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**Formation of stimuli responsive supramolecular materials
using molecular recognition and its functionalization**

A Doctoral Thesis

by

Kazuhisa Iwaso

Submitted to

The Graduate School of Science, Osaka University

February, 2016

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Kazuhisa Iwaso

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A List of Publication

Chapter 1

General Introduction.

Supramolecular chemistry based on molecular recognition

Various kinds of body tissue, such as epidermis, gene, and muscle are highly organized by biological molecules. Organizational structures are derived from non-covalently molecular recognitions such as hydrogen bond, coordination bond and hydrophobic interaction. The mechanism of molecular recognitions should be cleared to understand for not only for materials science but also for biochemistry. Science of molecular recognitions led to Supramolecular chemistry in material science. Supramolecular chemistry was defined by Lehn as “a chemistry beyond molecules”. After that, Cram,¹⁻³ Lehn,⁴⁻¹⁰ and Pedersen,^{11, 12} who won the Nobel Prize for their works in the division of chemistry in 1987. The field of supramolecular chemistry started from the concept of “receptor” and “lock and key”.¹³ In supramolecular chemistry, study the interactions between cyclic host molecule and guest molecule was called “host–guest chemistry”. Crown ethers and cryptands selectively include alkali metal cations (Figure 1-1). Calixarenes¹⁴⁻¹⁷ and cavidands¹⁸⁻²² form inclusion complexes with aliphatic guest molecules such as adamantane and fullerene C₆₀. The formation of inclusion complexes based on the molecular recognition. Recently, supramolecular chemistry has become a tool to introduce function into polymers and biomaterials.

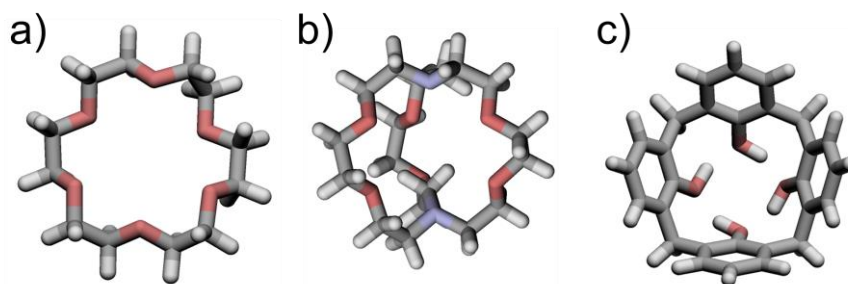
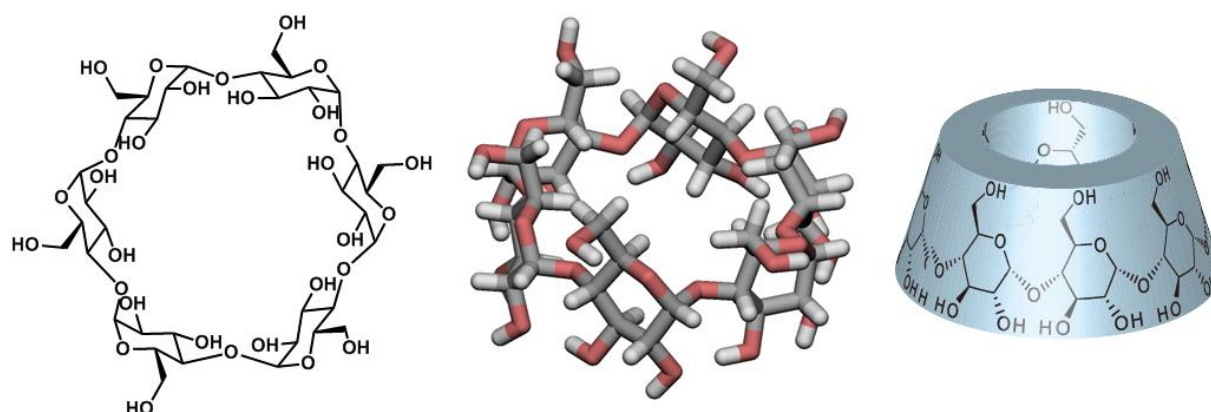


Figure 1-1. Structures of **a)** crown ether, **b)** cryptand and **c)** calixarene.

Cyclodextrines



	α CD	β CD	γ CD
No. of Glucose Units	6	7	8
Diameter of Cavity (nm)	0.47	0.60	0.75
Height of Torus (nm)	0.79	0.79	0.79

Figure 1-2. Properties and chemical structures of cyclodextrins.

Cyclodextrins (CDs, Figure 1-2)^{23–28} are cyclic oligosaccharides comprising d-glucose units, linked by a 1,4-glycosidic bond. The three most important members of CD family are α CD, β CD, and γ CD, which possess, respectively, six, seven, and eight glucose units, respectively. CDs take torus cone shape due to the hydrogen bonds among hydroxyl groups. Therefore, CDs have two different faces, one is the hydrophilic outer surface of the cavity and the other is the hydrophobic cavity. In aqueous media, CDs selectively form inclusion complexes with hydrophobic guest compounds that match the hydrophobic cavity sizes. Cramer^{29–30} and Bender^{31, 32} reported that CDs form inclusion complexes with various kinds of molecules with different molecular weights in water. Later, Breslow^{33–36} utilized CDs as artificial hydrolysis enzymes for activated esters.

Supramolecular polymers

Many supramolecular polymers have been prepared using noncovalent interactions such as hydrogen bonding,^{37–39} metal-coordination,⁴⁰ π – π interaction^{41–43} electrostatic interactions,⁴⁴ and hydrophobic interaction^{45, 46} (Figure 1-3a–c). Harada *et al.* reported linear,^{47–49} helical polymer⁵⁰ and interlocked poly-[2]rotaxane⁵¹ based on aliphatic moiety modified CDs (Figure 1-3d, e). Recently, supramolecular polymerization with living mechanism has been reported.⁵²

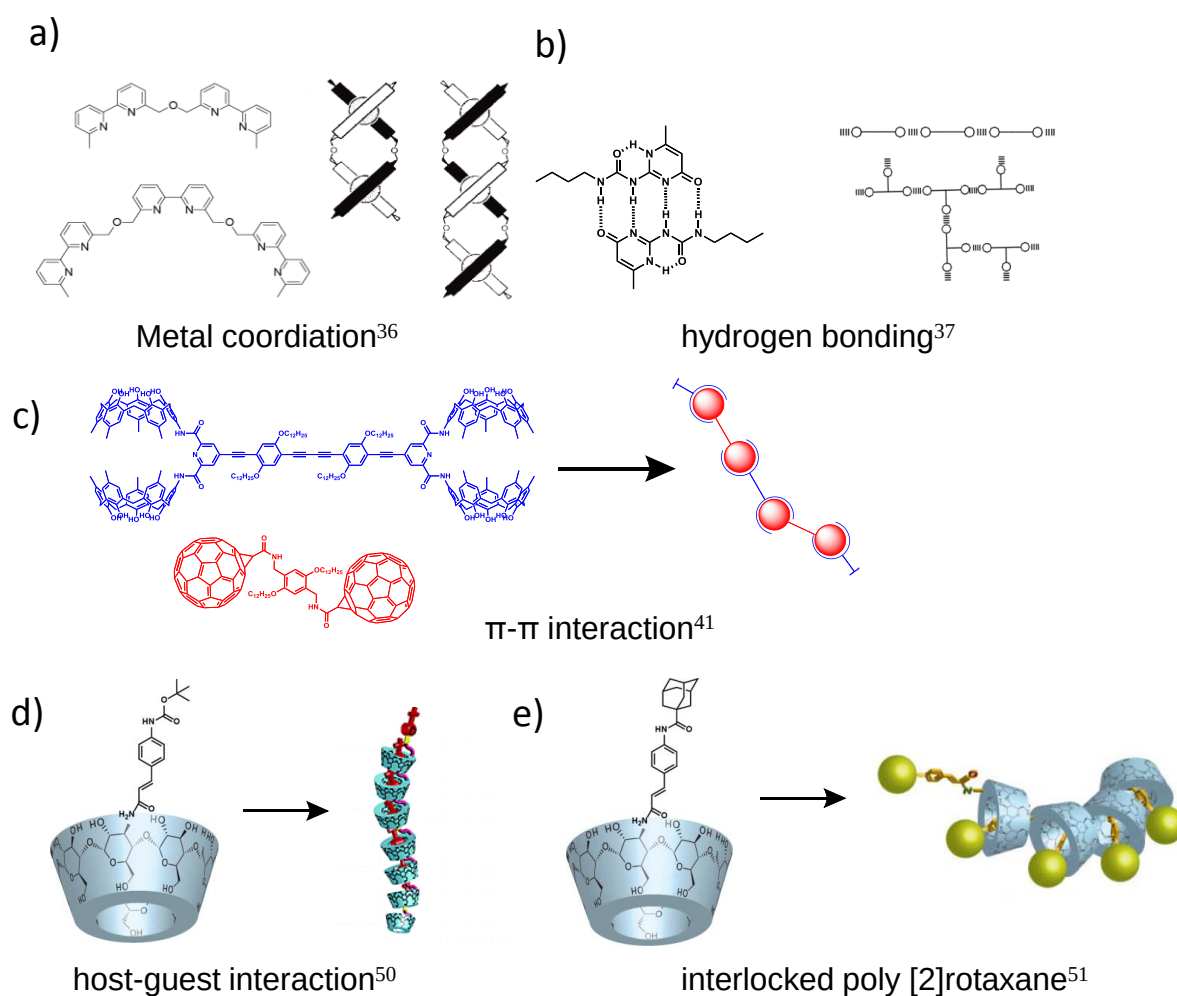


Figure 1-3. Molecular structure and formation of supramolecular polymers generated by **a)** metal coordination, **b)** hydrogen bonding, **c)** π – π interaction, **d)** host–guest interaction and **e)** mechanically inter locked supramolecular polymer.

Molecular topology; Rotaxanes, catenanes and knots

Rotaxanes (Figure 1-4a) and catenanes (Figure 1-4b) are mechanically interlocked molecular architecture consisting of two or more interlocked ring and axis components. The interlocked components cannot be dissociated without breaking covalent bonds of molecules. Names of structure were derived from Latin words: *rota* = ring, *axis* = axle, and *catena* = chain. Molecular knots are globular shape interlocked architecture consisting of only one linear molecule (Figure 1-4c).

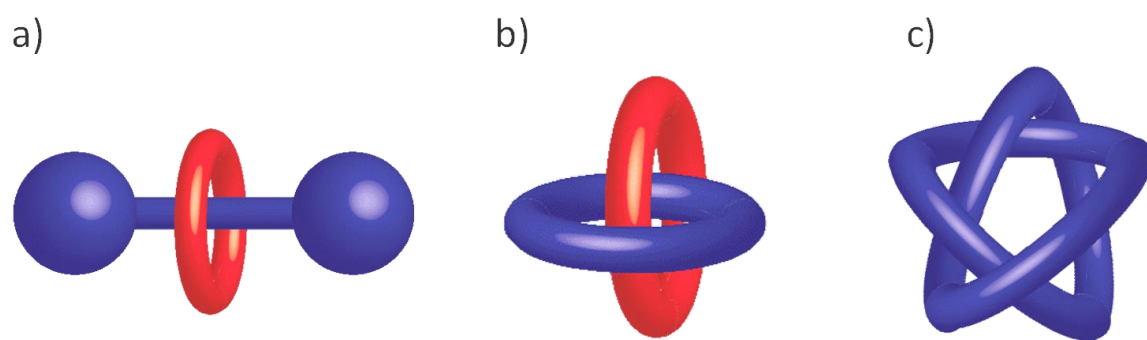


Figure 1-4. Structure images of **a)** rotaxane, **b)** catenane and **c)** knot.

First synthesis of rotaxane was reported by Harrison⁵³ in 1967. They premeditated to obtain the axle introduced macrocycle. After that, preparation methods of rotaxanes in high yield were reported by using hydrogen bonding,^{54–56} π – π interaction,^{57–59} coordination bonding^{60, 61} and hydrophobic interaction. Ogino⁶² prepared a rotaxane containing CD and alkyl chain which was stabilized by bulky metal crathlate stoppers (Figure 1-5a). Kaifer⁶³ reported the rotaxane stabilized by ammonium cation stopper (Figure 1-5b). Harada⁶⁴ used 2,4,6-trinitrobenzenesulfonic acid as a stopper (Figure 1-5c).

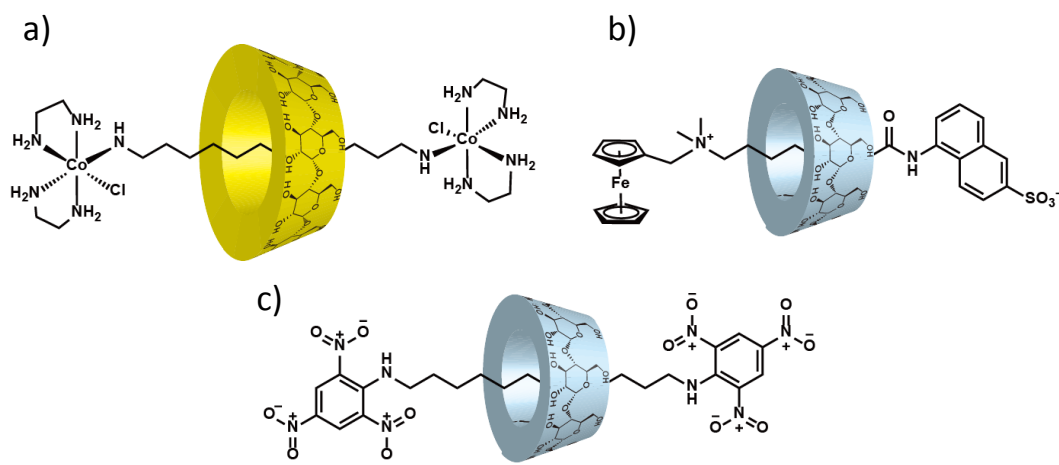


Figure 1-5. Structures of **a) Ogino⁶²**, **b) Kaifer⁶³** and **c) Harada⁶⁴**'s rotaxane containing CDs.

In 1992, Harada and Kamachi^{65–68} first reported polyrotaxane with polyethylene glycol threaded by many α CD units. Moreover, they obtained a tubular polymer called molecular tube derived from polyrotaxane by cross-linking hydroxyl groups of CDs using epichlorohydrin (Figure 1-6).

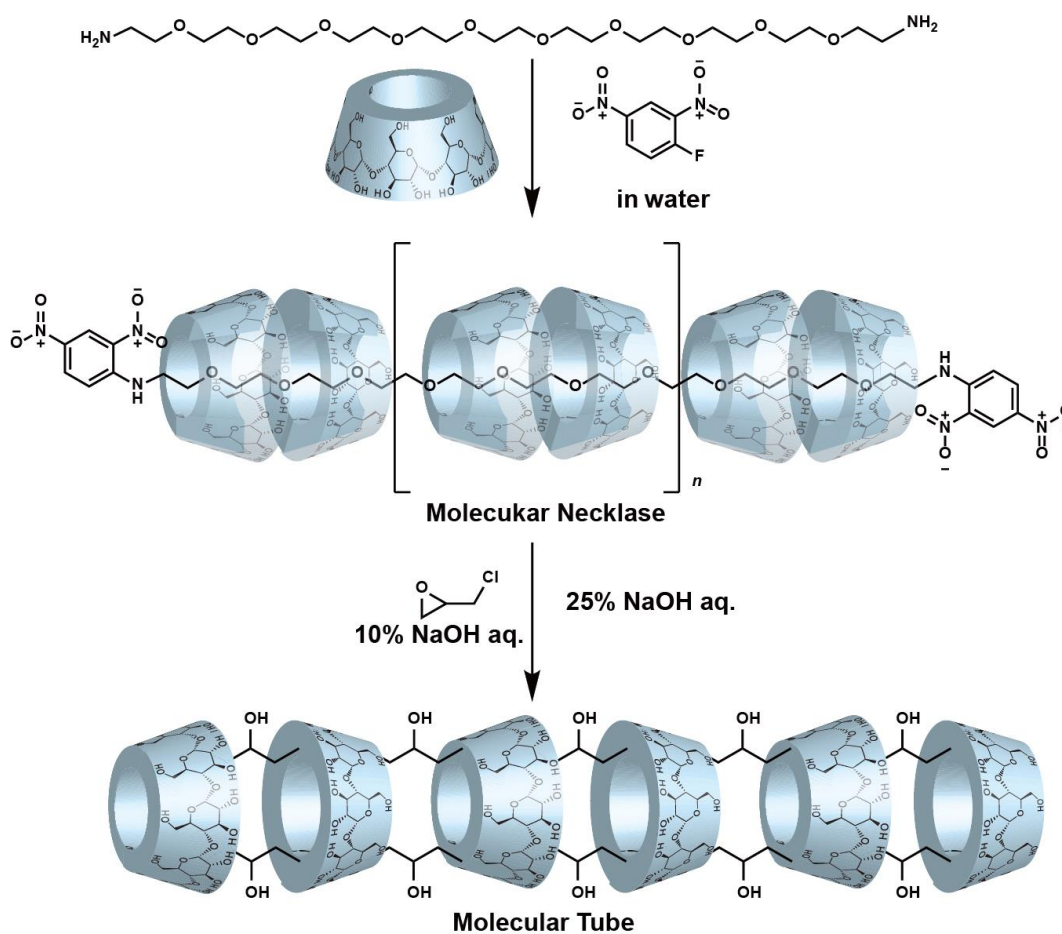


Figure 1-6. Synthetic route of polyrotaxane^{66, 67} and molecular tube.

Catenanes were first synthesized by Wasserman⁶⁹ in 1960. To increase the isolated yield of catenans, Sauvage used coordination complexes as a template⁷⁰ (Figure 1-7a). Stoddart reported using donor–acceptor interaction between bipyridinium cation and dioxynaphthalene for temporary joint of two rings before final closing reaction⁷¹ (Figure 1-7b). Borromean links⁷² was a kind of catenanes which rings were interlocked each other.

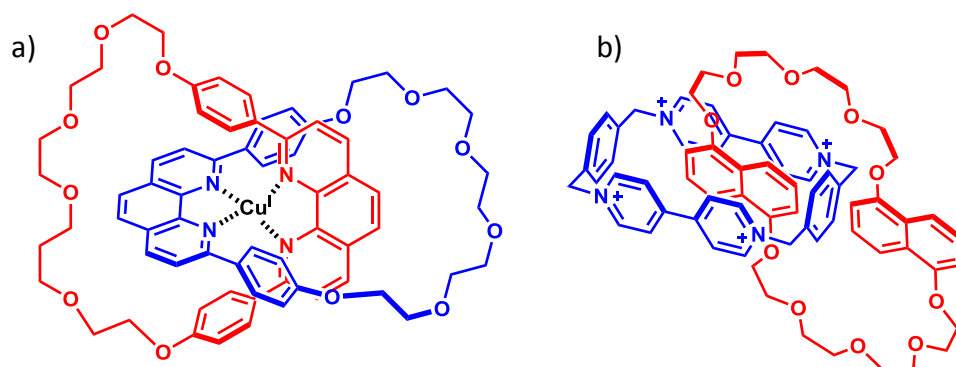


Figure 1-7. Structures of catenane which was reported by **a)** Sauvage⁷⁰ and **b)** Stoddart.⁷¹

Molecular knots with coordination complex consisting of copper (I) and phenanthroline as a template were synthesized by Sauvage in 1999.⁷³ The trefoil knot has chirality (Figure 1-8a). Later, Leigh⁷⁴ used two types of metal complex as template to prepare the molecular knot (Figure 1-8b)

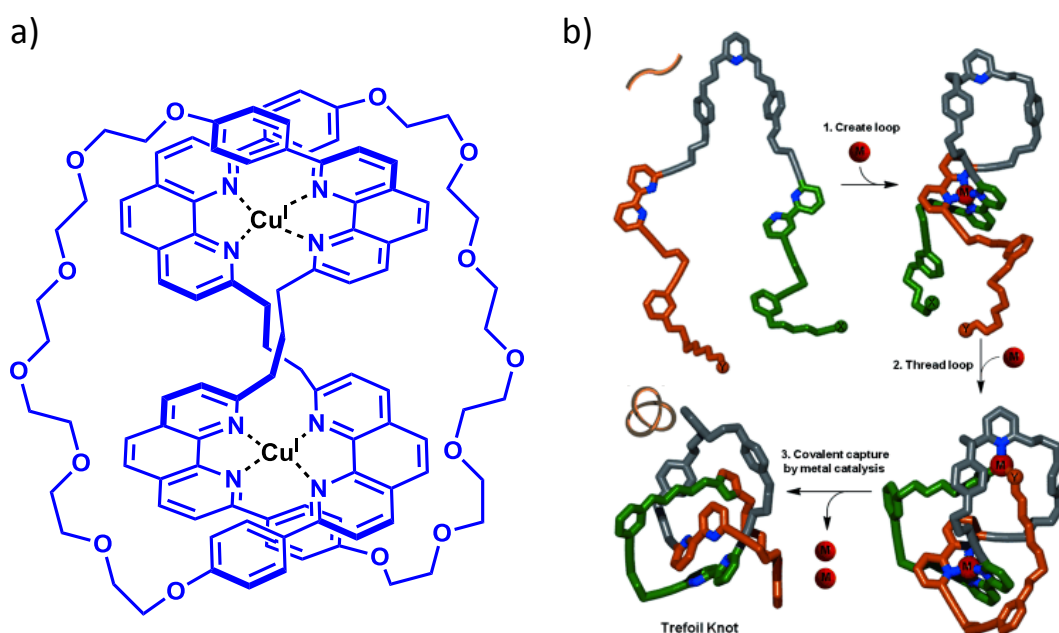


Figure 1-8. Structure of **a)** Sauvage's trefoil knot⁷³ and **b)** Leigh's trefoil knot by using metal template.⁷⁴

Molecular machines

Molecular motors in biological systems are roughly distinguished between linear molecular motors, such as myosin, kinesin and dynein^{75–78} from rotary motors, such as F_0F_1 -ATP synthase and F_1 -ATPase.^{79–81} Rotaxanes are considered to be a good example of controlled molecular movement in both linear and rotary molecular motor systems. Recently, studies on controlled synthetic linear^{82, 83} and rotary molecular motors have been reported by using rotaxane as building blocks, which have achieved by controlling rotary movement.^{84–87} Harada *et al.* have previously reported that the linear movement of α -cyclodextrin (α -CD) in *pseudo*-[2]rotaxane with dicationic axle molecules should be controlled by the terminal group of axle molecules.^{88, 89} Various biological molecular motors, such as myosin, kinesin, and dynein, can convert energy from ATP hydrolysis into a linear motion. Myosin and actin filaments in muscle cells insert into each other to form an alternating layered structure and slide to demonstrate contraction and expansion behaviour in the power stroke process.^{90–92} The sliding of myosin and actin filaments has inspired the realization development of artificial linear motors using supramolecular complexes (Figure 1-9).



Figure 1-9. Illustration of biological molecular motor green line is actin, and orange line is myosin.

The concept of artificial molecular machines was proposed in historic lecture “There's Plenty of Room at the Bottom” by Feynman in 1959. General preparation method of molecular machines is the introducing residues responsive to external stimuli. Aida *et al.* reported photo-generated molecular pedal driven by photo-isomerization of azobenzene.⁹³ The molecular pedal shows mechanical twist of the second molecule in a controlled and

reversible manner (Figure 1-10a). Kelly⁹⁴ and Feringa^{95, 96} reported that molecular motors showed photo and thermal responsive rotary motion (Figure 1-10b, c).

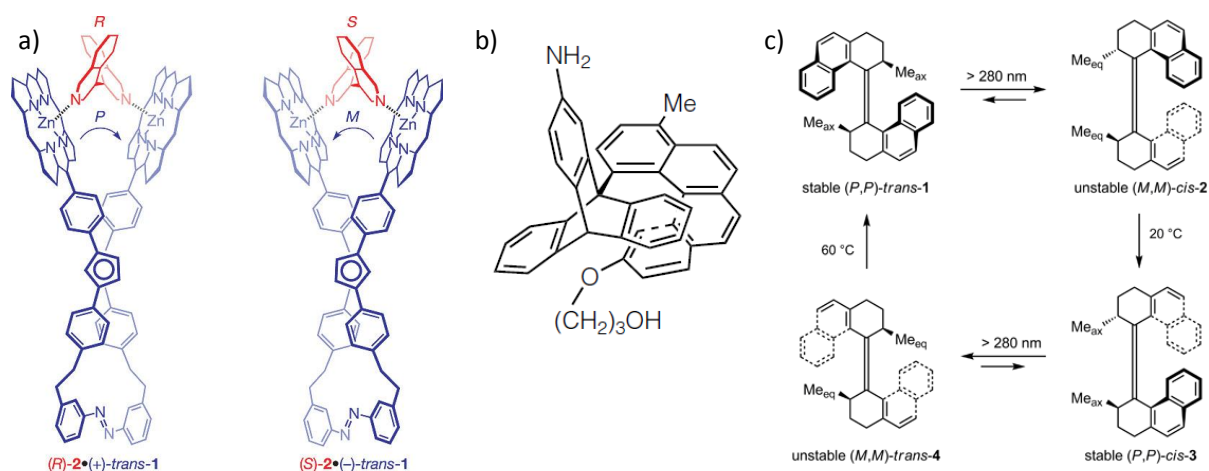


Figure 1-10. Examples of molecular machine generated by external stimuli. **a)** Aida's molecular pedal⁹³, **b)** Kelly's⁹⁴ and **c)** Feringa's⁹⁵ molecular motors.

Mechanically interlocked molecules are used transduction of micro-Brownian motion to linear motion. Molecular shuttles are rotaxanes in which the ring component moves from one position to another axis and *vice versa*. Stoddart^{97, 98} reported stimuli responsive molecular shuttles (Figure 1-11a). Sauvage⁹⁹ and Leigh¹⁰⁰ manipulated the orbit of motion of cyclic molecules in catenane systems (Figure 1-11b, c).

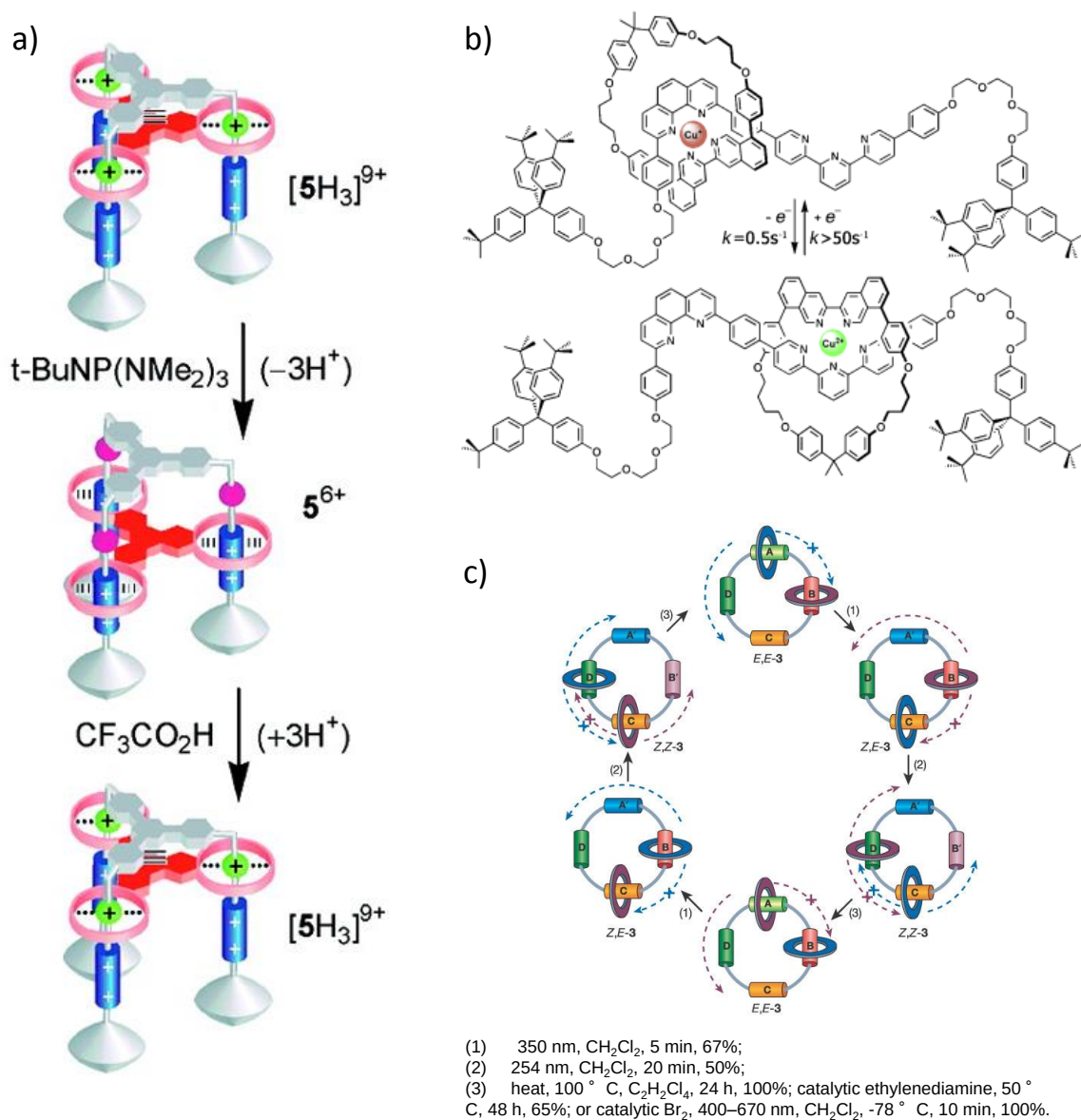


Figure 1-11. a) Supramolecular elevator reported by Stoddart⁹⁷ and b) molecular shuttle reported by Sauvage.⁹⁹ c) Rotating catenane in one direction reported by Leigh.¹⁰⁰

[c2]Daisy chains

[c2]Daisy chains (doubly-threaded rotaxane) incorporated two cyclic and axis components are one of mechanically interlocked molecules (Figure 1-12). The doubly-threaded rotaxane shows the contraction and expansion behaviour through sliding motion by changing affinity between ring and axis components by external stimuli. The sliding motion reminds supramolecular chemists the motion of muscle fibril. In the field of supramolecular chemistry, [c2]daisy chains are important components to realize artificial molecular muscles.¹⁰¹ The specific sliding actuation of [c2]daisy chains based on host–guest conjugates is controlled by external stimuli, e.g., chemicals,^{102, 103} pH,^{104, 105} redox¹⁰⁶ and light.^{107–110}

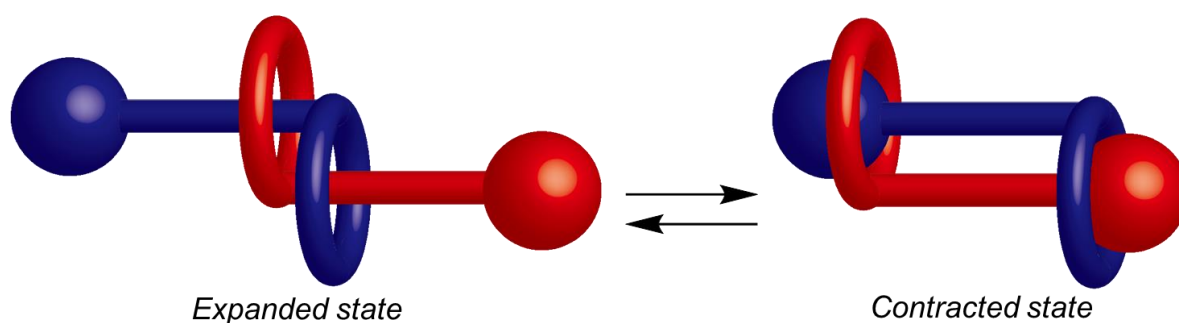


Figure 1-12. Schematic illustration of expansion and contraction of [c2]daisy chain.

Previously, Harada developed the [c2]daisy chain formed from α CD and an axis component, i.e., cinnamic acid residue modified with an oligomethylene chain.¹¹¹ Position of α CD units in [c2]daisy chain is controlled by solvent polarity. In DMSO solution, [c2]daisy chain takes an expanded state via the formation of the inclusion complex of α CD with cinnamic acid. Increasing the water volume % of solvent, [c2]daisy chain takes contracted because of the hydrophobic oligomethylene chain (Figure 1-13a). Furthermore, to realize larger contraction and expansion property, poly(ethylene glycol) (PEG) chain is used in photo-responsive [c2]daisy chain (Figure 1-13b).¹¹² The heptamethylene chain at the

end of PEG chain functions as the second station for α CD units. The association constant (K) of α CD with *trans*-azobenzene (2000 M^{-1}) is larger than that with *cis*-azobenzene (50 M^{-1}). On the other hand, K with heptane is 630 M^{-1} . The [c2]daisy chain takes an expanded state due to the formation of inclusion complex between α CD and *trans*-azobenzene. After UV ($\lambda = 365\text{ nm}$) light irradiation, *trans*-azobenzene isomerizes to *cis*-form. The α CD unit includes the heptamethylene chain more preferably than the *cis*-azobenzene unit.

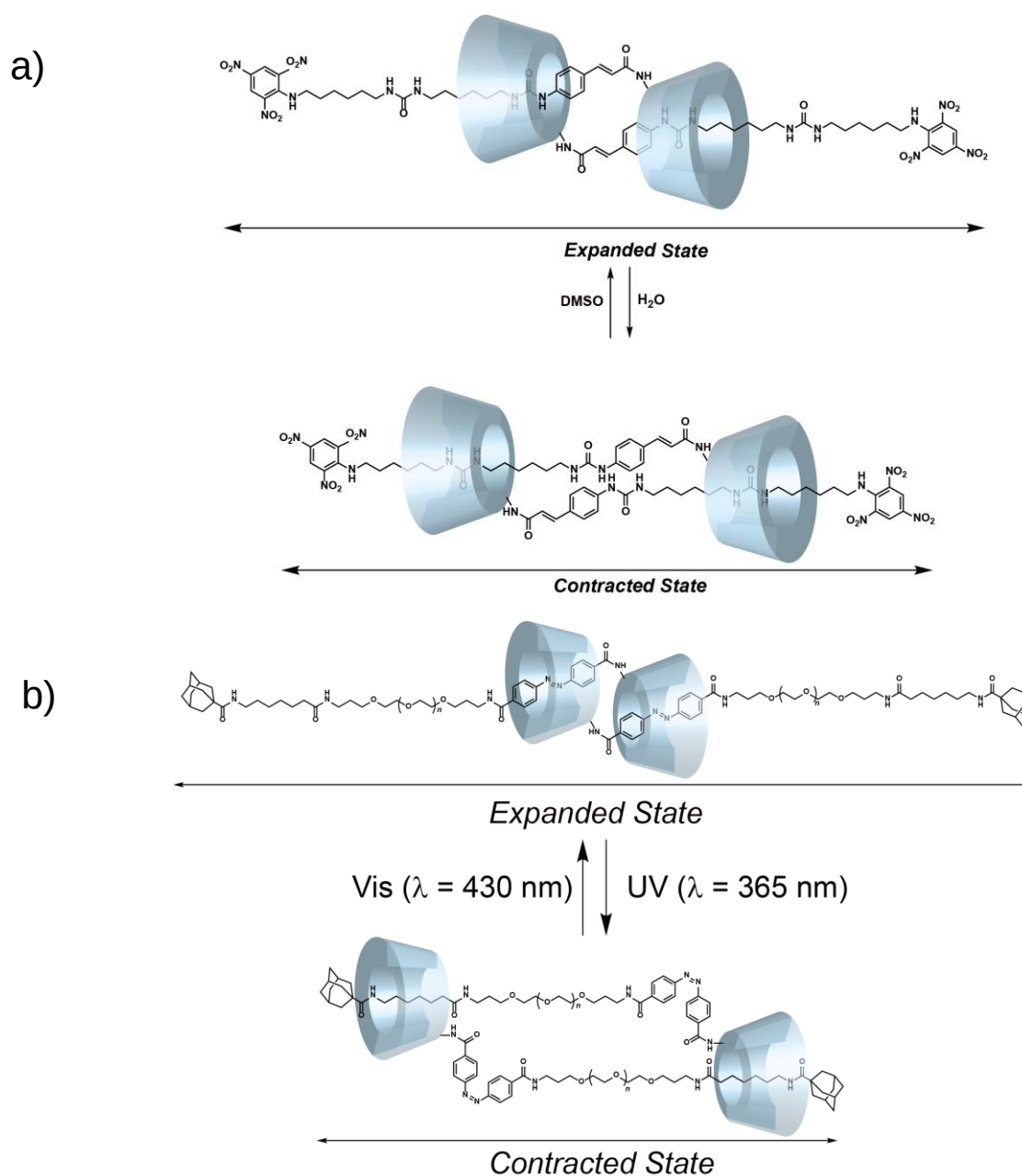


Figure 1-13. [c2]Daisy chain based on α CD and hydrophobic guest molecules. **a)** Change in the water proportion in the medium using cinnamic acid as guest molecule.¹¹⁰ **b)** Association and dissociation of α CD with azobenzene by light irradiation system.¹¹²

Sauvage¹¹¹ reported “molecular muscle” formed from crown ether containing phenanthroline components and terpyridine components. The exchange of transition-metals triggered the contraction and expansion properties (Figure 1-14).

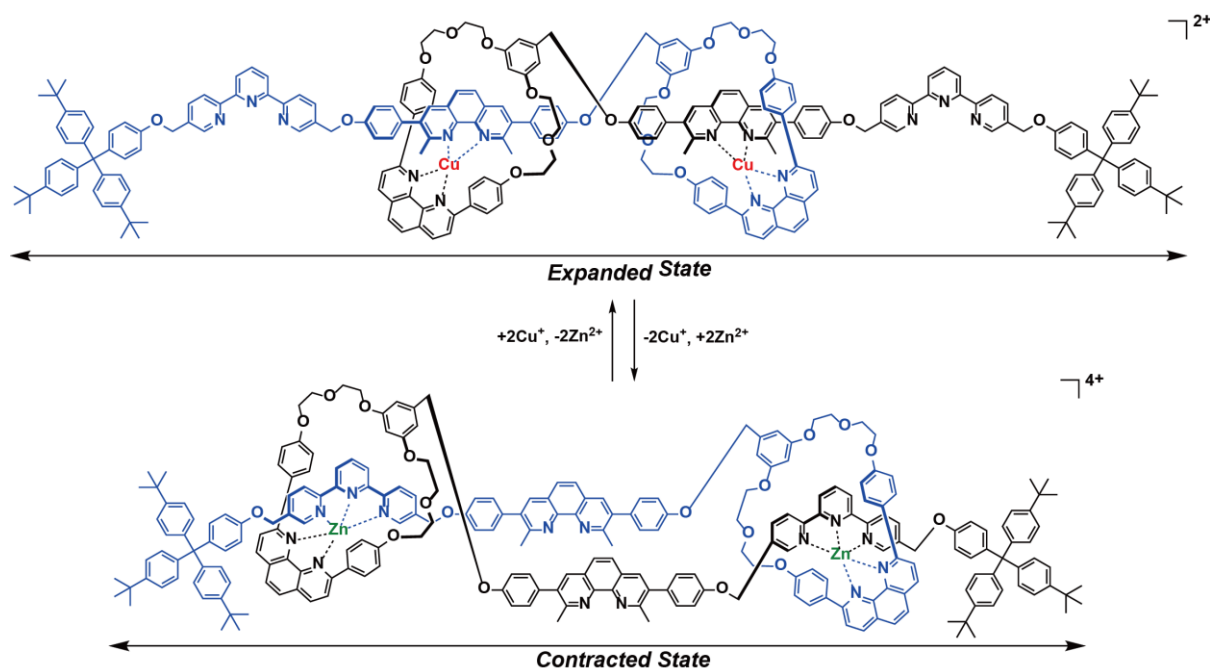


Figure 1-14. Sauvage¹¹¹'s molecular muscle. The interconversion between contraction and expansion states was induced by the exchange of metal ion.

Easton also reported a photo-responsive [c2]daisy chain based on α CD and a stilbene derivative.¹¹³ *trans*-Stilbene forms the inclusion complex with α CD in aqueous solution. After UV ($\lambda = 340$ nm) irradiation, the inclusion complex dissociates due to the low affinity for *cis*-stilbene. The change in the length of the [c2]daisy chain is triggered by association and dissociation of the inclusion complex between the stilbene unit and α CD (Figure 1-15).

