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# Positive Linear Functionals on Ideals of Continuous Functions

# By Junzo WADA

Let N be the set of all continuous functions on a compact Hausdorff space or the set of all continuous functions whose carriers are compact on a locally compact Hausdorff space. Then any positive linear functional T on N has an integral representation (Kakutani  $\lceil 12 \rceil$  and Halmos  $\lceil 8 \rceil$ ), so any T has the condition (MA'), i.e.  $T(f_n)$  converges to T(f) for any  $f \in N$  and for any sequence  $\{f_n\} \subset N$  with  $f_n \uparrow f$ . Let X be a locally compact space and let Y be the one-point compactification of X ([1], p. 93). Then we can regard the set of all continuous functions whose carriers are compact on X as an ideal (=l-ideal. § 1) of C(Y), the set of all real-valued continuous functions of Y. V.S. Varadarajan [16] raised the following question: Let X be a compact Hausdorff space and let Nbe an ideal of C(X). When can we say that all non-negative linear functionals on N satisfy the condition  $(MA')^{1}$ ? An ideal N is said to satisfy the property (A) if T satisfies the condition (MA') for any nonnegative linear functional T on  $N(\S 1)$ . In this paper we consider more generalized problems. After some preliminaries in  $\S1$  we consider in  $\S2$ the above problem in the case where X is a completely regular space. We characterize ideals which satisfy the property (A) under some conditions (Theorem 4). In §3 we prove that any m-closed (ring-) ideal satisfies (A) (Theorem 5), and in §4 we show that an  $\alpha$ -ideal satisfies the stronger property (B) (§ 1) if it satisfies (A) in the case where X is a normal Q-space (Theorem 6).

# §1. Preliminaries.

Throughout this paper, spaces are always completely regular Hausdorff spaces.

For a space X, a subset N in C(X) will be called an l-ideal<sup>2</sup> (or, briebly, an *ideal*) if the following conditions are satisfied:

- (i) if  $f, g \in N$ , then  $f + g \in N$ ,
- (ii) if  $f \in N$  and t is any real number, then  $tf \in N$ ,
- (iii) if  $f \in N$ ,  $|g|^{3} \leq f$ , then  $g \in N$ .

3) For any function f, |f|(x) = |f(x)|.

<sup>1)</sup> See, Bourbaki [3]. Varadarajan [16] used the term " $\sigma$ -smooth" in place of "the condition (MA')". Numbers in bracket refer to the references cites at the end of the paper.

<sup>2)</sup> See, Birkhoff [2].

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Let X be a space and let f be in C(X). Then we put

$$Z(f) = \{x \mid x \in X, f(x) = 0\},\$$
  

$$P(f) = \{x \mid x \in X, f(x) > 0\},\$$
  

$$\mathfrak{P}(X) = \{P(f) \mid f \in C(X)\}.$$

Let N be an ideal. Then we put

$$Z(N) = \bigcap_{f \in \mathcal{N}} Z(f),$$
  
$$\mathfrak{P}(N) = \{P(f) | f \in N\}.$$

Let N be an ideal and let T be a non-negative linear functional. Then T is said to satisfy the condition (MA') (resp. (MA)) if  $T(f_n)$ (resp.  $T(f_n)$ ) converges to T(f) for any  $f \in N$  and for any sequence  $\{f_n\} \subset N$  (resp. for any directed set  $\{f_n\} \subset N$ ) with  $f_n \uparrow f$  (resp.  $f_n \uparrow f^{(*)}$ ). An ideal N is said to satisfy the property (A) (resp. (B)) if T satisfies the condition (MA') (resp. (MA)) for any non-negative linear functional T on N.

Let N be an ideal. Then we put

$$K = \{ f \mid f \in C(X), \quad \varphi_{P \subseteq |f|} \leq \text{some } h \in N \},$$
  
$$K^* = K \cap C^*(X),$$

where  $C^*(X)$  is the set of all bounded continuous functions. We denote by  $\varphi_A$  the characteristic function of a set A. We easily see that K and  $K^*$  are ideals and both are contained in N. If X is compact, then we have that  $K=K^*=\{f|f\in C(X), \text{ the carrier of } f \text{ is contained in some}$ compact subset of  $Y=X-Z(N)\}$ .

Let N be an ideal. Then N is called an  $\alpha$ -*ideal* if  $f \in N$  for any  $f \in C(X)$  with  $|f| \wedge n \in N$   $(n=1, 2, 3, \cdots)$ . If X is compact (or pseudo compact), then any ideal is an  $\alpha$ -ideal, and if X is locally compact, the set of all continuous functions whose carriers are compact on X is an  $\alpha$ -ideal. If N is an  $\alpha$ -ideal and if  $f \in K$ , then we have that  $K \supset \{g | g \in C(X), P(|g|) \subset P(f)\}$ .

Let X be any space. Then E. Hewitt [10] introduced a Baire measure on  $\mathfrak{P}(X)$ . Let N be an  $\alpha$ -ideal and let T be a non-negative linear functional. Similary, we can introduce a countably additive measure on  $\mathfrak{P}(K)$ as follows.

