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# **SELF-MAPPING DEGREES OF 3-MANIFOLDS**

HONGBIN SUN, SHICHENG WANG, JIANCHUN WU and HAO ZHENG

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#### **Abstract**

For each closed oriented 3-manifold M in Thurston's picture, the set of degrees of self-maps on M is given.

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#### 1. Introduction

**1.1. Background.** Each closed oriented *n*-manifold *M* is naturally associated with a set of integers, the degrees of all self-maps on *M*, denoted as  $D(M) = \{\deg(f) \mid f : M \to M\}$ .

Indeed the calculation of D(M) is a classical topic appeared in many literatures. The result is simple and well-known for dimension n = 1,2. For dimension n > 3, there are many interesting special results (See [3], [10], [15] for recent ones and references therein), but it is difficult to get general results, since there are no classification results for manifolds of dimension n > 3.

The case of dimension 3 becomes attractive in the topic and it is possible to calculate D(M) for any closed oriented 3-manifold M. Since Thurston's geometrization conjecture, which seems to be confirmed, implies that closed oriented 3-manifolds can be classified in a reasonable sense.

Thurston's geometrization conjecture claims that the each Jaco-Shalen-Johanson decomposition piece of a prime 3-manifold supports one of the eight geometries, which are  $\widetilde{H^3}$ ,  $\widetilde{PSL}(2, R)$ ,  $H^2 \times E^1$ , Sol, Nil,  $E^3$ ,  $S^3$  and  $S^2 \times E^1$  (for details see [24] and [20]). Call a closed orientable 3-manifold M is *geometrizable* if each prime factor of M meets Thurston's geometrization conjecture. All 3-manifolds discussed in this paper are geometrizable.

The following result is known in early 1990's:

**Theorem 1.0.** Suppose M is a geometrizable 3-manifold. Then M admits a selfmap of degree larger than 1 if and only if M is either

- (a) covered by a torus bundle over the circle, or
- (b) covered by  $F \times S^1$  for some compact surface F with  $\chi(F) < 0$ , or
- (c) each prime factor of M is covered by  $S^3$  or  $S^2 \times E^1$ .

Hence for any 3-manifold M not listed in (a)–(c) of Theorem 1.0, D(M) is either  $\{0, 1, -1\}$  or  $\{0, 1\}$ , which depends on whether M admits a self map of degree -1 or not. To determine D(M) for geometrizable 3-manifolds listed in (a)–(c) of Theorem 1.0, let's have a close look of them.

For short, we often call a 3-manifold supporting Nil geometry a Nil 3-manifold, and so on. Among Thurston's eight geometries, six of them belong to the list (a)–(c) in Theorem 1.0. 3-manifolds in (a) are exactly those supporting either  $E^3$ , or Sol or Nil geometries.  $E^3$  3-manifolds, Sol 3-manifolds, and some Nil 3-manifolds are torus bundle or semi-bundles; Nil 3-manifolds which are not torus bundles or semi-bundles are Seifert fibered spaces having Euclidean orbifolds with three singular points. 3-manifolds in (b) are exactly those supporting  $H^2 \times E^1$  geometry; 3-manifolds supporting  $H^3$  or  $H^3$ 

Class 1. M supporting either  $S^3$  or  $S^2 \times E^1$  geometries;

Class 2. each prime factor of M supporting either  $S^3$  or  $S^2 \times E^1$  geometries, but M is not in Class 1;

Class 3. torus bundles and torus semi-bundles;

Class 4. Nil 3-manifolds not in Class 3;

Class 5. M supporting  $H^2 \times E^1$  geometry.

D(M) is known recently for M in Class 1 and Class 3. We will calculate D(M) for M in the remaining three classes. For the convenience of the readers, we will present D(M) for M in all those five classes. To do this, we need first to coordinate 3-manifolds in each class, then state the results of D(M) in term of those coordinates. This is carried in the next subsection.

#### 1.2. Main results.

Class 1. According to [13] or [20], the fundamental group of a 3-manifold supporting  $S^3$ -geometry is among the following eight types:  $\mathbb{Z}_p$ ,  $D_{4n}^*$ ,  $T_{24}^*$ ,  $O_{48}^*$ ,  $I_{120}^*$ ,  $T_{8\cdot 3^q}^*$ ,  $D_{n'\cdot 2^q}^*$  and  $\mathbb{Z}_m \times \pi_1(N)$ , where N is a 3-manifold supporting  $S^3$ -geometry,  $\pi_1(N)$  belongs to the previous seven ones, and  $|\pi_1(N)|$  is coprime to m. The cyclic group  $Z_p$  is realized by lens space L(p,q), each group in the remaining types is realized by a unique 3-manifold supporting  $S^3$ -geometry. Note also the sub-indices of those seven types groups are exactly their orders, and the order of the groups in the last type is  $m|\pi_1(N)|$ . There are only two closed orientable 3-manifolds supporting  $S^2 \times \mathbb{E}^1$  geometry:  $S^2 \times S^1$  and  $RP^3 \# RP^3$ .

$\pi_1(M)$	D(M)	
$\mathbb{Z}_p$	$\{k^2 \mid k \in \mathbb{Z}\} + p\mathbb{Z}$	
$D_{4n}^*$	$\{h^2 \mid h \in \mathbb{Z}; 2 \nmid h \text{ or } h = n \text{ or } h = 0\} + 4n\mathbb{Z}$	
$T_{24}^{*}$	$\{0, 1, 16\} + 24\mathbb{Z}$	
$O_{48}^*$	$\{0, 1, 25\} + 48\mathbb{Z}$	
I <sub>120</sub>	$\{0, 1, 49\} + 120\mathbb{Z}$	
$T_{8\cdot3^q}'$	$\begin{cases} \{k^2 \cdot (3^{2q-2p} - 3^q) \mid 3 \nmid k, \ q \ge p > 0\} + 8 \cdot 3^q \mathbb{Z} & (2 \mid q), \\ \{k^2 \cdot (3^{2q-2p} - 3^{q+1}) \mid 3 \nmid k, \ q \ge p > 0\} + 8 \cdot 3^q \mathbb{Z} & (2 \nmid q) \end{cases}$	
$D'_{n'\cdot 2^q}$	$ \{k^2 \cdot [1 - (n')^{2^q - 1}]^i \cdot [1 - 2^{(2p - q)(n' - 1)}]^j \mid i, j, k, p \in \mathbb{Z}, $ $ q \ge p > 0\} + n' 2^q \mathbb{Z} $	
$\mathbb{Z}_m  imes \pi_1(N)$	$\left\{d \in \mathbb{Z} \middle  \begin{array}{l} d = h +  \pi_1(N) \mathbb{Z},  h \in D(N), \\ d = k^2 + m\mathbb{Z},  k \in \mathbb{Z} \end{array}\right\}$	

**Theorem 1.1.** (1) D(M) for M supporting  $S^3$ -geometry are listed below:

(2) 
$$D(S^2 \times S^1) = D(RP^3 \# RP^3) = \mathbb{Z}.$$

Class 2. We assume that each 3-manifold P supporting  $S^3$ -geometry has the canonical orientation induced from the canonical orientation on  $S^3$ . When we change the orientation of P, the new oriented 3-manifold is denoted by  $\bar{P}$ . Moreover, lens space L(p,q) is orientation reversed homeomorphic to L(p,p-q), so we can write all the lens spaces connected summands as L(p,q). Now we can decompose each 3-manifold in Class 2 as

$$M = (mS^2 \times S^1) \# (m_1 P_1 \# n_1 \bar{P}_1) \# \cdots \# (m_s P_s \# n_s \bar{P}_s)$$
  
$$\# (L(p_1, q_{1,1}) \# \cdots \# L(p_1, q_{1,r_1})) \# \cdots \# (L(p_t, q_{t,1}) \# \cdots \# L(p_t, q_{t,r_t})),$$

where all the  $P_i$  are 3-manifolds with finite fundamental group different from lens spaces,

all the  $P_i$  are different from each other, and all the positive integer  $p_i$  are different from each other. Define

 $D_{\text{iso}}(M) = \{ \deg(f) \mid f : M \to M, f \text{ induces an isomorphism on } \pi_1(M) \}.$ 

**Theorem 1.2.** (1)  $D(M) = D_{iso}(m_1P_1 \# n_1\bar{P}_1) \cap \cdots \cap D_{iso}(m_sP_s \# n_s\bar{P}_s) \cap D_{iso}(L(p_1, q_{1,1}) \# \cdots \# L(p_1, q_{1,r_1})) \cap \cdots \cap D_{iso}(L(p_t, q_{t,1}) \# \cdots \# L(p_t, q_{t,r_t}));$ 

(2) 
$$D_{iso}(mP \# n\bar{P}) = \begin{cases} D_{iso}(P) & \text{if } m \neq n, \\ D_{iso}(P) \cup (-D_{iso}(P)) & \text{if } m = n; \end{cases}$$

(3)  $D_{iso}(L(p, q_1) \# \cdots \# L(p, q_n)) = H^{-1}(C).$ 

The notions H and C in Theorem 1.2 (3) is defined as below:

Let  $U_p = \{\text{all units in ring } \mathbb{Z}_p\}$ ,  $U_p^2 = \{a^2 \mid a \in U_p\}$ , which is a subgroup of  $U_p$ . We consider the quotient  $U_p/U_p^2 = \{a_1, \ldots, a_m\}$ , every  $a_i$  corresponds with a coset  $A_i$  of  $U_p^2$ . For the structure of  $U_p$ , see [9] p.44. Define H to be the natural projection from  $\{n \in \mathbb{Z} \mid \gcd(n, p) = 1\}$  to  $U_p/U_p^2$ .

Define  $\bar{A}_s = \{L(p,q_i) \mid q_i \in A_s\}$  (with repetition allowed). In  $U_p/U_p^2$ , define  $B_l = \{a_s \mid \#\bar{A}_s = l\}$  for  $l = 1, 2, \ldots$ , there are only finitely many l such that  $B_l \neq \emptyset$ . Let  $C_l = \{a \in U_p/U_p^2 \mid a_i a \in B_l, \ \forall a_i \in B_l\}$  if  $B_l \neq \emptyset$  and  $C_l = U_p/U_p^2$  otherwise. Define  $C = \bigcap_{l=1}^{\infty} C_l$ .

**Class 3.** To simplify notions, for a diffeomorphism  $\phi$  on torus T, we also use  $\phi$  to present its isotopy class and its induced 2 by 2 matrix on  $\pi_1(T)$  for a given basis.

A *torus bundle* is  $M_{\phi} = T \times I/(x, 1) \sim (\phi(x), 0)$  where  $\phi$  is a diffeomorphism of the torus T and I is the interval [0, 1]. Then the coordinates of  $M_{\phi}$  is given as below: (1)  $M_{\phi}$  admits  $E^3$  geometry,  $\phi$  conjugates to a matrix of finite order n, where  $n \in \{1, 2, 3, 4, 6\}$ ;

- (2)  $M_{\phi}$  admits Nil geometry,  $\phi$  conjugates to  $\pm \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}$ , where  $n \neq 0$ ;
- (3)  $M_{\phi}$  admits Sol geometry,  $\phi$  conjugates to  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , where |a+d| > 2, ad-bc = 1.