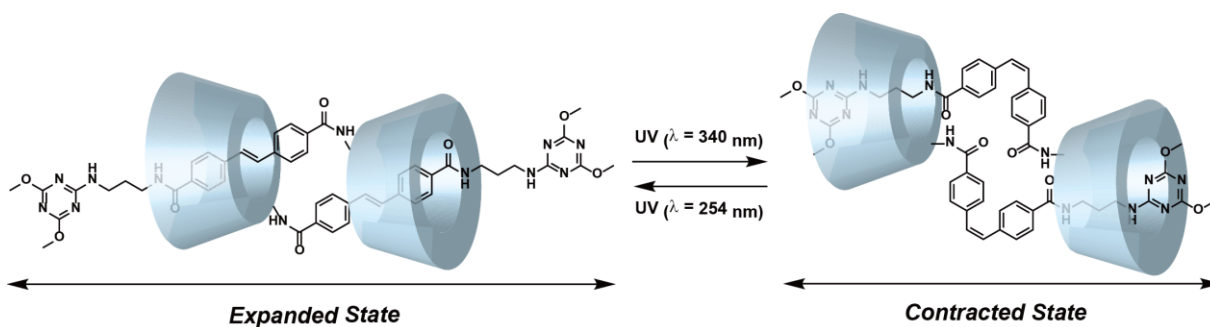


Figure 1-15. The two state of the [c2]daisy chain elaborated and studied by Easton *et al.*¹¹³

Kaneda prepared α CD and azobenzene based on oligo-[c2]daisy chain by cross-linking with α,α' -dibromo-*p*-xylene.¹⁰⁷ Under UV ($\lambda = 365$ nm) irradiation, *trans*-azobenzene isomerizes to *cis*-azobenzene and the obtained oligomer contracts due to dissociation of the inclusion complex of α CD with azobenzene residues. Under continuous Vis ($\lambda = 430$ nm) light irradiation, the contracted oligomer is restored to the initial form. After that, Stoddart reported a polymer of pH-responsive [c2]daisy chain consisting of crown ether and ammonium cation. The [c2]daisy chains in polymer takes an expanded state in organic media due to high affinity between crown ether and ammonium cation. When the ammonium units are deprotonated, the crown ether includes the bipyridinium units more favorably than the amine units. Because an affinity of crown ether and bipyridinium is higher than that crown ether and nonionic amino group. Upon addition of an acid, the length of polymer restores to initial state again (Figure 1-16).¹¹⁴ These structural change causes the contraction of polymers. However there is no report of the materials based on the [c2]daisy chain polymers.

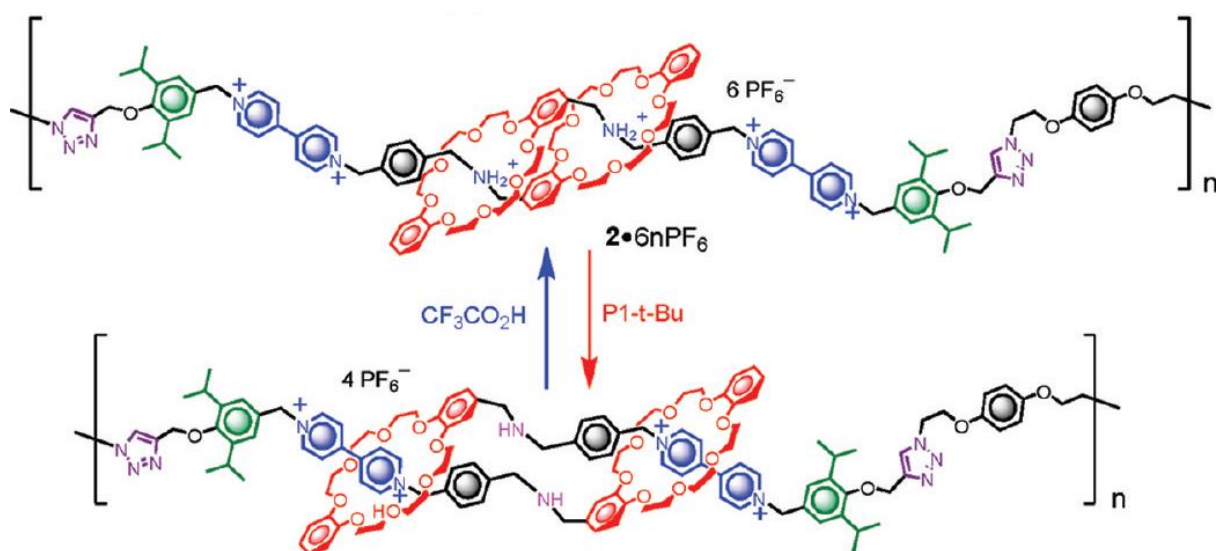


Figure 1-16. Contraction and expansion of [c2]daisy chain polymer based on the non-covalent interaction between crown ether and ammonium cation units.¹¹⁴

Actuation of materials caused by structural change of polymers

The expansion of artificial molecular machines in the field of nanotechnology into macroscopic scale is an important research subject. Researchers in supramolecular chemistry have been tried to introduce the molecular machines into polymer networks. Stoddart reported a [3]rotaxane which were connected on the gold surface (Figure 1-17a).¹¹⁵ The gold substrate was bent by the sliding motion of [3]rotaxanes according responsive to redox stimulus. Leigh reported movement of drops on the glass substrate driven by the structural change of [2]rotaxanes (Figure 1-17b).¹¹⁶ Recently, Giussepone *et al.* showed the contraction of organogel caused by rotating motion of molecular motor inspired by Feringa (Figure 1-17c).¹¹⁷

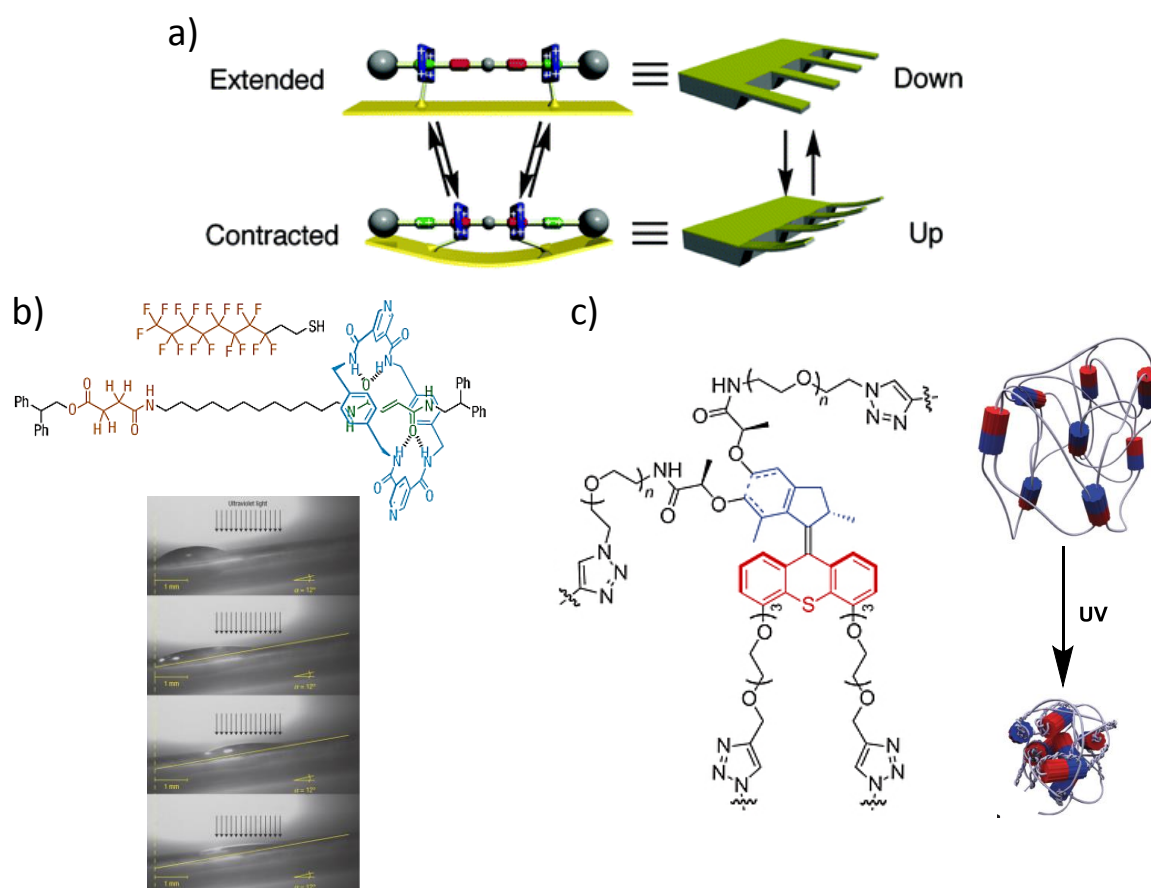


Figure 1-17. a) Gold surface actuation caused by shuttling of [3]rotaxane.¹¹⁵

b) Photo-induced transport of diiodomethane drop.¹¹⁶ c) Macroscopic contraction of gel caused by rotation of molecular motor.¹¹⁷

Macroscopic self-assembly through molecular recognition

Molecular recognition properties in macroscopic scale is reported by Harada in 2011 (Figure 1-18a).^{118–120} They prepared host gels (CD gels) and guest gels by modification of polyacrylamide-based gel with CD and guest residues, respectively. When both gels were brought in contact under wet conditions, α CD gel adhered to *n*-butyl gel. β CD gel adhered to adamantane gel (Ad gel). These selectivities depend on the association constant of CD with guest molecules. The association constant of α CD with *n*-butyl group is higher than that of the Ad group. β CD shows a high affinity for the Ad group. External stimuli such as photo,¹²¹ chemical,^{122–124} temperature,¹²⁵ pH¹²⁶ and hydrogen bonding¹²⁷ controlled the formation of macroscopic assemblies through molecular recognition. When *trans*-azobenzene modified hydrogel (Azo gel) was shaken with α CD gel and β CD gel on water, Azo gel selectively adhered to α CD gel (Figure 1-18b). Because the affinity of α CD with *trans*-Azo is higher than that of β CD. In contrast, after UV light irradiation, Azo gel selectively adhered to β CD gel due to the high affinity between β CD and *cis*-Azo.

In the previous works, host and guest molecules were introduced into the different polymer scaffold. CDs recognize guest molecules on the hydrogel surfaces. The complex formation produces the selective adhesion properties like cell adhesion and cell-sorting systems. If host and guest units are introduced into the same hydrogel, the complex formation inside the host–guest gel will realize functional properties, such as, highly elastic, tough, and self-healing through the molecular recognition. In particular, host–guest interactions are advantageous due to their reversibility and responsiveness to various external stimuli. Harada *et al.* have developed self-healing supramolecular hydrogels based on host–guest interactions at the side chain of water-soluble polymers. Harada *et al.* have proposed two different effective approaches to prepare supramolecular self-healable materials through host–guest interactions: (1) from a mixture of host and guest polymers and (2) from polymerization of host and guest monomers. The self-healing ability of supramolecular

hydrogels based on poly(acrylic acid) modified host and guest molecules (β CD polymer and ferrocene (Fc) polymer exhibits a redox responsive sol–gel transition.¹²⁸ Even if the gel is cut in two using a razor, the cut surface disappears and self-healing is completed in several hours. After 24 hours, the gel recovers 85% of the initial strength (Figure 1-18c).¹²⁹ To obtain effective self-healing materials, the complex with the β CD monomer and the Ad monomer can be copolymerized with acrylamide to give a self-healable hydrogel. When two freshly cut surfaces come into contact, the two pieces adhere to form a single gel, and the initial gel strength is almost completely recovered after 24 hours (Figure 1-18d).¹³⁰ In contrast, when lower molar contents of β CD and Ad ($< 1\text{mol}\%$) gave a high elastic hydrogel (Figure 1-18e).¹³⁰ Moreover, β CD-Ad gel which is prepared under over 2 mol/kg, showed high stretching properties (more than 1000%) (Figure 1-18f).¹³¹ The tough and flexible hydrogel can be used to a scratch coat.

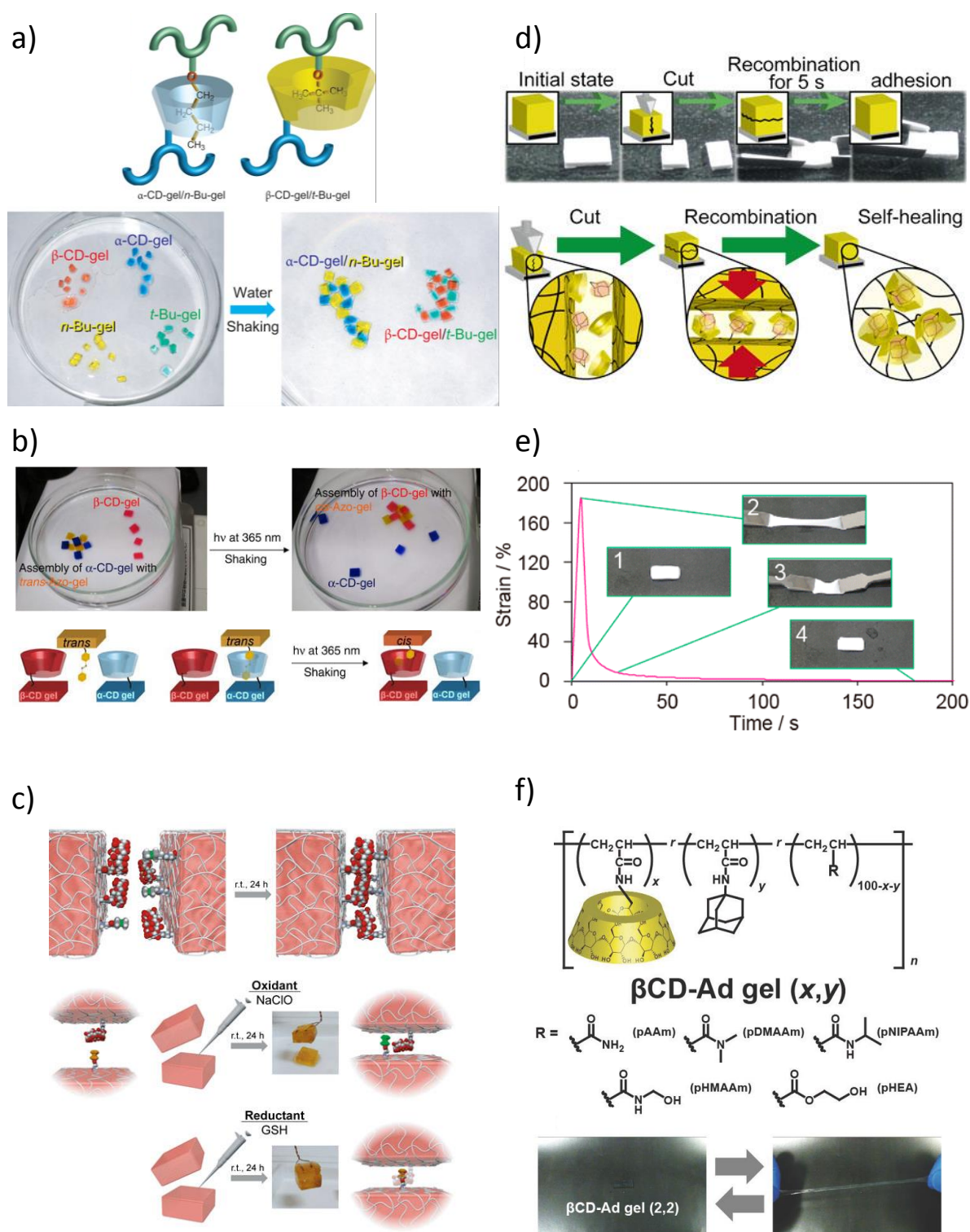


Figure 1-18. **a)** Visualization of selective molecular recognition on a macroscopic scale. ¹¹⁸ **b)** Photo-switchable gel assembly through isomerization of Azo. ¹²⁷ **c)** Redox generated self-healing gel through change of oxidation state of Fc. ¹²⁸ **d)** Self-healing gel through formation of inclusion complex between β CD and Ad. ¹²⁹ **e)** Highly stretchable hydrogel consisting of β CD and Ad. ¹³⁰ **f)** Tough and flexible hydrogel prepared from high molar content of monomers. ¹³¹

Supramolecular hydrogels cross-linked by host guest inclusion complex

The supramolecular hydrogels were formed by mixing of CD polymer and guest polymer in aqueous media. The mixture of Azo modified poly(acrylic acid) and α CD modified curdlan formed a photo-responsive sol–gel switching system (Figure 1-19a).¹³² Before UV light irradiation, the α CD unit recognizes the Azo unit to form an inclusion complex which functions as a reversible cross-linker. Irradiating with UV light converts the gel into a sol. However, visible light or heating (60 °C) induces back isomerization of the *cis*-Azo group to the *trans*-Azo group. The viscosity changes of the polymer mixture can be repeatedly induced using UV and visible lights.

Inclusion complexes act as cross-linking points between the polymers to yield supramolecular hydrogels. This material does not have any covalent cross-links. If introducing covalent cross-links partly into materials, the supramolecular materials will show an expansion–contraction behaviour by external stimuli. On the basis of the concept, stimuli responsive contraction and expansion hydrogels are designed. The hydrogel with the contraction and expansion properties were prepared by the copolymerization of azobenzene and α CD monomers with a covalent cross-link molecule (Figure 1-19b).¹³³ When the α CD-Azo gel was irradiated with UV light, the volume of the hydrogel was increased. Subsequently, irradiating with Vis light restores the initial volume due to the reformation of an inclusion complex with the α CD and Azo units. The α CD-Azo gel bends in the same direction as the incident light because the volume of the surface exposed to UV light increases, while non-exposed surface remains unchanged. Hence, the strain deformation between the exposed and unexposed areas creates the flex behaviour of α CD-Azo gels. This concept can adapt other supramolecular actuator by choosing suitable stimuli-responsive molecules. When introducing β CD as a host and Fc as guest molecules, the β CD-Fc gel exhibited the contraction–expansion behaviour responsive to redox stimulus (Figure 1-19c).¹³⁴

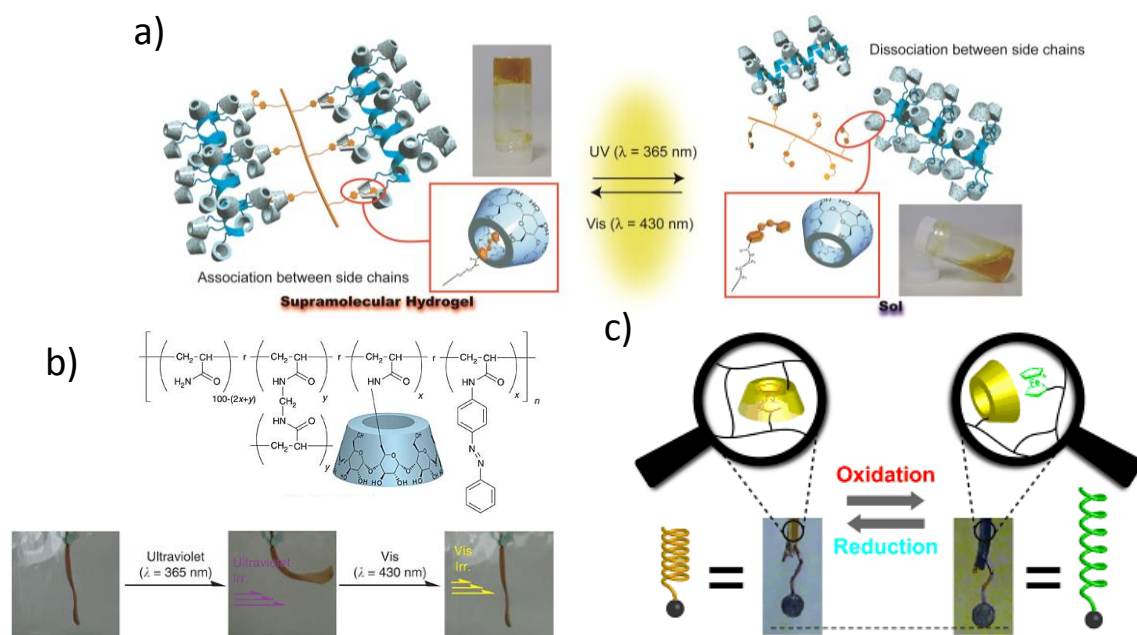
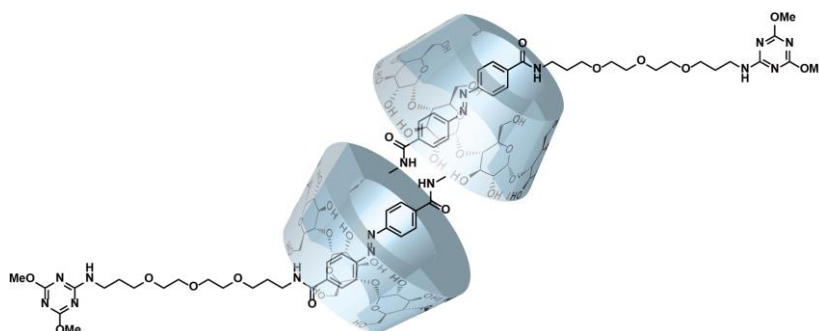


Figure 1-19. a) Photo-generated sol-gel transition.¹³² b) Photo-responsive actuator consisting of α CD and Azo.¹³³ c) Redox responsive gel actuator consisting of β CD and Fc.¹³⁴

Scope and outline of this thesis

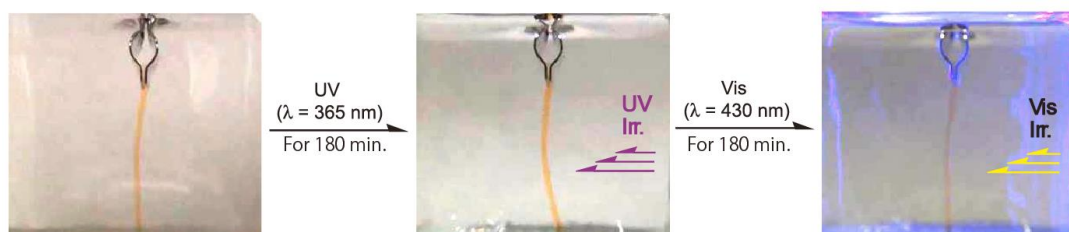
The present thesis focuses on the preparation of supramolecular molecules and materials with the contraction and expansion properties based on photo-responsive [c2]daisy chain molecule. The sliding motion of [c2]daisy chain is caused by a change in the affinity between α CD and azobenzene via photo-isomerization. When introducing the [c2]daisy chain units in supramolecular materials, the supramolecular materials with [c2]daisy chain is expected to demonstrate the contraction and expansion properties like biological skeletal muscle. To demonstrate the actuation mechanism, the author investigates mechanical properties of the gel materials resulting from the sliding motion of [c2]daisy chain.

In Chapter 2, the [c2]daisy chain consisting of Azo and α CD ([c2]DMTAzoCD₂) was prepared to investigate the sliding motion and the photo-isomerization properties. [c2]DMTAzoCD₂ was derived from diethylene glycol (DEG) with the amino group at the end and Azo modified α CD (AmAzoCD). Under irradiation with UV ($\lambda = 365$ nm) for 60 min, the *trans*-Azo units in [c2]DMTAzoCD₂ is isomerized to the *cis*-isomers. In contrast, *cis*-[c2]DMTAzoCD₂ came back to *trans*-[c2]DMTAzoCD₂ within 80 s by under Vis light irradiation because the isomerization efficiency of Azo in the α CD cavity is suppressed. As a result, after UV light irradiation, the α CD unit of [c2]DMTAzoCD₂ move onto the azobenzene unit of the DEG chain.

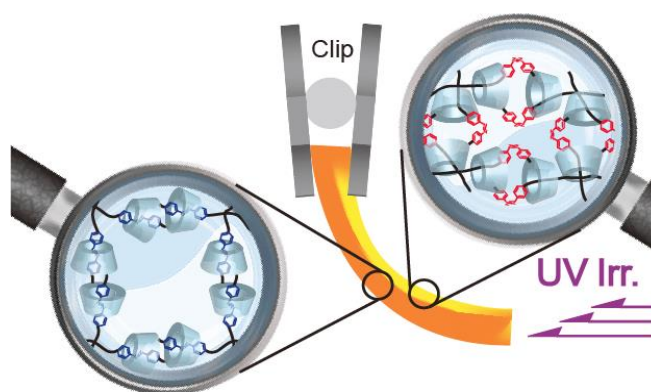


In Chapter 3, the supramolecular hydrogel was prepared by polycondensation between four-arm poly(ethylene glycol) (tetraPEG) and inclusion complex of AmAzoCD in water. The hydrogel with the [c2]daisy chain ([c2]AzoCD₂ hydrogel) showed the

contraction behaviour by UV light irradiation. Subsequence, Vis light irradiation, the contracted [c2]AzoCD₂ hydrogel was restored to the initial form. Irradiating the UV light, the plate shape [c2]AzoCD₂ hydrogel bent toward to the light source. In contrast, the azobenzene gel (Azo hydrogel) showed opposite behaviour to that of the [c2]AzoCD₂ hydrogel. Young's moduli of each hydrogels before and after UV irradiation were characterized by evaluated by tensile test. The contraction and expansion properties of the [c2]AzoCD₂ hydrogel was caused by the sliding motion of [c2]daisy chain. On the other hand, the expansion and contraction behaviour of the Azo hydrogel was caused by change in the cross-linking density.



In Chapter 4, The [c2]AzoCD₂ xerogel lyophilized from the [c2]AzoCD₂ hydrogel shows rapid actuation by UV irradiation. In contrast, the Azo xerogel did not show the behaviour. The results of tensile test indicate that the [c2]AzoCD₂ xerogel which irradiated by UV light a higher rupture strain than non-irradiated one. In contrast, rupture strength of each xerogels did not change.



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Chapter 2

Photo-generated [c2]daisy chain of C_2 symmetric doubly-threaded rotaxanes for contraction and expansion structures.

Introduction

Biological molecular motors such as muscle fibers, flagella and cilia¹⁻⁸ are precisely designed in nature, and are reminiscent of microscale electronic and mechanical devices. The actin and myosin convert chemical energy from ATP hydrolysis to the linear movement as mechanical work, which produce contraction and expansion of sarcomer. Inspired by the linear movement of biological systems, supramolecular researchers have tried to prepare artificial molecular motors using cyclic molecules. Rotaxanes are considered to be a good example of controlled molecular movement in linear molecular motor systems. Recently, studies on controlled synthetic linear molecular motors have been reported by using rotaxane, which are achieved by controlling linear movement. Design of artificial molecular motors regards ring, axis, and bulky stopper molecules of rotaxan as myosin, actin, and Z-discs.^{1, 2} Previously, there are linear type artificial molecular motors with [c2]daisy chain.³⁻¹⁶ Photo-stimulus is useful energy to generate the linear motion due to tunable wavelength and switching. Photo-generated linear motion using [c2]daisy chain was developed by Kaneda *et al.*^{1, 2} They introduce a long linker molecule to control the molecular motion by using the isomerization of an azobenzene (Azo) moiety in the [c2]daisy chain unit because the dissociated α CD unit from the Azo unit moves onto the linker molecule. The association constant of α -cyclodextrin (α CD) for *trans*-Azo is larger than that for *cis*-Azo (*trans*-Azo; $K_a = 12,000 \text{ M}^{-1}$, *cis*-Azo; $K_a = 4.1 \text{ M}^{-1}$). Harada *et al.* reported [c2]daisy chain based on α CD and Azo derivative with a poly(ethylene glycol) linker. The [c2]daisy chain shows photo-isomerization and the contraction–expansion behaviour in water.³

In this chapter, the author demonstrates the preparation and structural analysis of the [c2]daisy chain with α CD and Azo derivative ([c2]DMTAzoCD₂). [c2]DMTAzoCD₂ exhibits the sliding properties in response to photo-stimulus in water (Figure 2-1). The experimental procedures of AmAzoCD, [c2]DMTAzoCD₂ were shown at page 45–61.

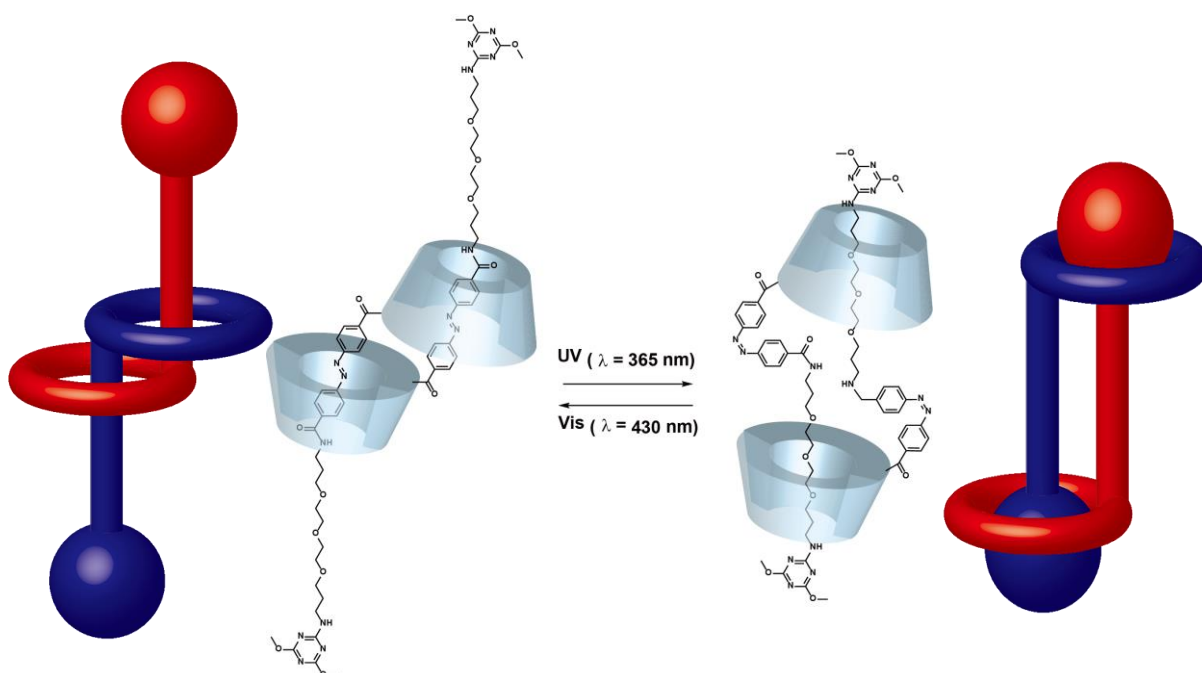


Figure 2-1. Chemical structure of [c2]DMTAzoCD₂

Results and discussion

Preparation and structural characterization of [c2]daisy chain

^1H NMR measurements of 3A- α CD with the amino-Azo derivative (AmAzoCD) determines the supramolecular structure of [c2]daisy chain in D_2O or $\text{DMSO-}d_6$ (2.0 mM in 700 μL). The peaks of the Azo unit split symmetrically in D_2O but asymmetrically in $\text{DMSO-}d_6$ (Figure 2-2). ^1H 2D ROESY NMR spectrum shows the correlation signals between the Azo protons and the inner protons of α CD ($(\text{C}^3\text{H}, \text{C}^5\text{H})$) in D_2O (Figure 2-3). However the ROESY NMR spectrum in DMSO does not. These results indicate that the AmAzoCD forms a symmetric inclusion structure in water.

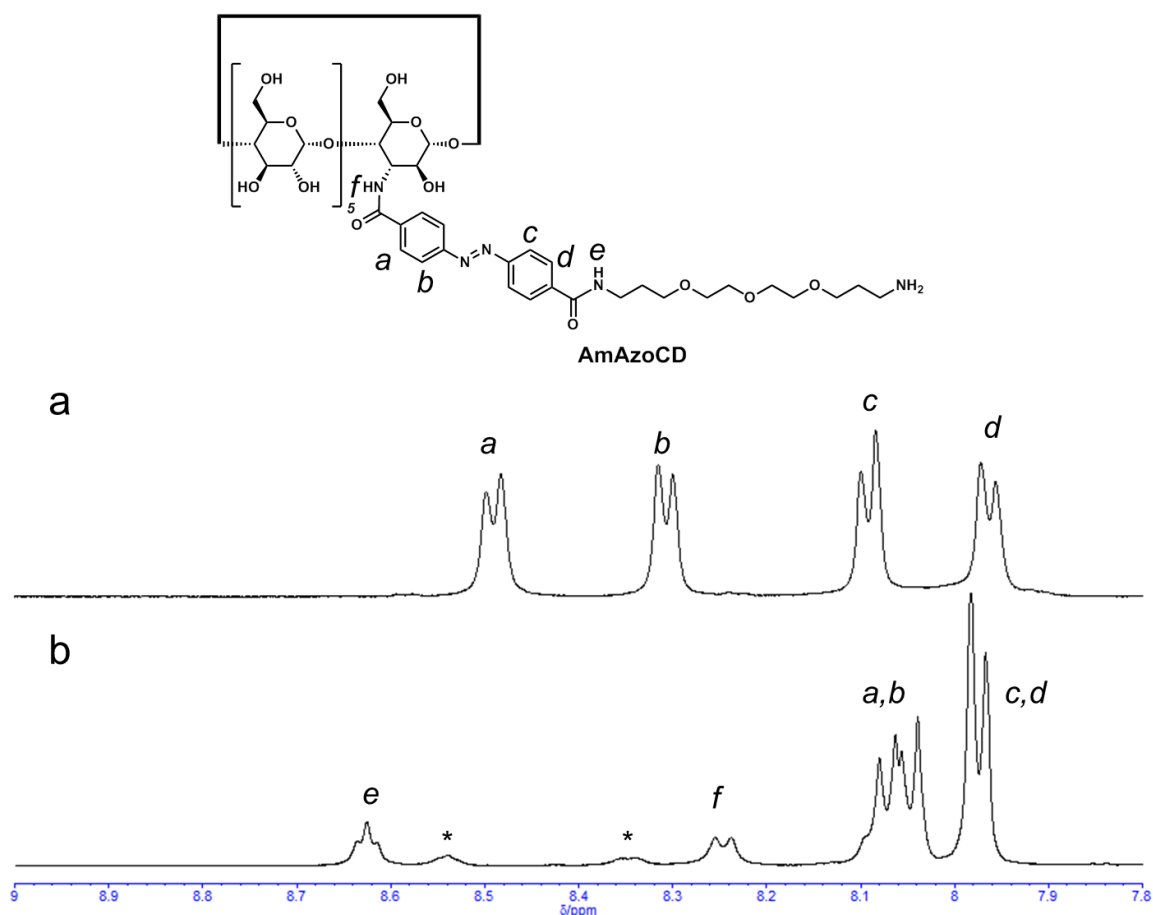


Figure 2-2. ^1H NMR spectra of AmAzoCD in **a)** D_2O , and **b)** $\text{DMSO-}d_6$. * is partial formed AmAzoCD inclusion complexes.

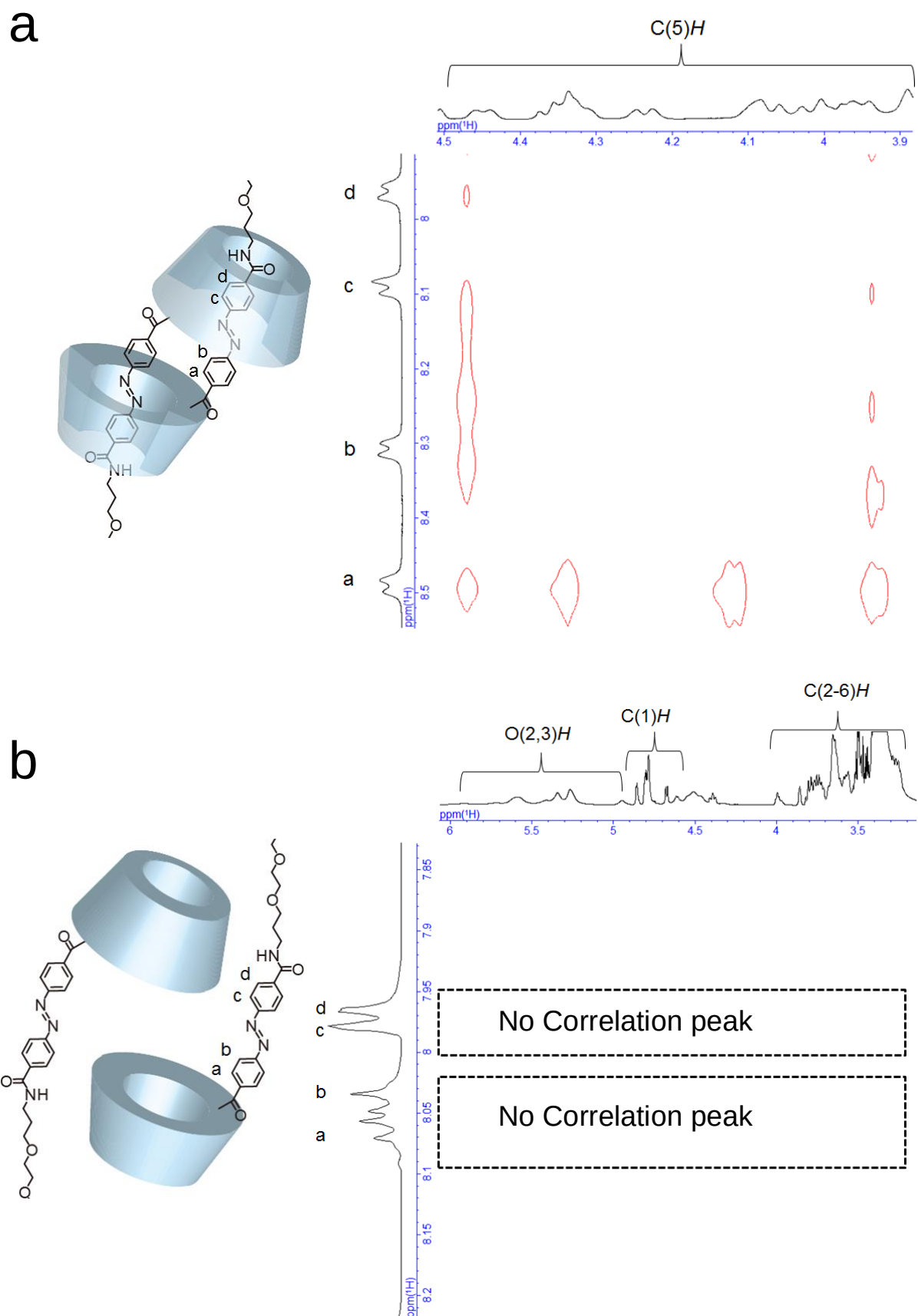


Figure 2-3. 2D ROESY NMR spectra of AmAzoCD in **a)** D₂O, and **b)** DMSO-*d*₆.

The introduction of bulky stopper into AmAzoCD

On the basis of the above results, AmAzoCD forms a symmetric supramolecular structure in aqueous solution. But the species of the supramolecular structure is not observed by mass spectroscopy due to dissociation of the [c2]daisy chain structure by laser impact of mass spectrometer.

To observe the species of the [c2]daisy chain structure, bulky stopper are introduced into the terminal group of the amino group of AmAzoCD, which forms a mechanically interlocked molecule ([c2]DMTAzoCD₂). 2-Chloro-4,6-dimethoxy-1,3,5-triazine (DMT-Cl) as a bulky stopper was introduced into AmAzoCD by nucleophilic imidoyl substitution in water. [c2]DMTAzoCD₂ was purified by reversed phase HPLC with an MS detector (Figure 2-4).

