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## UNIPOTENT ELEMENTS AND CHARACTERS OF FINITE CHEVALLEY GROUPS

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### Introduction

Let  $\mathbb{C}$  be a connected semisimple linear algebraic group defined over an algebraically closed field  $K$  of characteristic  $p > 0$ , and  $\sigma$  a surjective endomorphism of  $\mathbb{C}$  such that the group  $\mathbb{G}_\sigma$  of elements fixed by  $\sigma$  is finite. The finite groups  $\mathbb{G}_\sigma$  obtained in this manner can be classified as follows (Steinberg [20]): If  $\mathbb{C}$  is simple,  $\mathbb{G}_\sigma$  is either the group of rational points of a  $F$ -form of  $\mathbb{C}$  for an appropriate finite field  $F$  or one of the groups defined by M. Suzuki and R. Ree. If  $\mathbb{C}$  is not simple,  $\mathbb{G}_\sigma$  is essentially a direct product of the groups mentioned above.

In this paper, a finite group  $G$  is called a finite Chevalley group<sup>1)</sup> if it can be realized as  $\mathbb{G}_\sigma$  for some  $\mathbb{C}$  and  $\sigma$ . Let  $(G, B, N, S)$  be a Tits system (or  $BN$ -pair) associated to a finite Chevalley group  $G$ . We denote by  $W$  its Weyl group. Let  $G^1$  be the set of unipotent elements (or  $p$ -elements) of  $G$  and  $U$  the  $p$ -Sylow subgroup of  $G$  contained in  $B$ . The main purpose of this paper is to establish the following two results:

(I) *Let  $w$  be an arbitrary element of  $W$ , and  $w_s$  the element of  $W$  of maximal length. Then the number of unipotent elements contained in the double coset  $BwB$  is  $|BwB \cap w_s U w_s^{-1}| |U|$ , which can be written explicitly as a polynomial in  $q_s = |BsB/B| (s \in S)^{2)}$ . (As a corollary, we obtain  $|G^1| = |U|$ , a result of Steinberg [20].)*

(II) *Assume that the characteristic  $p$  is good (see Definition 6.2) for  $\mathbb{C}$ . Let  $g$  be an element of  $G = \mathbb{G}_\sigma$ , and  $C$  a regular unipotent conjugacy class of  $G$ . Then the number  $|Bg \cap C|$  depends neither on  $g$  nor  $C$ .*

As far as the author knows, these results are new even for  $G = SL_n(F)$  with  $F$  a finite field. In this case an arbitrary prime is good and a unipotent element

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1) This definition is slightly different from the one given, for example, in [19]. But such difference is not essential for our purpose.

2) For a finite set  $A$ ,  $|A|$  denotes the number of its elements.

is regular if and only if its Jordan normal form consists of a single block.

The proof of (I) is given in §4. The main tool is the construction of the Steinberg character of  $G$  due to C.W. Curtis. In §5, combining (I) with an elementary lemma 2.4 we show

(III) *Let  $\chi$  be an irreducible complex character of  $G$  contained in the character  $i[1_B|B \rightarrow G]$  induced from the trivial character  $1_B$  of  $B$ . Then*

$$\sum_{u \in G^1} \chi(u) = |U| \hat{\chi}(1),$$

where  $\hat{\chi}$  is the "dual character" (see Definition 2.8) of  $\chi$ .

In particular, if  $\chi$  is trivial,  $\hat{\chi}$  is the Steinberg character, whose degree is known to be  $|U|$ . Hence we obtain the equality  $|G^1| = |U|^2$  again.

It may be remarked that all the properties of  $G$  required for the proofs of (I) and (III) are formal consequences of the following two facts:

- (1)  $(B, N)$  is a split  $BN$ -pair (see [2; part B]).
- (2) The commutator relations (Proposition 1.3(c)) are satisfied.

In §6 after recalling some known facts on regular unipotent elements, we prove a key lemma 6.10. As the first application of this, we obtain

(IV) *Assume that  $\mathfrak{G}$  is adjoint and  $p$  is good for  $\mathfrak{G}$ . Let  $\chi$  be an irreducible cuspidal character of  $G = \mathfrak{G}_\sigma$ , and  $u$  a regular unipotent element of  $G$ . Then  $\chi(u) = \pm 1$  if  $\chi$  is contained in the character induced from a linear character of  $U$  in "general position" in the sense of Gel'fand and Graev [10], and  $\chi(u) = 0$  otherwise.*

The proof of (II) is given in §7. We first prove the following result.

(V) *Assume that  $p$  is good for  $\mathfrak{G}$ . Let  $\chi$  be a non-trivial irreducible character of  $G = \mathfrak{G}_\sigma$  contained in  $i[1_B|B \rightarrow G]$ . Then  $\chi$  vanishes on the set of regular unipotent elements of  $G$ .*

The main tool for the proof of (V) is Lemma 6.10 again. We also use a result (Theorem 3.4) in §3. It allows us to assume that  $\mathfrak{G}$  is adjoint, in which case the set of regular unipotent elements of  $\mathfrak{G}_\sigma$  forms a single conjugacy class. Combining (V) with Lemma 2.4 we obtain (II).

It is quite likely that the main results (I) (II) reflect interesting relations between the variety of unipotent elements and the Bruhat decomposition of  $\mathfrak{G}$ .

Notations. Let  $A$  be a set. If  $\sigma$  is a transformation of  $A$ ,  $A_\sigma$  denotes the set of fixed points of  $\sigma$ . If  $f$  is a mapping from  $A$  into another set and  $B$  is a subset of  $A$ ,  $f|_B$  denotes the restriction of  $f$  to  $B$ . Let  $G$  be a group and  $H$  a subset of  $G$ . Then  $C_G(H)$  denotes the conjugacy class of  $H$ . Let  $G$  be finite. The inner product for complex valued functions  $f, g$  on  $G$  is defined by  $(f, g)_G = |G|^{-1} \sum_{x \in G} f(x) \overline{g(x)}$ . Let  $H$  be a subgroup of  $G$  and  $\chi$  a character of  $H$ . The

character of  $G$  induced from  $\%$  is denoted by  $i[\chi|H \rightarrow G]$ .

### 1. Finite Chevalley groups $\mathfrak{G}_\sigma$

Let  $G = \mathfrak{G}_\sigma$  be as in Introduction. In this section we recall some known facts about  $G$  and establish some notations frequently used in the paper. References are Steinberg [19], [20] and Bourbaki [3].

Let  $S_3$  be a Borel subgroup of  $\mathfrak{G}$ , and  $\mathfrak{T}$  a maximal torus of  $\mathfrak{G}$  contained in  $S_3$ . We can choose  $S_3$  and  $\mathfrak{T}$  to be fixed by  $\sigma$ . Then the unipotent radical  $\mathfrak{U}$  of  $S_3$  and the normalizer  $\mathfrak{N}$  of  $\mathfrak{T}$  in  $\mathfrak{G}$  are also fixed by  $\sigma$ . We shall write  $B$ ,  $T$ ,  $U$  and  $N$  for the groups  $\mathfrak{B}_\sigma$ ,  $\mathfrak{T}_\sigma$ ,  $\mathfrak{U}_\sigma$  and  $\mathfrak{N}_\sigma$  respectively. Let  $\mathfrak{W} = \mathfrak{N}/\mathfrak{T}$ , the Weyl group of  $\mathfrak{G}$  with respect to  $\mathfrak{T}$ . Then  $\sigma$  acts naturally on  $\mathfrak{W}$  and the group  $W = \mathfrak{W}_\sigma$  of fixed points is called the Weyl group of  $G$  (with respect to  $T$ ). It is known that  $W$  is canonically isomorphic to  $N/T$ .

Let  $X(\mathfrak{T})$  be the character module of  $\mathfrak{T}$ , and  $\Sigma \subset X(\mathfrak{T})$  the root system of  $\mathfrak{G}$  with respect to  $\mathfrak{T}$ . For  $\alpha \in \Sigma$  there is an isomorphism  $x_\alpha$  of the additive group (of  $K$ ) onto a closed subgroup  $\mathfrak{U}_\alpha$  of  $\mathfrak{G}$  such that

$$(1.1) \quad tx_\alpha(k)t^{-1} = x_\alpha(\alpha(t)k) \quad (t \in \mathfrak{T}, k \in K).$$

Choose an order on  $\Sigma$  so that  $\mathfrak{U} = \prod_{\alpha > 0} \mathfrak{U}_\alpha$ . Let  $\Sigma^+$  and  $\Pi$  be the set of positive and simple roots respectively. We denote by  $\sigma^*$  the dual action of  $\sigma$  on  $T$  on the real vector space  $V = X(\mathfrak{T}) \otimes_{\mathbb{Z}} \mathbb{R}$ .

**Lemma 1.1.** ([20; §11]) *Let the notations be as above.*

- (a) *There exists a permutation  $p$  of  $\Sigma$  and for each  $\alpha \in \Sigma$  a power  $q(\alpha)$  of  $p$  such that  $\sigma^* \rho \alpha = q(\alpha) \alpha$ .*
- (b)  *$\sigma x_\alpha(k) = x_{\rho \alpha}(c_\alpha k^{q(\alpha)})$  for some  $c_\alpha \in K^*$  and all  $k \in K$ .*
- (c)  *$\Sigma^+$  and  $\Pi$  are stable under  $p$ .*
- (d) *Let  $\pi$  be a  $p$ -orbit of  $\Pi$ . Then  $\prod_{\alpha \in \pi} q(\alpha) > 1$ .*

For each  $p$ -orbit  $\pi$  of  $\Pi$ , let  $\Sigma_\pi^+$  be the set of positive roots which are linear combinations of the elements of  $\pi$ . Then  $R' = \{w \Sigma_\pi^+ \mid w \in W, \pi \text{ is a } p\text{-orbit of } \Pi\}$  forms a partition of  $\Sigma$ .

We fix a  $W$ -invariant positive definite inner product on  $V = X(\mathfrak{T}) \otimes_{\mathbb{Z}} \mathbb{R}$ . Then  $\mathfrak{W}$  can be identified with the Weyl group  $W(\Sigma)$  of the root system  $\Sigma$ .

**Proposition 1.2.** ([19; §11]) *Consider the projections  $a$  of roots  $\alpha$  on the subspace  $V_{\sigma^*}$  of  $V$ .*

- (a) *Let  $\alpha_1$  and  $\alpha_2$  be roots. Then  $\bar{\alpha}_1$  is a positive multiple of  $\alpha_2$  if and only if there exists an element  $a \in R'$  containing  $\alpha_1$  and  $\alpha_2$ .*
- (b) *For each  $a \in R'$ , let  $\bar{a}$  be the shortest vector in  $\{\bar{\alpha} \mid \alpha \in a\}$ . Then  $R = \{\bar{a} \mid a \in R'\}$  is a reduced root system in  $V_{\sigma^*}$ .*

(c) The Weylgroup  $W$  of  $G$  is canonically isomorphic to the Weylgroup  $W(R)$  of the root system  $R$ .

By Proposition 1.2 we can identify  $R$  with  $R$  and  $W$  with  $W(R)$ . For  $a \in R$  we write  $w_a$  for the corresponding reflection. Put  $R(S) = \{\Sigma_\pi^+ \mid \pi \text{ is a } p\text{-orbit of } \Pi\}$ . This is a set of fundamental roots (or base) of  $R$ . We denote by  $R^+$  the set of positive roots with respect to  $R(S)$ . Let  $S = \{w_a \mid a \in R(S)\}$ . Then  $(W, S)$  is a Coxeter system. Hence a reduced decomposition  $s(w) = (s_1, s_2, \dots, s_l)(s_i \in S)$  and the length  $l(w)$  of  $w \in W$  can be defined (see [3]). The element of  $W$  of maximal length is denoted by  $w_S$ .

**Proposition 1.3.** ([19; §11]) For  $a \in R (=R')$  let  $U_a = \prod_{\alpha \in a} U_\alpha$ .

(a)  $U_a$  is  $\sigma$ -stable.

(b) Let  $U_a = (U_a)_\sigma$  and  $q_a = |U_a|$ . Then  $q_a = \prod_{\alpha \in a} q(\alpha)$ , where  $q(\alpha)$ 's are defined by Lemma 1.1 (a).

(c) If  $a, b \in R$  and  $a \neq \pm b$ , the commutator  $(U_a, U_b)$  is contained in  $\prod U_{ia+jb}$ , where the product is taken over all roots  $ia+jb$  ( $i, j > 0$ ) arranged in some fixed order.

(d)  $wU_a w^{-1} = U_{wa}$  for any  $w \in W$  and  $a \in R$ .

For  $w \in W$ , let  $R_w^+ = \{a \in R^+ \mid wa > 0\}$ ,  $R_w^- = \{a \in R^+ \mid wa < 0\}$ ,  $U_w^+ = U \cap w^{-1}Uw$  and  $U_w^- = U \cap w^{-1}U^-w$ , where  $U^- = w_S U w_S^{-1}$ .

**Lemma 1.4.** ([19; §11]) Let  $w$  be any element of  $W$ .

(a)  $U = U_w^+ U_w^-$  and  $U_w^+ \cap U_w^- = \{1\}$ .

(b)  $U_w^+ = \prod_a U_a (a \in R_w^+)$  with the product taken in some fixed order and there is uniqueness of expression on the right.

The quadruplet  $(G, B, N, S)$  is a Tits system. In particular  $G$  has the Bruhat decomposition:

$$G = \bigcup_{w \in W} BwB \quad (\text{disjoint union}).$$

**Lemma 1.5.** ([20; 11.1]) If  $\{n_w \mid w \in W\}$  is a system of representatives for  $W = N/T$ ,  $BwB = Bn_w U_w^-$  and each element  $x \in G$  can be expressed uniquely in the form  $x = bn_w u$  with  $b \in B$ ,  $w \in W$  and  $u \in U^-$ .

A subgroup of  $G$  which is conjugate to  $B$  is called a Borel subgroup. A subgroup of  $G$  which contains a Borel subgroup is called a parabolic subgroup.

**Proposition 1.6.** ([3; Ch. 4, §2]) For each subset  $X$  of  $S$ , Let  $W_X$  be the subgroup of  $W$  generated by  $X$ . Then

(a)  $P_X = BW_X B$  is a parabolic subgroup of  $G$  containing  $B$ . Conversely, any parabolic subgroup containing  $B$  may be obtained in this manner.

