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## SPECIAL MEMBERS IN THE BICANONICAL PENCIL OF GODEAUX SURFACES

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### Abstract

The object of this paper is to find the number of hyperelliptic curves in the bicanonical pencil of a Godeaux surface whose torsion group is  $\mathbb{Z}_3$ , or  $\mathbb{Z}_4$ , or  $\mathbb{Z}_5$ .

Let  $X$  be a minimal smooth projective surface of general type with  $p_g = q = 0$ ,  $K^2 = 1$ . Surfaces of this type are called *Godeaux surfaces* (also they are called *numerical Godeaux surfaces*); they were discovered in the 1930s by Campedelli [2] and Godeaux [6], and are interesting in view of Castelnuovo's criterion: an irrational surface with  $q = 0$  must have  $P_2 \geq 1$ .

The following well known results are important tools in the paper:

- Bicanonical system  $|2K_X|$  gives a pencil and the fixed part of  $|2K_X|$  consists of  $-2$ -curves [12];
- Tricanonical system  $|3K_X|$  gives a birational map  $X \rightarrow \mathbb{P}^3$  without fixed components [3], [12];
- Denote by  $T(X)$  the torsion subgroup of  $H^2(X, \mathbb{Z})$ . Recall that  $0, \mathbb{Z}_2, \mathbb{Z}_3, \mathbb{Z}_4, \mathbb{Z}_5$  are the only possible values for the torsion group of a Godeaux surface [12], [13];
- A half of the number of elements in the set  $\{\tau \in T(X) \mid \tau \neq -\tau\}$  equals the number of base points of  $|3K_X|$  [12]; and
- For each non-zero element  $\tau \in T(X)$ ,  $h^0(K_X + \tau) = 1$ . And  $K_X + \tau_1$  intersects  $K_X + \tau_2$  transversally if  $\tau_1 \neq \tau_2$  [13].

In this paper, we assume that  $|2K_X|$  has no fixed components and it has four simple base points. Then a general member  $C \in |2K_X|$  is a non-hyperelliptic curve of genus four because  $|3K_X|$  gives a birational map. Let  $p: S \rightarrow X$  be the blowing-up of  $X$  at the base points of  $|2K_X|$  (and at the base points of  $|3K_X|$  if  $T(X) = \mathbb{Z}_3, \mathbb{Z}_4$  or  $\mathbb{Z}_5$ ) and let a fibration  $f: S \rightarrow \mathbb{P}^1$  be given by the bicanonical pencil. According to the semi-positiveness and the Hirzebruch-Riemann-Roch theorem, we obtain the following [4], [11]:

- $f_*(p^*3K_X) = \mathcal{O}^{\oplus 4}$ ,
- $f_*(p^*6K_X) = \mathcal{O}^{\oplus 4} + \mathcal{O}(1)^{\oplus 4} + \mathcal{O}(3)$ ,
- $f_*(p^*9K_X) = \mathcal{O}^{\oplus 4} + \mathcal{O}(1)^{\oplus 4} + \mathcal{O}(2)^{\oplus 3} + \mathcal{O}(3)^{\oplus 4}$ .

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Consider the following quadric sequence and the cubic sequence

$$\begin{aligned} 0 \rightarrow \mathcal{K}_2 \rightarrow S^2(f_*p^*3K_X) \rightarrow f_*(p^*6K_X) \rightarrow \mathcal{T}_2 \rightarrow 0 \\ 0 \rightarrow \mathcal{K}_3 \rightarrow S^3(f_*p^*3K_X) \rightarrow f_*(p^*9K_X) \rightarrow \mathcal{T}_3 \rightarrow 0 \end{aligned}$$

where  $\mathcal{K}_i$  and  $\mathcal{T}_i$  are defined by the kernel sheaves and cokernel sheaves. If  $|3K_X|$  has base points then consider the maps  $S^2(f_*(p^*3K_X - E)) \rightarrow f_*(p^*6K_X)$ ,  $S^3(f_*(p^*3K_X - E)) \rightarrow f_*(p^*9K_X)$  instead of the maps  $S^2(f_*p^*3K_X) \rightarrow f_*(p^*6K_X)$ ,  $S^3(f_*p^*3K_X) \rightarrow f_*(p^*9K_X)$  respectively, where  $E$  is the exceptional divisor of the base points of  $|3K_X|$ .

