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## ALMOST-HOMOGENEOUS KÄHLER MANIFOLDS WITH HYPERSURFACE ORBITS

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### 1. Introduction

Let  $X$  be a connected compact complex manifold, and assume that a closed complex subgroup  $G$  of the group of holomorphic automorphisms,  $\text{Aut}(X)$ , has an open orbit  $\Omega$  in  $X$ . Then  $\Omega$  is a dense open connected complex submanifold of  $X$  and its complement  $E := X \setminus \Omega$  is a proper analytic subset of  $X$ , possibly empty. Such manifolds are called *almost-homogeneous* and they arise quite naturally in many different settings. For example, if a manifold possesses enough holomorphic vector fields to span the tangent space at some point, then it is almost-homogeneous. Equivariant compactifications of complex homogeneous manifolds form another important example of this class of manifolds. Recently, A. Borel [9] has shown that every compact symmetric manifold<sup>1)</sup> is almost-homogeneous; in fact, the automorphism group has only finitely many orbits!

In this paper we are interested in almost-homogeneous manifolds which are *Kähler*. In this case, the Albanese map  $X \rightarrow A(X)$  of  $X$  into a compact complex torus is actually a surjective, locally trivial fiber bundle whose fiber,  $F$ , is a simply-connected almost-homogeneous projective algebraic manifold, [37], [34]. With a further assumption on the exceptional set  $E$ , we can give a more precise description (Theorem 5.2):

*If  $E$  is a connected complex hypersurface orbit of  $G$ , then*

- (1)  *$F$  is a projective rational manifold which fibers equivariantly  $F \xrightarrow{M} Q$  over a homogeneous projective rational manifold  $Q$  with fiber  $M \cong \mathbf{P}^n$ , the  $n$ -dimensional quadric  $Q^n$ , the Grassmann manifold  $G_{2,2n}$ , or the exceptional manifold  $EIII$  (see Table 2.6).*
- (2) *One of the following holds:*
  - (2.1)  $X \cong F \times A(X)$ .
  - (2.2) *There exist equivariant 2-to-1 coverings  $T \rightarrow A(X)$  and  $\tilde{X} \rightarrow X$  such that  $\tilde{X} \cong F \times T$ . In this case  $M \cong Q^n$ .*

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1) A manifold  $X$  is *symmetric* if every point of  $X$  is an isolated fixed point of some involution of  $X$ .

(2.3)  $X \cong Q \times B$  where  $Q$  is a homogeneous projective rational manifold and  $B$  is an almost-homogeneous  $\mathbf{P}^1$ -bundle over  $A(X)$  with structure group  $C$ . In this case  $F \cong \mathbf{P}^1 \times Q$ .

This theorem can be viewed as an analogue of the Borel-Remmert theorem for the homogeneous compact Kähler case, [10].

Note that one can always equivariantly modify an arbitrary compact almost-homogeneous manifold so that  $E$  has pure codimension 1 [22], and then pass to an equivariant desingularization [16]. This shows that the important assumption on  $E$  is that it is also *homogeneous* with respect to  $G$ . It implies, for instance, that equivariant meromorphic maps of  $X$  are holomorphic (Lemma 2.3), and that equivariant projective algebraic compactifications of  $\Omega$  are unique (Lemma 2.5).

The above theorem also gives a good description of the compact homogeneous Cauchy-Riemann Hypersurfaces<sup>2)</sup> which can be equivariantly imbedded in a compact Kähler manifold, since these manifolds are almost-homogeneous and can always be modified to contain a complex hypersurface orbit (Theorem 6.2).

We note in passing that one can consider the more general question of classifying  $\Omega = G/H$  where a maximal compact subgroup of the complex Lie group  $G$  has real hypersurface orbits. These hypersurface orbits can be thought of as providing a natural "homogeneous" exhaustion for the homogeneous manifold  $\Omega$ . The only case in which  $\Omega$  *cannot* be equivariantly compactified is when the normalizer fibration  $G/H \rightarrow G/N_G(H^0)$  realizes  $\Omega$  as a compact torus bundle over an *algebraic* example where again a maximal compact subgroup has real hypersurface orbits. The question is whether such a bundle extends to the natural equivariant compactification of the base. The treatment of this question, however, goes beyond the scope of this paper. Even when a compactification exists, there are complicated problems arising in the non-Kähler case.

The contents of this paper is as follows:

Notations and definitions are collected in §2, along with some useful lemmas. General references to this material are [35], [21], [23].

In §3 we classify those almost-homogeneous compact Kähler manifolds whose exceptional set is not connected (Theorem 3.2). These manifolds are actually linked to special cases studied in later sections.

The important case of almost-homogeneous projective algebraic manifolds whose exceptional sets are complex hypersurface orbits (i.e. the albanese fiber) is the subject of §4. Similar results in this algebraic setting were recently

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2) Here we must assume that the hypersurface is homogeneous with respect to a compact Lie group.

announced by Ahiezer [2] during the period in which the present paper was being prepared. The reader should note that a more detailed description of the algebraic groups involved can be found there.

We put the pieces together in §5, showing that the complex hypersurface orbit assumption on  $E$  implies the albanese fibration has the restricted structure mentioned in the above theorem.

In §6 we show how any compact Kähler manifold with a real hypersurface orbit can be modified to satisfy the conditions of §5. We also collect several of the preceding results to show that the Remmert-van de Ven conjecture is true in several special situations.

Although most of our results are proven for *manifolds*, it is primarily a technical matter to adjust them to apply to irreducible complex spaces. For example, if  $(X, G)_{\mathcal{O}}$  is an almost-homogeneous irreducible compact Kähler space whose exceptional set  $E$  is a connected complex hypersurface orbit of  $G$ , then the equivariant normalization  $\hat{X}$  of  $X$ ,  $\nu: (\hat{X}, G)_{\mathcal{O}} \rightarrow (X, G)_{\mathcal{O}}$ , must be an almost-homogeneous compact Kähler *manifold* whose exceptional set  $\hat{E} = \nu^{-1}(E)$  has at most two components, each of which is a complex hypersurface orbit of  $G$ . Thus, either

- 1)  $\hat{E} \cong E$  and the singular set of  $X$  is *exactly*  $E$  (i.e.  $X$  is “pinched” along  $E$ ), or
- 2)  $\hat{E}$  is two disjoint copies of  $E$  and  $\hat{X}$  is a  $\mathbf{P}^1$ -bundle over  $A(X) \times Q$  with structure group  $\mathbf{C}^*$  (see Theorem 3.2). In this case,  $X$  is obtained from  $\hat{X}$  by identifying the zero and infinity sections.

## 2. Preliminaries

Let  $X$  be a complex space and let  $G$  be a Lie group. We say that  $G$  acts on  $X$  if there exists a real analytic map

$$\mu: G \times X \rightarrow X, \quad g(x) := \mu(g, x); \quad x \in X, \quad g \in G,$$

which induces a continuous homomorphism  $G \rightarrow \text{Aut}(X)$ . Here  $\text{Aut}(X)$  denotes the topological group of biholomorphic maps of  $X$  onto itself with the usual compact-open topology. We write  $(X, G)$  to denote such a real analytic action. If  $G$  is a complex Lie group and if  $\mu$  is a holomorphic map, then we write  $(X, G)_{\mathcal{O}}$ . Finally, if  $X$  is an algebraic variety,  $G$  an algebraic group, and  $\mu$  a morphism of varieties, then we write  $(X, G)_{\mathcal{A}}$ . In most cases it will be clear what type of group action is under discussion and we will simply say that  $G$  acts on  $X$  or that  $X$  is a  $G$ -space. For any point  $x \in X$ , we always have a natural identification (in the appropriate category) of the *orbit* of  $x$ ,  $G(x) := \{g(x) \mid g \in G\}$ , with the coset space  $G/G_x$  where  $G_x$  denotes the *isotropy subgroup* of  $x$ ,  $G_x := \{g \in G \mid g(x) = x\}$ . The group  $G$  is said to act *transitively* on  $X$  if  $G(x) = X$  for all  $x \in X$ , and we say that  $X$  is *homogeneous* with respect to  $G$ .

Let  $(X, G)_\mathcal{O}$  be an irreducible complex space. If  $G$  has an open orbit in  $X$ , then we say that  $X$  is *almost-homogeneous* with respect to  $G$ . We usually denote the open orbit by  $\Omega = G(x)$  for some  $x \in X$ . Its complement, denoted  $E := X \setminus \Omega$ , is called the *exceptional set* of  $X$ . Since  $X$  is irreducible it is easy to see that  $\Omega$  is connected and dense, and that  $E$  is a proper (not necessarily connected) analytic subvariety of  $X$ .

A holomorphic (or meromorphic) map  $f: (X, G) \rightarrow (Y, G')$  is said to be *equivariant* if there exists a continuous homomorphism  $f_*: G \rightarrow G'$  such that the graph of  $f$  is invariant under the induced action of  $G$  on the product space  $X \times Y$ ,  $(x, y) \mapsto (g(x), f_*(g)(y))$ . We reserve the special notation  $(X, G)_\mathcal{L}$  to mean that  $G$  is an algebraic group and that there exists an equivariant imbedding  $(X, G)_\mathcal{A} \rightarrow (\mathbf{P}^n, \text{Aut}(\mathbf{P}^n))_\mathcal{A}$ . Given a  $G$ -space  $Y$ , we say that a compact space  $X$  is a  *$G$ -equivariant compactification* of  $Y$  if there exists a  $G$ -action on  $X$  and an equivariant imbedding  $i: (Y, G) \rightarrow (X, G)$  such that  $i(Y)$  is an open subspace of  $X$  which intersects each component of  $X$ .