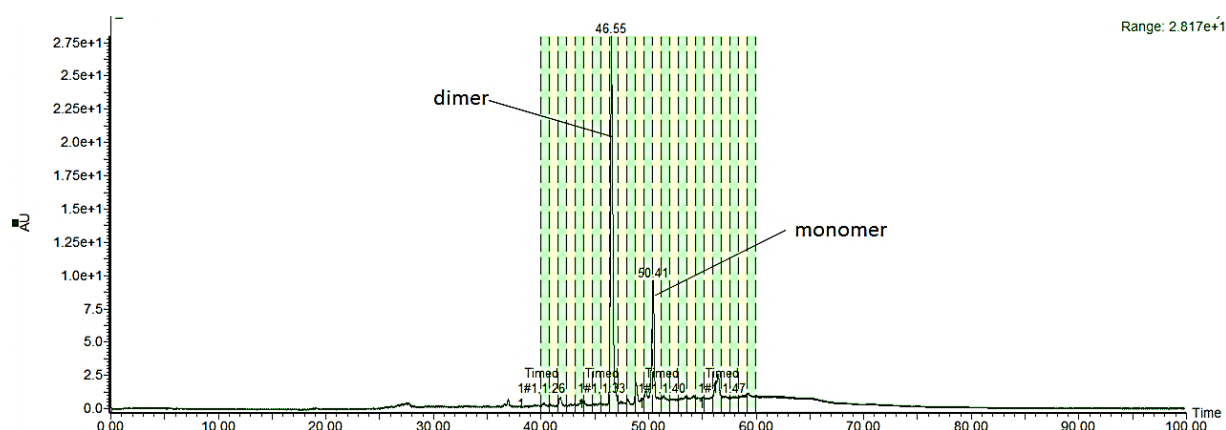
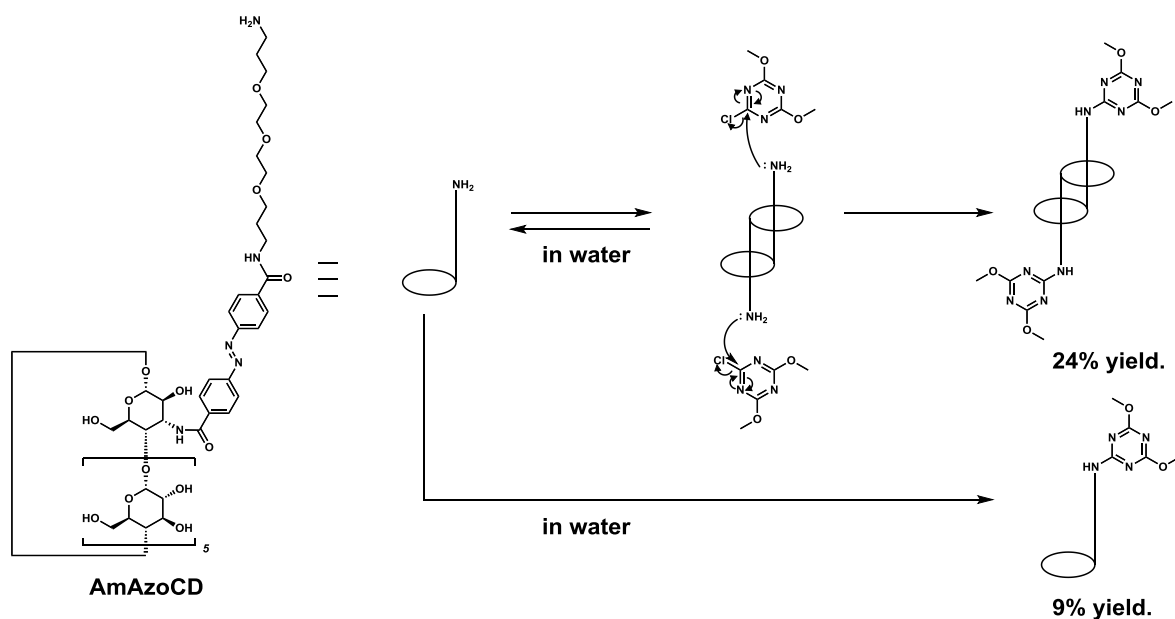


Figure 2-4. HPLC-MS chromatogram of the reaction mixture of AmAzoCD and DMT-Cl.

Figure 2-4 shows that the crude product contains two products. One is dimer of AmAzoCD ([c2]DMTAzoCD₂), and the other was monomeric AmAzoCD with DMT-Cl, called DMTAzoCD. [c2]DMTAzoCD₂ and DMTAzoCD were collected in 24 and 9% yield, respectively (Scheme 2-1). The MALDI-TOF mass spectra of these products display dimeric and monomeric peaks.



Scheme 2-1. Preparation of mechanically interlocked supramolecular structure by nucleophilic imidoyl substitution.

Structural analysis of [c2]DMTAzoCD₂

The author investigated the spectroscopic analysis for [c2]DMTAzoCD₂. The ¹H NMR spectrum of [c2]DMTAzoCD₂ in D₂O was characterized by 2D H-H TOCSY, COSY, and ROESY NMR spectra (Figure 2-5, 2-6, and 2-7). The results indicate that the structure of [c2]DMTAzoCD₂ is a C₂ symmetric doubly-threaded dimer structure. There are two estimated structures of [c2]DMTAzoCD₂. One is the doubly-threaded and the other is linear dimer. 2D ROESY NMR spectrum (Figure 2-8) indicates that the correlation between all Azo protons (*a*, *b*, *c*, *d*) and inner protons of αCD (C₃, A₃, C₅, A₅). Therefore, the [c2]DMTAzoCD₂ has a C₂ symmetric doubly-threaded dimer structure.

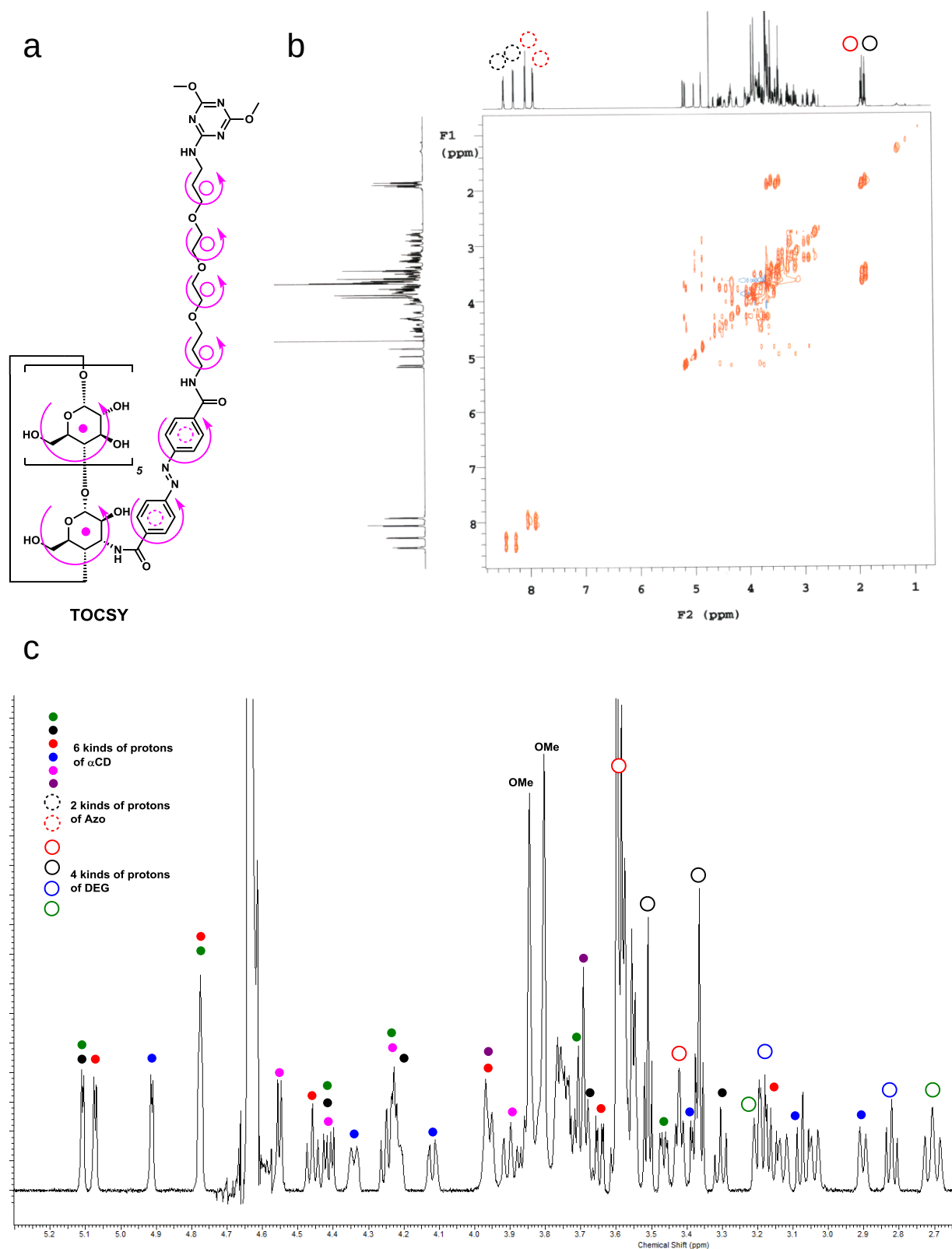


Figure 2-5. 2D TOCSY NMR spectra of [c2]DMTAzoCD₂. **a)** Schematic illustration of the correlation of TOCSY spectrum. Pink arrows mean the range of indirect spin coupling. **b)** full and **c)** a partial spectrum. Dots (CD), dashed circles (Azo), and circles (DEG) mean the difference of spin coupling.

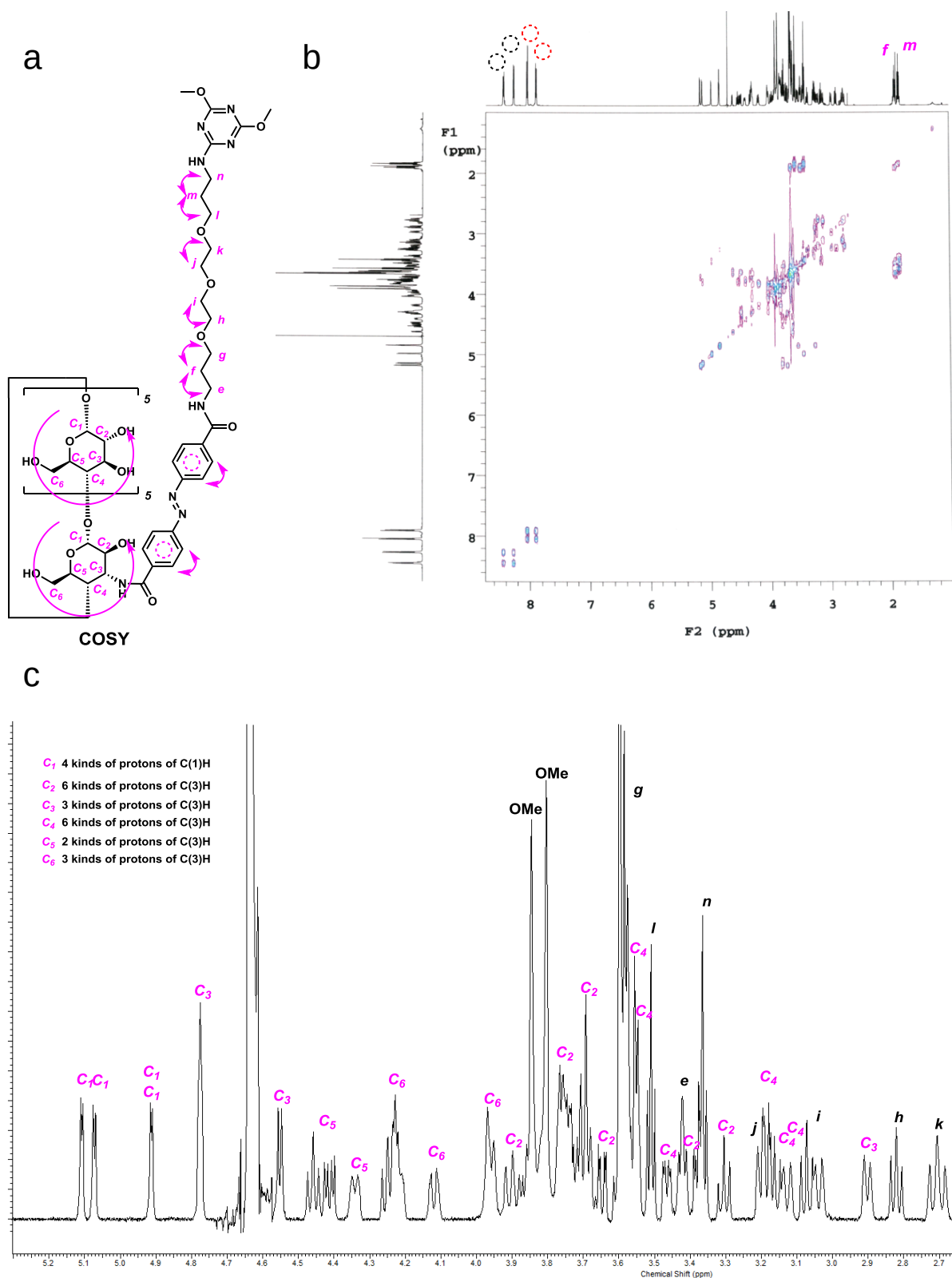


Figure 2-6. 2D COSY NMR spectra of [c2]DMTAzoCD₂. **a)** Schematic illustration of the correlation of COSY spectrum. Pink arrows mean the range of direct spin coupling. **b)** full and **c)** a partial spectrum. Dashed circles mean the difference of spin coupling.

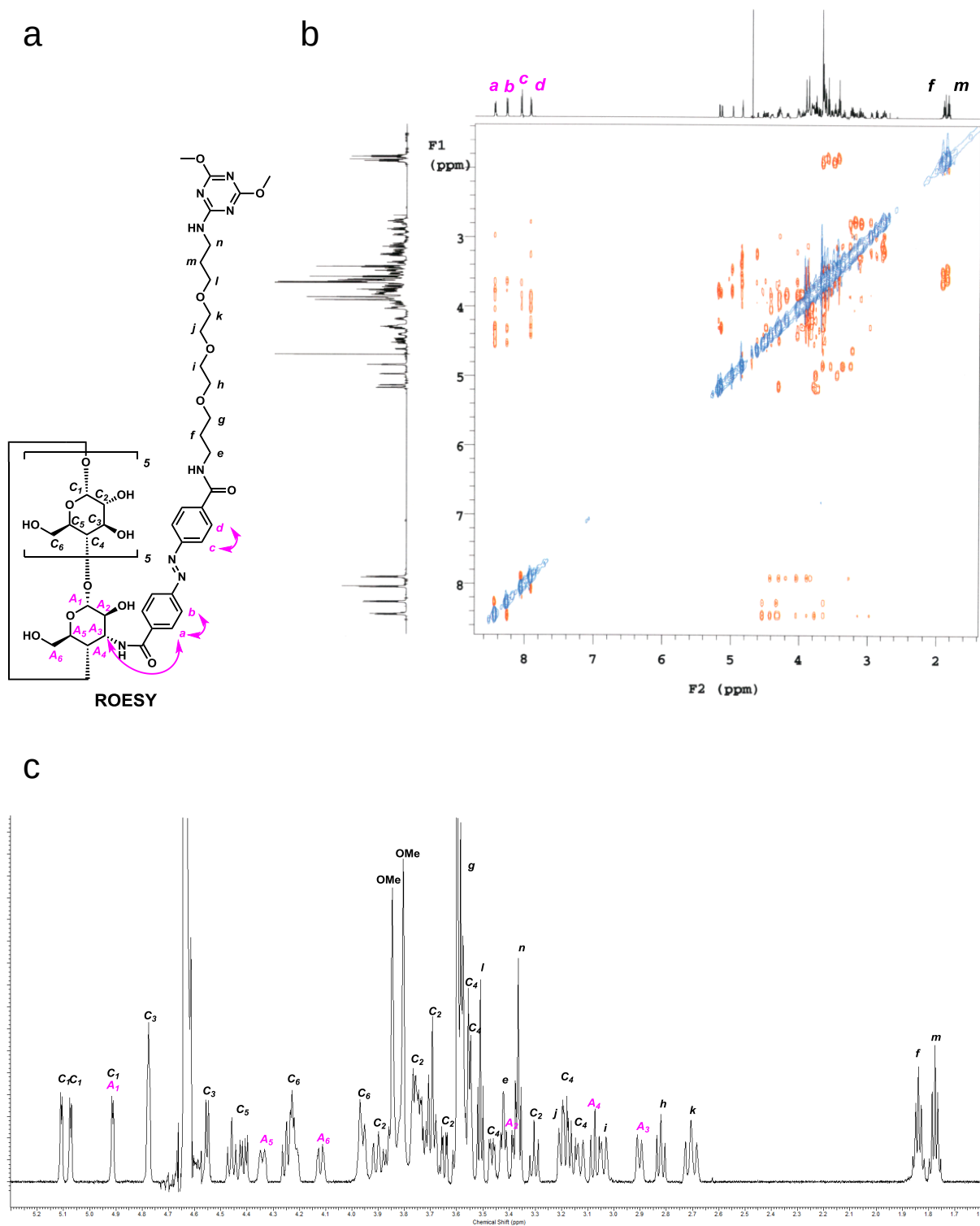


Figure 2-7. 2D ROESY NMR spectra of [c2]DMTAzoCD₂. **a)** Schematic illustration of the correlation of ROESY spectra. Pink arrows mean the range of spatial spin coupling. **b)** full and **c)** a partial spectrum.

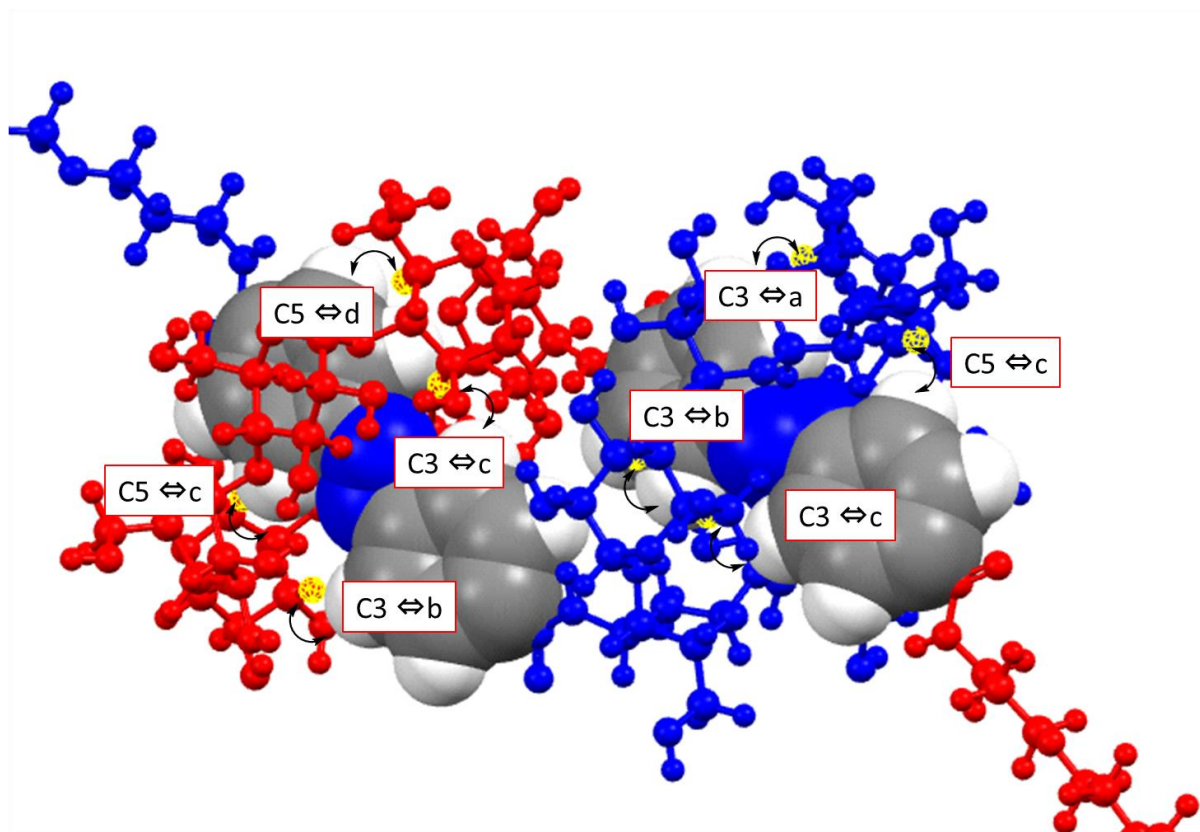


Figure 2-8. A partial structure of [c2]DMTAzoCD₂ to indicate the correlation between Azo protons and inner protons of αCD. The structure of [c2]DMTAzoCD₂ is C₂ symmetric doubly-threaded rotaxane.

¹H Variable Temperature NMR measurement of [c2]DMTAzoCD₂.

To investigate the sliding motion of [c2]DMTAzoCD₂, ¹H variable temperature (VT) NMR spectra were measured at the temperature range of 293–353 K in D₂O and DMSO-*d*₆. The molar content of [c2]DMTAzoCD₂ was 2 mM in 700 μL in D₂O or DMSO-*d*₆. In D₂O, all signals of [c2]DMTAzoCD₂ did not change in the range of temperature. On the other hand in DMSO-*d*₆, the signals of *b* protons in the methylene were broad whereas those of *a* protons were not observed (Figure 2-9). It is suggested that the αCD unit of [c2]DMTAzoCD₂ shows the sliding motion in DMSO-*d*₆, The sliding speed may be similar to the time scale of ¹H NMR measurements at lower temperatures, but the speed may be higher at higher temperatures. The αCD residue in [c2]DMTAzoCD₂ shuttles from the Azo residue to the oligomethylene residue and *vice versa*.

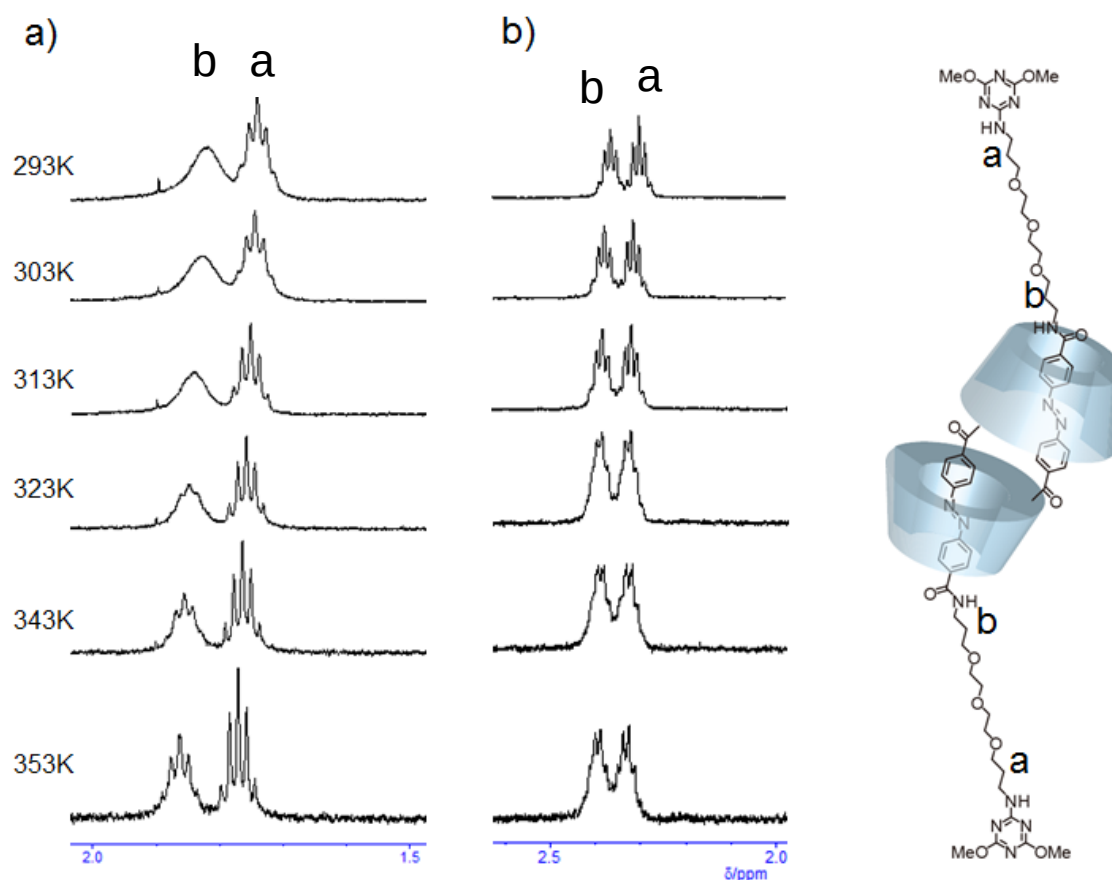


Figure 2-9. ^1H VT NMR spectra of [c2]DMTAzoCD₂ in **a)** D₂O and **b)** DMSO-*d*₆ the range of temperature was between 293–353 K.

Photo-isomerization of [c2]DMTAzoCD₂.

UV-Vis spectroscopy tracks the photo-isomerization of the Azo group in [c2]DMTAzoCD₂ (Figure 2-10). Generally, Azo derivatives show *trans*- and *cis*-photo-isomerization by UV and Vis light irradiation, respectively. The absorption band ascribable to the $\pi-\pi^*$ transition decreased upon irradiation with UV light ($\lambda = 365$ nm) and an $n-\pi^*$ transition band appears until 20 min. Conversely, the absorption band ascribable to the $\pi-\pi^*$ transition restored upon irradiation with Vis light ($\lambda = 430$ nm) and an $n-\pi^*$ transition band disappears until 80 s. These results indicate that UV irradiation causes the *trans*-Azo group of [c2]DMTAzoCD₂ to isomerize into the *cis*-form, whereas Vis irradiation causes the *cis*-Azo group to restore into the *trans*-form (Scheme 2-2).

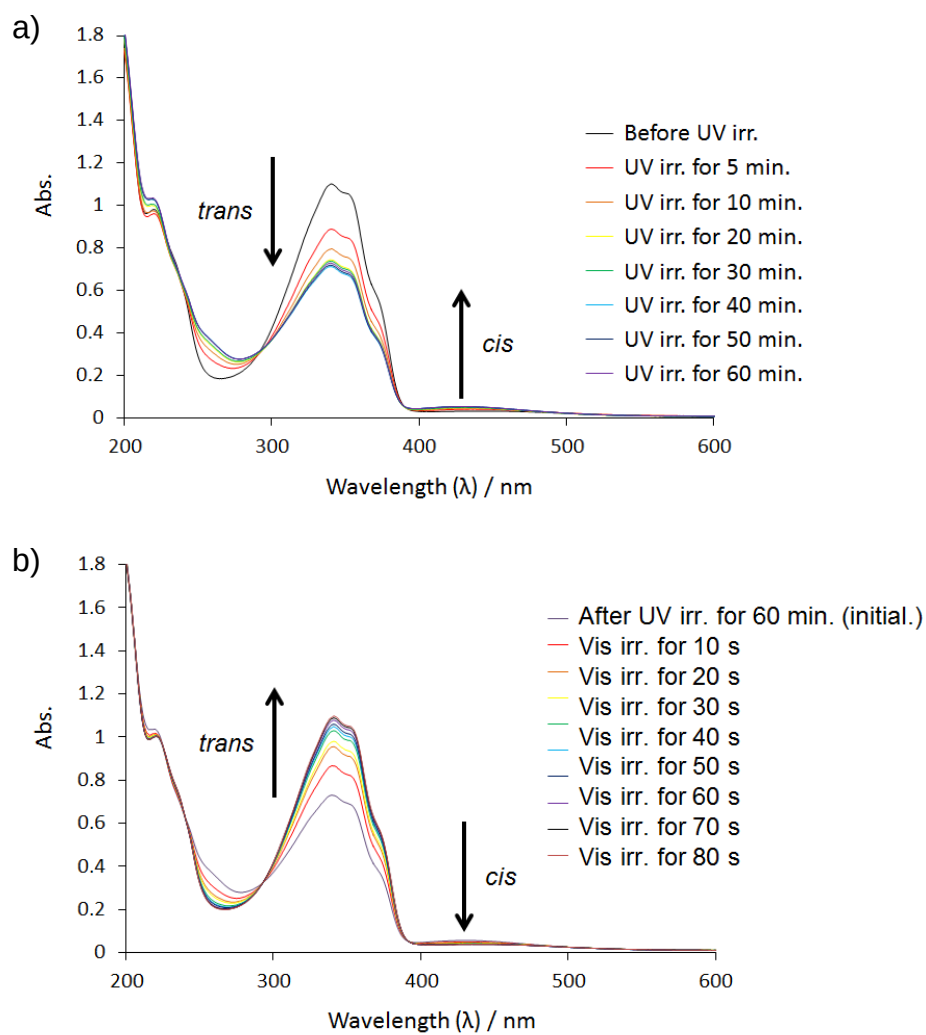
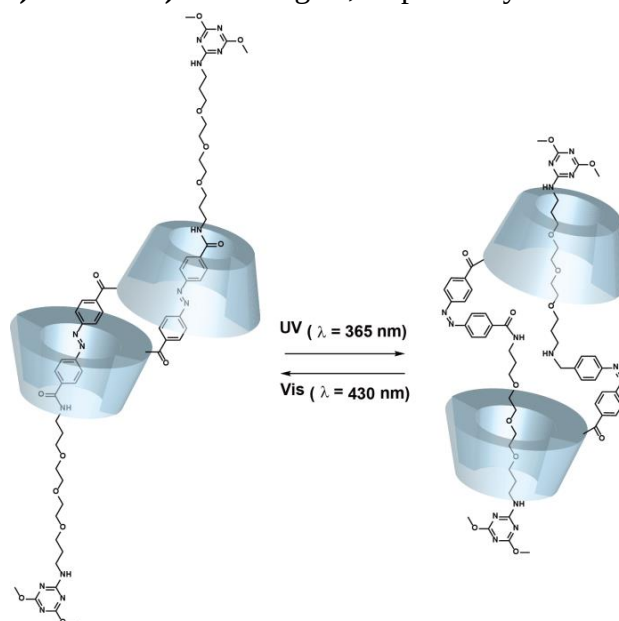


Figure 2-10. Time-resolved absorption spectra for [c2]DMTAzoCD₂ under photo-irradiation with **a)** UV and **b)** visible lights, respectively.



Scheme 2-2. Schematic illustration of photo-isomerization of [c2]DMTAzoCD₂.

Photo actuation of [c2]AzoCD₂

Finally, contraction and expansion crystals of [c2]AzoCD₂ were prepared. AmAzoCD formed needle crystals consisting of [c2]daisy chain structure by lyophilized from aqueous solution of AmAzoCD. The size of crystals nearly $100 \times 50 \mu\text{m}^2$. When the crystals irradiated by UV light following irradiating Vis light, the crystal expanded. Subsequent, the Vis light irradiation, the length of crystals restored.

Experimental

Materials. Acetone, triethylamine (Et₃N), tetrahydrofuran (THF), dimethyl sulfoxide (DMSO), *N,N*-dimethyl formamide (DMF), chloroform (CHCl₃), dichloromethane (CH₂Cl₂), methanol (MeOH), *N,N'*-dicyclohexylcarbodiimide (DCC), *N*-hydroxysuccinimide (HOSu), acetic acid (AcOH), 12 M hydrochloric acid (HCl aq.), ammonia water, and sodium sulfate (Na₂SO₄) were obtained from Nacalai Tesque. Di-*tert*-butyl dicarbonate was obtained from Peptide Institute Inc. 4-(4,6-Dimethoxy-1,3,5-triazine-2-yl)-4-methylmorpholinium chloride (DMT-MM) was obtained from Wako Pure Chemical Industries. (Benzotriazol-1-yloxy)-tris(dimethylamino)phosphonium hexafluorophosphate (BOP), 2-chloro-4,6-dimethoxy-1,3,5-triazine (DMT-Cl), and 3A-amino-3A-deoxy-(2AS, 3AS)- α -cyclodextrin were purchased from Tokyo Kasei. 4,7,10-Trioxa-1,13-tridecanediamine (DEG) was obtained from Sigma-Aldrich. A highly porous synthetic resin (DIAION HP-20), which was used for reverse-phase column chromatography, was purchased from Mitsubishi Chemical. SNAP Ultra 50 g was purchased from Biotage[®]. Water used to prepare aqueous solutions (except for NMR measurements) was purified with a Millipore Elix 5 system. Other reagents were used without further purification.

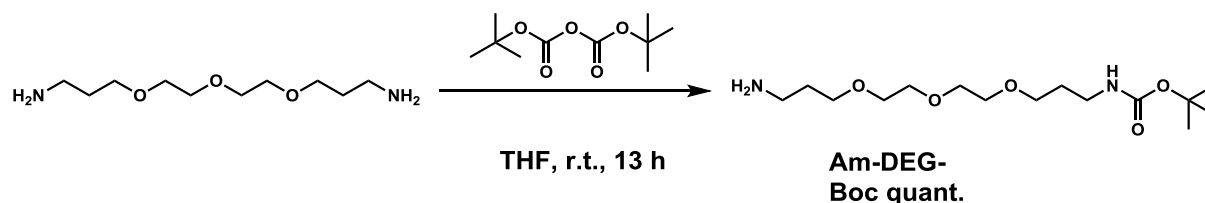
Measurements

Photo-isomerization. Azobenzene moieties were isomerized by photo-irradiation using a 300 W Xenon lamp (Asahi spectra MAX-301) equipped with suitable mirror modules (UV mirror module, $\lambda = 250\text{--}385\text{ nm}$; Vis mirror module, $\lambda = 385\text{--}740\text{ nm}$). Moreover, to extract a specific wavelength, a band-pass filter (LX0365) for UV light and a band-pass filter (LX0430) for visible light were placed on the Xenon lamp. The intensities of the transmitted UV light ($\lambda = 365\text{ nm}$) through the band-pass filters (LX0365) using a suitable mirror module were similar to those of Vis light ($\lambda = 430\text{ nm}$) using the band-pass filter (LX0430). The distance between the sample cell and the lamp was fixed at 10 cm.

NMR spectrometer. ^1H , ^{13}C , and 2D NMR spectra were recorded with JEOL ECA500 NMR spectrometer and VARIAN VNMRJ 600 NMR spectrometers at 30 °C. Chemical shifts were referenced to the solvents ($\delta = 2.49\text{ ppm}$ for DMSO and $\delta = 4.79\text{ ppm}$ for HOD).

UV-Vis absorption spectrometer. The UV-Vis absorption spectra were recorded with JASCO V-650 and Hitachi U-4100 spectrometers in water with a 1 mm quartz cell at room temperature.

Preparation of Am-DEG-Boc

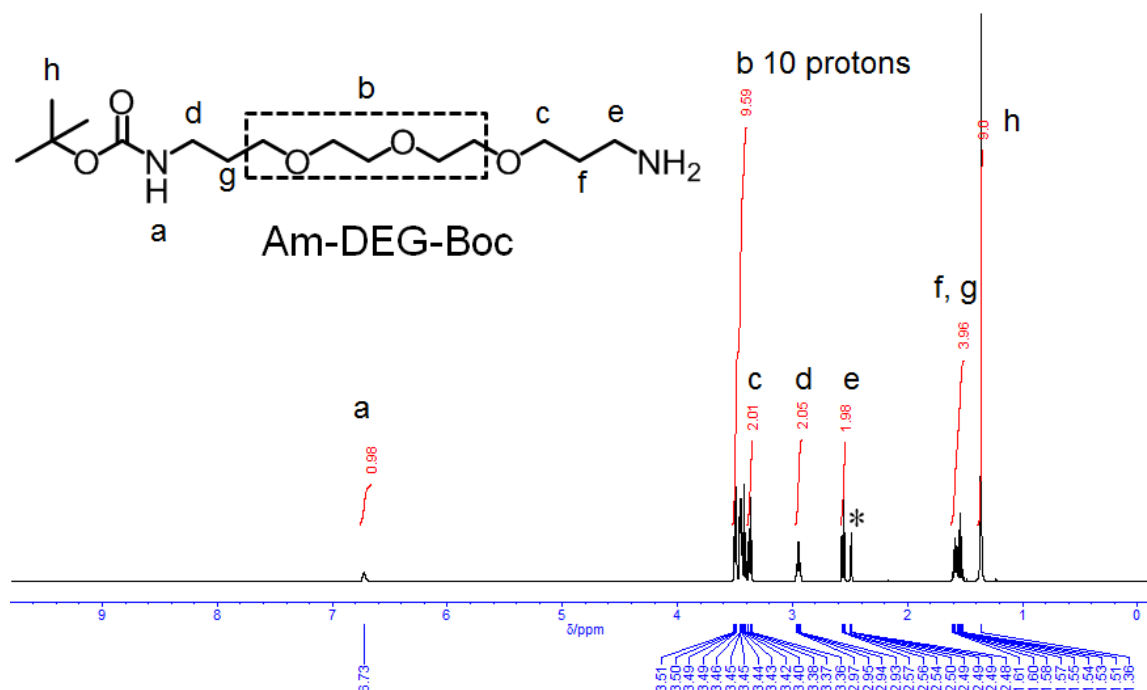


Scheme 2-3. Preparation of Am-DEG-Boc.

DEG (30 g, 140 mmol) was dissolved in THF (65 mL). Di-*tert*-butyl dicarbonate (5.3 mL, 23 mmol) dissolved in THF (65 mL) was slowly added to the THF solution of DEG over 5 min. The resulting mixture was stirred at room temperature for 16 hours. The pale-yellow solution was evaporated. The oil was poured into water (150 mL) and extracted with 50 mL CH₂Cl₂ three times. The organic layer was washed by water and dried over Na₂SO₄. After filtration, the solvent was evaporated to give Am-DEG-Boc as colorless oil (7.5 g, quant.).

¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) 6.73 (br, 1H), 3.51–3.40 (m, overlaps with H₂O), 3.37(t, *J* = 6.4 Hz, 2H), 2.94 (dd, *J* = 6.7 Hz, 6.2 Hz, 2H), 2.55 (t, *J* = 6.8 Hz, 2H), 1.58 (quintet, *J* = 6.8 Hz, 6.4 Hz, 2H), 1.54 (quintet, *J* = 6.6 Hz, 2H), 1.36 (s, 9H). ¹³C NMR (125 MHz, DMSO-*d*₆): δ (ppm) 155.55, 77.33, 69.79, 69.77, 69.53, 69.49, 68.46, 68.08, 38.80, 37.21, 33.31, 29.69, 28.22. MALDI-TOF MS: *m/z* Calcd. for C₁₆H₃₂O₅N₂ ([M + H]⁺): 321.2; Found: 321.1.

a



b

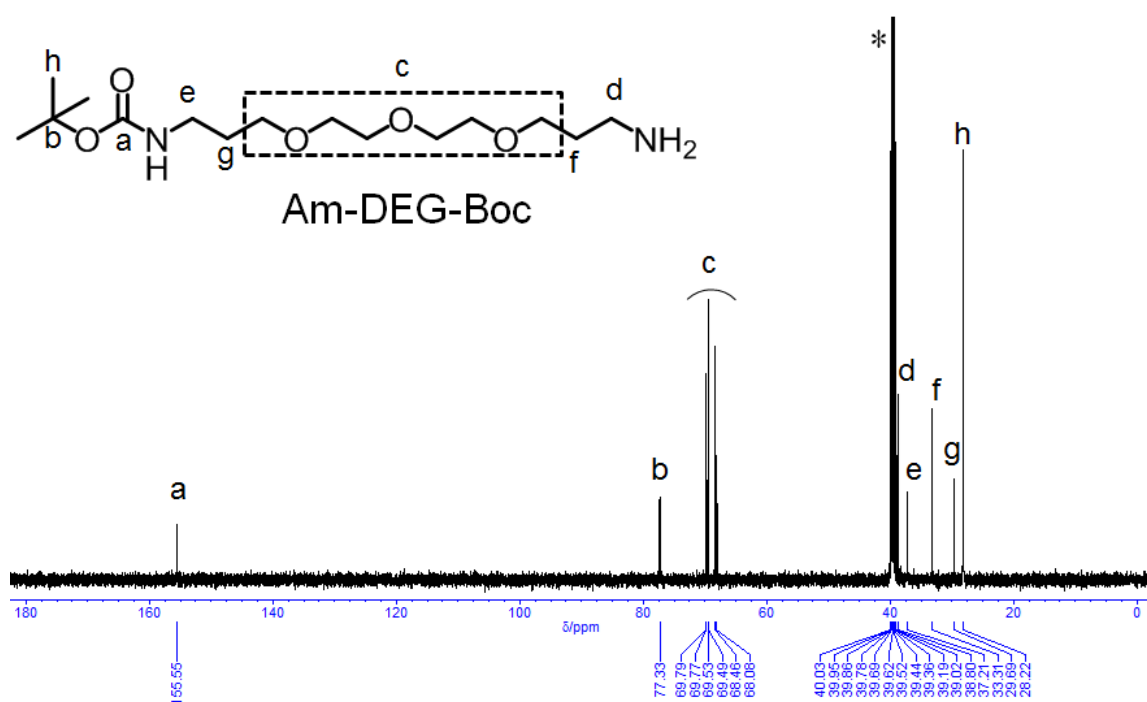
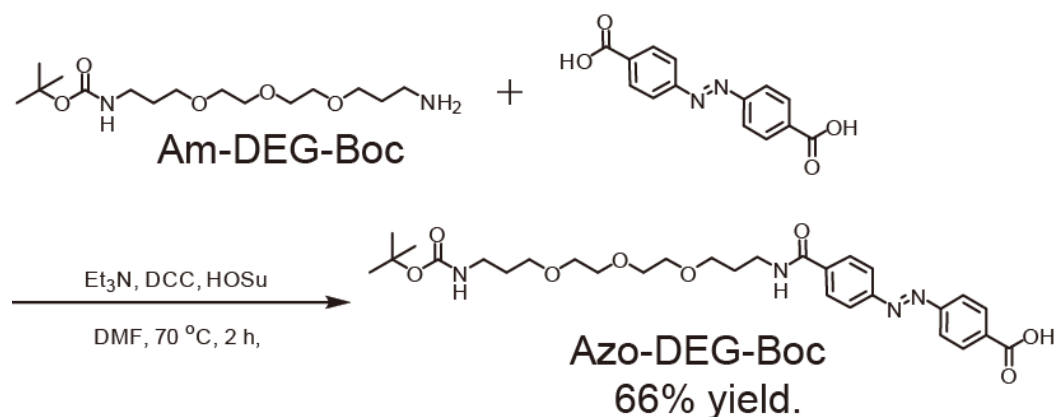


Figure 2-11. a) 500 MHz ¹H and b) 125 MHz ¹³C NMR spectra of Am-DEG-Boc in DMSO-d₆. "*" denotes the signals due to the solvent used.