Except the special members related with the elements in  $\mathbb{T}(X)$ , non-hyperelliptic fibers are 3-connected. Therefore the support of the torsion sheaf consists of the points of special fibers related with  $\mathbb{T}(X)$  or the points of hyperelliptic fibers (cf. Lemma 2.1 in [4]). According to Lemma 2.1 and Proposition 2.3 in [4], each hyperelliptic curve adds the length of  $\mathcal{T}_2$  by  $2l$  and the length of  $\mathcal{T}_3$  by  $5l$  where  $l$  is the contact number of the bicanonical pencil with hyperelliptic locus. The natural surjective homomorphism  $S^2(f_*p^*3K_X) \otimes f_*p^*3K_X \rightarrow S^3(f_*p^*3K_X)$  induces a homomorphism  $\mathcal{K}_2^{\oplus 4} \rightarrow \mathcal{K}_3$ . This homomorphism is injective and the cokernel is invertible because it is torsion free (it is done in the proof of Theorem 2.5 in [4] by embedding it in a locally free sheaf). Therefore we have the following relation between  $\mathcal{K}_2$  and  $\mathcal{K}_3$ ,

$$0 \rightarrow \mathcal{K}_2^{\oplus 4} \rightarrow \mathcal{K}_3 \rightarrow \mathcal{O}(a) \rightarrow 0.$$

Godeaux surfaces conjecturally depend on 8-dimensional moduli, and they possess a genus four pencil in the case where the bicanonical system has no fixed part, and no singular base points. Thus we get an 8-dimensional family of rational curves in a space of dimension 9 (Deligne-Mumford compactification of curves of genus 4,  $\overline{M}_4$ ) containing a subvariety  $H$  of codimension 2 (the hyperelliptic locus). The natural question is whether the general such curve, coming from Godeaux surfaces, does not intersect the hyperelliptic locus. In the reference [4] it was shown how this question is related to the still open problem of classifying the Godeaux surfaces with 0-torsion. Another question is whether this 8-dimensional family sweeps out whole moduli space. The object of this paper is to find the degree of  $\mathcal{K}_2^*$  and the degree of  $a$ , i.e. to find the number of hyperelliptic curves in the bicanonical pencil of Godeaux surfaces for the special case of Godeaux surfaces with torsion of order 3, 4, 5. If  $\mathbb{T}(X) = \mathbb{Z}_5$ , or  $\mathbb{Z}_4$ , or  $\mathbb{Z}_3$ , then the irreducibility of moduli space of Godeaux surfaces is known [13].

The paper concerns the following theorem and its application to deformations:

**Theorem.** *Let  $X$  be a general Godeaux surface with  $\mathbb{T}(X) = \mathbb{Z}_5$ , or  $\mathbb{Z}_4$ , or  $\mathbb{Z}_3$ . Then we have the followings:*

1. *no hyperelliptic curve in the bicanonical pencil if  $\mathbb{T}(X) = \mathbb{Z}_5$ ,*

2. *no hyperelliptic curve in the bicanonical pencil if  $T(X) = \mathbb{Z}_4$ ,*
3. *one hyperelliptic curve in the bicanonical pencil if  $T(X) = \mathbb{Z}_3$ ,*
4. *5-dimensional family of curves in  $\overline{M}_4$  associated with  $|2K_X|$  if  $T(X) = \mathbb{Z}_5$ ,*
5. *6-dimensional family of curves in  $\overline{M}_4$  associated with  $|2K_X|$  if  $T(X) = \mathbb{Z}_4$ ,*
6. *7-dimensional family of curves in  $\overline{M}_4$  associated with  $|2K_X|$  if  $T(X) = \mathbb{Z}_3$ .*

Two examples of Godeaux surfaces with  $T(X) = 0$  (Barlow surface [1] and Craighero-Gattazzo-Dolgachev-Werner surface [5]) are known. For these surfaces, we have  $\deg \mathcal{K}_2^* = 3$ ,  $a = 0$  [4], [11]. It is an interesting question if we have  $\deg \mathcal{K}_2^* = 3$ ,  $a = 0$  for Godeaux surfaces with  $T(X) = 0$ . And we ask whether a general curve in  $\overline{M}_4$  is associated with the bicanonical pencil of Godeaux surfaces with  $T(X) = 0$  or not.