A locally trivial fiber bundle  $f: (X, G) \rightarrow (Y, G)$  is called a *homogeneous bundle* when  $f$  is equivariant and  $G$  acts transitively on  $Y$ . Given a homogeneous manifold  $(Y, G)_\mathcal{O}$  with isotropy subgroup  $H$ , a complex space  $F$ , and a continuous representation  $\rho: H \rightarrow \text{Aut}(F)$ , then one can build a homogeneous bundle over  $Y$  with fiber  $F$ :

$$G \times_H F := G \times F / \sim; (g, z) \sim (gh^{-1}, \rho(h)z).$$

The projection map  $G \times_H F \rightarrow Y$  is given by  $(g, z) \mapsto gH \in G/H \cong Y$ . Any map of coset spaces of complex Lie groups,  $G/H \rightarrow G'/H'$  with fiber  $J/H$ , has such a representation.

A *parabolic* subgroup  $P$  of a complex Lie group  $G$  is any subgroup of  $G$  which contains a maximal solvable subgroup of  $G$ . The quotient space  $G/P$  is always a compact simply connected projective rational manifold. Conversely, any homogeneous compact projective rational manifold is the quotient of a complex Lie group by a parabolic subgroup, [8].

If  $G$  is a real Lie group contained in a complex Lie group  $G'$ , then we define the *complex hull* of  $G$ , denoted  $G^c$ , to be the smallest complex Lie subgroup of  $G'$  which contains  $G$ .

Let  $K$  be a compact Lie group and let  $(X, K)$  be an irreducible compact complex space. There exists a desingularization  $\pi: \tilde{X} \rightarrow X$  of  $X$  such that  $\tilde{X}$  is a  $K$ -space and  $\pi$  is equivariant, [16]. On the compact manifold  $\tilde{X}$ ,  $K$  has at most a finite number of *orbit types*, that is, a finite number of conjugacy classes of isotropy subgroups  $(K_x)$  for  $x \in \tilde{X}$ , [21]. Thus, there exists an orbit type  $(K_x)$  for which  $K(x) = K/K_x$  has maximal dimension. Such orbits are called *generic*  $K$ -orbits and their union forms a connected open and dense set in  $\tilde{X}$ , [21]. One of the basic tools for working with compact Lie group actions is the "Differ-

entiable Slice Theorem” which states that for each orbit  $K(x)$ ,  $x \in \tilde{X}$ , there exists a  $K$ -invariant neighborhood  $U \subset \tilde{X}$  of  $K(x)$  such that every orbit  $K(y)$ ,  $y \in U$ , fibers equivariantly over  $K(x)$ .<sup>3)</sup> Note that since  $\pi$  is  $K$ -equivariant, the corresponding statements also hold for  $X$ .

A useful application of these notions is the following:

**Lemma 2.1.** *Let  $K$  be a compact Lie group and let  $(X, K)$  be an irreducible compact complex space. Suppose there exists a  $K$ -invariant proper analytic subset  $E$  of  $X$ . Then, for a dense set of points  $y \in E$ , there exists a generic  $K$ -orbit in  $X$ ,  $K(x)$  for some  $x \in X$ , such that*

$$\dim_{\mathbb{R}, y} E - \dim_{\mathbb{R}} K(y) < \dim_{\mathbb{R}} X - \dim_{\mathbb{R}} K(x).$$

*Proof.* Let  $y$  be a manifold point of  $E$ . Choose an open  $K_y$ -invariant neighborhood  $U$  of  $y$  small enough so that we can identify  $U$  with a complex subspace of an open domain in the complex (Zariski) tangent space to  $X$  at  $y$  where the action of  $K_y$  on  $U$  is linear, [22]. Since  $K_y$  stabilizes  $E$ , the representation  $K_y \rightarrow GL(T_y(X))$  reduces to  $K_y \rightarrow GL(T_y(E)) + GL(V)$  where  $V$  is a complementary subspace to  $T_y(E)$  in  $T_y(X)$ . Since  $y$  is a manifold point of  $E$ ,  $\dim_y E = \dim T_y(E)$ , and thus  $\dim U \cap V = \dim X - \dim_y E > 0$ . Now, for an open set of points  $x$  in  $U \cap V$  we have an equivariant fibration

$$K(x) \xrightarrow{K_y(x)} K(y),$$

and thus the estimate

$$\begin{aligned} \dim_{\mathbb{R}} K(x) &= \dim_{\mathbb{R}} K(y) + \dim_{\mathbb{R}} K_y(x) < \dim_{\mathbb{R}} K(y) + \dim_{\mathbb{R}, y} U \cap V \\ &= \dim_{\mathbb{R}} K(y) + \dim_{\mathbb{R}} X - \dim_{\mathbb{R}, y} E. \end{aligned}$$

Since the set of generic  $K$ -orbits forms an open dense subset of  $X$ , it is clear that for a dense set of manifold points  $y$  in  $E$  there will be points  $x \in U \cap V$  such that  $K(x)$  is a generic  $K$ -orbit.  $\square$

An immediate consequence of this lemma is

**Lemma 2.2.** *Let  $G$  be a connected complex Lie subgroup of  $\text{Aut}(X)$  and let  $(X, G)_{\mathcal{O}}$  be an irreducible compact complex space. If a compact subgroup  $K$  of  $G$  has a real hypersurface orbit in  $X$ , i.e. if  $\dim_{\mathbb{R}} K(x) = \dim_{\mathbb{R}} X - 1$  for some  $x \in X$ , then  $(X, G)_{\mathcal{O}}$  is almost-homogeneous and  $K$  acts transitively on each connectivity component of the exceptional set of  $X$ .*

*Proof.* It is clear that  $G$  has an open orbit in  $X$  since  $G(x)$  is a complex manifold containing  $K(x)$ . Also,  $K$  stabilizes the exceptional set of  $X$ , so the

3) In fact, this neighborhood  $U$  can be realized differentially as a  $K$ -invariant neighborhood of the zero-section in the normal bundle of  $K(x)$  in  $\tilde{X}$ , [21].

above lemma applies.  $\square$

REMARK. Since  $\text{Aut}(X)$  is a complex Lie group when  $X$  is compact [24], we need only assume that there is a compact Lie group  $K$  acting holomorphically on  $X$  with a real hypersurface orbit in the above lemma: Just define  $G$  to be  $K^{\mathbb{C}}$ .

For equivariant maps and compactifications we have the following lemmas:

**Lemma 2.3.** *Let  $X$  be an irreducible normal complex space and let  $f: (X, G)_{\mathcal{O}} \rightarrow (Y, G')_{\mathcal{O}}$  be an equivariant meromorphic map. If, for all  $x \in X$ ,  $\dim_{\mathbb{C}} G(x) \geq \dim_{\mathbb{C}} X - 1$ , then  $f$  is holomorphic.*

Proof. The indeterminacy set of  $f$  has codimension at least 2 and must be stabilized by  $G$ . Since the  $G$ -orbits have at most codimension 1, the indeterminacy set must be empty and  $f$  is holomorphic.  $\square$

**Lemma 2.4.** *Let  $(\Omega, G)_{\mathcal{A}}$  be an algebraic manifold on which  $G$  acts transitively. Then any  $G$ -equivariant compactification of  $(\Omega, G)_{\mathcal{A}}$  to an irreducible projective algebraic variety is unique up to birational equivalence.*

Proof. Let  $(X, G)_{\mathcal{A}}$  and  $(X', G)_{\mathcal{A}}$  be two irreducible compact projective algebraic  $G$ -spaces such that  $\Omega$  is biregularly equivalent to  $G(x) \subset X$  and  $G(x') \subset X'$  respectively. Then there is a biregular equivariant map  $f: G(x) \rightarrow G(x')$  whose graph  $F \subset X \times X'$  is the orbit of the point  $(x, x')$  under the algebraic action of  $G$  on the product space. Thus,  $F$  is Zariski-open in its closure  $\bar{F}$ , and  $G$  stabilizes  $\bar{F}$ . Therefore,  $\bar{F}$  defines a birational  $G$ -equivariant map from  $X$  to  $X'$ .  $\square$

These two lemmas give us the following “uniqueness lemma” which will be of particular use in later proofs.

