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## NON-DEFORMABILITY OF EINSTEIN METRICS

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### Introduction

Let  $M$  be a compact connected  $C^\infty$ -manifold and  $g$  be an Einstein metric on  $M$ . By an Einstein deformation of  $g$  we mean a 1-parameter family  $g(t)$  of Einstein metrics on  $M$  such that  $g(0)=g$  and the volume of  $g(t)$  is constant for  $t$ . If for each Einstein deformation  $g(t)$  of  $g$  there exists a 1-parameter family  $\gamma(t)$  of diffeomorphisms such that  $g(t)=\gamma(t)^*g$  (resp.  $g'(0)=\frac{d}{dt}|_0\gamma(t)^*g$ ) then  $g$  is said to be non-deformable (resp. infinitesimally non-deformable). M. Berger and D. Ebin [1, Lemma 7.4] show that the Einstein structure of the standard sphere is infinitesimally non-deformable, by using the fact that the operator  $L$  associated to the curvature tensor of the standard sphere is positive definite. In this paper, the main theorem (Theorem 3.3) gives a criterion for an Einstein structure to be non-deformable, improving their method of estimating eigenvalues of the operator  $L$ . As an application we see, for example, that the Einstein structure of a compact irreducible locally symmetric space  $M$  of non-compact type with  $\dim M > 2$  is non-deformable. (Corollary 3.5).

To prove the main theorem we have to relate infinitesimal non-deformability to non-deformability. For this purpose we need a smooth slice theorem. The slice theorem (Theorem 2.1) in the  $H^s$ -situation (D. Ebin [5, Theorem 7.1], [6, Theorem 8.20]) being in continuous category, we shall improve this continuous slice theorem to a smooth slice theorem (Theorem 2.2) in the ILH-situation. Owing to this we get a theorem (Theorem 2.11) which relates infinitesimal non-deformability to non-deformability.

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### 1. Preliminaries

First, we introduce notation which will be used throughout this paper. Let  $M$  be an  $n$ -dimensional, connected and compact  $C^\infty$ -manifold without boundary, and we always assume  $n > 2$ . For a riemannian manifold  $(M, g)$ , we

consider the riemannian connection and use the following notation;

- $S^2$ ; the symmetric covariant 2-tensor bundle over  $M$ ,
- $C^\infty(T)$ ; the vector space of all  $C^\infty$ -sections of a tensor bundle  $T$  over  $M$ ,
- $S^2_0$ ; the space of all symmetric covariant 2-tensors whose trace is zero,
- $(\cdot, \cdot)$ ; the inner product in fibers of a tensor bundle defined by the riemannian structure,
- $\langle \cdot, \cdot \rangle$ ; the global inner product for sections of a tensor bundle over  $M$ , i.e.,  $\langle \cdot, \cdot \rangle = \int_M (\cdot, \cdot) v_g$ ,  $v_g$  being the volume element defined by  $g$ ,
- $R$ ; the curvature tensor,
- $\rho$ ; the Ricci tensor,
- $\tau$ ; the scalar curvature,
- $\nabla$ ; the covariant derivation on  $C^\infty(T)$ ,
- $\delta$ ; the formal adjoint of  $\nabla$  with respect to  $\langle \cdot, \cdot \rangle$ ,
- $\delta^*$ ; the formal adjoint of  $\delta$  |  $C^\infty(S^2)$ ,
- $\Delta = \delta\delta$ ; the Laplacian operating on the space  $C^\infty(M)$  of  $C^\infty$ -functions on  $M$ ,
- $\bar{\Delta} = \delta\nabla$ ; the rough Laplacian operating on  $C^\infty(T)$ ,
- Hess =  $\nabla d$ ; the Hessian on  $C^\infty(M)$ .