We work throughout over the complex number field  $\mathbb{C}$ .

### 1. Proof of Theorem

**Theorem.** *Let  $X$  be a general Godeaux surface with  $T(X) = \mathbb{Z}_5$ , or  $\mathbb{Z}_4$ , or  $\mathbb{Z}_3$ .*

*Then we have the followings:*

1. *no hyperelliptic curve in the bicanonical pencil if  $T(X) = \mathbb{Z}_5$ ,*
2. *no hyperelliptic curve in the bicanonical pencil if  $T(X) = \mathbb{Z}_4$ ,*
3. *one hyperelliptic curve in the bicanonical pencil if  $T(X) = \mathbb{Z}_3$ ,*
4. *5-dimensional family of curves in  $\overline{M}_4$  associated with  $|2K_X|$  if  $T(X) = \mathbb{Z}_5$ ,*
5. *6-dimensional family of curves in  $\overline{M}_4$  associated with  $|2K_X|$  if  $T(X) = \mathbb{Z}_4$ ,*
6. *7-dimensional family of curves in  $\overline{M}_4$  associated with  $|2K_X|$  if  $T(X) = \mathbb{Z}_3$ .*

Proof.

CASE 1.  $T(X) = \mathbb{Z}_5$ . Let  $X$  be a general Godeaux surface with  $T(X) = \mathbb{Z}_5$ . Then each curve, in the bicanonical pencil of  $X$ , is a stable curve of genus four [11]. Let  $\lambda, \delta_0, \delta_1, \delta_2$  be the standard generators of  $\text{Pic}(\overline{M}_4)$  and let  $\mathbb{P}^1 = \mathbb{P}(H^0(X, \mathcal{O}(2K_X)))$ . If one expresses  $\Theta_{\text{null}}$  with  $\lambda, \delta_i$  in  $\overline{M}_4$ , then

$$\Theta_{\text{null}} = 34\lambda - 4\delta_0 - 14\delta_1 - 18\delta_2 \quad [14].$$

Therefore  $\Theta_{\text{null}, \mathbb{P}^1} = 0$  by the numerical data  $\lambda, \mathbb{P}^1 = 4$ ,  $\delta_0, \mathbb{P}^1 = 25$ ,  $\delta_1, \mathbb{P}^1 = 0$  and  $\delta_2, \mathbb{P}^1 = 2$  [11]. It implies that there is no hyperelliptic curve in the bicanonical pencil. So the support of the torsion sheaf consists of two points associated with  $\delta_2, \mathbb{P}^1 = 2$ . Let  $p: S \rightarrow X$  be the blowing-up of  $X$  at the base points of  $|2K_X|$  and at two base points of  $|3K_X|$ . And let  $E_1, E_2$  be the exceptional divisors of the base points of  $|3K_X|$ . The quadric sequence and the cubic sequence are the following:

$$\begin{aligned} 0 \rightarrow \mathcal{K}_2 \rightarrow S^2(f_*(p^*3K_X - E_1 - E_2)) \rightarrow f_*(p^*6K_X) \rightarrow \mathcal{T}_2 \rightarrow 0 \\ 0 \rightarrow \mathcal{K}_3 \rightarrow S^3(f_*(p^*3K_X - E_1 - E_2)) \rightarrow f_*(p^*9K_X) \rightarrow \mathcal{T}_3 \rightarrow 0. \end{aligned}$$

The map  $S^2(f_*(p^*3K_X - E_1 - E_2)) \rightarrow f_*(p^*6K_X)$  is factorized through  $S^2(f_*(p^*3K_X - E_1 - E_2)) \rightarrow f_*(p^*6K_X - 2E_1 - 2E_2) \rightarrow f_*(p^*6K_X)$ . It implies that the length  $\mathcal{T}_2 = 3 + 3 = 6$ ;

