}(0)$ with full multiplicity n-1. This implies that for any point $x \in S^n$, the cojugate locus occurs at constant distance 2π . From the proof above we can deduce that the injectivity radius at any point is a constant equal to 2π . This means that (S^n, \tilde{g}) is a Blaschke manfold. Now from Blaschke conjecture for spheres [2], it follows that (S^n, \tilde{g}) is isometric to S^n with constant sectional curvature 1/4. Hence (\mathbb{RP}^n, g) is isometric to the standard \mathbb{RP}^n with constant sectional curvature 1/4.

Proof of 3. Since $\lambda_1 = n$, this case is nothing but Obata's Theorem.

4.1. Proof of Theorem 1(4)

First we assume that M is simply connected and that $\max(f)$ and $\min(f)$ are the only critical values of the function f. Hence D_{\max} and D_{\min} are the only critical submanifolds of the function f in (M, g). Therefore $-\nabla^2 f$ has only two eigenvalues on D_{\max} . By normalizing the function f, we may assume that these two eigenvalues are 1 and 0. Hence we can write $f(\gamma_u(t)) = \cos t + C$ for $u \in UD_{\max}^{\perp}$, the unit normal bundle of D_{\max} , and the tubular hypersurfaces around D_{\max} are level sets of the function f.

Now we get bounds for $\nabla^2 f(u, u)$ for every $u \in UM$.

Let S(t) be the tubular hypersurface of radius t around D_{\max} . Then $f(x) = \cos t + C$ for $x \in S(t)$ and $f(\gamma_u(t)) = A_u \cos t + B_u \sin t + C_u$ for $u \in U_x M$. Then $\gamma_u(0) \in S(t)$ and $\gamma_u(\pi) \in S(t_1)$ for some t_1 such that $0 \le t_1 \le \pi$. Since $A_u + C_u = \cos t + C$ and $-A_u + C_u = \cos t_1 + C$, we have that $A_u = (1/2)(\cos t - \cos t_1)$. Therefore

$$-\nabla^2 f(u, u) = A_u$$
$$= \frac{1}{2}(\cos t - \cos t_1)$$

and we get that

$$\frac{1-\cos t}{2} \ge \nabla^2 f(u,u) \ge -\frac{1+\cos t}{2}$$

Having got these bounds for $\nabla^2 f$, we define two eigensubbundles of $\nabla^2 f$

$$E_{\frac{1-\cos t}{2}} := \{E \in T_x M : x \in S(t) \text{ and } \nabla^2 f(E) = \frac{1-\cos t}{2}E\}$$
$$E_{-\frac{1+\cos t}{2}} := \{E \in T_x M : x \in S(t) \text{ and } \nabla^2 f(E) = -\frac{1+\cos t}{2}E\}$$

Then we have the following

Lemma 4.1.

1. The eigensubbundles $E_{(1-\cos t)/2}$ and $E_{-(1+\cos t)/2}$ of $\nabla^2 f$ are parallel along the trajectories of ∇f . More over dim $E_{(1-\cos t)/2}$ + dim $E_{-(1+\cos t)/2} = k(m-1)$.

2. $E_{(1-\cos t)/2}$ and $E_{-(1+\cos t)/2}$ are eigensubbundles of $R(., \nabla f)\nabla f$ with eigenvalue $(1/4)||\nabla f||^2$.

Proof. Let $x \in D_{\max}$ and γ be a geodesic starting at x such that $\gamma'(0) \in UD_{\max}^{\perp}$. Let J be a Jacobi field along γ describing the variation of the geodesic γ such that $J(0) \in TD_{\max}$ and $J(\pi) = 0$. We normalise J such that $||J'(\pi)|| = 1$. Then, since J is a Jacobi field, $[J, \gamma'(t)] = 0$ along the geodesic γ . Further, since $\gamma'(t) = -\nabla f/||\nabla f||$, we note that $J(||\nabla f||) = 0$. Hence

$$\begin{aligned} -\langle J', J \rangle &= \frac{1}{||\nabla f||} \langle \nabla_J \nabla f, J \rangle \\ &\leq \frac{||J||^2}{||\nabla f||} \frac{1 - \cos t}{2} \\ \frac{\langle J', J \rangle}{||J||^2} &\geq -\frac{1}{2} \frac{\sin \frac{t}{2}}{\cos \frac{t}{2}} \end{aligned}$$