Let G be any set in  $\mathfrak{P}(K)$ . We define the measure  $\gamma(G)$  as  $\sup T(f)$ ,

<sup>4)</sup> Let A be a directed system. Then  $\{f_{\alpha}\}_{\alpha \in A}$  is said to be a directed set if for any pair  $\alpha_1, \alpha_2$  with  $\alpha_1 \ge \alpha_2, f_{\alpha_1} \ge f_{\alpha_2}$ . " $f_{\alpha} \uparrow f$ " means that  $\lim_{\alpha} f_{\alpha}(x) = f(x)$  for any x. We see that a non-negative linear functional T on N satisfies (MA') (resp. (MA)) if  $T(f_n)$  (resp.  $T(f_{\alpha})$ ) converges to T(f) for any  $f(\geq 0) \in N$  and for any sequence  $\{f_n\} \subset N$  (resp. for any directed set  $\{f_{\alpha}\} \subset N$ ) such that  $f_n \uparrow f$  (resp.  $f_{\alpha} \uparrow f$ ) and  $f_n \geq 0$  for any n (resp.  $f_{\alpha} \geq 0$  for any  $\alpha$ ) (Cf. [14]).

where f runs through the set of all functions in K such that  $0 \leq f \leq \varphi_G$ . By the similar method as Hewitt [10], we have

- (1) a) G ⊂ H implies that γ(G) ≤ γ(H),
  b) 0 ≤ γ(G) < +∞</li>
  c) γ(0)=0,
  G and H being arbitrary sets in 𝔅(K).
- (2)  $\gamma(G \cup H) \leq \gamma(G) + \gamma(H)$  for any G, H in  $\mathfrak{B}(K)$ .
- (3) If  $G, H \in \mathfrak{P}(K)$  and  $G \cap H = 0$ , then  $\gamma(G \cup H) = \gamma(G) + \gamma(H)$ .
- (4) Let  $G_n$ , G be in  $\mathfrak{P}(K)$  and let  $G \subset \bigcup_{n=1}^{\infty} G_n$ . Then  $\gamma(G) \leq \sum_{n=1}^{\infty} \gamma(G_n)$ . For any subset  $A \subset X$ , we put  $\gamma^*(A) = \inf \{\sum_{n=1}^{\infty} \gamma(G_n), A \subset \bigcup_{n=1}^{\infty} G_n, G_n \in \mathfrak{P}(K)\}$ if this set is non-empty, and  $\gamma^*(A) = +\infty$  otherwise. Then we have
- (5) a)  $0 \leq \gamma^*(A)$  for any  $A \subset X$ , b)  $\gamma^*(A) \leq \gamma^*(B)$  if  $A \subset B$ , c)  $\gamma^*(\bigcup_{n=1}^{\infty} A_n) \leq \sum_{n=1}^{\infty} \gamma^*(A_n)$  for all  $\{A_1, A_2, \dots, A_n, \dots\}$ , d)  $\gamma^*(G) = \gamma(G)$  for any  $G \in \mathfrak{P}(K)$ .
- (6) Every set in  $\mathfrak{P}(K)$  is measurable with respect to the outer measure  $\gamma^*$ .
- (7) The outer measure  $\gamma^*$  is countably additive on the family  $\overline{\mathfrak{P}(K)}$ , where  $\overline{\mathfrak{P}(K)}$  is the smallest family which contains  $\mathfrak{P}(K)$  and closed under the formation of complements and of countable unions.
- (8) For any non-negative function  $f \in K$ , there exists some a > 0 such that  $\gamma[x | x \in X, 0 < f(x) \leq a] = \gamma(P(f))$ .

If X is a locally compact space and if N is the set of all continuous functions on X whose carriers are compact, then we easily see that  $\gamma(G) = \mu(G)$  for any  $G \in \mathfrak{P}(N) = \mathfrak{P}(K)$ , whose  $\mu$  is the measure introduced by Halmos ([8]. p. 247, Theorem 8).

By the similar method as Hewitt, we have that for any  $\alpha$ -ideal N and for any  $f \in K$ ,  $T(f) = \int f(x)d\gamma(x)$ . If T satisfies the condition (MA') and if f is a non-negative function in N, then  $g_n = f - f \wedge n^{-1} \uparrow f$  and  $g_n \in K$ , so  $T(f) = \int f(x)d\gamma(x)$ . Therefore we have

Let N be an  $\alpha$ -ideal. Then a non-negative linear functional T satisfies the condition (MA') if and only if there exists a countably additive measure  $\gamma$  on  $\overline{\mathfrak{P}(K)}$  for which

$$T(f) = \int f(x)d\gamma(x) \quad (f \in N).$$

Let X be a space and let  $\mathfrak{O}(X)$  be the set of all open subsets in X. By a Borel measure, we shall mean a real-valued function  $\gamma$  defined on  $\overline{\mathfrak{O}(X)}$  which is countably additive, where  $\overline{\mathfrak{O}(X)}$  is the smallest family which contains  $\mathfrak{O}(X)$  and closed under the formation of complements and of countable unions.

Let N be a set of continuous functions such that (i) N is a linear lattice, (ii) if  $f \in N$ , then  $1 \wedge f \in N$  and (iii) for any closed subset F and for any point p with  $p \notin F$ , there is an  $f \in N$  such that f(F)=0, f(p)=1 and  $0 \leqslant f(x) \leqslant 1$ . Then Ishii [11] proved the following: Let T be a positive linear functional on N having the condition (MA). Then there is a reducible<sup>5</sup> Borel measure  $\gamma$  on X such that  $T(f) = \int f(x) dr(x) \ (f \in N)$ .

Similarly, we have

Let N be an ideal and let T be a positive linear functional on N having the condition (MA). Then there is a reducible Borel measure  $\gamma$  on Y=X-Z(N) such that

$$T(f) = \int_{Y} f(x) d\gamma(x) \qquad (f \in N) .$$

§ 2. Property (A).

We first prove the following lemmas.

**Lemma 1.** Let N be an  $\alpha$ -ideal and let T be a non-negative functional on N. Then the restriction  $T_0$  on K of T satisfies the condition (MA').

Proof. By §1, there is a measure  $\gamma$  such that for any  $f \in K$   $T_0(f) = T(f) = \int f(x) d\gamma(x)$ , so the lemma is clear.