A torus semi-bundle  $N_{\phi} = N \cup_{\phi} N$  is obtained by gluing two copies of N along their torus boundary  $\partial N$  via a diffeomorphism  $\phi$ , where N is the twisted I-bundle over the Klein bottle. We have the double covering  $p: S^1 \times S^1 \times I \to N = S^1 \times S^1 \times I/\tau$ , where  $\tau$  is an involution such that  $\tau(x, y, z) = (x + \pi, -y, 1 - z)$ .

Denote by  $l_0$  and  $l_\infty$  on  $\partial N$  be the images of the second  $S^1$  factor and first  $S^1$  factor on  $S^1 \times S^1 \times \{1\}$ . A canonical coordinate is an orientation of  $l_0$  and  $l_\infty$ , hence there are four choices of canonical coordinate on  $\partial N$ . Once canonical coordinates on each  $\partial N$  are chosen,  $\phi$  is identified with an element  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  of  $GL_2(\mathbb{Z})$  given by  $\phi(l_0, l_\infty) = (l_0, l_\infty) \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ .

With suitable choice of canonical coordinates of  $\partial N$ ,  $N_{\phi}$  has coordinates as below:

- (1)  $N_{\phi}$  admits  $E^3$  geometry,  $\phi = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  or  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ;
- (2)  $N_{\phi}$  admits Nil geometry,  $\phi = \begin{pmatrix} 1 & 0 \\ z & 1 \end{pmatrix}$ ,  $\begin{pmatrix} 0 & 1 \\ 1 & z \end{pmatrix}$  or  $\begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix}$ , where  $z \neq 0$ ;
- (3)  $N_{\phi}$  admits Sol geometry,  $\phi = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , where  $abcd \neq 0$ , ad bc = 1.

**Theorem 1.3.**  $D(M_{\phi})$  is in the table below for torus bundle  $M_{\phi}$ , where  $\delta(3) = \delta(6) = 1$ ,  $\delta(4) = 0$ .

$M_{\phi}$	φ	$D(M_{\phi})$
$E^3$	finite order $k = 1, 2$	$\mathbb{Z}$
$E^3$	finite order $k = 3, 4, 6$	$\{(kt+1)(p^2 - \delta(k)pq + q^2) \mid t, p, q \in \mathbb{Z}\}$
Nil	$\pm \left( \begin{array}{cc} 1 & 0 \\ n & 1 \end{array} \right),  n \neq 0$	$\{l^2 \mid l \in \mathbb{Z}\}$
Sol	$\left(\begin{array}{cc} a & b \\ c & d \end{array}\right),  a+d  > 2$	$ \{p^2 + (d-a)pr/c - br^2/c \mid p, r \in \mathbb{Z}, \\ either \ br/c, \ (d-a)r/c \in \mathbb{Z} \ or \ (p(d-a)-br)/c \in \mathbb{Z} \} $

(2)  $D(N_{\phi})$  is listed in the table below for torus semi-bundle  $N_{\phi}$ , where  $\delta(a, d) = ad/\gcd(a, d)^2$ .

$N_{\phi}$	φ	$D(N_\phi)$
$E^3$	$\left(\begin{smallmatrix}1&0\\0&1\end{smallmatrix}\right)$	$\mathbb{Z}$
$E^3$	$\left(\begin{smallmatrix}0&1\\1&0\end{smallmatrix}\right)$	$\{2l+1 \mid l \in \mathbb{Z}\}$
Nil	$\begin{pmatrix} 1 & 0 \\ z & 1 \end{pmatrix}, z \neq 0$	$\{l^2 \mid l \in \mathbb{Z}\}$
Nil	$\begin{pmatrix} 0 & 1 \\ 1 & z \end{pmatrix}$ or $\begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix}$ , $z \neq 0$	$\{(2l+1)^2 \mid l \in \mathbb{Z}\}$
Sol	$\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , $abcd \neq 0$ , $ad - bc = 1$	$\{(2l+1)^2   l \in \mathbb{Z}\}, \text{ if } \delta(a,d) \text{ is even or } \{(2l+1)^2   l \in \mathbb{Z}\} \cup \{(2l+1)^2 \cdot \delta(a,d)   l \in \mathbb{Z}\}, \text{ if } \delta(a,d) \text{ is odd}$

To coordinate 3-manifolds in Class 4 and Class 5, we first recall the well known coordinates of Seifert fibered spaces.

Suppose an oriented 3-manifold M' is a circle bundle with a given section F, where F is a compact surface with boundary components  $c_1, \ldots, c_n$  with n > 0. On each boundary component of M', orient  $c_i$  and the circle fiber  $h_i$  so that the product of their orientations match with the induced orientation of M' (call such pairs  $\{(c_i, h_i)\}$  a section-fiber coordinate system). Now attach n solid tori  $S_i$  to the n boundary tori

of M' such that the meridian of  $S_i$  is identified with slope  $r_i = c_i^{\alpha_i} h_i^{\beta_i}$  where  $\alpha_i > 0$ ,  $(\alpha_i, \beta_i) = 1$ . Denote the resulting manifold by  $M(\pm g; \beta_1/\alpha_1, \dots, \beta_s/\alpha_s)$  which has the Seifert fiber structure extended from the circle bundle structure of M', where g is the genus of the section F of M, with the sign + if F is orientable and - if F is nonorientable, here 'genus' of nonorientable surfaces means the number of RP<sup>2</sup> connected summands. Call  $e(M) = \sum_{i=1}^{s} \beta_i / \alpha_i \in \mathbb{Q}$  the Euler number of the Seifert fiberation.

**Class 4.** If a Nil manifold M is not a torus bundle or torus semi-bundle, then M has one of the following Seifert fibreing structures:  $M(0; \beta_1/2, \beta_2/3, \beta_3/6)$ ,  $M(0; \beta_1/3, \beta_2/3, \beta_3/3)$ , or  $M(0; \beta_1/2, \beta_2/4, \beta_3/4)$ , where  $e(M) \in \mathbb{Q} - \{0\}$ .

**Theorem 1.4.** For 3-manifold M in Class 4, we have

- (1)  $D(M(0; \beta_1/2, \beta_2/3, \beta_3/6)) = \{l^2 \mid l = m^2 + mn + n^2, l \equiv 1 \mod 6, m, n \in \mathbb{Z}\};$
- (1)  $D(M(0; \beta_1/2, \beta_2/3, \beta_3/6)) = \{l^2 \mid l = m^2 + mn + n^2, l \equiv 1 \mod 3, m, n \in \mathbb{Z}\};$ (2)  $D(M(0; \beta_1/3, \beta_2/3, \beta_3/3)) = \{l^2 \mid l = m^2 + mn + n^2, l \equiv 1 \mod 3, m, n \in \mathbb{Z}\};$ (3)  $D(M(0; \beta_1/2, \beta_2/4, \beta_3/4)) = \{l^2 \mid l = m^2 + n^2, l \equiv 1 \mod 4, m, n \in \mathbb{Z}\}.$

Class 5. All manifolds supporting  $H^2 \times E^1$  geometry are Seifert fibered spaces M such that e(M) = 0 and the Euler characteristic of the orbifold  $\chi(O_M) < 0$ .

Suppose  $M=(g; \beta_{1,1}/\alpha_1, \ldots, \beta_{1,m_1}/\alpha_1, \ldots, \beta_{n,1}/\alpha_n, \ldots, \beta_{n,m_n}/\alpha_n)$ , where all the integers  $\alpha_i>1$  are different from each other, and  $\sum_{i=1}^n\sum_{j=1}^{m_i}\beta_{i,j}/\alpha_i=0$ .

For each  $\alpha_i$  and each  $a \in U_{\alpha_i}$ , define  $\theta_a(\alpha_i) = \#\{\beta_{i,j} \mid p_i(\beta_{i,j}) = a\}$  (with repetition allowed),  $p_i$  is the natural projection from  $\{n \mid \gcd(n, \alpha_i) = 1\}$  to  $U_{\alpha_i}$ . Define  $B_l(\alpha_i) = 1$  $\{a \mid \theta_a(\alpha_i) = l\}$  for  $l = 1, 2, \ldots$ , there are only finitely many l such that  $B_l(\alpha_i) \neq \emptyset$ . Let  $C_l(\alpha_i) = \{b \in U_{\alpha_i} \mid ab \in B_l(\alpha_i), \ \forall a \in B_l(\alpha_i)\} \text{ if } B_l(\alpha_i) \neq \emptyset \text{ and } C_l(\alpha_i) = U_{\alpha_i} \text{ otherwise.}$ Finally define  $C(\alpha_i) = \bigcap_{l=1}^{\infty} C_l(\alpha_i)$ , and  $\bar{C}(\alpha_i) = p_i^{-1}(C(\alpha_i))$ .

**Theorem 1.5.** 
$$D(M(g; \beta_{1,1}/\alpha_1,...,\beta_{1,m_1}/\alpha_1,...,\beta_{n,1}/\alpha_n,...,\beta_{n,m_n}/\alpha_n)) = \bigcap_{i=1}^n \bar{C}(\alpha_i).$$

1.3. A brief comment of the topic and organization of the paper. Theorem 1.0 was appeared in [25]. The proof of the "only if" part in Theorem 1.0 is based on the results on simplicial volume developed by Gromov, Thurston and Soma (see [21]), and various classical results by others on 3-manifold topology and group theory ([5], [19], [17]). The proof of "if" part in Theorem 1.0 is a sequence elementary constructions, which were essentially known before, for example see [6] and [11] for (3). That graph manifolds admits no self-maps of degrees > 1 also follows from a recent work [2].

The table in Theorem 1.1 is quoted from [1], which generalizes the earlier work [7]. The statement below quoted from [7] will be repeatedly used in this paper.

**Proposition 1.6.** For 3-manifold M supporting  $S^3$  geometry,

$$D_{\text{iso}}(M) = \{k^2 + l | \pi_1(M) |, \text{ where } k \text{ and } | \pi_1(M) | \text{ are co-prime} \}.$$

The topic of mapping degrees between (and to) 3-manifolds covered by  $S^3$  has been discussed for long times and has many relations with other topics (see [26] for details). We just mention several papers: in very old papers [16] and [14], the degrees of maps between any given pairs of lens spaces are obtained by using equivalent maps between spheres; in [8], D(M, L(p,q)) can be computed for any 3-manifold M; and in a recent one [12], an algorithm (or formula) is given to the degrees of maps between given pairs of 3-manifolds covered by  $S^3$  in term of their Seifert invariants.

Theorem 1.3 is proved in [23].