(b) Two parabolic subgroups  $P_X$  and  $P_Y$  ( $X, Y \subset S$ ) are conjugate to each other if and only if  $X = Y$ .

(c) For  $X, Y \subset S$ , there is a bijection between  $W_X \backslash W / W_Y$  and  $P_X \backslash G / P_Y$  given by  $\Xi \mapsto B\Xi B$ ,  $\Xi \in W_X \backslash W / W_Y$ .

**Lemma 1.7.** Let  $X$  be an arbitrary subset of  $S$ , and  $w_X$  the element of  $W_X$  of maximal length. Then the normalizer of  $U_{w_X}^+$  in  $G$  is the parabolic subgroup  $P_X$ .

*Proof.* Let  $57$  be the normalizer of  $U_{w_X}^+$  in  $G$ . By Lemma 1.4(b) and Proposition 1.3(c), it suffices to show that  $n_w$  ( $w \in W$ ) is contained in  $57$  if and only if  $w$  is contained in  $W_X$ . By Lemma 1.4 (b),  $U_{w_X}^+ = \prod_a U_a$  ( $a \in R_{w_X}^+$ ). Hence  $n_w \in 57$  if and only if  $R_{w_X}^+ \subset R_w^+$ , i.e.  $R_{w_X}^- \supset R_w^-$ . By [3; p. 158, Cor. 2], this is the case if and only if  $w \in W_X$ . This proves the lemma.

**DEFINITION 1.8.** Let  $X$  be an arbitrary subset of  $S$ . Put  $V_X = U_{w_X}^+$ . We call  $V_X$  the *unipotent radical* of the parabolic subgroup  $P_X$ . In general, the *unipotent radical*  $V_P$  of a parabolic subgroup  $P = gP_X g^{-1}$  ( $g \in G$ ) is defined by  $V_P = gV_X g^{-1}$ . (The well-definedness of  $V_P$  follows from Lemma 1.7 and the fact that  $P$  is its own normalizer in  $G$ .)

**Lemma 1.9.** ([3; p. 37, Ex. 3]) Let  $X, Y \subset S$ . There exists a unique element of minimal length in each  $(W_X, W_Y)$ -coset in  $W$ . Moreover, the following conditions for an element  $w$  of  $W$  are equivalent:

- (1)  $w$  is the element of minimal length in  $W_X w W_Y$ .
- (2)  $l(w_1 w) = l(w_1) + l(w)$  and  $l(w w_2) = l(w) + l(w_2)$  for all  $w_1 \in W_X$  and  $w_2 \in W_Y$ .
- (3)  $l(xw) > l(w)$  and  $l(wy) > l(w)$  for all  $x \in X$  and  $y \in Y$ .

**DEFINITION 1.10.** An element  $w$  of  $W$  satisfying the conditions in Lemma 1.9 is called a  $(X, Y)$ -*reduced element*.

**Lemma 1.11.** Let  $X \subset S$ . There exists a unique element of maximal length in each  $W_X$ -coset in  $W$ . Moreover, the following conditions for an element  $v$  of  $W$  are equivalent:

- (1)  $v$  is the element of maximal length in  $vW_X$ .
- (2)  $v = w w_X$  for some  $(\phi, X)$ -reduced element  $w$  of  $W$ .
- (3)  $l(v w_1) = l(v) - l(w_1)$  for all  $w_1 \in W_X$ .
- (4)  $l(vx) < l(v)$  for all  $x \in X$ .

*Proof.* Let  $w$  be an arbitrary  $(\phi, X)$ -reduced element of  $W$ . Any element  $v'$  of  $wW_X$  can be written in the form  $v' = w w_1'$  with  $w_1' \in W_X$ . By Lemma 1.9 (2),  $l(v') = l(w) + l(w_1')$ . Thus the length of  $v'$  is maximal among the elements in  $wW_X$  if and only if  $w_1' = w_X$ . This proves the first assertion in the lemma

and the equivalence of the conditions (1) and (2). If (2) holds and  $w_1 \in W_X$ , then  $l(vw_1) = l(wv_X w_1) = l(w) + l(w_X w_1)$  by Lemma 1.9 (2). On the other hand, we have  $l(w_X w_1) = l(w_X) - l(w_1)$  from [3; p. 43, Ex. 22]. Hence

$$l(vw_1) = l(w) + l(w_X) - l(w_1) = l(v) - l(w),$$

which is (3). It is trivial that (3) implies (4). Assume that (4) holds. Let  $v_1$  be the element of maximal length in  $vW_X$ . Then  $v = v_1 w_1'$  with  $w_1' \in W_X$ . If  $w_1' \neq 1$ , there is an element  $x$  of  $X$  such that  $l(w_1'x) < l(w_1')$ . We have  $l(vx) = l(v_1 w_1' x) = l(v_1) - l(w_1'x) = l(v_1) - l(w_1') + l(x) = l(v_1 w_1') + l(x) = l(v) + l(x) > l(v)$ , a contradiction. Therefore  $w_1' = 1$ . Hence  $v = v_1$ , which is (1). This completes the proof of the lemma.

**Lemma 1.12.** *Any parabolic subgroup  $P$  of  $G$  can be uniquely written in the form  $P = uwP_X w^{-1}u^{-1}$ , where  $X$  is a subset of  $S$ ,  $w$  is a  $(\phi, X)$ -reduced element of  $W$  and  $u$  is an element of  $U_{\dots}^{-1}$ .*

Proof. By Proposition 1.6 (b),  $X$  is uniquely determined by  $P$ . Assume that  $u_1 w_1 P_X w_1^{-1} u_1^{-1} = u_2 w_2 P_X w_2^{-1} u_2^{-1}$  for two distinct  $(\phi, X)$ -reduced elements  $w_1, w_2$  of  $W$  and some elements  $u_1, u_2$  of  $U$ . Then  $w_1^{-1} u_1^{-1} u_2 w_2 \in P_X$  because  $P_X$  is its own normalizer in  $G$ . Thus  $w_2 \in B w_1 P_X$ . On the other hand, we have  $w_1 W_X \neq w_2 W_X$  from Lemma 1.9. Hence  $B w_1 P_X \cap B w_2 P_X = \emptyset$  by Proposition 1.6 (c), a contradiction. This proves the uniqueness of  $w$  in the lemma. Next, assume that  $u_1 w P_X w^{-1} u_1^{-1} = u_2 w P_X w^{-1} u_2^{-1}$  for a  $(\phi, X)$ -reduced element  $w$  of  $W$  and elements  $u_1, u_2$  of  $U_{\dots}^{-1}$ . Then  $w^{-1} u_2^{-1} u_1 w \in P_X$ . Thus we have

$$(1.2) \quad u_1 u \in u_2 w ( \bigcup_{v \in W_X} B v B ).$$

By Lemma 1.9,  $l(wv) = l(w) + l(v)$  for all  $v \in W_X$ . Hence  $u_2 w B v B \subset B w v B$  by [3; p. 26, Cor. 1]. Therefore (1.2) implies that  $u_1 w \in u_2 w B$ . Hence we have  $u_1 = u_2$  from Lemma 1.5. This completes the proof of the uniqueness part of the lemma. Let  $P$  be an arbitrary parabolic subgroup. By Proposition 1.6 and Lemma 1.9 there exist a subset  $X$  of  $S$  and a  $(\phi, X)$ -reduced element  $w$  of  $W$  and an element  $u$  of  $U$  such that  $P = uwP_X w^{-1}u^{-1}$ . By Lemma 1.4 (a),  $u = u_1 u_2$  for some  $u_1 \in U_{\dots}^{-1}$  and  $u_2 \in U_{\dots}^{+1}$ . Thus  $P = u_1 w P_X w^{-1} u_1^{-1}$ . The proof of Lemma 1.12 is now complete.

## 2. Hecke algebra $H_C(G, B)$ and characters in $i[1_B | B \rightarrow G]$

Let  $G$  be an arbitrary finite group, and  $B$  a subgroup of  $G$ . We denote by  $C[G]$  the group algebra of  $G$  over a complex number field  $C$ . Then  $e_1 = |B|^{-1} \sum_{x \in B} x$  is an idempotent in  $C[G]$  and the left  $C[G]$ -module  $C[G]e_1$  affords the character  $i[1_B | B \rightarrow G]$  induced from the trivial character  $1_B$  of  $B$ .

**DEFINITION 2.1.** The Hecke algebra  $H_C(G, B)$  is defined to be the subalgebra  $e_1 \mathcal{C}[G] e_1$  of the group algebra  $\mathcal{C}[G]$ .

**Lemma 2.2.** (Curtis-Fossum [8]) *Let  $\chi$  be an irreducible complex character of  $G$  contained in  $i[1_B | B \rightarrow G]$ . We also denote by  $\chi$  the corresponding irreducible character of  $\mathcal{C}[G]$ .*

(a) *The restriction  $\chi|_{H_C(G, B)}$  of  $\chi$  to  $H_C(G, B)$  is an irreducible character of  $H_C(G, B)$ . Conversely, each irreducible character of  $H_C(G, B)$  is the restriction to  $H_C(G, B)$  of a unique irreducible character of  $\mathcal{C}[G]$ .*

(b) *Let  $a_\chi$  be a primitive idempotent in  $H_C(G, B)$  corresponding to  $\chi|_{H_C(G, B)}$ . Then  $a_\chi$  is also a primitive idempotent in  $\mathcal{C}[G]$  and the left  $\mathcal{C}[G]$ -module  $\mathcal{C}[G]a_\chi$  affords  $\chi$ .*

**Lemma 2.3.** (Littlewood [15; §4.4]) *Let  $\sum_{x \in G} \lambda_x x$  be a primitive idempotent in  $\mathcal{C}[G]$  corresponding to an irreducible character  $\chi$ . Then*

$$\chi(g) = |G| |C_G(g^{-1})|^{-1} \left\{ \sum_x \lambda_x \right\} \quad (x \in C_G(g^{-1})).$$

Let  $A$  be a set of representatives for the  $(B, B)$ -double cosets decomposition of  $G$ . For  $a \in A$ , let

$$(2.1) \quad e_a = |B|^{-1} \sum_{x \in BaB} x.$$

Then  $\{e_a | a \in A\}$  forms a  $\mathcal{C}$ -basis for  $H_C(G, B)$ . For an arbitrary element  $h = \sum_{a \in A} h_a e_a$  ( $h_a \in \mathcal{C}$ ) of  $H_C(G, B)$ , define the following complex valued class functions on  $G$ :

$$(2.2) \quad f_h(g) = \sum_{a \in A} h_a |B|^{-1} |G| |C_G(g^{-1})|^{-1} |BaB \cap C_G(g^{-1})|.$$

Later, we shall often require the following lemma.

**Lemma 2.4.** (a) *Let  $\chi$  be an irreducible character of  $G$  contained in  $i[1_B | B \rightarrow G]$ , and  $a_\chi$  a primitive idempotent in  $H_C(G, B)$  corresponding to  $\chi$  in the sense of Lemma 2.2. Then*

$$\chi(g) = f_{a_\chi}(g) \quad (g \in G),$$

where  $f_{a_\chi}$  is the class function on  $G$  defined by (2.2).

(b) *Each function  $f_h$  ( $h \in H_C(G, B)$ ) can be written as a linear combination of irreducible characters contained in  $i[1_B | B \rightarrow G]$ .*

**Proof.** (a) This is a consequence of Lemma 2.3, (2.1) and (2.2).

(b) Let  $X(G, B)$  be the set of all irreducible characters of  $G$  contained in  $i[1_B | B \rightarrow G]$ . It suffices to show that each  $f_{e_a}$  ( $a \in A$ ) can be written as a linear combination of elements of  $X(G, B)$ . Since  $H_C(G, B)$  is a semisimple algebra,



it is isomorphic to a direct sum of full matrix algebras  $M(n_i, C)$  ( $i=1, 2, \dots, m$ ). It is easy to see that each algebra  $M(n_i, C)$  has a basis which consists of primitive idempotents. Hence  $H_C(G, B)$  also has such a basis  $\{v_j | 1 \leq j \leq N\}$  ( $N = |A|$ ). Let  $v_j = \sum_{a \in A} c_{j,a} e_a$  ( $c_{j,a} \in C, 1 \leq j \leq N$ ). Then, from part (a) we have

$$(2.3) \quad \sum_{a \in A} c_{j,a} f_a = \chi_j \quad (1 \leq j \leq N)$$

for some  $\chi_j \in X(G, B)$ . Solving (2.3) in  $f_a$  ( $a \in A$ ), we get the required result.

We shall also need the following

**Lemma 2.5.**  $f_{hk} = f_{kh}$  for all elements  $h, k$  of  $H_C(G, B)$ .

*Proof.* Let  $x$  and  $y$  be any elements of  $G$ . Then  $xy$  and  $yx$  belong to the same conjugacy class of  $G$ . The assertion follows from this fact and (2.2).

Assume henceforth that  $G$  is a finite Chevalley group and  $B$  is a Borel subgroup of  $G$ . We shall also use other notations given in §1. By the Bruhat decomposition of  $G$ ,  $\{e_w | w \in W\}$  (see (2.1)) forms a basis for  $H_C(G, B)$ . Hence for any element  $h$  of  $H_C(G, B)$  there exist unique complex numbers  $[h : e_w]$  ( $w \in W$ ) such that  $h = \sum_{w \in W} [h : e_w] e_w$ .

**Theorem 2.6.** (Iwahori [2], Matsumoto [16]) Let  $\text{ind } e_w = |BwB/B|$  for  $w \in W$ , and  $q_s = \text{ind } e_s$  for  $s \in S$ . Then

$$(a) \quad q_s = q_a = \prod_{\alpha \in a} q(\alpha) \quad \text{if } s = w_a \text{ with } a \in R(S).$$

$$(b) \quad [e_w e_{w'} : e_{w''}] = |BwB \cap n_{w''} U_w^- n_{w'}^{-1}| \text{ for all elements } w, w', w'' \text{ of } W.$$

$$(c) \quad \text{For } s \in S \text{ and } w \in W,$$

$$e_1 e_w = e_w e_1 = e_w,$$

$$e_s e_w = e_{sw} \quad \text{if } l(sw) > l(w)$$

$$\text{and} \quad e_s e_w = q_s e_{sw} + (q_s - 1) e_w \quad \text{if } l(sw) < l(w).$$

$$(d) \quad \text{If } s(w) = (s_1, s_2, \dots, s_l) (s_i \in S) \text{ is a reduced decomposition of } w \in W, \text{ then}$$

$$e_w = e_{s_1} e_{s_2} \cdots e_{s_l}.$$

$$(e) \quad \text{Let the notations be as in (d). Then}$$

$$\text{ind } e_w = |U_w^-| = q_{s_1} q_{s_2} \cdots q_{s_l}.$$

*Proof.* (a) This is a consequence of Lemma 1.5 and Proposition 1.3 (b).