We shall use the Einstein's convention, although we use  $\sum$  if necessary. We shall apply the following formulae throughout the paper.

$$\begin{aligned}
 R^k_{ijl} \xi^l &= \nabla_i \nabla_j \xi^k - \nabla_j \nabla_i \xi^k, \quad R_{ijkl} = R^m_{ijk} g_{ml}, \\
 \rho_{ij} &= -R^l_{ilj}, \quad \tau = \rho^l_l, \\
 (\delta S)_{j_2 \dots j_s}^{i_1 \dots i_r} &= -\nabla^l S_{lj_2 \dots j_s}^{i_1 \dots i_r}, \quad (\delta^* \xi)_{ij} = \frac{1}{2} (\nabla_i \xi_j + \nabla_j \xi_i), \\
 \Delta f &= -\nabla^l d_l f, \quad (\bar{\Delta} S)_{j_1 \dots j_s}^{i_1 \dots i_r} = -\nabla^l \nabla_l S_{j_1 \dots j_s}^{i_1 \dots i_r}.
 \end{aligned}$$

(For the standard sphere,  $R_{1212} < 0$ ,  $\rho_{11} > 0$  and  $\tau > 0$ , with respect to orthonormal frame.)

Let  $(M, g)$  be an Einstein manifold. If  $\text{tr } h = 0$  then

$$g^{ij} R_i^k{}^j{}^l h_{kl} = -\rho^k{}_k h_{kl} = 0.$$

Hence we can define the operator  $L: S^2_0 \rightarrow S^2_0$  by

$$(Lh)_{ij} = R_i^k{}^j{}^l h_{kl}.$$

Next, we recall the following concepts defined by H. Omori [12, pp. 168–169]. A topological vector space  $E$  is called an *ILH-space*, if  $E$  is an inverse limit of Hilbert spaces  $\{E_i\}_{i=1,2,\dots}$  such that if  $j \geq i$   $E_i \supset E_j$  and the inclusion is a bounded linear operator. We denote  $E = \varprojlim E_i$ .

A topological space  $X$  is called a  *$C^k$ -ILH-manifold modeled on  $E$* , if  $X$  has the following properties C1 and C2.

C1)  $X$  is an inverse limit of  $C^k$ -Hilbert manifolds  $\{X_i\}_{i=1,2,\dots}$  such that

each  $X_i$  is modeled on  $E_i$  and  $X_i \supset X_j$ , if  $j \geq i$ .

2) Let  $x$  be any point of  $X$ . For each  $i$  there are an open neighbourhood  $U_i(x)$  of  $x$  in  $X_i$  and a homeomorphism  $\psi_i$  from  $U_i(x)$  onto an open subset  $V_i$  in  $E_i$  which gives a  $C^k$ -coördinate around  $x$  in  $X_i$  and satisfies  $U_i(x) \supset U_j(x)$  if  $j \geq i$  and  $\psi_{i+1}(y) = \psi_i(y)$  for every  $y \in U_{i+1}(x)$ .

Let  $X$  be a  $C^k$ -ILH-manifold ( $k \geq 1$ ). Let  $TX_i$  be the tangent bundle of  $X_i$ . Then the inverse limit  $TX = \varprojlim TX_i$  is called the *ILH-tangent bundle* of  $X$ .

Let  $X, Y$  be  $C^k$ -ILH-manifolds. A mapping  $\phi: X \rightarrow Y$  is said to be  $C^l$ -*ILH-differentiable* ( $l \leq k$ ), if  $\phi$  is an inverse limit of  $C^l$ -differentiable mappings, that is, for every  $i$ , there are a positive integer  $j(i)$  and a  $C^l$ -mapping  $\phi_i: X_{j(i)} \rightarrow Y_i$  such that  $\phi_i(x) = \phi_{i+1}(x)$  for every  $x \in X_{j(i+1)}$  and  $\phi = \varprojlim \phi_i$ .