The function $||J||^2/\cos^2(t/2)$ is smooth and non-vanishing on \mathbb{R} . Hence we can take the positive square root $||J||/|\cos(t/2)|$ of $||J||^2/\cos^2(t/2)$ which is again smooth. The function $\cos(t/2)$ is positive on $(-\pi,\pi)$. Therefore from the last step of the above equation it follows that

$$\frac{d}{dt} \log \left(\frac{||J||}{\cos \frac{t}{2}} \right) \ge 0$$

on $(-\pi, \pi)$. Now since (M, g) is a $P_{2\pi}$ -manifold, we have that $J(t) = J(t + 2\pi)$. Hence $||J||/\cos(t/2)|_{t=-\pi} = ||J||/\cos(t/2)|_{t=\pi} = 2$. This proves that $||J||/\cos(t/2) = 2$ for $t \in [-\pi, \pi]$ and equality must hold everywhere in the above inequalities. This proves that J is an eigenvectorfield of $\nabla^2 f$ with eigenvalue $(1 - \cos t)/2$. Since $||J|| = 2\cos(t/2)$, we can write $J(t) = 2\cos(t/2)E(t)$ where $E(t) \in E_{(1-\cos t)/2}$ is a unit vector field along γ . Since J is a Jacobi field along γ

$$J' = \nabla_J \gamma'$$

= $\frac{1}{||\nabla f||} \nabla_J \nabla f$
= $\frac{1 - \cos t}{2} \frac{1}{||\nabla f||} J$
= $\frac{1 - \cos t}{2} \frac{1}{||\nabla f||} 2 \cos \frac{t}{2} E.$

On the other hand $J' = -\sin(t/2)E + \cos(t/2)E'$. This shows that E' is along the direction of the vector field E. Since E is a unit vector field along γ , $E' \perp E$. Therefore E' = 0 along γ . Thus we have shown that any Jacobi field J along γ

with $J(0) \in TD_{\max}$ and $J(\pi) = 0$ is of the form $J(t) = 2\cos(t/2)E(t)$, where $E(t) \in E_{(1-\cos t)/2}$ and E(t) is parallel along γ . On the other hand it follows from Lemma 2.8 that every element of $E_{(1-\cos t)/2}$ can be expressed as a Jacobi field J(t) described above. This proves that $E_{(1-\cos t)/2}$ is parallel along the trajectories of ∇f .

Now by a similar argument we can show that the eigensubbundle $E_{-(1+\cos t)/2}$ is also parallel along the trajectories of ∇f by using the inequality that $\nabla^2 f(u, u) \leq -(1+\cos t)/2$. (For a proof see also [11]).

Now we set out to prove the second part of Lemma 4.1. Let $E \in E_{(1-\cos t)/2}$ be a unit vector at t = 0 and J be a Jacobi field describing the variation of a normal geodesic γ starting D_{\max} , such that J(0) = 2E. Then from what we have seen above $J(t) = 2\cos(t/2)E(t)$; E(t) parallel along γ . Therefore

$$egin{aligned} R(J,\gamma')\gamma' &= -J'' \ &= rac{1}{4}J \end{aligned}$$

and this proves that $E_{(1-\cos t)/2}$ is eigensubbundle of $R(., \nabla f)\nabla f$ with eigenvalue $(1/4)||\nabla f||^2$ along the trajectories of ∇f . The same arguments will prove that $E_{-(1+\cos t)/2}$ is also an eigensubbundle of $R(., \nabla f)\nabla f$ with eigenvalue $(1/4)||\nabla f||^2$.

It follows from Lemma 2.8 that both the subbundles are of constant dimension at any point in M and also that $\dim E_{(1-\cos t)/2} = ka$ and $\dim E_{-(1+\cos t)/2} = k(m-a-1)$ where $\dim D_{\max} = ka$ and $\dim D_{\min} = k(m-a-1)$. This proves that $\dim E_{(1-\cos t)/2} + \dim E_{-(1+\cos t)/2} = k(m-1)$.