This lemma can also be proved directly.

**Lemma 2.** If N is an  $\alpha$ -ideal, then it is a ring, i.e. if f,  $g \in N$ , then  $fg \in N$ .

Proof. Let  $f \in N$   $f \ge 0$  and let *m* be a natural number. Then  $mf - (f^2 \wedge m) \ge mf - f(f \wedge m) = f(m - f \wedge m) \ge 0$ , or  $mf \ge f^2 \wedge m$ . Since  $f \in N$  and *N* is an  $\alpha$ -ideal,  $f^2 \in N$ . If  $f, g \in N$ , then  $fg \in N$  since  $(|f| + |g|)^2 \ge 4|fg|$ .

We can prove the following theorem.

**Theorem 1.** Let N be an  $\alpha$ -ideal. Then the following conditions are equivalent :

<sup>5)</sup> A measure  $\gamma$  on X is said to be reducible if there is a closed subsets F in X such that F is measurable and  $\gamma(X-F)=0$ . (Cf. [13]).

- (1) N satisfies the property (A).
- (2) If T is a non-negative functional on N such that  $T(K^*)=0$ , then T is identically zero.
- (3) If T is a non-negative functional on N such that T(K)=0, then T is identically zero.

Proof.  $(1) \rightarrow (2)$ . Suppose that there is a positive functional T on N such that  $T(K^*)=0$  and T(f)=1 for som  $f \in N$ ,  $f \ge 0$ . Put  $f_n=(f-n) \lor (f \land n^{-1})$ . Then  $f_n \downarrow 0$ . We easily see that  $\varphi_{p(f-f_n)} \leqslant nf \in N$  and  $0 \leqslant f - f_n \leqslant n$ , so  $f - f_n \in K^*$  and  $T(f) - T(f_n) = 0$ , or  $T(f_n) = T(f) = 1$  for any n. This shows that (1) does not hold.

 $(2) \rightarrow (3)$ . Clear.

 $(3) \rightarrow (1)$ . Let T be a non-negative linear functional on N. For any  $f \in N$   $f \geq 0$ , we put  $T'(f) = \inf \lim_{n \to \infty} T(f_n)$ , where  $f_n \geq 0$   $(n=1, 2, 3, \cdots)$  and  $f_n \uparrow f$ , and the infinitum is taken for all sequences  $\{f_n\}$  such that  $f_n \uparrow f$ ,  $f_n \geq 0$  and  $f_n \in N$ . Then we have that for any  $f, g \in N$   $f, g \geq 0$ , T'(f+g) = T'(f) + T'(g) and for any real number  $t \geq 0$ , T'(tf) = tT'(f). For any arbitrary function  $f \in N$ , we define  $T'(f) = T'(f^+) - T'(f^-)$ , where  $f^+$  and  $f^-$  denotes  $f \lor 0$  and  $(-f) \lor 0$  respectively. Then T' is a linear functional on N and  $T \geq T'$ . Put T'' = T - T', then T'' is non-negative linear functional on N. But, by Lemma 1, T''(K) = 0 and by (2)  $T'' \equiv 0$ , so T' = T. This shows that N has the property  $(A)^{40}$ .

If N is an ideal which is not an  $\alpha$ -ideal, we can easily see that Theorem 1 does not always hold.

DEFINITION. An ideal  $N(=N_{f_0})$  will be called a *principal ideal* if there exist a non-negative function  $f_0 \in N$  such that  $N = \{g \mid g \in C(X), \mid g \mid \leq \alpha f_0 \}$ for some  $\alpha \geq 0\}$ . An ideal N will be called a 0-*principal* (resp.  $\infty$ -*principal*) if there exists a non-negative s-function (resp. an unbounded function)  $f_0$  such that  $N = \{g \mid g \in C(X), \mid g(x) \mid \leq \alpha f_0(x) \text{ on } U_m \text{ for some } \alpha > 0 \text{ and some natural number } m\}$  (resp.  $N = \{g \mid g \in C(X), \mid g(x) \mid \geq \alpha f_0(x) \}$ on  $V_m$  for some  $\alpha > 0$  and  $m\}$ ), where  $U_m = \{x \mid x \in X, 0 < f(x) < m^{-1}\}$  and  $V_m = \{x \mid x \in X, f(x) > m\}$ . A positive function f is said to be an s-function if it admits any small value, i.e.  $U_m$  is not empty for any m. If X is compact, then any 0-principal ideal is principal, but it is not true in general.

**Theorem 2.** (1) A principal ideal  $N (=N_{f_0})$  fulfills the condition (A) if and only if  $Z(f_0)$  is open,  $Y=X-Z(f_0)$  is pseudo-compact<sup>6)</sup> and  $N= \{f | f \in C(X), f(Z(f_0))=0\}$  (it is lattice-isomorphic to C(Y)).

<sup>6)</sup> A topological space X is said to be pseudo-compact if any continuous function on X is bounded.

(2) Any 0-principal (or  $\infty$ -principal) ideal  $N(=N_{f_0})$  does not fulfill the condition (A).