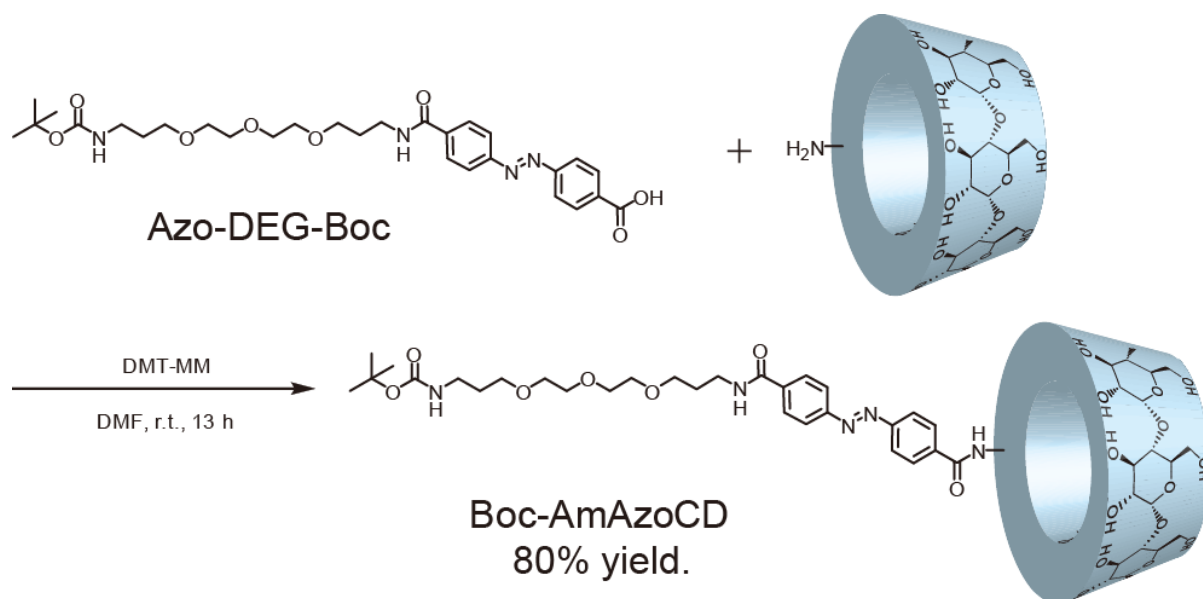
Preparation of compound Azo-DEG-Boc



Scheme 2-4. Preparation of Azo-DEG-Boc.

4-(4-Carboxyphenyl)-azo-benzoic acid (2.4 g, 9.0 mmol), DCC (0.74 g, 3.6 mmol), HOSu (0.42 g, 3.6 mmol) and Et₃N (2.9 mL, 21 mmol) were added in DMF (40 mL). The solution was added to the DMF (20 mL) solution of Am-DEG-Boc. When stirred at 70 °C, the solution changed to the suspension to form *N,N'*-dicyclohexylurea. After 2 hours, AcOH (3.0 mL) was added. The solvent was evaporated from resulting mixture. The residue was dispersed in MeOH. After filtration, the filtrate was poured into water (50 mL) and crude product was extracted with CHCl₃ (100 mL). The organic layer was washed with water and dried over Na₂SO₄. After evaporating the organic layer, the residue was applied to a Biotage[®] SNAP Ultra 50 g column. The desired product was obtained in a fraction which was eluted with a mixed solvent of CHCl₃ and MeOH (0/100–5/95, v/v). Azo-DEG-Boc was obtained as a red solid (1.1 g, 66%). ¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) 8.61 (t, *J* = 5.4 Hz, 1H), 8.15 (d, *J* = 8.7 Hz, 2H), 8.05 (d, *J* = 8.7 Hz, 2H), 7.99–7.88 (m, 4H), 6.70 (br, 1H), 3.52–3.43 (m, 10H), 3.37–3.31 (m, overlaps with H₂O), 2.95 (dd, *J* = 6.7 Hz, 6.3 Hz, 2H), 1.78 (quintet, *J* = 6.7 Hz, 2H), 1.58 (quintet, *J* = 6.5 Hz, 2H), 1.35 (s, 9H). ¹³C NMR (125 MHz, DMSO-*d*₆): δ (ppm) 166.63, 165.31, 155.55, 154.23, 153.15, 137.37, 133.21, 130.65, 128.51, 122.71, 122.60, 77.36, 69.79, 69.75, 69.57, 69.52, 68.28, 68.08, 37.22, 36.84, 29.69, 29.28, 28.23. MALDI-TOF MS: *m/z* Calcd. for C₂₉H₃₉O₈N₄Na ([M+Na]⁺): 594.3; Found: 595.2.

Preparation of compound Boc-AmAzoCD



Scheme 2-5. Preparation of Boc-AmAzoCD.

Azo-DEG-Boc (0.36 g, 0.63 mmol) and 3A-amino-3A-deoxy-(2AS, 3AS)- α -CD (0.61 g 0.63 mmol) were dissolved in dry DMF (6.3 mL). DMT-MM (0.26 g, 0.95 mmol) was added to the DMF solution. After stirring for 13 hours at room temperature, the suspension changed to the homogeneous solution. The solvent was evaporated from resulting mixture and residue was dispersed in water to remove Azo-DEG-Boc. The filtrate was applied to a Diaion HP-20 resin column (15 $\times$ 13 cm³) running using a mixed solvent of H₂O and MeOH with a gradient of the volume ratio. The desired product, Boc-AmAzoCD, was obtained in a fraction which was eluted with a mixed solvent of H₂O and MeOH (3/7–7/3, v/v). The obtained solution was lyophilised to give Boc-AmAzoCD as a pale-orange powder (0.76 g, 80%). ¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) 8.61 (br, 1H), 8.22 (d, *J* = 9 Hz, 1H), 8.06 (t, *J* = 9 Hz, 4H), 7.97 (dd, *J* = 9 Hz, 2 Hz, 4H), 6.70 (br, 1H), 5.90 (d, *J* = 6 Hz, 1H), 5.70 (d, *J* = 2 Hz, 1H), 5.61–5.54 (m, 3H), 5.40 (d, *J* = 7 Hz, 1H), 5.32–5.31 (m, 2H), 5.25–5.21 (m, 3H), 4.92 (t, *J* = 5 Hz, 1H), 4.86 (d, *J* = 4 Hz, 1H), 4.81–4.79 (m, 4H), 4.68 (d, *J* = 7 Hz, 1H), 4.58 (t, *J* = 6 Hz, 1H), 4.53–4.40 (m, 5H), 4.00 (br, 1H), 3.86–3.26 (m, overlaps with H₂O), 2.94 (q, *J* = 7 Hz, 2H), 1.78 (quintet, *J* = 7 Hz, 2H), 1.58 (quintet, *J* = 7 Hz, 2H), 1.35 (s, 9H).

^{13}C NMR (125 MHz, $\text{DMSO-}d_6$): δ (ppm) 165.38, 155.60, 153.19, 137.21, 137.19, 128.76, 128.56, 122.62, 122.57, 104.48, 102.56, 102.16, 102.03, 101.62, 101.15, 83.00, 81.88, 81.73, 81.70, 80.72, 79.78, 77.42, 77.04, 73.71, 73.69, 73.43, 73.25, 72.91, 72.89, 72.54, 72.40, 72.32, 72.22, 72.19, 72.16, 72.08, 72.02, 71.78, 71.57, 70.92, 69.81, 69.79, 69.61, 69.55, 68.28, 68.10, 60.24, 60.17, 60.13, 60.12, 60.04, 60.00, 37.24, 36.85, 29.72, 29.32, 28.27.

MALDI-TOF MS: m/z Calcd. for $\text{C}_{65}\text{H}_{99}\text{N}_5\text{O}_{36}\text{Na}$ ($[\text{M} + \text{Na}]^+$): 1548.6; Found: 1548.2;
Calcd. for $\text{C}_{65}\text{H}_{99}\text{N}_5\text{O}_{36}\text{K}$ ($[\text{M} + \text{K}]^+$): 1564.6; Found: 1564.2.

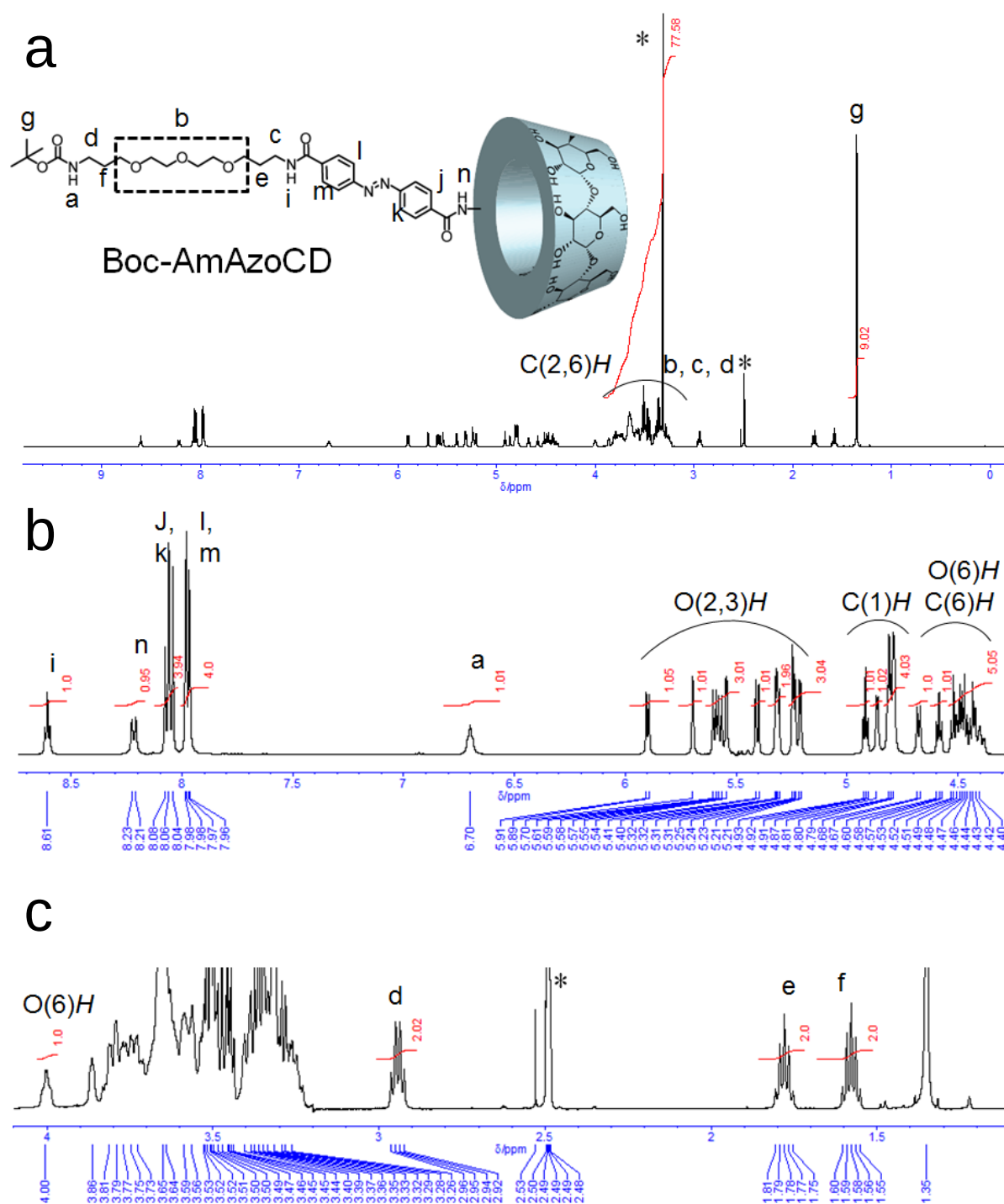


Figure 2-13. 500 MHz ^1H NMR spectra of Boc-AmAzoCD in $\text{DMSO-}d_6$. **a)** Full range spectrum. Partial spectra of **b)** 8.75–4.25 ppm and **c)** 4.10–1.10 ppm. "*" denotes the signals due to the solvent used.

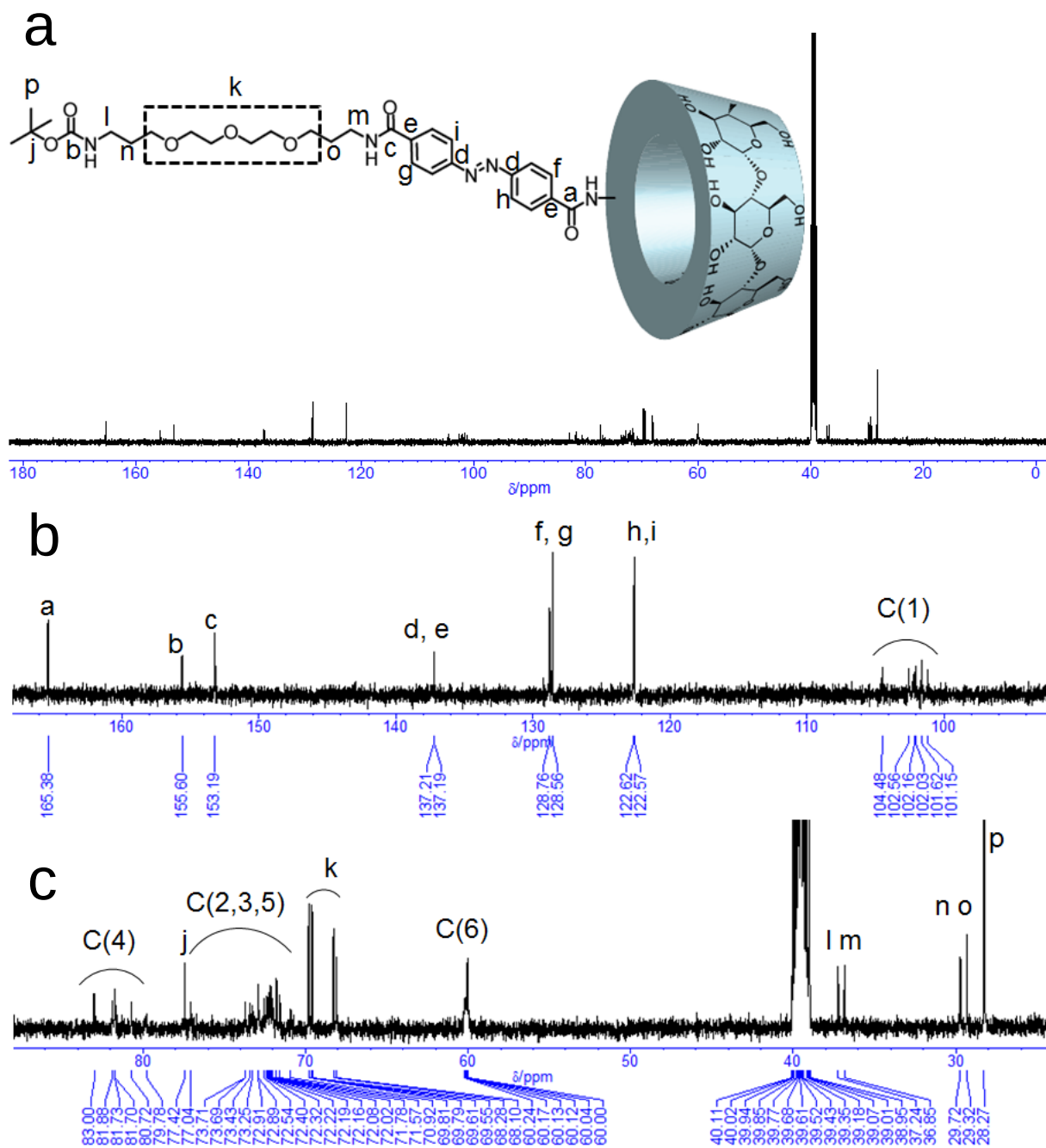
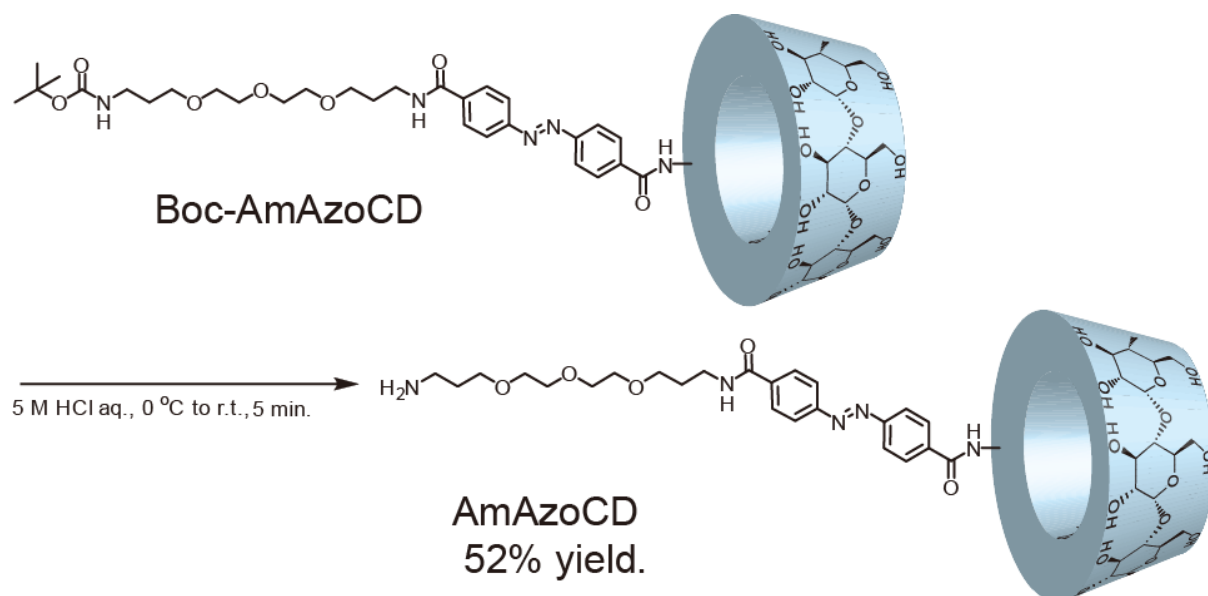


Figure 2-14. 125 MHz ^{13}C NMR spectra of Boc-AmAzoCD in $\text{DMSO-}d_6$. **a)** Full range spectrum. Partial spectra of **b)** 168.0–92.0 ppm and **c)** 88.0–24.0 ppm. "*" denotes the signals due to the solvent used.

Preparation of AmAzoCD



Scheme 2-6. Preparation of AmAzoCD.

Boc-AmAzoCD (0.31 g, 0.20 mmol) dissolved in 5 M HCl aq. (1.6 mL) was stirred for 5 min at room temperature. After adding Et₃N (2.0 mL, 270 mmol) to the HCl aq. solution, the solution was diluted with water (10 mL). The solution was applied to a Diaion HP-20 resin column (15 × 13 cm³) running using a mixed solvent of H₂O and MeOH with a gradient of the volume ratio. The crude product was obtained in a fraction which was eluted with a mixed solvent of H₂O and MeOH (3/7, v/v). The solution was lyophilized to give AmAzoCD as a pale-orange powder (0.15 g, 52%). ¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) 8.63 (br, 1H), 8.25 (d, *J* = 8.3 Hz, 1H), 8.07 (d, *J* = 8.7 Hz, 2H), 8.05 (d, *J* = 8.7 Hz, 2H), 7.97 (d, *J* = 8.3 Hz, 4H), 4.86–4.79 (m, 4H), 4.68 (d, *J* = 6.9 Hz, 1H), 4.40 (t, *J* = 10.2 Hz, 1H), 4.00–2.96 (m, overlaps HOD), 2.58 (t, *J* = 6.4 Hz, 2H), 1.78 (quartet, *J* = 6.4 Hz, 2H), 1.56 (quintet, *J* = 6.0 Hz, 2H). ¹H NMR (500 MHz, D₂O): δ (ppm) 8.49 (d, *J* = 8.0 Hz, 2H), 8.31 (d, *J* = 8.0 Hz, 2H), 8.09 (d, *J* = 7.9 Hz, 2H), 7.96 (d, *J* = 8.0 Hz, 2H), 5.20 (d, *J* = 20.9 Hz, 2H), 5.01 (s, 1H), 4.88 (br, 2H), 4.67 (d, *J* = 5.7 Hz, 1H), 4.59–4.44 (m, 3H), 4.38–4.23 (m, 4H), 4.08–3.39 (m, 37H), 3.30–3.14 (m, 5H), 3.01–2.78 (m, 6H), 1.98 (quintet, *J* = 6.2 Hz, 2H), 1.83 (quintet, *J* =

6.1 Hz, 2H). ^{13}C NMR (150 MHz, $\text{DMSO-}d_6$): δ (ppm) 165.86, 153.61, 137.61, 129.16, 128.94, 122.96, 104.95, 102.97, 102.57, 102.43, 102.02, 101.54, 83.37, 82.15, 82.09, 81.14, 77.42, 74.09, 73.88, 73.67, 73.49, 73.34, 73.30, 72.96, 72.83, 72.74, 72.62, 72.60, 72.48, 72.42, 72.19, 72.12, 72.00, 71.28, 70.24, 70.21, 70.01, 69.91, 68.79, 68.74, 60.68, 60.49, 51.27, 38.99, 37.32, 32.96, 29.71. MALDI-TOF MS: m/z Calcd. for $\text{C}_{60}\text{H}_{92}\text{N}_5\text{O}_{34}$ ($[\text{M} + \text{H}]^+$): 1426.56; Found: 1426.62. Calcd. for $\text{C}_{60}\text{H}_{91}\text{N}_5\text{O}_{34}\text{Na}$ ($[\text{M} + \text{Na}]^+$): 1448.54; Found: 1448.56. HR MS (ESI+): m/z Calcd. for $\text{C}_{60}\text{H}_{92}\text{O}_{34}\text{N}_5$ ($[\text{M} + \text{H}]^+$): 1426.5621; Found: 1426.5622 (Δppm 0.189).

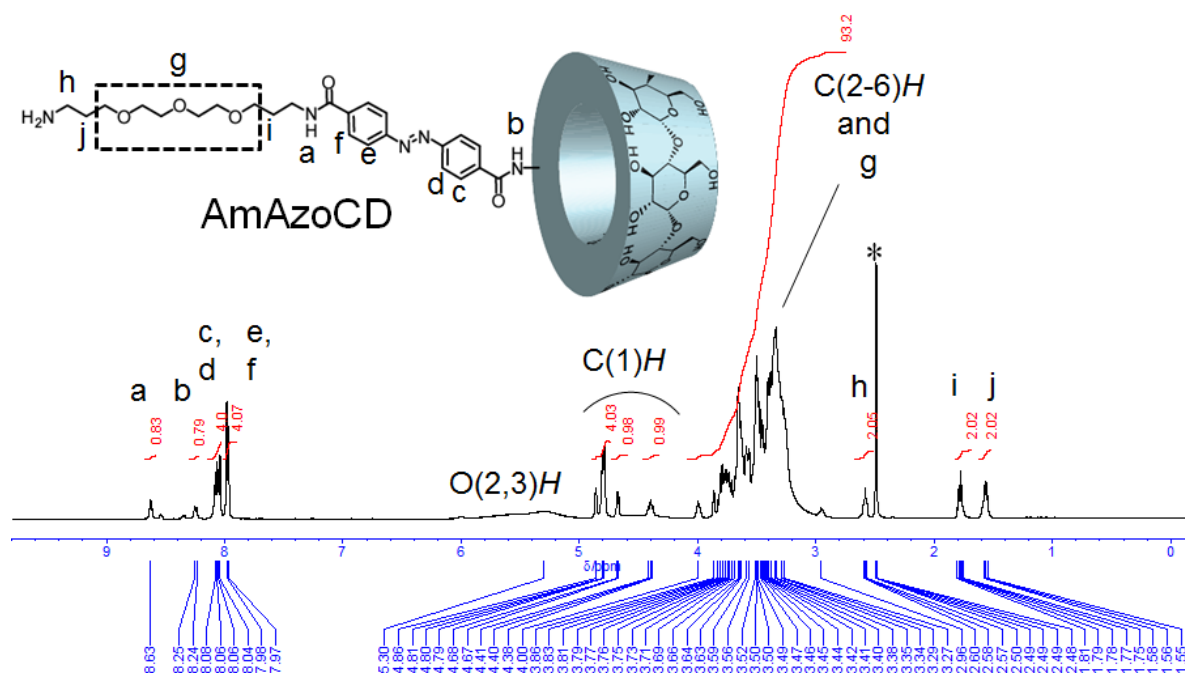


Figure 2-15. 500 MHz ^1H NMR spectrum of AmAzoCD in $\text{DMSO-}d_6$. "*" denotes the signals due to the solvent used.

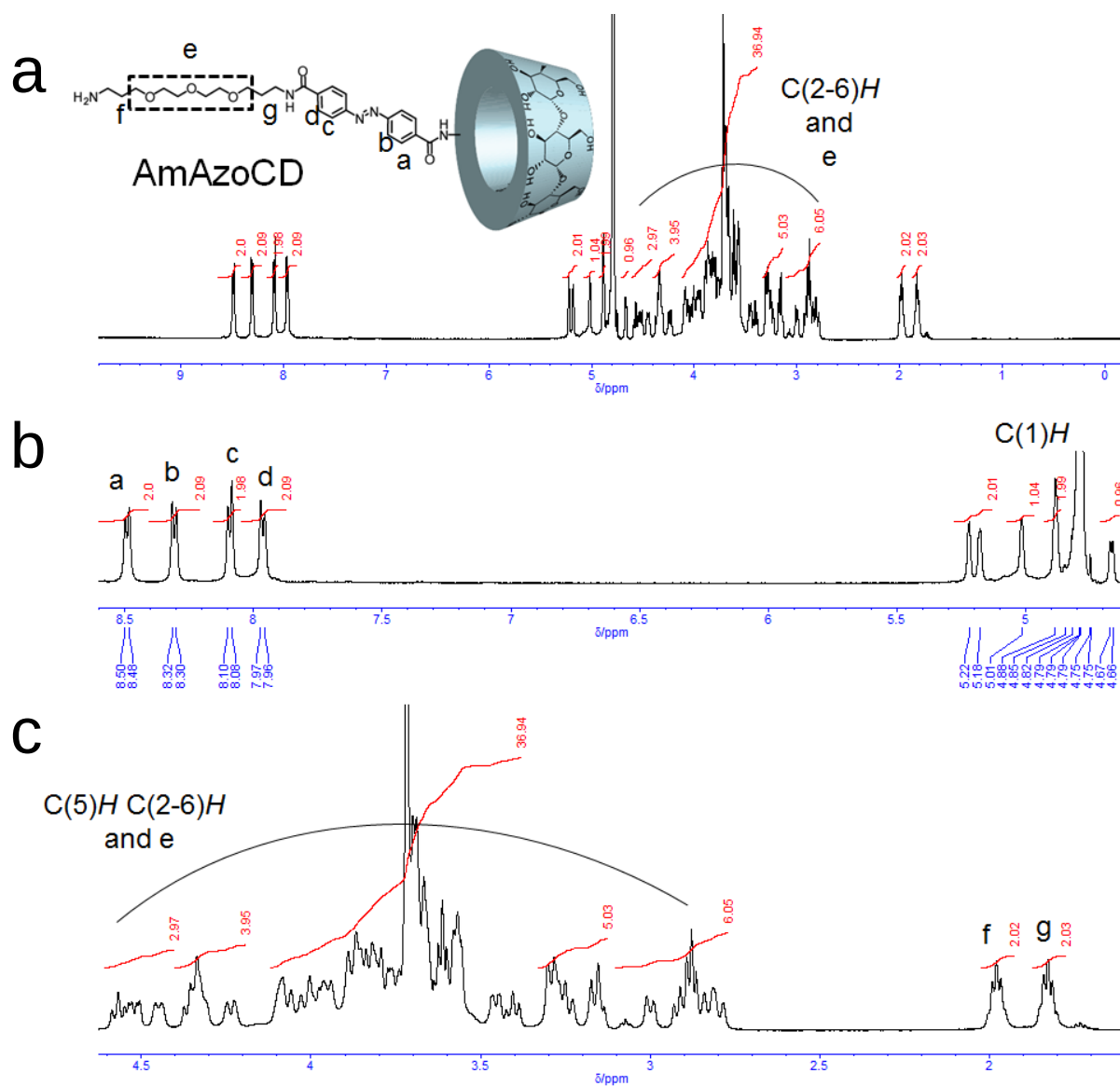


Figure 2-16. 500 MHz ^1H NMR spectra of AmAzoCD in D_2O . **a)** Full range spectrum. Partial spectra of **b)** 8.60–4.66 ppm and **c)** 4.65–1.60 ppm. "*" denotes the signals due to the solvent used.

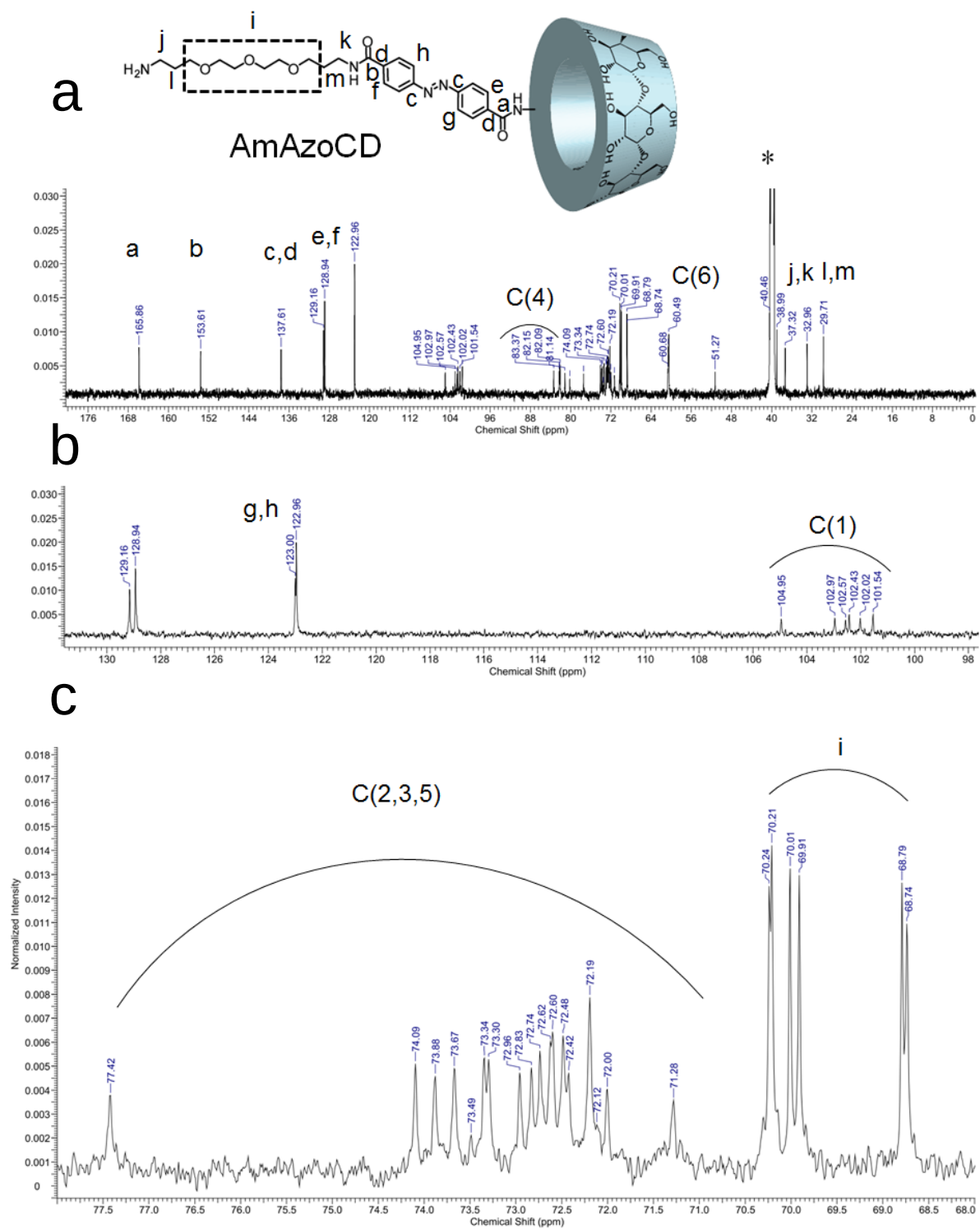


Figure 2-17. 150 MHz ^{13}C NMR spectra of AmAzoCD in $\text{DMSO}-d_6$. **a)** Full range spectrum. Partial spectra of **b)** 131.6–97.6 ppm and **c)** 78.5–68.0 ppm. "*" denotes the signals due to the solvent used.

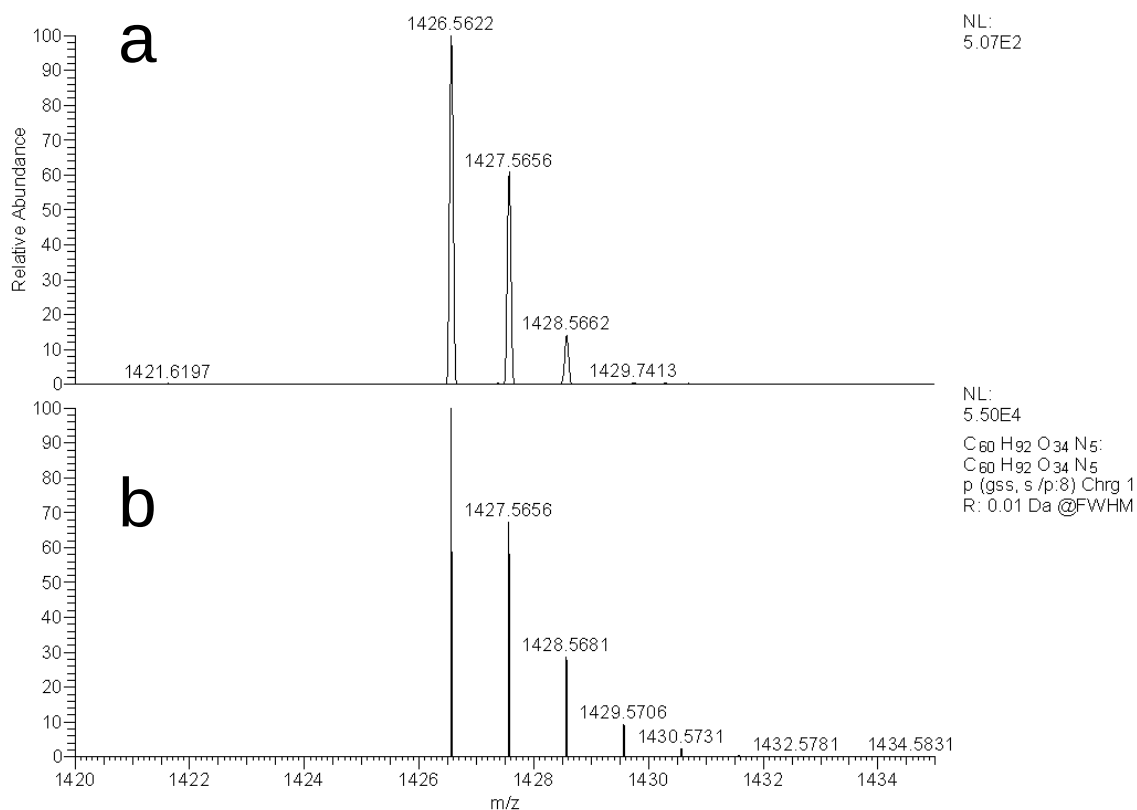
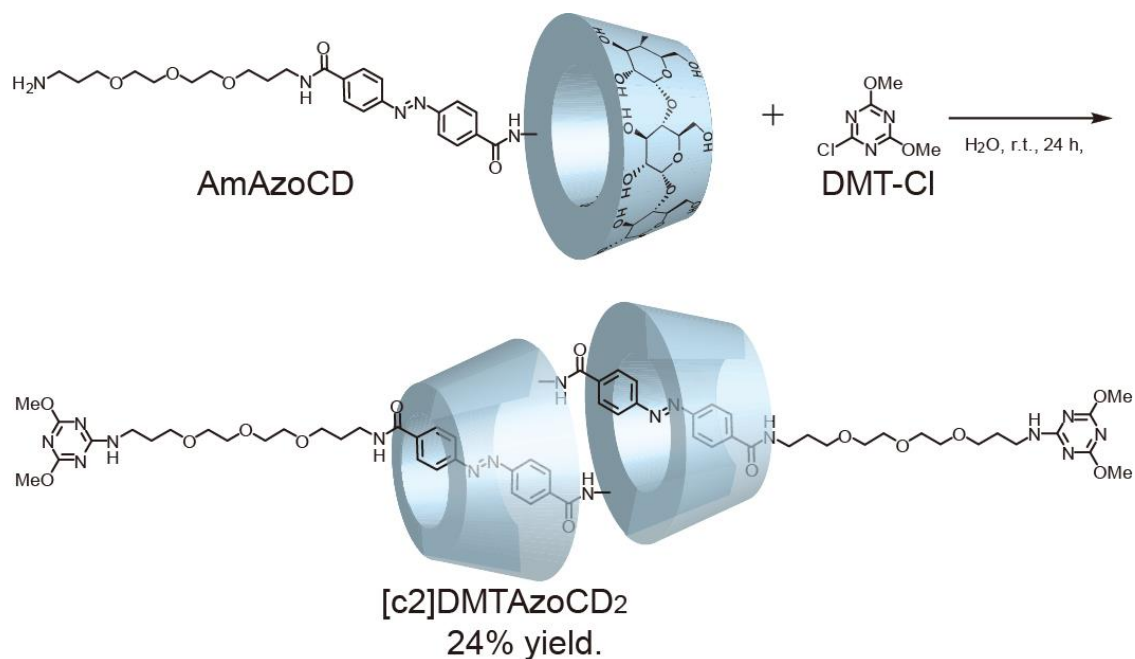


Figure 2-18. High resolution mass spectrum of AmAzoCD. **a)** Observed mass 1426.5622 Da (Δ ppm 0.189). **b)** m/z Calcd. for C₆₀H₉₂O₃₄N₅ ([M+H]⁺): 1426.5621 Da.

Preparation of [c2]DMTAzoCD₂.



Scheme 2-7. Preparation of [c2]DMTAzoCD₂.