If  $X$  is a  $C^k$ -ILH-manifold for all  $k \geq 0$ , we call  $X$  an *ILH-manifold*. For ILH-manifolds  $X, Y$ , if  $\phi$  is  $C^k$ -ILH-differentiable for all  $k \geq 0$ , we say that  $\phi$  is *ILH-differentiable*. We denote by  $T_x X_i$  the tangent space of  $X_i$  at  $x$  and put  $T_x X = \varprojlim T_x X_i$ . Also we denote by

$$T^r \phi_i(x): \prod_{i=1}^r T_x X_{j(i)} \rightarrow T_{\phi_x} Y_i$$

the  $r$ -th derivative of  $\phi_i$  at  $x \in X$ . Then, it is easy to check that  $\{T^r \phi_i(x)\}_{i=1,2,\dots}$  has an inverse limit

$$\varprojlim T^r \phi_i(x): \prod_{i=1}^r T_x X \rightarrow T_{\phi_x} Y.$$

We call this inverse limit the  *$r$ -th derivative* of  $\phi$  and denote it by  $T^r \phi(x)$ .

A topological group is called an *ILH-Lie group*, if it is an ILH-manifold and the group operations are ILH-mappings.

We can easily see that the space  $\mathcal{M}$  of all smooth riemannian metrics on  $M$  is an ILH-manifold. (See D. Ebin [5, p.15], [6, Proposition 5.8] and H. Omori [12, p.170].) We know that the group  $\mathcal{D}$  of all diffeomorphisms of  $M$  is an ILH-Lie group, and the natural action  $A: \mathcal{D} \times \mathcal{M} \rightarrow \mathcal{M}$  is ILH-differentiable. (See [12, Lemma 2.5].)

Let  $g \in \mathcal{M}$ . By a deformation of  $g$  we mean a  $C^\infty$ -curve  $g(t): I \rightarrow \mathcal{M}$  such that  $g(0) = g$ , where  $I$  is an open interval containing 0 in  $\mathbf{R}$ . Since  $\mathcal{M}$  is a positive cone in the vector space of all symmetric covariant 2-tensors on  $M$ , we may identify the differential  $g'(0)$  of a deformation  $g(t)$  with a symmetric covariant 2-tensor field on  $M$ . We call such a tensor field an *infinitesimal deformation*, or simply an  *$i$ -deformation*.

When we consider a deformation  $g(t)$  of  $g$ , the covariant derivation, the curvature tensor or the Ricci tensor with respect to each  $g(t)$  will be denoted by  $\nabla_t, R(t)$  or  $\rho_{g(t)}$ . Also, we always raise or lower indices of tensors with respect to  $g(t)$ , and we denote by ' the differentiation with respect to  $t$ . It is clear that the differential at  $t=0$  of the tensors  $R, \rho, \tau$  etc. depend only on the  $i$ -deformation that  $g(t)$  defines.

## 2. Deformations and infinitesimal deformations

Let  $M$  be a compact connected  $C^\infty$ -manifold. We denote by  $\mathcal{M}^s$  the space of all  $H^s$ -metrics on  $M$  and by  $\mathcal{D}^s$  the space of all  $H^s$ -diffeomorphisms of  $M$ , where  $H^s$  means an object which has partial derivatives defined almost everywhere up to order  $s$  and such that each partial derivative is square integrable. We know that the space  $\mathcal{M}^s$  and the space  $\mathcal{D}^s$  are Hilbert manifolds if  $s$  is sufficiently large. Moreover, the usual action  $A: \mathcal{D} \times \mathcal{M} \rightarrow \mathcal{M}$  extends to a continuous mapping  $A^s: \mathcal{D}^{s+1} \times \mathcal{M}^s \rightarrow \mathcal{M}^s$ . (See D. Ebin [5,p.18], [6, Proposition 4.24].)

D. Ebin gave the following

**Theorem 2.1** (D. Ebin [5, Theorem 7.1], [6, Theorem 8.20]). *For each  $g \in \mathcal{M}$ , there is a submanifold  $S_g^s$  of  $\mathcal{M}^s$  with the following properties.*

(1) *If  $\gamma \in I_g$ , then  $\gamma^*(S_g^s) = S_g^s$ .*

(2) *Let  $\gamma \in \mathcal{D}^{s+1}$ . If  $\gamma^*(S_g^s) \cap S_g^s \neq \emptyset$ , then  $\gamma \in I_g$ .*