**Lemma 2.5.** (Uniqueness of compactification). *Let  $(\Omega, G)_{\mathcal{A}}$  be an algebraic manifold on which  $G$  acts transitively, and let  $(X, G)_{\mathcal{A}}$  be a  $G$ -equivariant compactification of  $(\Omega, G)_{\mathcal{A}}$  to a compact projective algebraic manifold. If  $X \setminus \Omega$  has pure codimension 1, and if the connectivity components of  $X \setminus \Omega$  are homogeneous with respect to  $G$ , then  $(X, G)_{\mathcal{A}}$  is unique up to  $G$ -equivariant biregular equivalence.*

It is perhaps worth noting that this lemma is *not* true if  $(X, G)_{\mathcal{O}}$  is a compact projective algebraic manifold on which  $G$  acts only *holomorphically*. For example, let  $\Omega = \mathbb{C}^* \times \mathbb{C}^* = G$ . Then  $\Omega$  can be algebraically compactified to  $(\mathbb{P}^1 \times \mathbb{P}^1, G)_{\mathcal{A}}$ . However,  $\Omega$  also fibers equivariantly over an elliptic curve  $\Omega \rightarrow T := G / \{(e^z, e^{iz}) \mid z \in \mathbb{C}\}$  with fiber  $\mathbb{C}$ . Therefore,  $\Omega$  can be compactified holomorphically and  $G$ -equivariantly to an almost-homogeneous  $\mathbb{P}^1$ -bundle over  $T$  which is algebraic but not biregularly equivalent to  $\mathbb{P}^1 \times \mathbb{P}^1$ !

In this paper we shall often be concerned with (compact) complex manifolds  $X$  on which a compact Lie group  $K$  acts with at least one real hypersurface orbit,

$H\Sigma=K(x)$  for some  $x\in X$ . For convenience we call such manifolds (compact)  $H\Sigma$ -manifolds. Obviously, if  $X$  is compact, the generic  $K$ -orbits are all real hypersurfaces. In fact, all but at most two  $K$ -orbits are real hypersurfaces, [31], [33]. It follows that  $X$  must be almost-homogeneous and that the exceptional set of  $X$  has at most two components, each of which must be homogeneous (Lemma 2.2). Since  $H\Sigma$  is homogeneous, the Levi-curvature of  $H\Sigma$  in  $X$  has constant signature. Whenever this signature is maximal (i.e. the eigenvalues all have the same sign), we write simply  $H\Sigma_+$ .

The  $H\Sigma_+$ -manifolds have been studied in various contexts. For example, in [30], Morimoto and Nagano show that a  $H\Sigma_+$ -manifold  $\Omega$  which is Stein is either the ball  $\mathbf{B}^n$ ,  $\mathbf{C}^n$ , or  $K$ -equivariantly diffeomorphic to the tangent bundle of a compact symmetric space  $A$  of rank 1. In this latter case,  $H\Sigma$  is a unit sphere bundle over  $A$ .<sup>4)</sup> If  $K^c$  (abstract complexification) acts holomorphically on  $\Omega$ , then  $\Omega\cong\mathbf{C}^n$ , or  $\Omega\cong K^c/L^c$  and  $A\cong K/L$  is realized as a totally real submanifold of  $\Omega$ . In either case  $\Omega$  is affine algebraic and  $K^c$  acts on  $\Omega$  as a linear algebraic group. Let  $(X, K^c)$  be a compact projective algebraic manifold which is a  $K^c$ -equivariant compactification of  $\Omega$ . Then, since  $\Omega$  is Stein (affine algebraic),  $E:=X\setminus\Omega$  has complex codimension 1. By Lemma 2.2,  $E$  is homogeneous under  $K$ . Lemma 2.5 then shows that

*$X$  is unique up to  $K$ -equivariant biregular equivalence.*

We list all of the possible Stein  $H\Sigma_+$ -manifolds  $M$  and their projective algebraic  $K$ -equivariant compactifications  $X$  in the following table. We take  $K$  to be the full connected isometry group of  $A$  (where applicable), although in some cases a smaller compact group acts transitively (cf. [2]). For this classification see [2], [19]. In [19] it is shown that the manifolds  $X\setminus A$  classify all non-compact strictly pseudoconcave homogeneous manifolds (which are not homogeneous cones or  $\mathbf{P}^n\setminus\mathbf{B}^n$ ). Note, in particular, that  $X$  is always homogeneous.

Table 2.6:

$X$	$M$	$A$	$K$
$\mathbf{P}^n$	$\mathbf{C}^n$	—	$SU(n+1)$
$Q^n$ <sup>1)</sup>	$Q^{(n)}$ <sup>2)</sup>	$S^n$	$SO(n+1)$
$\mathbf{P}^n$	$\mathbf{P}^n\setminus Q^{n-1}$	$\mathbf{R}\mathbf{P}^n$	$SO(n+1)$
$\mathbf{P}^n\times\mathbf{P}^n$	$\mathbf{P}^n\times\mathbf{P}^n\setminus E$ <sup>3)</sup>	$\mathbf{P}_R^n$ <sup>4)</sup>	$\{(A, \bar{A}) A\in SU(n+1)\}$
$G_{2,2n}$ <sup>5)</sup>	$\mathrm{Sp}(n, \mathbf{C})/\mathrm{Sp}(n-1, \mathbf{C})$	$\mathbf{Q}\mathbf{P}^n$ <sup>6)</sup>	$\mathrm{Sp}(n)$
$EIII$ <sup>7)</sup>	$F_4^c/\mathrm{Spin}(9, \mathbf{C})$	$F_4/\mathrm{Spin}(9)$ <sup>8)</sup>	$F_4$

1)  $Q^n=\{[z]\in\mathbf{P}^{n+1}|t_{zz}=0\}$ ; 2)  $Q^{(n)}=\{z\in\mathbf{C}^{n+1}|t_{zz}=1\}$ ; 3)  $E=\{([z], [w])|t_{zw}=0\}$ ;  
4)  $\mathbf{P}_R^n=\{([z], [\bar{z}])|[z]\in\mathbf{P}^n\}$ ; 5) Grassman manifold; 6) Quaternionic projective space; 7)  $EIII=E_6/\mathrm{Spin}(10)\times SO(2)$ ; 8) Cayley projective plane.

4) In [30],  $H\Sigma$  is assumed to be simply-connected, although one need only require that  $\pi_1(H\Sigma)$  be finite, [39]. In fact, it was later proved that  $\pi_1(H\Sigma)$  is always finite, [12].



Finally, we state a lemma which will be useful in later structure theorems.

**Lemma 2.7.** *Let  $X$  and  $Y$  be connected compact Kähler manifolds. If  $H^1(X, \mathcal{O})=0$ , then*

$$H^1(X \times Y, \mathcal{O}^*) \cong \pi_1^* H^1(X, \mathcal{O}^*) \oplus \pi_2^* H^1(Y, \mathcal{O}^*)$$

where  $\pi_1, \pi_2$  are the natural projections.

Proof. Hodge theory and the Künneth formulas along with  $H^1(X, \mathcal{O})=0$  imply that  $f_1, f_2$  and  $f_3$  are isomorphisms in the following diagram (cf. [14]):

$$\begin{array}{ccccc}
 & & 0 & & 0 \\
 & & \downarrow & & \downarrow \\
 H^1(X \times Y, \mathcal{O}) & \xrightarrow{f_1} & H^1(X, \mathcal{O}) & \oplus & H^1(Y, \mathcal{O}) \\
 \downarrow & & \downarrow & & \downarrow \\
 H^1(X \times Y, \mathcal{O}^*) & \longrightarrow & H^1(X, \mathcal{O}^*) & \oplus & H^1(Y, \mathcal{O}^*) \\
 \downarrow & & \downarrow & & \downarrow \\
 H^2(X \times Y, \mathbf{Z}) & \xrightarrow{f_2} & H^2(X, \mathbf{Z}) & \oplus & H^2(Y, \mathbf{Z}) \\
 \downarrow & & \downarrow & & \downarrow \\
 H^2(X \times Y, \mathcal{O}) & \xrightarrow{f_3} & H^2(X, \mathcal{O}) & \oplus & H^2(Y, \mathcal{O}) .
 \end{array}$$

The lemma then follows by the Five-Lemma. □

### 3. Compact almost-homogeneous Kähler manifolds with disconnected exceptional set

Let  $(X, G)_{\mathcal{O}}$  be a compact almost-homogeneous Kähler manifold. The exceptional set  $E$  of  $X$  can have at most two connectivity components, [4]. We devote this section to collecting some results for the case when  $E$  does in fact have two components.

In the algebraic setting we have the following (cf. [1], [13]).

**Proposition 3.1.** *If  $(X, G)_{\mathcal{L}}$  is an almost homogeneous compact projective algebraic manifold with a disconnected exceptional set  $E$ , then the open orbit  $\Omega = G/H$  can be realized as a principal  $\mathbf{C}^*$ -bundle over a compact homogeneous rational manifold  $Q$ ,*

$$\Omega \xrightarrow{\mathbf{C}^*} Q .$$

This bundle induces an almost homogeneous  $\mathbf{P}^1$ -bundle

$$\tilde{X} \xrightarrow{\mathbf{P}^1} Q$$

which defines a  $G$ -equivariant projective algebraic modification of  $X$ ,

$$(\tilde{X}, G)_{\mathcal{L}} \longrightarrow (X, G)_{\mathcal{L}}.$$

In addition, the two components of the exceptional set  $\tilde{E}$  in  $\tilde{X}$  are both isomorphic to  $Q$  and fiber equivariantly over the corresponding components of  $E$ .