(b) By [12; (3)], the left hand side is equal to  $|(BwB \cap w'' U_w'^{-1} B)/B|$ , which is equal to  $|BwB \cap n_{w''} U_w^- n_{w'}^{-1}|$  by Lemma 1.5.

- (c) and (d) are proved in [12] and [16].  
 (e) is a consequence of (d) and [12; Lemma 1.2].

By Theorem 2.6 (c) we have

$$(2.4) \quad (e_s)^{-1} = q_s^{-1} \{e_s + (1 - q_s)e_1\} \quad (s \in S).$$

By (2.4) and Theorem 2.6 (d),  $e_w$  is invertible for an arbitrary  $w \in W$ . The following theorem was obtained by O. Goldman (see [12]) for untwisted Chevalley groups  $G$  and by R. W. Kilmoyer [14] for general  $G$ .

**Theorem 2.7.** *The Hecke algebra  $H_c(G, B)$  has an involutory automorphism defined by*

$$\hat{e}_w = (-1)^{l(w)} |U_w^-| (e_{w^{-1}})^{-1}.$$

Let  $\%$  be an irreducible character of  $G$  contained in  $i[1_B | B \rightarrow G]$ , and  $a_\chi$  a primitive idempotent in  $H_c(G, B)$  corresponding to  $\%$  (see Lemma 2.2). Then  $a_\chi$  is also a primitive idempotent in  $H_c(G, B)$ . It is easy to see that the irreducible character of  $G$  corresponding to  $a_\chi$  is independent of the choice of  $a_\chi$ .

**DEFINITION 2.8.** Let the notations be as above. The *dual*  $\hat{\%}$  of  $\%$  is defined as the irreducible character of  $G$  corresponding to  $a_\chi$ .

**REMARK 2.9.** In [14], R. Kilmoyer defined  $\hat{\%}$  by  $(\hat{\chi} | H_c(G, B))(h) = (\chi | H_c(G, B))(\hat{h})$ . It is easy to see that these two definitions are in fact identical.

**Notation 2.10.** Let  $w, w'$  and  $w''$  be any elements of  $W$ , and  $s(w) = (s_l, s_{l-1}, \dots, s_1)$  a reduced decomposition of  $w$ . We denote by  $\mathcal{J}(s(w), w', w'')$  the set of all integer sequences  $J = (j_k, j_{k-1}, \dots, j_0)$  satisfying the following conditions (cf. [2; (3.19)]):

- (a)  $l(w) = l \geq j_k > j_{k-1} > \dots > j_1 > j_0 = 0$
- (b)  $s_{j_k} s_{j_{k-1}} \dots s_{j_0} w' = w''$  where we put  $s_{j_0} = s_0 = 1$  for convention.
- (c)  $(s_p s_{j_k} s_{j_{k-1}} \dots s_{j_0} w') < l(s_{j_k} s_{j_{k-1}} s_{j_0} w')$  for  $j_k < p < j_{k+1}$  if  $0 \leq h \leq k-1$  and  $j_k < p \leq l$  if  $h = k$ .

For each  $J \in \mathcal{J}(s(w), w', w'')$  we put  $J_1 = \{j_h \in J \mid l(s_{j_k} s_{j_{k-1}} \dots s_{j_0} w') < l(s_{j_{h-1}} \dots s_{j_0} w')\}$  and  $J_2 = \{j_h \in J \mid j_h \neq 0, j_h \notin J_1\}$ .

**Notation 2.11.** Let  $S_i (i \in I)$  be the equivalence classes for the relation “ $s$  is conjugate to  $r$  in  $W$ ” between elements  $s, r$  of  $S$ . Let  $\mathbf{t} = (t_i)_{i \in I}$  be a family of indeterminates indexed by  $I$ , and for each  $s \in S$  define  $t_s$  to be  $t_i$  if  $s \in S_i$ .

**DEFINITION 2.12.** Let  $w, w'$  and  $w''$  be any elements of  $W$ . Using the

above notations we define the following polynomial in  $\mathbf{t}=(t_i)_{i \in I}$ :

$$\begin{aligned} F(w, w', w'')(\mathbf{t}) &= F(w, w', w'')((t_i)_{i \in I}) \\ &= \sum_J \{ \prod_{j \in J_1} \vee \prod_{i \in J} (t_{s_j} - 1) \} \quad (J \in \mathcal{J}(s(w), w', w'')) \end{aligned}$$

where  $\mathbf{s}(w)=(s_I, s_{I-1}, \dots, s_1)$  is a reduced decomposition of  $w$ .

REMARK 2.13. The polynomial  $F(w, w', w'')(\mathbf{t})$  does not depend on the choice of a reduced decomposition  $\mathbf{s}(w)$  of  $w$ . This fact follows from Lemma 2.14 (b) given below.

**Lemma 2.14.** (a) *Let  $s, r$  be elements of  $S$ . Then  $q_s = q_r$  if  $s$  and  $r$  belong to the same equivalence class  $S_i$  defined in Notation 2.11.*

(b) *Put  $q_i = q_s$  if  $s \in S_i$ . Then  $[e_w e_{w'} : e_{w''}] = F(w, w', w'')((q_i)_{i \in I})$  for all elements  $w, w'$  and  $w''$  of  $W$ .*

(c)  $[e_w e_{w'} : e_{w''}] = [\hat{e}_w e_{w' w_s} : e_{w' w_s}]$  for all elements  $w$  and  $w'$  of  $W$ .

Proof. (a) This is a consequence of Theorem 2.6 (a) and Proposition 1.3 (d).

(b) We will prove this by the induction on the length  $l(w)$  of  $w$ . It is easy to see that (b) is true if  $w=1$ . Let  $\mathbf{s}(w)=(s_I, s_{I-1}, \dots, s_1)$  be a reduced decomposition of  $w \neq 1$ . Then  $l(s_I w) < l(w)$ . By the induction assumption,

$$e_{s_I w} e_{w'} = \sum_{v \in W} F(s_I w, w', v)((q_i)_{i \in I}) e_v.$$

Multiplying  $e_{s_I}$  from the left, we have

$$e_w e_{w'} = \sum_{v \in W} F(s_I w, w', v)((q_i)_{i \in I}) e_{s_I} e_v$$

by Theorem 2.6 (c).

Comparing this formula with (b) and using Theorem 2.6 (c), we see that it suffices to prove the following:

(1)  $F(w, w', w'')(\mathbf{t}) = F(s_I w, w', s_I w'')(\mathbf{t}) + (t_{s_I} - 1) F(s_I w, w', w'')(\mathbf{t})$  if  $l(s_I w'') < l(w'')$ .

(2)  $F(w, w', w'')(\mathbf{t}) = t_{s_I} F(s_I w, w', s_I w'')(\mathbf{t})$  if  $l(s_I w'') > l(w'')$ .

We first prove (1). Let  $J'=(j'_m, \dots, j'_0)$  be an element of  $\mathcal{J}(\mathbf{s}(s_I w), w', s_I w'')$ , where  $\mathbf{s}(s_I w)=(s_{I-1}, s_{I-2}, \dots, s_1)$ . Then  $J=(l, j'_m, \dots, j'_0)$  is an element of  $\mathcal{J}(\mathbf{s}(w), w', w'')$ . Next, let  $J''=(j''_n, \dots, j''_0)$  be an element of  $\mathcal{J}(\mathbf{s}(s_I w), w', w'')$ . Then  $J=J''$  is an element of  $\mathcal{J}(\mathbf{s}(w), w', w'')$ . In fact the condition in Notation 2.10 (c) is satisfied by the assumption that  $l(s_I w'') < l(w'')$ . Moreover, every element  $J \in \mathcal{J}(\mathbf{s}(w), w', w'')$  can be obtained from  $J' \in \mathcal{J}(\mathbf{s}(s_I w), s', s_I w'')$  or  $J'' \in \mathcal{J}(\mathbf{s}(s_I w), w', w'')$  in this manner. Hence, from the definition of

$F(w, w', w'')(t)$ , we obtain (1). To prove (2), we note that for any element  $J = (j_k, j_{k-1}, \dots, j_0)$  of  $\mathcal{J}(s(w), w', w'')$ ,  $j_k$  must be  $/$  and  $J' = (j_{k-1}, j_{k-2}, \dots, j_0)$  is an element of  $\mathcal{J}(s(s_i w), w', s_i w'')$ . This follows from the assumption that  $l(s_i w'') > l(w'')$ . The rest of the proof is similar to that of (1). The proof of (b) is over.

(c) By Theorem 2.6 (c) and (2.4), it is easy to see that for  $s \in S$  and  $w \in W$ ,

$$e_s e_{w w_s} = -q_s e_{s w w_s} \text{ if } l(sw) > l(w) \\ \text{and } e_s e_{w w_s} = -e_{s w w_s} + (q_s - 1) e_{w w_s} \text{ if } l(sw) < l(w).$$

By the almost same argument as in (a), we get

$$[\partial_w e_{w' w_s} e_{w'' w_s}] = E(w, w', w'')((q_i)_{i \in I}),$$

where  $E(w, w', w'')(t)$  is a polynomial in  $t = (t_i)_{i \in I}$  defined as follows:

$$E(w, w', w'')(t) \\ = \sum_J \{(-1)^{|J|} \prod_{j \in J_2} (-t_{s_j}) \prod_{j \notin J} (t_{s_j} - 1) \quad (J \in \mathcal{J}(s(w), w', w''))\}$$

where  $s(w) = (s_l, s_{l-1}, \dots, s_1)$  is a reduced decomposition of  $w$ .

Therefore, for the proof of (c) it suffices to show

$$(2.5) \quad \prod_{j \in J_1} t_{s_j} = \prod_{j \in J_2} t_{s_j}$$

for all  $J \in \mathcal{J}(s(w), w', w'')(w, w' \in W)$ . Let  $J = (j_k, j_{k-1}, \dots, j_0)$ . Then  $s_{j_k} s_{j_{k-1}} \dots s_{j_1} w' = w''$ . Hence (2.5) is a special case of Lemma 2.15 (b) below.

**Lemma 2.15.** (a) Let  $w$  be an element of  $W$ , and  $s(w) = (s_1, s_2, \dots, s_l)$  a reduced decomposition of  $w$ . Then the monomial  $t_w = t_{s_1} t_{s_2} \dots t_{s_l}$  is independent of the choice of reduced decomposition  $s(w)$ .

(b) Let  $(s_1, s_2, \dots, s_m)$  be a sequence of elements of  $S$ . Put  $w = s_1 s_2 \dots s_m$ . Then

$$t_w = t_{s_1}^{a_1} t_{s_2}^{a_2} \dots t_{s_m}^{a_m},$$

where  $a_i = 1$  or  $-1$  according as  $l(s_1 s_2 \dots s_{i-1}) < l(s_1 s_2 \dots s_i)$  or  $l(s_1 s_2 \dots s_{i-1}) > l(s_1 s_2 \dots s_i)$  respectively.

Proof. (a) This follows from [3: p. 16, Proposition 5.].

(b) We prove this by induction on  $m$ . If  $m = l$  the assertion is trivially true. Put  $w' = s_1 s_2 \dots s_{m-1}$ . Then by the induction assumption we have  $t_{w'} = t_{s_1}^{a_1} t_{s_2}^{a_2} \dots t_{s_{m-1}}^{a_{m-1}}$ . If  $l(w) = l(w' s_m) > l(w')$ ,  $t_w = t_{w'} t_{s_m}$  by part (a). If  $l(w) = l(w' s_m) < l(w')$ ,  $t_w = t_w t_{s_m}$  by part (a). In any case we have  $t_w = t_{s_1}^{a_1} t_{s_2}^{a_2} \dots t_{s_m}^{a_m}$ , as required.

### 3. Central isogenies and characters in $i[1_B|B \rightarrow G]$

The main purpose in this section is to prove Theorem 3.4. This result, which is of independent interest, will be used in §7.

First we recall some facts on central isogenies. References are [5] and [2; §2]. Let  $\odot$  and  $\odot'$  be connected semisimple linear algebraic groups defined over an algebraically closed field  $K$ ,  $33$  a Borel subgroup of  $\odot$ , and  $\mathfrak{T}$  a maximal torus of  $\odot$  contained in  $33$ . We also use other notations in §1. Assume that there exists a central isogeny  $\psi: \odot \rightarrow \odot'$ . Then the following statements are valid:

(3.1)  $\mathfrak{B}' = \psi(\mathfrak{B})$  is a Borel subgroup of  $\odot'$ .

(3.2)  $\mathfrak{T}' = \psi(\mathfrak{T})$  is a maximal torus of  $\odot'$  contained in  $\mathfrak{B}'$ .

(3.3) Let  $X(\mathfrak{T})$  and  $X(\mathfrak{T}')$  be character modules of  $X$  and  $X'$  respectively. Let  $\psi^*: X(\mathfrak{T}') \otimes_{\mathbb{Z}} \mathbb{Q} \rightarrow X(\mathfrak{T}) \otimes_{\mathbb{Z}} \mathbb{Q}$  be the  $\mathbb{Q}$ -linear extension of the transpose of  $\psi|_{\mathfrak{T}}$ . Then  $\psi^*$  is injective and  $\psi^*(X(\mathfrak{T}')) \subset X(\mathfrak{T})$  and  $\psi^*(\Sigma') = \Sigma$ , where  $\Sigma'$  is the root system of  $\odot'$  with respect to  $\mathfrak{T}'$ .

(3.4)  $\mathfrak{U}' = \psi(\mathfrak{U})$  is the unipotent radical of  $\mathfrak{B}'$  and  $\psi|_{\mathfrak{U}}: \mathfrak{U} \rightarrow \mathfrak{U}'$  is an isomorphism.

(3.5) For  $\alpha \in \Sigma$ ,  $\text{tff} \neq \psi(\mathfrak{U}_{\alpha})$  is the root subgroup of  $\odot'$  with respect to  $\alpha'$  corresponding to  $\alpha' = \psi^{*-1}(\alpha)$ .