Since  $|3K_X|$  has two simple base points  $p_1, p_2$ , the natural map  $H^0(S, \mathcal{O}_S(p^*3K_X - E_i)) \rightarrow H^0(E_i, \mathcal{O}_{E_i}(-E_i))$  is surjective. On the other hand, since  $|6K_X|$  is very ample, the natural map  $H^0(S, \mathcal{O}_S(p^*6K_X)) \rightarrow H^0(S, \mathcal{O}_S(p^*6K_X)/\mathcal{O}_S(p^*6K_X - 2E_i))$  is surjective with three-dimensional image.

By the similar computation, length  $\mathcal{T}_3 = 6 + 6 = 12$ . It implies that  $\deg \mathcal{K}_2^* = 1$  and  $a = -6$ . In [10], [11], there is an explicit description of five dimensional family of curves in  $\overline{M}_4$  associated with the bicanonical pencil of Godeaux surfaces with  $T(X) = \mathbb{Z}_5$ . Let  $(x, y, z, w)$  be a coordinate of  $\mathbb{P}^3$ . Then quadrics associated with a bicanonical pencil lie in  $\mathbb{P}^5$  with coordinate  $xy, xz, xw, yz, yw, zw$ . Since  $\deg \mathcal{K}_2^* = 1$ , we have 4 singular quadrics associated with the bicanonical pencil. By  $\Theta_{\text{null}} \cdot \mathbb{P}^1 = 0$ , each special member  $(K_X + \tau_1 \cup_{q_1} K_X + \tau_4, K_X + \tau_2 \cup_{q_2} K_X + \tau_3)$  is counted as two.

CASE 2.  $T(X) = \mathbb{Z}_4$ . Let  $X$  be a general Godeaux surface with  $T(X) = \mathbb{Z}_4$ . Then there are two special members related with the elements in  $T(X)$  i.e.  $K_X + \tau_1 \cup_q K_X + \tau_3$  ( $q$  is the base point of  $|3K_X|$ ) and  $2(K_X + \tau_2)$  where  $\tau_i$  is a nonzero torsion element in  $T(X)$ . The image of  $K_X + \tau_2$  by  $|3K_X|$  is a double line in  $\mathbb{P}^3 = \mathbb{P}(H^0(X, \mathcal{O}_X(3K_X)))$ . Let  $(x, y, z, w)$  be a coordinate of  $\mathbb{P}^3$ . Consider the quadric linear system in  $\mathbb{P}^3$ :

$$\mathbb{P}(H^0(\mathbb{P}^3, \mathcal{O}(2))) = \mathbb{P}^9.$$

Then each quadric associated with a bicanonical pencil contains the line  $(x = w = 0)$  because it intersects this line with at least four points. Therefore we have a morphism

$$\varphi: \mathbb{P}^1 \rightarrow \mathbb{P}^6 \subset \mathbb{P}^9,$$

where  $\mathbb{P}^6$  is given by  $x^2, xy, xz, xw, yw, zw, w^2$ . Let  $p: S \rightarrow X$  be the blowing-up of  $X$  at the base points of  $|2K_X|$  and at the base point of  $|3K_X|$ . And let  $E$  be the exceptional divisor of the base point of  $|3K_X|$ . The quadric sequence and the cubic sequence are the following:

$$\begin{aligned} 0 &\rightarrow \mathcal{K}_2 \rightarrow S^2(f_*(p^*3K_X - E)) \rightarrow f_*(p^*6K_X) \rightarrow \mathcal{T}_2 \rightarrow 0 \\ 0 &\rightarrow \mathcal{K}_3 \rightarrow S^3(f_*(p^*3K_X - E)) \rightarrow f_*(p^*9K_X) \rightarrow \mathcal{T}_3 \rightarrow 0. \end{aligned}$$

