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# HARMONIC COHOMOLOGY GROUPS ON COMPACT SYMPLECTIC NILMANIFOLDS

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## 1. Introduction

Let  $(M^{2m}, \omega)$  be a symplectic manifold. Brylinski [2] defined the star operator  $*: \Omega^k(M) \to \Omega^{2m-k}(M)$  for the symplectic structure  $\omega$  as an analogy of the star operator for an oriented Riemannian manifold, where  $\Omega^k(M)$  denotes the space of all k-forms on M, and also defined an operator  $d^* = (-1)^k * d * : \Omega^k(M) \to \Omega^{k-1}(M)$ . Now a form  $\alpha$  on M is called a symplectic harmonic form if it satisfies  $d\alpha = d^*\alpha = 0$ . We denote by  $\mathcal{H}^k(M)$  the space of all harmonic k-forms on M. We define symplectic harmonic k-cohomology group  $H^k_{hr}(M)$  by  $\mathcal{H}^k(M)/(B^k(M)\cap \mathcal{H}^k(M))$ . Brylinski conjectured that any de Rham cohomology class contains a harmonic representation. However, Mathieu [6] proved the following result:

**Mathieu's Theorem.** Let  $(M^{2m}, \omega)$  be a symplectic manifold of dimension 2m. Then following two assertions are equivalent:

- (a) For any k, the cup-product  $[\omega]^k : H^{m-k}_{DR}(M) \to H^{m+k}_{DR}(M)$  is surjective.
- (b) For any k,  $H_{DR}^{k}(M) = H_{hr}^{k}(M)$ .

In particular, we see that if M is a compact Kähler manifold, then any de Rham cohomology class contains a symplectic harmonic cocycle. Yan [11] gave a simpler, more direct proof of Mathieu's Theorem. Mathieu [6] also proved that, for  $k = 0, 1, 2, H_{DR}^k(M) = H_{br}^k(M)$ .

In this paper we study compact symplectic nilmanifolds. Let  $\mathfrak{g}$  be a Lie algebra and put  $\mathfrak{g}^{(0)} = \mathfrak{g}$  and let  $\mathfrak{g}^{(i+1)} = [\mathfrak{g},\mathfrak{g}^{(i)}]$  for  $i \geq 0$ . We say that a Lie algebra  $\mathfrak{g}$  is (r+1)-step nilpotent if  $\mathfrak{g}^{(r)} \neq (0)$  and  $\mathfrak{g}^{(r+1)} = (0)$ . A Lie group G is called (r+1)-step nilpotent if its Lie algebra  $\mathfrak{g}$  is (r+1)-step nilpotent. If G is a simply-connected (r+1)-step nilpotent Lie group and  $\Gamma$  is a lattice of G, that is, a discrete subgroup of G such that  $G/\Gamma$  is compact, then we say that  $G/\Gamma$  is a compact (r+1)-step nilmanifold. We also identify  $\mathfrak{g}^*$  with the space of all left G-invariant forms on G. Nomizu [8] proved that, for each k, the Lie algebra cohomology group  $H^k(\mathfrak{g}) = Z^k(\mathfrak{g})/B^k(\mathfrak{g}) = (\operatorname{Ker} d \cap \bigwedge^k(\mathfrak{g}^*))/(\operatorname{Im} d \cap \bigwedge^k(\mathfrak{g}^*))$  is isomorphic to the de Rham cohomology group  $H^k_{DR}(M) = Z^k(M)/B^k(M) = (\operatorname{Ker} d \cap \Omega^k(M))/(\operatorname{Im} d \cap \Omega^k(M))$ , where  $M = G/\Gamma$ .

Benson and Gordon [1] have proved that the Hard Lefschetz Theorem fails for any symplectic structure on a non-toral compact nilmanifold to show that a non-toral compact nilmanifold does not admit any Kähler structure. The proof of Benson and Gordon also implies that the dimension of  $H_{hr}^{2m-1}(M)$  is not equal to the dimension  $H_{hr}^{1}(M)$  for a non-toral compact nilmanifold.

For a left G-invariant symplectic form  $\omega$  on a compact nilmanifold  $G/\Gamma$ , we denote by  $\mathcal{H}^k(\mathfrak{g})$  the space of all left G-invariant harmonic forms on  $G/\Gamma$ . Moreover we define a subspace of Lie algebra cohomology group  $H^k(\mathfrak{g})$  by  $H^k_{hr}(\mathfrak{g}) = \mathcal{H}^k(\mathfrak{g})/(B^k(\mathfrak{g})\cap \mathcal{H}^k(\mathfrak{g}))$ .

Let M be a compact manifold and  $\omega$ ,  $\omega'$  symplectic forms on M. We denote  $\omega$ -harmonic ( $\omega'$ -harmonic) q-cohomology group by  $H^q_{\omega-hr}(M)$  ( $H^q_{\omega'-hr}(M)$ ). If for some k, the dimension of  $H^k_{\omega-hr}(M)$  and  $H^k_{\omega'-hr}(M)$  are not equal, then there exists no diffeomorphisms  $\varphi \colon M \longrightarrow M$  such that  $\varphi^*\omega = \omega'$ . Thus harmonic cohomology groups play an important role in the classification of symplectic forms.

We are also interested in the following question raised by B. Khesin and D. Mc-Duff (see Yan [11]).

Question: On which compact manifold M, does there exist a family  $\omega_t$  of symplectic forms such that the dimension of  $H^k_{hr}(M)$  varies?

This question was considered by Yan [11] for compact symplectic 4-manifolds and he constructed compact 4-manifold which have a family  $\omega_t$  of symplectic forms such that the dimension of  $H^3_{\omega_t-hr}(M)$  varies. Yan also observed that for compact 4-dimensional nilmanifolds the dimension  $H^3_{\omega_t-hr}(M)$  is independent of symplectic forms. Now we consider the following question.

Question: On which compact nilmanifold M, does there exist a family  $\omega_t$  of symplectic forms such that the dimension of  $H^k_{hr}(M)$  varies?

In Section 4, we prove

**Proposition 1.** Let  $M^{2m}$  be a compact manifold and  $\omega, \omega'$  symplectic forms on M such that  $\omega - \omega' = d\gamma$  for some  $\gamma \in \Omega^1(M)$  (it is not necessary that M is a nilmanifold). Then we have, for each q,

$$H^q_{\omega-hr}(M) = H^q_{\omega'-hr}(M)$$
.

**Proposition 2.** Let  $(M = G/\Gamma, \omega)$  be a compact symplectic nilmanifold. Then we have

$$H^q_{\omega\text{-}hr}(M)=H^q_{\omega_0\text{-}hr}(M)=H^q_{\omega_0\text{-}hr}(\mathfrak{g}),$$

where  $\omega_0$  is a left G-invariant closed 2-form such that  $\omega - \omega_0 = d\gamma$  for some  $\gamma \in \Omega^1(M)$ .

In Section 5, we prove the following:

**Theorem 3.** Let  $M^{2m} = G/\Gamma$  be a compact (r+1)-step nilmanifold. Then for any symplectic structure  $\omega$  on M, we have

$$\dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) \ge \dim \mathfrak{g}^{(r)}.$$

In particular, if M is a 2-step nilmanifold, then

$$\dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) = \dim[\mathfrak{g},\mathfrak{g}].$$

Let G be a simply-connected nilpotent Lie group and  $\mathfrak g$  be its Lie algebra. Note that G has a lattice if and only if  $\mathfrak g$  admits a basis with respect to which the constants of structure are rational (see Raghunathan [9] Theorem 2.12 of Chapter II).

