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## SO( $r$ )-COBORDISM AND EMBEDDING OF 4-MANIFOLDS\*

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**Introduction.** Let  $M$  be a  $C^\infty$ -manifold, which we assume to be compact and orientable. We shall call  $M$  an  $SO(r)$ -manifold if the structure group of the stable normal bundle of  $M$ , which is the stable special orthogonal group  $SO$ , is reducible to a subgroup  $SO(r)$ . Two  $n$ -dimensional closed  $SO(r)$ -manifolds  $M_1$  and  $M_2$  are called to be  $SO(r)$ -cobordant if there exists an  $(n+1)$ -dimensional  $SO(r)$ -manifold  $W$  whose boundary is union of  $M_1$  and  $-M_2$  ( $-M_2$  denotes  $M_2$  with the reversed orientation) and the restriction of the  $SO(r)$ -structure of  $W$  to boundary induces the given structure of  $M_1$  and  $M_2$ . We can define the  $SO(r)$ -cobordism group, which we denote by  $\Omega_n(SO(r))$ . In his paper [5], Liulevicius has calculated the group  $\Omega_n(SO(r))$  for  $r=2$  and  $n \leq 8$ .

In this note, we shall apply Liulevicius' result to the embedding of 4-manifold in Euclidean space. Our main result is the following:

**Theorem (5.1)** *Let  $M$  be an orientable 4-manifold which is oriented cobordant to zero. If  $M$  is immersible in  $R^8$ , then  $M$  is embeddable in  $R^7$ .*

As a corollary to this theorem, we have

**Theorem (5.2)** *Any simply connected 4-manifold which is oriented cobordant to zero is embeddable in  $R^7$ .*

Throughout this note, we assume that a manifold is compact, orientable and of the class  $C^\infty$ .

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### 1. $SO(r)$ -cobordism group

Let  $M$  be an  $n$ -manifold and embedded in a Euclidean  $(n+N)$ -space with normal bundle  $\nu$ . If the structure group of  $\nu$  is reducible to a subgroup  $SO(r)$ , then  $M$  is called an  $SO(r)$ -manifold. More precisely, by the theorem 9.4 in [6], the structure group of  $\nu$  is reducible to  $SO(r)$  if and only if there exists a map  $f: M \rightarrow E$  so that the diagram

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$$\begin{array}{ccc}
 & & E \\
 & \nearrow f & \downarrow \\
 M & \xrightarrow{f_\nu} & BSO(N)
 \end{array}$$

is commutative, where  $E$  is the fibre bundle associated to the universal  $N$ -plane bundle with  $SO(N)/SO(r)$  as fibre and  $f_\nu$  is the classifying map for  $\nu$ . Then we call the pair  $(M, f)$  an  $SO(r)$ -manifold and  $f$  an  $SO(r)$ -structure. We shall identify an  $SO(r)$ -structure with those induced from it by suspension. A homotopy class of  $f$  determines uniquely an  $SO(r)$ -structure on  $M$ .

The following lemma is well known.

- Lemma (1.1)** (1) *If a manifold  $W$  with boundary  $bW$  admits an  $SO(r)$ -structure  $f$ , then its boundary  $bW$  also admits an  $SO(r)$ -structure, i.e.  $f|_{bW}$ .*  
 (2) *If two manifolds  $W_1$  and  $W_2$  admit  $SO(r)$ -structures  $f_1$  and  $f_2$  respectively which induce the same structure on the common boundary  $bW_1=bW_2$ , then the manifold  $W$  obtained from the union of  $W_1$  and  $W_2$  by identifying the boundary also admits an  $SO(r)$ -structure, i.e.  $f_1 \cup f_2$ .*

An  $n$ -dimensional  $SO(r)$ -manifold  $(M, f)$  without boundary is called to be  $SO(r)$ -cobordant to zero if there is an  $(n+1)$ -dimensional  $SO(r)$ -manifold  $(W, F)$  such that  $bW=M$  and  $F|_{bW}=f$ . Two  $SO(r)$ -manifolds  $(M_1, f_1)$  and  $(M_2, f_2)$  are called to be  $SO(r)$ -cobordant if the disjoint union  $(M_2 \cup -M_1, f_1 \cup f_2)$  is  $SO(r)$ -cobordant to zero.