Let $E_{-\cos t} := (E_{(1-\cos t)/2} \oplus E_{-(1+\cos t)/2})^{\perp}$ be the orthogonal complement of $E_{(1-\cos t)/2} \oplus E_{-(1+\cos t)/2}$ in TM. Then we have the following

Lemma 4.2. $E_{-\cos t}$ is an eigensubbundle of

- 1. $\nabla^2 f$ with eigenvalue $-\cos t$
- 2. $R(., \nabla f) \nabla f$ with eigenvalue $||\nabla f||^2$

Proof. First we note that $\dim(E_{(1-\cos t)/2} \oplus E_{-(1+\cos t)/2}) = k(m-1)$. Therefore the dimension of $E_{-\cos t}$ is k. Let us choose an orthonormal basis $E_1 = \nabla f/||\nabla f||$, E_2, E_3, \dots, E_k of $E_{-\cos t}, E_{k+1}, E_{k+2}, \dots, E_{k(a+1)}$ of $E_{(1-\cos t)/2}$ and $E_{k(a+1)+1}, E_{ka+2}, \dots, E_{km}$ of $E_{-(1+\cos t)/2}$. Then

$$\sum_{i=2}^{k} \langle R(E_i, \nabla f) \nabla f, E_i \rangle = \operatorname{Ric}_M(\nabla f, \nabla f) - \sum_{j=k+1}^{km} \langle R(E_j, \nabla f) \nabla f, E_j \rangle$$
$$= \left[\frac{k(m-1)}{4} + (k-1) \right] ||\nabla f||^2 - \frac{k(m-1)}{4} ||\nabla f||^2$$
$$= (k-1)||\nabla f||^2$$

Now, for $2 \le i \le k$, we define the vector fields $W_i(t) = \sin t E_i(t)$, where each E_i is a parallel vector field along γ such that $E_i(0) = E_i$. Then Index Lemma shows that

$$0 \leq I(W_i, W_i) = \int_0^{\pi} (\langle W'_i, W'_i \rangle - \langle R(W_i, \gamma')\gamma', W_i \rangle)$$

Therefore

$$0 \leq \sum_{i=2}^{k} I(W_i, W_i)$$

= $\sum_{i=2}^{k} \int_{0}^{\pi} \cos^2 t \langle E_i, E_i \rangle - \sin^2 t K(E_i, \gamma')$
= $(k-1) \int_{0}^{\pi} (\cos^2 t - \sin^2 t)$
= 0

Hence $W_i(t) = \sin t E_i(t)$ are Jacobi fields along γ for $2 \le i \le k$. Now it can be easily verified that $E_{-\cos t}$ is an eigensubbundle of $\nabla^2 f$ with eigenvalue $-\cos t$ and also an eigensubbundle of $R(., \gamma')\gamma'$ with eigenvalue 1.

An intersting Remark. When k = 2, we don't need the condition on Ric_M to show that $E_{-\cos t}$ is an eigensubbundle of $\nabla^2 f$ with eigenvalue $-\cos t$ and also an eigensubbundle of $R(., \gamma')\gamma'$ with eigenvalue 1. We give the proof below.

Let μ_1 and μ_2 be the eigenvalues of $\nabla^2 f|_{E_{-\cos t}}$. Then for $x \in D_{\max}$

$$\Delta f(x) = \frac{k(m+1)}{2} f(x) = \frac{k(m+1)}{2} (1+C)$$

Therefore

$$\frac{k(m+1)}{2}(1+C) = Tr(-\nabla^2 f(x))$$

= $-Tr(\nabla^2 f(x) \mid_{E_{-\frac{1+\cos t}{2}}}) - Tr(\nabla^2 f(x) \mid_{E_{-\cos t}})$
= $k(m-a)$

Hence C = m - (2a + 1)/m + 1. Now let $p \in M$. Then $f(p) = \cos t + C$ for some t and

$$\frac{k(m+1)}{2} \left[\cos t + C \right] = Tr(-\nabla^2 f(p))$$
$$= -\mu_1 - \mu_2 - Tr(\nabla^2 f(p) \mid_{E_{-\frac{1+\cos t}{2}}})$$

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$$-Tr(\nabla^2 f(p) \mid_{E_{\frac{1-\cos t}{2}}})$$

= $\cos t - \mu_2 - ka\left(\frac{1-\cos t}{2}\right)$
 $+k(m-(a+1))\left(\frac{1+\cos t}{2}\right)$

Hence by substituting the value m - (2a + 1)/m + 1 for C we get that $\mu_2 = -\cos t$.