In Section 7, we prove the following:

**Theorem 4.** Let  $\mathfrak{g}$  be the 2-step nilpotent Lie algebra for dimension 6 of the form

$$g = \text{span}\{X_1, X_2, X_3, X_4, X_5, X_6\},\$$

where

$$[X_1, X_6] = X_5, [X_1, X_4] = X_3, [X_4, X_6] = X_2$$

and  $\omega_1, \omega_2, \omega_3, \omega_4, \omega_5, \omega_6$  denote its dual basis. Moreover, let

$$\omega = \omega_1 \wedge \omega_3 + \omega_2 \wedge \omega_4 + \omega_5 \wedge \omega_6$$

and

$$\omega' = -2\omega_1 \wedge \omega_2 - \omega_3 \wedge \omega_6 - \omega_4 \wedge \omega_5$$
.

Then  $\{\omega_t = (1-t)\omega + t\omega'; \mathbb{R} \ni t \neq x_0\}$  is a family of symplectic forms on compact nilmanifold  $G/\Gamma$ , where  $x_0$  ( $x_0 = -3.8473$ ) is a unique real solution for  $1-3t+3t^2+t^3=0$ , such that

$$\begin{array}{ll} \mbox{for } \omega_0 = \omega, & \mbox{dim } H^2_{hr}(G/\Gamma) - \mbox{dim } H^4_{hr}(G/\Gamma) = 1 \\ \mbox{for } \omega_t \ (t \neq 0, x_0), & \mbox{dim } H^2_{hr}(G/\Gamma) - \mbox{dim } H^4_{hr}(G/\Gamma) = 0. \end{array}$$

Since  $H^2_{DR}(G/\Gamma) = H^2_{\omega-hr}(G/\Gamma)$  for any symplectic forms, we have 6-dimensional nilmanifold which has a family  $\omega_t$  of symplectic forms such that the dimension of  $H^4_{\omega-hr}(M)$  varies.

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# 2. Operators on $\Omega^*(M)$ and the relations

In this section, we define some operators on a symplectic manifold and study their relations.

Let  $(M^{2m}, \omega)$  be a symplectic manifold. We define a star operator  $*_{\omega} = *: \Omega^k(M) \to \Omega^{2m-k}(M)$ . Let **G** be the skew symmetric bivector field dual to  $\omega$ .

By the Darboux's theorem, we can write in canonical coordinates

$$\omega = dp_1 \wedge dq_1 + \cdots + dp_m \wedge dq_m$$

and

$$\mathbf{G} = \frac{\partial}{\partial q_1} \wedge \frac{\partial}{\partial p_1} + \dots + \frac{\partial}{\partial q_m} \wedge \frac{\partial}{\partial p_m}.$$

We define a star operator

\*: 
$$\Omega^k(M) \to \Omega^{2m-k}(M)$$
 for  $k = 0, \ldots, 2m$ 

by

$$\alpha \wedge * \beta = (\wedge^k(\mathbf{G}))(\alpha, \beta)v_M$$
 for  $\alpha, \beta \in \Omega^k(M)$ ,

where  $v_M = \omega^m/m!$ .

We also define an operator  $d^*$  by  $d^* = (-1)^k * d* : \Omega^k(M) \to \Omega^{k-1}(M)$ .

DEFINITION 2.1. For a symplectic manifold  $(M, \omega)$ , a k-form  $\alpha \in \Omega^k(M)$  is called  $\omega$ -harmonic or simply, harmonic, if it satisfies

$$d^*\alpha = d\alpha = 0$$
.

We denote by  $\mathcal{H}^k_\omega(M)=\mathcal{H}^k(M)$  the space of all harmonic k-forms. We define symplectic harmonic k-cohomology group  $H^k_{\omega-hr}(M)=H^k_{hr}(M)=\mathcal{H}^k(M)/(B^k(M)\cap\mathcal{H}^k(M))$ . We also define  $L_\omega=L\colon \Omega^k(M)\to \Omega^{k+2}(M)$  by  $L(\alpha)=\alpha\wedge\omega$ .

# Lemma 2.2.

$$d^* = [d, i(\mathbf{G})]$$

Proof. See [2].

Remark. Since  $d^{*2} = 0$ , we can define homology groups as follows.

$$H_k^{hr}(M) := \mathcal{H}^k(M)/(B_k(M) \cap \mathcal{H}^k(M)),$$

where  $B_k(M) = \operatorname{Im} d^* \cap \Omega^k(M)$ . For any k,

$$*: H^{hr}_{m-k}(M) \to H^{m+k}_{hr}(M)$$

is an isomorphism. In fact, for  $\alpha \in \mathcal{H}^{m-k}(M)$ , we have

$$d^*(*\alpha) = (-1)^{m+k} * d * *\alpha = (-1)^{m+k} * d\alpha = 0$$
$$d(*\alpha) = ** d * \alpha = (-1)^{m-k} * d^*\alpha = 0.$$

Thus  $*\alpha \in \mathcal{H}^{m+k}(M)$ . Similarly,  $*: B^{m-k}(M) \to B_{m+k}(M)$ . Thus \*-operator induces a homomorphism

$$*: H^{hr}_{m-k}(M) \to H^{m+k}_{hr}(M)$$
.

We can also define  $*: H^{m+k}_{hr} \to H^{hr}_{m-k}(M)$ . Since \*\*=Id, we see that  $*: H^{hr}_{m-k}(M) \to H^{m+k}_{hr}(M)$  is an isomorphism (cf. [2]).

Now, we define operator  $L^*$  by

$$L^* = *L*: \Omega^k(M) \to \Omega^{k-2}(M).$$

We can easily see that  $L^*$  is the adjoint operator for L, where we define an inner product (, ) on  $\Omega^*(M)$  by  $(\alpha, \beta) = \int *(\alpha \wedge *\beta)v_M$  for  $\alpha, \beta \in \Omega^k(M)$ .

Proposition 2.3 (Yan [11]).

$$i(\mathbf{G}) = -L^*$$

Moreover, we define

$$A = \sum (m - k)\pi_k,$$

where  $\pi_k \colon \Omega^*(M) \to \Omega^k(M)$  is the natural projection.

These operators satisfy the following relations:

**Proposition 2.4** (Yan [11]). 
$$[L^*, L] = A$$
  $[A, L] = -2L$   $[A, L^*] = 2L^*$ 

#### 3. Duality on harmonic forms

First we introduce the following definition. Let X, H, Y be the standard basis of  $\mathfrak{sl}(2, \mathbb{C}) = \mathfrak{sl}(2)$  i.e.

$$H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

DEFINITION 3.1. Let V be the (infinite-dimensional) vector space of a Lie algebra representation. We say that V is an  $\mathfrak{sl}(2)$ -module of finite H-spectrum if the following two conditions are satisfied:

- (a) V can be decomposed as the direct sum of eigenspace of H.
- (b) H has only finitely many distinct eigenvalues.

By a basic result on an sl(2)-representation we have the following:

**Proposition 3.2.** Let V be an  $\mathfrak{sl}(2)$ -module of finite H-spectrum. Then we have: For any k, the maps

$$Y^k \colon V_k \to V_{-k}$$

and

$$X^k \colon V_{-k} \to V_k$$

are isomorphisms (where  $V_k$  is an eigenspace of H with eigenvalue k).

Now we can give a representation of  $\mathfrak{sl}(2,\mathbb{R})$  on  $\Omega^*(M)$  by the following correspondence:

$$X \longleftrightarrow *L*, \qquad Y \longleftrightarrow L, \qquad H \longleftrightarrow A.$$

We can easily see that  $\Omega^*(M)$  is an  $\mathfrak{sl}(2)$ -module of finite H-spectrum. Thus we have

**Proposition 3.3** (Duality on forms) (Yan [11]).

$$L^k: \Omega^{m-k}(M) \to \Omega^{m+k}(M)$$

is an isomorphism.