As a corollary to lemma (1.1), we have

**Corollary.** *The  $SO(r)$ -cobordism is an equivalence relation.*

Let  $[M, f]$  denote the  $SO(r)$ -cobordism class of  $(M, f)$ . We define an addition of two classes by  $[M_1, f_1] + [M_2, f_2] = [M_1 \cup M_2, f_1 \cup f_2]$ , where  $M_1 \cup M_2$  denotes the disjoint union of  $M_1$  and  $M_2$ . By this addition, the set of all cobordism classes admits an abelian group structure. We denote this group by  $\Omega_n(SO(r))$ .

**2. Homotopy interpretation of the group  $\Omega_n(SO(r))$**

In this section, we shall prove the following

**Proposition (2.1)** *We have an isomorphism*

$$\Omega_n(SO(r)) \cong \pi_{N+n}(S^{N-r}MSO(r)) \quad (N \geq n+2)$$

where  $MSO(r)$  is the Thom space of the universal  $r$ -plane bundle and  $S^{N-r}MSO(r)$  the  $(N-r)$ -fold suspension of  $MSO(r)$ .

We shall first prove the stability of homotopy group of  $S^{N-r}MSO(r)$ ; the suspension homomorphism

$$S: \pi_{n+N}(S^{N-r}MSO(r)) \rightarrow \pi_{n+1+N}(S^{N+1-r}MSO(r))$$

is an isomorphism for  $n+1 \leq N$ . In fact, since  $MSO(r)$  is  $(r-1)$ -connected, the suspension homomorphism  $S: \pi_j(MSO(r)) \rightarrow \pi_{j+1}(SMSO(r))$  is isomorphic for  $j \leq 2r-1$ , by a theorem of Blaker-Massey. Thus  $SMSO(r)$  is  $r$ -connected. Similarly it is known that  $S^{N-r}(MSO(r))$  is  $(N-1)$ -connected. By the theorem of Blaker-Massey,

$$S: \pi_j(S^{N-r}MSO(r)) \rightarrow \pi_{j+1}(S^{N-r+1}MSO(r))$$

is an isomorphism for  $j \leq 2N-1$ . This implies that

$$S: \pi_{n+N}(S^{N-r}MSO(r)) \rightarrow \pi_{n+N+1}(S^{N-r+1}MSO(r))$$

is isomorphic for  $n+1 \leq N$ .

Now we shall construct an isomorphism  $\Omega_n(SO(r)) \cong \pi_{n+N}(S^{N-r}MSO(r))$ . Suppose that  $M$  be a closed  $n$ -dimensional  $SO(r)$ -manifold. Embed  $M$  in  $S^{n+N}$  with normal bundle (we consider normal disk bundle)  $\nu: A \rightarrow M$ , which we assume to admit an  $SO(r)$ -structure  $f$ . There is a bundle map

$$\begin{array}{ccc} A & \xrightarrow{\bar{f}_\nu} & E(\eta_N) \\ \nu \downarrow & & \downarrow \\ M & \xrightarrow{f_\nu} & BSO(N) \end{array}$$

where  $\eta_N$  denotes the universal  $N$ -disk bundle. Since  $\nu$  admits an  $SO(r)$ -structure, there exists a map  $g: M \rightarrow BSO(r)$  such that  $f_\nu = i \circ g$ , where  $i: BSO(r) \rightarrow BSO(N)$  is the inclusion map. Thus we have a commutative diagram of bundle maps

$$\begin{array}{ccccc} A & \xrightarrow{\bar{g}} & E(\eta_r \oplus \varepsilon^{N-r}) & \xrightarrow{\bar{i}} & E(\eta_N) \\ \downarrow & & \downarrow & & \downarrow \\ M & \xrightarrow{g} & BSO(r) & \xrightarrow{i} & BSO(N) \end{array}$$

and an induced map  $A/\dot{A} \rightarrow S^{N-r}MSO(r)$ , where  $\dot{A}$  denotes the associated sphere bundle of  $A$ . Then there exists the composite map  $F$  given by

$$F: S^{n+N} \rightarrow S^{n+N}/S^{n+N} - \text{int } A \cong A/\dot{A} \rightarrow S^{N-r}MSO(r).$$

Thus to an  $SO(r)$ -manifold corresponds a map  $F: S^{n+N} \rightarrow S^{N-r}MSO(r)$ . Conversely if a map  $F: S^{n+N} \rightarrow S^{N-r}MSO(r)$  is given, we can find a map  $F': S^{n+N} \rightarrow S^{N-r}MSO(r)$  so that

(1)  $F'$  is a smooth map homotopic to  $F$

and

(2)  $F'$  is  $t$ -regular on  $BSO(r)$ .