Proof. Since  $\Omega$  has two ends, it follows from [1], [13] that  $\Omega$  is a principal  $C^*$ -bundle over a compact homogeneous rational manifold  $Q$ . Let  $(\tilde{X}, G)_{\mathcal{L}}$  be the natural  $G$ -equivariant algebraic compactification of this  $C^*$ -bundle obtained by adding two sections. Then  $\tilde{X}$  is an almost-homogeneous  $P^1$ -bundle over  $Q$ , and we denote its exceptional set by  $\tilde{E}$ . Now, either this  $P^1$ -bundle is trivial or a maximal compact subgroup of  $G$  has real hypersurface orbits in  $\tilde{X}$ . In either case, it follows that the two components of  $\tilde{E}$  are both complex hypersurface orbits of  $G$  isomorphic to  $Q$  (see Lemma 2.2). Then, by Lemmas 2.3 and 2.4, there exists a  $G$ -equivariant birational holomorphic map  $(\tilde{X}, G)_{\mathcal{L}} \rightarrow (X, G)_{\mathcal{L}}$ , i.e.  $\tilde{X}$  is a  $G$ -equivariant projective algebraic modification of  $X$ . □

REMARK. If  $Q$  is minimal (i.e. the quotient of a semisimple complex Lie group by a maximal parabolic subgroup), then either the modification map is trivial,  $\tilde{X}=X$ , or a component of  $\tilde{E}$  is blown down to a point, because  $Q$  cannot be equivariantly fibered. In this latter case  $X$  must be  $P^n$ , [36]. In all other cases nontrivial modification maps exist. The Levi-curvature of the line bundle structure of  $\tilde{X}$  (equivalently, the signature of the invariant Chern form) reveals the extent to which a component of  $\tilde{E}$  can be (partially) blown down. For the more general Kähler case, we make use of the *albanese map* which is a holomorphic map  $\alpha: X \xrightarrow{F} A(X)$  of a compact Kähler manifold  $X$  into a compact complex torus  $A(X)$  with  $\dim_{\mathbb{C}} A(X) = \frac{1}{2}b_1(X)$ . In addition, if  $\tau: X \rightarrow T$  is a holomorphic map of  $X$  into a compact complex torus, then there exists a holomorphic map  $\sigma: A(X) \rightarrow T$  such that  $\tau = \sigma \circ \alpha$ . If  $G$  is a closed connected complex Lie subgroup of  $\text{Aut}^0(X)$ , and if  $(X, G)_{\mathcal{O}}$  is a compact almost-homogeneous Kähler manifold with exceptional set  $E$ , then  $\alpha$  is a  $G$ -equivariant holomorphic fiber bundle inducing a surjective homomorphism  $\alpha_*: G \rightarrow \text{Aut}^0(A(X)) \cong A(X)$ , and a surjective holomorphic map  $\alpha|_E: E \rightarrow A(X)$ , [37]. Moreover, the fiber  $(F, \hat{G})_{\mathcal{L}}$  is a compact almost-homogeneous simply-connected projective algebraic manifold, where  $\hat{G} := \ker \alpha_*$  is a linear algebraic group, [4].

**Theorem 3.2.** *If  $(X, G)_{\mathcal{O}}$  is an almost-homogeneous compact Kähler manifold with disconnected exceptional set  $E$ , then the open orbit  $\Omega = G/H$  can be realized as a principal  $C^*$ -bundle over the product of a compact homogeneous rational manifold  $Q$  and the albanese torus  $A(X)$  of  $X$ ,*

$$\Omega \xrightarrow{C^*} Q \times A(X).$$

*This bundle induces an almost homogeneous  $\mathbf{P}^1$ -bundle*

$$\tilde{X} \xrightarrow{\mathbf{P}^1} Q \times A(X)$$

*which defines a  $G$ -equivariant modification of  $X$ ,*

$$(\tilde{X}, G)_{\mathcal{O}} \rightarrow (X, G)_{\mathcal{O}}.$$

*In addition, the two components of the exceptional set  $\tilde{E}$  of  $\tilde{X}$  are both biholomorphic to  $Q \times A(X)$  and fiber equivariantly over the corresponding components of  $E$ .*

Proof. Let  $\alpha: X \rightarrow A(X)$  be the albanese bundle with fiber  $F_x := \alpha^{-1}(\alpha(x))$ . Since  $\alpha|_E: E \rightarrow A(X)$  is surjective, it follows from the equivariance of  $\alpha$  that  $E_x := F_x \cap E$  is disconnected. Since  $(F_x, \hat{G})_{\mathcal{L}}$  is a compact almost-homogeneous projective algebraic manifold with disconnected exceptional set  $E_x$ , the previous proposition implies that there exists an algebraic  $\hat{G}$ -equivariant modification

$$\nu: (\tilde{F}_x, \hat{G})_{\mathcal{L}} \rightarrow (F_x, \hat{G})_{\mathcal{L}}$$

where  $\tilde{F}_x \rightarrow Q_x$  is the almost-homogeneous  $\mathbf{P}^1$ -bundle compactifying the principal  $\mathbf{C}^*$ -bundle  $\Omega_x := \hat{G}/H \rightarrow Q_x := \hat{G}/P$ ,  $\Omega_x \subset F_x$ ,  $\tilde{F}_x$ . Since  $\nu$  is  $\hat{G}$ -equivariant, we can define a holomorphic fiber bundle space

$$\tilde{X} \xrightarrow{\tilde{F}_x} A(X), \quad \tilde{X}: = G \times_{\hat{G}} \tilde{F}_x;$$

and a holomorphic map

$$\tilde{X} = G \times_{\hat{G}} \tilde{F}_x \rightarrow X = G \times_{\hat{G}} F_x, \quad (g, z) \mapsto (g, \nu(z))$$

which is clearly a  $G$ -equivariant modification of  $X$ . Note that  $\tilde{X}$  is also a  $G$ -equivariant almost-homogeneous  $\mathbf{P}^1$ -bundle over  $G/P$ , which is just the usual  $G$ -equivariant compactification of the  $\mathbf{C}^*$ -bundle  $\Omega = G/H \rightarrow G/P$ . In addition, any equivariant imbedding  $(\tilde{F}_x, \hat{G})_{\mathcal{L}} \rightarrow (\mathbf{P}^N, \text{Aut}(\mathbf{P}^N))_{\mathcal{L}}$  defines an imbedding of  $\tilde{X}$  into a  $\mathbf{P}^N$ -bundle over  $A(X)$  which is Kähler, [25]. Therefore,  $\tilde{X}$  is Kähler, and  $G/P$  being the proper image of a Kähler manifold must also be Kähler (cf. [6]). Thus, the albanese map of  $G/P$ ,

$$G/P \rightarrow G/\hat{G} = A(X) \quad \text{with fiber} \quad \hat{G}/P = Q_x,$$

splits into a product,  $G/P = Q_x \times A(X)$ , [10]. Finally, since  $\tilde{E}$  is just the disjoint union of two sections added to this  $\mathbf{C}^*$ -bundle, the components of  $E$  are biholomorphic to  $Q_x \times A(X)$ . □

We now describe the bundle structure of these manifolds.

**Corollary 3.3.** *There exist principal  $\mathbf{C}^*$ -bundles  $L_1 \rightarrow Q$  and  $L_2 \rightarrow A(X)$*

with  $L_2$  topologically trivial such that

$$\Omega \cong \pi_1^*(L_1) \otimes \pi_2^*(L_2),$$

where  $\pi_1, \pi_2$  are the natural projections.

Proof. By Lemma 2.7 we have  $\Omega \cong \pi_1^*(L_1) \otimes \pi_2^*(L_2)$ . To see that  $L_2$  is topologically trivial we need only note that the holomorphic fibration  $\Omega \rightarrow Q$  is a map of coset spaces, so that  $L_2$  is equivalent to a homogeneous principal  $\mathbb{C}^*$ -bundle over a compact complex torus and therefore is topologically trivial, [27]. □

REMARK. Since any such  $\mathbb{C}^*$ -bundles  $L_1 \rightarrow Q, L_2 \rightarrow T$  ( $L_2$  topologically trivial) are homogeneous, they always give rise to an example,  $X \xrightarrow{\mathbb{P}^1} Q \times T$ .

From this structure theorem we easily deduce the following

**Corollary 3.4.** *Let  $E_1, E_2$  be the components of  $E$ . Then*

$$A(E_1) = A(E_2) = A(X).$$

#### 4. The algebraic case

We now restrict our attention to the case where  $G$  is a complex linear algebraic group and  $(X, G)_{\mathcal{L}}$  is an almost-homogeneous projective algebraic manifold whose exceptional set  $E$  is a complex hypersurface orbit,  $E = G(x_0)$ . (See §3 if  $E$  is not connected.)

In this section we wish to prove a fibration theorem for such manifolds, but first we present two preparatory lemmas.