Theorems 1.2, 1.4 and 1.5 will be proved in Sections 3, 4 and 5 respectively in this paper. In Section 2 we will compute D(M) for some concrete 3-manifolds using Theorems 1–5. We will also discuss when  $-1 \in D(M)$  and when  $-1 \in D(M)$  implies that M admits orientation reversing homeomorphisms.

All terminologies not defined are standard, see [5], [20] and [9].

#### 2. Examples of computation, orientation reversing homeomorphisms

EXAMPLE 2.1. Let  $M = (P \# \bar{P}) \# (L(7, 1) \# L(7, 2) \# 2L(7, 3))$ , where P is the Poincare homology three sphere.

By Theorem 1.2 (2), Proposition 1.6 and the fact  $|\pi_1(P)| = 120$ , we have  $D(P \# \bar{P}) = D_{iso}(P) \cup (-D_{iso}(P)) = \{120n + i \mid n \in \mathbb{Z}, i = 1, 49, 71, 119\}.$ 

Now we are going to calculate D((L(7,1)#L(7,2)#2L(7,3))) following the notions of Theorem 1.2 (3). Clearly  $U_7=\{1,2,3,4,5,6\}$  and  $U_7^2=\{1,2,4\}$ . Then  $U_7/U_7^2=\{a_1,a_2\}$ , where  $a_1=\bar{1}$  and  $a_2=\bar{3}$ ;  $U_7=\{A_1\cup A_2\}$ , where  $A_1=U_7^2$ ,  $A_2=3U_7^2$ ;  $\#\bar{A}_1=2$  and  $\#\bar{A}_2=2$ ;  $B_2=\{\bar{1},\bar{3}\}$ ,  $B_l=\emptyset$  for  $l\neq 2$ . Since  $U_7/U_7^2=B_2$ , we have  $C_2=B_2$  and also  $C_l=U_7/U_7^2$  for  $l\neq 2$ ; then  $C=\bigcap_{l=1}^\infty C_l=U_7/U_7^2$ . Then for the natural projection  $H:\{n\in\mathbb{Z}\mid\gcd(n,p)=1\}\to U_7/U_7^2$ ,  $H^{-1}(C)$  are all number coprime to 7, hence we have  $D_{\rm iso}((L(7,1)\#L(7,2)\#2L(7,3))=\{l\in\mathbb{Z}\mid\gcd(l,7)=1\}$  by Theorem 1.2 (3).

Finally by Theorem 1.2 (1), we have  $D(M) = \{120n + i \mid n \in \mathbb{Z}, i = 1,49,71,119\} \cap \{l \in \mathbb{Z} \mid \gcd(l,7) = 1\} = \{840n + i \mid n \in \mathbb{Z}, i = 1,71,121,169,191,239,241,289,311,359,361,409,431,479,481,529,551,599,601,649,671,719,769,839\}.$  Note  $-1 \in D(M)$ .

EXAMPLE 2.2. Suppose  $M = (2P \# \bar{P}) \# (L(7, 1) \# L(7, 2) \# L(7, 3)).$ 

Similarly by Theorem 1.2 (2), Proposition 1.6 and  $|\pi_1(P)| = 120$ , we have  $D(2P \# \bar{P}) = D_{iso}(P) = \{120n + i \mid n \in \mathbb{Z}, i = 1, 49\}.$ 

To calculate D(L(7, 1) # L(7, 2) # L(7, 3)), we have  $U_7$ ,  $U_7^2$ ,  $U_7/U_7^2 = \{a_1, a_2\}$ ,  $U_7 = \{A_1, A_2\}$  exactly as last example. But then  $\#\bar{A}_1 = 2$  and  $\#\bar{A}_2 = 1$ ;  $B_1 = \{\bar{3}\}$ ,  $B_2 = \{\bar{1}\}$ ,  $B_l = \emptyset$  for  $l \neq 1, 2$ . Moreover  $C_1 = C_2 = \{\bar{1}\}$ , and  $C_l = U_7/U_7^2$  for  $l \neq 1, 2$ ; then  $C = \bigcap_{l=1}^{\infty} C_l = \{\bar{1}\}$ , and  $H^{-1}(C) = \{7n+i \mid n \in \mathbb{Z}, i=1,2,4\}$ . Hence we have  $D_{\mathrm{iso}}(\#(L(7,1) \# L(7,2) \# L(7,3)) = \{7n+i \mid n \in \mathbb{Z}, i=1,2,4\}$  by Theorem 1.2 (3).

By Theorem 1.2 (1),  $D(M) = \{120n + i \mid n \in \mathbb{Z}, i = 1, 49\} \cap \{7n + i \mid n \in \mathbb{Z}, i = 1, 2, 4\} = \{840n + i \mid n \in \mathbb{Z}, i = 1, 121, 169, 289, 361, 529\}$ . Note  $-1 \notin D(M)$ .

EXAMPLE 2.3. By Theorem 1.3, for the torus bundle  $M_{\phi}$ ,  $\phi = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$ , among the first 20 integers > 0, exactly 1, 4, 5, 9, 11, 16, 19,  $20 \in D(M_{\phi})$ .

EXAMPLE 2.4. For Nil 3-manifold  $M=M(0;\,\beta_1/2,\,\beta_2/3,\,\beta_3/6),\,D(M)=\{l^2\mid l=m^2+mn+n^2,\,l\equiv 1\,\,\mathrm{mod}\,\,6,\,m,\,n\in\mathbb{Z}\}.$  The numbers in D(M) smaller than 10000 are exactly 1, 49, 169, 361, 625, 961, 1369, 1849, 2401, 3721, 4489, 5329, 6241, 8291, 9409. Since all  $l=6k+1,\,k\in\mathbb{N}$  with  $l^2\leq 10000$  can be presented as  $m^2+mn+n^2$  except l=55,85 (if  $5\mid m^2+mn+n^2$ , then  $5\mid (2m+n)^2+3n^2$ , therefore  $5\mid 2m+n$  and  $5\mid n$ , it follows that  $25\mid m^2+mn+n^2$ ).

EXAMPLE 2.5. For  $H^2 \times E^1$  manifold M = M(2; 1/5, 1/5, -2/5, 1/7, 2/7, -3/7), we follow the notions in Theorem 1.5 to calculate D(M).

First we have  $U_5 = \{1, 2, 3, 4\}$  with indices  $\theta_a(5)$  are  $\{2, 0, 1, 0\}$  respectively. Then  $B_1(5) = \{3\}$ ,  $B_2(5) = \{1\}$ ,  $B_l(5) = \emptyset$  for  $l \neq 1, 2$  and  $C_1(5) = C_2(5) = \{1\}$ . Hence  $C(5) = \bigcap_{l=1}^{\infty} C_l(5) = \{1\}$ . Hence  $\bar{C}(5) = \{5n + 1 \mid n \in \mathbb{Z}\}$ .

 $C(5) = \bigcap_{l=1}^{\infty} C_l(5) = \{1\}$ . Hence  $\bar{C}(5) = \{5n+1 \mid n \in \mathbb{Z}\}$ . Similarly  $U_7 = \{1, 2, 3, 4, 5, 6\}$  with indices  $\theta_a(7)$  are  $\{1, 1, 0, 1, 0, 0\}$  respectively. Then  $B_1(7) = C_1(7) = \{1, 2, 4\}$ .  $B_l(7) = \emptyset$  and  $C_l(7) = U_7$  for  $l \neq 1$ . Hence  $C(7) = \bigcap_{l=1}^{\infty} C_l(7) = \{1, 2, 4\}$ .  $\bar{C}(7) = \{7n+i \mid n \in \mathbb{Z}, i=1, 2, 4\}$ .

Finally  $D(M) = \{5n + 1 \mid n \in \mathbb{Z}\} \cap \{7n + i \mid n \in \mathbb{Z}, i = 1, 2, 4\} = \{35n + i \mid n \in \mathbb{Z}, i = 1, 11, 16\}.$ 

- EXAMPLE 2.6. Suppose M is a 3-manifold supporting  $S^3$  geometry. By Proposition 1.6, M admits degree -1 self mapping if and only if there is integer number h, such that  $h^2 \equiv -1 \mod \pi_1(M)$ . Then we can prove that if M is not a lens space,  $-1 \notin D(M)$ , (see proof of Proposition 3.10). With some further topological and number theoretical arguments, the following results were proved in [22].
- (1) There is a degree -1 self map on L(p, q), but no orientation reversing homeomorphism on it if and only if (p, q) satisfies:  $p \nmid q^2 + 1$ ,  $4 \nmid p$  and all the odd prime factors of p are the 4k + 1 type.
- (2) Every degree -1 self map on L(p,q) are homotopic to an orientation reversing homeomorphism if and only if (p,q) satisfies:  $q^2 \equiv -1 \mod p$ ,  $p = 2, p_1^{e_1}, 2p_1^{e_1}$ , where  $p_1$  is a 4k + 1 type prime number.

EXAMPLE 2.7. Suppose M is a torus bundle. Then any non-zero degree map is homotopic to a covering ([25] Corollary 0.4). Hence if  $-1 \in D(M)$ , then M admits an orientation reversing self homeomorphism.

- (1) For the torus bundle  $M_{\phi}$ ,  $\phi = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$ ,  $-1 \in D(M_{\phi})$ . Indeed for  $\phi = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , if |a+d|=3, then  $-1 \in D(M_{\phi})$ . Since  $p^2+((d-a)/b)pr-c/br^2=-1$  has solution p=1-d, r=b when a+d=3, and solution p=1-d, r=b when a+d=3.
- (2) For the torus bundle  $M_{\phi}$ ,  $\phi = \begin{pmatrix} 2 & 3 \\ 1 & 2 \end{pmatrix}$ ,  $-1 \notin D(M_{\phi})$ . Indeed for  $\phi = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , if  $a + d \pm 2$  has prime decomposition  $p_1^{e_1} \cdots p_n^{e_n}$  such that  $p_i = 4l + 3$  and  $e_i = 2m + 1$  for

some i, then  $-1 \notin D(M_{\phi})$ . Since if the equation  $p^2 + ((d-a)/b)pr - (c/b)r^2 = -1$  has integer solution, then  $(((a+d)^2-4)r^2-4b^2)/b^2$  should be a square of rational number. That is  $((a+d)^2-4)r^2-4b^2=s^2$  for some integer s. Therefore  $(a+d+2)(a+d-2)r^2$  is a sum of two squares. By a fact in elementary number theory, neither a+d+2 nor a+d-2 has 4k+3 type prime factor with odd power (see p. 279, [9]).

## 3. D(M) for connected sums

3.1. Relations between  $D_{iso}(M_1 \# M_2)$  and  $\{D_{iso}(M_1), D_{iso}(M_2)\}$ . In this section, we consider the manifolds M in Class 2: M has non-trivial prime decomposition, each connected summand has finite or infinite cyclic fundamental group, and M is not homeomorphic to  $RP^3 \# RP^3$ . (Note for each geometrizable 3-manifold P,  $\pi_1(P)$  is finite if and only if P is  $S^3$  3-manifold, and  $\pi_1(P)$  is infinite cyclic if and only if P is  $S^2 \times E^1$  3-manifold.)