Moreover, since  $\mathcal{H}^*(M)$  is an  $\mathfrak{sl}(2,\mathbb{R})$ -submodule of  $\Omega^*(M)$ , we have

Proposition 3.4 (Duality on harmonic forms) (Yan [11]).

$$L^k : \mathcal{H}^{m-k}(M) \to \mathcal{H}^{m+k}(M)$$

is an isomorphism.

For a left G-invariant symplectic form  $\omega$  on a compact nilmanifold  $G/\Gamma$ , we denote by  $\mathcal{H}^k(\mathfrak{g})$  the space of all left G-invariant harmonic forms on  $G/\Gamma$ .

Now we have the following:

**Proposition 3.5.** Let  $(M^{2m}, \omega)$  be a compact symplectic nilmanifold such that  $\omega \in \bigwedge^2(\mathfrak{g}^*)$ , then

$$L^k \colon \mathcal{H}^{m-k}(\mathfrak{g}) \to \mathcal{H}^{m+k}(\mathfrak{g})$$

is an isomorphism.

Proof. Let  $\{X_1, \ldots, X_{2m}\}$  be a basis of  $\mathfrak{g}$  and  $\{\omega_1, \ldots, \omega_{2m}\}$  be its dual basis. Then  $\omega$  can be written as

$$\omega = \sum a_{ij}\omega_i \wedge \omega_j \quad a_{ij} = -a_{ji} \in \mathbb{R}.$$

Further, it is easy to see that

$$\mathbf{G}=-\sum c_{ij}X_i\wedge X_j,$$

where  $(c_{ij})$  is the inverse matrix for transpose matrix of  $(a_{ij})$ . It follows that  $\mathcal{H}^*(\mathfrak{g})$  is an  $\mathfrak{sl}(2,\mathbb{R})$ -submodule.

# 4. Harmonic cohomology groups on M

We need some lemmas to prove Theorem 1.

**Lemma 4.1** (Yan [11]). Let  $(M, \omega)$  be a symplectic manifold. Then we have

$$P^{m-k}_{\omega}(M) \subset H^{m-k}_{\omega-hr}(M),$$

where

$$P_{\omega}^{m-k}(M) = \{v \in H_{DR}^{m-k}(M) \mid L_{\omega}^{k+1}v = 0\}.$$

**Lemma 4.2.** Let  $M^{2m}$  be a compact manifold and  $\omega, \omega'$  symplectic forms on M such that  $\omega - \omega' = d\gamma$  for some  $\gamma \in \Omega^1(M)$ . Then we have

$$P_{\omega}^{m-k}(M) = P_{\omega'}^{m-k}(M)$$
.

Proof. Let  $v = [z] \in P_{\omega}^{m-k}(M)$ , where  $z \in Z^{m-k}(M)$ . Since  $\omega = \omega' + d\gamma$ , we have

$$\begin{split} L_{\omega}^{k+1}z &= \omega^{k+1} \wedge z \\ &= (\omega' + d\gamma)^{k+1} \wedge z \\ &= L_{\omega'}^{k+1}z + \sum_{r \neq k+1} \binom{k+1}{r} \omega' \wedge (d\gamma)^{k-r+1} \wedge z. \end{split}$$

Therefore,

$$L_{\omega}^{k+1}v = [L_{\omega}^{k+1}z] = [L_{\omega'}^{k+1}z] = L_{\omega'}^{k+1}v = 0,$$

which implies  $v \in P_{\omega'}^{m-k}(M)$ , so that  $P_{\omega}^{m-k}(M) = P_{\omega'}^{m-k}(M)$ .

**Lemma 4.3.** Let  $(M, \omega)$  be a compact symplectic manifold. Then, for  $k \geq 0$ , we have

$$H_{hr}^{m-k}(M) = P^{m-k}(M) + L(H_{hr}^{m-k-2}(M)).$$

Proof. Let  $a \in H^{m-k}_{hr}(M)$ . Since  $L^{k+2} \colon \mathcal{H}^{m-k-2}(M) \to \mathcal{H}^{m+k+2}(M)$  is an isomorphism, there exists  $b = [\beta]$ , where  $\beta \in \mathcal{H}^{m-k-2}$  such that

$$L^{k+1}a = L^{k+2}b.$$

Thus

$$L^{k+1}(a-b\wedge\omega)=0,$$

which implies

$$a-b\wedge\omega\in P^{m-k}(M)$$
.

Moreover, since  $b \wedge \omega \in L(H^{m-k-2}_{hr}(M))$ , we get

$$a = (a - b \wedge \omega) + b \wedge \omega \in P^{m-k}(M) + L(H_{br}^{m-k-2}(M)).$$

**Proposition 4.4.** Let  $M^{2m}$  be a compact manifold and  $\omega, \omega'$  symplectic forms on M such that  $\omega - \omega' = d\gamma$  for some  $\gamma \in \Omega^1(M)$ . Then we have, for each q,

$$H^q_{\omega-hr}(M) = H^q_{\omega'-hr}(M)$$
.

Proof. We prove our proposition by induction of the dimension of de Rham cohomology group. By the proof of Mathieu's theorem, we see  $H^i_{DR}(M) = H^i_{hr}(M)$  for i = 0, 1, 2 (cf. Corollary 8 of [6] and Corollary 3.1 of [11]). Therefore,

$$H^i_{\omega\text{-}hr}(M)=H^i_{DR}(M)=H^i_{\omega'\text{-}hr}(M)\quad\text{for }i=0,1,2.$$

Assume that if q < m-k, then  $H^q_{\omega-hr}(M) = H^q_{\omega'-hr}(M)$ . Let  $[u] \in H^{m-k-2}_{\omega-hr}(M)$ , where  $u \in \mathcal{H}^{m-k-2}_{\omega}(M)$ . By the assumption of induction, there exists  $[u'] \in H^{m-k-2}_{\omega'-hr}(M)$ , where  $u' \in \mathcal{H}^{m-k-2}_{\omega'}(M)$  such that  $[u] = [u'] \in H^{m-k-2}_{DR}(M)$ . Thus

$$L_{\omega}(H^{m-k-2}_{\omega - hr}(M)) \ni [\omega \wedge u] = [\omega] \wedge [u]$$

$$= [\omega] \wedge [u']$$

$$= [\omega \wedge u'] = [(\omega' + d\gamma) \wedge u']$$

$$= [\omega' \wedge u'] \in L_{\omega'}(H^{m-k-2}_{\omega' - hr}(M)).$$

Therefore, by Lemma 4.3

$$\begin{split} H^{m-k}_{\omega\text{-}hr}(M) &= P^{m-k}_{\omega}(M) + L_{\omega}(H^{m-k-2}_{\omega\text{-}hr}(M)) \\ &= P^{m-k}_{\omega'}(M) + L_{\omega'}(H^{m-k-2}_{\omega'\text{-}hr}(M)) \\ &= H^{m-k}_{\omega'\text{-}hr}(M). \end{split}$$

Let  $v=[z]\in H^{m+k}_{\omega-hr}(M)$ , where  $z\in \mathcal{H}^{m+k}_{\omega}(M)$ . Since  $L^k\colon \mathcal{H}^{m-k}(M)\to \mathcal{H}^{m+k}(M)$  is an isomorphism, there exists  $w\in \mathcal{H}^{m-k}_{\omega}(M)$  such that  $v=[z]=[L^k_{\omega}w]=L^k_{\omega}[w]$ .