Et₃N was added to aqueous solution (5.0 mL) of AmAzoCD (0.11 g, 73 μmol) until reaching to pH = 9. DMT-Cl (0.014 g, 79 μmol) was then added to the aqueous solution. The resulting suspension was stirred for 24 hours. After the prescribed time the reaction mixture was filtered and dispersed in water. Resulting mixture was subjected to preparative reverse-phase HPLC running with an H₂O/MeCN gradient. The desired fractions were lyophilised to give [c2]DMTAzoCD₂ as a pale-orange powder (27 mg, 24%). ¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) 8.74–7.79 (br, 11H), 6.78–2.73 (br, overlaps with HOD) 1.83 (br, 2H), 1.75 (quintet, *J* = 5.8 Hz, 2H). ¹H NMR (500 MHz, D₂O): δ (ppm) 8.49 (d, *J* = 8.5 Hz, 4H), 8.31 (d, *J* = 8.5 Hz, 4H), 8.09 (d, *J* = 8.5 Hz, 4H), 7.95 (d, *J* = 8.5 Hz, 4H), 5.21 (dd, *J* = 19 Hz, 3.7Hz, 4H), 5.02 (d, *J* = 3.7 Hz, 2H), 4.88 (br, 4H), 4.66 (d, *J* = 5.7 Hz, 2H), 4.59–4.44 (m, 6H), 4.38–4.22 (m, 8H), 4.08–3.40 (m, 84H), 3.32–3.13 (m, 10H), 3.01 (d, *J* = 10.5 Hz, 2H), 2.93 (t, *J* = 9.3 Hz, 2H), 2.81 (t, *J* = 11.3 Hz, 4H), 1.95 (quintet, *J* = 6.0 Hz, 4H), 1.88 (quintet, *J* = 6.4 Hz, 4H). ¹³C NMR (150 MHz, DMSO-*d*₆): δ (ppm) 172.42, 171.97, 168.06, 165.90, 153.23, 137.02, 128.91, 128.53, 124.63, 122.89, 106.89, 102.67, 102.41, 102.02, 101.84, 101.81, 82.06, 82.01, 81.98, 81.83, 79.76, 79.72, 74.22, 74.14, 73.27, 72.65, 72.48, 72.44, 72.33, 71.99, 71.71, 70.24, 70.19, 70.02, 69.94, 68.82, 68.56, 61.06, 60.49, 60.42, 60.31, 60.29, 60.25, 54.42, 51.32, 38.15, 38.03, 29.89, 29.48. MALDI-TOF MS: *m/z* Calcd. for C₁₃₀H₁₉₂N₁₆O₇₂Na ([M + Na]⁺): 3152.18; Found: 3152.88. Calcd. for C₁₃₀H₁₉₂N₁₆O₇₂K ([M + K]⁺): 3168.15; Found: 3168.82. HR MS (ESI⁺): *m/z* Calcd. for C₁₂₅H₁₉₂O₇₂N₁₆Na₃ ([M+3Na]³⁺): 1066.0510; Found: 1066.0518 (Δppm 0.755).

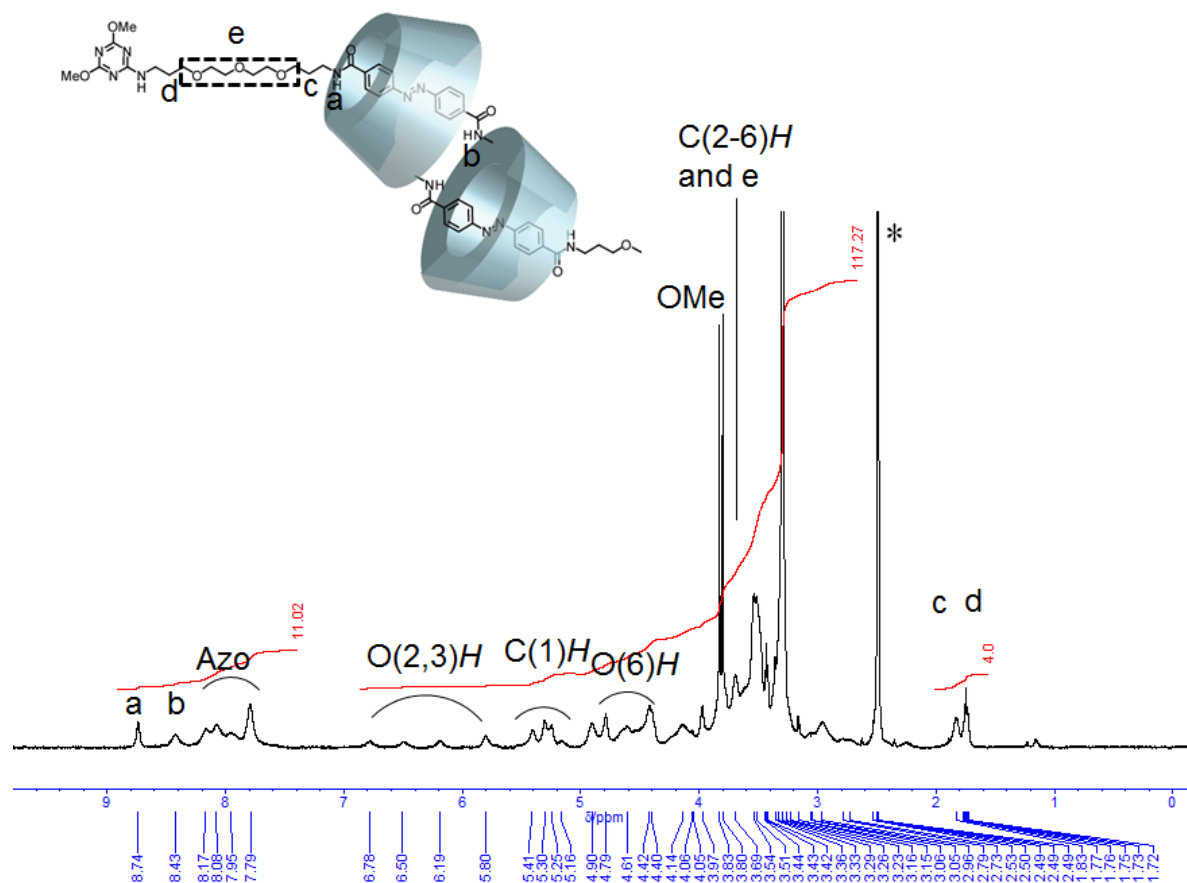


Figure 2-19. 500 MHz ^1H NMR spectrum of [c2]DMTAzoCD₂ in DMSO-*d*₆. "*" denotes the signals due to the solvent used.

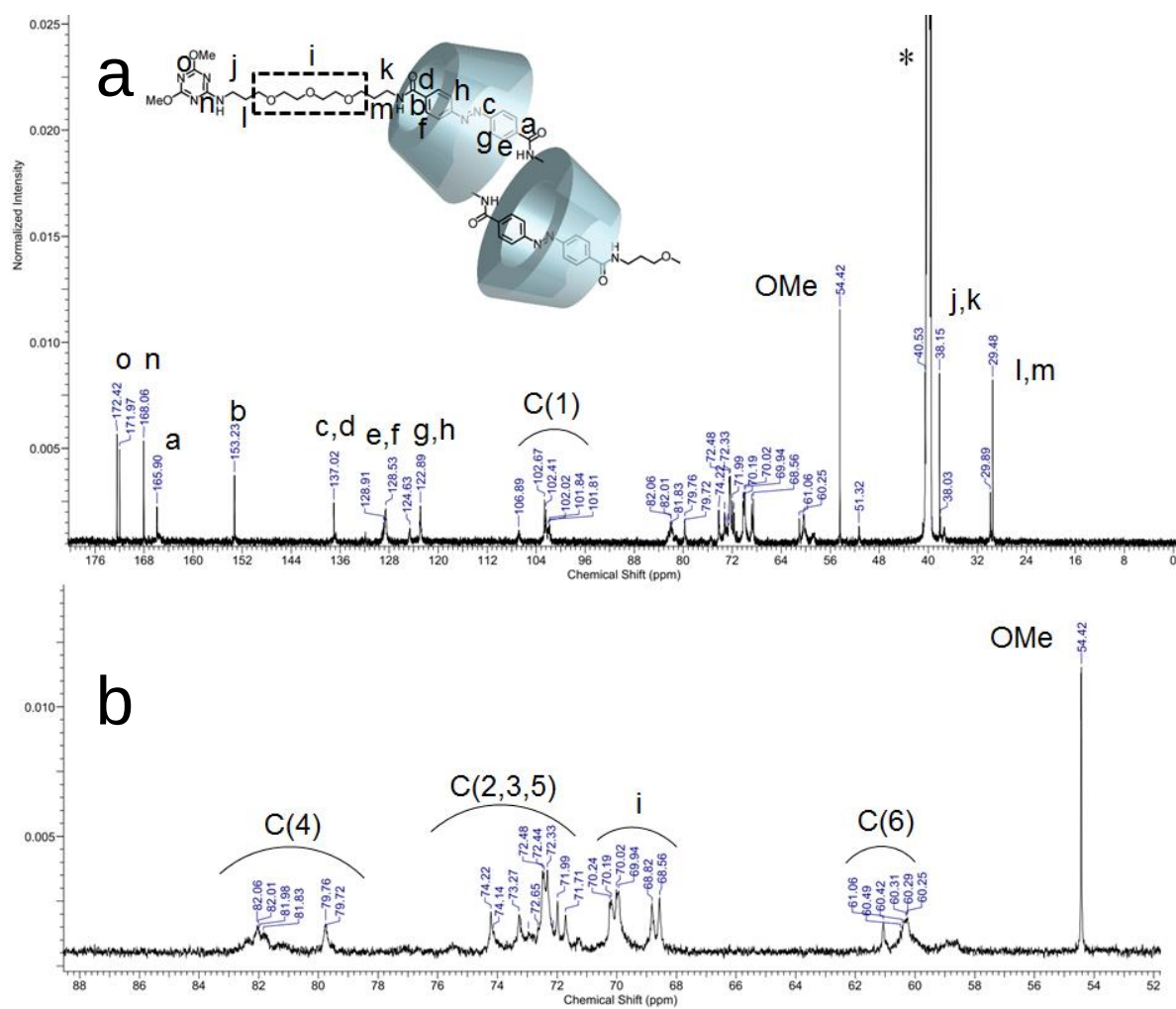


Figure 2-20. 150 MHz ^{13}C NMR spectra of [c2]DMTAzoCD₂ in DMSO-*d*₆. **a)** Full range spectrum. **b)** Partial spectrum of 51.8–88.4 ppm. "*" denotes the signals due to the solvent used.

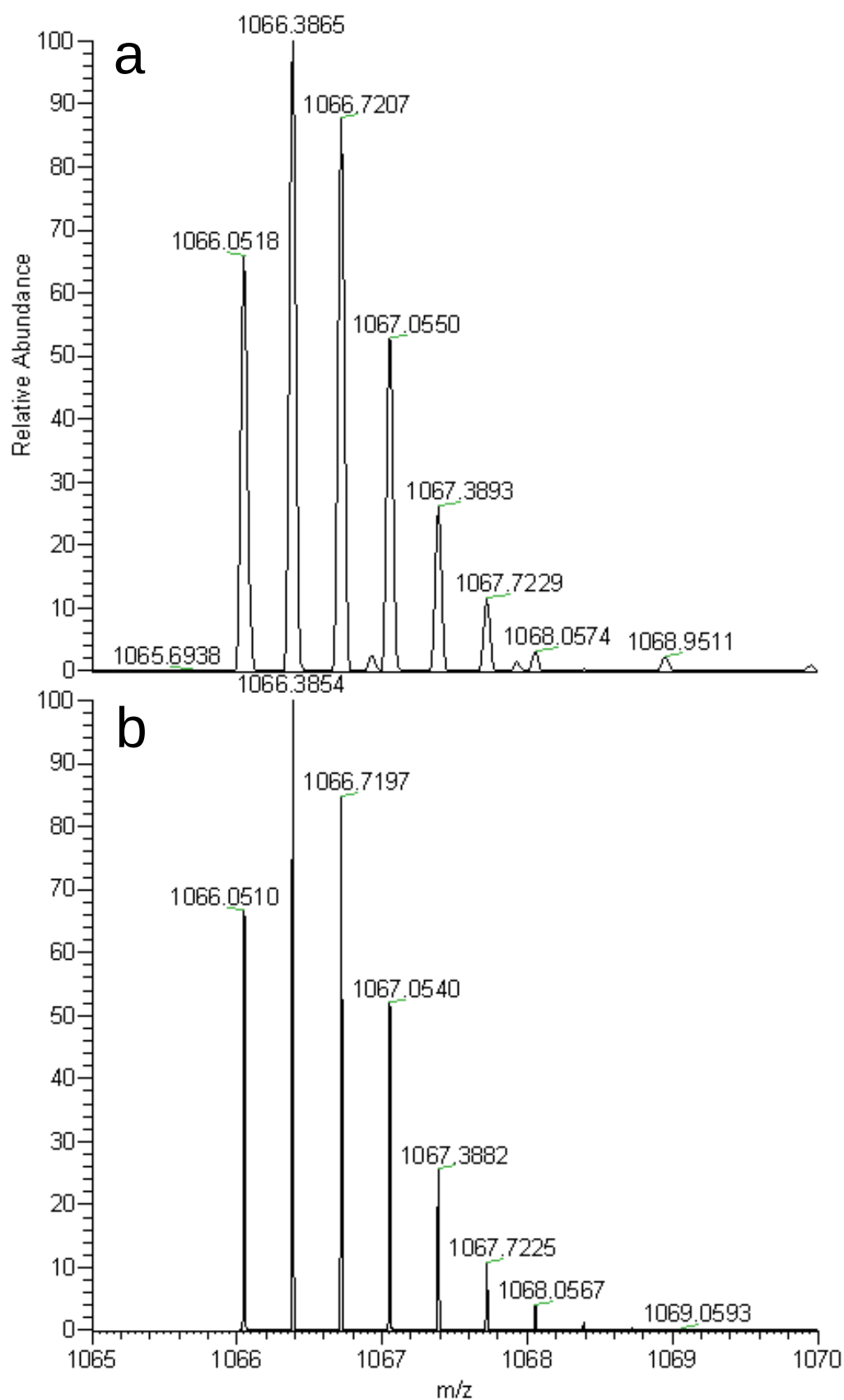


Figure 2-21. High resolution mass spectrum of [c2]DMTAzoCD₂. **a)** Observed mass 1066.0518 Da (Δ ppm 0.755). **b)** m/z Calcd. for C₁₂₅H₁₉₂O₇₂N₁₆Na₃ ([M+3Na]³⁺): 1066.0510 Da.

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Chapter 3

Photo-generated actuation of supramolecular artificial muscle materials with [c2]daisy chains.

Introduction

Myosin and actin filaments in muscle cells insert into each other to form alternating layered structures and slide to demonstrate contraction and expansion behaviour in the power stroke process.^{1–4} The sliding motion, which is reminiscent of the muscle fibril, has inspired researchers in supramolecular chemistry to realize artificial linear motors using supramolecular complexes. Polyrotaxanes and [c2]daisy chains (doubly-threaded dimers) are important components to create supramolecular artificial muscles.^{5–12} Stimuli-responsive [c2]daisy chains based on host–guest conjugates can display specific sliding actuation controlled by external stimuli, e.g., chemicals,^{13–17} pH,^{18, 19} redox²⁰ and light.^{21–23}

Sauvage¹³ *et al.* found nanoscale conformational change. Stoddart^{24–28} *et al.* have prepared poly-[c2]daisy chains using crown ethers and found that the poly-[c2]daisy chains show contraction and expansion behaviour by addition of an acid. Grubbs *et al.* also reported poly-[c2]daisy chains containing crown ether-based [c2]daisy chains, which expand and contract in response to pH change.²⁹ Some cyclodextrin (CD)-based [c2]daisy chains show expansion and contraction motions due to the solvent polarity change¹⁷ or photo-stimuli^{21–23} in aqueous solutions. However, macroscopic supramolecular actuators remain a challenge not only in supramolecular chemistry but also in materials science, and such actuators consisting of [c2]daisy chains should be reported.

Two structural approaches may realize supramolecular actuators through host–guest interactions: a method with a linear main chain and one with a side chain in the polymer structure. Previously, poly-[c2]daisy chains have been reported by several groups as

linear-type supramolecular actuators that show a contraction–expansion behaviour responsive to external stimuli.^{24–29} Harada’s research employs the polymer side chain method because various functions (e.g., selective adhesion,³⁰ self-healing,^{31, 32} and atuation^{33, 34}) are relatively easy to be introduced into materials (Figure 3-1). Harada *et al.* have previously reported photo or redox responsive supramolecular actuators by integrating host–guest interactions on the polymer side chains.^{33, 34} The association and dissociation of inclusion complexes as cross-linking units on the polymer side chains demonstrate contraction and expansion motions due to changes in the cross-linking density. A plate-shaped actuator bent against the incident ultraviolet (UV) light.³³

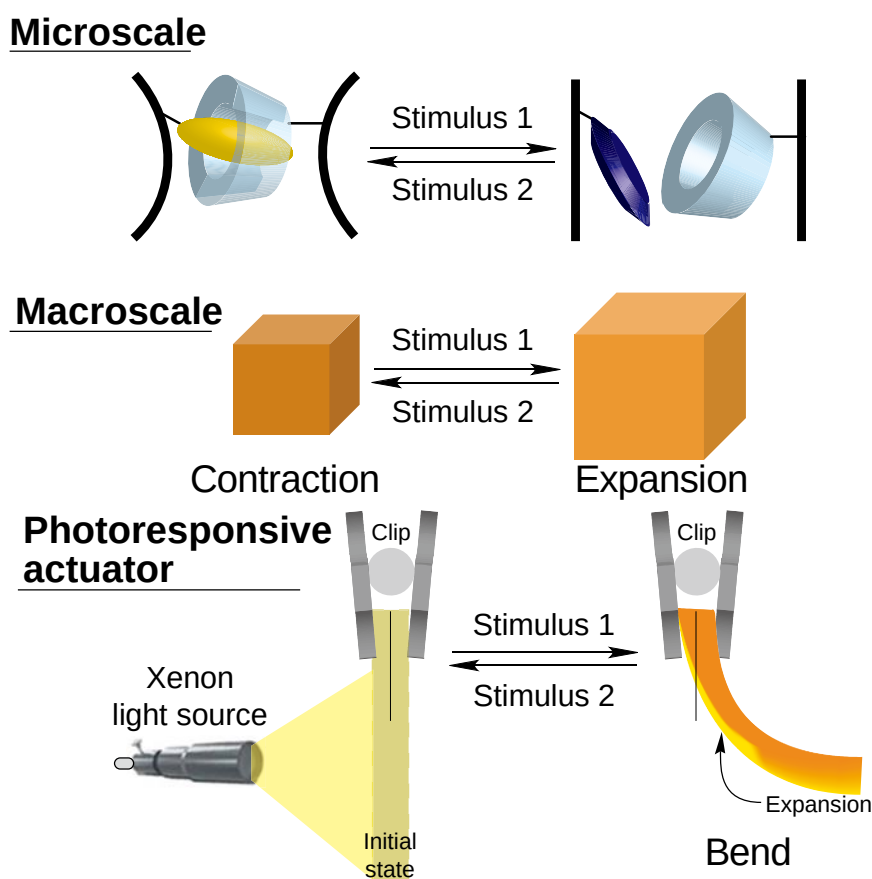


Figure 3-1. Supramolecular actuator using host–guest interactions on the polymer side chain. On the macroscale, the volume of the supramolecular actuator expands by external stimuli. In the case of photo-responsive actuator, exposing the left side to UV light causes the plate-shaped actuator to bend to the right side.³³

However, the author hypothesized that polymer chains cross-linked with [c2]daisy chains would realize a novel supramolecular actuator whose volume contracts upon UV light irradiation but expands upon visible (Vis) light irradiation. This contraction would bend the flat plate actuator toward the incident UV light because UV irradiation causes the shrinkage of the end-to-end distance of the [c2]daisy chain to shrink polymeric materials due to desorption of the solvent on the exposed surface (Figure 3-2).

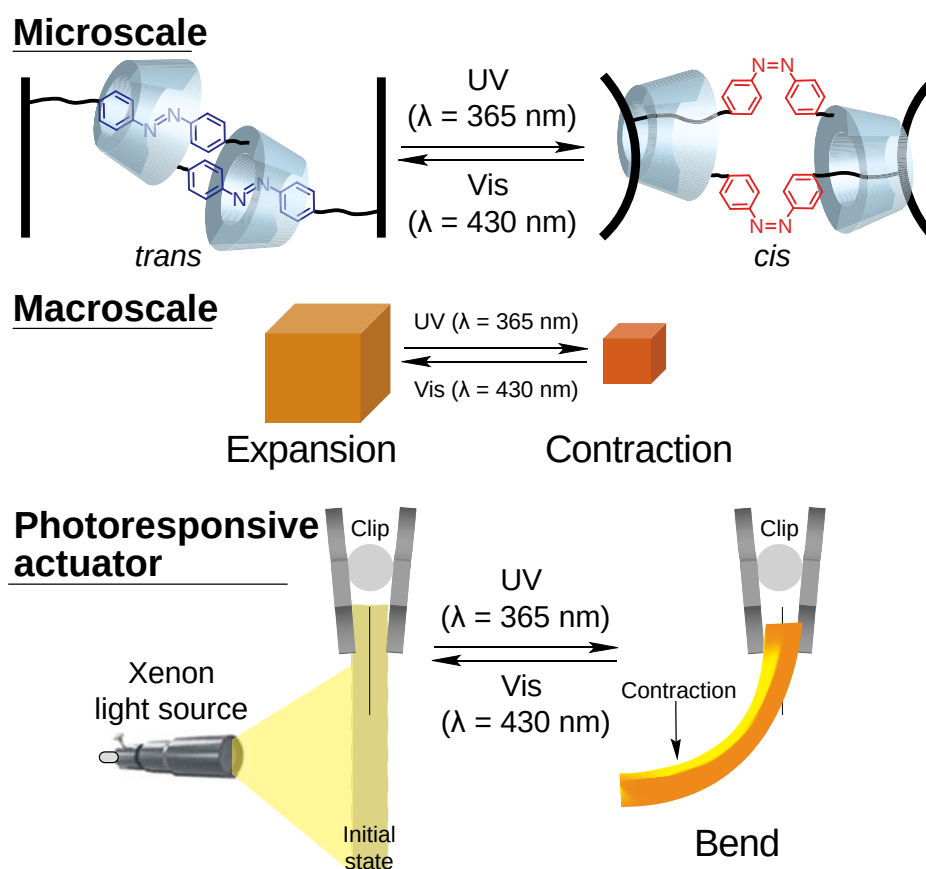


Figure 3-2. Supramolecular actuator using a polymer cross-linked with [c2]daisy chain at the polymer side chains. On the macroscale, the volume of the supramolecular actuator contracts by UV light irradiation; exposing the left side to UV light, the plate-shaped actuator bends to the left side.

In this Chapter, the author report that supramolecular hydrogels containing CD-based [c2]daisy chains as cross-linkers contract and expand through photo-responsive sliding of the [c2]daisy chain to the incident light (Figure 3-3) and successfully prepare a photo-responsive

supramolecular actuator.

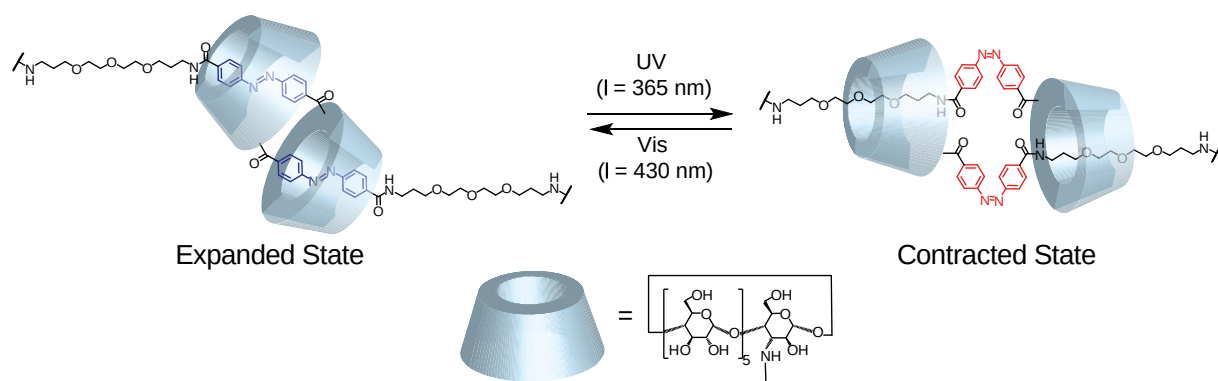


Figure 3-3. Chemical structure and scheme of photo-isomerization of α CD-based [c2]daisy chain with an azobenzene derivative as the axis.

Preparation of hydrogels in this study

Based on the formation of [c2]AzoCD₂, the author prepared a supramolecular hydrogel ([c2]AzoCD₂ hydrogel) cross-linked with four-armed poly(ethylene glycol) with succinimidyl ester groups at the end (TetraPEG, $M_w = 10,000$). To prepare a photo-responsive supramolecular actuator, TetraPEG was selected as polymer backbone because it is highly water soluble, lacks absorption bands at $\lambda = 365$ and 430 nm, which are correlated to the photo-isomerization of Azo, and the interaction of its PEG unit with CDs or Azo units is weak. Additionally, we are fascinated by the highly symmetric structure of TetraPEG. The [c2]AzoCD₂ hydrogel was prepared by polycondensation of the amino group in [c2]AzoCD₂ and succinimidyl ester groups at the end of TetraPEG in an aqueous solution (Scheme 3-2). On the other hand, hydrogel was not formed from AmAzoCD with the TetraPEG in DMSO, in which AmAzoCD existed as monomer. To characterize the topological effect of the [c2]AzoCD₂ gel, we prepared two types of covalently cross-linked TetraPEG gel as references: an Azo gel covalently cross-linked with 4,4'-bis(diamino-diethylene glycol)-azobenzene (Am-DEG-Azo-DEG-Am) and the succinimidyl ester groups of TetraPEG (Scheme 3-3) and a PEG gel covalently-cross-linked with poly(ethylene glycol)-bis-(3-aminopropyl)ether (DE-10PA) (Scheme 3-4).

Characterization of [c2]AzoCD₂, Azo- and PEG- hydrogels

Hydrogels were characterized by ¹H field gradient magic angle spinning (FGMAS) NMR spectroscopy (Figure 3-4, 3-5 and 3-6) and IR spectroscopy (Figure 3-7). The molar ratios of functional cross-linkers ([c2]daisy chain, Azo, and PEG) in hydrogels were determined. The conversion of *N*-hydroxysuccinimidyl group (NHS) to amide bond (*C*_{amide}) and ratio of hydrolyzed NHS group (*C*_{COOH}) were calculated by following equation.

$$C_{amide} = \frac{Pa}{Ideal\ Pa\ (4.0)} \quad \cdots\ (1)$$

$$C_{COOH} = 100 - C_{amide} \quad \cdots\ (2)$$

Where *Pa* is the area intensity of signals due to the propylene protons in cross-linker.

The formation ratio of [c2]daisy chain (*F*_{c2}) cross-linker was calculated by the following equations.

$$Pm = Pb - Pc \quad \cdots\ (3)$$

$$F_{c2} = \frac{Pm}{Pc} \times 100 \quad \cdots\ (4)$$

Where *Pm* and *Pb* are the area intensities of the monomeric AmAzoCD residue, the total Azo residue, respectively, and *Pc* is the calculated area intensity of total Azo residues.

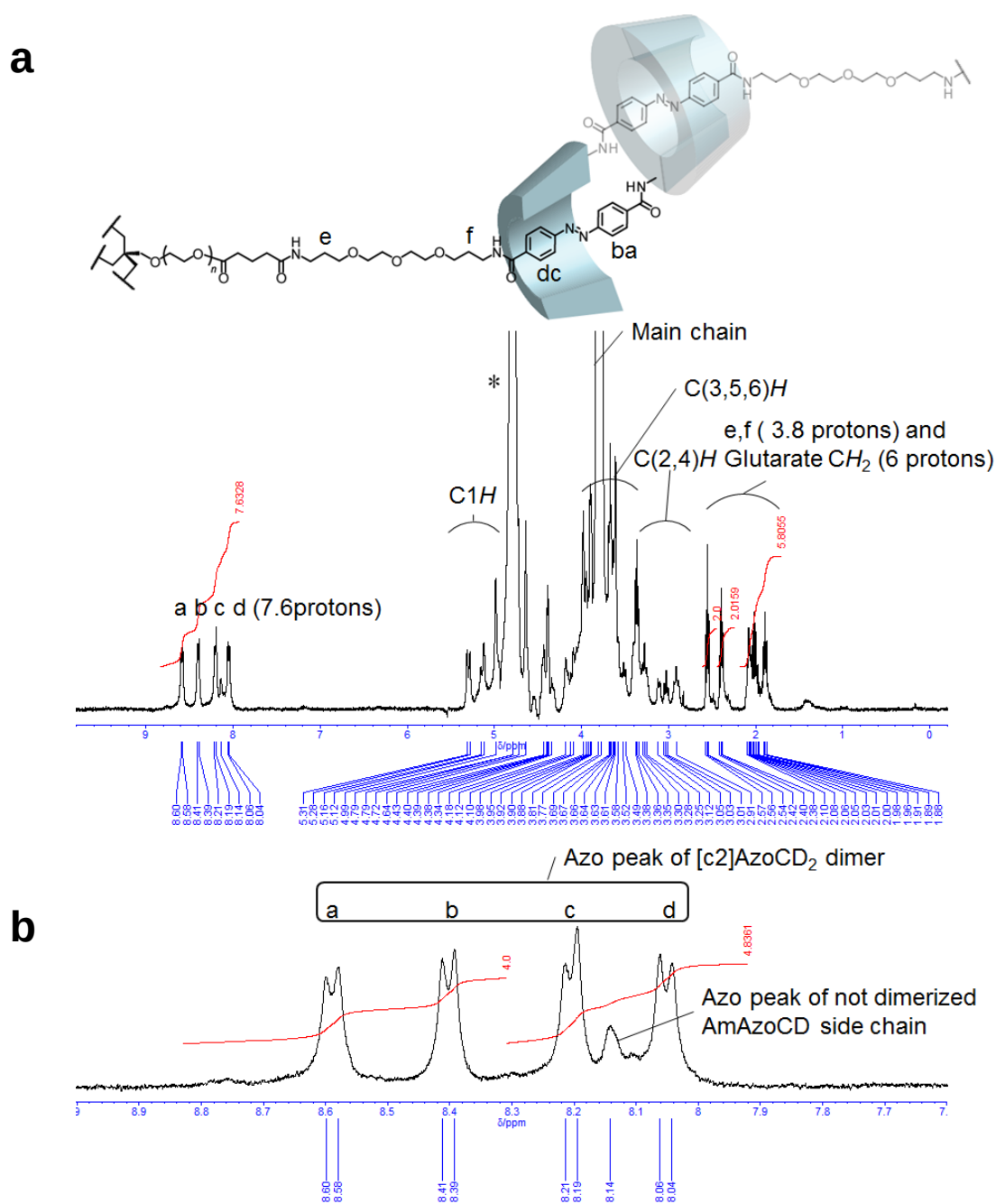


Figure 3-4. 400 MHz ^1H FGMAS NMR spectra of the $[\text{c}2]\text{AzoCD}_2$ hydrogel in D_2O . **a)** Full range spectrum to quantify the conversion of NHS group on PTE-100GS. The conversion of NHS to amide was 95%. **b)** Partial spectrum of 7.60–9.00 ppm to quantify the ratio between $[\text{c}2]\text{AzoCD}_2$ dimer unit and monomeric AmAzoCD unit. The ratio of $[\text{c}2]\text{AzoCD}_2$ dimer was 90%. The ratio of $[\text{c}2]\text{AzoCD}_2$ did not dimerized AmAzoCD : partly-hydrolyzed NHS = 85.5 : 9.5 : 5. A sample spinning rate was 10 kHz by using a nano probe. "*" denotes the signals due to the solvent used.

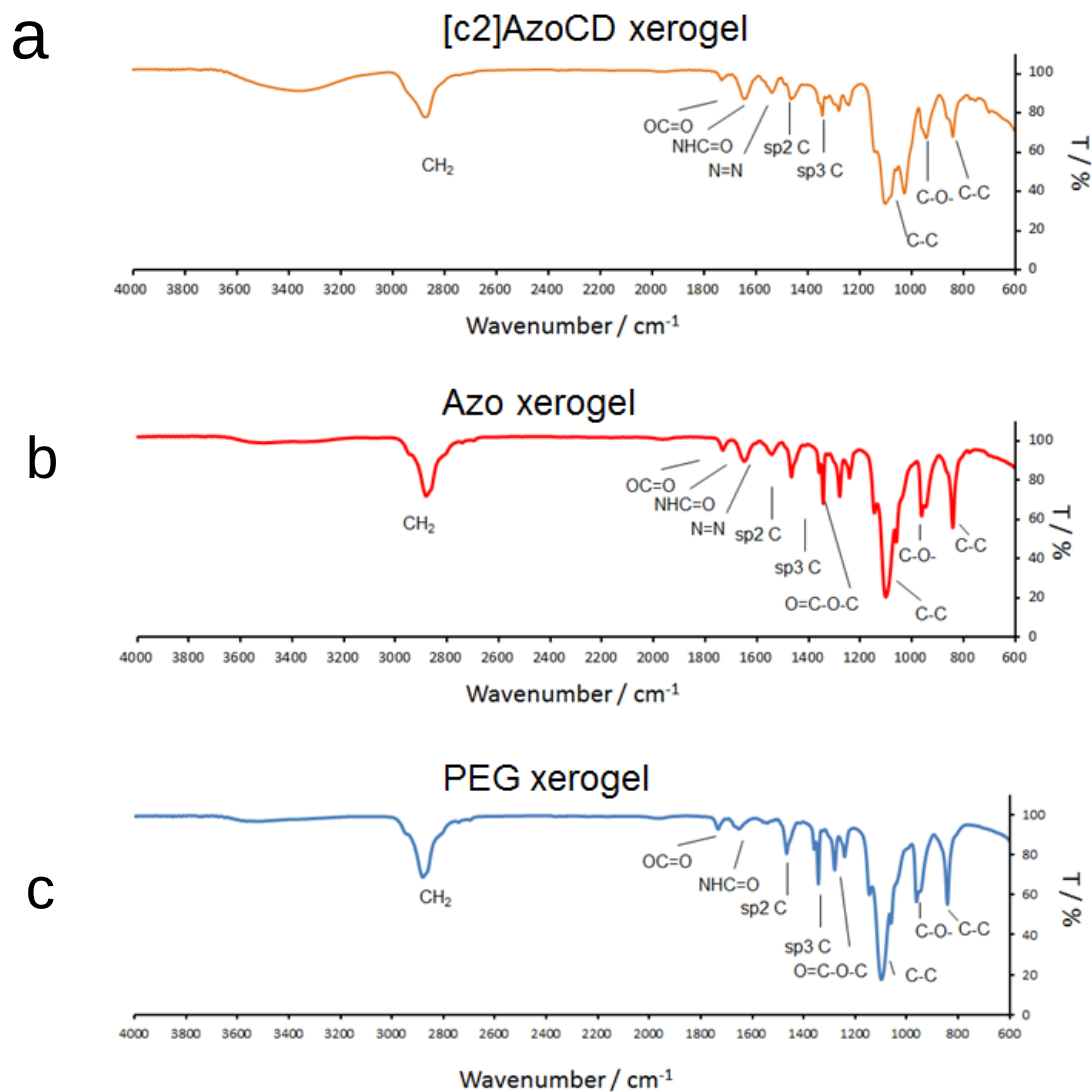


Figure 3-7. FT-IR spectra (ATR method) of **a**) the [c2]AzoCD₂ xerogel, **b**) the Azo xerogel, and **c**) the PEG xerogel.

These spectroscopic data indicate that the [c2]AzoCD₂, Azo, and PEG moiety are incorporated in hydrogels.

Results and discussions

Effect of the solvent polarity in the polycondensation

The author investigated the effect of the solvent polarity (water or DMSO) on the polycondensation of TetraPEG and [c2]AzoCD₂. The physical properties of the hydrogels were characterized by storage elastic modulus (G') and loss elastic modulus (G''). Figures 3-8–3-11 show G' and G'' for the [c2]AzoCD₂ hydrogel prepared in **a)** water and **b)** DMSO for an angular frequency range of 0.1–10 rad s⁻¹.

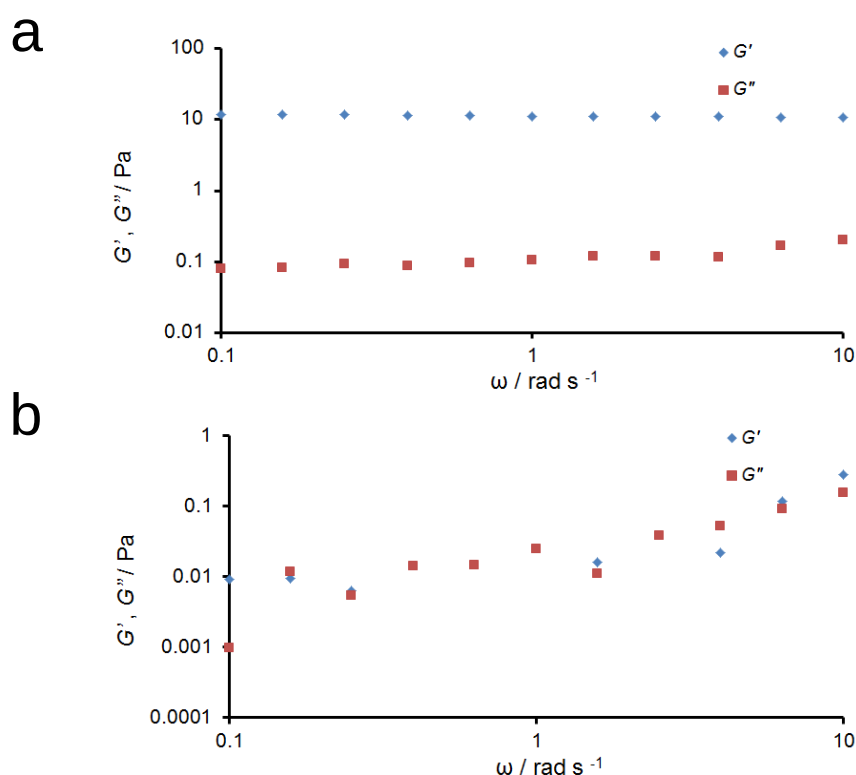


Figure 3-8. Data of dynamic viscoelastic measurements. Dependency of the storage and loss moduli, G' and G'' on angular frequency (ω) for an aqueous solution of the mixture of the **a)** [c2]AzoCD₂ hydrogel and **b)** a DMSO solution of the mixture of [c2]AzoCD₂ and PTE-100GS on polycondensation.

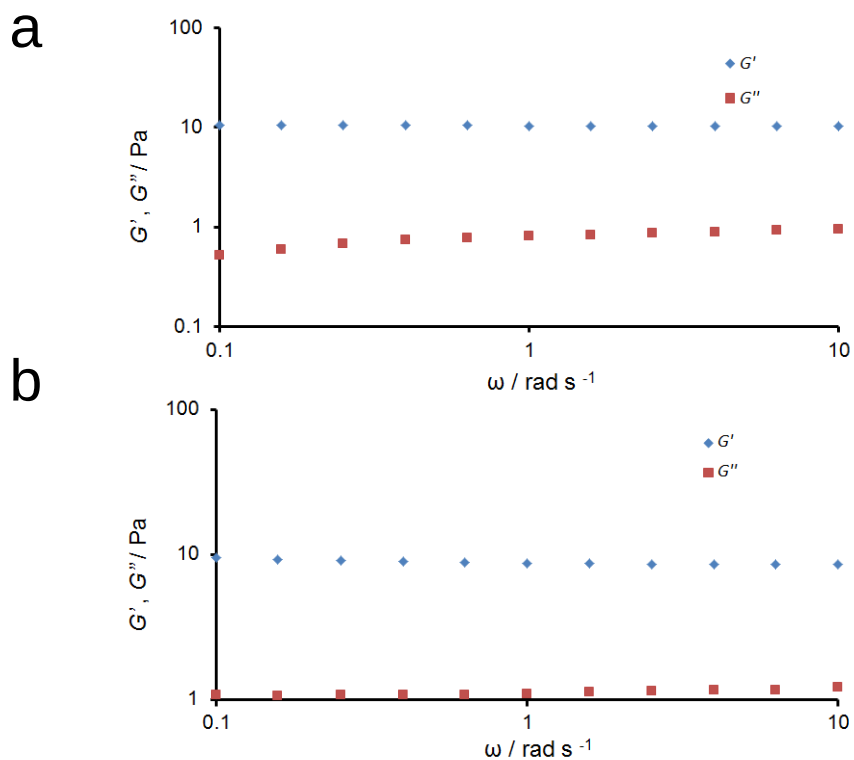


Figure 3-9. Data of dependency of the storage and loss moduli, G' and G'' on angular frequency (ω) for the Azo hydrogel prepared in **a)** water and in **b)** DMSO.