There is a two dimensional family of quadrics containing the double line (two times the line  $x = w = 0$ ) and the map  $S^2(f_*(p^*3K_X - E)) \rightarrow f_*(p^*6K_X)$  is factorized through  $S^2(f_*(p^*3K_X - E)) \rightarrow f_*(p^*6K_X - 2E) \rightarrow f_*(p^*6K_X)$ . It implies that the length  $\mathcal{T}_2 \geq 2 + 3 = 5$ . By the similar computation, length  $\mathcal{T}_3 \geq 5 + 6 = 11$ . It implies that  $\deg \mathcal{K}_2^* \leq 2$  and that the possibility of number of hyperelliptic curve is zero or one. If there is one hyperelliptic curve then  $\deg \mathcal{K}_2^* \leq 0$ . But then there is no singular quadric

associated with the bicanonical pencil. It gives a contradiction because the number of singular quadrics for each special member  $(K_X + \tau_1 \cup_q K_X + \tau_3, 2(K_X + \tau_2))$ , hyperelliptic curve) is counted at least as two. Therefore we have  $\text{deg } \mathcal{K}_2^* = 2$  and  $a = -3$ . It also implies that we have a six dimensional family of curves in  $\overline{M}_4$  associated with the bicanonical pencil of Godeaux surfaces with  $T(X) = \mathbb{Z}_4$ . There is another proof in [8].

CASE 3.  $T(X) = \mathbb{Z}_3$ . Let  $X$  be a general Godeaux surface with  $T(X) = \mathbb{Z}_3$ . Then there is one special member related with the elements in  $T(X)$  i.e.  $K_X + \tau_1 \cup_q K_X + \tau_2$  ( $q$  is the base point of  $|3K_X|$ ) where  $\tau_i$  is a nonzero torsion element in  $T(X)$ .

First we will show that there is a hyperelliptic curve in the bicanonical pencil. Reid [13] gave an explicit description of the construction of Godeaux surfaces with  $T(X) = \mathbb{Z}_3$  by using the canonical ring of unbranched cover. Let  $Y$  be the unbranched  $\mathbb{Z}_3$ -cover of  $X$ , and let

$$\bar{F} = \text{Proj } R = \mathbb{P}(1, 1, 2, 2, 2)$$

where  $R = k[x_1, x_2, y_0, y_1, y_2]$ ,  $x_i \in H^0(X, \mathcal{O}_X(K_X + \tau_i))$  for  $i = 1, 2$  and  $y_i \in H^0(X, \mathcal{O}_X(2K_X + \tau_i))$  for  $i = 0, 1, 2$ . Then  $\bar{F}$  is embedded into  $\mathbb{P}^5$  with coordinate  $\{u_0, u_1, u_2, u_3, u_4, u_5\}$  by  $x_1^2, x_2^2, x_1x_2, y_0, y_1, y_2$ , and it is the cone on the Veronese surface. The natural desingularization of  $\bar{F}$  is a rational scroll

$$F = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(-2) \oplus \mathcal{O}(-2) \oplus \mathcal{O}(-2)).$$

Let  $\pi: F \rightarrow \mathbb{P}^1$  be a natural projection. Let  $\mathcal{O}_F(A), \mathcal{O}_F(B)$  be  $\pi^*\mathcal{O}(1)$ , the tautological line bundle of  $F$  respectively. Choose two irreducible divisors  $Q$  and  $C$  in  $F$  with  $Q \in |6A + 2B|, C \in |6A + 3B|$ . Then  $Q \cap C = \tilde{Y} + \sum_{i=1}^3 Q_i$  where  $\tilde{Y}$  is the blowing-up of  $Y$  and  $Q_i$  is a fiber of the map  $Q \rightarrow \mathbb{P}^1$ . The detailed construction is in [13]. Lift the bicanonical pencil of  $X$  to  $Y$  then the pencil is given by the equation

$$x_1x_2 + y_0 = 0.$$

The proper transform of the intersection  $\bar{F}$  and  $y_0 = 0$  (i.e.  $u_3 = 0$ ) in  $\mathbb{P}^5$  under the map  $F \rightarrow \bar{F}$  is the rational scroll  $\mathbb{P}^2$  over  $\mathbb{P}^1$ . So the special member  $(y_0 = 0)$  associated with the pencil of  $Y, x_1x_2 + y_0 = 0$ , is the curve that has a fibration over  $\mathbb{P}^1$  with six points in each fiber. Then there is a natural induced  $\mathbb{Z}_3$ -action on each fiber of unbranched cover  $Y \rightarrow X$ . So the image of this curve in  $X$  is a hyperelliptic curve and it is in the bicanonical pencil of  $X$ .