Since each  $S^3$  3-manifold P is covered by  $S^3$ , we assume P has the canonical orientation induced by the canonical orientation on  $S^3$ . When we change the orientation of P, the new oriented 3-manifold is denoted by  $\bar{P}$ . Moreover, lens space L(p,q) is orientation reversed homeomorphic to L(p,p-q), so we can write all the lens spaces connected summands as L(p,q). Now we can decompose the manifold as

$$M = (mS^{2} \times S^{1}) \# (m_{1}P_{1} \# n_{1}\bar{P}_{1}) \# \cdots \# (m_{s}P_{s} \# n_{s}\bar{P}_{s})$$
$$\# (L(p_{1}, q_{1,1}) \# \cdots \# L(p_{1}, q_{1,r_{1}})) \# \cdots \# (L(p_{t}, q_{t,1}) \# \cdots \# L(p_{t}, q_{t,r_{t}})),$$

where all the  $P_i$  are 3-manifolds with finite fundamental group different from lens spaces, all the  $P_i$  are different with each other, and all the positive integer  $p_i$  are different from each other. We will use this convention in this section.

Suppose F (resp. P) is a properly embedded surface (resp. an embedded 3-manifold) in a 3-manifold M. We use  $M \setminus F$  (resp.  $M \setminus P$ ) to denote the resulting manifold obtained by splitting M along F (resp. removing int P, the interior of P).

The definitions below are quoted from [17]:

DEFINITION 3.1. Let M,N be 3-manifolds and  $B_f = \bigcup_i (B_i^+ \cup B_i^-)$  is a finite collection of disjoint 3-ball pairs in int M. A map  $f: M \setminus B_f \to N$  is called an almost defined map from M to N if for each i,  $f|_{\partial B_i^+} = f|_{\partial B_i^-} \circ r_i$  for some orientation reversing homeomorphism  $r_i: \partial B_i^+ \to \partial B_i^-$ . If identifying  $\partial B_i^+$  with  $\partial B_i^-$  via  $r_i$ , we get a quotient closed manifold M(f), and f induces a map  $\tilde{f}: M(f) \to N$ . We define  $\deg(f) = \deg(\tilde{f})$ .

DEFINITION 3.2. For two almost defined maps f and g, we say that f is B-equivalent to g if there are almost defined maps  $f = f_0, f_1, \ldots, f_n = g$  such that either  $f_i$  is homotopic to  $f_{i+1}$  rel $(\partial B_{f_i} \cup \partial B_{f_{i+1}})$  or  $f_i = f_{i+1}$  on  $M \setminus B$  for an union of balls B containing  $B_{f_i} \cup B_{f_{i+1}}$ .

**Lemma 3.3** ([17] Lemma 3.6, [25] Lemma 1.11). Suppose  $f: M \to M$  is a map of nonzero degree and  $\bigcup S_i^2$  is an union of essential 2-spheres. Then there is an almost defined map  $g: M \setminus B_g \to M$ , B-equivalent to f, such that  $\deg(g) = \deg(f)$  and  $g^{-1}(\bigcup S_i^2)$  is a collection of spheres.

**Lemma 3.4** ([25] Corollary 0.2). Suppose M is a geometrizable 3-manifold. Then any nonzero degree proper map  $f: M \to M$  induces an isomorphism  $f_*: \pi_1(M) \to \pi_1(M)$  unless M is covered by either a torus bundle over the circle, or  $F \times S^1$  for some compact surface F, or the  $S^3$ .

The following lemma is well-known.

**Lemma 3.5.** Suppose M is a closed orientable 3-manifold,  $f: M \to M$  is of degree  $d \neq 0$ . Then  $f_*: H_2(M, \mathbb{Q}) \to H_2(M, \mathbb{Q})$  is an isomorphism.

**Theorem 3.6.** Suppose  $M = M_1 \# \cdots \# M_n$  is a non-prime manifold which is not homeomorphic to  $RP^3 \# RP^3$ . Each  $\pi_1(M_i)$  is finite or cyclic, and  $\pi_1(M_i) \neq 0$ . If  $f: M \to M$  is a map of degree  $d \neq 0$ , then there exists a permutation  $\tau$  of  $\{1, \ldots, n\}$ , such that there is a map  $g_i: M_{\tau(i)} \to M_i$  of degree d for each i. Moreover,  $g_{i*}$  is an isomorphism on fundamental group.

Proof. Call M' is a punctured M, if  $M' = M \setminus B$ , where B is a finitely many disjoint 3-balls in the interior of M. We use  $\hat{M}_*$  to denote the 3-manifold obtained from  $M_*$  by capping off the boundary spheres with 3-balls.

M is obtained by gluing the boundary sphere of  $M'_i = M_i \setminus \text{int}(B_i)$  to a n-punctured 3-sphere. The image of  $\partial B_i$  in M, which is denoted by  $S_i$ , is a separating sphere.

By Lemma 3.3, there is an almost defined map  $g: M \setminus B_g \to M$ , B-equivalent to f, such that  $g^{-1}(\bigcup S_i)$  is a collection of spheres and  $\deg(g) = d$ . Let  $M_g = M \setminus B_g$ .

Let  $U = M_g \setminus g^{-1}(\bigcup S_i) = \{M_i^j \mid j = 1, \dots, l_i, i = 1, \dots, n\}$ . The components of  $g^{-1}(M_i')$  are denoted by  $M_i^1, \dots, M_i^{l_i}$ .

By Lemma 3.4,  $f_*$ :  $\pi_1(M) \to \pi_1(M)$  is an isomorphism. Since g is differ from f just on the 3-balls  $B_g$  up to homotopy rel  $\partial B_g$ , it follows that  $g_*$ :  $\pi_1(M \setminus B_g) = \pi_1(M) \to \pi_1(M)$  is an isomorphism.

Since the prime decomposition of 3-manifold M is unique, and  $M_g$  is just a punctured M, each component of U is either a punctured non-trivial prime factor of M, or a punctured 3-sphere.

By Lemma 3.5,  $f_*$  is an injection on  $H_2(M, \mathbb{Q})$ . If  $S_i$  is a separating sphere, then  $[S_i] = 0$  in  $H_2(M, \mathbb{Q})$ . So each component S' of  $f^{-1}(S_i)$  is homologous to 0, thus S' separates M. By the procession of construction of g (see the proof of Lemma 3.4, [17]), which is B-equivalent to f, each component S of  $g^{-1}(S_i)$  is also a separating sphere in  $M_g$ . So  $\pi_1(M_g)$  is the free product of the  $\pi_1(M_i^j)$ , i = 1, ..., n,  $j = 1, ..., l_i$ .

Note  $\pi_1(M) = \pi_1(M_1) * \cdots * \pi_1(M_n)$ , each  $\pi_1(M_i)$  is an indecomposable factor of  $\pi_1(M)$ . Since  $g_*$  is an isomorphism and each punctured 3-sphere has trivial  $\pi_1$ , from the basic fact on free product of groups, it follows that there is at least one punctured prime non-trivial factor in  $g^{-1}(M_i')$ . Since this is true for each  $i=1,\ldots,n$  and there are at most n punctured prime non-trivial factors in U, it follows that there are n punctured prime non-trivial factors in U. Hence there is exactly one punctured prime non-trivial factor in  $g^{-1}(M_i')$ , denoted as  $M_{\tau(i)}$ , moreover  $g_*: \pi_1(M_{\tau(i)}) \to \pi_1(M_i)$  is an isomorphism, where  $\tau$  is a permutation on  $\{1,\ldots,n\}$ .

Since  $\pi_1(M_i) = \mathbb{Z}$  if and only if  $M_i = S^2 \times S^1$ , it follows that if  $M_i = S^2 \times S^1$ , then  $M_{\tau(i)} = S^2 \times S^1$ . Since  $D(S^2 \times S^1) = Z$ , below we assume that  $\hat{M}'_i \neq S^2 \times S^1$ , and to show that there is a map  $g_i \colon M_{\tau(i)} \to M_i$  of degree d.

Since the map  $g\colon M(g)\to M$  has degree d (see Definition 3.1), then  $g_i=g\mid\colon \left(\bigcup_{j=1}^{l_i}M_i^j\right)(g)\to M_i'$  is a proper map of degree d, which can extend to a map  $\hat{g}_i\colon \left(\bigcup_{j=1}^{l_i}\hat{M}_i^j\right)(g)\to \hat{M}_i'=M_i$  of degree d between closed 3-manifolds. The last map is also defined on  $\left(\bigcup_{j=1}^{l_i}\hat{M}_i^j\right)(g)\setminus\overline{\partial B_g}=\left(\bigcup_{j=1}^{l_i}\hat{M}_i^j\right)\setminus B_g\subset \left(\bigcup_{j=1}^{l_i}\hat{M}_i^j\right)$ , where  $\overline{\partial B_g}\subset M(g)$  is the image of  $\partial B_g\subset M$ .

Now consider the map  $\hat{g}_i$ :  $\left(\bigcup_{j=1}^{l_i} \hat{M}_i^j\right) \setminus B_g \to M_i$ . Since  $\pi_2(M_i) = 0$ , we can extend the map  $\hat{g}_i$  from  $\bigcup_{j=1}^{l_i} \hat{M}_i^j \setminus B_g$  to  $\bigcup_{j=1}^{l_i} \hat{M}_i^j$ . More carefully, for each pair  $B_k^+$ ,  $B_k^- \subset \bigcup_{j=1}^{l_i} \hat{M}_i^j$  we can make the extension with the property  $\hat{g}_i|_{B_i^+} = \hat{g}_i|_{B_i^-} \circ \hat{r}_i$ , where  $\hat{r}_i \colon B_i^+ \to B_i^-$  is an orientation reversing homeomorphism extending  $r_i \colon \partial B_i^+ \to \partial B_i^-$ . Now it is easy to see the map  $\hat{g}_i \colon \bigcup_{i=1}^{l_i} \hat{M}_i^j \to M_i$  is still of degree d.

From the map  $\hat{g}_i$ :  $\left(\bigcup_{j=1}^{l_i} \hat{M}_i^j\right) \to M_i$  one can obviously define a map  $g_i$ :  $\#_{j=1}^{l_i} \hat{M}_i^j \to M_i$  of degree d between connected 3-manifolds. Since all  $\hat{M}_i^j$  are  $S^3$  except one is  $M_{\tau(i)}$ , we have map  $g_i$ :  $M_{\tau(i)} \to M_i$ .