Then  $F'^{-1}(BSO(r))$  is an  $n$ -dimensional smooth submanifold of  $S^{n+N}$  with normal bundle  $\nu \oplus \mathcal{E}^{N-r}$ . These correspondence induces an isomorphism between  $\Omega_n(SO(r))$  and  $\pi_{n+N}(S^{N-r}MSO(r))$ . (For the details, see [7])

Next we shall prove the following

**Proposition (2.2)** *The natural homomorphism  $\Omega_n(SO(r)) \rightarrow \Omega_n$  is isomorphic for  $n+1 \leq r$ .*

Proof. To prove the proposition, we need the following lemmas.

**Lemma (2.1)** *The homomorphism  $\pi_j(SMSO(r)) \rightarrow \pi_j(MSO(r+1))$  induced by the inclusion  $SMSO(r) \rightarrow MSO(r+1)$  is isomorphic for  $j \leq 2r$ .*

For the proof, see [1].

**Lemma (2.2)** *The iterated suspension homomorphism  $S^{N-r-1}: \pi_j(SMSO(r)) \rightarrow \pi_{j+N-r-1}(S^{N-r}MSO(r))$  is isomorphic for  $j \leq 2r+1$ .*

Proof. This is a straightforward application of the theorem of Blaker-Massey.

Now we consider the diagram

$$\begin{array}{ccc} \pi_j(SMSO(r)) & \longrightarrow & \pi_j(MSO(r+1)) \\ \downarrow S^{N-r-1} & & \downarrow S^{N-r-1} \\ \pi_{j+N-r-1}(S^{N-r}MSO(r)) & \longrightarrow & \pi_{j+N-r-1}(S^{N-r-1}MSO(r+1)) \end{array}$$

Since the vertical and top horizontal homomorphism are isomorphic for  $j \leq 2r$ , we have

$$\pi_{j+N-r-1}(S^{N-r}MSO(r)) \cong \pi_{j+N-r-1}(S^{N-r-1}MSO(r+1)) \quad (j \leq 2r)$$

Thus we have

$$\pi_{n+N}(S^{N-r}MSO(r)) \cong \pi_{n+N}(S^{N-r-1}MSO(r+1))$$

for  $n+1 \leq r$ . In other words, if  $n+1 \leq r$ ,  $\Omega_n(SO(r)) \cong \Omega_n(SO(r+1))$ .

### 3. The group $\Omega_n(SO(2))$

In section 2, we have shown that  $\Omega_n(SO(2)) \cong \pi_{n+N}(S^{N-2}MSO(2))$  ( $N$  is sufficiently large integer). R. Thom has shown that  $MSO(2)$  can be identified with the infinite dimensional complex projective space  $CP^\infty$ . For a space  $X$ , let  $\pi_m^s(X)$  denote the  $m$ -th stable homotopy group of  $X$ .

In his paper [5], Liulevicius has obtained the following results;

$m$	1	2	3	4	5	6	7	8
$\pi_m^s(CP^\infty)$	0	$Z$	0	$Z$	$Z_2$	$Z$	$Z_2$	$Z+Z_2$

By definition,  $\pi_{m+2}^s(CP^\infty)$  is nothing else than  $\Omega_m(SO(2))$ .

**4. The characteristic numbers**

Let  $M$  be a closed  $SO(r)$ -manifold with dimension  $n$  and  $f$  the classifying map for the normal bundle;  $f: M \rightarrow BSO(r)$ .  $f$  induces a homomorphism  $f^*: H^n(BSO(r); Q) \rightarrow H^n(M; Q)$ . For any element  $x \in H^n(BSO(r); Q)$ , we call  $f^*(x) [M] \in Q$  the normal characteristic number corresponding to  $x$ , where  $[M]$  is the fundamental class of  $M$ .