Let  $p$  be the blowing-up at the base points of  $|2K_X|$  and the base point of  $|3K_X|$ . And let  $E$  be the exceptional divisor of the base point of  $|3K_X|$ . The quadric sequence and the cubic sequence are the following:

$$\begin{aligned} 0 &\rightarrow \mathcal{K}_2 \rightarrow S^2(f_*(p^*3K_X - E)) \rightarrow f_*(p^*6K_X) \rightarrow \mathcal{T}_2 \rightarrow 0 \\ 0 &\rightarrow \mathcal{K}_3 \rightarrow S^3(f_*(p^*3K_X - E)) \rightarrow f_*(p^*9K_X) \rightarrow \mathcal{T}_3 \rightarrow 0. \end{aligned}$$

Since there is at least one hyperelliptic curve in the bicanonical pencil and the map  $S^2(f_*(p^*3K_X - E)) \rightarrow f_*(p^*6K_X)$  is factorized through  $S^2(f_*(p^*3K_X - E)) \rightarrow f_*(p^*6K_X - 2E) \rightarrow f_*(p^*6K_X)$ ,  $\text{length } \mathcal{T}_2 \geq 2 + 3 = 5$ . By the similar computation,  $\text{length } \mathcal{T}_3 \geq 5 + 6 = 11$ . It implies that  $\text{deg } \mathcal{K}_2^* \leq 2$  and that the possibility of number of hyperelliptic curve is one or two. If there are two hyperelliptic curves then  $\text{deg } \mathcal{K}_2^* \leq 0$ . But then there is no singular quadric associated with the bicanonical pencil. It gives a contradiction because the number of singular quadrics for each special member  $(K_X + \tau_1 \cup_q K_X + \tau_2, \text{ two hyperelliptic curves})$  is counted at least as two. Therefore we have  $\text{deg } \mathcal{K}_2^* = 2$  and  $a = -3$ .

Let  $(x, y, z, w)$  be a coordinate of  $\mathbb{P}^3$  and let the quadric equation of the special member be  $xw = 0$ . Consider the quadric linear system in  $\mathbb{P}^3$ :

$$\mathbb{P}(\mathbf{H}^0(\mathbb{P}^3, \mathcal{O}(2))) = \mathbb{P}^9.$$

Then each quadric associated with a bicanonical pencil contains two points (the image of the base points of  $|2K_X|$  under  $|3K_X|$ ). Therefore we have a morphism

$$\varphi: \mathbb{P}^1 \rightarrow \mathbb{P}^7 \subset \mathbb{P}^9,$$

where  $\mathbb{P}^7$  is given by  $x^2, xy, xz, xw, yz, yw, zw, w^2$ . It implies that we have at most seven dimensional family of curves in  $\overline{M}_4$  associated with the bicanonical pencil of Godeaux surfaces with  $\mathbf{T}(X) = \mathbb{Z}_3$ . Consider the explicit construction of Godeaux surfaces with  $\mathbf{T}(X) = \mathbb{Z}_3$  as above. Then it is easy to check that there is a six dimensional family of curves associated to the special member  $(xw = 0)$  because its preimage in  $Y$  is a union of two curves of genus four intersecting at three points with a free  $\mathbb{Z}_3$ -action. Therefore we have a seven dimensional family of curves associated with the bicanonical pencil of Godeaux surfaces with  $\mathbf{T}(X) = \mathbb{Z}_3$ .  $\square$