Proof. (1) Suppose that N fulfills (A). Then we put  $U_n = \{x \mid x \in X, x \in X\}$  $0 \le f_0(x) \le n^{-1}$ . If for any *n*  $U_n$  is not empty, we can select a point  $x_n$  in  $U_n$ . We put  $M = \{g | g \in N, \lim g(x_n)/f_0(x_n) \text{ exists}\}$ . For any  $g \in M$ , we define  $T(g) = \lim_{n \to \infty} g(x_n) / f_0(x_n)$ . Then T is a positive linear functional on *M*. For any  $g \in N_{f_0}$  there exists an m > 0 such that  $|g| \leq m f_0$ . Since  $mf_0 \in M$ , T is extended to a positive linear functional on  $N_{f_0}$  (Cf. [4] p. 20). We denote it again with T. If  $f_n = f_0 \wedge 1/n$ , we have that  $f_n \downarrow 0$ and  $T(f_n) = 1$  for any *n*. Since T satisfies (MA'), it is a contradiction. This fact shows that  $U_m$  is empty for some *m*, or  $f(x) \ge m^{-1}$  for any x with  $f(x) \neq 0$ . Therefore  $Z(f_0)$  is open, so  $Y = X - Z(f_0)$  is open and closed. Let f' be the restriction of f on Y. Then  $N_{f'}(\subset C(Y))$  satisfies the property (A). For any non-negative linear functional  $T^*$  on  $C^*(Y)$ and for any  $h \in N_{f'}$ , we define  $T_1(h) = T^*(h/f')$ . Then  $T^*(g) = T_1(f'g)$  for any  $g \in C^*(Y)$ . We easily see that  $C^*(Y)$  satisfies (A). By Glucksberg [5], Y is pseudo-compact and  $N_{f'} = C^*(Y) = C(Y)$ . The converse is clear by [5].

(2) We define  $U_n$ , M and T as (1). Then T is a positive linear functional on M. For any  $g \in N$ , there are a positive integer m and  $\alpha > 0$  such that  $|g(x)| \leq \alpha f_0(x)$  on  $U_m$ . We put  $h = \alpha f_0 \vee |g|$ . Then  $h \in M$  and  $|g| \leq h$ , so T is extended to a positive functional on N. If  $f_n = f_0 \wedge n^{-1}$ , then  $T(f_n) = 1$  for any n and  $f_n \downarrow 0$ . This is a contradiction.

REMARK. If X is an infinite (completely regular) space, then there is an  $\alpha$ -ideal in C(X) which does not satisfy (A). For, if X is infinite, then there is an s-function  $f \in C(X)$ , so the 0-principal ideal  $N_f$  does not satisfy (A) (Theorem 2. (2)). We easily see that  $N_f$  is an  $\alpha$ -ideal.

DEFINITION. A directed set<sup>4)</sup>  $\{f_{\sigma}\}_{\sigma \in A}$  of positive functions ( $\subset N$ ) is called a *base* of an ideal N if for any  $f \in N$  there is an  $f_{\sigma}$  such that  $|f| \leq m f_{\sigma}$  for some m.

Let f be a positive s-function in C(X) and let g be any function in C(X). Then we define

$$\overline{\lim_{f \to 0}} g/f = \limsup_{n \to \infty} \sup_{U_n} g(x)/f(x) ,$$
$$\underline{\lim_{f \to 0}} g/f = \liminf_{n \to \infty} \inf_{U_n} g(x)/f(x) ,$$

where  $U_n = \{x \mid x \in X, 0 < f(x) < n^{-1}\}$ .

If 
$$\lim_{k\to 0} g/f = \lim_{f\to 0} g/f$$
, we write simply  $\lim_{f\to 0} g/f$  (admits  $+\infty$ ).

**Theorem 3.** Let N be an  $\alpha$ -ideal and let  $\{f_{\mathfrak{o}}\}_{\mathfrak{o}\in A}$  be a base in N. If for any s-function  $f_{\mathfrak{o}}$  there is an  $f_{\mathfrak{b}}$  such that  $\lim_{f_{\mathfrak{o}}\to 0} f_{\mathfrak{b}}/f_{\mathfrak{o}} = \infty$ , then N satisfies the property (A).

Proof. Suppose that N does not satisfy (A). By Theorem 1 there exists a positive functional T such that T(K)=0 and T(f)=1 for some positive function  $f \in N$ . Since  $\{f_{\sigma}\}_{\sigma \in A}$  is a base in N, there is an  $f_{\sigma}$  and a positive constant c such that  $0 \leqslant f \leqslant cf_{\sigma}$ . Now let  $f_{\sigma}$  be an s-function. Then by the hypothesis, there is an  $f_{\beta}$  such that  $\lim_{f_{\sigma} \to 0} f_{\beta}/f_{\sigma} = \infty$ . Therefore, for any positive number M there is an m such that  $f_{\beta}(x) \gg Mf_{\sigma}(x)$  if  $x \in U_m$ . We set  $W_m = \{x \mid x \in X, 0 \le f_{\sigma}(x) < m^{-1}\}$  and  $F = X - W_m$ .

 $Mf_{\omega}(x)$  if  $x \in U_m$ . We set  $W_m = \{x \mid x \in X, 0 \leq f_{\omega}(x) < m^{-1}\}$  and  $F = X - W_m$ . Then if  $x \in W_m$ ,  $f_{\beta}(x) \leq Mf_{\omega}(x)$ . Let h be a function in  $K^*$  such that h(F) = 1. Then we easily see that  $Mf_{\omega}h + f_{\beta} \geq Mf_{\omega}$ , or  $cMf_{\omega}h + cf_{\beta} \geq cMf_{\omega}$  $\geq Mf$ . Since  $f_{\omega}h \in K$ ,  $T(f_{\omega}h) = 0$ , so  $cT(f_{\beta}) \geq M$ . But M is an arbitrary positive number. This is a contradiction.