Thus by above argument, there exists  $[u] \in H^{m-k}_{\omega'-hr}(M)$ , where  $u \in \mathcal{H}^{m-k}_{\omega'}(M)$  such that [w] = [u]. Since  $\omega = \omega' + d\gamma$ , we have

$$\begin{split} L_{\omega}^k[w] &= L_{\omega}^k[u] = [\omega^k \wedge u] \\ &= [(\omega' + d\gamma)^k \wedge u] \\ &= \left[ L_{\omega'}^k u + \sum_{r \neq k} \binom{k}{r} \omega' \wedge (d\gamma)^{k-r} \wedge u \right] = [L_{\omega'}^k u] = L_{\omega'}^k[u], \end{split}$$

which implies

$$H^q_{\omega-hr}(M) = H^q_{\omega'-hr}(M) \qquad (q = 0, \dots, 2m).$$

For a left G-invariant symplectic form  $\omega$  on  $G/\Gamma$ , let  $H_{hr}^k(\mathfrak{g}) = \mathcal{H}^k(\mathfrak{g})/(B^k(\mathfrak{g}) \cap \mathcal{H}^k(\mathfrak{g}))$  be a subspace of Lie algebra cohomology group  $H^k(\mathfrak{g})$ .

**Proposition 4.5.** Let  $(M, \omega)$  be a symplectic nilmanifold such that  $\omega$  is a left G-invariant closed 2-form. Then we have

$$H_{hr}^q(M) = H_{hr}^q(\mathfrak{g}) \qquad (q = 0, \dots, 2m).$$

Proof. We prove our proposition by induction. Note that, since  $d^* = [d, i(G)]$ ,  $Z^i(\mathfrak{g}) = \mathcal{H}^i(\mathfrak{g})$  for i = 0, 1, 2. Applying Nomizu's theorem, for i = 0, 1, 2,

$$H^i_{hr}(M)=H^i_{DR}(M)=H^i(\mathfrak{g})=H^i_{hr}(\mathfrak{g}).$$

Moreover,

$$\begin{split} P^{m-k}(M) &= \big\{ v \in H^{m-k}_{DR}(M) \mid L^{k+1}v = 0 \big\} \\ &= \big\{ w \in H^{m-k}(\mathfrak{g}) \mid L^{k+1}w = 0 \big\} \\ &= P^{m-k}(\mathfrak{g}). \end{split}$$

By Lemma 4.3 and the assumption of induction, we have

$$H_{hr}^{m-k}(M) = P^{m-k}(M) + L(H_{hr}^{m-k-2}(M))$$

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$$= P^{m-k}(\mathfrak{g}) + L(H_{hr}^{m-k-2}(\mathfrak{g}))$$
$$= H_{hr}^{m-k}(\mathfrak{g}).$$

As in Proposition 4.4, by the above argument and  $L^k : \mathcal{H}^{m-k}(\mathfrak{g}) \to \mathcal{H}^{m+k}(\mathfrak{g})$  is an isomorphism, we have

$$H_{hr}^{q}(M) = H_{hr}^{q}(\mathfrak{g}) \quad (q = 0, \dots, 2m),$$

where  $(M^{2m}, \omega)$  is a symplectic nilmanifold such that  $\omega$  is a left *G*-invariant closed 2-form.

Let  $(M, \omega)$  be a compact symplectic nilmanifold, then by Nomizu's theorem there exists  $\omega_0$  which is a left G-invariant closed 2-form such that  $\omega - \omega_0 = d\gamma$ . Moreover,  $\omega_0$  is also non-degenerate (Since  $\omega^m - \omega_0^m = d\tau$  for some  $\tau \in \Omega^{2m-1}(M)$ ). Therefore, by Proposition 4.4 and 4.5,

$$H^q_{\omega-hr}(M) = H^q_{\omega_0-hr}(M) = H^q_{\omega_0-hr}(\mathfrak{g}).$$

Then we assume that symplectic structures on  $M = G/\Gamma$  are left G-invariant to study harmonic cohomology groups on a compact nilmanifold M.

From now on we always assume that  $(M, \omega)$  is a compact symplectic (r+1)-step nilmanifold. Let  $\mathfrak{g}$  be an (r+1)-step nilpotent Lie algebra. Consider the descending central series  $\{\mathfrak{g}^{(i)}\}$  of  $\mathfrak{g}$ , where  $\mathfrak{g}^{(i+1)} = [\mathfrak{g}, \mathfrak{g}^{(i)}]$  and  $\mathfrak{g}^{(0)} = \mathfrak{g}$ . Let  $\mathfrak{a}^{(i)}$  denote a vector space complement of  $\mathfrak{g}^{(i+1)}$  in  $\mathfrak{g}^{(i)}$ :

$$\mathfrak{g}^{(i)}=\mathfrak{g}^{(i+1)}+\mathfrak{a}^{(i)}$$

for  $i=0,1,\ldots,r-1$  and define  $n_i=\dim\mathfrak{a}^{(i)}$ . For simplicity let  $\bigwedge^{i_0}\mathfrak{a}^{(0)^*}\wedge\cdots\wedge\bigwedge^{i_r}\mathfrak{a}^{(r)^*}=\bigwedge^{i_0,\ldots,i_r}$ . Then

$$\bigwedge^{S} \mathfrak{g}^* = \sum_{i_0 + \dots + i_r = S} \bigwedge^{i_0, \dots, i_r}$$
.

Lemma 4.6 (Benson-Gordon [1]).

$$H^1(\mathfrak{g}) = Z^1(\mathfrak{g}) = \bigwedge^{1,0,\ldots,0}$$
.

**Lemma 4.7** (Benson-Gordon [1]). Any closed 2-form  $\sigma \in \bigwedge^2 \mathfrak{g}^*$  belongs to  $\bigwedge^{1,0,\dots,0,1} + \sum \bigwedge^{i_0,\dots,i_{r-1},0}$ .

Let  $\lambda_1, \ldots, \lambda_{n_r}$  be a basis of  $\bigwedge^{0, \ldots, 0, 1}$ . By Lemma 4.9, the invariant symplectic form  $\omega$  can be written as

$$\omega = \beta_1 \wedge \lambda_1 + \dots + \beta_{n_r} \wedge \lambda_{n_r} \quad \text{modulo} \quad \sum \bigwedge^{i_0, \dots, i_{r-1}, 0},$$

where  $\beta_1, \ldots, \beta_{n_r}$  are elements of  $\bigwedge^{1,0,\ldots,0}$ . By non-degeneracy of  $\omega$ ,  $\beta_1,\ldots,\beta_{n_r}$  are linearly independent and thus can be extended to a basis

$$\beta_1,\ldots,\beta_{n_r},\ldots,\beta_{n_0}$$

for  $\Lambda^{1,0,...,0}$ .

**Lemma 4.8** (Benson-Gordon [1]). (1)  $\bigwedge^{2m-1}(\mathfrak{g}^*) = Z^{2m-1}(\mathfrak{g})$ . (2)  $\sigma \in B^{2m-1}(\mathfrak{g})$  is divisible by  $\beta_1 \wedge \cdots \wedge \beta_{n_0}$ .

Hence by this lemma,  $B^{2m-1}(\mathfrak{g})\subset \sum_{n_0+i_1+\cdots+i_r=2m-1}\bigwedge^{n_0,i_1,\cdots,i_r}$ . However, since  $\dim B^{2m-1}(\mathfrak{g})=\dim Z^{2m-1}(\mathfrak{g})-\dim H^{2m-1}(\mathfrak{g})=\dim Z^{2m-1}(\mathfrak{g})-\dim H^1(\mathfrak{g})=n_1+\cdots+n_r=\dim\sum \bigwedge^{n_0,i_1,\cdots,i_r}$ , we have  $B^{2m-1}(\mathfrak{g})=\sum_{n_0+i_1+\cdots+i_r=2m-1}\bigwedge^{n_0,i_1,\cdots,i_r}$ .

# 5. Proof of Theorem 1

In this section, we prove Theorem 1 and some propositions. We use same notations introduced in Section 4.