(3.6)  $\mathfrak{N}' = \psi(\mathfrak{N})$  is the normalizer of  $37$  and  $\psi$  induces an isomorphism  $\psi$  from the Weyl group  $\mathfrak{W} = \mathfrak{N}/\mathfrak{T}$  of  $\odot$  onto the one  $\mathfrak{W}' = \mathfrak{N}'/\mathfrak{T}'$  of  $\odot'$ . If  $w_{\alpha}(\alpha \in \Sigma)$  is a reflection in  $W$ , then  $\psi(w_{\alpha}) = w_{\alpha'}$ , where  $\alpha' = \psi^{*-1}(\alpha)$ .

In the following, let  $\odot$ ,  $33$ ,  $\sigma$ ,  $G$ ,  $B$ , etc. be as in §1.

**Theorem 3.1.** (a) Let  $\tilde{\odot}$  be the simply connected group of the same type as  $\odot$ , and let  $\psi: \tilde{\odot} \rightarrow \odot$  be a central isogeny. Then there exists a unique surjective endomorphism  $\sigma$  of  $\tilde{\odot}$  such that (1)  $\psi \circ \sigma = \sigma \circ \psi$  and (2)  $|\tilde{\odot}_{\sigma}| < \infty$ .

(b) Let  $\odot'$  be the adjoint group of the same type as  $\odot$ , and let  $\psi: \odot \rightarrow \odot'$  be a central isogeny. Then there exists a unique surjective endomorphism  $\sigma'$  of  $\odot'$  such that (1)  $\psi \circ \sigma = \sigma' \circ \psi$  and (2)  $|\odot'_{\sigma'}| < \infty$ .

**Proof.** (a) First we remark that  $\sigma: \odot \rightarrow \odot$  is an isogeny because of the fact that  $\ker \sigma$  is trivial ([20; 7.1]). The unique existence of an isogeny  $\sigma: \tilde{\odot} \rightarrow \tilde{\odot}$  satisfying (1) follows from [20; 9.16]. Next, we prove that (1) implies (2). Let  $x \in \tilde{\odot}_{\sigma}$ . By (1),  $\psi(x) \in \tilde{\odot}_{\sigma}$ . Because  $\ker \psi$  and  $\odot_{\sigma}$  are finite,  $\tilde{\odot}_{\sigma}$  is finite also.

(b) The uniqueness of  $\sigma$  follows from (1) and the surjectivity of  $\psi$ . We

prove the existence of an isogeny  $\sigma'$  satisfying (1). Since  $\mathfrak{G}'$  is adjoint,  $X(\mathfrak{X}')$  is the  $\mathbf{Z}$ -module generated by  $\Sigma'$ . By (3.3)  $\psi^*(X(T'))$  is the  $\mathbf{Z}$ -module  $\Sigma_{\mathbf{Z}}$  generated by  $\Sigma$ . Since  $\sigma^*$  preserves  $\Sigma_{\mathbf{Z}}$  by Lemma 1.1, we can define an automorphism  $\gamma$  of  $X(\mathfrak{X}')$  by  $\gamma = (\psi^*)^{-1} \circ \sigma^* \circ \psi^*$ . From Lemma 1.1 we have  $\gamma(\alpha') = q(\rho^{-1} \circ \psi^*(\alpha'))(\psi^*)^{-1} \circ \rho^{-1} \circ \psi^*(\alpha')$  for all  $\alpha' \in \Sigma'$ . Thus the existence of an isogeny  $\sigma'$  satisfying (1) follows from [5; 18-07, Proposition 5]. Next, we prove that (1) implies (2). Assume that  $\mathfrak{G}'_{\sigma'}$  is infinite. From the surjectivity of  $\psi$  and the finiteness of  $\ker \psi$ , we see that the set  $A = \{x \in G \mid \sigma' \circ \psi(x) = \psi(x)\}$  is infinite. Since  $A = \{x \in G \mid x^{-1}\sigma(x) \in \ker \psi\}$  by (1) and  $\ker \psi$  is finite, this fact implies that  $A_c = \{x \in G \mid x^{-1}\sigma(x) = c\}$  is infinite for some  $c \in \ker \psi$ . If  $y$  and  $z$  are elements of  $A_c$  we have  $y^{-1}\sigma(y) = z^{-1}\sigma(z)$ , i.e.  $yz^{-1} \in \mathfrak{G}_{\sigma}$ . Hence  $\mathfrak{G}_{\sigma}$  must be infinite, a contradiction. This proves (b).

Let  $\psi: \mathfrak{G} \rightarrow \mathfrak{G}'$  be a central isogeny and  $\sigma'$  is a surjective endomorphism of  $\mathfrak{G}'$  such that  $\psi \circ \sigma = \sigma' \circ \psi$ . By the proof of Theorem 3.1 (b), such  $\sigma$  is unique and  $G' = \mathfrak{G}'_{\sigma'}$  is finite. In the following, the endomorphism  $\sigma'$  is denoted simply by  $\sigma$ .

**Theorem 3.2.** *Let the notations be as above.*

- (a)  $\mathfrak{B}' = \psi(\mathfrak{B})$  and  $\mathfrak{X}' = \psi(\mathfrak{X})$  is fixed by  $\sigma$ . (In the following, we write  $B'$  and  $T'$  for  $\mathfrak{B}'_{\sigma'}$  and  $\mathfrak{X}'_{\sigma'}$  respectively.)
- (b)  $\psi^*$  induces an isomorphism, which is also denoted by  $\psi^*$ , from the root system  $R'$  associated to  $(G', T')$  (see Proposition 1.2) onto the one  $R$  associated to  $(G, T)$ .
- (c)  $\psi$  induces an isomorphism between  $U$  and  $U' = \mathfrak{U}'_{\sigma}$ .
- (d) If  $a \in R$  then  $\psi(U_a) = U'_{a'} = (\prod_{\alpha \in a'} \mathfrak{U}'_{\alpha})_{\sigma}$ , where  $a' = \psi^{*-1}(a)$ .
- (e)  $\psi$  induces an isomorphism, which is also denoted by  $\psi$ , from the Weyl group  $W = \mathfrak{N}_{\sigma}/\mathfrak{Z}_{\sigma}$  of  $G$  onto the one  $W' = \mathfrak{N}'_{\sigma'}/\mathfrak{Z}'_{\sigma'}$  of  $G'$ . If  $a \in R$ , then  $\psi(w_a) = w_{a'}$ , where  $a' = \psi^{*-1}(a)$ .

*Proof.* These are easy consequences of the properties (3.1)~(3.6) of  $\psi$ , the assumption " $\psi \circ \sigma = \sigma \circ \psi$ " and the definitions (of  $R, W, U, \dots$ ).

**Corollary 3.3.** *Let the notations be as in Theorem 3.2. The Hecke algebras  $H_{\mathcal{C}}(G, B)$  and  $H_{\mathcal{C}}(G', B')$  are isomorphic by the natural mapping:  $e_w \rightarrow e_{\psi(w)}$  ( $w \in W$ ).*

*Proof.* This follows from Theorem 3.2 and Theorem 2.6.

From Theorem 3.2 and Corollary 3.3, we may identify  $R, W, U, U_a$  ( $a \in R$ ) and  $H_{\mathcal{C}}(G, B)$  with  $R', W', U', U'_{a'}$  ( $a' = \psi^{*-1}(a)$ ) and  $H_{\mathcal{C}}(G', B')$  respectively. Put  $H = H_{\mathcal{C}}(G, B) = H_{\mathcal{C}}(G', B')$ . Let  $X(G, B)$  (resp.  $X(G', B')$ ) be the set of irreducible characters of  $G$  (resp.  $G'$ ) contained in  $i[1_B \setminus B \rightarrow G]$  (resp.  $i[1_{B'} \setminus B' \rightarrow G']$ ). Let  $\%$  be an element of  $X(G, 5)$ , and  $h$  a primitive idempotent in  $H$  such that

the left  $\mathcal{C}[G]$ -module  $\mathcal{C}[G]h$  affords  $\mathcal{X}'$  (see Lemma 2.2). Let  $\mathcal{X}'$  be an element of  $X(G', B')$  afforded by the left  $\mathcal{C}[G']$ -module  $\mathcal{C}[G']h$ . The correspondence:  $\mathcal{X} \rightarrow \mathcal{X}'$  from  $X(G, B)$  into  $X(G', B')$  is clearly well defined and bijective.

Now, we can state the main result in this section:

**Theorem 3.4.** *Let the notations be as above. For  $h \in H$ , let  $f_h$  be the class function on  $G$  defined by (2.2) and  $f'_h$  the class function on  $G'$  defined in the same manner.*

- (a) *For any  $h \in H$ ,  $f_h$  equals  $f'_h$  identically on  $U (= U')$ .*
- (b) *//  $\mathcal{X} \in X(G, B)$  and  $\mathcal{X}' \in X(G', B')$  corresponds to each other in the sense mentioned above,  $f_h$  equals  $f'_h$  identically on  $U (= U')$ .*

For the proof, we require some preliminary results.

**Lemma 3.5.** *Let  $\mathcal{B}$  be the set of all Borel subgroups of  $G$ , and for  $w \in W$ , let  $\mathcal{O}_w$  be the set of all couples  $(B_1, B_2) \in \mathcal{B} \times \mathcal{B}$  which are  $G$ -conjugate to the pair  $(B, wBw^{-1})$ . Then*

$$\mathcal{B} \times \mathcal{B} = \bigcup_{w \in W} \mathcal{O}_w \quad (\text{disjoint union}).$$

*Proof.* Let  $(B_1, B_2)$  be an arbitrary element of  $\mathcal{B} \times \mathcal{B}$ , and let  $g_1, g_2$  be elements of  $G$  such that  $B_i = g_i B g_i^{-1}$  ( $i=1, 2$ ). Then, the couple  $(B_1, B_2)$  is conjugate to  $(B, g_1^{-1} g_2 B g_2^{-1} g_1)$ . By the Bruhat decomposition of  $G$ , there exist  $b, b' \in B$  and  $w \in W$  such that  $g_1^{-1} g_2 = bw b'$ . Hence  $(B_1, B_2)$  is conjugate to  $(B, wBw^{-1})$ , i.e. contained in  $\mathcal{O}_w$ . Thus we have  $\mathcal{B} \times \mathcal{B} = \bigcup_{w \in W} \mathcal{O}_w$ . Next, we prove the disjointness of this decomposition. Let  $w$  and  $w'$  be distinct elements of  $W$ . Assume that  $\mathcal{O}_w \cap \mathcal{O}_{w'} \neq \emptyset$ . Then  $(B, wBw^{-1})$  and  $(B, w'Bw'^{-1})$  are conjugate to each other. Hence there exist an element  $x$  of  $G$  such that  $x B x^{-1} = B$  and  $x w B w^{-1} x^{-1} = w' B w'^{-1}$ . Because  $B$  is its own normalizer in  $G$ , we have  $x \in B$  and  $w'^{-1} x w \in B$ . Hence  $B w B \ni w'$ , a contradiction. Therefore, the decomposition is disjoint.

**Lemma 3.6.** *Let the notations be as in Lemma 3.5. For  $w \in W$  and  $g \in G$ , define the subset  $F_{g,w}$  of  $\mathcal{B}$  by*

$$F_{g,w} = \{B_1 \in \mathcal{B} \mid (g B_1 g^{-1}, B_1) \in \mathcal{O}_w\}.$$

*Then*

- (a)  $\mathcal{B} = \bigcup_{w \in W} F_{g,w}$  (disjoint union).
- (b)  $|F_{g,w}| = |G|^{-1} |B|^{-1} |B w B \cap C_G(g^{-1})| |C_G(g^{-1})|^{-1} = f_{e_w}(g)$  for all  $g \in G$ .

*Proof.* Part (a) follows from Lemma 3.5. We shall prove (b). Let  $g$  be a fixed element of  $G$ , and for  $w \in W$ , let  $A_w$  be the set of all  $(B', g') \in \mathcal{B} \times C_G(g)$  such that  $B' \in F_{g',w}$ . Then we have

$$A_w = \bigcup_{B_1 \in \mathcal{B}} A_w(B_1),$$

where  $A_w(B_1) = A_w \cap (\{B_1\} \times C_G(g))$ . Let  $B_1 = x_1 B x_1^{-1}$  with  $x_1 \in G$ . Assume that  $A_w(B_1) \ni (B_1, g')$ , i.e.  $(g' x_1 B x_1^{-1} g'^{-1} x_1 B x_1^{-1}) \in \mathcal{O}_w$ . This is the case if and only if  $g'^{-1} \in x_1 (B w B) x_1^{-1}$ . Hence  $|A_w(B_1)|$  is equal to  $|x_1 (B w B) x_1^{-1} \cap C_G(g^{-1})| = |B w B \cap C_G(g^{-1})|$ . Therefore

$$(3.7) \quad |A_w| = |G| |B|^{-1} |B w B \cap C_G(g^{-1})|.$$

On the other hand, we have

$$A_w = \bigcup_{g_1 \in C_G(g)} (F_{w, g_1} \times \{g_1\})$$

Clearly  $|F_{w, g_1}| = |F_w(g)|$  for  $g_1 \in C_G(g)$ . Hence

$$(3.8) \quad |A_w| = |F_{w, g}| |C_G(g)| = |F_{w, G}| |C_G(g^{-1})|.$$

From (3.7) and (3.8), we have

$$|F_{w, G}| = |G| |B|^{-1} |B w B \cap C_G(g^{-1})| |C_G(g^{-1})|^{-1},$$

as required.

REMARK 3.7. Let  $G$  be a (finite or infinite) group with a  $BN$ -pair  $(B, N)$ , and  $W$  its Weyl group. Then, by the same arguments as above, we get a decomposition of  $\mathcal{B} = C_G(B)$ :

$$\mathcal{B} = \bigcup_{w \in W} F_{g, w}.$$

Proof of Theorem 3.4.

(a) It suffices to prove the assertion for  $h = e_w$  ( $w \in W$ ). Let  $B_1$  be a Borel subgroup. By Lemma 1.12,  $B_1$  can be written uniquely in the form  $B_1 = u_1 w_1 B w_1^{-1} u_1^{-1}$  with  $w_1 \in W$  and  $u_1 \in U_{w_1^{-1}}^-$ . Let  $u$  be an element of  $U$  and assume that  $B_1$  is contained in  $F_{u, w}$ , i.e.  $(u u_1 w_1 B w_1^{-1} u_1^{-1} u^{-1}, u_1 w_1 B w_1^{-1} u_1^{-1}) \in \mathcal{O}_w$ . This is the case if and only if  $w_1^{-1} u_1^{-1} u^{-1} u_1 w_1 \in B w B$ . Hence

$$|F_{u, w}| = \sum_{w_1 \in W} |\{u_1 \in U_{w_1^{-1}}^- | w_1^{-1} u_1^{-1} u^{-1} u_1 w_1 \in B w B\}|.$$

By Theorem 3.2, the right hand side of this formula remains invariant when  $G, B, W$  etc. are replaced with  $G', B', W'$ , etc. Since  $f_{e_w}(u) = |F_{u, w}|$  by Lemma 3.6, the proof of part (a) is over.