DEFINITION 3.7. For closed oriented 3-manifold M, M', define

 $D_{\mathrm{iso}}(M,M') = \{\deg(f) \mid f \colon M \to M', \ f \text{ induces isomorphism on fundamental group}\},$   $D_{\mathrm{iso}}(M) = \{\deg(f) \mid f \colon M \to M, \ f \text{ induces isomorphism on fundamental group}\}.$ 

Under the condition we considered in this section, we have  $D(M) = D_{iso}(M)$  by Lemma 3.4.

**Lemma 3.8.** Suppose  $f_i: M_i \to M'_i$  is a map of degree d between closed n-manifolds,  $n \ge 3$ ,  $f_{i*}$  is surjective on  $\pi_1$ , i = 1,2. Then there is a map  $f: M_1 \# M_2 \to M'_1 \# M'_2$  of degree d and  $f_*$  is surjective on  $\pi_1$ . In particular,

- (1)  $D_{iso}(M_1 \# M_2, M_1' \# M_2') \supset D_{iso}(M_1, M_1') \cap D_{iso}(M_2, M_2'),$
- (2)  $D_{iso}(M_1 \# M_2) \supset D_{iso}(M_1) \cap D_{iso}(M_2)$ .

Proof. Since  $f_*$  is surjective on  $\pi_1$ , it is known (see [18] for example), we can homotope  $f_i$  such that for some n-ball  $D_i' \subset M_i'$ ,  $f_i^{-1}(D_i)$  is an n-ball  $D_i \subset M_i$ . Thus

we get a proper map  $\bar{f}_i: M_i \setminus D_i \to M'_i \setminus D'_i$  of degree d, which also induces a degree d map from  $\partial D_i$  to  $\partial D'_i$ . Since maps of the same degree between (n-1)-spheres are homotopic, so after proper homotopy, we can paste  $\bar{f}_1$  and  $\bar{f}_2$  along the boundary to get map  $f: M_1 \# M_2 \to M'_1 \# M'_2$  of degree d and  $f_*$  is surjective on  $\pi_1$ .

### 3.2. D(M) for connected sums. Suppose

$$M = (mS^{2} \times S^{1}) \# (m_{1}P_{1} \# n_{1}\bar{P}_{1}) \# \cdots \# (m_{s}P_{s} \# n_{s}\bar{P}_{s})$$
  
$$\# (L(p_{1}, q_{1,1}) \# \cdots \# L(p_{1}, q_{1,r_{t}})) \# \cdots \# (L(p_{t}, q_{t,1}) \# \cdots \# L(p_{t}, q_{t,r_{t}})),$$

where all the  $P_i$  are 3-manifolds with finite fundamental group different from lens spaces, all the  $P_i$  are different with each other, and all the positive integer  $p_i$  are different from each other.

To prove Theorem 1.2, we need only to prove the three propositions below.

## Proposition 3.9.

$$D(M) = D_{iso}(m_1 P_1 \# n_1 \bar{P}_1) \cap \cdots \cap D_{iso}(m_s P_s \# n_s \bar{P}_s) \cap D_{iso}(L(p_1, q_{1,1}) \# \cdots \# L(p_1, q_{1,r_1})) \cap \cdots \cap D_{iso}(L(p_t, q_{t,1}) \# \cdots \# L(p_t, q_{t,r_t})).$$

Proof. For every self-mapping degree d of M, in Theorem 3.6 we have proved that for every oriented connected summand P of M, it corresponds to an oriented connected summand P', such that there is a degree d mapping  $f: P \to P'$ , and f induces isomorphism on fundamental group. By the classification of 3-manifolds with finite fundamental group (see [13], 6.2), P and P' are homeomorphism (not considering the orientation) unless they are lens spaces with same fundamental group. Now by Lemma 3.8 (1), we have  $d \in D_{iso}(m_i P_i \# n_i \bar{P}_i)$  and  $d \in D_{iso}(L(p_j, q_{j,1}) \# \cdots \# L(p_j, q_{j,r_j}))$ , for  $i = 1, \ldots, s$  and  $j = 1, \ldots, t$ . Hence we have proved

$$D(M) \subset D_{\mathrm{iso}}(m_1 P_1 \# n_1 \bar{P}_1) \cap \cdots \cap D_{\mathrm{iso}}(m_s P_s \# n_s \bar{P}_s) \cap D_{\mathrm{iso}}(L(p_1, q_{1,1}) \# \cdots \# L(p_1, q_{1,r_1})) \cap \cdots \cap D_{\mathrm{iso}}(L(p_t, q_{t,1}) \# \cdots \# L(p_t, q_{t,r_t})).$$

(Since  $D(mS^2 \times S^1) = \mathbb{Z}$ , we can just forget it in the discussion.) Apply Lemma 3.8 once more, we finish the proof.

**Proposition 3.10.** If P is a 3-manifold with finite fundamental group different from lens space,  $D_{\mathrm{iso}}(mP \# n\bar{P}) = \begin{cases} D_{\mathrm{iso}}(P) & \text{if } m \neq n, \\ D_{\mathrm{iso}}(P) \cup (-D_{\mathrm{iso}}(P)) & \text{if } m = n. \end{cases}$ 

Proof. If P is not a lens space, from the list in [13], we can check that  $4 \mid |\pi_1(P)|$ . By Proposition 1.6,  $D_{\rm iso}(Q) = \{k^2 + l \mid \pi_1(Q) \mid |\gcd(k, |\pi_1(Q)|) = 1\}$ , where Q is any 3-manifolds with  $S^3$  geometry. If  $k^2 + l \mid \pi_1(P) \mid = -k'^2 - l' \mid \pi_1(P) \mid$ , then

 $k^2 + k'^2 = -(l + l')|\pi_1(P)|$ . Since  $4 \mid |\pi_1(P)|$  and  $\gcd(k, |\pi_1(P)|) = \gcd(k', |\pi_1(P)|) = 1$ , k, k' are both odd, thus  $-(l + l')|\pi_1(P)| = k^2 + k'^2 = 4s + 2$ , contradicts with  $4 \mid |\pi_1(P)|$ . So  $D_{\text{iso}}(P) \cap (-D_{\text{iso}}(P)) = \emptyset$ . (In particular  $-1 \neq D(P)$ .)

From the definition we have  $D_{iso}(P) = D_{iso}(\bar{P})$  and  $D_{iso}(P, \bar{P}) = D_{iso}(\bar{P}, P) = -D_{iso}(\bar{P})$ .

If  $m \neq n$ , we may assume that m > n. For the self-map f, if some P corresponds to  $\bar{P}$ , there must also be some P corresponds to P, so  $\deg(f) \in D_{\mathrm{iso}}(P) \cap (-D_{\mathrm{iso}}(P))$ , it is impossible by the argument in first paragraph. So all the P correspond to P, and all the  $\bar{P}$  correspond to  $\bar{P}$ . Since  $D_{\mathrm{iso}}(P) = D_{\mathrm{iso}}(\bar{P})$ , we have  $D_{\mathrm{iso}}(mP \# n\bar{P}) \subset D_{\mathrm{iso}}(P)$ . By Lemma 3.8 and the fact  $D_{\mathrm{iso}}(P) = D_{\mathrm{iso}}(\bar{P})$ , we have  $D_{\mathrm{iso}}(mP \# n\bar{P}) = D_{\mathrm{iso}}(P)$ .

If m=n, similarly we have either all the P correspond to P and all the  $\bar{P}$  correspond to  $\bar{P}$ ; or all the P correspond to  $\bar{P}$  and all the  $\bar{P}$  correspond to P. Since  $D_{\rm iso}(P)=D_{\rm iso}(\bar{P})$  and  $D_{\rm iso}(P,\bar{P})=D_{\rm iso}(\bar{P},P)=-D_{\rm iso}(\bar{P})$ , we have  $D_{\rm iso}(mP\#m\bar{P})\subset D_{\rm iso}(P)\cup (-D_{\rm iso}(P))$ . On the other hand from the argument above, we have  $D_{\rm iso}(P),-D_{\rm iso}(P)\subset D_{\rm iso}(mP\#m\bar{P})$ , hence  $D_{\rm iso}(mP\#m\bar{P})=D_{\rm iso}(P)\cup (-D_{\rm iso}(P))$ .

**Lemma 3.11.**  $D_{iso}(L(p, q), L(p, q')) = \{k^2q^{-1}q' + lp \mid \gcd(k, p) = 1\}, here q^{-1}$  is seen as in group  $U_p = \{all \text{ the units in the ring } \mathbb{Z}_p\}.$ 

Proof. L(p,q) is the quotient of  $S^3$  by the action of  $\mathbb{Z}_p$ ,  $(z_1,z_2) \to (e^{i2\pi/p}z_1,e^{i2q\pi/p}z_2)$ . Let  $\tilde{f}_{q,q'}\colon S^3 \to S^3$ ,  $\tilde{f}_{q,q'}(z_1,z_2) = (z_1^q/\sqrt{|z_1|^{2q}+|z_2|^{2q'}},z_2^{q'}/\sqrt{|z_1|^{2q}+|z_2|^{2q'}})$ . We can check that this map induces a map  $f_{q,q'}\colon L(p,q)\to L(p,q')$  with degree qq', moreover since q,q' are coprime with  $p,f_{q,q'*}$  is an isomorphism  $\pi_1$ . By Proposition 1.6  $D_{\mathrm{iso}}(L(p,q)) = \{k^2+lp\mid \gcd(k,p)=1\}$ . Compose each self-map on L(p,q) which induces an isomorphism on  $\pi_1$  with  $f_{q,q'}$ , we have  $\{k^2q^{-1}q'+lp\mid \gcd(k,p)=1\}\subset D_{\mathrm{iso}}(L(p,q),L(p,q'))$ . On the other hand, for each map  $g\colon L(p,q)\to L(p,q')$  of degree d which induces an isomorphism on  $\pi_1$ , then  $f_{q',q}\circ g$  is a self-map on L(p,q) which induces an isomorphism on  $\pi_1$ , where  $f_{q',q}\colon L(p,q')\to L(p,q)$  is a degree qq' map. Hence the degree of  $f_{q',q}\circ g$  is qq'd which must be in  $\{k^2+lp\mid \gcd(k,p)=1\}$ , that is  $qq'd=k^2+lp$ ,  $\gcd(k,p)=1$ , then  $d=k^2q^{-1}q'^{-1}+pl=(k/q^{-1})^2q^{-1}q'+pl\in\{k^2q^{-1}q'+lp\mid \gcd(k,p)=1\}$ .  $\square$ 

Let  $U_p = \{\text{all units in ring } \mathbb{Z}_p\}, \ U_p^2 = \{a^2 \mid a \in U_p\}, \text{ which is a subgroup of } U_p.$ Let H denote the natural projection from  $\{n \in \mathbb{Z} \mid \gcd(n, p) = 1\}$  to  $U_p/U_p^2$ .

Later, we will omit the p, denote them by U and  $U^2$ . We consider the quotient  $U/U^2 = \{a_1, \ldots, a_m\}$ , every  $a_i$  corresponds with a coset  $A_i$  of  $U^2$ . For the structure of U, see [9] p.44, then we can get the structure of  $U^2$  and  $U/U^2$  easily.