(3) *There are a neighbourhood  $U^{s+1}$  of the coset  $I_g$  in the right coset space  $\mathcal{D}^{s+1}/I_g$  and a local cross section  $\mathcal{X}^{s+1}: \mathcal{D}^{s+1}/I_g \rightarrow \mathcal{D}^{s+1}$  defined on  $U^{s+1}$  such that if the mapping  $F^s: U^{s+1} \times S_g^s \rightarrow \mathcal{M}^s$  is defined by  $F^s(u,s) = \mathcal{X}^{s+1}(u)^*s$ , then  $F^s$  is a homeomorphism onto a neighbourhood of  $g$ .*

Outline of the proof. Canonically we can construct a riemannian metric on  $\mathcal{M}^s$  which is invariant under the action  $A$  of  $\mathcal{D}$ . At any point  $g \in \mathcal{M}^s$ ,  $\psi_g^s: \mathcal{D}^{s+1} \rightarrow \mathcal{M}^s$  is defined by  $\psi_g^s(\eta) = A^s(\eta, g)$  for  $\eta \in \mathcal{D}^{s+1}$ . If  $g \in \mathcal{M}$ ,  $\psi_g^s$  is smooth. Also for  $\eta \in \mathcal{D}^{s+1}$ , we identify the tangent spaces  $T_\eta(\mathcal{D}^{s+1})$  or  $T_{\psi_g^s(\eta)}(\mathcal{M}^s)$  with the space of  $H^s$ -sections of some vector bundle over  $M$ . Then,  $T_\eta \psi_g^s$  becomes a first order linear differential operator. It turns out that this operator has an injective symbol, and so its range is closed in  $T_{\psi_g^s(\eta)}(\mathcal{M}^s)$ .

The right coset space  $\mathcal{D}^{s+1}/I_g$  has an induced manifold structure and admits a smooth local cross section  $\mathcal{X}^{s+1}: U^{s+1} \rightarrow \mathcal{D}^{s+1}$ .  $\psi_g^s$  induces a mapping  $\phi_g^s: \mathcal{D}^{s+1}/I_g \rightarrow \mathcal{M}^s$ .  $\phi_g^s$  is an injective immersion and we see directly that it is a diffeomorphism onto the closed orbit  $O_g^s$ .

Using the riemannian metric on  $\mathcal{M}^s$ , we obtain a smooth normal bundle  $\pi^s: \nu^s \rightarrow O_g^s$ . Moreover, the exponential mapping  $\exp^s$  on  $\mathcal{M}^s$  is defined on a neighbourhood  $W^s$  of the zero-section of  $\nu^s$  and it is a diffeomorphism. We put  $S_g^s = \exp^s W_g^s$ , where  $W_g^s$  is the fibre on  $g$ .

Also, we know that for any  $\eta \in \mathcal{D}^{s+1}$  a smooth mapping  $\eta^*: \mathcal{M}^s \rightarrow \mathcal{M}^s$  is defined by  $\eta^*(g) = A(\eta, g)$  and  $\eta^*$  is an isometry. Therefore, if  $\exp^s$  is defined for a vector  $V$  in  $T(\mathcal{M}^s)$ ,  $\exp^s$  is defined for  $T\eta^*(V)$  and we have  $\eta^* \exp^s V = \exp^s T\eta^* V$ .

Combining these informations, we can prove the slice theorem in the  $H^s$ -situation. Moreover, if we define the mapping  $F^s: U^{s+1} \times S_g^s \rightarrow \mathcal{M}^s$  by  $F^s(u,s) = A^s(\mathcal{X}^{s+1}(u), s)$ , for  $z \in \exp^s W^s$  we have

$$(F^s)^{-1}(z) = ((\phi_g^s)^{-1} \circ \pi^s \circ (\exp^s)^{-1}(z), A((\mathcal{X}^{s+1} \circ (\phi_g^s)^{-1} \circ \pi^s \circ (\exp^s)^{-1}(z))^{-1}, z)).$$

We shall need the following slice theorem which improve Theorem 2.1 to the  $C^\infty$ -situation.