Next, let  $f_{\sigma}$  be not an *s*-function. Then if  $f_{\sigma}(x) \neq 0$ ,  $f_{\sigma}(x) \geq \delta$  for some positive number  $\delta$ . The set  $P = \{x \mid x \in X, f_{\sigma}(x) > 0\}$  is open and closed and  $N \supset \{f \mid f \in C^*(X), f(Z(f_{\sigma})) = 0\}$ . Since N is an  $\alpha$ -ideal,  $N \supset N_0$  $= \{f \mid f \in C(X), f(Z(f_{\sigma})) = 0\}$  and  $K \supset N_0$ . Since  $T(K) = 0, T(N_0) = 0$ . But  $f \in N_0$  and T(f) = 1. This is a contradiction.

Finally, we characterize ideals which satisfy the property (A) under some conditions. We see that these conditions are necessary as the later example shows.

**Theorem 4.** Let N be an  $\alpha$ -ideal and let it have a base  $\{f_{\alpha}\}$  such that for any s-function  $f_{\alpha}$  and for any  $f_{\beta}$  with  $\beta \ge \text{some } \alpha' \ (\alpha' \text{ depends } on \ \alpha), \lim_{f_{\alpha} \to 0} f_{\beta}/f_{\alpha} \text{ exists (admits} + \infty).$  Then N satisfies the property (A) if and only if N is not 0-principal.

Proof. If N satisfies (A), then by Theorem 2. (2), N is not 0-principal. Conversely, suppose that N is not 0-principal. Then for any  $f_{\alpha}$  which is an s-function, there exists an  $f_{\beta}$  such that  $\lim_{f_{\alpha}^{0} \to} f_{\beta}/f_{\alpha} = \infty$ . For, otherwise, there would exist an s-function  $f_{\alpha}$  such that for any  $f_{\gamma} \in \{f_{\alpha}\}$ .  $\lim_{f_{\alpha} \to 0} f_{\gamma}/f_{\alpha} \leq \text{some } M_{\gamma} < +\infty$ , i.e. if  $x \in U_m$ , then  $f_{\gamma}(x) \leq M'_{\gamma}f_{\alpha}(x)$  for some m and  $M'_{\gamma} > 0$ , so N would be a 0-principal ideal  $N_{f_{\alpha}}$ . This is a contradiction. We can here assume that for any  $\alpha$  the above  $\beta \ge \alpha'$ . Therefore, by the hypothesis, for any s-function  $f_{\alpha}$  there is an  $f_{\beta}$  such that  $\lim_{t \to 0} f_{\beta}/f_{\alpha} = \infty$ . By Theorem 4 N satisfies (A).

Let X be a locally compact space and let N be the set of all continuous functions on X whose carriers are compact. Then  $N^+ = \{f | f \in N, \}$   $f \ge 0$  forms a base which satisfies the hypothesis of Theorem 4. The ordering of the directed system for the base can be defined as follows:  $\alpha > \beta$  if  $f_{\alpha} \ge \varphi_{P(f_{\beta})}$  for any  $f_{\alpha}$ ,  $f_{\beta}$  in  $N^+$ .

EXAMPLE. The hypothesis in Theorem 4 is necessary. The following example shows it. Let X be the closed interval [0, 1] and let N be an ideal having a base  $\{f_n\}$ . For any n we define:  $f_n(x) = x$  if  $x = 2^{-2m}$  or x = 0  $(m = 0, 1, 2, \cdots)$ ,  $f_n(x) = x^{1/n}$  if  $x = 2^{-(2m+1)}$   $(m = 0, 1, 2, \cdots)$  and it is linear on the intervals  $[2^{-(m+1)}, 2^{-m}]$   $(m = 0, 1, 2, \cdots)$ . We see that N is an  $\alpha$ -ideal (since X is compact) and is not 0-principal. But N does not satisfy (A). For, Put  $M = \{f | f \in N, \lim_{n \to \infty} 2^{2n}f(2^{-2n})$  exists}. Define  $T(f) = \lim_{n \to \infty} 2^{2n}f(2^{-2n})$  for any  $f \in M$ . T is extended to a positive linear functional on N (Cf. [4]. p. 20) Set  $g_m = f_1 \wedge m^{-1}$ . Then we have that  $g_m \downarrow 0$  and  $T(g_m) = 1$  for any m, so N does not satisfy (A).

# §3. Ring-ideals.

A subset N in C(X) is called a *ring-ideal*<sup>7</sup> if it satisfies the following conditions:

(i) if  $f, g \in N$ , then  $f + g \in N$ ,

(ii) if  $f \in N$  and if  $h \in C(X)$ , then  $hf \in N$ .

A ring-ideal N is said to be *m*-closed if N is closed in the *m*-topology C(X). Any neighborhood of  $f \in C(X)$  in the *m*-topology is the set  $\{g|g \in C(X), |g-f| < \pi\}$  for some everywhere positive function  $\pi \in C(X)$  according to Hewitt [9]. Shirota [15], and Gillman, Henrikson, and Jerison [7] proved that any *m*-closed ring-ideal is an intersection of some maximal ring-ideals. We shall show that any *m*-closed ring-ideal is an  $\alpha$ -ideal and it satisfies (A) (Cf. Theorem 5).

The following lemma is proved by [16] in the case where X is compact.

**Lemma 3.** Let N be an  $\alpha$ -ideal and let it have the property such that if  $f \in N$  then  $|f|^{1/2} \in N$ . Then N satisfies the property (A).

Proof. Suppose that a positive functional T on N satisfies the property such that T(K)=0 and T(f)=1 for some positive  $f \in N$  (Cf. Theorem 1). We put  $g_n = (nf - f^{1/2}) \vee 0$ . Then  $n^2 f \ge \varphi_{P(g_n)}$  and  $g_n \in K$ .  $0 = T(g_n) \ge T(nf - f^{1/2})$ , or  $T(f^{1/2}) \ge nT(f) = n$  for any n. This contradiction proves the lemma.

We can easily prove the following lemmas.