(b) Let  $h \in H (= H_c(G, B) = H_c(G', B'))$  be a primitive idempotent corresponding to  $\%$  and  $\mathcal{X}'$ . Then  $\mathcal{X} = f_h$  and  $\mathcal{X}' = f_{h'}$  by Lemma 2.4. This fact, together with part (a), implies (b).



#### 4. Unipotent elements in $(B, B)$ -double cosets

Let  $P$  be a parabolic subgroup of a finite Chevalley group  $G$ . By Lemma 1.12,  $P$  can be written uniquely in the form  $P = uw'P_Xw'^{-1}u^{-1}$ , where  $X$  is a subset of  $S$ ,  $w'$  is a  $(\phi, X)$ -reduced element of  $W$  and  $u$  is an element of  $U_{\overline{w}^{-1}}$ . Let  $G^1$  be the set of all unipotent elements of  $G$ . The main purpose of this section is to prove the following

**Theorem 4.1.** *Let  $P = uw'P_Xw'^{-1}u^{-1}$  as above. For an arbitrary element  $w$  of  $W$ , the following formula holds.*

$$|G^1 \cap BwB \cap P| = |BwB \cap w'w_X U_{w'w_X}^{-1} w_X^{-1} w'^{-1}| |U|.$$

Before proving the theorem we state some corollaries which can be deduced easily from it.

**Corollary 4.2.**  $|G^1 \cap BwB| = |w_S U w_S^{-1} \cap BwB| |U|.$

Proof. Put  $P = G$  in the above theorem. In this case,  $X = S$  and  $w' = 1$ . Hence we have the desired formula.

**Corollary 4.3.** *Let  $P$  be as in Theorem 4.1, and let  $F(w_1, w_2, w_3)(t) (w_i \in W, i = 1, 2, 3)$  be polynomials defined in § 2. Then  $|G^1 \cap BwB \cap P| = F(w, w'w_X, w'w_X)((q_i)_{i \in I}) \prod_{a \in R^+(w')} q_a$ . In particular,  $|G^1 \cap BwB| = F(w, w_S, w_S)((q_i)_{i \in I}) \prod_{a > 0} q_a$ .*

Proof. This follows from Theorem 4.1, Theorem 2.6(b), Lemma 2.14(b) and Lemma 1.4(b).

**Corollary 4.4.**  $|G^1| = |U|^2.$

Proof. From the Bruhat decomposition of  $G$  we have  $|G^1| = \sum_{w \in W} |G^1 \cap BwB|$ . Applying Corollary 4.2 we get  $|G^1| = \sum_{w \in W} |w_S U w_S^{-1} \cap BwB| |U|$ , which equals  $|U|^2$ . This proves the corollary.

REMARK 4.5. The Corollary 4.4 was originally proved by R. Steinberg [20]. We shall give another, more direct, proof of Corollary 4.4 in Remark 4.9.

The proof of Theorem 4.1 requires several lemmas.

**Lemma 4.6.** *Let  $X$  be a subset of  $S$ , and  $\xi_X$  the character of the parabolic subgroup  $P_X$  of  $G$  defined by*

$$\xi_X = \sum_{Y \subset X} (-1)^{|X|} i[1_{P_Y} | P_Y \rightarrow P_X],$$

where  $1_{P_Y}$  is the trivial character of  $P_Y$ . Then the following statements are valid:

(a)  $\xi_X$  is an irreducible character of the group  $P_X$ .

$$(b) \quad \xi_X(x) = \begin{cases} |U_{w_X}^-| & \text{if } x \in V_X, \\ 0 & \text{if } x \in U - V_X. \end{cases}$$

Proof. (a) Let  $N_X$  be the subgroup of  $P_X$  generated by  $T$  and  $\{n_w \mid w \in W_X\}$ . Then  $(B, N_X)$  is a  $BN$ -pair in  $P_X$ . Hence (a) follows from [6; Theorem 2].

(b) Applying [6; (3.5)] to  $P_X$  we obtain  $\xi_X(x) = i[1_{T \cdot V_X} T V_X \rightarrow B](x)$  for  $x \in B$ . Since  $V_X = U_{w_X}^+$  is normal in  $B$  (see Lemma 1.7),  $\xi_X(x) = 0$  if  $x \in U - V_X$  and  $\xi_X(x) = |B| |T V_X|^{-1} = |U| |U_{w_X}^+|^{-1} = |U_{w_X}^-|$  for  $x \in V_X$ , where we used Lemma 1.4(a). This proves part (b).

DEFINITION 4.7. The irreducible character  $\xi_X$  of the group  $P_X$  is called the *Steinberg character* of  $P_X$ .

**Lemma 4.8.** *Let  $X \subset S$ . Then*

$$1_{P_X} = \sum_{Y \subset X} (-1)^{|Y|} i[\xi_Y | P_Y \rightarrow P_X]$$

Proof. By Lemma 4.6 and transitivity of induction, the right hand side of the above formula equals

$$\sum_{Y \subset X} (-1)^{|Y|} \{ \sum_{Z \subset Y} (-1)^{|Z|} i[1_{P_Z} | P_Z \rightarrow P_X] \}.$$

The coefficients of  $i[1_{P_Z} | P_Z \rightarrow P_X]$  in this expression is

$$\{ \sum_{Z \subset Y \subset X} (-1)^{|Y|} \} (-1)^{|Z|},$$

which is equal to 0 if  $Z \neq X$  and 1 if  $Z = X$ . This proves the lemma.

REMARK 4.9. Here we show that Corollary 4.4 follows easily from Lemma 4.6 and Lemma 4.8. As already remarked in [6], Lemma 4.6 with  $X = S$  implies

$$(4.1) \quad |U| = \sum_{Y \subset S} (-1)^{|Y|} |G| |P_Y|^{-1}.$$

Let  $\theta$  be the class function on  $G$  which is defined to be 1 on  $G^1$  and 0 outside of it. Then using Lemma 4.8 with  $X = S$  and Frobenius reciprocity we obtain

$$(\theta, 1_G)_G = \sum_{X \subset S} (-1)^{|X|} (\theta | P_X, \xi_X)_{P_X}.$$

Hence, by Lemma 4.6 (b),

$$(4.2) \quad |G|^{-1} |G^1| = \sum_{Y \subset S} (-1)^{|Y|} |P_Y|^{-1} |U|.$$

Combining (4.1) and (4.2) we obtain  $|G^1| = |U|^2$ , as required.

**Lemma 4.10.** *Let  $X$  and  $Y$  be subsets of  $S$  such that  $Y \subset X$ . For  $x \in G$ , let*

$$\mathcal{P}_{X,Y}(x) = \{P' \in C_{P_X}(P_Y) \mid V_{P'} \ni x\}.$$

Then

$$|\mathcal{P}_{X,Y}(x)| = \begin{cases} |U_{w_Y}^-|^{-1} i[\xi_Y | P_Y \rightarrow P_X](x) & \text{if } x \in G^1 \cap P_X \\ 0 & \text{if } x \in G - G^1 \cap P_X. \end{cases}$$

Proof. It is clear that  $|\mathcal{P}_{X,Y}(x)|=0$  if  $x \in G - G^1 \cap P_X$ . Let  $x$  be an element of  $G^1 \cap P_X$ . Let  $\mathcal{A}_{X,Y}(x)$  be the set of all couples  $(P', x') \in C_{P_X}(P_Y) \times C_{P_X}(x)$  such that  $V_{P'} \ni x'$ . Then

$$\mathcal{A}_{X,Y}(x) = \bigcup_{P_1} \mathcal{A}_{X,Y}(x, P_1) \quad (P_1 \in C_{P_X}(P_Y)),$$

where  $\mathcal{A}_{X,Y}(x, P_1) = \mathcal{A}_{X,Y}(x) \cap (\{P_1\} \times C_{P_X}(x))$ .

Clearly  $|\mathcal{A}_{X,Y}(x, P_1)| = |\mathcal{A}_{X,Y}(x, P_Y)| = |V_Y \cap C_{P_X}(x)|$ . Thus we have

$$(4.3) \quad |\mathcal{A}_{X,Y}(x)| = |P_X| |P_Y|^{-1} |V_Y \cap C_{P_X}(x)|.$$

On the other hand, we have

$$\mathcal{A}_{X,Y}(x) = \bigcup_{x_1} (P_{X,Y}(x_1) \times \{x_1\}) \quad (x_1 \in C_{P_X}(x)).$$

Hence

$$(4.4) \quad |\mathcal{A}_{X,Y}(x)| = |\mathcal{P}_{X,Y}(x)| |C_{P_X}(x)|.$$

By (4.3) and (4.4),

$$|\mathcal{P}_{X,Y}(x)| = |P_X| |P_Y|^{-1} |V_Y \cap C_{P_X}(x)| |C_{P_X}(x)|^{-1}.$$

Hence, using Lemma 4.6 (b) we obtain

$$|\mathcal{P}_{X,Y}(x)| = |U_{w_Y}^-|^{-1} i[\xi_Y | P_Y \rightarrow P_X](x),$$

as required.

Proof of Theorem 4.1.

Since  $G^1 \Pi BwB$  is invariant under conjugations by elements of  $B$ , we may assume that  $u=l$ . Then from Lemma 4.8 and Lemma 4.10 the left hand side of the equality stated in the theorem is

$$(4.5) \quad \sum_{Y \subset X} (-1)^{|Y|} \sum_{P'} |w' V_{P'} w'^{-1} \cap BwB| |U_{w_Y}^-|,$$

where the second sum is taken over the set  $C_{P_X}(P_Y)$  for each  $Y$ . By Lemma 1.12 each element  $P'$  of  $C_{P_X}(P_Y)$  can be written uniquely in the form  $P' = u' w'' P_Y w''^{-1} u'^{-1}$ , where  $w''$  is a  $(\phi, Y)$ -reduced element of  $W_X$  and  $u'$  is an element of  $U_{w''^{-1}}$ . Thus the expression (4.5) is equal to

$$(4.6) \quad \sum_{Y \subset X} (-1)^{|Y|} \sum_{w''} |w' w'' V_Y w''^{-1} w'^{-1} \cap BwB| |U_{w''^{-1}}^-| |U_{w_Y}^-|,$$

where the second sum is over the set of all  $(\phi, Y)$ -reduced elements of  $W_X$  for each  $Y$ . The summand corresponding to  $Y$  and  $w''$  is

$$(4.7) \quad |w' w'' (U \cap w_Y U w_Y^{-1}) w''^{-1} w'^{-1} \cap BwB| |U_{w''^{-1}}^-| |U_{w_Y}^-|.$$

Using Lemma 1.4 and the fact that  $w'w''$  is  $(\phi, Y)$ -reduced the first factor in (4.7) can be written as

$$\begin{aligned} & |(w'w''U_{w'w''}^{-1}w'^{-1}w'^{-1})(w'w''w_YUw_Y^{-1}w''^{-1}w'^{-1} \cap U) \cap BwB| \\ &= |w'w''U_{w'w''}^{-1}w'^{-1}w'^{-1} \cap BwB| |w'w''w_YUw_Y^{-1}w''^{-1}w'^{-1} \cap U|. \end{aligned}$$

We have also  $|w'w''w_YUw_Y^{-1}w''^{-1}w'^{-1} \cap U| |U_{w''^{-1}}| |U_{w_Y}^{-1}| = |U_{w'}^{+}|$  from Lemma 1.5 and Theorem 2.6 (e). Hence (4.6) is equal to

$$(4.8) \quad \sum_{Y \subset X} (-1)^{|Y|} \sum_{w''} |w'w''U_{w'w''}^{-1}w'^{-1}w'^{-1} \cap BwB| |U_{w'}^{+}|.$$

For each  $w''$ , let  $X(w'') = \{s \in X \mid l(w's) > l(w'')\}$ . Then the coefficient of  $|w'w''U_{w'w''}^{-1}w'^{-1}w'^{-1} \cap BwB| |U_{w'}^{+}|$  in (4.8) is

$$\sum_{Y \subset X(w'')} (-1)^{|Y|},$$

which is 0 if  $X(w'') \neq \phi$  and 1 if  $X(w'') = \phi$ , i.e.  $w'' = w_X$ . Hence (4.8) is equal to

$$|w'w_XU_{w'w_X}^{-1}w_X^{-1}w'^{-1} \cap BwB| |U_{w'}^{+}|.$$

This proves Theorem 4.1.

## 5. Unipotent elements and characters in $i[1_B|B \rightarrow G]$

The purpose of this section is to prove the following

**Theorem 5.1.** *Let  $\chi$  be an irreducible character of a finite Chevalley group  $G$  contained in the induced character  $i[1_B|B \rightarrow G]$ . Let  $X$  be a subset of  $S$ , and  $\xi_X$  the Steinberg character of  $P_X$ . Then*

$$\sum_{u \in G^1} \chi(u) i[1_{P_X}|P_X \rightarrow G](u) = \sum_{u \in G^1} \hat{\chi}(u) i[\xi_X|P_X \rightarrow G](u),$$

where  $G^1$  is the set of all unipotent elements in  $G$  and  $\hat{\chi}$  is the dual (see Definition 2.8) of  $\chi$ .