We have the commutative diagram

$$\begin{array}{ccccc}
 H^{n+r+N}(S^N M SO(r); Q) & \xrightarrow{T(f)^*} & H^{n+r+N}(T(M); Q) & \longrightarrow & H^{n+r+N}(S^{n+r+N}; Q) \\
 \uparrow \cong & & \uparrow \cong & & \\
 H^n(BSO(r); Q) & \xrightarrow{f^*} & H^n(M; Q) & & 
 \end{array}$$

where  $T(M)$  denotes the Thom space of the normal bundle of  $M$  in  $S^{n+r+N}$ . The isomorphism  $\pi_{n+r+N}(S^N M SO(r)) \otimes Q \cong H_{n+r+N}(S^N M SO(r); Q)$  implies that if  $\bar{f}: S^{n+r+N} \rightarrow S^N M SO(r)$  represents a class in the free part of  $\pi_{n+r+N} \times (S^N M SO(r))$ ,  $\bar{f}^*: H^{n+r+N}(S^N M SO(r); Q) \rightarrow H^{n+r+N}(S^{n+r+N}; Q)$  is a zero homomorphism only if  $\bar{f}$  is homotopic to zero. Hence  $f^*$  is a zero homomorphism only if  $\bar{f}$  is homotopic to zero. In other words, if all normal characteristic numbers of  $M$  are zero, then the cobordism class of  $M$  is zero in  $\Omega_n(SO(r))$ /torsion subgroup. It is easy to see that if two  $SO(r)$ -manifolds are  $SO(r)$ -cobordant, then they have the same characteristic number. Thus we have proved.

**Theorem (4.1)** *The class of  $\Omega_n(SO(r))$ /torsion subgroup is completely determined by the normal Euler and Pontrjagin numbers.*

**Corollary.** *The natural homomorphism  $\Omega_4(SO(2)) \rightarrow \Omega_4$  is monomorphic.*

Proof. Since  $\Omega_4(SO(2))$  has no torsion, for 4-manifold, the normal Euler number completely determines  $SO(2)$ -cobordism class. Suppose an  $SO(2)$ -manifold  $M$  be oriented cobordant to zero. Then the Pontrjagin number  $p_1[M]$  is zero, and hence  $\bar{p}_1[M]=0$ . Since  $\bar{p}_1 = \bar{X}_2^2$ , this implies that the normal Euler number  $\bar{X}_2^2[M]=0$ . Therefore  $M$  is  $SO(2)$ -cobordant to zero. This

completes the proof.

### 5. Embedding of 4-manifolds

In this section, we shall consider the embeddability of closed 4-manifold  $M$  in Euclidean 7-space  $R^7$ .

We know the following results about the embeddability of  $M$ .

- (1) Any closed 4-manifold is embeddable in  $R^8$ .
- (2) Any closed 4-manifold  $M$  is embeddable in  $R^7$  almost differentiaibly (i.e.  $M-x$  is embeddable in  $R^7$ , where  $x$  is a point of  $M$ ) [2].
- (3) Any orientable closed 4-manifold is embeddable in  $R^7$  piecewise linearly [3].

In the following, we shall prove

**Theorem (5.1)** *Let  $M$  be an orientable, closed 4-manifold which is oriented cobordant to zero. If  $M$  is immersible in  $R^6$ , then  $M$  is embeddable in  $R^7$ .*

**Theorem (5.2)** *Let  $M$  be closed, simply connected and oriented cobordant to zero. Then  $M$  is embeddable in  $R^7$ .*

In his paper [9], the author has proved the following result.

- (4) Let  $M$  be a closed, simply connected and  $s$ -parallelizable 4-manifold. Then  $M$  is embeddable in  $R^6$ .

The proof of theorem (5.1).

Let  $(M, f)$  be an  $n$ -dimensional  $SO(2)$ -manifold with or without boundary. We shall prove the following lemma.

**Lemma (5.1)** *Suppose  $n \geq 2$ . Then there exists an  $n$ -dimensional  $SO(2)$ -manifold  $(M', f')$  such that*

- (1)  $M'$  is simply connected,

and

- (2) If  $M$  has boundary, then  $bM = bM'$  and  $f|bM = f'|bM'$ .

Proof. Let  $\alpha$  be a non-zero element of  $\pi_1(M)$  represented by a map  $\bar{g}: S^1 \rightarrow M$ . Since the dimension of  $M$  is greater than 1 and  $M$  is orientable,  $\bar{g}$  is homotopic to an embedding  $g: S^1 \rightarrow M$  with a trivial normal bundle.  $g$  can be considered as a map

$$g: S^1 \times D^{n-1} \longrightarrow M.$$

If  $bM \neq \emptyset$ , we may assume that  $g(S^1 \times D^{n-1}) \subset \text{int } M$ . Define an  $(n+1)$ -manifold  $W$  as follows;

$$W = (M \times [0, 1]) \cup D^2 \times D^{n-1},$$

where  $D^2 \times D^{n-1}$  is attached to  $M \times 1$  by the embedding  $g: S^1 \times D^{n-1} \rightarrow M$ .