<sup>7)</sup> We use the word "ring-ideal" to avoid the confusion.

**Lemma 4.** If N is a maximal ideal (=l-ideal), then it satisfies the property (A).

Proof. By Lemma 3, it is sufficient prove that (i) for any positive f in N,  $f^{1/2} \in N$  and (ii) N is an  $\alpha$ -ideal.

(i) Suppose that  $f \in N$  and  $f^{1/2} \notin N$ . Since N is maximal, the set  $\{h \mid h \in C(X), \lambda f^{1/2} + g \ge |h|$  for some positive  $g \in N$  and for some  $\lambda > 0\}$  is identical to C(X). Therefore  $\lambda f^{1/2} + g \ge f^{1/4}$  for some positive  $g \in N$  and for some  $\lambda > 0$ , or  $g \ge f^{1/4} - \lambda f^{1/2} = f^{1/4}(1 - \lambda f^{1/4})$ . For any x in X with  $f(x) \le (2\lambda)^{-4}$ ,  $g(x) \ge 1/2f^{1/4}(x)$ , or  $2g(x) \ge f^{1/4}(x)$ . For any x in X with  $f(x) > (2\lambda)^{-4}$ ,  $(2\lambda)^3 f(x) - f^{1/4}(x) = f^{1/4}(x)((2\lambda)^3 f^{3/4}(x) - 1) \ge 0$ , or  $(2\lambda)^3 f(x) \ge f^{1/4}(x)$ . Therefore  $2g \lor (2\lambda)^3 f \ge f^{1/4}$ , and so  $f^{1/4} \in N$ . By Lemma 2, we have  $f^{1/2} \in N$ . This contradication proves (i).

(ii) Let f be a positive function in C(X) such that for any  $n f \land n \in N$ and  $f \notin N$ . Since N is a maximal ideal, the set  $\{h | \lambda f + g \ge |h| \text{ for some} positive <math>g \in N$  and for some  $\lambda > 0\}$  is identical to C(X). Therefore  $\lambda f + g \ge f^2$  for some positive  $g \in N$  and  $\lambda > 0$ , or  $g \ge f^2 - \lambda f = f(f - \lambda)$ . For  $x \in X$  with  $f(x) \ge 1 + \lambda$ , we have  $g(x) \ge f(x)$ . For  $x \in X$  with  $f(x) < 1 + \lambda$ , we can select a natural number n such that  $n \ge 1 + \lambda$ . If we put  $f_n = f \land n$ , then  $(1 + \lambda)^{1/2} f_n^{1/2}(x) \ge f(x)$ . Therefore  $g \lor (1 + \lambda)^{1/2} f_n^{1/2} \ge f$ . Since  $f_n^{1/2} \in N$  by (i),  $f \in N$ .

Lemma 5. A maximal ring-ideal is a maximal ideal.

Proof. Let M be a maximal ring-ideal. Then we must first prove that it is an ideal. We put  $M_0 = \{f | f \in C(X), |f| \leq \alpha g \text{ for some positive } g \in M \text{ and some } \alpha > 0\}$ . Then  $M_0$  is a proper ring-ideal (for,  $M_0 \not\ge 1$  since  $M \not\ge 1$ ), and  $M \subset M_0$ , so  $M = M_0$ , i.e. M is an ideal. To prove the lemma, it is sufficient to show that if N is a maximal ideal, then it is a proper ring-ideal. We put  $N_0 = \{f | f \in C(X), |f| \leq hg \text{ for some positive } h \in C(X)$ and some  $g \in N\}$ . Then  $N_0$  is an ideal and  $N \subset N_0$ . Therefore it is sufficient to prove that  $N_0$  is proper. Suppose that  $N_0 = C(X)$ . Then there exist  $h \in C(X)$  and  $g \in N$  such that  $hg \ge 1$ , so g is everywhere positive. If we put  $N' = \{fg^{-1}; f \in N\}$ , then N' is a maximal ideal and  $N' \ge 1$ . By the proof of Lemma 4, N' is an  $\alpha$ -ideal, so N' = C(X) and N = C(X).

Now we can prove the following theorem.

**Theorem 5.** Any m-closed ring-ideal is an  $\alpha$ -ideal and it satisfies the property (A).

Proof. Let N be an *m*-closed ring-ideal. Then N is an intersection of some maximal ideals  $M_{\sigma}$  ([15] or [7]). Any  $M_{\sigma}$  is a maximal ideal

(Lemma 5) and by the proof of Lemma 4, any  $M_{\sigma}$  is an  $\alpha$ -ideal and has the property such that for any positive  $f \in M_{\sigma}$ ,  $f^{1/2} \in M_{\sigma}$ . Therefore N is an  $\alpha$ -ideal and has the property such that for any positive  $f \in N$ ,  $f^{1/2} \in N$ . By Lemma 3, N satisfies (A).

REMARK. If X is a P-space (Cf. Gillman and Henriksen [6]), then any ring-ideal in C(X) satisfies (A) since any ring-ideal is m-closed ([6]. p. 345).

EXAMPLE. An *m*-closed ideal (not a ring-ideal) does not always satisfy the property (A). Such an example is the following: Let X be the semi-line  $[0, \infty)$  and let  $N = \{f | f \in C(X), |f(x)| \leq \alpha x \text{ for some } \alpha > 0 \text{ and}$ for  $x \geq 1$ }. Then we easily see that N is an *m*-closed ideal but it does not satisfy (A) since N is  $\infty$ -principal (Cf. Theorem 2. (2)).