Let  $X$  be a general Godeaux surface with  $\mathbf{T}(X) = \mathbb{Z}_3$ . Assume that each curve, in the bicanonical pencil of  $X$ , is a stable curve of genus four. Let  $\lambda, \delta_0, \delta_1, \delta_2$  be the standard generators of  $\text{Pic}(\overline{M}_4)$  and let  $\mathbb{P}^1 = \mathbb{P}(\mathbf{H}^0(X, \mathcal{O}_X(2K_X)))$ . The number  $\Theta_{\text{null}, \mathbb{P}^1} = 14$  is obtained by the numerical data  $\lambda \cdot \mathbb{P}^1 = 4, \delta_0 \cdot \mathbb{P}^1 = 26, \delta_1 \cdot \mathbb{P}^1 = 0$  and  $\delta_2 \cdot \mathbb{P}^1 = 1$  [11]. Since  $\text{deg } \mathcal{K}_2^* = 2$ , we have 8 singular quadrics associated with the bicanonical pencil. The number 8 can be decomposed as 2 (from the special member  $K_X + \tau_1 \cup_q K_X + \tau_2$ ) + 2 (from the hyperelliptic curve) + 4 (from other singular quadrics).

## 2. Its application to deformations

Let  $X$  be a Godeaux surface and let  $C$  be a general member in  $|2K_X|$ . Consider the following commutative diagram of exact sequences of deformations and obstruc-

tions;

$$\begin{array}{ccccc}
 H^0(C, \mathcal{O}_C(C)) & \longrightarrow & T_{X,C}^1 & \longrightarrow & T_X^1 \\
 \downarrow \text{ob} & & \downarrow \text{ob} & & \downarrow \text{ob} \\
 H^1(C, \mathcal{O}_C(C)) & \longrightarrow & T_{X,C}^2 & \longrightarrow & T_X^2.
 \end{array}$$

Since  $H^1(C, \mathcal{O}_C(C)) = 0$ , the forgetful functor  $T_{X,C}^1 \rightarrow T_X^1$  is smooth with one dimensional fiber. Let  $q$  be a natural map from  $\mathbb{P}^1$  to  $\overline{M}_4$  induced by the bicanonical pencil of  $X$ . The first order deformation of pairs  $T_{X,C}^1$  can be obtained by a computation of  $q^*T_{\overline{M}_4}$ . According to the deformation of morphisms of curves [9],

$$\dim \text{Hom}_q(\mathbb{P}^1, \overline{M}_4) \geq -K_{\overline{M}_4} \cdot \mathbb{P}^1 + 9\chi(\mathcal{O}_{\mathbb{P}^1}).$$

Since  $\delta_1 \cdot \mathbb{P}^1 = 0$ , we have the following intersection number:

$$K_{\overline{M}_4} \cdot \mathbb{P}^1 = (13\lambda - 2\delta_0 - 2\delta_2) \cdot \mathbb{P}^1 = -2 \quad [7].$$

The above computation gives  $\dim \text{Hom}_q(\mathbb{P}^1, \overline{M}_4) \geq 11$ .

$$q^*T_{\overline{M}_4} = \mathcal{O}(2) + q^*N_{\mathbb{P}^1|\overline{M}_4},$$

and by the classical lemma of Grothendieck  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \sum_{i=1}^8 \mathcal{O}(a_i)$ . Then  $K_{\overline{M}_4} \cdot \mathbb{P}^1 = -2$  implies that  $a_1 + \dots + a_8 = 0$ .

If  $T(X) = \mathbb{Z}_5$ , or  $\mathbb{Z}_4$ , or  $\mathbb{Z}_3$ , then the unobstructedness and the irreducibility of moduli space of Godeaux surfaces are known [13]. It implies that  $a_i \geq -1$  for all  $i = 1, \dots, 8$  and  $\dim \text{Hom}_q(\mathbb{P}^1, \overline{M}_4) = 11$ .

**Corollary.** *We have the followings:*

1.  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \mathcal{O}(1)^{\oplus 4} + \mathcal{O}(-1)^{\oplus 4}$  if  $T(X) = \mathbb{Z}_5$ ,
2.  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \mathcal{O}(1)^{\oplus 3} + \mathcal{O}(-1)^{\oplus 3} + \mathcal{O}^{\oplus 2}$  if  $T(X) = \mathbb{Z}_4$ ,
3.  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \mathcal{O}(1)^{\oplus 2} + \mathcal{O}(-1)^{\oplus 2} + \mathcal{O}^{\oplus 4}$  if  $T(X) = \mathbb{Z}_3$ .