Define  $\bar{A}_s = \{L(p, q_i) \mid q_i \in A_s\}$  (with repetition allowed). In  $U/U^2$ , define  $B_l = \{a_s \mid \#\bar{A}_s = l\}$  for l = 1, 2, ..., there are only finitely many  $B_l$ 's are nonempty. Let  $C_l = \{a \in U/U^2 \mid a_i a \in B_l, \ \forall a_i \in B_l\}$  if  $B_l \neq \emptyset$  and  $C_l = U/U^2$  otherwise,  $C = \bigcap_{l=1}^{\infty} C_l$ .

**Proposition 3.12.**  $D_{iso}(L(p, q_1) \# \cdots \# L(p, q_n)) = H^{-1}(C).$ 

Proof. By Lemma 3.11, we have  $D_{iso}(L(p, q), L(p, q')) = \{k^2q^{-1}q' + lp \mid gcd(k, p) = 1\}$ . Therefore  $D_{iso}(L(p, q), L(p, q'))$  will not change if we replace L(p, q) by  $L(p, s^2q)$  (resp. L(p, q') by  $L(p, s^2q')$ ) for any s in  $U_p$ .

Now we consider the relation between two sets  $D_{\mathrm{iso}}(L(p,q),L(p,q'))$  and  $D_{\mathrm{iso}}(L(p,q_*),L(p,q'_*))$ . It is also easy to see if  $(q/q')(q'_*/q_*)=s^2$  in  $U_p$ , then  $D_{\mathrm{iso}}(L(p,q),L(p,q'))=D_{\mathrm{iso}}(L(p,q_*),L(p,q_*))$ , and if  $(q/q)(q'_*/q_*)\neq s^2$  in  $U_p$ , then  $D_{\mathrm{iso}}(L(p,q),L(p,q'))\cap D_{\mathrm{iso}}(L(p,q_*),L(p,q'_*))=\emptyset$ .

Let  $f: L(p, q_1) \# \cdots \# L(p, q_n) \to L(p, q_1) \# \cdots \# L(p, q_n)$  be a map of degree  $d \neq 0$ . Suppose f sends  $L(p, q_i)$  to  $L(p, q_k)$  and sends  $L(p, q_j)$  to  $L(p, q_l)$  in the sense of Theorem 3.6. Since  $D_{\mathrm{iso}}(L(p, q_i), L(p, q_k)) \cap D_{\mathrm{iso}}(L(p, q_j), L(p, q_l)) \neq \emptyset$ , by last paragraph, we must have  $(q_i/q_k)(q_l/q_j) = s^2$  in  $U_p$ . Hence  $q_i/q_j$  is in  $U^2$  if and only if  $q_l/q_k$  is in  $U^2$ ; in other words,  $L(p, q_i)$  and  $L(p, q_j)$  are in the same  $\bar{A}_s$  if and only if  $L(p, q_k)$  and  $L(p, q_l)$  are in the same  $\bar{A}_t$ . Hence f provides 1-1 self-correspondence on  $\bar{A}_1, \ldots, \bar{A}_m$ , and if some elements in  $\bar{A}_s$  corresponds to  $\bar{A}_t$ , there is  $\#\bar{A}_s = \#\bar{A}_t$ .

Let  $f: L(p,q_1) \# \cdots \# L(p,q_n) \to L(p,q_1) \# \cdots \# L(p,q_n)$  be a self-map. For each  $a_i \in U/U^2$ , f must send  $\bar{A}_i$  to some  $\bar{A}_j$  with  $\#\bar{A}_i = \#\bar{A}_j = l$ , and both  $a_i, a_j \in B_l$ . Assume  $L(p,q_i) \in \bar{A}_i$ ,  $L(p,q_j) \in \bar{A}_j$ , then  $\deg(f) \in \{k^2q_i^{-1}q_j + lp \mid \gcd(k,p) = 1\}$  by Lemma 3.11. By consider in  $U/U^2$ , we have  $H(\deg(f)) = \bar{q}_j/\bar{q}_i = a_j/a_i$ , that is  $H(\deg(f))a_i = a_j \in B_l$ . Since we choose arbitrary  $a_i$  in  $B_l$ , we have  $H(\deg(f)) \in C_l$ . Also we choose arbitrary l, we have  $H(\deg(f)) \in \bigcap_{l=1}^{\infty} C_l = C$ , hence  $\deg(f) \in H^{-1}(C)$ .

On the other hand, if  $d \in H^{-1}(C)$ , then  $H(d) = c \in C = \bigcap_{l=1}^{\infty} C_l$ . For each  $B_l \neq \emptyset$  and each  $a_i \in B_l$ , we have  $ca_i = a_j \in B_l$ . Then  $A_i \mapsto A_j$  gives 1-1 self-correspondence among  $\{\bar{A}_i \mid \#\bar{A}_i = l\}$ . We can make further 1-1 correspondence from elements in  $\bar{A}_i$  to elements in  $\bar{A}_j$ . Since our discussion works for all  $B_l \neq \emptyset$ , we have 1-1 self-correspondence on  $\{L(p,q_1),\ldots,L(p,q_n)\}$  (with repetition allowed). Therefore for each  $L(p,q_i) \in \bar{A}_i$  and  $L(p,q_j) \in \bar{A}_j$ ,  $c = \bar{q}_j \bar{q}_i^{-1}$ . Therefore d have the form  $k^2 q_j q_i^{-1}$  mod p with (k,p)=1. By Lemma 3.11, there is a map  $f_{i,j}:L(p,q_i) \to L(p,q_j)$  of degree d which induces an isomorphism on  $\pi_1$ .

By Lemma 3.8, we can construct a self-mapping of degree d of  $L(p, q_1) \# \cdots \# L(p, q_n)$  which induces an isomorphism on  $\pi_1$ . Hence  $H^{-1}(C) \subset D_{iso}(L(p, q_1) \# \cdots \# L(p, q_n))$ . Thus  $D_{iso}(L(p, q_1) \# \cdots \# L(p, q_n)) = H^{-1}(C)$ .

## 4. D(M) for Nil manifolds

#### 4.1. Self coverings of Euclidean orbifolds.

DEFINITION 4.1 ([20]). A 2-orbifold is a Hausdorff, paracompact space which is locally homeomorphic to the quotient space of  $\mathbb{R}^2$  by a finite group action. Suppose  $\mathcal{O}_1$  and  $\mathcal{O}_2$  are orbifolds and  $f \colon \mathcal{O}_1 \to \mathcal{O}_2$  is an map. We say f is an orbifold covering if any point p in  $\mathcal{O}_2$  has a neighbourhood U such that  $f^{-1}(U)$  is the disjoint union of

sets  $V_{\lambda}, \lambda \in \Lambda$ , such that  $f \mid : V_{\lambda} \to U$  is the natural quotient map between two quotients of  $\mathbb{R}^2$  by finite groups, one of which is a subgroup of the other.

In this paper, we only consider about orbifold with singular points. Here we say a point x in the orbifold is a *singular point of index q* if x has a neighborhood U homeomorphic to the quotient space of  $\mathbb{R}^2$  by rotate action of finite cyclic group  $\mathbb{Z}_q$ , q > 1.

An orbifold  $\mathcal{O}$  with singular points  $\{x_1,\ldots,x_s\}$  is homeomorphic to a surface F, but for the sake of the singular points, we would like to distinguish them through denoting  $\mathcal{O}$  by  $F(q_1,\ldots,q_s)$ . Here  $q_1,\ldots,q_s$  are indices of singular points. Here the covering map  $f:\mathcal{O}_1\to\mathcal{O}_2$  is not the same as the covering map from  $F_1$  to  $F_2$ .

If  $f: \mathcal{O}_1 \to \mathcal{O}_2$  is an orbifold covering, the singular points of  $\mathcal{O}_2$  are  $\{x_1, \ldots, x_s\}$ , for any  $y \in \mathcal{O}_2, y \neq x_i$ , define  $\deg(f) = \#f^{-1}(y)$ . For any singular point x, let  $f^{-1}(x) = \{a_1, \ldots, a_i\}$ . At point  $a_j$ , f is locally equivalent to  $z \to z^{d_j}$  on  $\mathbb{C}$ , x and  $a_j$  correspond to 0. Here we have  $\sum d_j = d$ ,  $a_j$  is an ordinary point if and only if  $d_j$  equals to the index of x. Define  $D(x) = [d_1, \cdots, d_i]$  to be the *orbifold covering data at singular point x*, and  $\mathfrak{D}(f) = \{D(x_1), \ldots, D(x_s)\}$  (with repetition allowed) to be the *orbifold covering data of f*.

The following lemma is easy to verify.

**Lemma 4.2.** If a Nil manifold M is not a torus bundle or a torus semi-bundle, then M has one of the following Seifert fibreing structures:  $M(0; \beta_1/2, \beta_2/3, \beta_3/6)$ ,  $M(0; \beta_1/3, \beta_2/3, \beta_3/3)$ , or  $M(0; \beta_1/2, \beta_2/4, \beta_3/4)$ , where  $e(M) \in \mathbb{Q} - \{0\}$ .

Proof. Consider Nil manifold M as a Seifert fibered space, then its orbifold  $\mathcal{O}(M)$  has zero Euler characteristic. So  $\mathcal{O}(M)$  must be one of following orbifolds: the torus  $T^2$ , the Klein bottle K,  $P^2(2, 2)$ ,  $S^2(2, 3, 6)$ ,  $S^2(2, 4, 4)$ ,  $S^2(3, 3, 3)$  and  $S^2(2, 2, 2, 2)$ .

By [4] p. 38 and p. 40, we can see that M has structure of torus bundle if  $\mathcal{O}(M)$  is  $T^2$  or K, and M has structure of torus semi-bundle if  $\mathcal{O}(M)$  is  $P^2(2,2)$  or  $S^2(2,2,2,2)$ .

The remaining three cases  $S^2(2,3,6)$ ,  $S^2(2,4,4)$  and  $S^2(3,3,3)$  correspond to the three cases claimed in the lemma. Clear  $e(M) \in \mathbb{Q} - \{0\}$  since Nil manifolds have non-zero Euler number.

**Proposition 4.3.** Denote the degrees set of self covering of an orbifold  $\mathcal{O}$  by  $D(\mathcal{O})$ . We have:

- (1) For  $\mathcal{O} = S^2(2, 3, 6)$ ,  $D(\mathcal{O}) = \{m^2 + mn + n^2 \mid m, n \in \mathbb{Z}, (m, n) \neq (0, 0)\}$ . Moreover, if  $d \in D(\mathcal{O})$  is coprime with 6, then
  - (i)  $d \equiv 1 \mod 6$ ;
  - (ii) this covering map of degree d = 6k + 1 is realized by an orbifold covering from O to O with orbifold covering data

$$\{D(x_1), D(x_2), D(x_3)\} = \{\underbrace{[2, \dots, 2, 1]}_{3k}, \underbrace{[3, \dots, 3, 1]}_{2k}, \underbrace{[6, \dots, 6, 1]}_{k}, \underbrace{[6, \dots, 6, 1]}_{k}$$

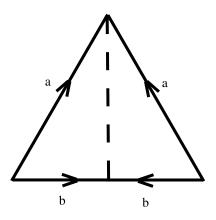


Fig. 1.

where  $x_1$ ,  $x_2$  and  $x_3$  are singular points of indices 2, 3 and 6 respectively.