Let  $(G/\Gamma, \omega)$  be a compact symplectic (r+1)-step nilmanifold. By Nomizu's theorem, there exists a left G-invariant closed 2-form such that  $\omega - \omega_0 = d\gamma$  for some  $\gamma \in \Omega^1(G/\Gamma)$ . (Moreover,  $\omega_0$  is non-degenerate). Therefore, by Proposition 4.4, we only consider the case that a symplectic form is a left G-invariant closed form.

Proof of Theorem 1. Note that  $L^{m-1}\colon \mathcal{H}^1(\mathfrak{g})\to \mathcal{H}^{2m-1}(\mathfrak{g})$  is an isomorphism and  $Z^1(\mathfrak{g})=\mathcal{H}^1(\mathfrak{g})$ . For  $i=1,\ldots,n_r$ , consider  $\beta_i\in Z^1(\mathfrak{g})=H^1(\mathfrak{g})$ . Since  $\omega^{m-1}\in\sum_{i_0+\cdots+i_r=2m-2}\bigwedge^{i_0,\cdots,i_r}$  is a (2m-2)-form, we see  $i_0=n_0,n_0-1,n_0-2$ . Then  $\omega^{m-1}$  can be written as  $\omega^{m-1}=\delta_1+\delta_2'+\delta_2''$ , where  $\delta_1,\delta_2',\delta_2''$  are (2m-2)-forms such that  $\delta_1\in\bigwedge^{n_0-2,n_1,\ldots,n_r},\delta_2'\in\sum\bigwedge^{n_0-1,i_1,\ldots,i_r}$  and  $\delta_2''\in\sum\bigwedge^{n_0,i_1,\ldots,i_r}$ . Hence

$$L^{m-1}\beta_{i} = \beta_{i} \wedge \delta_{1} + \beta_{i} \wedge \delta_{2}' + \beta_{i} \wedge \delta_{2}''.$$

We claim that  $L^{m-1}\beta_i$  is an exact form. Since each term of  $\delta_1$  is divisible by  $\lambda_1 \wedge \cdots \wedge \lambda_{n_r}$  and hence also by  $\beta_1 \wedge \cdots \wedge \beta_{n_r}$ . Thus we get  $\beta_i \wedge \delta_1 = 0$  (Note that  $i = 1, \ldots, n_r$ ). Moreover, by Lemma 4.8, we get

$$\beta_i \wedge \delta_2^{'} \in \sum \bigwedge^{n_0, i_1, \dots, i_r} = B^{2m-1}(\mathfrak{g}).$$

Hence we now have

$$L^{m-1}\beta_i=\beta_i\wedge\omega^{m-1}\in B^{2m-1}(\mathfrak{g}).$$

It follows that

$$\dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) \ge \dim \mathfrak{g}^{(r)}.$$

Furthermore,

$$\begin{split} \dim H^1_{hr}(\mathfrak{g}) - \dim H^{2m-1}_{hr}(\mathfrak{g}) \\ &= \dim \mathcal{H}^1(\mathfrak{g}) - \dim(B^1(\mathfrak{g}) \cap \mathcal{H}^1(\mathfrak{g})) \\ &- \dim \mathcal{H}^{2m-1}(\mathfrak{g}) + \dim(B^{2m-1}(\mathfrak{g}) \cap \mathcal{H}^{2m-1}(\mathfrak{g})) \\ &= \dim(B^{2m-1}(\mathfrak{g}) \cap \mathcal{H}^{2m-1}(\mathfrak{g})) - \dim(B^1(\mathfrak{g}) \cap \mathcal{H}^1(\mathfrak{g})) \\ &= \dim(B^{2m-1}(\mathfrak{g}) \cap \mathcal{H}^{2m-1}(\mathfrak{g})) \\ &\leq \dim(B^{2m-1}(\mathfrak{g})) = n_1 + \dots + n_r. \end{split}$$

Thus, in particular M is a 2-step nilmanifold, we have

$$\dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) = n_1.$$

**Proposition 5.1.** Let  $(M^{2m} = G/\Gamma, \omega)$  be a compact symplectic (r+1)-step nilmanifold. Assume that  $\dim \mathfrak{g} - \dim[\mathfrak{g}, \mathfrak{g}] - 2 < \dim \mathfrak{g}^{(r)}$ . Then we have

$$\dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) = n_0 = \dim \mathfrak{g} - \dim[\mathfrak{g}, \mathfrak{g}].$$

Proof. Let

$$\{\beta_1,\ldots,\beta_{n_r},\ldots,\beta_{n_0}\}$$

be a basis of  $\bigwedge^{1,0,\dots,0}$ . As in proof of Theorem 1, we write  $\omega^{m-1}$  as  $\omega^{m-1}=\delta_1+\delta_2'+\delta_2''$ ,  $\delta_1\in\bigwedge^{n_0-2,n_1,\dots,n_r}$ ,  $\delta_2'\in\sum\bigwedge^{n_0-1,i_1,\dots,i_r}$  and  $\delta_2''\in\sum\bigwedge^{n_0,i_1,\dots,i_r}$ . By our assumption, we see that  $\delta_1=0$ . Then, for any  $i=1,\dots,n_0$ ,  $\beta_i\wedge\omega^{m-1}\in B^{2m-1}(\mathfrak{g})$ .

**Proposition 5.2.** Let  $(M^{2m} = G/\Gamma, \omega)$  be a compact symplectic 3-step nilmanifold such that  $n_1 = 1$ . Then

$$\dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) = n_1 + n_2.$$

Proof. We may assume that the symplectic form  $\omega$  can be written as

$$\omega = \beta_1 \wedge \lambda_1 + \dots + \beta_{n_2} \wedge \lambda_{n_2} + \beta_{n_2+n_1} \wedge \tau \quad \text{modulo} \quad \bigwedge^{2,0,0},$$

where  $\tau \in \mathfrak{a}^{(1)^*}$ . Then we see  $\delta_1 \in \bigwedge^{n_0-2,n_1,n_2}$  is divisible by  $\beta_{n_2+n_1}$ .

REMARK. It is not true that, if  $(M^{2m}=G/\Gamma,\omega)$  be an (r+1)-step compact symplectic nilmanifold such that  $n_1=n_2=\cdots=1$ , then  $\dim H^1_{hr}(M)-\dim H^{2m-1}_{hr}(M)=n_1+n_2+\cdots+n_r$ . For example, consider the Lie algebra  $\mathfrak{g}=\mathfrak{n}_1^{2m-1}\times\mathfrak{a}$  where  $\mathfrak{a}$  is a Lie algebra for dimension 1 and

$$\mathfrak{n}_1^{2m-1} = \operatorname{span}\{X_1, \dots, X_{2m-1}\}$$

where  $[X_1, X_i] = X_{i-1}$  (i = 3, ..., 2m - 1). Let  $\{\omega_1, ..., \omega_{2m-1}\}$  (resp.  $\omega_{2m}$ ) be the dual basis of  $\mathfrak{n}_1^{2m-1}$  (resp.  $\mathfrak{a}$ ) and

$$\omega = \omega_1 \wedge \omega_{2m} + \sum_{i=0}^{m-2} (-1)^i \omega_{2+i} \wedge \omega_{2m-1-i},$$

then

$$\dim H^1_{hr}(\mathfrak{g}) - \dim H^{2m-1}_{hr}(\mathfrak{g}) = 1.$$

Moreover, we can easily see that for any symplectic form on M, dim  $H_{hr}^1(M)$  – dim  $H_{hr}^{2m-1}(M) = 1$ .