**Theorem 2.2.** *We denote by  $\mathcal{M}$  the ILH-manifold formed by all riemannian metrics on  $M$ , and by  $\mathcal{D}$  the ILH-Lie group of all diffeomorphisms on  $M$ . The group  $\mathcal{D}$  acts on  $\mathcal{M}$  in a canonical way. For each  $g \in \mathcal{M}$ , there is an ILH-submanifold  $S_g$  of  $\mathcal{M}$  with the following properties. Let  $I_g$  be the group of all isometries of the riemannian manifold  $(M, g)$ .*

(S1) *If  $\gamma$  belongs to  $I_g$ , then  $\gamma^*(S_g) = S_g$ .*

(S2) *Let  $\gamma \in \mathcal{D}$ . If  $\gamma^*(S_g) \cap S_g \neq \emptyset$ , then  $\gamma \in I_g$ .*

(S3) *There are a neighbourhood  $U$  of the point  $I_g$  in the right coset space  $\mathcal{D}/I_g$  and a local cross section  $\mathcal{X}: \mathcal{D}/I_g \rightarrow \mathcal{D}$  defined on  $U$  such that if the mapping  $F: U \times S_g \rightarrow \mathcal{M}$  is defined by  $F(u, s) = \mathcal{X}(u)^*s$ , then  $F$  is an ILH-diffeomorphism onto a neighbourhood of  $g$ .*

We need the following lemmas.

**Lemma 2.3.**  *$\mathcal{D}/I_g$  is an ILH-manifold.*

**Lemma 2.4.** *Put  $U = U^s \cap \mathcal{D}/I_g$ . Then  $\mathcal{X}^s(U)$  is contained in  $\mathcal{D}$  and the mapping  $\mathcal{X} = \mathcal{X}^s|U$  is ILH-differentiable.*

**Lemma 2.5.** *Put  $W = W^s \cap T\mathcal{M}$ . Then  $\exp^s(W)$  is contained in  $\mathcal{M}$  and the mapping  $\exp = \exp^s|W$  is an ILH-diffeomorphism. Hence  $S_g = S_g^s \cap \mathcal{M}$  is an ILH-submanifold of  $\mathcal{M}$ .*

These lemmas will be proved in below.

**Lemma 2.6** [12, Lemma 2.5].  *$A^s(\mathcal{D} \times \mathcal{M})$  is contained in  $\mathcal{M}$  and the mapping  $A = A^s| \mathcal{D} \times \mathcal{M}$  is ILH-differentiable.*

**Lemma 2.7** [12, Lemma 1.14]. *If the mapping  $\tilde{i}: \mathcal{D} \rightarrow \mathcal{D}$  is defined by  $\tilde{i}(\eta) = \eta^{-1}$  for  $\eta \in \mathcal{D}$ , then  $\tilde{i}$  is ILH-differentiable.*

Proof of Theorem 2.2. Combining these lemmas and the proof of Theorem 2.1, the mappings  $F = F^s|U \times S_g$  and  $F^{-1} = (F^s)^{-1}| \exp W$  are compositions of ILH-mappings, and so  $F$  is an ILH-diffeomorphism, which proves Theorem 2.2.

Proof of Lemma 2.3. We know that  $\mathcal{D}^s/I_g$  is a Hilbert manifold. We shall prove that the inclusion  $\tilde{i}^s: \mathcal{D}^{s+1}/I_g \rightarrow \mathcal{D}^s/I_g$  is smooth. By [5, Corollary 5.11] or [6, Corollary 7.16],  $\tilde{i}^s$  is smooth if and only if  $\tilde{i}^s \circ p^{s+1}: \mathcal{D}^{s+1} \rightarrow \mathcal{D}^s/I_g$  is smooth, where  $p^{s+1}: \mathcal{D}^{s+1} \rightarrow \mathcal{D}^{s+1}/I_g$  is the natural projection. We can easily see  $\tilde{i}^s \circ p^{s+1} =$

$p^s \circ i^s$ , where  $i^s: \mathcal{D}^{s+1} \rightarrow \mathcal{D}^s$  is the inclusion. Since  $i^s$  and  $p^s$  are smooth,  $i^s$  is smooth.