# §4. Property (B)

Let X be a locally compact space and let N be the set of all continuous functions whose whose carriers are compact on X. McShane [14] proved that N has the propety (B). We can regard N as an ideal in  $C(X_0)$ , where  $X_0$  is the one-point compactification of X. We here consider ideals in C(X), where X is a Q-space. Q-spaces are considered in [9]. Any separable metric space or any locally compact Hausdorff space which is sum of countable compact subsets is always a Q-space [9]. We here show that an  $\alpha$ -ideal satisfies the property (B) if it satisfies (A) in the case X is a normal Q-space.

We first prove the following

**Lemma 6.** Let X be a normal Q-space and let F be a closed subset in X. Let Y be the decomposition space<sup>8)</sup> consisting of F and all elements in X-F. Then Y is also a Q-space.

Proof. Let  $\varphi$  be the mapping such that  $\varphi^{-1}(y_0) = F$  and  $\varphi^{-1}(y)$  is a set consisting of only one point for any  $y \in Y$ ,  $y \neq y_0$ . Then  $\varphi$  is continus. Now suppose that Y is not a Q-space. Then there exists a family

<sup>8)</sup> Let X be a topological space and let  $\{F_{\alpha}\}$  be a division by closed sets of X, i.e.  $X = \bigcup F_{\alpha}$ , any  $F_{\alpha}$  is closed and elements of  $\{F_{\alpha}\}$  are mutually disjoint. We can consider new space Y whose points are  $\{F_{\alpha}\}$ . This space is called the decomposition space of X if for any open set  $U \supset F_{\alpha}$ , there exists an open set  $V \supset F_{\alpha}$  such that  $F_{\beta} \supset V \neq 0$  implies that  $F_{\alpha} \subset U$ . For any point  $y_0 = F_{\alpha}$  in the decomposition space Y, any neighborhood of  $y_0$  is the set  $\{y | y = F_{\beta}, F_{\beta} \subset U\}$  for some open set U in X (Cf. [1]). Then there exists a continuous mapping from X onto Y.

 $\mathfrak{F} \subset \mathfrak{Z}(Y)^{\mathfrak{G}}$  such that (i)  $\mathfrak{F}$  is Z-maximal, (ii) any countable family of  $\mathfrak{F}$ has a non-void intersection and (iii)  $\bigcap_{B \in \mathfrak{F}} B = 0$  (Cf. [9]). Let  $\mathfrak{H} = \{A | A\}$  $\in \mathfrak{Z}(X), A \supset \varphi^{-1}B$  for some  $B \in \mathfrak{F}$ . Then we shall first prove that  $\mathfrak{F}$  is Z-maximal. If  $\mathfrak{H}$  is not Z-maximal, then there exists an  $A_0 \notin \mathfrak{H}$   $(A_0 \in \mathfrak{Z}(X))$ such that  $\varphi^{-1}B \cap A_0 \neq 0$  for any  $B \in \mathfrak{F}$ . Since  $A_0 \notin \mathfrak{H}$ ,  $A_0 \not\ni \varphi^{-1}B$  for any  $B \in \mathfrak{F}$ , so  $\varphi A_0 \supset B$  for any  $B \in \mathfrak{F}$ . For, if  $\varphi A_0 \supset B$  for some  $B \in \mathfrak{F}$ , by (iii), there exists a  $B_1 \in \mathfrak{F}$  such that  $\varphi A_0 \supset B_1$  and  $B_1 \not\ni y_0$ . Therefore  $A_0 \supset \varphi^{-1}B_1$ . This is a contradiction, so  $\varphi A_0 \supset B$  for any  $B \in \mathcal{F}$ . Let  $A_0 = Z(f)$   $(f \in C^*(C))$ and let  $V(y_0)$  be a neighborhood of  $y_0$  in Y. Then  $f\varphi^{-1}$  is continuous on  $Y - V(y_0)$ . Let g be an extended continuous function of  $f\varphi^{-1}|(Y - V(y_0))$  on Y (Y is a normal space). Then we have  $Z(g) \subset \varphi A_0 \cup V(y_0)$ . We take a  $B_2 \in \mathfrak{F} B_2 \not\ni y_0$  and  $V(y_0)$  such that  $V(y_0) \cap B_2 = 0$ . To prove that  $Z(g) \notin \mathfrak{F}$ , we suppose that  $Z(g) \in \mathfrak{F}$ . Then  $\varphi A_0 \supset \varphi A_0 \cap B_2 = (\varphi A_0 \cup V(y_0)) \cap B_2 \supset Z(g) \cap B_2$ and  $Z(g) \cap B_2 \in \mathfrak{F}$ , this is a contradiction. Since  $\mathfrak{F}$  is Z-maximal, there exists a  $B \in \mathfrak{F}$  such that  $B \cap Z(g) = 0$ . We can assume that  $B \not\ni y_0$  and  $B \cap V(y_0) = 0. \quad 0 = \varphi^{-1}B \cap \varphi^{-1}(Z(g)) \supset \varphi^{-1}B \cap \varphi^{-1}(\varphi A_0 - V(y_0)) = \varphi^{-1}[B \cap (\varphi A_0 - V(y_0))] = \varphi^{-1}[B \cap (\varphi A_0 - V(y_0))]$  $V(y_0)$ ]= $\varphi^{-1}(B \cap \varphi A_0) = \varphi^{-1}B \cap A_0$ . But  $\varphi^{-1}B \cap A_0 \neq 0$ . This contradication shows that  $\mathfrak{H}$  is Z-maximal. We easily see that any countable family of  $\mathfrak{H}$  has non-empty intersection and  $\bigwedge_{A \in \mathfrak{H}} A = 0$ . Therefore X is not a Q-space. This shows that Y is a Q-space.

By this lemma, we have

**Theorem 6.** Let X be a normal Q-space and let N be an  $\alpha$ -ideal. Then N satisfies the property (B) if and only if N satisfies the property (A).