Consider the special case where  $P=G$ . Then using Lemma 4.6 we obtain

**Corollary 5.2.** *Let the notations be as in Theorem 5.1. Then*

$$\sum_{u \in G^1} \chi(u) = |U| \hat{\chi}(1).$$

**REMARK 5.3.** Let  $t$  be a semisimple element of  $G$ . Denote by  $Z^1(t)$  the set of unipotent elements of  $G$  which commute with  $t$ . It is likely that the following formula holds for any irreducible characters  $\chi_1$  and  $\chi_2$  of  $G$  which are contained in  $i[1_B|B \rightarrow G]$ :

$$(5.1) \quad \sum_{u \in Z^1(t)} \chi_1(tu) \chi_2(tu) = \sum_{u \in Z^1(t)} \hat{\chi}_1(tu) \hat{\chi}_2(tu).$$

We shall state some evidences for (5.1). (1) Theorem 5.1 follows from the formula (5.1) with  $t=l$ . (2) Let  $t$  be an element of  $T$  such that its centralizer coincides with  $\Gamma$ . From a result of C.W. Curtis [7] we have  $\chi(t)=\zeta_x(1)$  for any  $\%$  in  $i[1_B|B \rightarrow G]$ , where  $\zeta_x$  is the character of  $W$  corresponding to  $\%$  by a fixed isomorphism between  $C[W]$  and  $H_c(G, B)$  (see [19; Theorem 48]). Since  $\zeta_x(w)=(-1)^{l(w)}\zeta_x(w)$  or we  $W$ , (5.1) holds in this case. (3) When  $G$  is of type  $A_n$  and  $G=\mathfrak{G}_\sigma$  is untwisted, (5.1) can be proved for an arbitrary semi-simple element  $t$  using a result of J.A. Green [11] (see also [13]).

Now we turn to the proof of Theorem 5.1. First we prepare some lemmas.

**Lemma 5.4.** (a) *Let  $X$  be a subset of  $S$ . Then  $X^* = \{x^* = w_S x w_S \mid w \in X\}$  is also a subset of  $S$ .*

(b) *Let  $X$  and  $X^*$  be as above. An element  $w$  of  $W$  is  $(\varphi, X)$ -reduced if and only if  $w w_X w_S$  is  $(\varphi, X^*)$ -reduced.*

**Proof.** (a) This appears in [3; p. 43, Ex. 22].

(b) Since  $(X^*)^* = X$  and  $w_X w_S w_X^* w_S = 1$ , it suffices to prove the if-part. Assume that  $w w_X w_S$  is  $(\varphi, X^*)$ -reduced. Then,  $l(w w_X w_S w_X^* x^*) = l(w w_X w_S) + l(w_X^* x^*)$  for all  $x \in X$ . Hence, for all  $x \in X$ ,  $l(w x w_S) = l(w w_X w_S) + l(w_X^*) - l = l(w w_X w_S w_X^*) - 1 = l(w w_S) - 1$ . Therefore  $l(w x) = l(w) + 1$  for all  $x \in X$ . Thus  $w$  is  $(\varphi, X)$ -reduced.

**Lemma 5.5.** *Let  $X$  and  $X^*$  be as in Lemma 5.4. Let  $w'$  be a  $(\varphi, X)$ -reduced element of  $W$ . Put  $P = w' P_X w'^{-1}$  and  $P^* = w' w_X w_S P_{X^*} w_S^{-1} w_X^{-1} w'^{-1}$ . Then, for an arbitrary element  $w$  of  $W$ , the following formula holds.*

$$|G \cap B w B \cap P| |U_{w'}^-| = \sum_{v \in W} [\hat{e}_w : e] |B v B \cap V_{P^*}| |U_w^+|.$$

**Proof.** By Theorem 4.1, the left hand side of the above formula is equal to

$$|B w B \cap w' w_X w_S U_{w'}^- w_X^{-1} w'^{-1} \cap U|.$$

By Theorem 2.6 (b) and Lemma 2.14(c), this is equal to

$$\begin{aligned} & [e_w e_{w' w_X} : e_{w' w_X}] \hat{U}^+ = [\hat{e}_w e_{w_X w_S} : e_{w' w_X w_S}] \hat{U}^+ \\ & = \sum_{v \in W} [\hat{e}_w : e_v] [e_v e_{w' w_X w_S} : e_{w' w_X w_S}] \hat{U}^+ \\ & = \sum_{v \in W} [\hat{e}_w : e_v] |B v B \cap w' w_X w_S U_{w'}^- w_X^{-1} w'^{-1} \cap U^-| |U|. \end{aligned}$$

On the other hand

$$\begin{aligned} V_{P^*} &= w' w_X w_S V_{X^*} w_S^{-1} w_X^{-1} w'^{-1} \\ &= w' w_X w_S (U \cap w_S w_X w_S U w_S^{-1} w_X^{-1} w_S^{-1}) w_S^{-1} w_X^{-1} w'^{-1} \\ &= w' w_X w_S U w_S^{-1} w_X^{-1} w'^{-1} \cap w' w_S U w_S^{-1} w'^{-1} \\ &= (w' w_X w_S U w_S^{-1} w_X^{-1} w'^{-1} \cap U^-) (w' w_S U w_S^{-1} w'^{-1} \cap U), \end{aligned}$$

where we used Lemma 1.4. Hence we have

$$|BvB \cap V_{P^*}| = |BvB \cap w'w_Xw_S U w_S^{-1}w_X^{-1}w'^{-1} \cap U^-| |U_{w'}^-|.$$

Therefore

$$|G^1 \cap BwB \cap P \cap U_{w'}^-| = \sum_{v \in W} [\hat{e}_w : e_v] |BvB \cap V_{P^*}| |U| |U'|^{-1}.$$

Since  $|U| |U_{w'}^-|^{-1} = |U_{w'}^+|$  by Lemma 1.4 (a), the proof of Lemma 5.5 is over.

**Lemma 5.6.** (a) For  $w \in W$ , let  $w^* = w_S w w_S^{-1}$ . Then  $|BwB \cap C| = |Bw^*B \cap C|$  for any conjugacy class  $C$  of  $G$ .

(b) Let  $X$  and  $X^*$  be as in Lemma 5.4 (a). Then  $i[\xi_X | P_X \rightarrow G] = i[\xi_{X^*} | P_{X^*} \rightarrow G]$ .

Proof. (a) By Theorem 2.6 (c),  $e_{w_S} e_w (e_{w_S})^{-1} = e_{w^*} e_{w_S w^{-1}} e_w (e_{w_S w^{-1}} e_w)^{-1} = e_{w^*}$ . Hence, by Lemma 2.5 we get  $f_{e_w} = f_{e_{w^*}}$ , from which (a) follows.

(b) By Lemma 4.6 and the definition of  $Y^*$  for  $Y \subset S$ ,

$$i[\xi_X | P_X \rightarrow G] = \sum_{Y \subset X} (-1)^{|Y|} i[1_{P_Y} | P_Y \rightarrow G]$$

$$\text{and } i[\xi_{X^*} | P_{X^*} \rightarrow G] = \sum_{Y \subset X} (-1)^{|Y^*|} i[1_{P_{Y^*}} | P_{Y^*} \rightarrow G].$$

Hence, for the proof of (b) it suffices to prove

$$(5.2) \quad i[1_{P_Y} | P_Y \rightarrow G] = i[1_{P_{Y^*}} | P_{Y^*} \rightarrow G]$$

for  $Y \subset S$ . By the Bruhat decomposition of  $P_Y$  and  $P_{Y^*}$ , we have

$$|P_Y \cap C_G(x)| = \sum_{w \in W_Y} |BwB \cap C_G(x)|$$

$$\text{and } |P_{Y^*} \cap C_G(x)| = \sum_{w \in W_Y} |Bw^*B \cap C_G(x)|$$

for any  $x \in G$ . Hence  $|P_Y \cap C_G(x)| = |P_{Y^*} \cap C_G(x)|$  by part (a). This fact, together with the definition of induced characters, implies (5.2). The proof of (b) is over.

**Lemma 5.7.** Let  $X$  be a subset of  $S$ ,  $w$  an element of  $W$ , and  $x$  an element of  $G$ . Then

$$(a) \quad f_{e_w}(x) i[\xi_X | P_X \rightarrow G](x) |C_G(x)| \\ = |G| |B|^{-1} \sum_{P' \in \mathcal{C}_G(P_X)} |BwB \cap V_{P'} \cap C_G(x)| |U_{w_X}^-|$$

$$(b) \quad f_{e_w}(x) i[1_{P_X} | P_X \rightarrow G](x) |C_G(x)| \\ = |G| |B|^{-1} \sum_{P' \in \mathcal{C}_G(P_X)} |BwB \cap P' \cap C_G(x)|.$$

Proof. (a) Consider the set  $\mathcal{H} = \mathcal{H}(x, w, X)$  of all triplets  $(x', B', P') \in C_G(x) \times \mathcal{B} \times \mathcal{C}_G(P_X)$  such that  $(x'B'x'^{-1}B') \in \mathcal{O}_w$  and  $x' \in V_{P'}$ , where  $\mathcal{B}$  and  $\mathcal{O}_w$  ( $w \in W$ ) are as in Lemma 3.5. From Lemma 3.6 (b) and Lemma 4.10, we have

$$(5.3) \quad Si = f_{e_w}(x) i[\xi_X | P_X \rightarrow G](x) | C_G(x) | \setminus U_{w_X}^- |^{-1}.$$

On the other hand,  $\mathcal{H}$  can be decomposed into a disjoint union  $\bigcup_{B_1 \in \mathcal{B}} \mathcal{H}(B_1)$ , where  $\mathcal{H}(B_1) = \mathcal{H}(C_G(x) \setminus \{B_1\} \times C_G(P_X))$ . Clearly  $|\mathcal{H}(B_1) \setminus| = |\mathcal{H}(B) \setminus| = \sum_{P' \in C_G(P_X)} |BwB \cap V_{P'} \cap C_G(x)|$ . Hence

$$(5.4) \quad |\mathcal{H}| = |G| |B|^{-1} \sum_{P' \in C_G(P_X)} |BwB \cap V_{P'} \cap C_G(x)|.$$

The formula (a) follows from (5.3) and (5.4).

(b) Consider the set  $\mathcal{Q}(x, X)$  of all  $P' \in C_G(P_X)$  such that  $x \in P'$ . Then, by a similar argument as in the proof of Lemma 4.10, we get

$$(5.5) \quad |\mathcal{Q}(x, X)| = i[1_{P_X} | P_X \rightarrow G](x).$$

Next, consider the set  $\mathcal{L} = \mathcal{L}(x, w, X)$  of all triplets  $(x', B', P') \in C_G(x) \times \mathcal{B} \times C_G(P_X)$  such that  $(x' B' x'^{-1}, B') \in \mathcal{O}_w$  and  $x' \in P'$ . Using (5.5) instead of Lemma 4.10, we get

$$\begin{aligned} |\mathcal{L}| &= f_{e_w}(x) i[1_{P_X} | P_X \rightarrow G](x) | C_G(x) | \\ &= |G| |B|^{-1} \sum_{P' \in C_G(P_X)} |BwB \cap P' \cap C_G(x)| \end{aligned}$$

by a similar argument as in (a). This proves (b).

Proof of Theorem 5.1.

Let  $a_x$  be a primitive idempotent of  $H_C(G, B)$  corresponding to  $\%$  (see Lemma 2.2). Then  $\chi = f_{a_x} = \sum_{w \in W} [a_x : e_w] f_{e_w}$  by Lemma 2.4 (a). Since  $\text{tf}\chi = \sum \text{Lepr}[\hat{e}_w : e_w] \hat{e}_w = \sum_{w \in W} [a_x : e_w] (\sum_{v \in W} [\hat{e}_w : e_v] e_v)$ ,  $\hat{\chi} = f_{\hat{a}_x} = \sum_{w \in W} [a_x : e_w] (\sum_{v \in W} [\hat{e}_w : e_v] f_{e_v})$ . Hence, for the proof of Theorem 5.1 it suffices to show

$$(5.6) \quad \begin{aligned} &\sum_{u \in G^1} f_{e_w}(u) i[1_{P_X} | P_X \rightarrow G](u) \\ &= \sum_{v \in W} [\hat{e}_w : e_v] (\sum_{u \in G^1} f_{e_v}(u) i[\xi_X | P_X \rightarrow G](u)) \end{aligned}$$

for each  $w \in W$  and  $X \subset S$ . By Lemma 5.7 (b) and Lemma 1.12, the left side of (5.6) is

$$|G| |B|^{-1} \sum_{w'} |G^1 \cap BwB \cap w' P_X w'^{-1}| |U_{w'}^{-1}|,$$

where the sum is over the set of all  $(\phi, X)$ -reduced elements  $w'$  of  $W$ . By Lemma 5.5, this is equal to

$$|G| |B|^{-1} \sum_{w'} \sum_{v \in W} [\hat{e}_w : e_v] |BvB \cap w' w_X w_S V_{X^*} w_S^{-1} w_X^{-1} w'^{-1}| |U_{w'}^+|.$$

By Lemma 5.7 (a) and Lemma 1.12, this is

$$\sum_{v \in W} [\hat{e}_w : e_v] (\sum_{u \in G^1} f_{e_v}(u) i[\xi_{X^*} | P_{X^*} \rightarrow G](u)),$$

which is the right hand side of (5.6) by Lemma 5.6 (b). This completes the proof of Theorem 5.1.

## 6. Regular unipotent elements and induction from the subgroup $U$

The main results in this section are Lemma 6.10 and Theorem 6.12. We begin by recalling some known facts on regular unipotent elements.

An element  $x$  of a connected semisimple linear algebraic group  $\mathbb{G}$  is called regular if the dimension of its centralizer  $Z_{\mathbb{G}}(x)$  is equal to the rank of  $\mathbb{G}$ . R. Steinberg [18] proved the following

**Theorem 6.1.** *Let  $\mathbb{G}$  be a connected semisimple linear algebraic group,  $\mathfrak{B}$  a Borel subgroup, and  $\mathfrak{T}$  a maximal torus contained in  $\mathfrak{B}$ . Let  $U$ ,  $\Sigma$ ,  $\Sigma^+$  and  $U_{\alpha}$  ( $\alpha \in \Sigma$ ) be as in §1.*

(a) *A unipotent element is regular if and only if it is contained in a unique Borel subgroup.*

(b) *An element  $x = \prod_{\alpha \in \Sigma^+} x_{\alpha}$  ( $x_{\alpha} \in U_{\alpha}$ ) of  $\mathbb{G}$  contained in  $U$  is regular if and only if  $x_{\alpha} \neq 1$  for every simple root  $\alpha$ .*

**DEFINITION 6.2.** Let  $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \dots \cup \Sigma_k$  be the decomposition of the root system  $\Sigma$  of a connected semisimple group  $\mathbb{G}$  into its irreducible components. Let  $\tilde{\alpha}_i$  be the highest root of  $\Sigma_i$  in some order. Express  $\alpha_i$  as an integral linear combination of the simple roots of  $\Sigma_i$ . If  $p$  is a prime number which does not divide any coefficient in such expressions for each  $i$ ,  $p$  is called good for  $\mathbb{G}$ .