**Lemma 4.1.** *Let  $S$  be a reductive linear algebraic complex Lie group and  $H$  a closed algebraic subgroup of  $S$ . If  $S/H$  is not Stein, then  $H$  is contained in a proper parabolic subgroup of  $S$ , i.e. there exists a homogeneous fibration,  $S/H \rightarrow S/P$ , where  $S/P$  is a non-trivial compact projective rational manifold.*

Proof. If  $S/H$  is not Stein, then  $H$  is not reductive, [28], so that the unipotent radical,  $R_u(H)$ , of  $H$  is non-trivial. Then the increasing sequence of subgroups  $N_0 \subset N_1 \subset \dots \subset N_i \subset \dots$  where  $N_0 := N_S(R_u(H))$  and  $N_i := N_S(R_u(N_{i-1}))$ , must stabilize with a proper parabolic subgroup of  $S$  (see e.g. [20]). □

**Lemma 4.2.** *Let  $(X, G)_{\mathcal{L}}$  be a compact almost-homogeneous projective algebraic manifold with  $\dim X > 1$ . Assume that the open orbit  $\Omega$  is Stein (i.e. affine algebraic) and that the exceptional set  $E$  of  $X$  is a (necessarily connected) complex hypersurface orbit of  $G$ . Then the generic orbit of a maximal compact subgroup  $K$  of  $G$  is a real hypersurface orbit in  $X$ , i.e.  $X$  is an equivariant projective*

*algebraic compactification of a Stein  $H\Sigma_+$ -manifold (see Table 2.6).*

Proof. Since  $\Omega$  is Stein,  $E$  must be connected, [40]. Since  $G$  acts linearly, algebraically and transitively on  $E$ ,  $E$  is a compact homogeneous projective rational manifold, [15]. Thus, if  $K$  is a maximal compact subgroup of  $G$ ,  $K$  acts transitively on  $E$  also. Therefore, the generic  $K$ -orbits in  $X$  have real codimension 1 or 2.

If the generic  $K$ -orbit has codimension 2, then the normal (complex line) bundle of  $E$  in  $X$  is topologically trivial. This follows from the fact that one can always smoothly and  $K$ -equivariantly realize a neighborhood  $N \subset X$  of  $E$  as a neighborhood of the zero section in the normal bundle of  $E$  in such a way that  $K(p) \rightarrow E$  is a homogeneous fibration for  $p \in N$ , [21]. This fibration is a diffeomorphism because  $E$  is simply connected.

We now show that this is a contradiction. Let  $(X', G)_{\mathcal{L}}$  be an equivariant compactification of the affine algebraic manifold  $\Omega$  to a projective algebraic variety such that  $E' := X' \setminus \Omega$  is a connected hyperplane section (see [5]). It follows from Lemmas 2.3, 2.4 that there exists a holomorphic equivariant birational map  $\nu: X \rightarrow X'$ , showing that  $E'$  is homogeneous under  $G$ . Equivariance also implies that  $\nu$  is 1-to-1 ( $X$  is the  $G$ -equivariant normalization of  $X'$ !). If  $H$  denotes the hyperplane section bundle on  $X'$ , then  $\nu^*H|_E$  is isomorphic to a power of the normal bundle of  $E$  in  $X$  and clearly has non-constant sections. Therefore, the normal bundle of  $E$  cannot be topologically trivial. □

**Theorem 4.3.** *Let  $(X, G)_{\mathcal{L}}$  be an almost-homogeneous connected compact projective algebraic manifold with open orbit  $\Omega = G/H$ . Assume that the exceptional set  $E = X \setminus \Omega$  is a connected complex hypersurface orbit of  $G$ . Then there is a  $G$ -equivariant fibration of  $X$*

$$X \xrightarrow{M} Q$$

where  $Q = G/P$  is a compact projective rational manifold,  $P$  is any minimal parabolic subgroup of  $G$  containing  $H$ , and the fiber  $M$  is biregularly equivalent to  $P^n$ ,  $Q^n$ ,  $G_{2,2n}$ , or  $EIII$  (see Table 2.6).

Proof. Let  $P$  be any minimal parabolic subgroup of  $G$  which contains  $H$ . Then we have an equivariant fibration  $\Omega \rightarrow G/P =: Q$ . Let  $M$  be the  $P$ -equivariant compactification of the fiber  $P/H$  in  $X$ . By blowing up  $E_M := M \setminus (P/H)$  and passing to an equivariant desingularization of  $M$ , we may assume that  $M$  is a manifold and that  $E_M$  has pure codimension 1 (see §1). We define  $X' := G \times_P M$ . Then  $(X', G)_{\mathcal{L}}$  is an almost-homogeneous projective algebraic manifold with open orbit  $\Omega$ . Lemma 2.4 implies that  $X'$  is equivariantly birationally equivalent to  $X$ . Since  $E' := X' \setminus \Omega$  has pure codimension 1, equivariance implies that the components of  $E'$  are homogeneous. Lemma 2.5

then implies that  $X' \cong X$ . Thus, we obtain an equivariant fibration of  $X$ ,  $X \xrightarrow{M} Q$ . Note that the induced equivariant fibration  $E \rightarrow Q$  shows that  $E_M = E \cap M$  is homogeneous and connected.

If  $\dim M < \dim X$ , then an induction argument on dimension<sup>4)</sup> implies that there exists an equivariant fibration of  $M$ ,  $M \xrightarrow{M'} Q'$ , as in the statement of the theorem, where  $Q' = P/P'$ . By the minimality of  $P$  we have  $P = P'$  and  $M = M'$ , and the theorem is true.

Therefore, we may assume that  $M = X$ , i.e. that *any minimal parabolic subgroup of  $G$  which contains  $H$  must be  $G$  itself*. In this case we claim that  $\Omega$  is Stein, which by Lemma 4.2 implies that  $X$  is an equivariant compactification of a Stein  $H\Sigma_+$ -manifold (Table 2.6). Let  $K$  be a maximal compact subgroup of  $G$  and let  $S = K^c$ . Recall that the generic  $K$ -orbits in  $X$  have real codimension at most 2. We then have the following possibilities:

- 1)  $S$  has a compact orbit in  $\Omega$  with complex codimension 1,  $S(x) = K(x)$ .
  - 2)  $S$  has an open Stein orbit  $S(x)$ .
- or 3)  $S$  has an open orbit which is *not* Stein,  $S(x)$ .

In case 2) we have  $S(x) = \Omega$ —unless  $S(x) = C^*$  and  $\Omega = C$ , since a Stein manifold has only one “end” in dimensions greater than 1, [40]—showing that  $\Omega$  is Stein as claimed.

Case 1) can only occur when  $X = P^1$ . To see this, let  $G = R_u S$  where  $R_u$  is the unipotent radical of  $G$ , [20]. Then, since  $G$  acts algebraically on  $X$ , the orbits of  $R_u$  are Zariski-open in their closures, and hence we obtain an equivariant fibration of  $\Omega$ ,  $\Omega = G/H \xrightarrow{P} G/R_u H$ . It follows from Lie's Theorem that, since it is solvable and acting algebraically, the  $R_u$ -orbits are holomorphically separable. Since such an orbit intersects  $S(x)$  in a compact analytic set, this intersection must be finite. Thus the fibration  $S(x) \rightarrow G/R_u H$  is finite, and thus the base  $G/R_u H$  is a homogeneous rational manifold having the same dimension as  $S(x)$ . In fact, they intersect in exactly one point since  $S(x)$  is a compact simply-connected projective rational manifold, and thus  $G/R_u H \cong S(x)$ . The above assumption on  $G$  implies that  $R_u H = G$ , so that  $S(x)$  reduces to a point. Therefore,  $X$ , being a compact connected 1-dimensional almost-homogeneous manifold of a linear algebraic group, must be biregularly equivalent to  $P^1$ .

Finally, we show that case 3) implies that  $X \cong P^n$  and  $\Omega \cong C^n$ . Let  $S(x) = S/S \cap H$  be the open  $S$ -orbit in  $X$  which is not Stein. There are two cases which we handle separately:

- (a)  $X \setminus S(x)$  is connected.
- or (b)  $X \setminus S(x)$  is not connected.

---

5) If  $\dim X = 1$ , the theorem is trivial.

In (a), we apply Lemma 4.1 to obtain a *proper* parabolic subgroup  $P_0$  of  $S$  which contains  $S \cap H$ , and the corresponding equivariant fibration  $S(x) \rightarrow S/P_0 =: Q_0$ . Just as in the beginning of the proof, if  $M_0$  denotes an equivariant compactification of the fiber to a projective algebraic manifold, then  $X$  is biregularly equivalent to the almost-homogeneous manifold  $S \times_{P_0} M_0$ , since  $E$  is homogeneous with respect to  $S$  and has complex codimension 1. We thus obtain a fibration of  $X$ ,  $X \rightarrow Q_0$ , which is equivariant with respect to  $G$  since the fiber is compact and connected, [38]. Therefore,  $Q_0 = G/P'$  where  $P'$  is a parabolic subgroup of  $G$  containing  $H$ . By our assumption on  $G$ , we have  $G = P'$  so that  $S = P_0$ , contradicting the fact that  $P_0$  is a *proper* subgroup of  $S$ . This shows that (a) does not occur.