An important consequence of Lemma 4.1 is that, for each $x \in D_{\max}$, the map $\exp_x : S(0,\pi) \to D_{\min}$ and for each $y \in D_{\min}$, the map $\exp_y : S(0,\pi) \to D_{\max}$ are great sphere fibrations; here $S(0,\pi)$ denotes the normal sphere of radius π at the corresponding points. Now we state the following

Lemma 4.3. For every $x \in D_{\max}$, the map

$$\exp_x: S(0,\pi) \to D_{\min}$$

and for every $x \in D_{\min}$, the map

$$\exp_x: S(0,\pi) \to D_{\max}$$

are congruent to Hopf fibrations.

Proof. See [7] and [11].

Proof of Theorem 1(4). Let us fix a $\mathbb{P}^{a}(k) \subseteq \mathbb{P}^{m}(k)$. We denote by TD_{\max}^{\perp} , the normal bundle of D_{\max} and by $(T\mathbb{P}^{a}(k))^{\perp}$, the normal bundle of $\mathbb{P}^{a}(k)$ in $\mathbb{P}^{m}(k)$. Since the map $\exp_{x} : S(0,\pi) \to D_{\min}$ is congruent to Hopf fibration for each $x \in D_{\max}$ there is a fibre preserving isometry $I : TD_{\max}^{\perp} \to (T\mathbb{P}^{a}(k))^{\perp}$. Using this isometry we define a map

$$\Phi: M \setminus D_{\min} \to \mathbb{P}^m(k)$$

as follows: For every $q \in M \setminus D_{\min}$ there is a unique $x \in D_{\max}$ and a unique geodesic segement joining x and q and we define $\Phi(q) := \exp \circ I \circ \exp_x^{-1}(q)$. This map carries the geodesics orthogonal to D_{\max} to geodesics orthogonal to $\mathbb{P}^a(k)$ and matches the tubular hypersurfaces around D_{\max} . To complete the proof we only have to show that $d\Phi$ preserves the length of the Jacobi fields along these normal geodesics. This follows from [11]. This finishes the proof when M is simply connected.

We will now come to the case when M is not simply connected.

If M is not simply connected, then from our earlier analysis we conclude that the universal cover $(\widetilde{M}, \widetilde{g})$ of (M, g) is isometric to \mathbb{CP}^{2d-1} with its standard metric

of sectional curvature $1/4 \leq K_{\mathbb{CP}^{2d-1}} \leq 1$. This completes the proof of Theorem 1(4).

CONCLUDING REMARKS

1. If k = 2 and M is not simply connected then we have seen that (M, g) is a quotient of \mathbb{CP}^{2d-1} by a fixed point free involutive isometry. For the existence of such a map consider

$$\phi: \mathbb{CP}^{2d-1} \to \mathbb{CP}^{2d-1}$$

defined by

$$\phi([z_1, z_2, \dots, z_{2d}]) = [\overline{z}_2, -\overline{z}_1, \dots, \overline{z}_{2d}, -\overline{z}_{2d-1}]$$

in homogeneous co-ordinates. Then ϕ is a fixed point free involutive isometry of \mathbb{CP}^{2d-1} .

For example, consider the eigenfunction

$$f: \mathbb{CP}^{2d-1} \to \mathbb{CP}^{2d-1}$$

defined by

$$f([z_1, z_2, \dots, z_{2d}]) = \frac{a_0(|z_1|^2 + |z_2|^2) + a_1(|z_3|^2 + \dots + |z_{2d}|^2)}{|z_1|^2 + |z_2|^2 + \dots + |z_{2d}|^2}$$

For $a_0 \neq a_1$, f goes down to $M = \mathbb{CP}^{2d-1}/\mathbb{Z}_2$ to give a first eigenfunction without saddle points.

2. Theorem 1(4) has been used to give an intrinsic proof of Lichnerowicz conjecture on harmonic manifolds by the first author (See [13] for a proof using *Nice imbeddings*). The details will appear in *An Intrinsic Approach to Lichnerowicz Conjecture* [10].

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