- (2) For  $\mathcal{O} = S^2(3, 3, 3)$ ,  $D(\mathcal{O}) = \{m^2 + mn + n^2 \mid m, n \in \mathbb{Z}, (m, n) \neq (0, 0)\}$ . Moreover, if  $d \in D(\mathcal{O})$  is coprime with 3, then
  - (i)  $d \equiv 1 \mod 3$ ;
  - (ii) this covering map of degree d = 6k + 1 is realized by an orbifold covering from O to O with orbifold covering data

$${D(x_1), D(x_2), D(x_3)} = {\underbrace{[3, \dots, 3, 1], [3, \dots, 3, 1], [3, \dots, 3, 1]}_{k}},$$

where  $x_1$ ,  $x_2$  and  $x_3$  are singular points of indices 3, 3 and 3 respectively.

- (3) For  $\mathcal{O} = S^2(2, 4, 4)$ ,  $D(\mathcal{O}) = \{m^2 + n^2 \mid m, n \in \mathbb{Z}, (m, n) \neq (0, 0)\}$ . Moreover, if  $d \in D(\mathcal{O})$  is coprime with 4, then
  - (i)  $d \equiv 1 \mod 4$ ;
  - (ii) this covering map of degree d=4k+1 is realized by an orbifold covering from  $\mathcal{O}$  to  $\mathcal{O}$  with orbifold covering data

$${D(x_1), D(x_2), D(x_3)} = {\underbrace{[2, \dots, 2, 1], [4, \dots, 4, 1], [4, \dots, 4, 1]}_{k}, \underbrace{1}, \underbrace{[4, \dots, 4, 1]}_{k}, \underbrace{1}}_{k}, \underbrace{1}, \underbrace{1$$

where  $x_1$ ,  $x_2$  and  $x_3$  are singular points of indices 2, 4 and 4 respectively.

Proof. We only prove case (1). The other two cases can be proved similarly.  $S^2(2, 3, 6)$  can be seen as pasting the equilateral triangle as shown in Fig. 1 geometrically.

 $\pi_1(S^2(2,3,6))$  can be identified with a discrete subgroup  $\Gamma$  of  $\mathrm{Iso}_+(\mathbb{E}^2)$ , a fundamental domain of  $\Gamma$  is shown in Fig. 2. It is as a lattice in  $\mathbb{E}^2$  with vertex coordinate  $m + ne^{i\pi/3}$ ,  $m, n \in \mathbb{Z}$ .

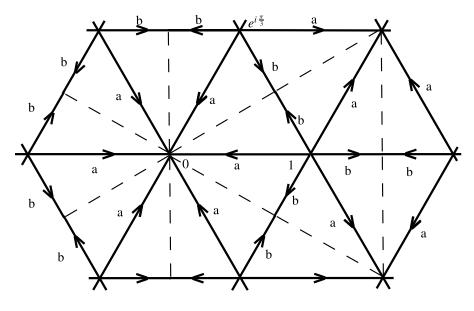


Fig. 2.

For the covering  $p: T^2 \to S^2(2,3,6)$ ,  $T^2$  can be seen as the quotient of a subgroup  $\Gamma' \subset \Gamma$  on  $\mathbb{E}^2$ , with a fundamental domain as Fig. 3. Here  $\Gamma'$  is just all the translation elements of  $\Gamma$ , thus  $\Gamma'$  is generated by  $z \to z + \sqrt{3}i$  and  $z \to z + (\sqrt{3}/2)i + 3/2$ .

For every self covering  $f: S^2(2,3,6) \to S^2(2,3,6)$ ,  $f_*: \pi_1(S^2(2,3,6)) \to \pi_1(S^2(2,3,6))$  is injective. Since p is covering,  $f_* \circ p_*: \pi_1(T^2) \to \pi_1(S^2(2,3,6))$  is also injective. So  $f_*(p_*(\pi_1(T^2)))$  is a free abelian subgroup of  $\pi_1(S^2(2,3,6))$ .

For every  $\gamma \in \Gamma$ , which is not translation, it can be represented by  $f\colon z \to e^{i2k\pi/n}z + z_0$ ,  $\gcd(k,n)=1$ , n>1. Then  $f^n(z)=(e^{i2k\pi/n})^nz+(e^{i2k(n-1)\pi/n}+\cdots e^{i2k\pi/n}+1)z_0=z$ . So  $\gamma$  is a torsion element, thus  $\gamma \notin f_*(p_*(\pi_1(T^2)))$  except  $\gamma=e$ . So  $f_*(p_*(\pi_1(T^2))) \subset p_*(\pi_1(T^2))$ , thus there exists  $\tilde{f}\colon T^2\to T^2$  being the lifting of f.

$$T^{2} \xrightarrow{\tilde{f}} T^{2}$$

$$\downarrow p$$

$$S^{2}(2, 3, 6) \xrightarrow{f} S^{2}(2, 3, 6).$$

Here we have

$$\deg(f) = \deg(\tilde{f}) = [\pi_1(T^2) : \tilde{f}_*(\pi_1(T^2))] = \frac{\text{area(fundamental domain of } \tilde{f}_*(\pi_1(T^2)))}{\text{area(fundamental domain of } \pi_1(T^2))},$$

here  $\tilde{f}_*(\pi_1(T^2))$ ,  $\pi_1(T^2)$  are all seen as subgroup of  $\pi_1(S^2(2,3,6))$ .

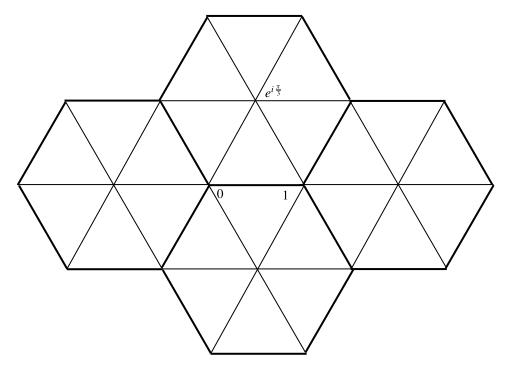


Fig. 3.

Clearly, we can choose a fundamental domain of  $f_*(\pi_1(S^2(2,3,6)))$  to be an equilateral triangle in  $\mathbb{E}^2$  with vertices as  $m + ne^{i\pi/3}$ , then the fundamental domain of  $\tilde{f}_*(\pi_1(T^2))$  is an equilateral hexagon with vertices as  $m + ne^{i\pi/3}$ . The scale of area is the square of the scale of edge length. The scale of edge length must be  $|m + ne^{i\pi/3}| = \sqrt{m^2 + mn + n^2}$ . So  $\deg(f) = m^2 + mn + n^2$ .

On the other hand, for every  $(m, n) \in \mathbb{Z}^2 - \{(0, 0)\}$ , choose  $g: \mathbb{E}^2 \to \mathbb{E}^2$ ,  $g(z) = (m + ne^{i\pi/3})z$ . It is routine to check that for any  $\gamma \in \Gamma$ , there is  $\gamma' \in \Gamma$ , such that  $g(\gamma(z)) = \gamma'(g(z))$ . So g induces  $\bar{g}$ , which is self covering on  $S^2(2, 3, 6)$ , and  $\deg(\bar{g}) = m^2 + mn + n^2$ . We have proved the first sentence of Proposition 4.3 (1).

If  $m^2 + mn + n^2$  is coprime to 6,  $m^2 + mn + n^2 \equiv 1$  or 5 mod 6. Since  $m^2 + mn + n^2 \equiv 4m^2 + 4mn + 4n^2 \equiv (2m + n)^2 \mod 3$ , and any square number must be 0 or 1 mod 3, we must have  $m^2 + mn + n^2 \equiv 1 \mod 6$ . We have proved Proposition 4.3 (1) (i).

Assume h is a self covering of degree d = 6k + 1,  $x_1, x_2, x_3$  are the singular points on  $S^2(2, 3, 6)$  with indices 2, 3, 6. For  $x_1$ ,  $h^{-1}(x_1)$  must be ordinary points or singular point of index 2. Since the degree d = 6k + 1,  $h^{-1}(x_1)$  is 3k ordinary points and  $x_1$ . Similarly, for  $x_2$ ,  $h^{-1}(x_2)$  is 2k ordinary points and  $x_2$ . Then  $x_1, x_2 \notin h^{-1}(x_3)$ , so  $h^{-1}(x_3)$  is k ordinary points and  $x_3$ . Thus the covering map of degree d = 6k + 1 is realized by a self covering of  $\mathcal{O}$  with orbifold covering data  $\{[2, \ldots, 2, 1], [3, \ldots, 3, 1], [6, \ldots, 6, 1]\}$ . We have proved Proposition 4.3 (1) (ii).

#### 4.2. D(M) for Nil manifolds.

**Lemma 4.4.** For Nil manifold M,  $D(M) \subset \{l^2 | l \in \mathbb{Z}\}$ .

Proof. Let f be a self map of M. By [25, Corollary 0.4], f is either homotopic to a covering map  $g: M \to M$ , or a homotopy equivalence.

If f is homotopic to a covering, since M has unique Seifert fibering structure up to isomorphism, we can make g to be a fiber preserving map. Denote the orbifold of M by  $O_M$ . By [20, Lemma 3.5], we have:

(4.1) 
$$\begin{cases} e(M) = e(M) \cdot \frac{l}{m}, \\ \deg(g) = l \cdot m, \end{cases}$$

where l is the covering degree of  $O_M \to O_M$  and m is the covering degree on the fiber direction. Since  $e(M) \neq 0$ , from equation (4.1) we get l = m. Thus  $\deg(f) = \deg(g)$  is a square number  $l^2$ .

If f is a homotopy equivalence, then  $\deg(f) = \pm 1$ . To finish the proof of the lemma, we need only to show that the degree of f is not -1. Otherwise composing a self covering g of degree n > 1, then  $g \circ f$  is of degree -n, which is not a homotopy equivalence, therefore is homotopic to a covering, and must have degree > 0 by the last paragraph, a contradiction.