**Proposition 5.3.** Let  $(M^{2m}, \omega)$  be a compact symplectic (r + 1)-step nilmanifold such that  $n_0 - 2 = n_r$ . Let  $\omega^{m-1} = \delta_1 + \delta_2' + \delta_2''$ , where  $\delta_1, \delta_2', \delta_2''$  are (2m-2)-forms such that  $\delta_1 \in \bigwedge^{n_0-2, n_1, \dots, n_r}$ ,  $\delta_2' \in \sum \bigwedge^{n_0-1, i_1, \dots, i_r}$ . Then we have

(1) 
$$\delta_1 \neq 0 \Rightarrow \dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) = n_r$$

(2) 
$$\delta_1 = 0 \Rightarrow \dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) = n_0.$$

Proof. We may assume that the symplectic form  $\omega$  can be written as

$$\omega = \beta_1 \wedge \lambda_1 + \dots + \beta_{n_r} \wedge \lambda_{n_r}$$
 modulo  $\sum \bigwedge^{i_0,\dots,i_{r-1},0}$ .

Moreover, let  $\{\beta_1, \ldots, \beta_{n_r}, \beta_{n_r+1}, \beta_{n_r+2}\}$  be a basis of  $(\mathfrak{a}^{(0)})^*$ . By our assumption, we see

$$\omega^{m-1} = a\beta_1 \wedge \cdots \wedge \beta_{n_r} \wedge \lambda_1 \wedge \cdots \wedge \lambda_{n_r} \wedge \tau + \delta_2' + \delta_2'',$$

where  $a \neq 0$  and  $\tau \in \bigwedge^{0,i_1,...,i_{n_r}}$ . Hence,

$$\beta_{n_r+1} \wedge \omega^{m-1} \neq 0$$
$$\beta_{n_r+2} \wedge \omega^{m-1} \neq 0.$$

Assume that  $\beta_{n_r+1} \wedge \omega^{m-1}$  and  $\beta_{n_r+2} \wedge \omega^{m-1}$  belong to same cohomology class of  $H^{2m-1}(\mathfrak{g})$ . Therefore

$$\beta_{n_{r}+1} \wedge \omega^{m-1} - \beta_{n_{r}+2} \wedge \omega^{m-1} = d\gamma.$$

$$0 \neq \beta_{n_{r}+1} \wedge \delta_{1} - \beta_{n_{r}+2} \wedge \delta_{1} = -\beta_{n_{r}+1} \wedge \delta_{2}' + \beta_{n_{r}+2} \wedge \delta_{2}' - \beta_{n_{r}+1} \wedge \delta_{2}'' + \beta_{n_{r}+2} \wedge \delta_{2}'' + d\gamma.$$

The right hand side is divisible by  $\beta_1 \wedge \cdots \wedge \beta_{n_r+2}$ . Conversely, the left hand side is not divisible by  $\beta_1 \wedge \cdots \wedge \beta_{n_r+2}$ . It is a contradiction.

## 6. Examples

Now we shall give some examples of compact symplectic nilmanifold  $G/\Gamma$ . Since each Lie algebra  $\mathfrak g$  has a basis with respect to which the constants of structure are rational, the simply-connected Lie group G corresponding to  $\mathfrak g$  admits a lattice.

EXAMPLE 6.1 (A generalization of Heisenberg group) ([3]). Let us consider the following Lie algebra.

$$\mathfrak{h}(1, p) = \text{span}\{X_1, \dots, X_p, Y, Z_1, \dots, Z_p\}$$

where

$$[X_i, Y] = Z_i$$

and  $\{\mu_1,\ldots,\mu_p,\nu,\lambda_1,\ldots,\lambda_p\}$  be its dual basis. Then we have

$$d\mu_i = d\nu = 0,$$
  $d\lambda_i = -\mu_i \wedge \nu.$ 

Thus  $\mu_i \wedge \lambda_i$  is a closed 2-form.

Similarly, we also define

$$\mathfrak{h}(1,q) = \text{span}\{X'_1, \dots, X'_q, Y', Z'_1 \dots, Z'_q\}$$

and span $\{\mu'_1,\ldots,\mu'_q,\nu',\lambda'_1,\ldots,\lambda'_q\}$ . Then there exists a non-degenerate closed 2-form  $\omega=\sum \mu_i\wedge\lambda_i+\sum \mu'_i\wedge\lambda'_i+\nu\wedge\nu'$  on  $\mathfrak{g}_{p,q}=\mathfrak{h}(1,p)\times\mathfrak{h}(1,q)$ , Let  $G_{p,q}$  be the simply-connected Lie group corresponding to  $\mathfrak{g}_{p,q}=\mathfrak{h}(1,p)\times\mathfrak{h}(1,q)$  and M be a compact nilmanifold of  $G_{p,q}$ . Since  $\mathfrak{g}_{p,q}$  is 2-step, we have

$$\dim H^1_{hr}(M) - \dim H^{2p+2q+1}_{hr}(M) = p + q.$$

REMARK. In particular, consider a symplectic form

$$\omega = \sum \mu_i \wedge \lambda_i + \sum \mu'_i \wedge \lambda'_i + \nu \wedge \nu'.$$

Then we get

$$\dim H_{hr}^2(\mathfrak{g}_{p,q}) - \dim H_{hr}^{2p+2q}(\mathfrak{g}_{p,q}) = {}_{p+q}C_2.$$

Proof. By a straightforward calculation, we have

$$H_{hr}^{2}(\mathfrak{g}_{p,q}) = \operatorname{span}\{[\mu_{i} \wedge \mu_{j}], [\mu_{i} \wedge \lambda_{i}], [\lambda_{i} \wedge \nu], [\mu_{i} \wedge \lambda_{j} + \mu_{j} \wedge \lambda_{i}], [\mu'_{h} \wedge \mu'_{k}], [\mu'_{h} \wedge \lambda'_{h}], [\lambda'_{h} \wedge \nu'], [\mu'_{h} \wedge \lambda'_{k} + \mu'_{k} \wedge \lambda'_{h}], [\mu_{i} \wedge \mu'_{h}], [\mu_{i} \wedge \nu'], [\nu \wedge \mu'_{h}], [\nu \wedge \nu']\}.$$

Thus we consider the images of this basis by  $\wedge \omega^{p+q-1}$ . Now we define decomposable (2(p+q)-2)-forms as follows:

$$\delta_1^{ij} = \alpha_{ij} \wedge \nu \wedge \beta \wedge \nu', \quad \delta_2^{st} = \alpha \wedge \nu \wedge \beta_{st} \wedge \nu', \quad \delta_3^{is} = \alpha_i \wedge \nu \wedge \beta_s \wedge \nu', \\ \delta_4^i = \alpha_i \wedge \beta, \quad \delta_5^s = \alpha \wedge \beta_s,$$

for  $1 \le i < j \le p$ ,  $1 \le s < t \le p$ , where

$$\alpha = \mu_{1} \wedge \cdots \wedge \mu_{p} \wedge \lambda_{1} \wedge \cdots \wedge \lambda_{p},$$

$$\alpha_{i} = \mu_{1} \wedge \cdots \wedge \hat{\mu}_{i} \wedge \cdots \wedge \mu_{p} \wedge \lambda_{1} \wedge \cdots \wedge \hat{\lambda}_{i} \wedge \cdots \wedge \lambda_{p},$$

$$\alpha_{ij} = 6\mu_{1} \wedge \cdots \wedge \hat{\mu}_{i} \wedge \cdots \wedge \hat{\mu}_{j} \wedge \cdots \wedge \mu_{p} \wedge \lambda_{1} \wedge \cdots \wedge \hat{\lambda}_{i} \wedge \cdots \wedge \hat{\lambda}_{j} \wedge \cdots \wedge \lambda_{p},$$

$$\beta = \mu'_{1} \wedge \cdots \wedge \mu'_{q} \wedge \lambda'_{1} \wedge \cdots \wedge \lambda'_{q},$$

$$\beta_{s} = \mu'_{1} \wedge \cdots \wedge \hat{\mu}'_{s} \wedge \cdots \wedge \mu'_{q} \wedge \lambda'_{1} \wedge \cdots \wedge \hat{\lambda}'_{s} \wedge \cdots \wedge \lambda'_{q},$$

$$\beta_{st} = \mu'_{1} \wedge \cdots \wedge \hat{\mu}'_{s} \wedge \cdots \wedge \hat{\mu}'_{t} \wedge \cdots \wedge \mu'_{q} \wedge \lambda'_{1} \wedge \cdots \wedge \hat{\lambda}'_{s} \wedge \cdots \wedge \hat{\lambda}'_{t} \wedge \cdots \wedge \lambda'_{q}.$$