**Proof.**

CASE 1.  $T(X) = \mathbb{Z}_5$ . Let  $X$  be a general Godeaux surface with  $T(X) = \mathbb{Z}_5$ . If we fix an element  $[C] \in \overline{M}_4$  associated with the bicanonical pencil, then there is a four dimensional family of Godeaux surfaces with  $T(X) = \mathbb{Z}_5$  that contain  $[C]$ , [11]. By this argument we may assume that  $a_1, a_2, a_3, a_4$  are at least 1 [9], and that  $a_j < 0$  for  $j = 5, 6, 7, 8$  by Theorem. So  $a_5 = a_6 = a_7 = a_8 = -1$  and we obtain the conclusion  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \mathcal{O}(1)^{\oplus 4} + \mathcal{O}(-1)^{\oplus 4}$  by the equality  $a_1 + \dots + a_8 = 0$ .

CASE 2.  $T(X) = \mathbb{Z}_4$ . Let  $X$  be a general Godeaux surface with  $T(X) = \mathbb{Z}_4$ . If we fix an element  $[C] \in \overline{M}_4$  associated with the bicanonical pencil, then there is a



three dimensional family of Godeaux surfaces with  $T(X) = \mathbb{Z}_4$  that contain  $[C]$ , [8]. By this argument, we may assume that  $a_1, a_2, a_3$  are at least 1 and that  $a_j \leq 0$  for  $j = 4, 5, 6, 7, 8$ , [9]. By Theorem and by the unobstructedness of moduli space, we may assume that  $a_4 = a_5 = 0$  and that  $a_6 = a_7 = a_8 = -1$ . Therefore we obtain the conclusion  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \mathcal{O}(1)^{\oplus 3} + \mathcal{O}(-1)^{\oplus 3} + \mathcal{O}^{\oplus 2}$  by the equality  $a_1 + \dots + a_8 = 0$ .

CASE 3.  $T(X) = \mathbb{Z}_3$ . Let  $X$  be a general Godeaux surface with  $T(X) = \mathbb{Z}_3$ . If we fix an element  $[C] \in \overline{M}_4$  associated with the bicanonical pencil, then there is a two dimensional family of Godeaux surfaces with  $T(X) = \mathbb{Z}_3$  that contain  $[C]$ . By this argument, we may assume that  $a_1, a_2$  are at least 1 and that  $a_j \leq 0$  for  $j = 3, 4, 5, 6, 7, 8$ , [9]. By Theorem and by the unobstructedness of moduli space, we may assume that  $a_3 = a_4 = a_5 = a_6 = 0$  and that  $a_7 = a_8 = -1$ . It implies that  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \mathcal{O}(1)^{\oplus 2} + \mathcal{O}(-1)^{\oplus 2} + \mathcal{O}^{\oplus 4}$  by the equality  $a_1 + \dots + a_8 = 0$ .  $\square$

For general two points  $[C_1], [C_2] \in \mathbb{P}_X^1 \subset \overline{M}_4$ , if there is another pencil  $\mathbb{P}_Y^1$ , associated with the bicanonical pencil of  $Y$  which is in the same component of the moduli space of  $X$ , intersecting  $\mathbb{P}_X^1$  with  $[C_1], [C_2]$ , then there is  $a_i \geq 2$  in  $q^*N_{\mathbb{P}^1|\overline{M}_4}$ . But this is not possible by Corollary if  $T(X) = \mathbb{Z}_5$ , or  $\mathbb{Z}_4$ , or  $\mathbb{Z}_3$ . It is an interesting question if we have  $q^*N_{\mathbb{P}^1|\overline{M}_4} = \mathcal{O}^{\oplus 8}$  for Godeaux surfaces with  $T(X) = 0$ . If this is true, then there is no hyperelliptic curve in the bicanonical pencil of a general Godeaux surface with  $T(X) = 0$  because the hyperelliptic locus in  $\overline{M}_4$  is codimension two.

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