Proof. It is sufficient to prove that if N satisfies (A), then it satisfies (B). Suppose that N satisfied (A). Let T be a positive liner functional on N and let f be a positive function N. Then we define T'(f) =inf  $\lim_{\alpha} T(f_{\alpha})$ , where any  $f_{\alpha}$  of a directed set  $\{f_{\alpha}\}$  is non-negative and  $f_{\alpha} \uparrow f$ , and the infinimum is taken for all directed sets  $\{f_{\alpha}\}$  such that  $f_{\alpha} \uparrow f$ ,  $f_{\alpha} \ge 0$  and  $f_{\alpha} \in N$ . Then we have that for any  $f, g(\ge 0) \in N$ , T'(f+g) = T'(f) + T'(g) and T'(tf) = tT'(f) for any  $t \ge 0$ . For any  $f \in N$ , we put  $T'(f) = T'(f^+) - T'(f^-)$ . Then T' is linear functional on N. If we put T'' = T - T', then  $T'' \ge 0$ . To prove that T = T', it is sufficient to show that T''(K) = 0 (Theorem 1). Therefore we have only to show that for any positive function  $f \in K$ ,  $T \mid K_f$  satisfies the condition (MA), where  $K_f = \{g \mid g \in K, P(\mid g \mid) \subset P(f)\}$ . Since N is an  $\alpha$ -ideal,  $K_f = \{g \mid g \in C(X), P(\mid g \mid) \subseteq P(f)\}$ . If we put  $F = \overline{P(f)}^{10}$ , we can regard  $K_f$  as the set of

<sup>9)</sup> For any topological space X, we denote by  $\mathfrak{Z}(X)$  the family  $\{Z(f)|f\in C(X)\}$ .

<sup>10)</sup> For any subset A,  $\overline{A}$  denotes the closure of A.

all functions in C(F) vanishing of F-P(f). Therefore to prove the Theorem, we have only to show that if M is the set of all functions in C(F) (F is a Q-space) vanishing on a fixed closed subset A in F, then M satisfies (B).

(i) If A is the empty set, then M = C(F). If T is a positive linear functional on M, then there are a Baire measure  $\gamma$  on F and a compact set  $C \subset F$  with  $T(f) = \int_C f(x) d\gamma^*$   $(f \in M)$  ([10]. Theorem 18). Therefore M fulfills  $(B)^{11}$ .

(ii) Let A be a set consisting of one point and let A = (p). Let T be a positive linear functional. Then T is continuous, i.e.  $||T|| = \sup_{\substack{0 \le f \le 1 \\ f \in M}} T(f) < + \infty$ . We can assume that ||T|| = 1. We put for any  $f \in C(F)$ .  $T^*(f) = -1$ .

 $+\infty$ . We can assume that ||T||=1. We put for any  $f \in C(F)$ ,  $T^*(f) = T(f-f(p))+f(p)$ . We easily see that  $T^*$  is a positive linear functional on C(F). By (i)  $T^*$  satisfies (MA) and so does T.

(iii) If A is an arbitrary closed subset in F, let Y be the decomposition space consisting of A and  $\{x\}_{x\in F-A}$ . Then by Lemma 6 Y is a Q-space. Let  $\varphi$  be the mapping such that  $\varphi^{-1}(y_0) = A$  and  $\varphi^{-1}(y)$  is a set consisting of only one point for any  $y \in Y$ ,  $y \neq y_0$ . For any  $f \in M$  we put  $f'(y) = f(\varphi^{-1}y)$ , then f' is continuous on Y.  $M^* = \{f' | f \in M\}$  is the set of all continuous functions vanishing at  $y_0$ . Let T be a positive linear functional on M and let  $T_1(f') = T(f)$  for any  $f' \in M^*$ . Then  $T_1$  is positive on  $M^*$ . By (ii)  $T_1$  satisfies (MA) and so does T.

Let N be an ideal and let T be a non-negative linear functional on N. T is said to have the *property* (D) if T(f)=T(g) for any  $f, g \in N$  where f=g on some open set  $U \supset Z(N)$ .

In the case where X is compact, we have

**Theorem 7.** Let X be a compact space and let N be an ideal. Then N satisfies the property (B) (or (A)) if and only if any non-negative linear functional on N which has the property (D) is identically zero.

Proof. By Theoreme 1 and 6, we have only to show that T has the property (D) if and only if T(K)=0. Since X is compact, it is clear.

REMARK. Any non-negative linear functional T on N which has the property (D) is of the following form. For any *s*-function  $f \in N$  we put  $M = \{g | g \in N, Z(g) \supset Z(f) \text{ and } \lim_{f \to 0} g/f \text{ exists}\}$ . Then we have that for any  $g \in M$ 

$$T(g) = c \lim_{f \to 0} g/f$$
, where  $c \ge 0$ 

For, if we put  $\lim_{f \to 0} g/f = a$ , then for any  $\varepsilon > 0$  there is an *m* such that

<sup>11)</sup> This fact is pointed out by [11].

 $(a-\varepsilon)f(x) \leq g(x) \leq (a+\varepsilon)f(x)$  if  $f(x) \leq m^{-1}$ .  $(a-\varepsilon)f \wedge g \leq g \leq (a+\varepsilon)f \vee g$ , so  $(a-\varepsilon)T(f) = T((a-\varepsilon)f \wedge g) \leq T(g) \leq T((a+\varepsilon)f \vee g) = (a+\varepsilon)T(f)$ . Since  $\varepsilon$  is an arbitrary positive number,  $T(g) = aT(f) = c \lim_{f \to 0} g/f$ , where c = T(f).

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