Furthermore we write

$$\omega^{p+q-1} = \delta_1 + \delta_2 + \delta_3 + \delta_4 + \delta_5$$

where

$$\begin{split} \delta_h &= \sum_{i < j} c_h^{ij} \delta_h^{ij}, \quad c_h^{ij} \in \mathbb{R} \quad (h = 1, 2, 3), \\ \delta_k &= \sum_i c_k^i \delta_k^i, \quad c_k^i \in \mathbb{R} \quad (k = 4, 5). \end{split}$$

With the notation, first consider the image for  $\mu_m \wedge \mu_n$  by  $\wedge \omega^{p+q-1}$ , then

$$\mu_{\it m} \wedge \mu_{\it n} \xrightarrow{\wedge \omega^{\it p+q-1}} \mu_{\it m} \wedge \mu_{\it n} \wedge \delta_1$$
.

However, it is the image by d for the scalar multiple of

$$\mu_1 \wedge \cdots \wedge \hat{\mu}_m \wedge \cdots \wedge \mu_p \wedge \lambda_1 \wedge \cdots \wedge \hat{\lambda}_n \wedge \cdots \wedge \lambda_p \wedge \hat{\nu} \wedge \mu'_1 \wedge \cdots \wedge \mu_q \wedge \lambda'_1 \wedge \cdots \wedge \lambda'_q \wedge \nu'$$

which implies that  $\mu_m \wedge \mu_n \wedge \omega^{p+q-1}$  is exact. Similarly, we can see  $(\mu'_m \wedge \mu'_n) \wedge \omega^{p+q-1}$  and  $(\mu_m \wedge \mu'_n) \wedge \omega^{p+q-1}$  are exact. Then

$$\dim H^2_{hr}(\mathfrak{g}_{p,q}) - \dim H^{2p+2q}_{hr}(\mathfrak{g}_{p,q}) \ge {}_{p+q}C_2$$
.

Next, note that

$$\bigwedge^{n_0-3,n_1} \quad \xrightarrow{d} \quad \bigwedge^{n_0-1,n_1-1},$$

Therefore, since

$$\lambda_m \wedge \nu \xrightarrow{\wedge \omega^{p+q-1}} \lambda_m \wedge \nu \wedge \delta_4 \in \bigwedge^{n_0-2,n_1}$$

 $\lambda_m \wedge \nu \wedge \omega^{p+q-1}$  is not exact. Similarly,  $\lambda_m' \wedge \nu' \wedge \omega^{p+q-1}$  is not exact and by non-degeneracy of  $\omega$ ,  $\mu_m \wedge \lambda_m \wedge \omega^{p+q-1}$  and  $\mu_n' \wedge \lambda_n' \wedge \omega^{p+q-1}$  are not exact.

Finally, consider the image for  $\mu_m \wedge \lambda_n + \mu_n \wedge \lambda_m$  by  $\wedge \omega^{p+q-1}$ ,

$$\mu_m \wedge \lambda_n + \mu_n \wedge \lambda_m \xrightarrow{\wedge \omega^{p+q-1}} \mu_m \wedge \lambda_n \wedge \delta_1 + \mu_n \wedge \lambda_m \wedge \delta_1$$
.

Indeed,

$$(\mu_{m} \wedge \lambda_{n} + \wedge \mu_{n} \wedge \lambda_{m}) \wedge \delta_{1}$$

$$= (-1)^{(p-2)+(p-m)+(p-n-1)} (\mu_{1} \wedge \cdots \wedge \hat{\mu}_{m} \wedge \cdots \wedge \mu_{p} \wedge \lambda_{1} \wedge \cdots \wedge \hat{\lambda}_{n} \wedge \cdots \wedge \lambda_{p} \wedge \nu + \mu_{1} \wedge \cdots \wedge \hat{\mu}_{n} \wedge \cdots \wedge \mu_{n} \wedge \lambda_{1} \wedge \cdots \wedge \hat{\lambda}_{m} \wedge \cdots \wedge \lambda_{n} \wedge \nu) \wedge \Omega',$$

where  $\Omega' = \mu'_1 \wedge \cdots \wedge \mu'_q \wedge \lambda'_1 \wedge \cdots \wedge \lambda'_q \wedge \nu'$ .

However, the image by d for  $\mu_1 \wedge \cdots \wedge \hat{\mu}_m \wedge \cdots \wedge \hat{\mu}_n \wedge \cdots \wedge \mu_p \wedge \lambda_1 \wedge \cdots \wedge \lambda_p \wedge \hat{\nu} \wedge \Omega'$  is  $\pm \{(\mu_1 \wedge \cdots \wedge \hat{\mu}_n \wedge \cdots \wedge \mu_p \wedge \lambda_1 \wedge \cdots \wedge \hat{\lambda}_m \wedge \cdots \wedge \lambda_p \wedge \nu \wedge \Omega') - (\mu_1 \wedge \cdots \hat{\mu}_m \wedge \cdots \wedge \mu_p \wedge \lambda_1 \wedge \cdots \hat{\lambda}_n \wedge \lambda_p \wedge \nu \wedge \Omega')\}$ . Thus we now see that  $(\mu_m \wedge \lambda_n + \mu_n \wedge \lambda_m) \wedge \omega^{p+q}$  is not exact. Similarly,  $(\mu'_m \wedge \lambda'_n + \mu'_n \wedge \lambda'_m) \wedge \omega^{p+q}$  is not also exact.

Example 6.2 ([5]). Let g be the Lie algebra defined by

$$\mathfrak{g} = \operatorname{span}\{X_1,\ldots,X_{2m}\},\,$$

where  $[X_1, X_i] = X_{i-1}$  (i = 3, ..., 2m) and  $\omega_1, ..., \omega_{2m}$  be its dual basis. Thus we get

$$d\omega_1 = d\omega_{2m} = 0,$$
  
$$d\omega_{i-1} = -\omega_1 \wedge \omega_i \ (i = 3, \dots, 2m).$$

Then  $\omega_1 \wedge \omega_2$ ,  $\sum_{i=0}^{m-2} (-1)^i \omega_{3+i} \wedge \omega_{2m-i}$  are closed 2-forms and  $\mathfrak{g}$  has a non-degenerate closed 2-form,

$$\omega = \omega_1 \wedge \omega_2 + \sum_{i=0}^{m-2} (-1)^i \omega_{3+i} \wedge \omega_{2m-i}.$$

Since  $n_0 - 2 = 0 < n_r$ , we get by Proposition 5.1

$$\dim H^1_{hr}(M) - \dim H^{2m-1}_{hr}(M) = 2,$$

for a compact symplectic nilmanifold  $M = G/\Gamma$ .