By smoothing the corners,  $W$  admits a smooth structure (Compare Kervaire-Milnor [4], p. 519). Clearly  $W$  has the homotopy type of  $M \cup D^2$ . Then

$$H^i(W, M; Z) = \begin{cases} Z & \text{if } i=2 \\ 0 & \text{otherwise.} \end{cases}$$

We shall extend the given  $SO(2)$ -structure on  $M$  over  $W$ . Consider the following diagram

$$\begin{array}{ccc} & & E \\ & \nearrow f & \downarrow \\ M & \xrightarrow{f_\nu} & BSO(N) \end{array}$$

where  $E$  is the bundle associated to the universal  $N$ -plane bundle with fibre  $SO(N)/SO(2)$ . Obstructions to the extending  $f$  over  $W$  are in  $H^i(W, M; \pi_{i-1} \times (V_{N,N-2}))$ . Since  $H^i(W, M) = 0$  for  $i \neq 2$ , there is only one obstruction in  $H^2(W, M; \pi_1(V_{N,N-2}))$ , which is zero. Hence  $f$  is extendable over  $W$ .

Let  $M''$  be the component of  $bW$  different from  $M$ . Since  $W$  is an  $SO(2)$ -manifold,  $M''$  is also an  $SO(2)$ -manifold. As well known,

$$\pi_1(M'') = \pi_1(M) / \{\alpha\},$$

where  $\{\alpha\}$  is some subgroup of  $\pi_1(M)$  containing  $\alpha$ . After a finite number of steps, we can obtain a manifold  $M'$  with the desired properties.

Now let  $M$  be a 4-manifold which is oriented cobordant to zero. By corollary of theorem (4.1),  $M$  is  $SO(2)$ -cobordant to zero. In other words, there exists an  $SO(2)$ -manifold  $W$  with dimension 5 whose boundary is  $M$ . By lemma (5.1), we may suppose that  $W$  is simply connected.  $\tilde{W}$  is the double of  $W$ . By lemma (1.1),  $\tilde{W}$  is also an  $SO(2)$ -manifold, and by van Kampen theorem,  $\tilde{W}$  is simply connected. The following theorem which is due to Hirsch [3] implies that  $\tilde{W} - x$  is embeddable in  $R^7$  and hence  $M$  is embeddable in  $R^7$ .

**Theorem of Hirsch.** *Let  $M$  be a closed  $(m-1)$ -connected  $n$ -manifold,  $2 \leq 2m \leq n$ , with a smooth triangulation; let  $x$  be a point of  $M$ . If a neighbourhood of the  $(n-m)$ -skelton can be immersed in  $R^q$  for  $q \geq 2n - 2m + 1$ , then  $M - x$  can be embedded in  $R^q$ .*

A 4-manifold is immersible in  $R^6$  if and only if it is an  $SO(2)$ -manifold. This completes the proof of theorem (5.1).

The proof of theorem (5.2).

To obtain the theorem, it is sufficient to show that any closed simply connected  $M$  which is oriented cobordant to zero is immersible in  $R^6$ . We can find a 5-manifold  $W$  whose boundary is  $M$ . By a theorem of Wall [8], we

may suppose that  $W$  has the homotopy type of a bouquet of 2-spheres. Such a 5-manifold is immersible in  $R^7$ . In fact, let  $W$  be embedded in  $R^{5+N}$ , where  $N$  is sufficiently large, with normal bundle  $\nu$  and  $\vartheta$  the bundle associated to  $\nu$  with  $V_{N, N-2}$  as fibre. Then  $\vartheta$  admits a cross section if and only if  $W$  can be immersed in  $R^7$ . Since  $H^i(W)=0$  for  $i \geq 3$ , there is no obstruction to the existence of cross section of  $\vartheta$ . Since  $W$  is immersible in  $R^7$ , its boundary is immersible in  $R^6$ . The proof of theorem is concluded.

REMARK. The result of theorem (5.2) is best possible. In fact, the total space of non-trivial 2-sphere bundle over 2-sphere can not be embedded in  $R^6$ , because it has non-vanishing 2nd Stiefel-Whitney class.

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