For (b), we apply Proposition 3.1 to show there exists an  $S$ -equivariant algebraic modification of  $X$ ,  $\mu: \tilde{X} \rightarrow X$ , where  $\pi: \tilde{X} \rightarrow Q'$  is an almost-homogeneous  $P^1$ -bundle over a homogeneous projective rational manifold  $Q'$  with structure group  $C^*$ . Let  $\tilde{E} = \tilde{E}_0 \cup \tilde{E}_\infty$  be the exceptional set of  $\tilde{X}$ , i.e. the zero and infinity sections of the  $P^1$ -bundle. By Proposition 3.1 we know  $\tilde{E}_0 \cong \tilde{E}_\infty \cong Q'$  and that  $\tilde{E}_\infty$  (say) is biholomorphic to  $E$ , while  $\tilde{E}_0 \rightarrow \mu(\tilde{E}_0) =: Q''$  is an equivariant fibration of  $\tilde{E}_0$  onto another compact homogeneous projective rational manifold  $Q'' \subset X$ . We now construct a holomorphic map from  $X$  to  $Q''$  as follows:

$$X \xrightarrow{\mu^{-1}} \tilde{X} \xrightarrow{\pi} Q' \xrightarrow{\mu} Q''.$$

Note that  $\mu^{-1}$  is only a meromorphic map so that  $\pi' := \mu \circ \pi \circ \mu^{-1}$  is a priori only a meromorphic map. However, due to the equivariance of the maps involved, it is easy to see that  $\pi'$  is well-defined and continuous, and therefore holomorphic. Since the fiber is compact and connected, this map is equivariant with respect to  $G$ , [38]. Thus,  $Q'' = G/P''$  where  $P''$  is a parabolic subgroup of  $G$  containing  $H$ . Once again, this means that  $G = P''$ , so that  $Q''$  reduces to a point. Therefore,  $X$  can be realized as a compact almost-homogeneous manifold (with respect to  $S$ ) whose exceptional set contains an isolated fixed point. A theorem of E. Oeljeklaus [36] implies that  $X \cong P^n$  and  $S(x) \cong C^n \setminus \{0\}$ . Therefore,  $\Omega \cong C^n$  as claimed.

To conclude the proof, we need only check Table 2.6 to see that, since  $P$  is minimal, the possibility that  $M \cong P^n \times P^n$  cannot occur. □

We now list a few consequences of this theorem which further describe the properties of  $X$ .

**Corollary 4.4.**  $\pi_1(\Omega) = 0$  or  $Z_2$ .

Proof. This follows from the homotopy sequence  $\pi_1(M \cap \Omega) \rightarrow \pi_1(\Omega) \rightarrow \pi_0(Q)$  and Table 2.6. □

**Corollary 4.5.** *Unless  $X = \mathbf{P}^1 \times E$  and every  $K$ -orbit is biregularly equivalent to  $E$ , the generic orbit of a maximal compact subgroup  $K$  of  $G$  is a real hypersurface orbit in  $X$ .*

*Proof.* By the theorem,  $X$  has a  $G$ -equivariant fibration  $X \xrightarrow{M} Q$ . Lemma 4.2 applied to  $M$  shows that  $K$  has real hypersurface orbits in  $X$  unless  $\dim_{\mathbb{C}} M = 1$ . In this case  $M = \mathbf{P}^1$ . Now, if  $K$  does not have real hypersurface orbits in  $X$ , then the generic  $K$ -orbit must have real codimension 2, as before. These  $K$ -orbits show that the affine or line bundle structure of  $X$  is topologically trivial. Since  $H^1(Q, \mathcal{O}) = 0$ , it follows that the bundle structure is in fact holomorphically trivial and  $X = \mathbf{P}^1 \times Q = \mathbf{P}^1 \times E$ .  $\square$

**Corollary 4.6.** *The manifold  $M$  cannot be  $P$ -equivariantly and non-trivially fibered with positive dimensional fiber.*

*Proof.* If  $M \rightarrow Y$  is a  $P$ -equivariant fibration of  $M$  with positive dimensional fiber  $Z$ , then the open Stein orbit  $P/H$  also fibers onto an open homogeneous submanifold of  $Y$ . Since  $P/H$  is Stein, the fiber  $Z$  must intersect  $E \cap M$ . By equivariance,  $P/H$  then fibers onto  $Y$  so that  $Y = P/P'$  is a compact homogeneous projective rational manifold. By minimality of  $P$ ,  $Y$  must reduce to a point.  $\square$

**Corollary 4.7.** *If the generic  $K$ -orbit is a real hypersurface in  $X$ , then the isotropy subgroup  $H$  has at most index 2 in  $N_G(H^0)$ , i.e. either  $H = N_G(H^0)$  or  $H \triangleleft N_G(H^0)$  and  $N_G(H^0)/H \cong \mathbf{Z}_2$ . This latter possibility can only occur when  $M = Q^n$ , a projective quadric hypersurface.*

*Proof.* We first show that  $N_G(H^0)/H$  is finite. If the orbits of  $N_G(H^0)$  are positive dimensional in  $G/H$ , then they each intersect a fixed generic real hypersurface orbit of  $K$ . Since  $G$  is acting linearly, it follows that these orbits cannot be compact. Therefore,  $G/N_G(H^0)$  is compact and indeed a projective rational manifold so that  $N_G(H^0)$  is parabolic. We choose  $P$  to be a minimal parabolic subgroup of  $G$  containing  $H$  which is contained in  $N_G(H^0)$ . Then  $H^0$  is a normal subgroup of  $P$  and therefore fixes every point in the Stein manifold  $P/H = (P/H^0)/(H/H^0)$  which is now group theoretically parallelizable. This can only happen when  $P/H = \mathbf{C}^k$  or  $\mathbf{C}^*$  (see Table 2.6), and the latter possibility is eliminated by our assumption that  $E$  is connected. Thus,  $H = H^0$  and  $P/H \cong \mathbf{C}^k$  is an abelian complex Lie group. But then no maximal compact subgroup of  $P$  can have real hypersurface orbits in  $P/H$ . This contradiction implies that the orbits of  $N_G(H^0)$  are 0-dimensional. Thus, since  $N_G(H^0)$  is an algebraic group,  $N_G(H^0)/H$  is finite.

Now consider the  $G$ -equivariant finite covering  $X \rightarrow X'$  of  $X$  onto the orbit space  $X'$  of the action of  $N_G(H^0)$  on  $X$ . This map is given by  $\Omega = G/H \rightarrow \Omega' := G/N_G(H^0)$  on  $\Omega$  and is a biholomorphism of  $E$  onto  $E' := X' \setminus \Omega'$  since  $E$  is



simply connected. It is clear that  $K$  still has real hypersurface orbits in  $X'$  and that  $E'$  is a complex hypersurface orbit in  $X'$  (cf. Lemma 2.2. The construction of  $X'$  is also given by Theorem 6.1). It follows that the  $G$ -equivariant normalization  $\hat{X}$  of  $X'$  is a manifold satisfying the conditions of the theorem. Therefore, there exists a parabolic subgroup  $P'$  of  $G$  containing  $N_G(H^0)$ . We now choose  $P$  to be a minimal parabolic subgroup containing  $H$  which is contained in  $P'$ . However, since the above map is finite, it follows that  $P=P'$  and  $N_G(H^0) = N_P(H^0)$ . Table 2.6 shows that  $N_P(H^0)=H$  unless  $M=Q^n$  in which case  $N_P(H^0)/H=Z_2$ .  $\square$

**Corollary 4.8.**  *$X$  is a projective rational manifold.*

*Proof.* Let  $B$  be a Borel subgroup of  $G$ . Then  $B$  has an open orbit in  $E$  isomorphic to  $\mathbf{C}^{n-1}$  ( $n=\dim X$ ) since  $E$  is a compact homogeneous projective rational manifold. According to [26],  $X$  is birationally equivalent to  $\mathbf{P}^{n-1} \times V$ , where  $V$  is a 1-dimensional compact projective algebraic variety. Theorem 4.3 shows that  $b_1(X)=0$ , and since this is a birational invariant it follows that  $b_1(V)=0$ , i.e.  $V=\mathbf{P}^1$ . Therefore,  $X$  is rational.  $\square$

## 5. The compact Kähler case

In [10], Borel-Remmert prove that the albanese fibration  $\alpha: X \rightarrow A(X)$  of a compact homogeneous Kähler manifold  $X$  splits  $X$  into a product  $X=Q \times A(X)$  where  $Q$  is a compact homogeneous projective rational manifold.

In general, this kind of splitting does not occur when  $X$  is a compact *almost-homogeneous* Kähler manifold. However, in this section we prove that if the exceptional set  $E$  of  $X$  is a connected complex hypersurface orbit, then with two exceptions the albanese fibration *does* split  $X$  into a product  $X=F \times A(X)$ . In any case, the complex hypersurface orbit assumption implies that  $(F, \hat{G})_{\mathcal{L}}$  is always a *compact almost-homogeneous projective rational manifold as described in §4*. Of course, we must take  $G \subset \text{Aut}^0(X)$  in order to guarantee that  $\hat{G}$  is linear algebraic (see §3).

We begin with the following

**Proposition 5.1.** *Let  $G$  be a closed connected complex Lie subgroup of  $\text{Aut}^0(X)$  and let  $(X, G)_{\mathcal{O}}$  be a compact almost-homogeneous Kähler manifold whose exceptional set  $E$  is a connected complex hypersurface orbit of  $G$ . Let  $(F, \hat{G})_{\mathcal{L}}$  be the fiber of the albanese fibration  $\alpha: X \rightarrow A(X)$ . Assume that a maximal compact subgroup of  $\hat{G}$  has a real hypersurface orbit in  $F$ . Then there exists a compact complex central subgroup  $T \subset G$  such that either*

- 1)  $G \simeq \hat{G} \times T$ , or
- 2)  $G \simeq \hat{G} \times T/J$  where  $J := \{(z, z^{-1}) \mid z \in \hat{G} \cap T\}$  is a finite group of order two.