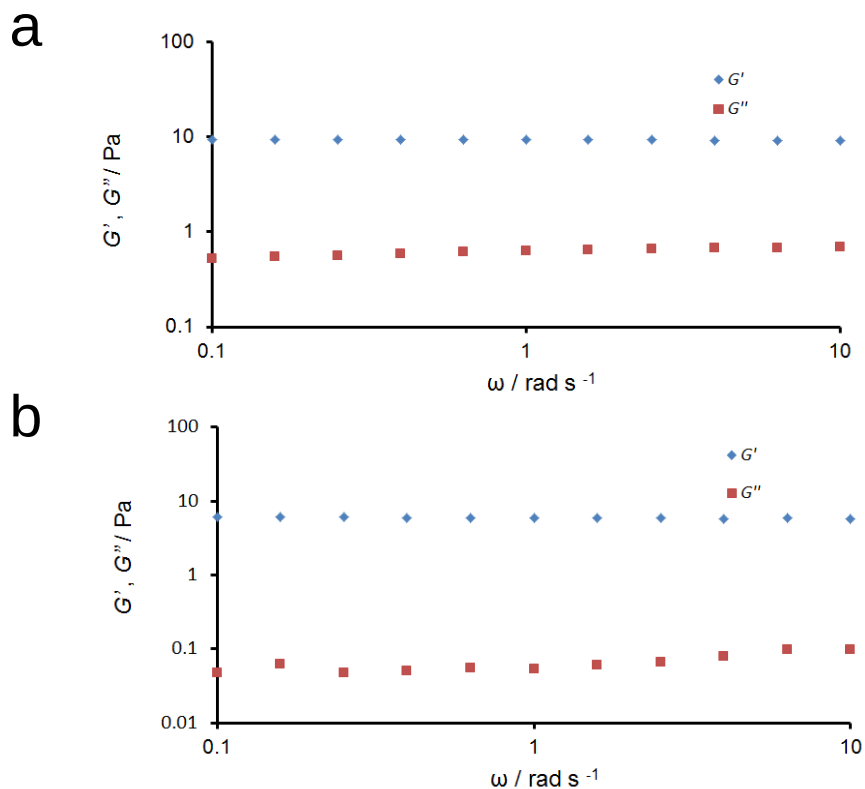


Figure 3-10. Data of dependency of the storage and loss moduli, G' and G'' on angular frequency (ω) for the PEG hydrogel prepared in **a)** water and in **b)** DMSO.

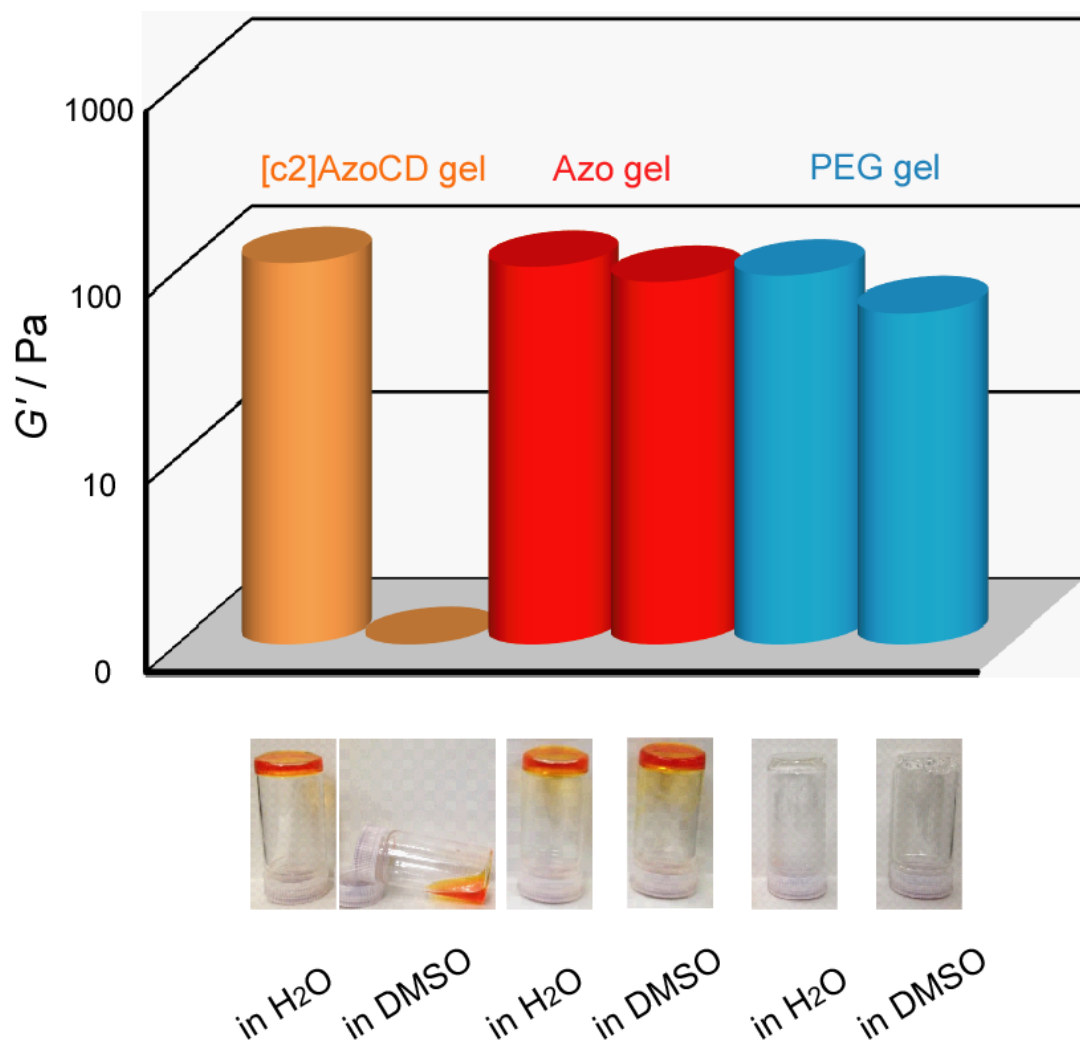


Figure 3-11. Storage elastic modulus of [c2]AzoCD₂ hydrogel in H₂O, a DMSO solution of the mixture of [c2]AzoCD₂ and PTE-100GS, Azo hydrogel in H₂O, Azo organogel in DMSO, PEG hydrogel in H₂O, and PEG organogel in DMSO. Insets are photographs of reaction mixtures.

The values of G' for the [c2]AzoCD₂ hydrogel prepared in water do not relax ($G' > G''$), suggesting that the obtained hydrogel is similar to a chemically cross-linked gel. In contrast, the values of G'' for the product with [c2]AzoCD₂ reacted in DMSO are larger than those of G' , which suggests the formation of an unstable state with relaxation. However, the polycondensation solvent does not affect the storage elastic modulus of the Azo hydrogel and the PEG hydrogel due to the formation of covalent cross-linked structures (Figure 3-11). The elastic viscosity of the product with [c2]AzoCD₂ prepared in DMSO was significantly lower than that prepared in water because [c2]AzoCD₂ dissociates in DMSO prior to

polycondensation reaction. In other words, [c2]AzoCD₂ functions as an interpenetrated cross-linker, resulting in the formation of supramolecular hydrogel.

Photo-responsive volume change of the [c2]AzoCD₂ hydrogel

Then, the author investigated the volume change of the [c2]AzoCD₂ hydrogel and the Azo hydrogel by UV light ($\lambda = 365$ nm) and Vis light ($\lambda = 430$ nm) irradiation. Figure 3-12a shows the experimental conditions for photo-irradiation of a cuboid gel. The rod lens with the a Xenon lamp was located above the hydrogels.

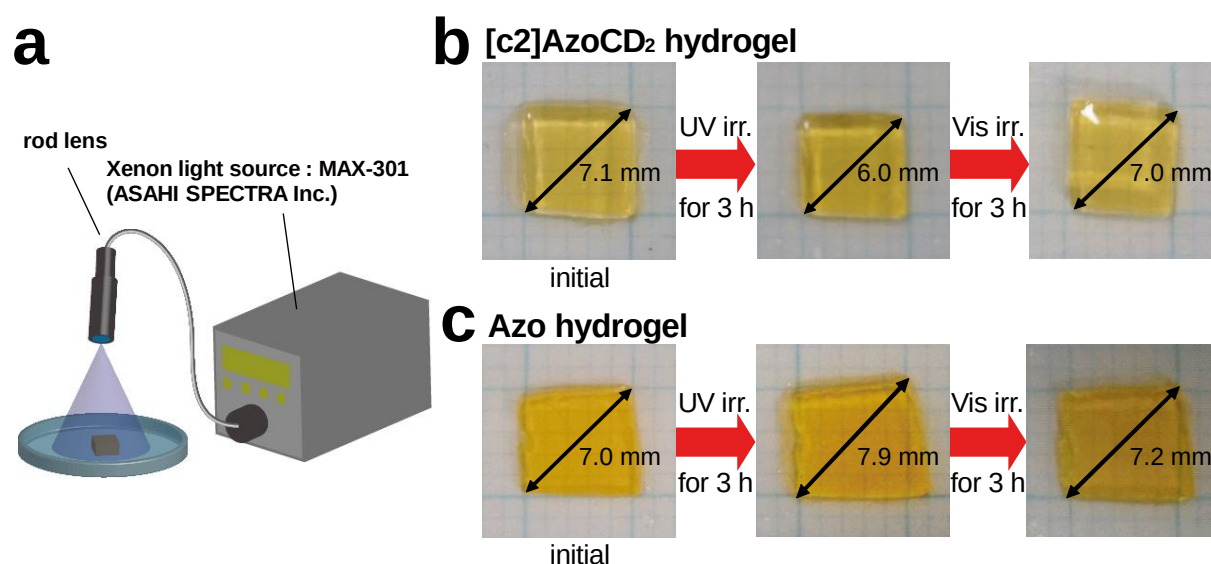


Figure 3-12. Photo-responsive properties of the [c2]AzoCD₂ hydrogel and the Azo hydrogel. **a)** Irradiation experimental setup of the cuboid gels ($5 \times 5 \times 1$ mm³) in water. **b)** and **c)** Photographs of the volume changes of the [c2]AzoCD₂ hydrogel and the Azo hydrogel upon irradiation with UV ($\lambda = 365$ nm) and Vis light ($\lambda = 430$ nm) for three hours, respectively.

Figures 3-12b and 3-12c show photographs of volume changes of the [c2]AzoCD₂ hydrogel and the Azo gel upon UV and Vis light irradiation, respectively. Irradiating the cuboid [c2]AzoCD₂ hydrogel with UV light for 3 hours decreases the volume, whereas continuously irradiating the shrunken gel with Vis light for 3 hours recovers the initial volume (Figure 3-12b). On the contrary, irradiating the Azo hydrogel with UV light increases the

volume, and continuously irradiating with Vis light restores the initial volume (Figure 3-12c). Figure 3-13 shows the correlation between the weight ratio and irradiation wavelength. As seen above, the [c2]AzoCD₂ hydrogel and the Azo hydrogel show opposite contraction and expansion behaviour. Of course, irradiation does not change the volume of the PEG hydrogel at all. The contraction–expansion motion of [c2]AzoCD₂ induces a volume change in the [c2]AzoCD₂ hydrogel.

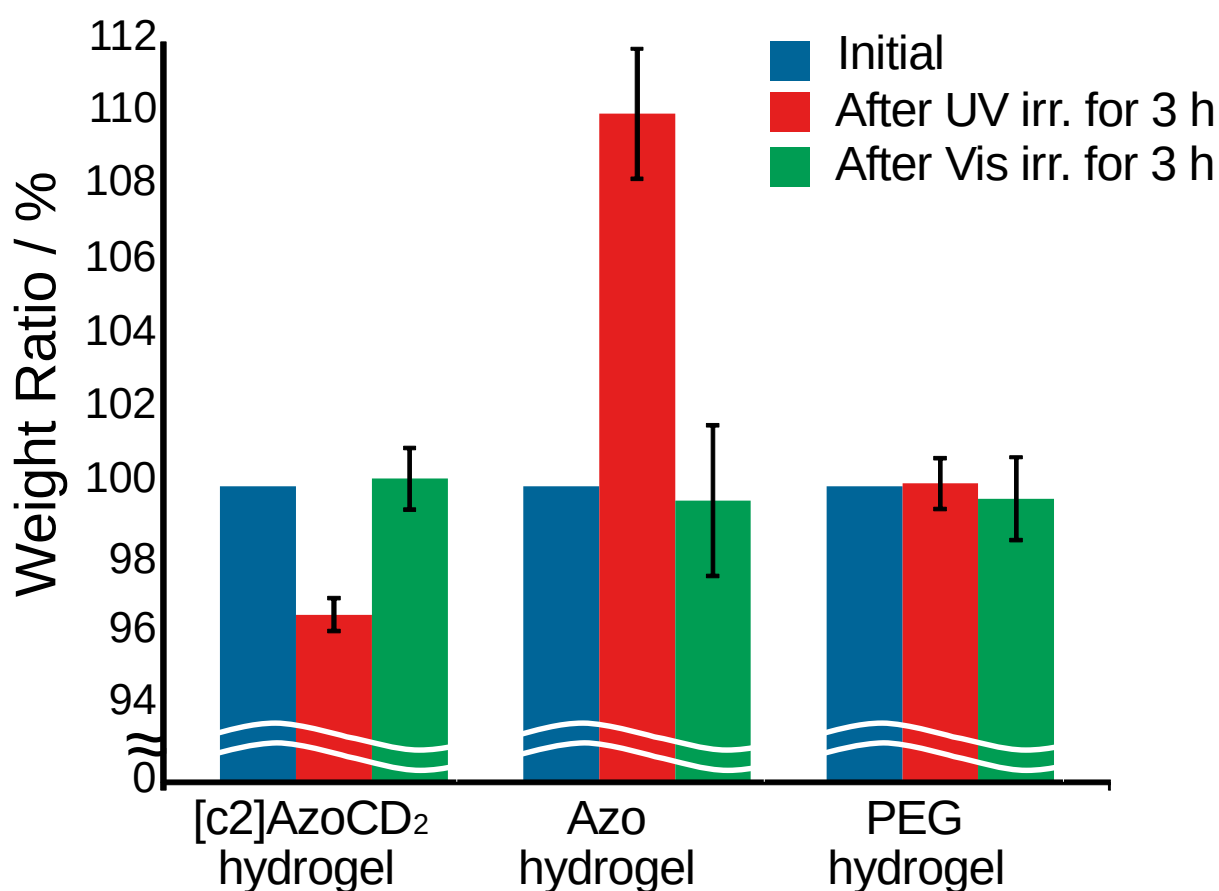


Figure 3-13. Weight change of the [c2]AzoCD₂ hydrogel, Azo hydrogel, and PEG hydrogel before and after photo-irradiation with UV and Vis light. The weight ratio (%) is measured with a scale.

The conformational change induced by UV light irradiation discharges the adsorbed water of the [c2]AzoCD₂ hydrogel, shrinking the gel pore (Figure 3-14a). The contracted state of [c2]AzoCD₂ is restored to the initial expanded state. The recovering the

conformation causes the absorption of water upon Vis irradiation due to the swelling of the gel pore. On the other hand, the Azo hydrogel prior to UV irradiation forms the contracted state because the hydrophobic *trans*-Azo groups assemble to function as noncovalent cross-linkers (Figure 3-14b). After UV irradiation, the assembly decomposes to decrease the cross-link density with photo-isomerization from *trans*- to *cis*-Azo.

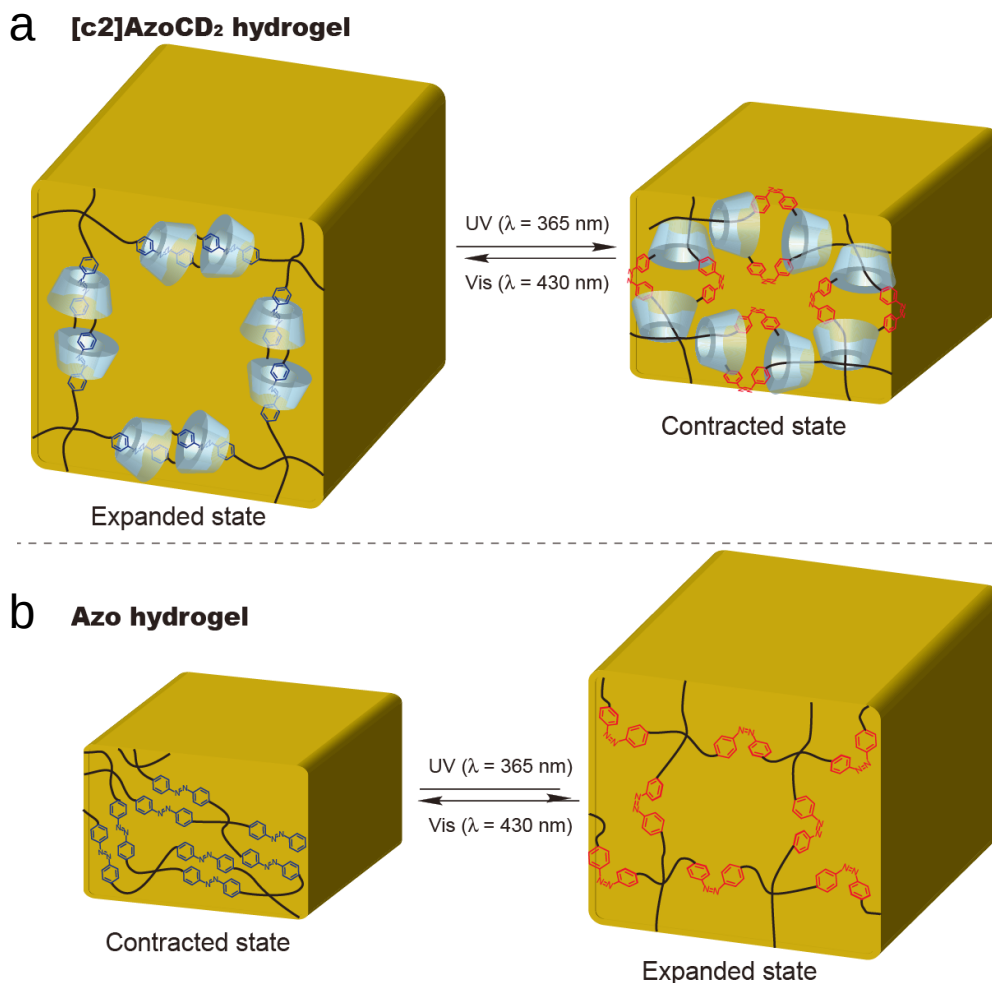


Figure 3-14. **a)** Schematic illustration of the expansion–contraction of the [c2]AzoCD₂ hydrogel upon photo-irradiation. UV irradiation induces isomerization of the Azo unit from the *trans*- to *cis*-form. The structure of [c2]AzoCD₂ changes from the expanded state to the contracted state upon UV irradiation. Vis irradiation causes isomerization from the *cis*- to *trans*-form, and the contracted state of [c2]AzoCD₂ recovers to the initial expanded state due to Vis light irradiation. **b)** Schematic illustration of the expansion–contraction of the Azo hydrogel upon photo-irradiation. The *trans*-Azo units associate hydrophobically to act as cross-linkers. After UV irradiation, the assembly dissociates to increase the volume of the Azo hydrogel.

Photo-isomerization of azobenzene moieties in the gel

Azo derivatives are known to show the *trans*-to-*cis* and *cis*-to-*trans* photo-isomerization under irradiation with UV and Vis light, respectively. UV-Vis spectroscopy and ^1H FGMAS NMR spectrometry were carried out by the photo-isomerization of the azobenzene group in the gels (Figure 3-15, 3-16 and 3-17). As similar to the photo-isomerization of [c2]DMTAzoCD₂, the intensity of π - π^* transition band of the *trans*-Azo group decreases and an n - π^* transition band appears upon UV light irradiation.

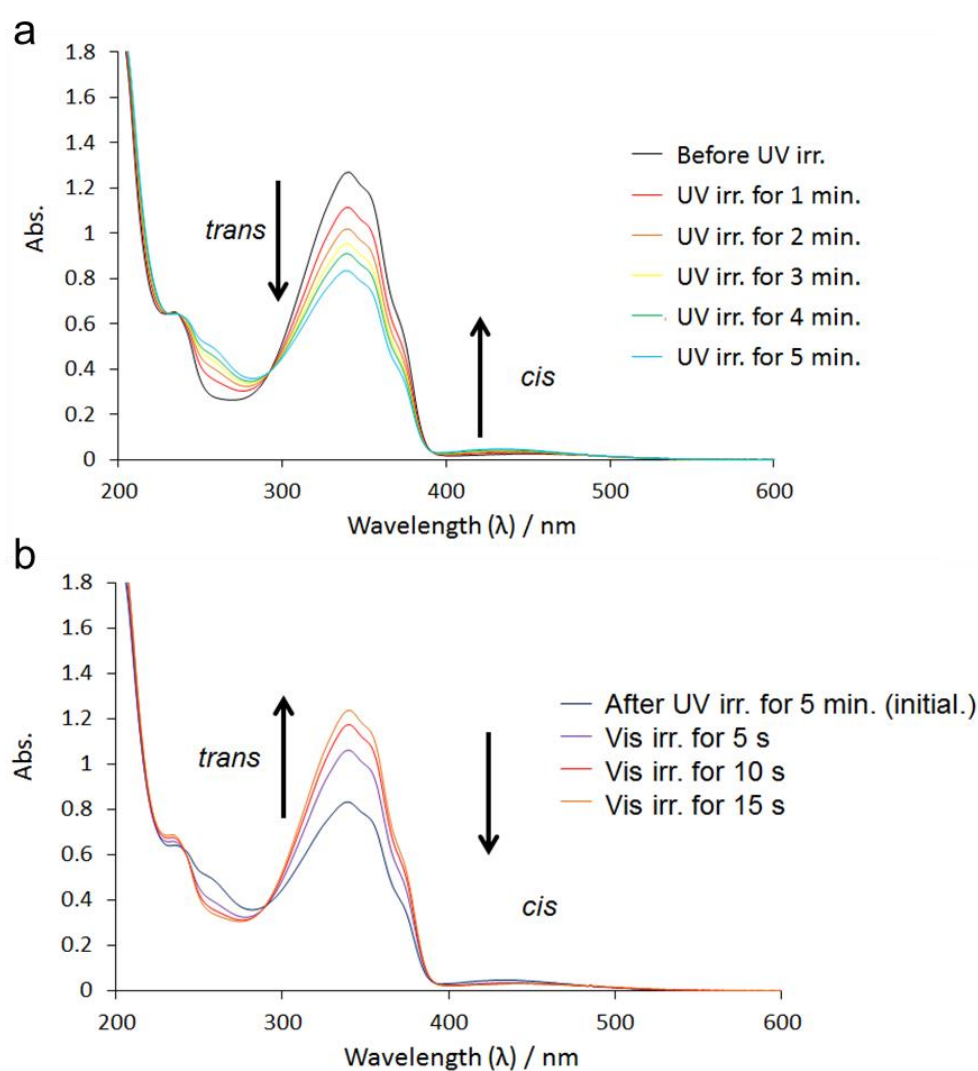


Figure 3-15. Time-resolved absorption spectra for the [c2]AzoCD₂ hydrogel under photo-irradiation with **a)** UV ($\lambda = 365$ nm) and **b)** visible ($\lambda = 430$ nm) lights respectively.

Conversely, Vis light irradiation recovers the intensity of π - π^* transition band and the n - π^* transition band disappears. These results indicate that the Azo units in the [c2]AzoCD₂ gel and the Azo gel have the reversible photo-isomerization ability inside the gels.

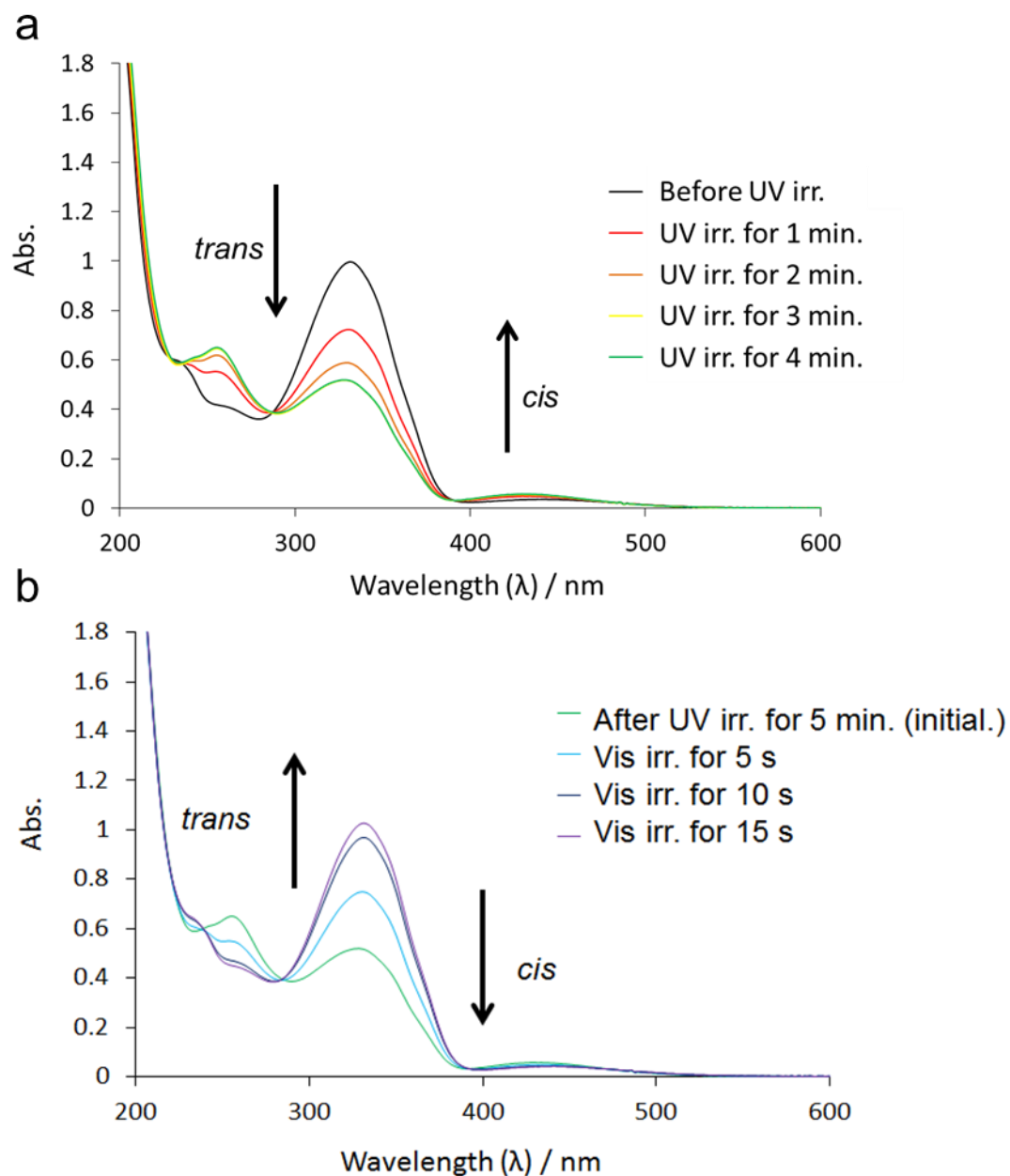


Figure 3-16. Time-resolved absorption spectra for the Azo hydrogel under photo-irradiation with **a)** UV ($\lambda = 365$ nm) and **b)** visible ($\lambda = 430$ nm) light respectively.

The degree of photo-isomerization ratio of Azo moiety in the [c2]AzoCD₂ hydrogel was evaluated by ¹H FGMAS NMR spectrometry. Figure 3-17 shows the ¹H NMR spectra of [c2]AzoCD₂ hydrogel. Before UV irradiation, the ratio of *trans*- and *cis*-Azo was 99.9 : 0.1. After UV irradiation, the ratio of *trans*- and *cis*-isomers was changed to 98.4 : 1.6. Subsequent Vis light irradiation, the ratio was restored to the initial one.

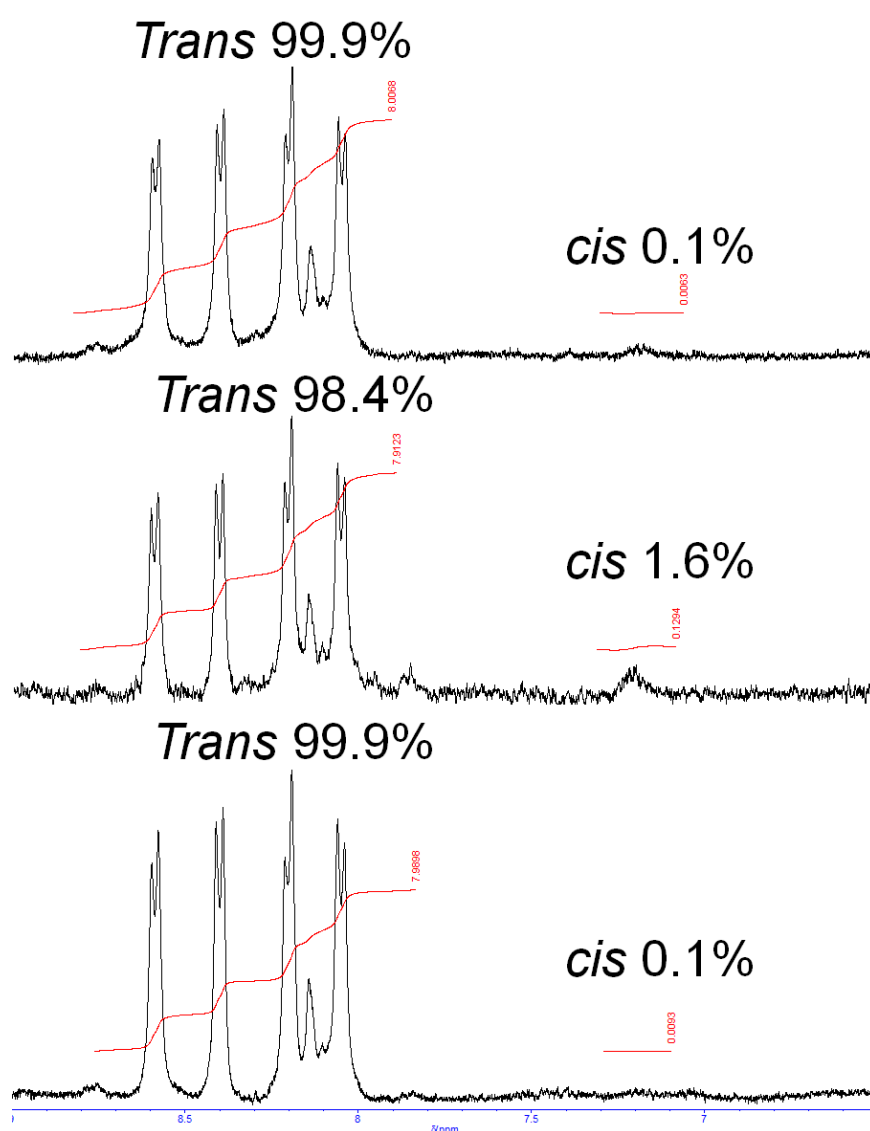


Figure 3-17. ¹H FGMAS NMR spectra of the [c2]AzoCD₂ hydrogel **a)** before UV irradiation, **b)** after UV ($\lambda = 365$ nm) irradiation, and **c)** after Vis ($\lambda = 430$ nm) irradiation. The degree of photo-isomerization ratios of Azo groups were evaluated by the integral value before and after light irradiations.

Photo-responsive actuation of the [c2]AzoCD₂ hydrogel

The correlation between the direction of the incident light and the deformation directions with UV light ($\lambda = 365$ nm) and Vis light ($\lambda = 430$ nm) was investigated. The [c2]AzoCD₂ hydrogel or the Azo gel actuator composed of the plate gels ($20 \times 10 \times 1-2$ mm³) were immersed in water. A rod lens with a xenon lamp was placed on the right side of the gel. When the [c2]AzoCD₂ hydrogel was bent to the right side upon UV light irradiation, subsequent under irradiation with Vis light from the right side, the hydrogel restored to the initial condition (Figure 3-18a). Similarly, irradiation of the [c2]AzoCD₂ hydrogel from the left side with UV light causes the hydrogel to bend to the left, and the initial state is restored upon irradiation of the bent hydrogel with Vis light. By contrast, irradiation of the Azo hydrogel with UV light from the right side bends the hydrogel to the left, and irradiation of the bent Azo hydrogel with Vis light restores the initial condition (Figure 3-18e). These results demonstrate that the flexion behaviour of the [c2]AzoCD₂ hydrogel and Azo hydrogel have opposite motions dependence on the light direction. Figures 3-18b and 3-18f show the flexion angle (θ) of hydrogels irradiated with UV and Vis light, respectively, for 3 hours. The (θ) value becomes saturated after ca. 3 hours of UV irradiation and does not significantly decrease upon standing under its own weight for 1 hour in the dark. By contrast, irradiation with Vis light immediately restores the bent gel to the initial state. It takes shorter time than that bending of [c2]AzoCD₂ gel by UV light irradiation. Because the photo-isomerization speed of Azo units which are outside of CD cavity is faster than its inside one. Figure 3-16c shows the repetition experiment for the [c2]AzoCD₂ hydrogel upon alternating irradiation with UV and Vis light for 1 hour. The plate hydrogel exhibits a back-and-forth motion that depends on the wavelength regardless of the irradiation history. The bending behaviour of the [c2]AzoCD₂ gel can be repeated for at least ten cycles with various strains.

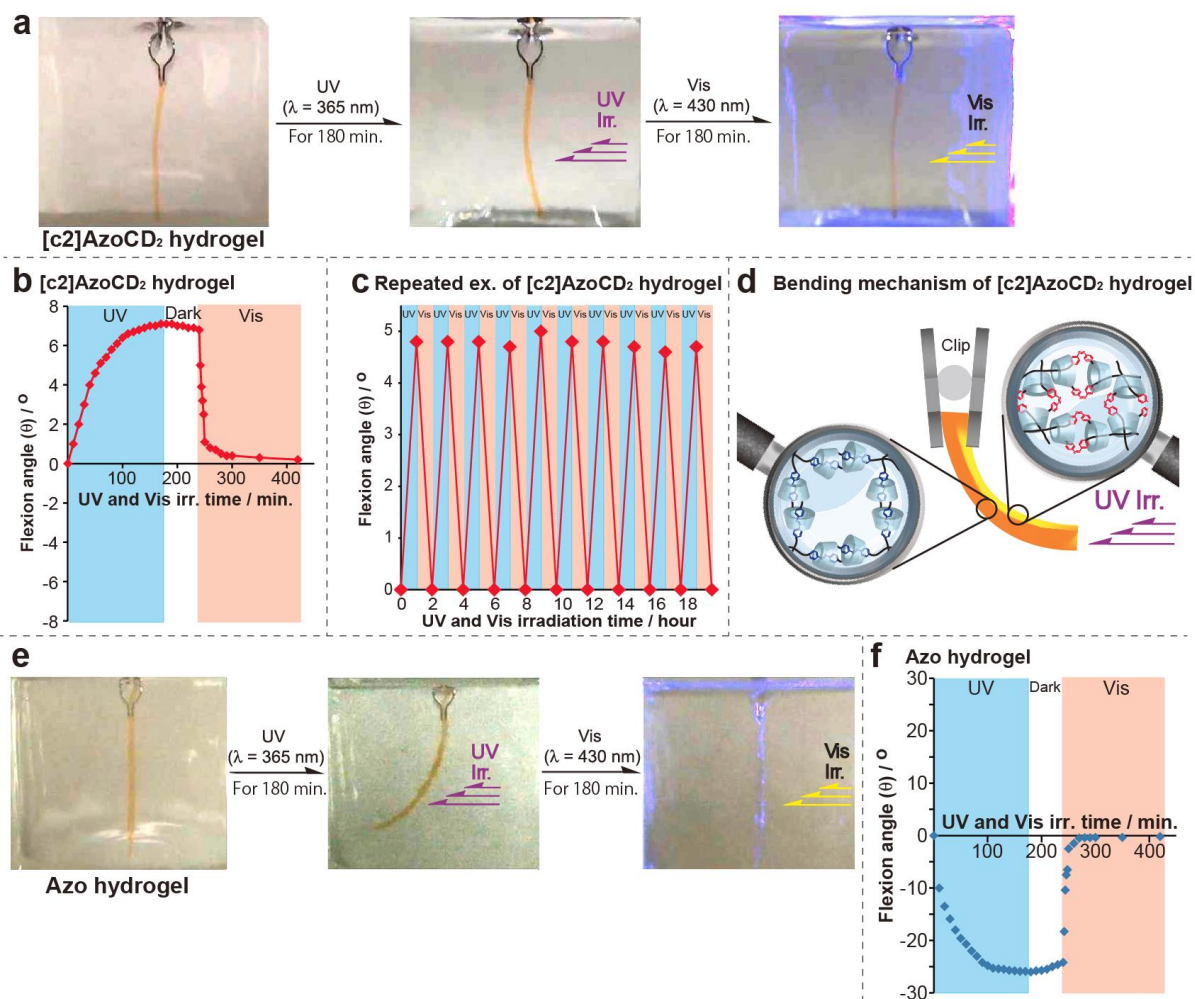


Figure 3-18. **a)** Photographs of the [c2]AzoCD₂ hydrogel irradiated with UV and Vis light. Upon UV irradiation, the [c2]AzoCD₂ hydrogel bends to the right but continuous Vis light irradiation results in recovery of the initial form. **b)** Plot of the flexion angle (θ) as a function of irradiation time of the [c2]AzoCD₂ hydrogel. The blue, red, and white areas denote UV irradiation, Vis irradiation, and dark storage without light exposure, respectively. The flexion angle (θ) was measured using snapshots. **c)** Repetition experiment of the [c2]AzoCD₂ hydrogel irradiated with UV and Vis light for 1 hour. The plot shows the correlation between the irradiation time and flexion angle (θ). **d)** Schematic illustration of the bending mechanism of the [c2]AzoCD₂ hydrogel upon photo-irradiation. UV light decreases the volume of the exposed surface of the [c2]AzoCD₂ hydrogel, and the volume of the non-exposed side remains constant. The strain between the exposed and unexposed areas results in flexion. **e)** Photographs of the Azo hydrogel irradiated by UV and Vis light. UV light irradiation bends the Azo hydrogel but continuous Vis light irradiation results in recovery of the initial form. **f)** Plot of the flexion angle (θ) as a function of irradiation time for the Azo hydrogel.

These results indicate that the flex behaviour of the [c2]AzoCD₂ hydrogel is the opposite that of the Azo hydrogel. θ of the [c2]AzoCD₂ hydrogel is positive (Figure 3-18b) but θ of the Azo hydrogel is negative (Figure 3-18f). Because, the flexion mechanism of the [c2]AzoCD₂ hydrogel and Azo hydrogel were different. The [c2]AzoCD₂ hydrogel bends towards the light source because the exposed surface of the hydrogel preferentially absorbs UV light energy. The conformation change of the [c2]AzoCD₂ unit induced by UV light irradiation and the gel was shrunk by discharge of water from the [c2]AzoCD₂ gel. UV light irradiation decreased the volume of the exposed surface, and the non-exposed side remained constant (Fig. 3-18d). In contrast, the Azo hydrogel bends away from the light source because prior to UV irradiation, the *trans*-Azo units associate hydrophobically to act as cross-linkers. After UV irradiation, the assembly dissociates, and the cross-linking density was decreased. Then, the volume of the exposed surface increased upon photo-isomerization from *trans*- to *cis*-Azo.

Response rate of the [c2]AzoCD₂ hydrogel

As can be seen in Figures 3-18b and 3-18f, the initial bending rate (V_0) of the [c2]AzoCD₂ and the Azo hydrogel are clearly different. To determine the initial bending rate (V_0) of the [c2]AzoCD₂ and Azo hydrogel, the author compared the bending efficiency of the [c2]AzoCD₂ and the Azo hydrogel. V_0 of the Azo hydrogel ($V_0 = 1.7 \times 10^{-2} \text{ deg s}^{-1}$) is faster than that of the [c2]AzoCD₂ hydrogel ($V_0 = 1.7 \times 10^{-3} \text{ deg s}^{-1}$). The [c2]AzoCD₂ hydrogel and the Azo hydrogel have different contraction–expansion mechanisms. The contraction–expansion mechanism of the [c2]AzoCD₂ hydrogel is based on the change in the end-to-end distance of the [c2]daisy chain and desorption of water upon irradiation with UV light. On the other hand, contraction–expansion mechanism of Azo hydrogel is based on the change in the cross-linking density. These are related to the swelling pressure (absorption and

desorption of water) and isomerization of the Azo unit. As shown in Figure 3-15, the isomerization rate of the Azo unit in the [c2]AzoCD₂ hydrogel is slower than that in the Azo hydrogel because the formation of the inclusion complex suppresses the isomerization of the Azo unit. In regards to the thickness of the [c2]AzoCD₂ hydrogel, V_0 of the [c2]AzoCD₂ hydrogel decreased with the thickness (Figure 3-19). If the hydrogel is more than 4 mm thick, the [c2]AzoCD₂ hydrogel does not exhibit any bending behaviour upon irradiation with UV light. In addition, the strain between the exposed and unexposed areas creates the flexion behaviour of the [c2]AzoCD₂ hydrogel and Azo hydrogel.