**REMARK 6.3.** For each simple type, good primes  $p$  can be directly defined as follows:

$A_n$ :  $p$  arbitrary;  $B_n, C_n, D_n$ :  $p \neq 2$ ;  $E_6, E_7, F_4, G_2$ :  $p \neq 2, 3$ ;  $E_8$ :  $p \neq 2, 3, 5$ .

In the following, the notations in §1 will be used. We denote by  $G_r^1$  the set of regular unipotent elements in a finite Chevalley group  $G = \mathbb{G}_r$ .

**Lemma 6.4.** (a) *Each element of  $G_r^1$  is contained in a unique Borel subgroup.*

(b) *Let  $C$  be a regular unipotent conjugacy class of  $G$ . Then  $|C| = |G| |B|^{-1} |B \cap C|$ .*

(c)  *$Z_G(u) = Z_B(u) = Z(G) Z_U(u)$  for  $u \in B \cap G_r^1$ , where  $Z(G)$  is the center of  $G$ , and  $Z_G(u)$ ,  $Z_B(u)$  and  $Z_U(u)$  are centralizers of  $u$  in  $G$ ,  $B$  and  $U$  respectively.*

**Proof.** Part (a) follows from Theorem 6.1 (a) and part (b) follows from part (a) and the fact that  $B$  is its own normalizer in  $G$ . Part (c) follows from [1 E-54, 1.14(a)].

**Theorem 6.5.** (Springer and Steinberg [1; E-55]) *Let  $G = \mathbb{G}_r$ , and  $p$  the characteristic of the field  $K$  over which  $\mathbb{G}$  is defined. Assume that  $\mathbb{G}$  is adjoint and  $p$  is good for  $\mathbb{G}$ . Then the set  $G_r^1$  of regular unipotent elements of  $G$  forms a single conjugacy class.*



**Lemma 6.6.** (Steinberg [19; p. 197 (2)]) For  $a \in R(S)$ , let  $U_a = (\prod_{\alpha \in a} \mathfrak{U}_\alpha)_\sigma$  as in §1 and  $U_a^1$  the set of all elements  $u_a = \prod_{\alpha \in a} x_\alpha$  ( $x_\alpha \in \mathfrak{U}_\alpha$ ) of  $U_a$  such that  $x_\alpha = 1$  for every  $\alpha \in a \cap \Pi$ .

(a) The quotient group  $U_a/U_a^1$  is isomorphic to the additive group of the Galois field  $F_q$ , where  $q = \prod_{\alpha \in a \cap \Pi} q(\alpha)$ .

(b)  $U_a^1$  is the derived group of  $U_a$ .

**Proof.** (a) Let  $\mathfrak{U}_a^1 = \prod_{\alpha} \mathfrak{U}_\alpha$  ( $\alpha \in a - a \cap \Pi$ ). This is  $\sigma$ -stable by Lemma 1.1. The quotient group  $\mathfrak{U}_a/\mathfrak{U}_a^1$  is canonically isomorphic to the direct product  $\mathfrak{D}_a$  of the groups  $\mathfrak{U}_\alpha$  for  $\alpha \in a \cap \Pi$ , and  $\sigma$  acts on the factors according to the formula in Lemma 1.1 (b). Let  $a \cap \Pi = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ , where the suffixes are so chosen that

$$\sigma x_{\alpha_i}(k) = x_{\alpha_{i+1}}(c_i k^{q(i)}) \quad (1 \leq i \leq n-1)$$

$$\text{and} \quad \sigma x_{\alpha_n}(k) = x_{\alpha_1}(c_n k^{q(n)})$$

for some  $c_i \in K^*$  and all  $k \in K$ , where we wrote  $q(i)$  for  $q(\alpha_i)$ . Let  $(x_{\alpha_i}(k_i))_{1 \leq i \leq n}$  ( $k_i \in K$ ) be an element of  $(\mathfrak{D}_a)_\sigma$ . Then  $c_1 k_1^{q(1)} = k_2, c_2 k_2^{q(2)} = k_3, \dots, c_n k_n^{q(n)} = k_1$ . From this fact and Lemma 1.1 (d), it follows that  $(\mathfrak{D}_a)_\sigma$ , hence  $(\mathfrak{U}_a/\mathfrak{U}_a^1)_\sigma$ , is isomorphic to  $F_q$ . To complete the proof of (a) it suffices to notice that  $U_a/U_a^1 \cong (\mathfrak{U}_a/\mathfrak{U}_a^1)_\sigma$ , which follows from [20; 10.11].

(b) This can be checked directly using [19; Lemma 63].

**Lemma 6.7.** (a)  $|B \cap G_r^1| = |B| (\prod_{\alpha \in \Pi} q(\alpha))^{-1}$ .

(b)  $|G_r^1| = |G| (\prod_{\alpha \in \Pi} q(\alpha))^{-1}$ .

**Proof.** Part (b) follows from part (a) and Lemma 6.4 (b). We shall prove part (a). Let  $\mathfrak{U}^1$  be the subgroup of  $\mathfrak{U}$  generated by the group  $\mathfrak{U}_\alpha$  for  $\alpha \in \Sigma^+ - \Pi$ . Since  $\mathfrak{U}^1$  is normalized by  $\mathfrak{T}$  and fixed by  $\sigma$ ,  $|\mathfrak{U}_\sigma^1| = \prod_{\alpha \in \Sigma^+ - \Pi} q(\alpha)$  by [20; 11.8]. It follows from [20; 10.11] that a coset  $u\mathfrak{U}^1$  ( $u \in \mathfrak{U}$ ) contains a  $\sigma$ -fixed elements if and only if  $u\mathfrak{U}^1 \in (\mathfrak{U}/\mathfrak{U}^1)_\sigma$ . In that case, the number of  $\sigma$ -fixed elements in  $u\mathfrak{U}^1$  is clearly  $|\mathfrak{U}_\sigma^1|$ . On the other hand, it follows from Theorem 6.1 (b) that the set  $\mathfrak{U}^r$  of regular unipotent elements is a union of  $\mathfrak{U}^1$ -cosets. The quotient set  $\mathfrak{U}^r/\mathfrak{U}^1$  is canonically isomorphic to the direct product of the sets  $\mathfrak{U}_\alpha - \{1\}$  for  $\alpha \in \Pi$ . Hence, by the proof of Lemma 6.6 (a) we get

$$|(\mathfrak{U}^r/\mathfrak{U}^1)_\sigma| = \prod_{\alpha \in R(S)} \{(\prod_{\alpha \in a \cap \Pi} q(\alpha)) - 1\}.$$

Therefore, the number of regular unipotent elements in  $B$  is

$$\prod_{\alpha \in R(S)} \{(\prod_{\alpha \in a \cap \Pi} q(\alpha)) - 1\} \prod_{\alpha \in \Sigma^+ - \Pi} q(\alpha).$$

Since  $|B| = \prod_{\alpha \in R(S)} \{(\prod_{\alpha \in a \cap \Pi} q(\alpha)) - 1\} \prod_{\alpha \in \Sigma^+} q(\alpha)$  ([20; 11.9, 10.10]), the proof of Lemma 6.7 is over.

For  $a \in R(S)$ , let  $L_a$  be the set of non-trivial linear character of  $U_a$  and  $L$

the direct product of the sets  $L_a$  for  $a \in R(S)$ .

**DEFINITION 6.8.** For  $X \subset S$  and  $l = (l_a)_{a \in R(S)} \in L$ , the linear character  $\gamma_{X,l}$  of  $U$  is defined by  $\gamma_{X,l}(u) = 1$  if  $\phi = X$  and by  $\gamma_{X,l}(u) = \prod_a l_a(u_a) a \in R(S)_X$  if  $X \neq \phi$ , where  $u = \prod_{a > 0} u_a$  ( $u_a \in U_a$ ) and  $R(S)_X = \{a \in R(S) \mid w_a \in X\}$ .

**Lemma 6.9.** Let  $G = \mathfrak{G}_\sigma$ , where  $\odot$  is adjoint. For  $X \subset S$  and  $l \in L$ , let

$$\Gamma_{X,l} = i[\gamma_{X,l} \mid U \rightarrow G].$$

Then the character  $\Gamma_{X,l}$  is independent of  $l \in L$ .

*Proof.* Let  $\mathfrak{U}^1$  and  $\mathfrak{U}'$  be as in the proof of Lemma 6.7 (a). By (1.1)  $\mathfrak{T}$  acts naturally on the set  $\mathfrak{U}'/\mathfrak{U}^1$ . Hence  $T$  acts on  $(\mathfrak{U}'/\mathfrak{U}^1)_\sigma$ . Let  $t$  be an arbitrary element of  $\mathfrak{T} - \{1\}$ . Since  $\mathfrak{G}$  is adjoint,  $\alpha(t) \neq 1$  for some  $\alpha \in \Pi$ . Hence the action of each  $t \in T$  on  $(\mathfrak{U}'/\mathfrak{U}^1)_\sigma$  is non-trivial. On the other hand,  $|T| = |(\mathfrak{U}'/\mathfrak{U}^1)_\sigma| = \prod_{a \in R(S)} \{(\prod_{\alpha \in \sigma \cap \Pi} q(\alpha)) - 1\}$  by [20; 11.2] and the proof of Lemma 6.7 (a). Hence the action of  $T$  on  $(\mathfrak{U}'/\mathfrak{U}^1)_\sigma$  is simply transitive. This fact, together with Lemma 6.6, implies that the action of  $T$  on  $L$  defined by

$$l_a^t(u_a) = l_a(tu_a t^{-1}) (t \in T, a \in R(S), l_a \in L_a, u_a \in U_a)$$

is simply transitive. Hence, for  $u \in U$ , we have

$$i[\gamma_{X,l} \mid U \rightarrow B](u) = \sum_{l' \in L} \gamma_{X,l'}(u),$$

which is independent of  $l \in L$ . The lemma follows from this fact and transitivity of induction.

In the following, if  $\odot$  is adjoint, we write  $\Gamma_X$  for the character  $\Gamma_{X,l}$  ( $X \subset S, l \in L$ ) of  $G = \mathfrak{G}_\sigma$ .

We can now prove a key lemma:

**Lemma 6.10.** For  $X \subset S$  and  $l \in L$ , let  $\Lambda_l = \sum_{X \subset S} (-1)^{|X|} \Gamma_{X,l}$ .

(a)  $\sum_{l \in L} \Lambda_l(x)$  is equal to  $|L| \cdot |G| \cdot |G_r^1|^{-1}$  if  $x \in G_r^1$  and 0 if  $x \in G - G_r^1$ .

(b) Assume that  $\odot$  is adjoint. Then  $\Lambda(x) = \sum_{X \subset S} (-1)^{|X|} \Gamma_X(x)$  is equal to  $|G| \cdot |G_r^1|^{-1}$  if  $x \in G_r^1$  and 0 if  $x \in G - G_r^1$ .

*Proof.* The proof depends on the following two results.

(1) For any  $l \in L$ ,  $\Lambda_l(x) = 0$  if  $x \in G - G_r^1$ .

(2) For any  $l \in L$ ,  $(\Lambda_l, 1_G)_G = 1$ .

Let us deduce the lemma from (1) and (2).

(a) By Lemma 6.6 the function  $\sum_{l \in L} \gamma_{X,l}$  on  $U$  takes the constant value  $(-1)^{|X|}$  on the set of regular unipotent elements of  $U$ . Hence, by (1), we see that  $\sum_{l \in L} \Lambda_l$  vanishes on  $G - G_r^1$  and takes a constant value  $Q$  on  $G_r^1$ . Therefore  $(\sum_{l \in L} \Lambda_l, 1_G) = |G|^{-1} |G_r^1| \cdot Q$ . On the other hand, we have

$(\sum_{l \in L} \Lambda_l, 1_G)_G = |L|$  from (2). Hence we obtain  $Q = |L| \cdot |G| \cdot |G_r^1|^{-1}$ , as required.

(b) This follows from part (a) and Lemma 6.9.

Next, we consider (1). Let  $u = \prod_{a \in R} u_a$  ( $u_a \in U_a$ ) be an element of  $U$ . Then

$$\sum_{X \subseteq S} (-1)^{|S|-|X|} \gamma_{X,l}(u) = \prod_a (1 - l_a(u_a)) \quad (a \in R(S)).$$

By Lemma 6.6, this is 0 if  $u$  is not regular unipotent. Hence  $\Lambda_l$  vanishes on  $G - G_r^1$ . This proves (1).

It remains to prove (2). By Frobenius reciprocity,

$$(\Gamma_{X,l}, 1_G)_G = |U|^{-1} \sum_{u \in U} \gamma_{X,l}(u),$$

which is 0 if  $X \neq \phi$  and 1 if  $X = \phi$ . The assertion (2) follows from this. The proof of Lemma 6.10 is now complete.

To state the first application of Lemma 6.10 we require the following notion due to Harish-Chandra.

**DEFINITION 6.11.** A complex valued function  $f$  on  $G$  is called a cusp form if

$$\sum_{u \in V_P} f(xu) = 0,$$

for all elements  $x$  of  $G$  and all parabolic subgroup  $P \neq G$ . A character of  $G$  which is a cusp form is called a cuspidal character.

The importance of this notion is explained e.g. in [1; part C]. Some examples are given in [1; part D].

**Theorem 6.12.** Let  $G = \mathfrak{G}_\sigma$ , and let  $\chi$  be an irreducible cuspidal character of  $G$ .

$$(a) \quad |G_r^1|^{-1} \sum_{u \in G_r^1} \chi(u) = (-1)^{|S|} |L|^{-1} \{l \in L \mid \Gamma_{S,l} \text{ contains } \chi\}.$$

(b) Assume that  $\odot$  is adjoint and the characteristic  $p$  of  $K$  is good for  $\mathfrak{G}$ . Then for any regular unipotent element  $u \in G$ ,  $\chi(u)$  equals  $(-1)^{|S|}$  if  $\Gamma_S$  contains  $X$  and 0 if  $\Gamma_S$  does not contain  $X$ .

For the proof of Theorem 6.12 we require the following

**Lemma 6.13.** Let  $\chi$  be a class function on  $G$  which is a cusp form. Then

$$(\chi, \Gamma_{X,l})_G = 0$$

for any  $X \subsetneq S$  and  $l \in L$ .