Proof. We first assume that  $G$  is the connected component of the stabilizer of  $E$  in  $\text{Aut}(X)$ . Let  $H$  be the isotropy subgroup of a point  $x$  in the open  $G$ -orbit,  $x \in \Omega$ , and set  $F_x := \alpha^{-1}(\alpha(x))$ ,  $\Omega_x := \Omega \cap F_x$ . Then,

$$N_G(H^0)(x) \cap \Omega_x = (N_G(H^0)/H) \cap (\hat{G}/H) = N_{\hat{G}}(H^0)/H$$

which is at most two points by Corollary 4.7. Therefore, the equivariance of the albanese fibration implies that

$$N_G(H^0)(x) \rightarrow A(X)$$

is a 1-to-1 or 2-to-1 equivariant covering map. Thus, since  $H$  acts trivially on  $A(X)$ ,  $H$  acts trivially on the component of  $N_G(H^0)(x)$  which contains  $x$ . Also, there are at most two components of  $N_G(H^0)(x)$  so that  $H$  must act trivially on all of  $N_G(H^0)(x)$ . This shows that  $H$  is normal in  $N_G(H^0)$  and that  $T := N_G(H^0)/H = N_G(H^0)(x)$  is a compact complex torus, perhaps with two components.

We now define a holomorphic action of  $T$  on  $\Omega$  in the following way: Let  $t \in T$  and  $x \in \Omega$ . Then  $t = nH \in N_G(H^0)/H$  and  $x = gH \in G/H$ . Define

$$t(x) := gnH.$$

This is a well-defined holomorphic action since  $H$  is normal in  $N_G(H^0)$  and  $T$  is abelian.

We wish to extend the action of  $T$  to all of  $X$ . To do this, we must inspect both the albanese fibration,  $\alpha: X \rightarrow A(X)$ , and the fibration

$$\beta: \Omega = G/H \rightarrow Y := G/N_G(H^0).$$

By [17],  $\beta$  extends to a  $G$ -equivariant meromorphic map

$$\tilde{\beta}: X \rightarrow \bar{Y}$$

where  $\bar{Y}$  is an appropriate compactification of  $Y$  to a complex space. Lemma 2.3 implies that  $\tilde{\beta}$  is holomorphic. Since  $\tilde{\beta}$  is also a proper map, we can find a bounded Stein neighborhood  $Z$  of  $\tilde{\beta}(x_0)$ ,  $x_0 \in E$ , such that  $V := \tilde{\beta}^{-1}(Z)$  is  $\tilde{\beta}$ -saturated,  $\tilde{\beta}^{-1}(\tilde{\beta}(V)) = V$ . This implies that  $V \setminus E$  is invariant under the action of  $T$ . Note that the restricted albanese map

$$\alpha: \Omega = G/H \rightarrow A(X) = G/\hat{G}$$

is also  $T$ -equivariant when the action of  $T$  on  $A(X)$  is defined via left multiplication of cosets by elements of  $N_G(H^0)$ . Fix  $t \in T$ . By the above remarks it follows that there exists a small coordinate neighborhood  $U$  of  $\alpha(x_0)$  in  $A(X)$  such that  $W := V \cap \alpha^{-1}(U)$  is a bounded coordinate neighborhood of  $x_0$  and

$$t(W \setminus E) \subset \alpha^{-1}(tU) \cap V$$

where  $\alpha^{-1}(tU) \cap V$  is also a bounded coordinate neighborhood. The action of  $t$  on  $W \setminus E$  is now given by bounded holomorphic functions and therefore  $t$  extends to all of  $W$  and indeed to all of  $X$ . We thus obtain a holomorphic Lie group monomorphism

$$\rho: T^0 \rightarrow G$$

whose image we denote by  $T_0$ .

We claim that  $T_0$  is a central subgroup of  $G$ . To see this let  $t = nH \in T^0$ ,  $n \in N_c(H^0)$ ; and  $t_0 := \rho(t)$ . Then, we have for  $gH \in G/H = \Omega$

$$t_0 gH = \rho(t)gH = t(gH) = gnH = gt_0H$$

since  $\rho(t)H = nH$ . Therefore,  $t_0g = gt_0$  for all  $g \in G$ ,  $t_0 \in T_0$  because  $G$  acts effectively on  $\Omega$ . Consider the complex Lie group homomorphism

$$\hat{G} \times T_0 \rightarrow G; \quad (g, t) \mapsto gt,$$

whose kernel is  $J := \{(z, z^{-1}) \mid z \in \hat{G} \cap T_0\}$ . Since  $\dim T_0 = \dim A(X)$ , it follows that the image of this homomorphism is open and hence all of  $G$ . Now  $J \cong \hat{G} \cap T_0$  and

$$\hat{G} \cap T_0 = \hat{G} \cap T_0/H \cap T_0 = (\hat{G}/H) \cap T_0(x) = \Omega_x \cap N_c(H^0)(x)$$

which we have already seen consists of at most two points. Therefore,  $J \cong \{1\}$  or  $\mathbf{Z}_2$ .

Finally, we note that if  $G'$  is any closed subgroup of  $G$  acting transitively on  $\Omega$  and  $E$ , then  $G = \hat{G} \times T_0$  or  $\hat{G} \times T_0/J$  as above. Let  $T' = \ker(\tilde{\beta}_* | G') = G' \cap \ker \tilde{\beta}_*$ . Then, since  $T'$  acts transitively on  $A(X)$ , it follows that  $\dim A(X) \leq \dim T' \leq \dim \ker \tilde{\beta}_* = \dim T_0 = \dim A(X)$ . In particular,  $T_0 \subset T'$ , so that  $G' = \hat{G}' \times T_0$  or  $\hat{G}' \times T_0/J'$ , where  $\hat{G}' = \ker(\alpha_* | G') = G' \cap \hat{G}$  and  $J' = \{(z, z^{-1}) \mid z \in \hat{G}' \cap T_0\}$ . □

We now prove our main structure theorem.

**Theorem 5.2.** *Let  $(X, G)_\mathcal{O}$  be a compact almost-homogeneous Kähler manifold whose exceptional set is a connected complex hypersurface orbit of  $G$ . Let*

*$X \xrightarrow{F} A(X)$  be the albanese fibration of  $X$ . Then*

(1)  *$F$  is an almost-homogeneous compact rational manifold which fibers*

*equivariantly,  $F \xrightarrow{M} Q$ , over a compact homogeneous rational manifold  $Q$  with fiber  $M \cong \mathbf{P}^n, Q^n, G_{2,2n}$ , or  $E$  III (see Table 2.6);*

and (2) *One of the following holds:*

(2.1)  *$X \cong F \times A(X)$ .*

(2.2) *There exists an equivariant 2-to-1 covering of  $A(X)$ ,*

$$T \rightarrow A(X),$$

and an equivariant 2-to-1 covering of  $X$ ,

$$\tilde{X} \rightarrow X$$

such that  $\tilde{X} \cong F \times T$ . In this case  $M \cong Q^n$ .

(2.3)  $X \cong Q \times B$ , where  $Q$  is a compact homogeneous projective rational manifold and  $B$  is an almost homogeneous  $\mathbf{P}^1$ -bundle over  $A(X)$  with structure group  $\mathbf{C}$ . In this case,  $F \cong \mathbf{P}^1 \times Q$ .

REMARK. A maximal compact subgroup of  $G$  has a real hypersurface orbit in  $X$  only in cases (2.1) and (2.2), and we have  $G \cong \hat{G} \times A(X)$  and  $G \cong \hat{G} \times T/J$ ,  $J = \{(z, z^{-1}) \mid z \in \hat{G} \cap T\}$ , respectively (see Proposition 5.1).

Proof. We have already noted that statement (1) is true. Let  $(F, \hat{G})_{\mathcal{L}}$  be the fiber of the albanese fibration. We consider two cases: 1) A maximal compact subgroup of  $\hat{G}$  has a real hypersurface orbit in  $F$ , or 2) there are no such real hypersurface orbits.

1): By the previous proposition we know  $G \cong \hat{G} \times T$  or  $\hat{G} \times T/J$ . Consider the holomorphic map

$$\nu: F \times T \rightarrow X, \quad (z, t) \mapsto t(z).$$

If  $G \cong \hat{G} \times T$ , then this map is biholomorphic since  $T$  acts trivially on  $F$  and transitively on  $A(X)$ . In this case it is clear that  $T \cong A(X)$ , proving (2.1). If  $G \cong \hat{G} \times T/J$ , then  $\nu$  defines a 2-to-1 map since every orbit of  $\hat{G} \cap T$  in  $F$  consists of two points. Corollary 4.7 implies that  $M = Q^n$ , proving (2.2).

2): If there are no real hypersurface orbits, then Corollary 4.5 implies that  $F \cong \mathbf{P}^1 \times Q$ . In fact,  $\hat{G}/H \rightarrow \hat{G}/P = Q$  is a trivial  $\mathbf{C}$ -bundle and  $F$  is its compactification. The fibration

$$\Omega = G/H \xrightarrow{\mathbf{C}} G/P$$

is therefore an affine  $\mathbf{C}$ -bundle and  $X$  is its compactification to a  $\mathbf{P}^1$ -bundle.