**Theorem 4.5.** For 3-manifold M in Class 4, we have

- (1) For  $M = M(0; \beta_1/2, \beta_2/3, \beta_3/6)$ ,  $D(M) = \{l^2 \mid l = m^2 + mn + n^2, l \equiv 1 \mod 6, m, n \in \mathbb{Z}\}$ ;
- (2) For  $M = M(0; \beta_1/3, \beta_2/3, \beta_3/3)$ ,  $D(M) = \{l^2 \mid l = m^2 + mn + n^2, l \equiv 1 \mod 3, m, n \in \mathbb{Z}\}$ ;
- (3) For  $M = M(0; \beta_1/2, \beta_2/4, \beta_3/4)$ ,  $D(M) = \{l^2 \mid l = m^2 + n^2, l \equiv 1 \mod 4, m, n \in \mathbb{Z}\}$ .

Proof. We will just prove Case (1). The proof of Cases (2) and (3) are exactly as that of Case (1). Below  $M = M(0; \beta_1/2, \beta_2/3, \beta_3/6)$ .

First we show that  $D(M) \subset \{l^2 \mid l = m^2 + mn + n^2, l \equiv 1 \mod 6, m, n \in \mathbb{Z}\}.$ 

Since the orbifold  $O_M = S^2(2, 3, 6)$ , by Proposition 4.3 (1), we have  $l = m^2 + mn + n^2$ . Below we show that l = 6k + 1.

Let N be the regular neighborhood of 3 singular fibers. To define the Seifert invariants, a section F of  $M \setminus N$  is chosen, and moreover  $\partial F$  and fibers on each component of  $\partial (M \setminus N)$  are oriented.

Consider the covering  $g|: M \setminus g^{-1}(N) \to M \setminus N$ . Let  $\tilde{F}$  be a component  $g^{-1}(F)$ . It is easy to verify that  $\tilde{F}$  is a section of  $M \setminus g^{-1}(N)$ . Now we lift the orientations on  $\partial F$  and the fibers on  $\partial (M \setminus N)$  to those on  $\partial (M \setminus g^{-1}(N))$ , we get a coordinate system on  $\partial (M \setminus g^{-1}(N))$ . Therefore we have a coordinate preserving covering

$$g:(M, M\setminus g^{-1}(N), g^{-1}(N))\to (M, M\setminus N, N).$$

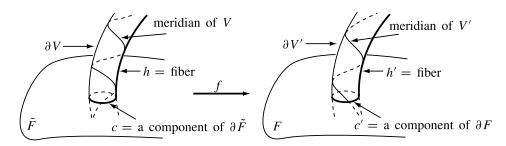


Fig. 4.

Suppose V' is a tubular neighborhood of some singular fiber L'. The meridian of V' can be represented by  $(c')^{\alpha'}(h')^{\beta'}$   $(\alpha'>0)$ , where (c',h') is the section-fiber coordinate of  $\partial V'$ .

Suppose V is a component of  $g^{-1}(V')$  and the meridian of V is represented as  $c^{\alpha}h^{\beta}$  ( $\alpha > 0$ ), where (c, h) is the lift of (c', h'). Since  $g \mid : V \to V'$  is a covering of solid torus, so g must send meridian to meridian homeomorphically, thus  $g(c^{\alpha}h^{\beta}) = (c')^{\alpha'}(h')^{\beta'}$ . See Fig. 4.

Since g has the fiber direction covering degree m = l,  $g(h) = (h')^l$ . Since c, c' are the boundaries of sections and g send c to c', we have  $g(c) = (c')^s$ . Then  $g(c^{\alpha}h^{\beta}) = (c')^{\alpha'} (h')^{\beta'} = (c')^{\alpha'} (h')^{\beta'}$ . Hence we get  $\beta \cdot l = \beta'$ .

Let V' be a tubular neighborhood of singular fiber whose meridian can be represented as  $(c')^6(h')^{\beta'}$ . By the arguments above, the meridian of the preimage V can be represent by  $c^{\alpha}h^{\beta}$ .

Since  $\beta'$  is coprime with 6. By  $\beta \cdot l = \beta'$ , so l is coprime with 6. Still by Proposition 4.3 (1), we have l = 6k + 1.

Then we show  $\{l^2 \mid l = m^2 + mn + n^2, l \equiv 1 \mod 6, m, n \in \mathbb{Z}\} \subset D(M)$ .

Suppose  $l=m^2+mn+n^2$  and l=6k+1, denote the quotient manifold of  $\mathbb{Z}_l$  free action on M by  $M_l$ . Then  $M_l$  has the Seifert fibering structure  $M(0; l \cdot \beta_1/2, l \cdot \beta_2/3, l \cdot \beta_3/6)$ . We have the covering  $g_l: M \to M_l$  of degree l.

**Claim.** there exists a map  $f_l: M_l \to M$  of degree l.

Let  $D=D_1\cup D_2\cup D_3\subset S^2(2,3,6)$  be the regular neighborhood discs of 3 singular points of indices 2, 3, and 6 respectively. By Proposition 4.3 (1), there exists a branched covering map  $\bar{f}_l\colon S^2(2,3,6)\to S^2(2,3,6)$  of degree l such that

- (1)  $\bar{f}_l$  induce a covering map  $\bar{f}_l |: S^2 \setminus \bar{f}_l^{-1}(D) \to S^2 \setminus D$ ;
- (2)  $\bar{f}_l^{-1}(D_i)$  consists of (3k+1) discs with orbifold covering data  $[2,\ldots,2,1]$  for

i=1, and (2k+1) discs with orbifold covering data  $[3,\ldots,3,1]$  for i=2, and

(k+1) discs with orbifold covering data  $[\underbrace{6,\ldots,6}_{k},1]$  for i=3.

Clearly  $\bar{f}_l^{-1}(D)$  consists of (3k+1) + (2k+1) + (k+1) = 6k+3 disks.

Then we have the covering map  $\bar{f}_l \times id$ :  $(S^2 \setminus f_l^{-1}(D)) \times S^1 \to (S^2 \setminus D) \times S^1$  of degree l, which can be extends to a covering map  $f_l \colon M' \to M$ , where M' has the Seifert structure  $M(0; \underbrace{\beta_1, \ldots, \beta_1}_{3k}, \beta_1/2, \underbrace{\beta_2, \ldots, \beta_2}_{2k}, \beta_2/3, \underbrace{\beta_3, \ldots, \beta_3}_{k}, \beta_3/6)$ . Clearly

M' is isomorphic to  $M_l$ .

Now the covering  $f_l \circ g_l \colon M \to M_l \to M$  has degree  $l^2$ . We finish the proof of Case (1).

# 5. D(M) for $H^2 \times E^1$ manifolds

In this case, all the manifolds are Seifert fibered spaces M such that the Euler number e(M) = 0 and the Euler characteristic of the orbifold  $\chi(O_M) < 0$ .

Suppose  $M=(g; \beta_{1,1}/\alpha_1, \ldots, \beta_{1,m_1}/\alpha_1, \ldots, \beta_{n,1}/\alpha_n, \ldots, \beta_{n,m_n}/\alpha_n)$ , where all the integers  $\alpha_i>1$  are different from each other, and  $\sum_{i=1}^n\sum_{j=1}^{m_i}\beta_{i,j}/\alpha_i=0$ .

For every  $\alpha_i$ , consider  $U_{\alpha_i}$ . For every  $a \in U_{\alpha_i}$ , define  $\theta_a(\alpha_i) = \#\{\beta_{i,j} \mid p_i(\beta_{i,j}) = a\}$  (with repetition allowed), where  $p_i$  is the natural projection from  $\{n \mid \gcd(n, \alpha_i) = 1\}$  to  $U_{\alpha_i}$ . Define  $B_l(\alpha_i) = \{a \mid \theta_a(\alpha_i) = l\}$  for  $l = 0, 1, \ldots$ , there are only finitely many  $B_l(\alpha_i)$  nonempty. Let  $C_l(\alpha_i) = \{b \in U_{\alpha_i} \mid ab \in B_l(\alpha_i), \forall a \in B_l(\alpha_i)\}$  if  $B_l(\alpha_i) \neq \emptyset$  and  $C_l(\alpha_i) = U_{\alpha_i}$  otherwise. Finally define  $C(\alpha_i) = \bigcap_{l=1}^{\infty} C_l(\alpha_i)$ , and  $\bar{C}(\alpha_i) = p_i^{-1}(C(\alpha_i))$ .

### Theorem 5.1.

$$D\bigg(M\bigg(g;\,\frac{\beta_{1,1}}{\alpha_1},\,\ldots,\,\frac{\beta_{1,m_1}}{\alpha_1},\,\ldots,\,\frac{\beta_{n,1}}{\alpha_n},\,\ldots,\,\frac{\beta_{n,m_n}}{\alpha_n}\bigg)\bigg) = \bigcap_{i=1}^n \bar{C}(\alpha_i).$$

Proof. Suppose f is a non-zero degree self-mapping of M. By [25, Corollary 0.4], f is homotopic to a covering map  $g: M \to M$ . Since M has the unique Seifert structure, we can isotope g to a fiber preserving map. Denote the orbifold of M by  $O_M$ . Then g induces a self-covering  $\bar{g}$  on  $O_M$ , since  $\chi(O_M) < 0$ , then  $\bar{g}$  must be 1-sheet, thus isomorphism of  $O_M$ .

So g is a degree d covering on the fiber direction. Or equivalently, by the action of  $\mathbb{Z}_d$  on each fiber, the quotient of M is also M. Thus  $d \in D(M)$  if and only if

$$M' = M\left(g: d\frac{\beta_{1,1}}{\alpha_1}, \dots, d\frac{\beta_{1,m_1}}{\alpha_1}, \dots, d\frac{\beta_{n,1}}{\alpha_n}, \dots, d\frac{\beta_{n,m_n}}{\alpha_n}\right)$$

is homeomorphic to M.

By the uniqueness of Seifert structure ([20] Theorem 3.9) and the fact e(M) = 0, we have that M is homeomorphism to M' if and only if  $(\beta_{i,1}, \ldots, \beta_{i,m_i}) = (d\beta_{i,1}, \ldots, d\beta_{i,m_i})$  under a permutation, all the numbers are seen as in  $U(\alpha_i)$ .

For every  $a \in U(\alpha_i)$ , if  $(\beta_{i,1}, \ldots, \beta_{i,m_i}) = (d\beta_{i,1}, \ldots, d\beta_{i,m_i})$  holds, we must have  $\theta_a(\alpha_i) = \theta_{da}(\alpha_i)$ , thus  $p_i(d) \in C_{\theta_a}(\alpha_i)$ . For a is an arbitrary element in  $U(\alpha_i)$ , we have

 $p_i(d) \in C(\alpha_i)$ , thus  $d \in \bar{C}(\alpha_i)$ . Since  $\alpha_i$  is also chosen arbitrarily,  $d \in \bigcap_{i=1}^n \bar{C}(\alpha_i)$ , thus  $D(M) \subset \bigcap_{i=1}^n \bar{C}(\alpha_i)$ .

For any  $d \in \bigcap_{i=1}^n \bar{C}(\alpha_i)$ , M is homeomorphic to M', so  $D(M) \supset \bigcap_{i=1}^n \bar{C}(\alpha_i)$ 

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