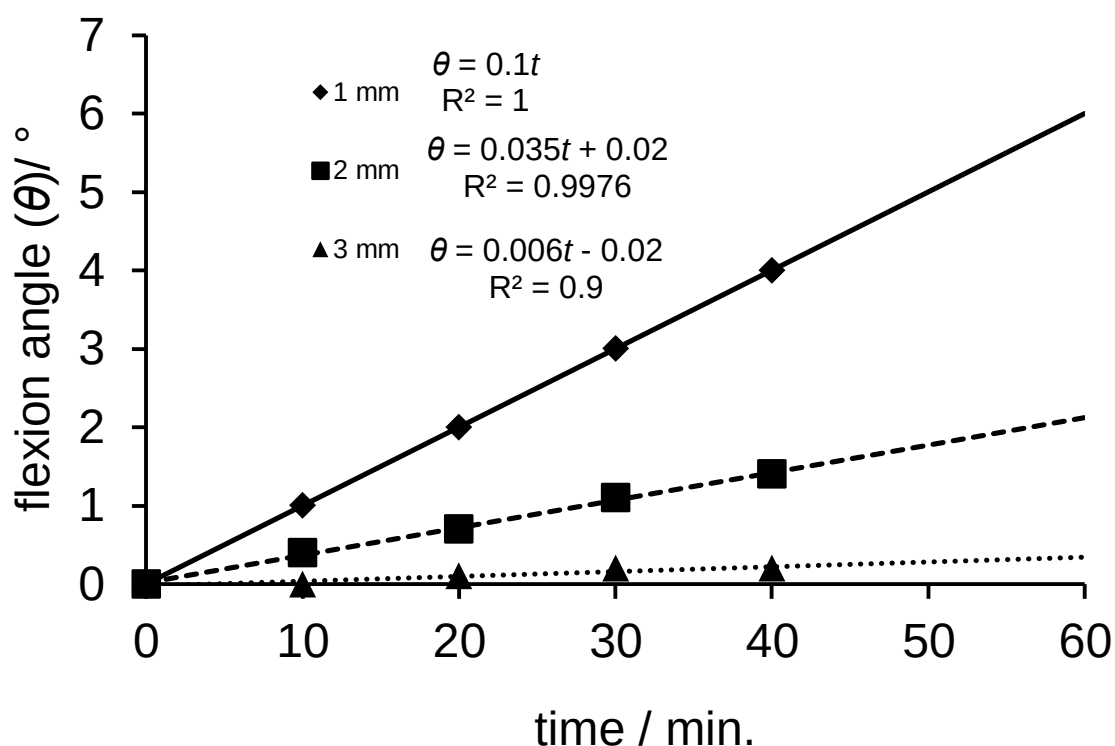


Figure 3-19. Plot of the flexion angle versus irradiation time in the case of the [c2]AzoCD₂ hydrogel with different thicknesses. Thickness; 1 mm (◆), 2 mm (■) and 3 mm (▲). The initial rate (V_0) was calculated from the slopes. Each initial velocities were $1.7 \times 10^{-3} \text{ deg s}^{-1}$ (1 mm), $5.8 \times 10^{-4} \text{ deg s}^{-1}$ (2 mm) and $1.0 \times 10^{-4} \text{ deg s}^{-1}$.

Mechanical property of [c2]AzoCD₂ hydrogel

The author evaluated the change in the cross-link density in response to photo-stimuli by using stress–strain measurements. In general, the Young's modulus is related to the cross-link density of materials. Figure 3-20 shows stress-strain curves with the UV light irradiation. The rupture stress of the [c2]AzoCD₂ hydrogel does not change because a number of the covalent cross-link points are not fundamentally influenced by photo-irradiation. However, the rupture strain value of the [c2]AzoCD₂ hydrogel increased with UV light irradiation. Continuous irradiation with Vis light recovered the initial rupture strain. The Young's modulus of the [c2]AzoCD₂ hydrogel decreased slightly after UV irradiation for 3 hours.

Upon comprehensive consideration of the rupture stress, strain, and Young's modulus, the expanded state of the [c2]AzoCD₂ unit with the *trans*-Azo forms a relatively stable inclusion complex that functions as a non-covalent cross-linker because the association constant of α CD with *trans*-Azo is higher than that of *cis*-Azo. After UV light irradiation, the *cis*-Azo unit leaves the cavity of α CD, which increases the sliding mobility of the AmAzoCD unit and leads to an increased rupture strain (stretching property) and decreased Young's modulus (decreasing cross-link density). By contrast, the Azo hydrogel exhibits different material properties in response to photo-irradiation. The Young's modulus and rupture stress of the Azo hydrogel (20 kPa and 20 kPa) decreased to 5.0 kPa and 14 kPa, respectively, after UV light irradiation for 3 hours, indicating that UV light irradiation decreased the cross-linking density. The change in the cross-linking density in response to the photo-stimuli leads to uptake and release of water in the Azo hydrogel. The decreasing cross-link density results in a decrease in the rupture stress and an increase in the rupture strain. These results demonstrate that the [c2]AzoCD₂ hydrogel has an entirely different contraction–expansion mechanism from that of the Azo hydrogel.

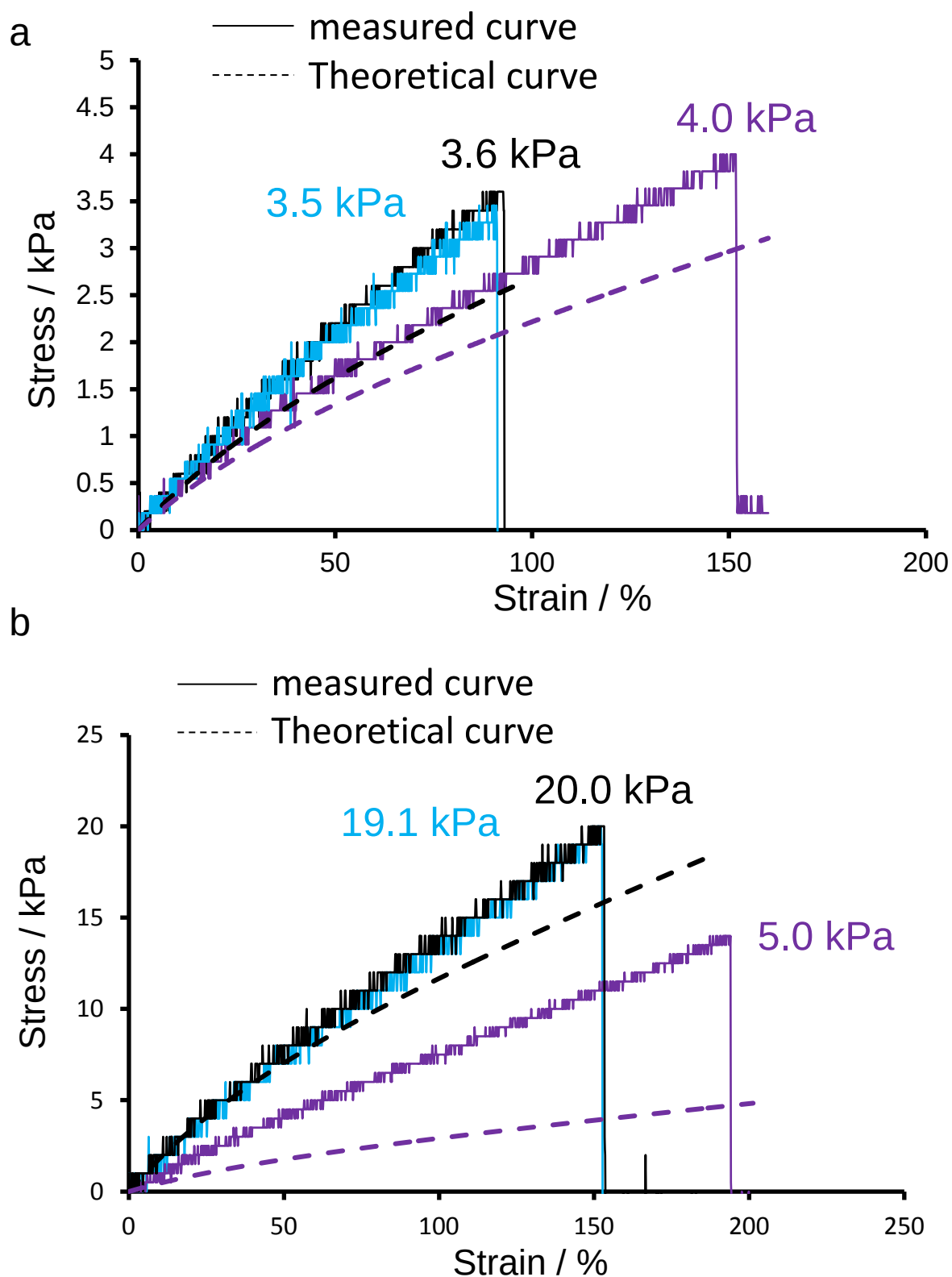
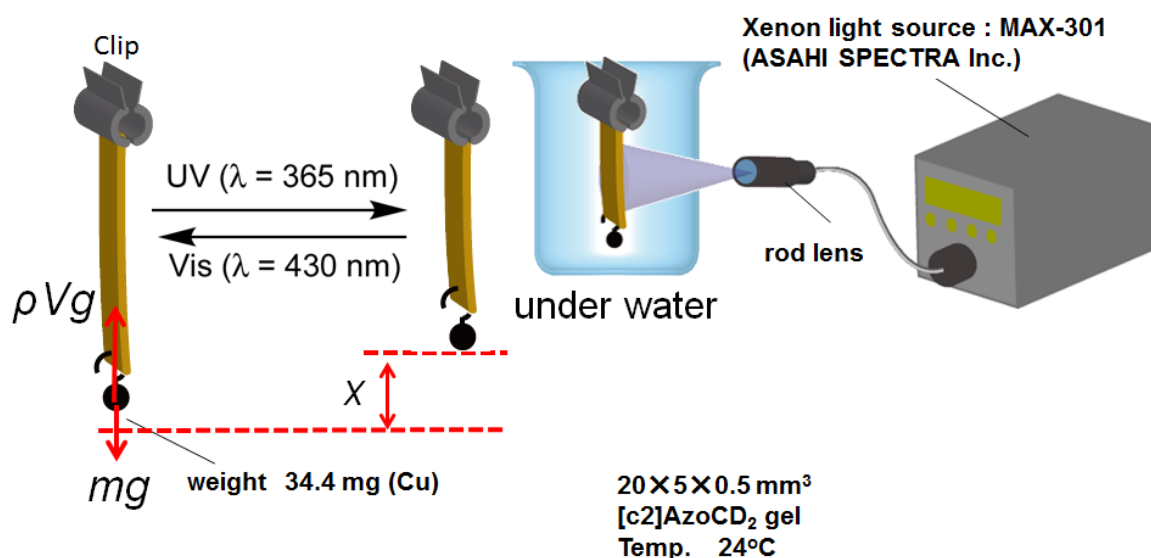


Figure 3-20. Stress–strain curves of **a)** the [c2]AzoCD₂ and **b)** Azo hydrogel. Before UV irradiation (—), after UV ($\lambda = 365$ nm) irradiation (—) and after Vis ($\lambda = 430$ nm) irradiation (—). (---) indicates theoretical curve following affine network model.

Mechanical works of [c2]AzoCD₂ hydrogel

The conversion of the photo-irradiation energy to mechanical work is important for estimating the mechanical work conversion efficiency of the [c2]AzoCD₂ hydrogel (Figure 3-21) upon UV irradiation. A weight (34.4 mg) was attached to the bottom of a rectangular gel (size: 10×5×1 mm³). UV light irradiation results in the contraction of the gel, lifting the weight. Figure 3-22 shows the position of the weight as a function of irradiation time. During UV light irradiation, the weight undergoes a mechanical work (W), which is determined by $W = (m - \rho V)gx$ (m : the mass of weight; ρ : the density of buffer; V : the volume of weight; g : the gravitational acceleration; x : the difference in height of the weight). The mechanical work of the [c2]AzoCD₂ hydrogel and xerogel was estimated to be ca. 0.12 μ J.



$$\text{Mechanical work } W = (mg - \rho Vg)x$$

Figure 3-21. Experimental setup of the [c2]AzoCD₂ hydrogel actuator in response to photo-stimuli. After UV light irradiation, the length of the hydrogel shorten and the weight became up. Subsequent Vis light irradiation restored the weight to the original position. Here m , ρ , V , g and x denotes the mass of weight, the density of buffer, the volume of weight, the gravitational acceleration and the difference in height of the weight, respectively.

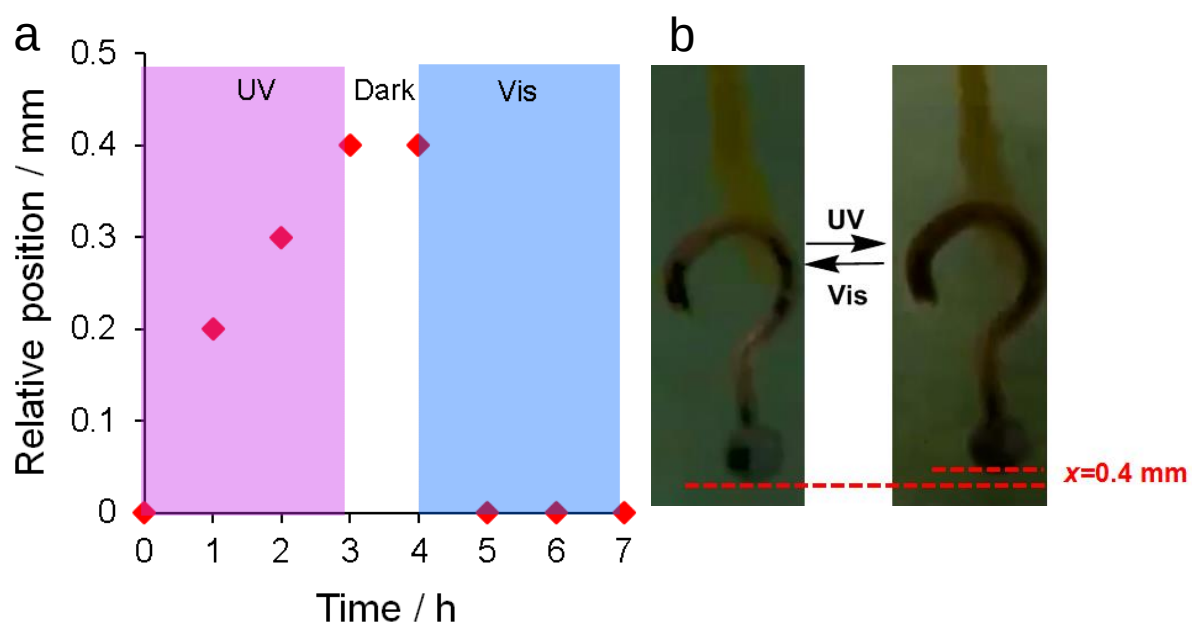


Figure 3-22. **a)** Plot of the relative position of the weight versus irradiation time in the case of the [c2]AzoCD₂ hydrogel with a weight (34.4 mg). The mechanical work of the [c2]AzoCD₂ hydrogel was estimated to be ca. 0.12 μ J. The energy density was 12 μ W/ cm². **b)** Photographs of before UV and after UV irradiated [c2]AzoCD₂ gel attached copper weight.

Experimental

Materials. Four-armed poly(ethylene glycol) (PTE-100GS) and poly(ethylene glycol)-bis-(3-aminopropyl)ether DE-10PA were obtained from NOF American Corporation.

Measurements

Photo-isomerization. The azobenzene moieties in hydrogel were isomerized by the same Xenon lamp (Asahi spectra MAX-301) in Chapter 2. The distance between the sample cell and the lamp was fixed at 10 cm. The bending experiments of [c2]AzoCD₂ xerogel irradiated with UV light ($\lambda = 365$ nm) were carried out by a 1 W LED lamp (Asahi spectra POT365).

NMR spectrometer. The solid-state ¹H FGMAS NMR spectra were recorded at 400 MHz with a JEOL JNM-ECA 400WB NMR spectrometer. The sample spinning rate was 10 kHz with a relaxation delay of 10 s at 30 °C. Chemical shifts were referenced to adamantane as an external standard ($\delta = 1.91$ ppm).

IR spectrometer. The IR spectra were measured using a JASCO FT/IR-410 spectrometer.

UV-Vis absorption spectrometer. The UV-Vis absorption spectra were recorded with a JASCO V-650 and a Hitachi U-4100 spectrometer in water with a 1-mm quartz cell at room temperature.

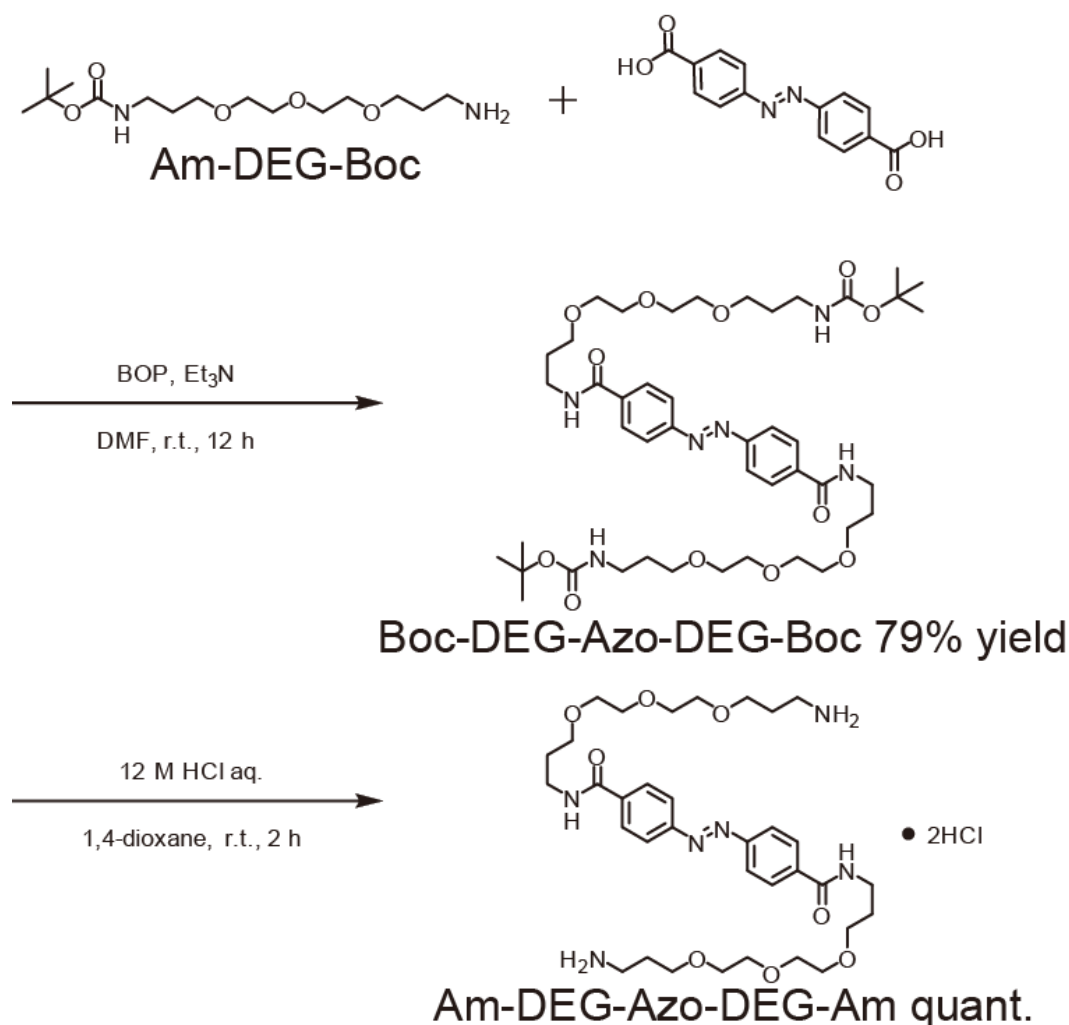
Dynamic viscoelasticity measurements.

Dynamic viscoelasticity of hydrogels were measured by an Anton Paar MCR301 rheometer at strain of 0.1%.

Mechanical properties measurements.

Mechanical properties of hydro- and xerogels were measured by a rupture tensing system (Creep meter, RE-33005B, Yamaden Ltd. and Autograph AG-X plus, Shimadzu).

Preparation of Am-DEG-Azo-DEG-Am



Scheme 3-1. Preparation of Boc-DEG-Azo-DEG-Boc and Am-DEG-Azo-DEG-Am.

Boc-DEG-Azo-DEG-Boc. Am-DEG-Boc (1.5 g, 4.8 mmol), 4-(4-carboxyphenyl)-azo-benzoic acid (0.54 g, 2.0 mmol) and triethylamine (1.4 mL 10 mmol) were dissolved in DMF (10 mL). BOP (3.0 g, 4.8 mmol) was added to the DMF solution at room temperature. After stirred for 12 hours, the reaction mixture was poured into water (100 mL) to remove the reacted BOP reagent. The desired compound was extracted with CHCl_3 (60 mL). The CHCl_3 layer was washed with 0.1 M NaHCO_3 aq., 0.1 M HCl aq., and water several times. The solution was dried over Na_2SO_4 . After evaporation, the residue was dispersed into *n*-hexane. The precipitate was separated from organic layer by centrifugalization. After drying the precipitate, the resulting mixture was applied to a Biotage SNAP Ultra 50 g column running a

CHCl₃ / MeOH gradient. The crude product eluted in the range of 0–10% CHCl₃ / MeOH. The solvents in desired fractions were evaporated to give Boc-DEG-Azo-DEG-Boc as a pale-orange solid (1.4 g, 79%). ¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) 8.60 (t, *J* = 5.4 Hz, 2H), 8.04 (d, *J* = 8.6 Hz, 4H), 7.97 (d, *J* = 8.4 Hz, 4H), 6.70 (br, 2H), 3.53–3.44 (m, overlaps with H₂O), 2.95 (q, *J* = 6.7 Hz, 6.3 Hz, 4H), 1.78 (quintet, *J* = 6.7 Hz, 6.5 Hz, Hz, 4H), 1.58 (quintet, *J* = 6.7 Hz, 6.6 Hz, 4H), 1.35 (s, 9H). ¹³C NMR (125 MHz, DMSO-*d*₆): δ (ppm) 165.31, 155.55, 153.15, 137.14, 128.50, 122.51, 77.35, 69.75, 69.57, 69.52, 68.25, 68.07, 37.20, 36.81, 29.69, 29.28, 28.22. MALDI-TOF MS: *m/z* Calcd. for C₄₄H₇₀N₆O₁₂Na ([M + Na]⁺): 897.5; Found: 897.5; C₄₄H₇₀N₆O₁₂K ([M + K]⁺): 913.5; Found: 913.5.

Am-DEG-Azo-DEG-Am. Boc-DEG-Azo-DEG-Boc (1.2 g, 1.4 mmol) was dissolved in 1,4-dioxane (9.0 mL). HCl aq. (12 M) (6.0 mL, 68 mmol) was added to the 1,4-dioxane solution. After stirred at r.t. for 2 hours, the solvent in the mixture was evaporated to give Am-DEG-Azo-DEG-Am as a pale-orange powder (1.0 g, quant.). ¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) 8.72 (br, 2H), 8.08 (d, *J* = 8 Hz, 4H), 7.99 (br, 3H), 7.96 (d, *J* = 8 Hz, 4H), 3.53–3.31 (m, overlaps with H₂O), 2.82 (t, *J* = 7 Hz, 4H), 1.79 (m, 8H). ¹³C NMR (125 MHz, DMSO-*d*₆): δ (ppm) 165.31, 153.15, 137.10, 128.56, 122.50, 69.76, 69.65, 69.54, 69.44, 68.26, 67.31, 36.80, 36.58, 29.30, 27.11. MALDI-TOF MS: *m/z* Calcd. for C₃₄H₅₅N₆O₈ ([M + H]⁺): 675.4; Found: 675.2; C₃₄H₅₄N₆O₈Na ([M + Na]⁺): 697.4; Found: 697.2; C₃₄H₅₄N₆O₈K ([M + K]⁺): 713.4; Found: 713.2.

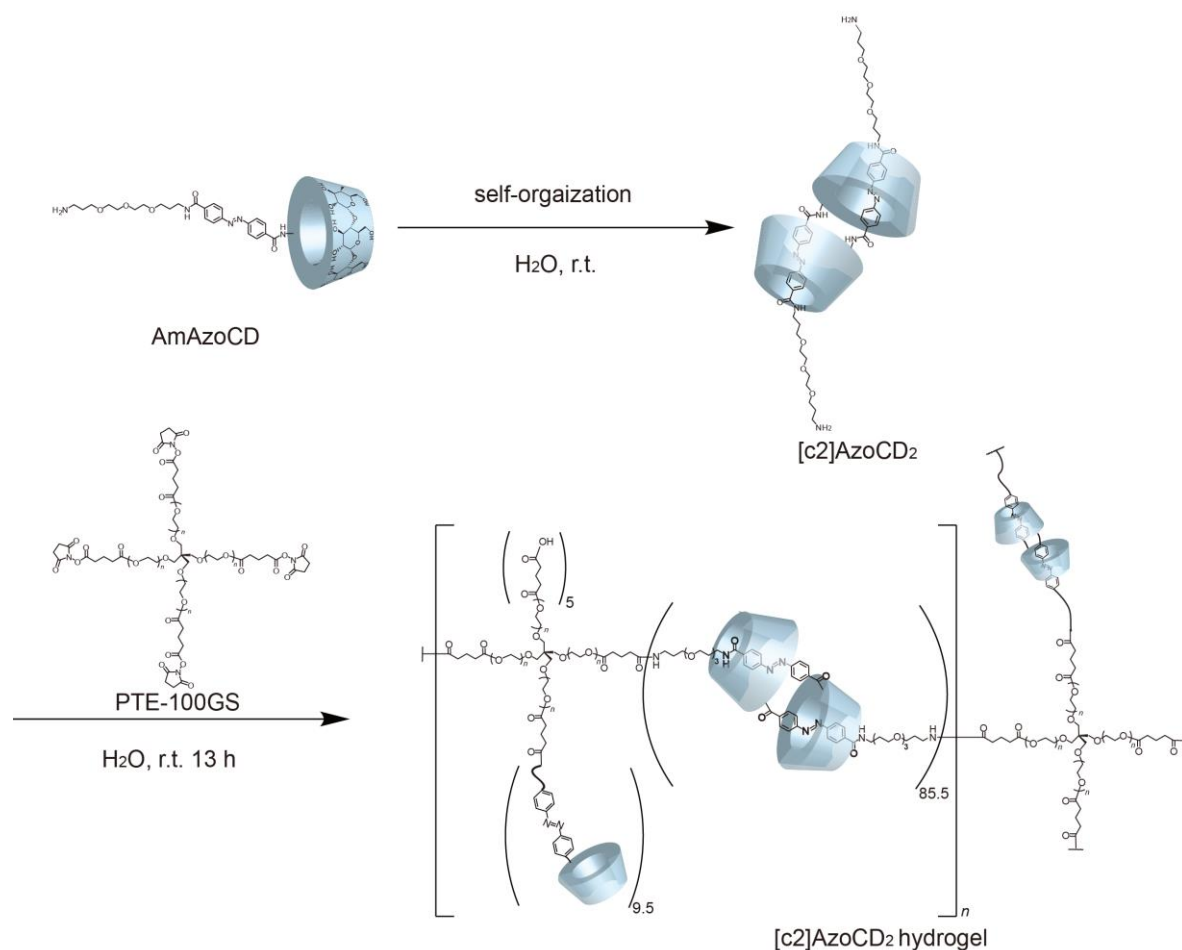
¹H NMR spectrum of compound 10 in CDCl₃. The spectrum shows peaks from 0 to 9 ppm. Key peaks are labeled: 'h' at ~8.1 ppm (2.05H), 'i,j' at ~8.0 ppm (8.07H), 'a' at ~7.0 ppm (1.98H), 'b,c' at ~3.6 ppm (26.01H), 'd' at ~3.4 ppm (4.0H), '*' at ~2.5 ppm, 'e,f' at ~1.8 ppm (4.05H and 4.0H), and 'g' at ~1.2 ppm (18.0H). An inset shows the chemical structure of compound 10 with labels a-j corresponding to these peaks. The x-axis is labeled 'δ/ppm'.

The chemical structure of compound 10 is shown above the ¹³C NMR spectrum. The structure is a complex molecule featuring a central benzene ring with a nitro group (N⁺=N-) and a carbonyl group. It is substituted with a long alkoxy chain (labeled 'h' in a dashed box), a tert-butyl ester group (labeled 'm'), and a carbamate group (labeled 'g'). The spectrum shows peaks assigned to various carbon atoms in the molecule, with the following chemical shifts (δ/ppm) listed below the spectrum:

- 165.31 (a)
- 155.55 (bc)
- 155.35 (bc)
- 137.14 (d)
- 128.50 (e)
- 122.51 (f)
- 77.35 (g)
- 69.75 (h)
- 69.57 (h)
- 69.52 (h)
- 69.35 (h)
- 68.87 (h)
- 40.00 (i, k, j, l)
- 39.86 (i, k, j, l)
- 39.62 (i, k, j, l)
- 39.50 (i, k, j, l)
- 39.39 (i, k, j, l)
- 39.37 (i, k, j, l)
- 39.00 (i, k, j, l)
- 36.81 (i, k, j, l)
- 29.69 (i, k, j, l)
- 29.28 (i, k, j, l)
- 28.22 (i, k, j, l)

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Preparation of a [c2]AzoCD₂ hydrogel

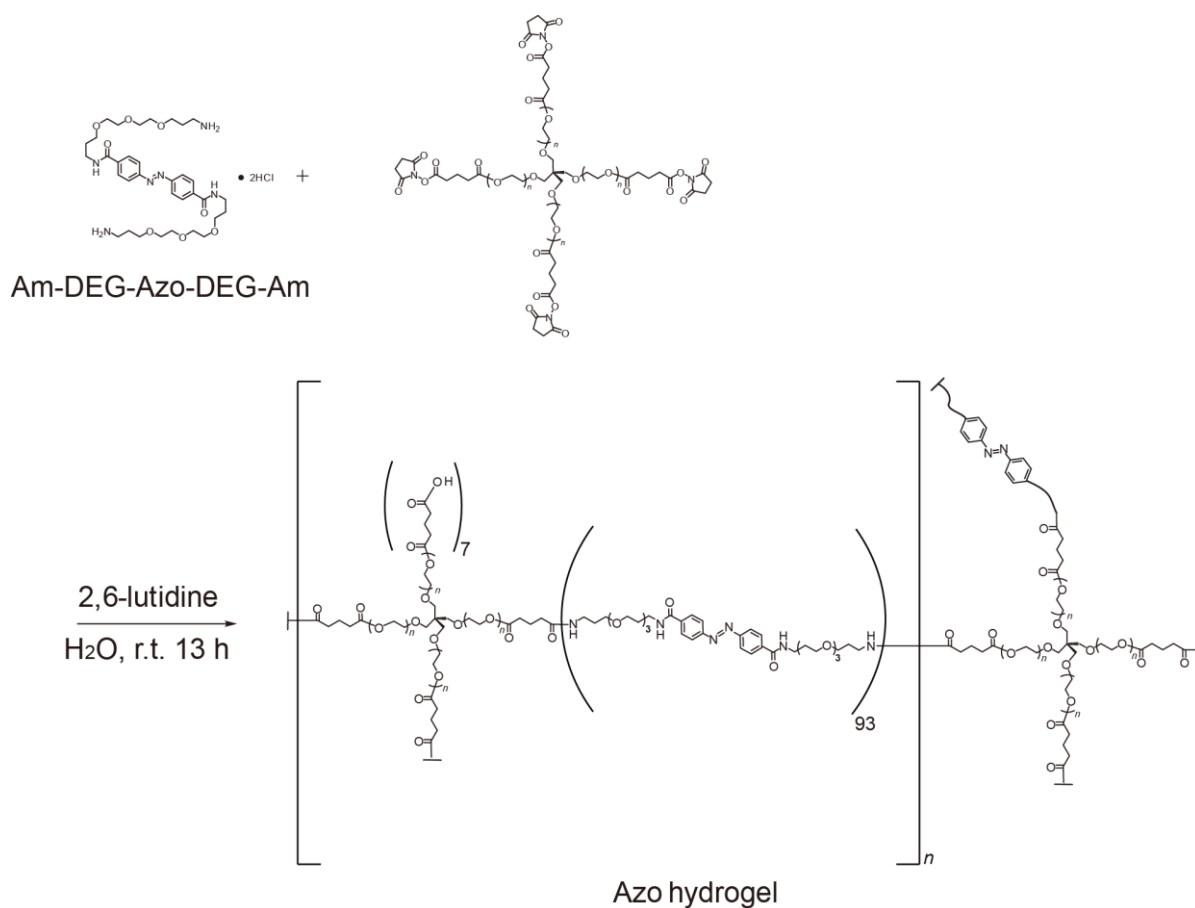


Scheme 3-2. Preparation of the [c2]AzoCD₂ hydrogel.

PTE-100GS (100 mg, 10 μ mol) was dissolved in water (706 μ L). An aqueous solution (706 μ L) of AmAzoCD (57 mg, 40 μ mol) was added to the aqueous solution of PTE-100GS at 0 °C. After stirring for an hour, the mixture was warmed to room temperature. After stirring for 13 hours, the obtained hydrogel was washed with DMSO and water several times to give a red-colored [c2]AzoCD₂ hydrogel.

¹H FGMAS NMR (400 MHz, D₂O): δ (ppm) 8.59 (d, J = 32 Hz, 2H), 8.40 (d, J = 32 Hz, 2H), 8.20 (d, J = 32 Hz, 2H), 8.14 (br, 0.8H), 8.05 (d, J = 32 Hz, 2H), 5.31–2.91 (m, overlaps with H₂O), 2.57–2.54 (m, 2H), 2.42–2.38 (m, 2H), 2.10–1.88 (m, 6H).

Preparation of an Azo hydrogel

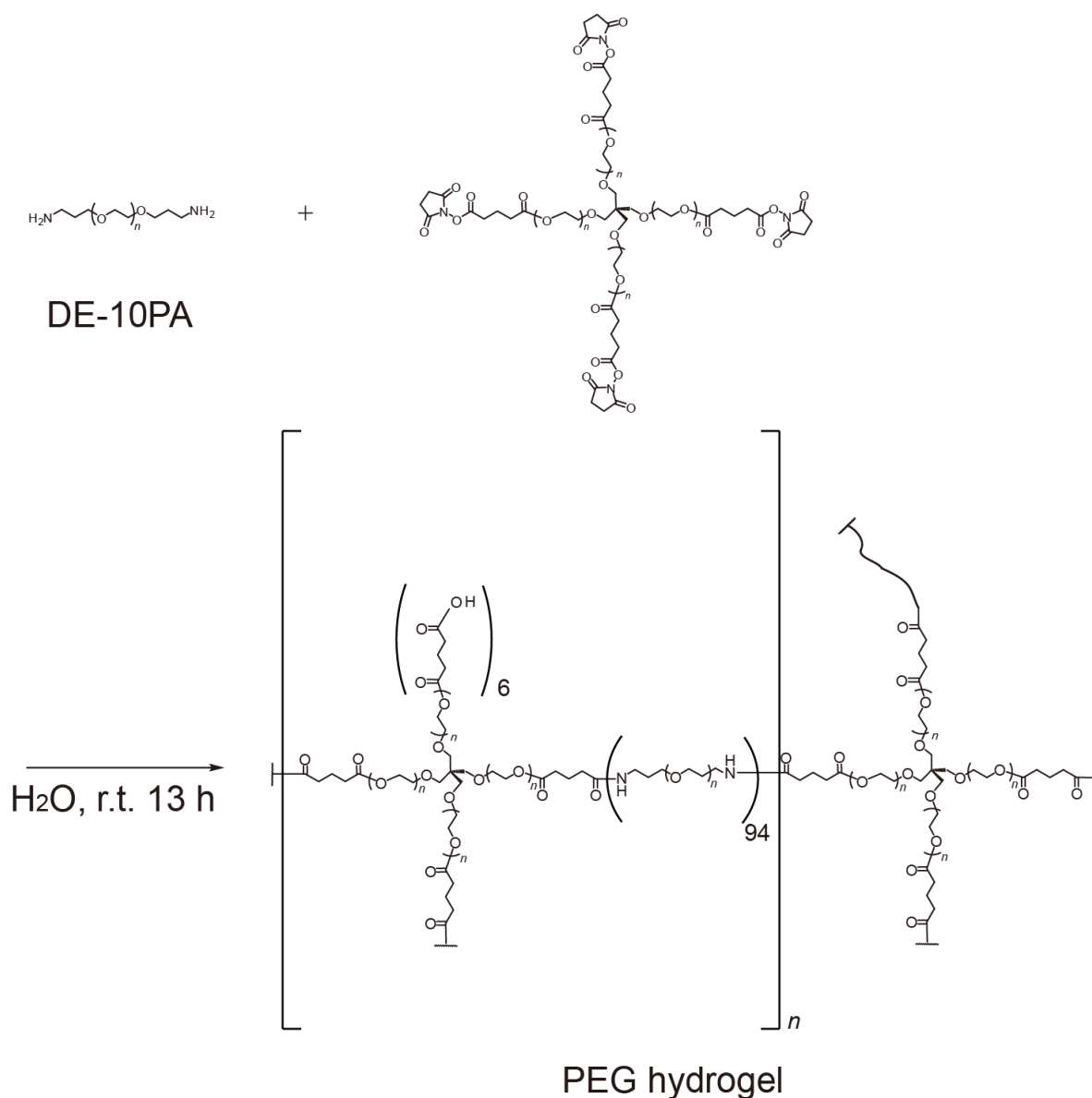


Scheme 3-3. Preparation of the Azo hydrogel.

PTE-100GS (100 mg, 10 μ mol) was dissolved in water (515 μ L). An aqueous solution (515 μ L) of Am-DEG-Azo-DEG-Am (15 mg, 20 μ mol) and 2,6-lutidine were added to the aqueous solution of PTE-100GS at room temperature. After stirring for 13 hours, the obtained hydrogel was washed with DMSO and water several times to give a red-colored Azo hydrogel.

¹H FGMAS NMR (400 MHz, D₂O): δ (ppm) 7.91 (br, 4H), 4.94–3.22 (m, overlaps with H₂O), 2.40(br, 2H), 2.23 (br, 2H), 1.92–1.76(m, 6H).

Preparation of a PEG hydrogel



Scheme 3-4. Preparation of the PEG hydrogel.

PTE-100GS (100 mg, 10 μmol) was dissolved in water (540 μL). An aqueous solution (540 μL) of DE-10PA (20 mg, 20 μmol) was added to the aqueous solution of PTE-100GS at room temperature. After stirring for 13 hours, the obtained hydrogel was washed with DMSO and water several times to give a colorless PEG hydrogel.

^1H FGMAS NMR (400 MHz, D_2O): δ (ppm) 4.94–3.36 (m, overlaps with H_2O), 2.57(t, $J = 8$ Hz, 2H), 2.41 (t, $J = 8$ Hz, 2H), 2.03 (quintet, $J = 4$ Hz, 2H), 1.91 (quintet, $J = 8$ Hz, 2H).

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Chapter 4

Dry artificial muscle materials with [c2]daisy chains.

Introduction

The construction of artificial muscle actuators is an important target in medical physics, and materials science. A research topic to realize muscle-like movements in actuators are consuming input energies (electric, thermal, change, photo energies) into demonstrated movements (deformation, transformation, pressure, etc.). There are many attempts to realize actuators using organic, inorganic, electrostrictive, and piezoelectric materials. Stimuli-responsive polymeric materials have attracted attentions due to the active-control of the functional properties and biological compatibility. Hydro- or organogels are very useful materials for stimuli-responsive actuators. The absorption and desorption of solvents as driving principles affect polymeric gels with the contraction and expansion properties. However, the swelled gels have low mechanical strengths and the other reason is slow response speed using gel actuators because the rate determining step of gel actuators is absorption and desorption of solvent from gels.

Previously, stimuli-responsive actuators prepared from acrylic polymer,¹⁻⁵ polymer brush,⁶ and poly pyrole,⁷ etc. Ikeda *et al.* prepared azobenzene-based liquid crystalline films, which exhibit rotating motions under dry state.⁸ However, supramolecular actuators consisting of [c2]daisy chains in macroscopic scale is still a challenging subject not only in chemistry but also in materials science especially under dry conditions. In this Chapter, the author realizes a dry polymeric actuators with photo-responsive [c2]daisy chains (Figure 4-1a). In the previous chapter, a symmetric polymer network cross-linked with [c2]daisy chains demonstrated a responsive efficient molecular actuator responsive to UV and Vis light irradiation under wet conditions. The author chooses a four-arm poly(ethylene glycol)

(TetraPEG) cross-linked into a symmetric polymer network (Figure 4-1b). Herein, we prepare a supramolecular xerogel containing of CD-based [c2]daisy chains as cross-linkers. Irradiating with UV light under dry conditions induces a flexion in the supramolecular xerogel. More importantly, the response speed of the [c2]daisy chain xerogel is very fast. Although the hydrogel takes requires 3 hours to bend 7 degrees, whereas the xerogel only required 1 s. The response speed of the xerogel is over more than 10,800 times faster than that of the hydrogel.