# 7. Proof of Theorem 3

Proof of Theorem 3. Let  $\alpha(\omega_t) = \dim H^2_{hr}(\mathfrak{g}) - \dim H^4_{hr}(\mathfrak{g})$ . Since

$$[X_1, X_6] = X_5, [X_1, X_4] = X_3, [X_4, X_6] = X_2,$$

we get  $d\omega_2 = -\omega_4 \wedge \omega_6$ ,  $d\omega_3 = -\omega_1 \wedge \omega_4$ ,  $d\omega_5 = -\omega_1 \wedge \omega_6$ . As in Section 6, the simply-connected Lie group G corresponding to  $\mathfrak g$  admits a lattice. By a straightforward calculation, we have

$$H^{2}(\mathfrak{g}) = \operatorname{span}\{[\omega_{1} \wedge \omega_{3}], [\omega_{1} \wedge \omega_{5}], [\omega_{2} \wedge \omega_{4}], [\omega_{2} \wedge \omega_{6}], [\omega_{3} \wedge \omega_{4}], [\omega_{5} \wedge \omega_{6}], [\omega_{1} \wedge \omega_{2} + \omega_{3} \wedge \omega_{6}], [\omega_{3} \wedge \omega_{6} - \omega_{4} \wedge \omega_{5}]\}$$

and

$$B^4(\mathfrak{g}) = \text{span}\{\omega_{1346}, \ \omega_{1456}, \ \omega_{1246}, \ \omega_{1236} + \omega_{1245} - \omega_{3456}\},\$$

where  $\omega_{ijkh}$  means the element  $\omega_i \wedge \omega_j \wedge \omega_k \wedge \omega_h$ .

Moreover, let  $B(t) = (b_{ij}) = (\omega_t(X_i, X_j))$ , then we can easily verify

$$\det(B(t)) = (1 - 3t + 3t^2 + t^3)^2.$$

Therefore, let  $x_0$  ( $x_0 = -3.8473$ ) be the unique real solution of  $1 - 3t + 3t^2 + t^3 = 0$ ,  $\omega_t$  is non-degenerate for  $t \neq x_0$ 

Next, we calculate the image of  $L_{\omega_t}$  for  $Z^2(\mathfrak{g})$ . Note that  $Z^2(\mathfrak{g}) = \mathcal{H}^2(\mathfrak{g})$  and  $L_{\omega_t}(\mathcal{H}^2(\mathfrak{g})) = \mathcal{H}^4(\mathfrak{g})$ .

$$\omega_{1} \wedge \omega_{3} \xrightarrow{\wedge \omega_{t}} (1-t)(\omega_{1324} + \omega_{1356}) - t\omega_{1345}$$

$$\omega_{1} \wedge \omega_{5} \xrightarrow{\wedge \omega_{t}} (1-t)\omega_{1524} - t\omega_{1536}$$

$$\omega_{2} \wedge \omega_{4} \xrightarrow{\wedge \omega_{t}} (1-t)(\omega_{2413} + \omega_{2456}) - t\omega_{2436}$$

$$\omega_{2} \wedge \omega_{6} \xrightarrow{\wedge \omega_{t}} (1-t)\omega_{2613} - t\omega_{2645}$$

$$\omega_{3} \wedge \omega_{4} \xrightarrow{\wedge \omega_{t}} (1-t)\omega_{3456} - 2t\omega_{3412}$$

$$\omega_{5} \wedge \omega_{6} \xrightarrow{\wedge \omega_{t}} (1-t)(\omega_{5613} + \omega_{5624}) - 2t\omega_{5612}$$

$$\omega_{1} \wedge \omega_{2} + \omega_{3} \wedge \omega_{6} \xrightarrow{\wedge \omega_{t}} (1-t)(\omega_{1256} + \omega_{3624}) - t(3\omega_{1236} + \omega_{1245} + \omega_{3645})$$

$$\omega_{3} \wedge \omega_{6} - \omega_{4} \wedge \omega_{5} \xrightarrow{\wedge \omega_{t}} (1-t)(\omega_{3624} - \omega_{4513}) - t(2\omega_{3612} - 2\omega_{4512})$$

Since  $L_{\omega_t}(H^2(\mathfrak{g})) \subset \bigwedge^{2,2}$  and  $\omega_{1346}, \omega_{1456}, \omega_{1246} \in \bigwedge^{3,1}$ , we need only consider the dimension of span $\{L_{\omega_t}(H^2(\mathfrak{g})), \omega_{1236} + \omega_{1245} - \omega_{3456}\}$ , where  $\omega_{1236} + \omega_{1245} - \omega_{3456}$  is a exact form.

Thus, we consider the matrix form of  $\{L_{\omega_t}(\omega_{13}), L_{\omega_t}(\omega_{15}), L_{\omega_t}(\omega_{24}), L_{\omega_t}(\omega_{26}), L_{\omega_t}(\omega_{34}), L_{\omega_t}(\omega_{56}), L_{\omega_t}(\omega_{12} - \omega_{36}), L_{\omega_t}(\omega_{36} - \omega_{45}), \omega_{1236} + \omega_{1245} - \omega_{3456}\}$  with respect to the basis  $\{\omega_{1234}, \omega_{1236}, \omega_{1245}, \omega_{1256}, \omega_{1345}, \omega_{1356}, \omega_{2346}, \omega_{2456}, \omega_{3456}\}$  of  $\bigwedge^{2,2}$ , let A(t) denote its matrix. Then we get the following matrix:

$$A(t) = \begin{pmatrix} t-1 & 0 & 0 & 0 & -t & 1-t & 0 & 0 & 0 \\ 0 & 0 & 1-t & 0 & 0 & t & 0 & 0 & 0 \\ t-1 & 0 & 0 & 0 & 0 & 0 & t & 1-t & 0 \\ 0 & -1+t & 0 & 0 & 0 & 0 & 0 & -t & 0 \\ -2t & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1-t \\ 0 & 0 & 0 & -2t & 0 & 1-t & 0 & 1-t & 0 \\ 0 & -3t & -t & 1-t & 0 & 0 & t-1 & 0 & -t \\ 0 & -2t & 2t & 0 & t-1 & 0 & t-1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix}$$

Thus we have

$$\det A(t) = 12t^2(1 - 3t + 3t^2 + t^3)^2.$$

Hence, for  $t \neq 0$ ,  $x_0$ , det  $A(t) \neq 0$ . Then for  $t \neq 0$ ,  $x_0$ ,

$$\alpha(\omega_t) = 0$$
.

On the other hand, since  $\det A(t) = 0$  for t = 0,

$$\alpha(\omega_0)=1$$
.

In fact.

$$\begin{array}{c} \omega_{1} \wedge \omega_{3} \xrightarrow{\wedge \omega} \omega_{1324} + \omega_{1356} \\ \omega_{1} \wedge \omega_{5} \xrightarrow{\wedge \omega} \omega_{1524} \\ \omega_{2} \wedge \omega_{4} \xrightarrow{\wedge \omega} \omega_{2413} + \omega_{2456} \\ \omega_{2} \wedge \omega_{6} \xrightarrow{\wedge \omega} \omega_{2613} \\ \omega_{3} \wedge \omega_{4} \xrightarrow{\wedge \omega} \omega_{3456} \\ \omega_{5} \wedge \omega_{6} \xrightarrow{\wedge \omega} \omega_{5613} + \omega_{5624} \\ \omega_{1} \wedge \omega_{2} + \omega_{3} \wedge \omega_{6} \xrightarrow{\wedge \omega} \omega_{1256} + \omega_{3624} \\ \omega_{3} \wedge \omega_{6} - \omega_{4} \wedge \omega_{5} \xrightarrow{\wedge \omega} \omega_{3624} + \omega_{4513} \end{array}$$

Thus, the image of  $L_{\omega}$  for  $\omega_1 \wedge \omega_5 - \omega_2 \wedge \omega_6 - \omega_3 \wedge \omega_4$  is a exact form. Therefore, we now see

$$\alpha(\omega_0) = \dim H^2_{hr}(\mathfrak{g}) - \dim H^4_{hr}(\mathfrak{g}) = 1.$$

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