**Proof.** Put  $\Gamma'_{X,l} = i[\gamma_{X,l} \mid U \rightarrow P_X]$ . Since the unipotent radical  $V_X$  of  $P_X$  is normal in  $P_X$  and  $\gamma_{X,l}$  is trivial on  $V_X$ ,  $\Gamma'_{X,l}$  is constant on each  $V_X$ -coset in  $P_X$ . Hence, using transitivity of induction and Frobenius reciprocity we have

$$\begin{aligned}
 (\chi, \Gamma_{X,l})_G &= (\chi, i[\Gamma'_{X,l} | P_X \rightarrow G])_G \\
 &= |P_X|^{-1} \sum_x \Gamma'_{X,l}(x) (\sum_{u \in V_X} \chi(xu)),
 \end{aligned}$$

where the first sum is taken over a set of representatives for  $V_X$ -cosets in  $P_X$ . Therefore, from the definition of cusp forms, we obtain the lemma.

Proof of Theorem 6.12.

Part (b) follows from part (a), Theorem 6.5 and Lemma 6.9. We shall prove part (a). By Lemma 6.10 (a),

$$|G_r^1|^{-1} \sum_{u \in G_r^1} \chi(u) = |L|^{-1} (\chi, \sum_{l \in L} \Lambda_l)_G.$$

Hence, by Lemma 6.13,

$$|G_r^1|^{-1} \sum_{u \in G_r^1} \chi(u) = (-1)^{|S|} |L|^{-1} \sum_{l \in L} (\chi, \Gamma_{S,l})_G.$$

Therefore, the proof of Theorem 6.12 is completed by the following theorem, which is proved by I.M. Gelfand and M.I. Graev [10] for  $SL_n$ , and by R. Steinberg [19; Theorem 49] for general  $G$ .

**Theorem 6.14.** *Let  $l$  be an element of  $L$ , and  $\chi$  an irreducible character of  $G$ . Then*

$$(\chi, \Gamma_{S,l})_G = 1 \text{ or } 0.$$

## 7. Regular unipotent elements in $B$ -cosets and characters in $i[1_B | B \rightarrow G]$

Our main purpose in this section is to prove Theorem 7.1 and Theorem 7.2 below. Let  $G = \mathfrak{G}_p$  be a finite Chevalley group and  $p$  the characteristic of the field  $K$  over which  $\mathfrak{G}$  is defined. We also use other notations in §1 and §6.

**Theorem 7.1.** *Assume that  $p$  is good for  $\mathfrak{G}$  in the sense of Definition 6.2. Let  $\chi$  be a nontrivial irreducible character of  $G$  contained in  $i[1_B | B \rightarrow G]$ . Then  $\chi$  vanishes identically on the set  $G_r^1$  of regular unipotent elements in  $G$ .*

**Theorem 7.2.** *Assume that  $p$  is good for  $\mathfrak{G}$ . Let  $g$  be an arbitrary element of  $G$ , and  $C$  an arbitrary regular unipotent class of  $G$ . Then the number  $|Bg \cap C|$  depends neither on  $g$  nor  $C$ .*

REMARK 7.3. (a) The author believes, but can not prove, that theorems 7.1 and 7.2 hold without the assumption “ $p$  is good”<sup>3)</sup>. Later we shall prove weaker results which hold in all characteristics  $p > 0$ .

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3) See “added in proof” at the end of the paper.

(b) Combining Theorem 7.2 with Lemma 6.7 (a) and [1; E-55], we see that the number  $|Bg \cap C|$  in Theorem 7.2 is

$$|Z(\mathfrak{G})/(1-\sigma)Z(\mathfrak{G})|^{-1} (\prod_{\alpha \in \Pi} q(\alpha))^{-1} |B|,$$

where  $Z(\mathfrak{G})$  is the center of  $\mathfrak{G}$  and  $(1-\sigma)Z(\mathfrak{G}) = \{x(x^{-1})^\sigma | x \in Z(\mathfrak{G})\}$

(c) In §3, we showed that each element  $g$  of  $G$  gives rise to a decomposition of  $G/B$  into disjoint union:

$$G/B = \cup_{w \in W} F_{g,w}.$$

Assume that  $p$  is good. Let  $u$  be a regular unipotent element in  $G$ . Then, combining Lemma 3.6, Lemma 6.4 (b) and Theorem 7.2, we obtain

$$(7.1) \quad |F_{u,w}| = |U_w^-|$$

Now let  $\mathfrak{G}$  be a connected semisimple linear algebraic group defined over an algebraically closed field of arbitrary characteristic. Let  $g$  be an element of  $\mathfrak{G}$ ,  $(\mathfrak{B}, \mathfrak{N})$  an ordinary  $BN$ -pair in  $\mathfrak{G}$  and  $\mathfrak{W}$  its Weyl group. Then  $\mathfrak{G}/\mathfrak{B}$  has the decomposition

$$\mathfrak{G}/\mathfrak{B} = \cup_{w \in \mathfrak{W}} \mathfrak{F}_{g,w}$$

(see Remark 3.7). Each set  $\mathfrak{F}_{g,w}$  has a natural structure of algebraic variety. In the special case that  $w=1$ ,  $\mathfrak{F}_{g,w}$  has been studied by several authors (see [3] and [21]). The formula (7.1) in the finite case suggests an interesting problem:

Let  $u$  be a regular unipotent element in  $\mathfrak{G}$ . Study the variety  $\mathfrak{F}_{u,w}$ . Is it the  $l(w)$ -dimensional affine space?

The proofs of Theorem 7.1 and Theorem 7.2 depend on the following

**Lemma 7.4.** *Let  $X$  be a subset of  $S$ . Let  $\Gamma_{\lambda, l}$  ( $l \in L$ ) be a character of  $G$  defined in Lemma 6.9, and  $\xi_X$  the Steinberg character of  $P_X$ . Then*

$$(\Gamma_{X, l}, \chi)_G = (i[\xi_X | P_X \rightarrow G], \chi)_G$$

*for any irreducible character  $\chi$  of  $G$  contained in  $i[1_B | B \rightarrow G]$ .*

**Proof.** From Frobenius reciprocity and Lemma 4.6 (b) we have

$$(i[\gamma_X, l | U \rightarrow P_X], \xi_X)_{P_X} = (\gamma_X, l, \xi_X | U)_U = 1.$$

Hence the character  $i[\gamma_X, l | U \rightarrow P_X]$  of  $P_X$  contains the Steinberg character  $\xi_X$ . By transitivity of induction,

$$(\Gamma_{X, l}, \chi)_G \geq (i[\xi_X | P_X \rightarrow G], \chi)_G$$

for any irreducible character  $\chi$  of  $G$  contained in  $i[1_B | B \rightarrow G]$ . Therefore the proof of the lemma will be completed by the following formula.

$$(7.2) \quad (\Gamma_{X,t}, i[1_B | B \rightarrow G])_G = (i[\xi_X | P_X \rightarrow G], i[1_B | B \rightarrow G])_G.$$

We shall prove (7.2). By a theorem of Mackey (see e.g. [9; p. 51]) and the Bruhat decomposition of  $G$ , the left hand side of (7.2) is equal to

$$\sum_{w \in W} |U_w^+|^{-1} \{ \sum_{u \in U_w^+} \gamma_{X,t}(u) \} = | \{ w \in W | \gamma_{X,t} \text{ is trivial on } U_w^+ \} |.$$

By the definition of  $\gamma_{X,t}$ , it is trivial on  $U_w^+$  if and only if  $l(wx) < l(w)$  for all  $x \in X$ . The number of such  $w \in W$  is  $|W/W_X|$  by Lemma 1.11. Hence the left hand side of (7.2) is  $|W/W_X|$ . On the other hand, by Proposition 1.6 (c) and the Mackey's theorem used above, the right hand side of (7.2) is

$$\sum_{Y \subset X} (-1)^{|Y|} |W/W_Y| = |W/W_X| \sum_{Y \subset X} (-1)^{|Y|} |W_X/W_Y|.$$

By a result of E. Witt (see e.g. [17; p. 378]), this is equal to  $|W/W_X|$ . This proves (7.2).

Proof of Theorem 7.1.

From Theorem 3.1 (b) and Theorem 3.4 we may assume that  $\odot$  is adjoint. Using Lemma 7.4 we get

$$(7.3) \quad (\sum_{X \subset S} (-1)^{|X|} \Gamma_X, \chi)_G = (\sum_{X \subset S} (-1)^{|X|} i[\xi_X | P_X \rightarrow G], \chi)_G$$

for any irreducible character  $\chi$  of  $G$  contained in  $i[1_B | B \rightarrow G]$ . By Theorem 6.5 and Lemma 6.10 (b), the left hand side of (7.3) equals  $\chi(u)$  with  $u \in G_r^1$ . On the other hand, by Lemma 4.8, the right hand side of (7.3) equals  $(1_G, \chi)_G$ . Therefore

$$(7.4) \quad \chi(u) = (1_G, \chi)_G \quad (u \in G_r^1).$$

Hence, if  $\chi$  is non-trivial,  $\chi$  vanishes on  $G_r^1$ . This proves Theorem 7.1.

Proof of Theorem 7.2.

The proof depends on the following two results.

(1) Let  $C$  and  $C'$  be two regular unipotent conjugacy classes of  $G$ . Then  $|B \cap C| = |B \cap C'|$ .

(2) Let  $C$  be a regular unipotent conjugacy class of  $G$ . Then  $|C|^{-1} |BwB \cap C| = |G|^{-1} |BwB|$  for any  $w \in W$ .

We will show that Theorem 7.1 is a consequence of (1) and (2). Let  $C$  be a regular unipotent conjugacy class of  $G$ . By (2) and Lemma 6.4 (b)

$$(7.5) \quad |BwB \cap C| |B \cap C|^{-1} = |BwB| |B|^{-1}.$$

It follows from Lemma 1.5 that  $|BwB \setminus B|^{-1} = |U_w^-|$  and that

$$\sum_u u(Bw \cap C) u^{-1} \quad (u \in U_w^-)$$

is a decomposition of  $BwB \cap C$  into a disjoint union. Hence, for  $w \in W$ , we have

$$(7.6) \quad |Bw \cap C| = |B \cap C|$$

from (7.5). Let  $g$  be an arbitrary element of  $G$ . Then, by the Bruhat decomposition of  $G$ , we can write  $g = b'n_w b$  with  $b, b' \in B$  and  $w \in W$ . Hence

$$|Bg \cap C| = |b^{-1}(Bw \cap C)b| = |Bw \cap C| = |B \cap C|$$

by (7.6). Combining this formula with (1), we obtain Theorem 7.2.

Next we prove (1). Let  $u$  and  $u'$  be regular unipotent elements in  $B$  i.e. in  $U$ . Let  $\psi: \mathfrak{G} \rightarrow \mathfrak{G}'$  be as in Theorem 3.1 (b). By (3.5), Theorem 3.2 and Theorem 6.1 (b),  $\psi(u)$  and  $\psi(u')$  are regular unipotent elements in  $\mathfrak{G}'_\sigma$ . This fact, together with Lemma 6.4 (c) and Theorem 6.5, implies that  $\psi(u)$  and  $\psi(u')$  are conjugate in  $\psi(\mathfrak{B})_\sigma$ . Hence, by Theorem 3.2 (c), there exists an automorphism of  $U$  which maps  $u$  to  $u'$ . Therefore,  $Z_U(u) \cong Z_U(u')$ . Combining this fact with Lemma 6.4 (c) we obtain  $|Z_G(u)| = |Z_B(u)| = |Z_B(u')| = |Z_G(u')|$ . Hence  $|B \cap C_G(u)| = |B \cap C_B(u)| = |B \cap C_B(u')| = |B \cap C_G(u')|$ . This proves (1).

It remains to prove (2). From Theorem 3.1 (b) and Theorem 3.4 we may assume that  $\odot$  is adjoint. Then, it follows from (7.4) and Lemma 2.4 (b) that

$$f_{e_w}(u) = (1_G, f_{e_w})_G$$

for any  $w \in W$  and  $u \in G_r^1$ . From this formula we obtain  $|C_G(u)|^{-1} |BwB \cap C_G(u)| = |G|^{-1} |BwB|$ , as required. The proof of Theorem 7.2 is now complete.

As mentioned already, the author does not know whether the theorems 7.1 and 7.2 hold in all characteristics  $p > 0$  or not. Here we content ourselves with the following weaker results.

**Theorem 7.5.** (a) *Let  $\chi$  be a non-trivial irreducible character of  $G$  contained in  $i[1_B] B \rightarrow G$ . Let  $G_r^1$  be the set of regular unipotent elements in  $G$ . Then*

$$\sum_{u \in G_r^1} \chi(u) = 0.$$

(b) *Let  $g$  be an arbitrary element of  $G$ . Then the number  $|Bg \cap G_r^1|$  is independent of  $g$ .*

Proof. (a) From Lemma 7.4 we have

$$(7.7) \quad \begin{aligned} & \sum_{t \in L} (\sum_{X \subset S} (-1)^{|L|-|X|} \Gamma_{X,t}, \chi)_G \\ &= |L| (\sum_{X \subset S} (-1)^{|L|-|X|} i[\xi_X | P_X \rightarrow G], \chi)_G. \end{aligned}$$

Hence

$$(7.8) \quad |L| |G_r^1|^{-1} \sum_{u \in G_r^1} \chi(u) = |L| (1_G, \chi)_G$$

by Lemma 6.10 (a) and Lemma 4.8. Part (a) follows from this formula.

(b) Let  $w$  be any element of  $W$ . By (7.8) and Lemma 2.4 (b),

$$|G_r^1|^{-1} \sum_{u \in G_r^1} f_{e_w}(u) = (1_G, f_{e_w})_G.$$

Hence

$$|G_r^1|^{-1} |BwB \cap G_r^1| = |G|^{-1} |BwB|.$$

Combining this formula with Lemma 6.4 (b) we obtain

$$|BwB \cap G_r^1| = |B|^{-1} |BwB| |B \cap G_r^1|.$$

Therefore, by the same method as in the proof of Theorem 7.2, we get  $|Bg \cap G_r^1| = |B \cap G_r^1|$  for any element  $g$  of  $G$ . This proves (b).

Added in proof. Recently, the author received two preprints (Lehrer [22] and Green and Lehrer [23]), in which some of our results, in particular theorems 6.12 (b), 7.1 and 7.2, are proved independently. In [23], it is remarked that theorems 7.1 and 7.2 do *not* hold without the assumption “ $p$  is good for  $\mathfrak{G}$ ”. This can also be seen from [24].

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