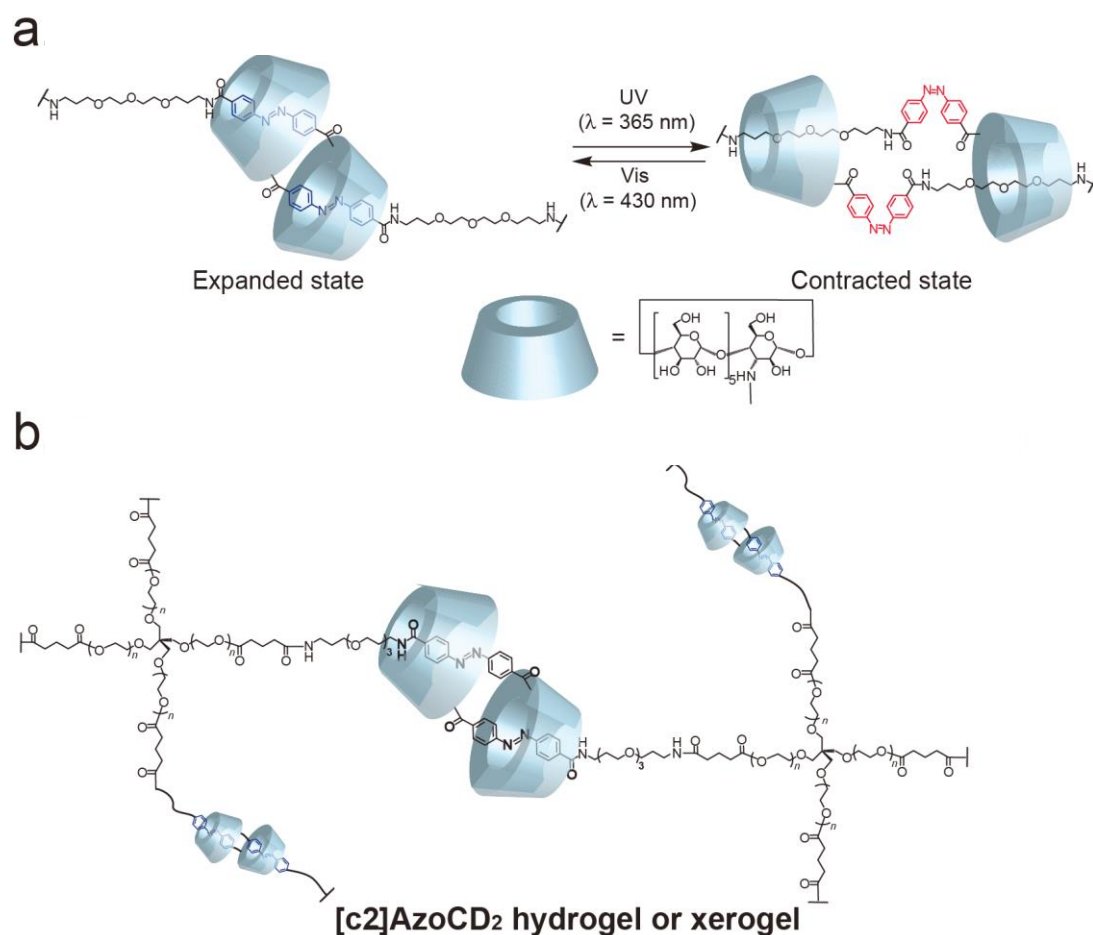


Figure 4-1. **a)** Chemical structure and photo-isomerization scheme of an α CD-based [c2]daisy chain with an azobenzene derivative as the axis. **b)** Chemical structure of a [c2]AzoCD₂ xerogel consisting of TetraPEG.

Photo-responsive actuation of the [c2]AzoCD₂ xerogel

The [c2]AzoCD₂ hydrogel or the Azo hydrogel was slowly frozen on an ice plate. Then, the frozen hydrogels were slowly lyophilized to afford the [c2]AzoCD₂ xerogel or the Azo xerogel. To avoid the thermal expansion effect, a 1 W- light-emitting diode (LED) lamp was used to generate UV light ($\lambda = 365$ nm). The [c2]AzoCD₂ xerogel immediately responded to the UV light irradiation in the dry state and bends toward the light source. Approximately 3.6 s was required to reach a 52 degrees bending angle, which is ca. 30 times faster than that of the [c2]AzoCD₂ hydrogel (Figure 4-2). More importantly, the response speed of the [c2]AzoCD₂ xerogel is faster than that of the [c2]AzoCD₂ hydrogel. Although the [c2]AzoCD₂ hydrogel requires ca. 3 hours to bend 7.2 degrees, the [c2]AzoCD₂ xerogel requires only 1 s to bend, thus resulting in a response speed that is more than 10,800 times faster than that of the [c2]AzoCD₂ hydrogel. Continuous irradiation with Vis light ($\lambda = 430$ nm) from the same side of the [c2]AzoCD₂ xerogel does not restore the initial form because the hydrophobic interaction (the CD unit / the *trans*-Azo unit) and the swelling pressure fail to function in the dry state. However, irradiation of the opposite side with UV light restores the initial position. The size is reduced by the sequence of right and left UV light irradiation.

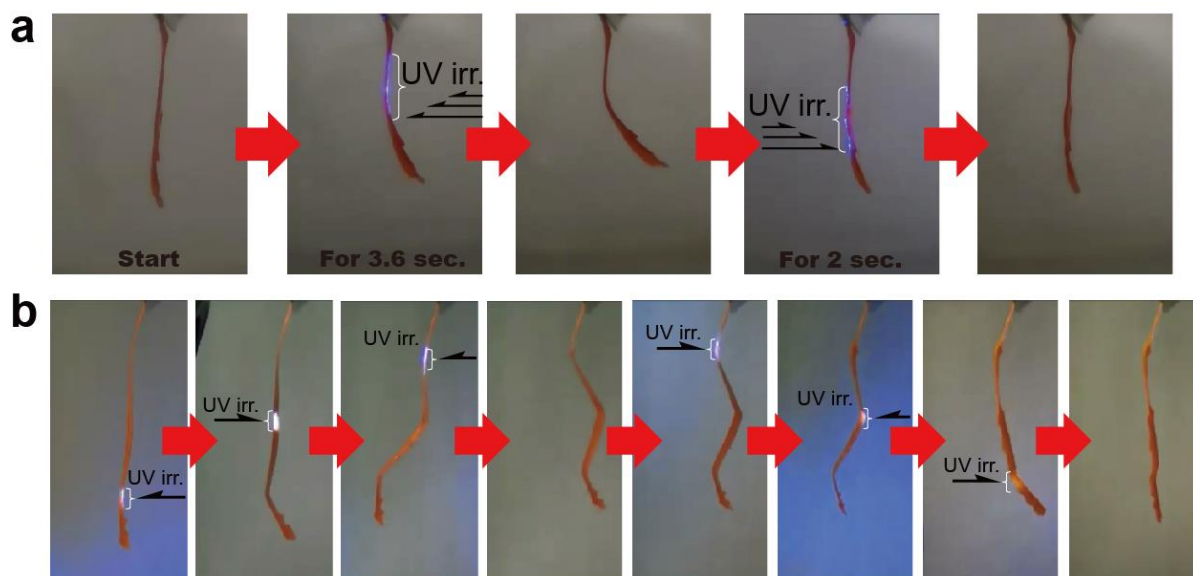


Figure 4-2. Photo-responsive xerogel actuators under dry conditions. **a)** Photographs of the [c2]AzoCD₂ xerogel irradiated with UV light from the right side. The [c2]AzoCD₂ xerogel bends to the right but continuous UV light irradiation from the left side restores the initial form. **b)** Photographs of the [c2]AzoCD₂ xerogel, which was alternately irradiated with UV light from the right and left sides resulting in the formation of a zigzag conformation.

Figures 4-3 and 4-4 show the relation between the flexion angle (θ) of the xerogel irradiated with UV light and the irradiation time. The bending angle immediately increased and does not decay against its own weight. However, the Azo xerogel does not exhibit a photo-responsive properties under dry conditions. The bending mechanism of the [c2]AzoCD₂ xerogel involves the sliding motion of [c2]AzoCD₂ unit, which shrinks the end-to-end distance of the [c2]daisy chain and reduces the form of xerogel (Figure 4-3). However, Vis light irradiation does not restore the initial form even when the Azo unit isomerizes from the *cis*- to the *trans*-form because swelling and hydrophobic interactions do not occur under dry conditions. The *cis*-Azo exists outside the α CD cavity because the low affinity of *cis*-Azo and α CD after UV light irradiation. However, after subsequent Vis light irradiation, the CD unit on the PEG unit does not recognize the *trans*-Azo unit due to the weak hydrophobic interaction in the dry state.

To compare the bending speed (V_0) of the [c2]AzoCD₂ hydrogel and Azo hydrogel, V_0 was determined based on the results in Figure 4-4. V_0 of the Azo hydrogel ($V_0 = 1.7 \times 10^{-2}$ deg s⁻¹) is faster than that of the [c2]AzoCD₂ hydrogel ($V_0 = 1.7 \times 10^{-3}$ deg s⁻¹). The [c2]AzoCD₂ hydrogel and the Azo hydrogel have different contraction–expansion mechanisms.

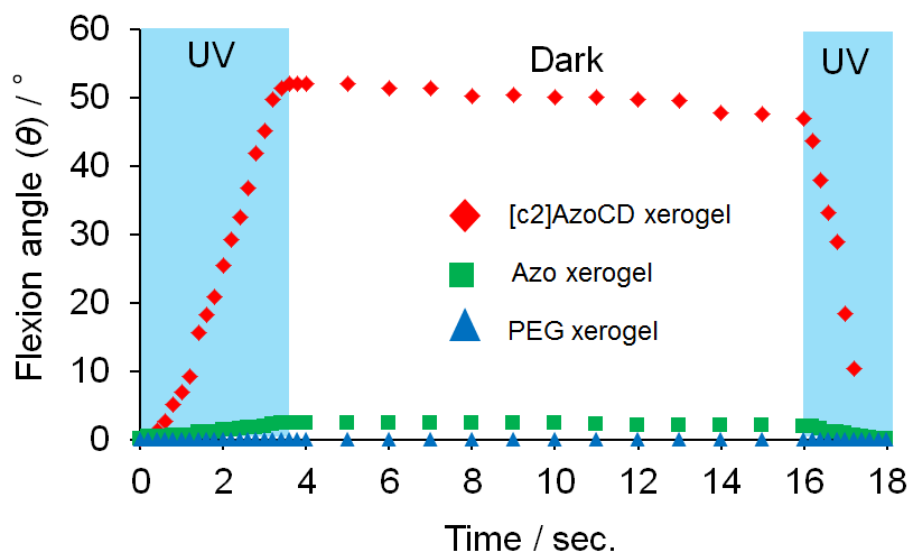


Figure 4-3. Plots of the flexion angle (θ) as a function of the irradiation time of the [c2]AzoCD₂ xerogel. The blue areas denote UV irradiation. The white area indicates no irradiation under dry conditions.

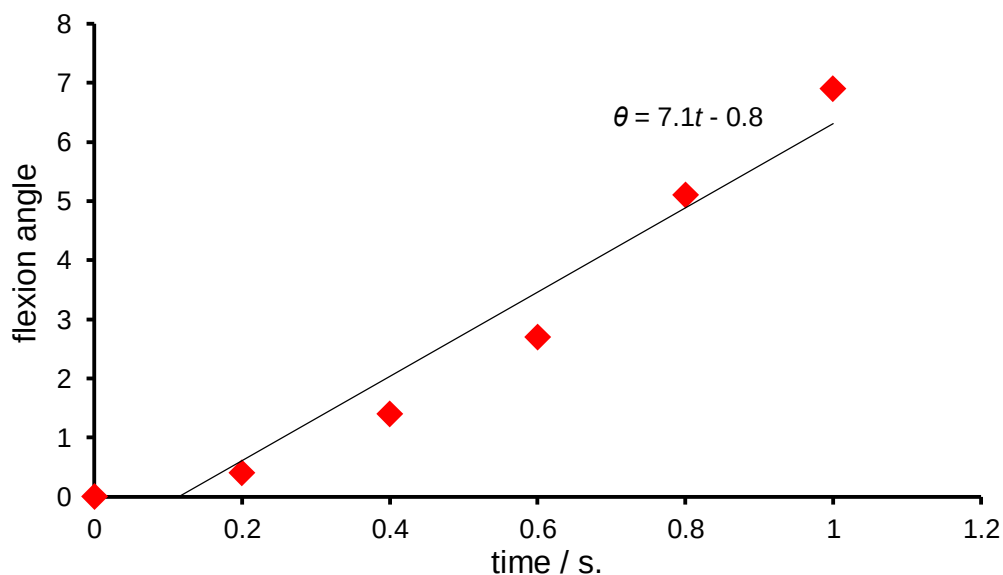


Figure 4-4. Plot of the flexion angle versus irradiation time in the case of the [c2]AzoCD₂ xerogel. The initial velocity (V_0) was calculated from slope of lines. The initial velocity of [c2]AzoCD₂ xerogel was 7.1 (deg s⁻¹)

Mechanical properties of the [c2]AzoCD₂ xerogel before and after UV light irradiation

Stress–strain measurements were used to evaluate the mechanical property of the [c2]AzoCD₂ xerogel in response to photo-stimuli. In general, the Young's modulus is correlated with the cross-link density of materials. Photo-irradiation does not change the rupture stress of the [c2]AzoCD₂ xerogel because a number of the covalent cross-link points are not fundamentally influenced by photo-irradiation. However, the rupture strain value of the [c2]AzoCD₂ xerogel increased after irradiation with UV light irradiation. Before UV light irradiation, the rupture strain value of the [c2]AzoCD₂ xerogel is significantly low (30%) because the α CD unit have no space to slide on the axis molecule. After UV light irradiation, the *cis*-Azo unit dethreads from the cavity of α CD, which increases the sliding mobility of the AmAzoCD unit and leads to an increased rupture strain (1140%) (Figure 4-5a) and a decreased Young's modulus (decreasing cross-link density) because the [c2]daisy chain unit functions as a dynamic interpenetrated cross-linker. However, the rupture stress of the [c2]AzoCD₂ xerogel does not change due to the number of covalent cross-link points remaining the same. However, the order of the Young's modulus of the Azo xerogel does not change before or after UV irradiation (Figure 4-5b).

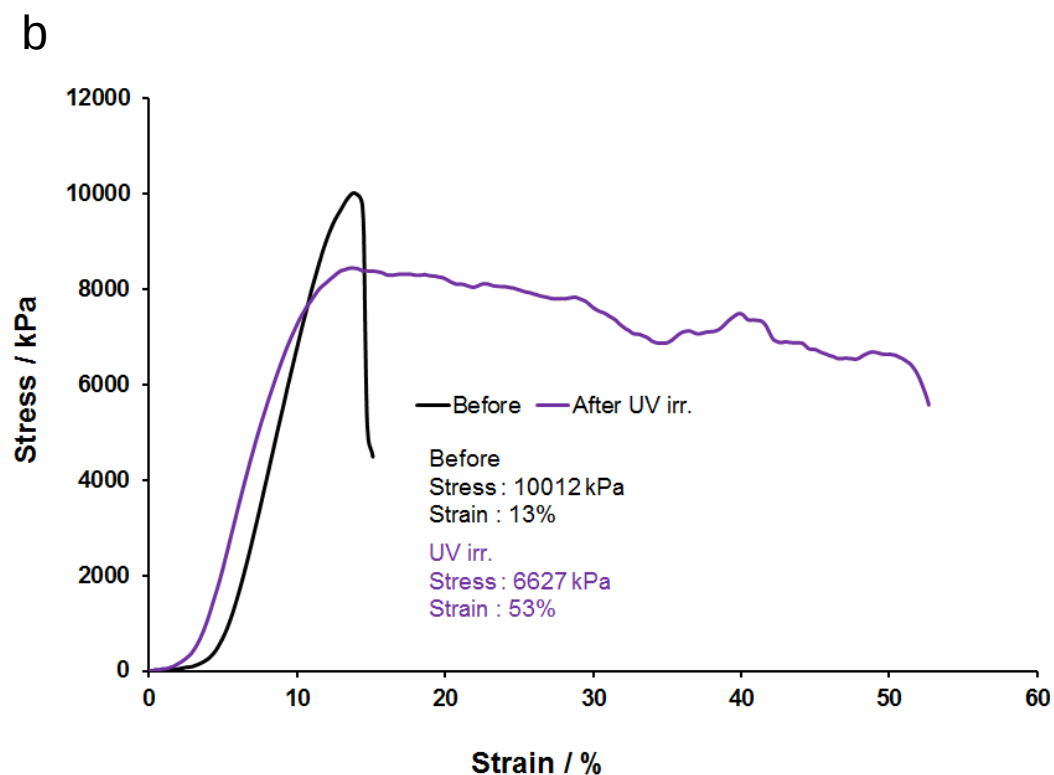
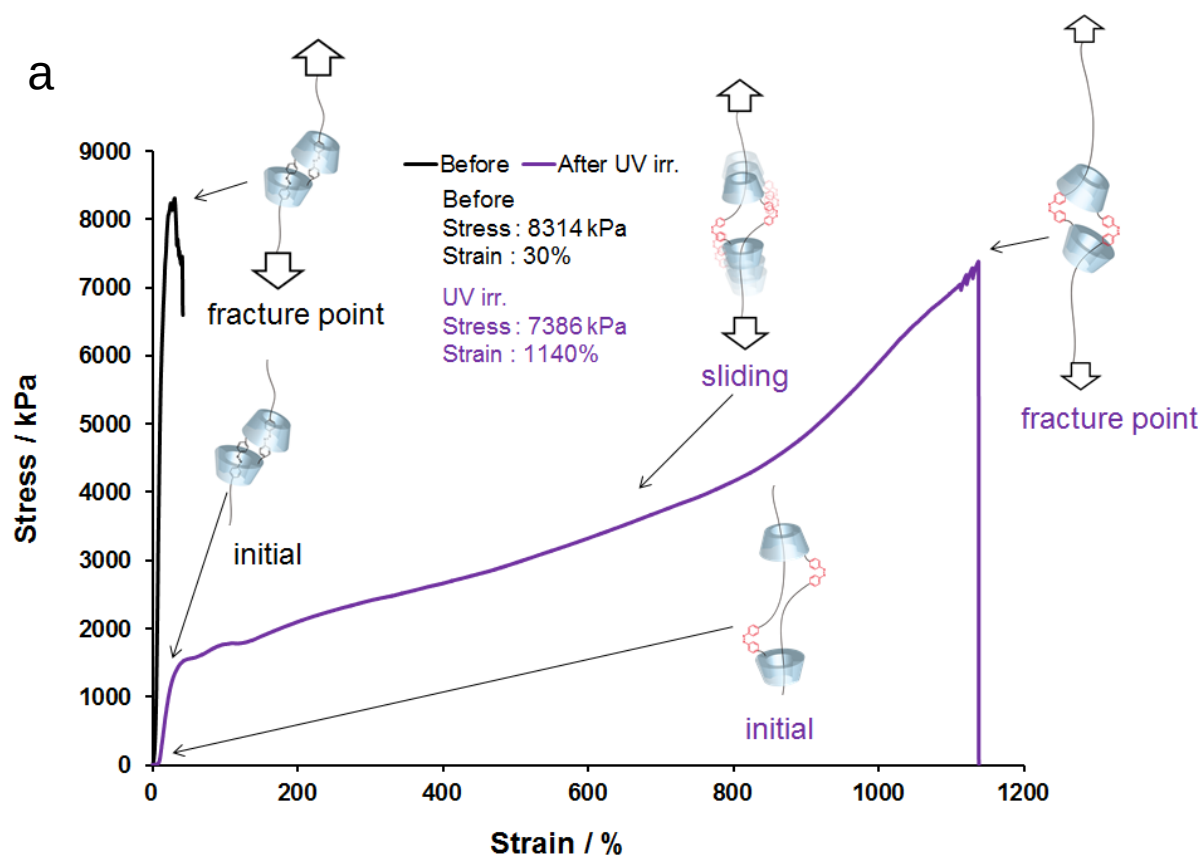


Figure 4-5. Stress–strain curves of the **a)** [c2]AzoCD₂ and **b)** Azo xerogel. Before UV irradiation (—) and after UV ($\lambda = 365$ nm) irradiation for 20 s.(—).

SEM images of [c2]AzoCD₂ and Azo xerogels

Figure 4-6 shows that the SEM image of the [c2]AzoCD₂ xerogel show clear fine mesh network before UV light irradiation. After UV irradiation for 10 s, the network structure of [c2]AzoCD₂ xerogels disappeared (Figures 4-6 a and b). In contrast, the structure of Azo xerogel did not change (Figures 4-6 c and d). These results indicate that only [c2]AzoCD₂ xerogel offer a response to UV light.

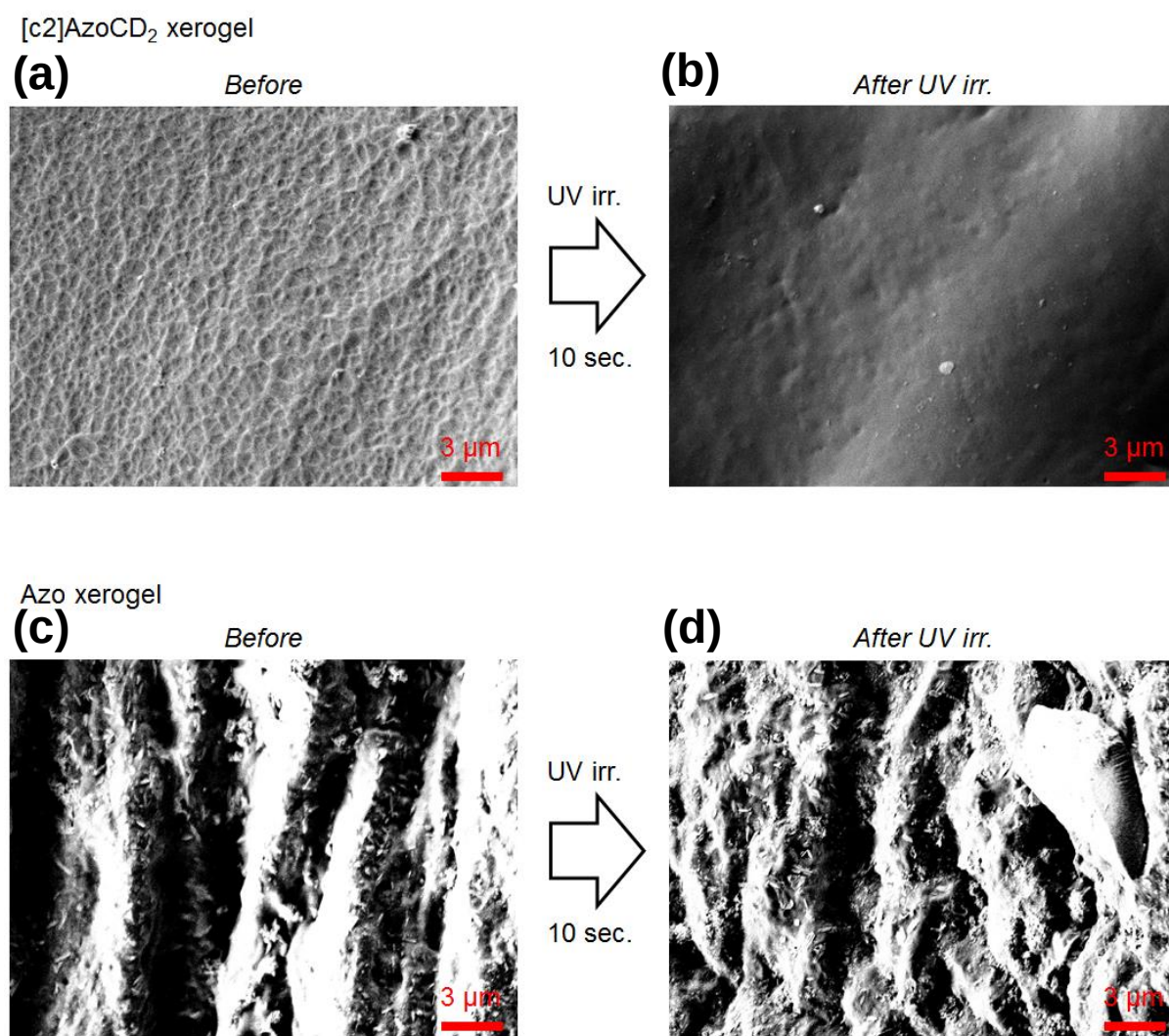


Figure 4-6. SEM images of [c2]AzoCD₂ xerogel **a)** before and **b)** after UV irradiation ($\lambda = 365$ nm) for 10 s. **c)** and **d)** show the SEM images of the Azo xerogel before and after UV irradiation for 10 s.

Thermal properties of the [c2]AzoCD₂ xerogel

The thermal properties of the [c2]AzoCD₂ xerogel as well as Azo xerogel by DSC. Melting point (T_m), the T_m and of xerogels were observed. All xerogels were heated from 25 °C to 140 °C and quenched by liquid nitrogen for -50 °C. The cooling ratio is 30 °C / min. The glass transition temperature (T_g) was observed by the slow heating (10 °C / min.) of cooled xerogel. As a result the T_g and T_m of the [c2]AzoCD₂ xerogel were determined to be 6.6 °C and T_m was 32.6 °C respectively (Figure 4-7a). On the other hand, T_g and T_m of Azo xerogel were determined to be 4.1 °C and 43.6 °C, respectively (Figure 4-7b). In the both cases, T_m values are significantly higher than the measurement temperature (i.e., room temperature). These results indicate that bending of the [c2]AzoCD₂ xerogel is not caused by the melting.

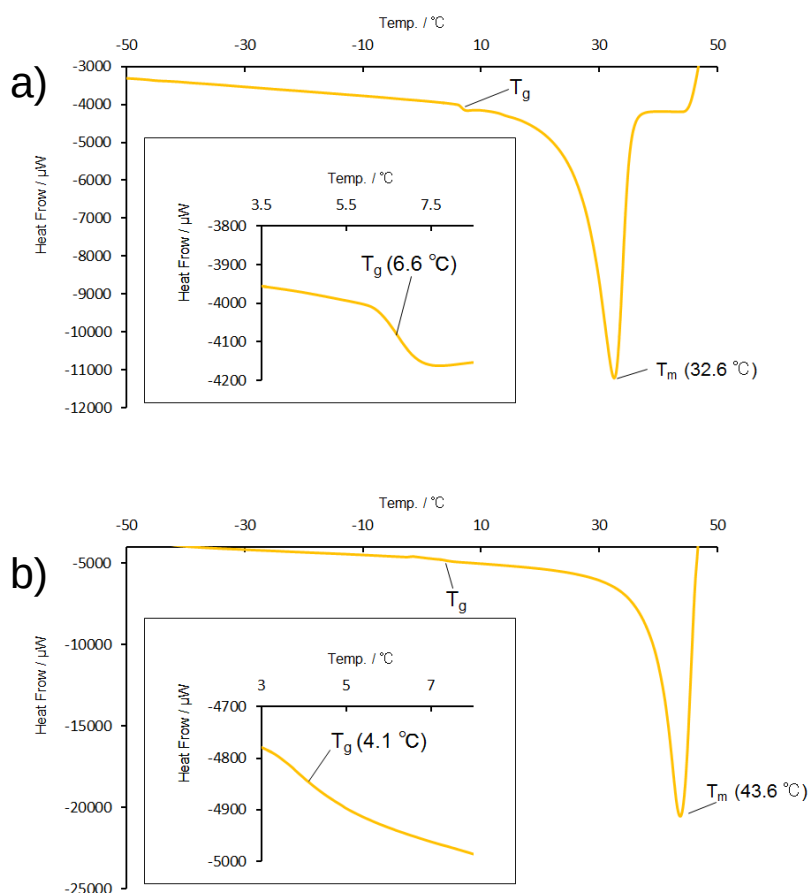
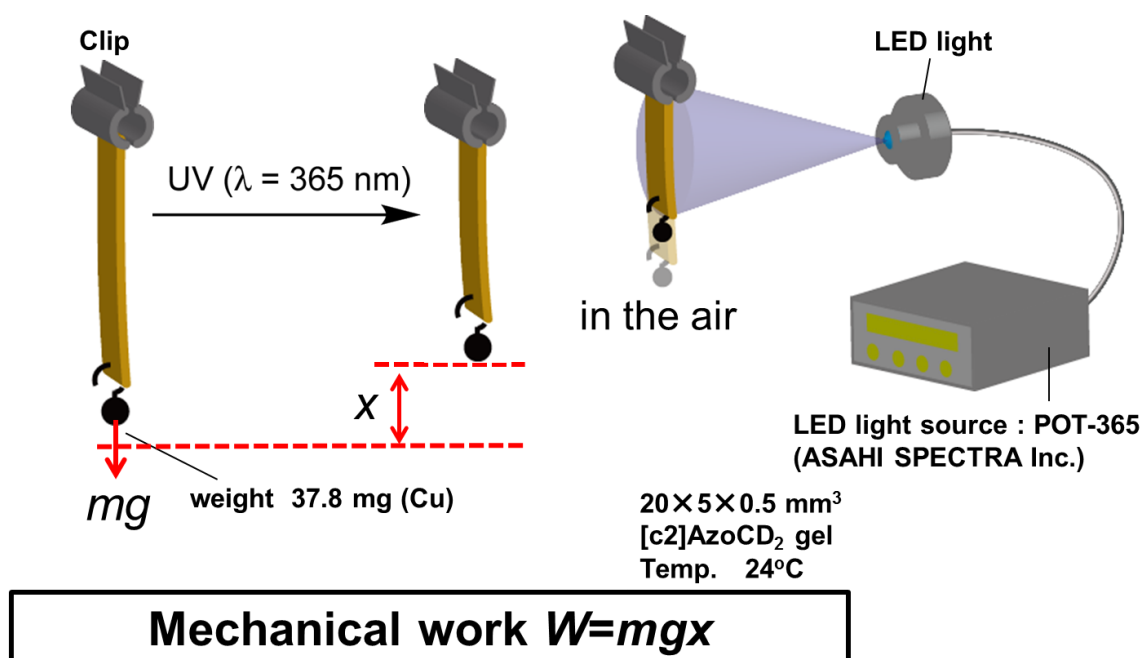


Figure 4-7. T_g and T_m measurements of **a)** the [c2]AzoCD₂ and **b)** the Azo xerogel.

Mechanical works of [c2]AzoCD₂ xerogel

A weight (37.8 mg) was attached to the bottom of a rectangular xerogel (size: 10×5×1 mm³). UV light irradiation results in the contraction of the xerogel, lifting the weight (Figure 4-8). Figure 4-9 shows the position of the weight as a function of irradiation time. During UV light irradiation, the weight undergoes mechanical work (W), which is determined by $W = (m-\rho V)gx$ (m : mass of the weight, g : acceleration of gravity, x : length of the weight that is lifted). The mechanical work of the [c2]AzoCD₂ hydrogel and xerogel was estimated to be ca. 0.12 μJ.



m : mass of the weight x : difference in height of the weight

Figure 4-8. Experimental setup of the [c2]AzoCD₂ xerogel actuator in response to photo-stimuli. After UV light irradiation, the length of the xerogel shorten and the weight became up. Subsequent Vis light irradiation restored the weight to the original position. Here m , g and x denotes the mass of weight, the gravitational acceleration and the difference in height of the weight, respectively.

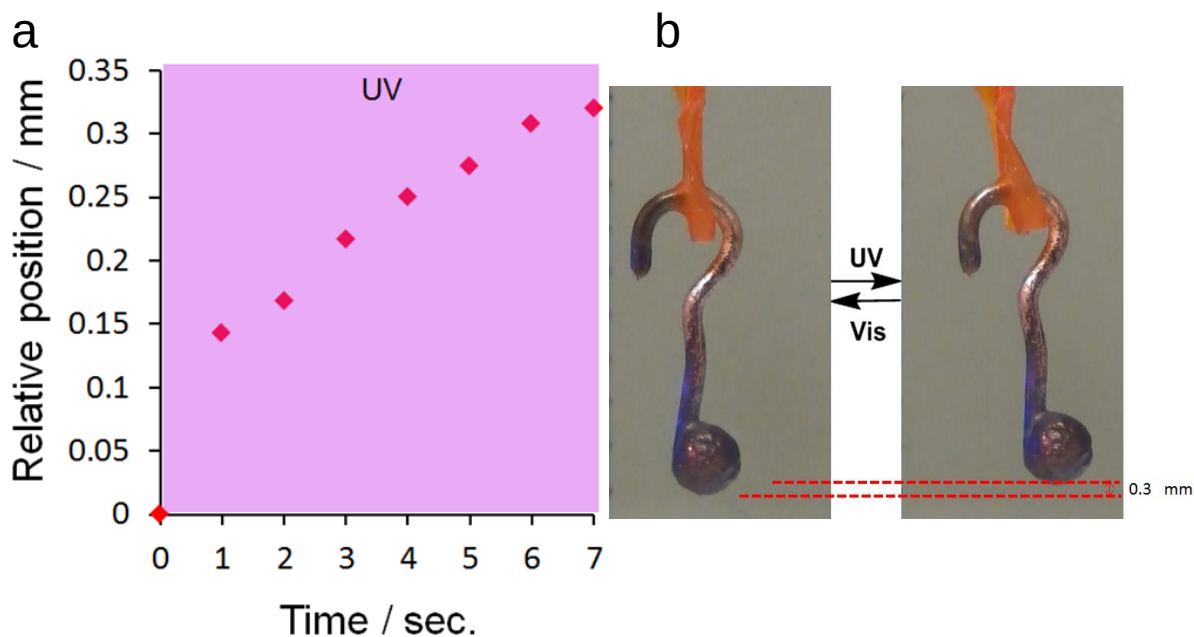


Figure 4-9. **a)** Plot of the relative position of the weight versus irradiation time in the case of the [c2]AzoCD₂ xerogel with a weight (37.8 mg). The mechanical work of the [c2]AzoCD₂ xerogel was estimated to be ca. 0.12 μ J. The energy density was 12 μ W/ cm². **b)** Photographs of before UV and after UV irradiated [c2]AzoCD₂ gel attached copper weight.

Finally, we conducted a lifting experiment using a match and the [c2]AzoCD₂ xerogel. Figure 4-10a shows the setup, which consists of a hand with stimuli-responsive fingers ([c2]AzoCD₂ xerogel) and an iron plate. When reaching the hand position, the xerogel was irradiated to grip the match. The hand successfully pinches and lifts up the object, confirming that the fingers of the [c2]AzoCD₂ xerogel have sufficient stiffness to provide weight resistance for a match of 107.8 mg (Figure 4-10b).

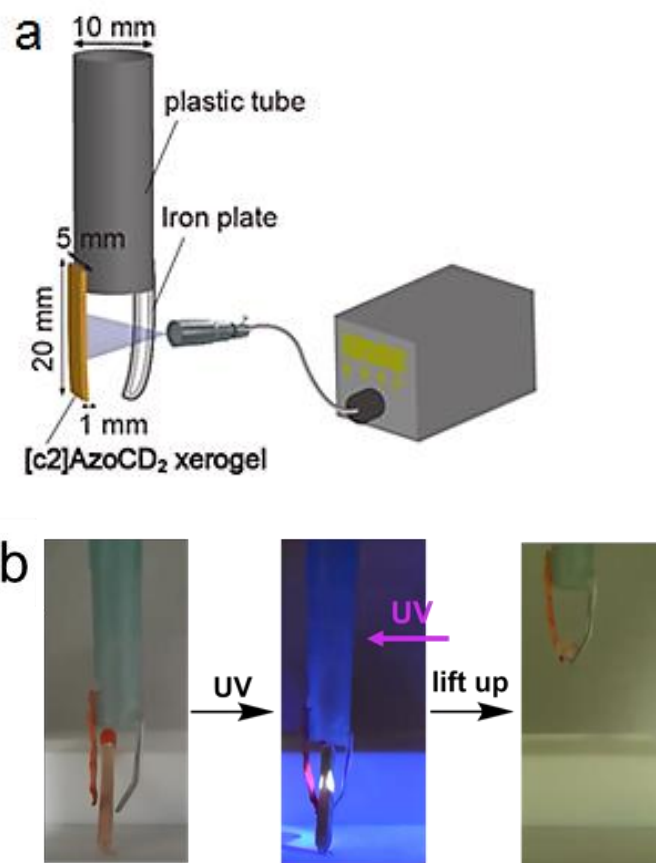


Figure 4-10. a) Schematic of the experimental setup. b) Photograph of a hand with stimuli-responsive fingers (the [c2]AzoCD₂ xerogel) and an iron plate. After UV light irradiation, the clipped object is not dropped.

Experimental

Measurements

Photo-isomerization. The distance between the sample cell and the lamp was fixed at 10 cm. The bending experiment of [c2]AzoCD₂ xerogel irradiated with UV light ($\lambda = 365$ nm) was carried out by a 1 W LED lamp (Asahi spectra POT365).

Mechanical properties measurements.

Mechanical properties of hydro- and xerogels were measured by a rupture tensing system (Creep meter, RE-33005B, Yamaden Ltd. and Autograph AG-X plus, Shimadzu).

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Chapter 5

Conclusions.

In this study, various stimuli responsive supramolecular materials composed of C_2 -symmetric double threaded rotaxanes ([c2]daisy chains) have been prepared utilizing recognition characteristics of host–guest complexes.

In Chapter 2, the author prepared photo-responsive reversible contraction–expansion molecular muscles consisting of α CD and Azo ([c2]DMTAzoCD₂). The [c2]DMTAzoCD₂ shows the sliding behaviour in water and DMSO. When, the aqueous solution of [c2]DMTAzoCD₂ was irradiated by UV ($\lambda=365$ nm) light, [c2]DMTAzoCD₂ was contracted. Whereas continuous Vis ($\lambda=430$ nm) light irradiation, the formation was restored to initial form.

In Chapter 3, the author prepared photo-responsive reversible expansion–contraction hydrogel actuators. The design and motion of the [c2]AzoCD₂ gels are reminiscent of a natural muscle fibril system. The author hypothesized that polymer chains cross-linked with [c2]daisy chains would realize a novel supramolecular actuator whose volume contracts upon UV light irradiation but expands upon visible (Vis) light irradiation. This contraction would bend the flat plate actuator toward the incident UV light because UV irradiation causes the shrinkage of the end-to-end distance of the [c2]daisy chain to shrink polymeric materials due to desorption of the solvent on the exposed surface.

In Chapter 4, although the [c2]AzoCD₂ hydrogel exhibits a photo-stimuli-induced back and forth motion, photo-responsive actuation in a [c2]AzoCD₂ xerogel was thought to be difficult. In this Chapter the author demonstrated that photo-responsive actuation was possible. The author hypothesized that both the structure of TetraPEG and the flexible property of PEG chains play important roles in achieving photo-responsive actuation in the

dry state. Although the [c2]AzoCD₂ xerogel does not achieve reversible deformation control and cycling by UV light irradiation, the ability to slide is important for the photo-driven movement of a xerogel on a macroscopic scale in the dry state.

A List of Publication

[Publication related to the thesis]

1. “Fast Response Dry-type Supramolecular Artificial Muscles with [c2]Daisy Chains”

Kazuhisa, Iwaso., Yoshinori, Takashima., Akira, Harada.

Nat. Chem. Accepted.

(Corresponding to Chapter 2–4)

[Publication not related to the thesis]

1. “Preparation of Tris (spiroorthocarbonate) Cyclophanes as Back to Back Ditopic Hosts”

Hiroshi, Danjo., Kazuhisa, Iwaso., Masatoshi, Kawahata., Kazuaki, Ohara., Toshifumi,

Miyazawa., Kentaro, Yamaguchi.

Org. Lett. **15**, 2164–2167 (2013).

2. “刺激に応じて形態の変化する超分子ゲルアクチュエータ”

原田 明, 高島 義徳, 中畑 雅樹, 岩曾 一恭, 畠中 省吾.

精密工学会誌, **80(8)**, 722 (2014).

3. “シクロデキストリンによるホスト-ゲスト相互作用を用いた自己修復ポリマーの開発”

原田 明, 高島 義徳, 中畑 雅樹, 岩曾 一恭, 畠中 省吾.

月刊ファインケミカル, 12月号 (2014).