Let  $L$  denote the principal  $\mathbf{C}^*$ -bundle associated to this affine  $\mathbf{C}$ -bundle. By Lemma 2.7 we have that  $L = \pi_1^*(L_1) \otimes \pi_2^*(L_2)$ , where  $L_1 \rightarrow Q$  and  $L_2 \rightarrow A(X)$  are principal  $\mathbf{C}^*$ -bundles. In addition, since the restricted affine  $\mathbf{C}$ -bundles over  $Q \times \{t\}$ ,  $t \in A(X)$ , are trivial,  $L_1$  is the trivial bundle. Thus, the structure group of the affine  $\mathbf{C}$ -bundle  $\Omega \rightarrow Q \times A(X)$  has the form  $\begin{pmatrix} a(t) & b(q, t) \\ 0 & 1 \end{pmatrix}$ ,  $q \in Q$ ,  $t \in A(X)$ . Now the restricted affine  $\mathbf{C}$ -bundles over  $\{q\} \times A(X)$ ,  $q \in Q$ , are homogeneous (they are the fibers of the map of coset spaces  $\Omega \rightarrow Q$ ). Therefore, it follows from [29] that for fixed  $q$  this group can be further reduced to either  $\begin{pmatrix} c(q, t) & 0 \\ 0 & 1 \end{pmatrix}$  with  $c(q, t) \neq 1$ , or  $\begin{pmatrix} 1 & d(q, t) \\ 0 & 1 \end{pmatrix}$ . The first possibility is eliminated by our assumption that the exceptional set is connected ( $\Omega$  has one end). This

shows that  $L_2$  is also the trivial bundle, and hence  $\Omega$  is a principal  $\mathcal{C}$ -bundle. The structure group is now equivalent to  $\begin{pmatrix} 1 & f(t) \\ 0 & 1 \end{pmatrix} t \in A(X)$ , since  $H^1(Q \times A(X), \mathcal{O}) \cong H^1(Q, \mathcal{O}) \oplus H^1(A(X), \mathcal{O}) \cong H^1(A(X), \mathcal{O})$ . Thus,  $\Omega \cong Q \times \Omega'$  where  $\Omega'$  is a principal  $\mathcal{C}$ -bundle over  $A(X)$ , and  $X \cong Q \times B$  as claimed.  $\square$

It is quite easy to illustrate the phenomenon of (2.2) in the above theorem: Let  $T = \mathcal{C}^n / \Gamma$  and let  $\rho: \Gamma \rightarrow \mathcal{Z}_2 = \{1, \sigma\}$  be a non-trivial representation. We identify  $\sigma$  with the involution of  $Q^m \subset \mathcal{P}^{m+1}$ ,  $[z_0: z_1: \dots: z_{m+1}] \rightarrow [-z_0: z_1: \dots: z_{m+1}]$ . Then we define  $X = Q \times T / \sim$ , where  $(q, t) \sim (\rho(\gamma)q, t + \gamma)$  for  $\gamma \in \Gamma$ . This example is also presented in [4], where it is shown among other things that the structure group of the albanese fibration can *always* be reduced to a finite group when  $X$  is an almost-homogeneous compact Kähler manifold. Of course, we can construct similar examples using any equivariant fibration  $F \xrightarrow{M} Q$  as in (1) with fiber  $M \cong Q^n$ . This is because  $\sigma$  commutes with the structure group of the bundle and hence acts on  $F$ .

### 6. Compact Kähler manifolds with real hypersurface orbits

In this section we consider a compact Kähler manifold  $X$  on which a compact Lie group  $K$  acts with at least one real hypersurface orbit,  $H\Sigma = K(x)$ , for some  $x \in X$ . Recall from §2 that such an  $X$  is called a compact (Kähler)  $H\Sigma$ -manifold and is almost-homogeneous with respect to  $S := K^c$  (we may as well assume that  $K$  is a closed subgroup of  $\text{Aut}(X)$ ). In addition, the connectivity components of the exceptional set  $E$  of  $X$  are homogeneous under  $K$  and  $S$ .

As usual, we begin with a proposition for the algebraic case. The proof uses the same argument as in Theorem 4.3.

**Proposition 6.1.** *Let  $(X, S)_{\mathcal{L}}$  be a compact projective algebraic  $H\Sigma$ -manifold. Then there exists an equivariant algebraic modification of  $X$ ,*

$$(\tilde{X}, S)_{\mathcal{L}} \rightarrow (X, S)_{\mathcal{L}},$$

*such that the connectivity components of the exceptional set  $\tilde{E}$  of  $\tilde{X}$  are complex hypersurface orbits of  $S$ .*

**Proof.** First note that we may assume the exceptional set  $E$  is connected, since otherwise we apply Proposition 3.1. If  $S(x) = S/H$  is Stein, we refer directly to §2 and Table 2.6. If  $S/H$  is not Stein, then there exists a *proper* minimal parabolic subgroup  $P$  of  $S$  which contains  $H$  by Lemma 4.1. Thus, we obtain a non-trivial fibration  $S/H \xrightarrow{P/H} S/P =: Q$ . The fiber  $P/H$  has real hypersurface orbits with respect to  $P \cap K$  (for appropriately chosen  $K$  in  $S$ ). Therefore,  $S_P := (P \cap K)^c$  has an open orbit in  $P/H$ , say  $S_P(x)$ . As in the

proof of Theorem 4.3, it follows from the minimality of  $P$  that  $S_p(x)$  is Stein. Let  $M$  be the equivariant compactification of  $S_p(x)$  in  $X$ . Then the complex hypersurface  $M \setminus S_p(x)$  is an orbit of  $S_p$  by Lemma 2.1. Hence the equivariant normalization of  $M$ , which we again denote by  $M$ , is an almost-homogeneous projective algebraic manifold whose exceptional set is a complex hypersurface orbit. Define

$$\tilde{X} := S \times_p M.$$

Then  $\tilde{X}$  is an almost homogeneous projective algebraic manifold whose exceptional set is a complex hypersurface orbit. Now  $S(x) = S/H$  is a dense open orbit of both  $\tilde{X}$  and  $X$  so that Lemmas 2.3 and 2.4 imply that there exists an equivariant holomorphic and birational map  $\tilde{X} \rightarrow X$ , i.e.  $X$  is an equivariant algebraic modification of  $\tilde{X}$ .  $\square$

We now prove the corresponding Theorem for the compact Kähler case using the Albanese fibration.

**Theorem 6.2.** *Let  $(X, S)_{\mathcal{O}}$  be a compact Kähler  $H\Sigma$ -manifold. Then there exists an equivariant modification of  $X$ ,*

$$(\tilde{X}, S)_{\mathcal{O}} \rightarrow (X, S)_{\mathcal{O}}$$

such that  $\tilde{X}$  is a compact almost-homogeneous Kähler manifold whose exceptional set is a complex hypersurface orbit of  $S$ .

*Proof.* Again we may assume that the exceptional set  $E$  is connected for otherwise we apply Theorem 3.2. Let  $(F, \hat{S})_{\mathcal{L}}$  be the fiber of the Albanese map  $X \xrightarrow{F} A(X) = S/\hat{S}$ . Then  $K \cap \hat{S}$  has real hypersurface orbits in  $F$ . By Proposition 6.1, there exists an equivariant algebraic modification

$$\nu: (\tilde{F}, (K \cap \hat{S})^c)_{\mathcal{L}} \rightarrow (F, (K \cap \hat{S})^c)_{\mathcal{L}}.$$

Note that  $\hat{S} = (K \cap \hat{S})^c$  since  $S/\hat{S} = K/K \cap \hat{S}$  is a compact complex torus. Now,

$$\tilde{X} := S \times_{\mathfrak{S}} \tilde{F} \rightarrow S \times_{\mathfrak{S}} F = X; \quad (s, z) \mapsto (s, \nu(z)),$$

defines an  $S$ -equivariant modification of  $X$ , and the exceptional set  $\tilde{E}$  of  $\tilde{X}$  is a complex hypersurface orbit of  $S$  since  $\tilde{E} \cap \tilde{F}$  is a complex hypersurface orbit of  $\hat{S}$ . The same argument as in the proof of Theorem 3.2 shows that  $\tilde{X}$  is Kähler.  $\square$

Theorem 5.2 can now be used to understand any compact Kähler  $H\Sigma$ -manifold, and to give a classification of the real hypersurface  $H\Sigma$  by means of the above theorem. The reader may wish to compare [11] for a classification of  $P^n$  as an  $H\Sigma$ -manifold.

As a final remark we would like to mention a conjecture attributed to Remmert and van de Ven (see [34]) that any almost-homogeneous compact Kähler manifold  $X$  with  $b_1(X)=0$  should be a projective rational manifold,<sup>6)</sup> i.e. bimeromorphically equivalent to  $\mathbf{P}^n$ . In our special case of exceptional sets as complex hypersurface orbits, we can show that this conjecture is true:

**Theorem 6.3.** *Let  $(X, G)_\mathcal{O}$  be an almost homogeneous compact Kähler manifold with  $b_1(X)=0$ . Assume any one of the following is true:*

- 1) *The exceptional set of  $X$  is disconnected.*
- 2) *The exceptional set of  $X$  is a connected complex hypersurface orbit of  $G$ .*
- 3) *A maximal compact subgroup of  $G$  has a real hypersurface orbit in  $X$ .*

*Then  $X$  is a projective rational manifold.*

Proof. Case 1) follows from Theorem 3.2 and the fact that equivariant compactifications of homogeneous rational cones are rational (see e.g. [19]). Case 2) follows from Theorem 5.2 and case 3) from Theorems 6.2 and 5.2.  $\square$

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