Proof of Lemma 2.4. By [5, Proposition 5.10] or [6, Proposition 7.15],  $\mathcal{D}^s/I_g$  admits a smooth local cross section around any coset. We denote by  $\mathcal{X}_x^s$  the local cross section around  $x \in \mathcal{D}^s/I_g$  and put  $\mathcal{X}^s = \mathcal{X}_{I_g}^s$ . Let  $U^s$  be the domain of  $\mathcal{X}^s$  and set  $U^r = U^s \cap \mathcal{D}^r/I_g$  and  $\mathcal{X}^r = \mathcal{X}^s|_{U^r}$  for  $r \geq s$ . If  $u \in U^r$ , there is an element  $a \in \mathcal{D}^r$  such that  $u = I_g a$  and  $\mathcal{X}^r(u) \in I_g a \subset \mathcal{D}^r$ . Hence we have  $\mathcal{X}^r(U^r) \subset \mathcal{D}^r$ . To prove that  $\mathcal{X}^r$  is smooth, we shall show that if we define a mapping  $\nu: (p^s)^{-1}(U^s) \rightarrow I_g$  by  $\nu(\eta) = \eta(\mathcal{X}^s \circ p^s \eta)^{-1}$ , then  $\nu$  is smooth. By [5, Lemma 5.5] or [6, Corollary 7.7], the composition:  $I_g \times \mathcal{D}^s \rightarrow \mathcal{D}^s$  is smooth. Hence, if we define a mapping  $\psi: I_g \times U^s \rightarrow \mathcal{D}^s$  by  $\psi(\xi, x) = \xi \mathcal{X}^s(x)$ , then  $\psi$  is smooth. On the other hand, we have  $\psi^{-1}(\eta) = (\nu(\eta), p^s(\eta))$  and  $p^s$  is smooth. For  $\nu$ , we fix a positive integer  $i$  such that the composition:  $\mathcal{D}^s \times \mathcal{D}^i \rightarrow \mathcal{D}^i$  and the inverse:  $\mathcal{D}^s \rightarrow \mathcal{D}^i$  are  $C^1$ -mappings. ([12, Lemma 1.13 and Lemma 1.14]. Suppose that  $s$  is sufficiently large.) Then, we see directly that  $\nu$  is a  $C^1$ -mapping into  $\mathcal{D}^i$ . But  $I_g$  contains the image of  $\nu$  and  $I_g$  is a submanifold of  $\mathcal{D}^i$  (see [5, Corollary 5.4] or [6, Theorem 7.1]). Hence,  $\nu$  is a  $C^1$ -mapping into  $I_g$ . Therefore, we know that  $\psi$  is smooth and  $\psi^{-1}$  is a  $C^1$ -mapping. By the inverse function theorem,  $\psi^{-1}$  is smooth and so  $\nu$  is smooth.

Now, we shall prove the smoothness of  $\mathcal{X}^r$  around any  $x \in U^r$ . There is a smooth local cross section  $\mathcal{X}_x^r$  on a neighbourhood  $V$  of  $x$ . Therefore the mapping  $\nu \circ$ “inclusion” $\circ \mathcal{X}_x^r: V \rightarrow I_g$  is smooth and we have  $\nu \circ$ “inclusion” $\circ \mathcal{X}_x^r(y) = \mathcal{X}_x^r(y) (\mathcal{X}^s(y))^{-1} = \mathcal{X}_x^r(y) (\mathcal{X}^r(y))^{-1}$ . Since we know that  $\mathcal{X}^r(y) = ((\mathcal{X}_x^r(y)) (\mathcal{X}^r(y))^{-1})^{-1} \mathcal{X}_x^r(y)$  and the inverse:  $I_g \rightarrow I_g$  and the composition:  $I_g \times \mathcal{D}^r \rightarrow \mathcal{D}^r$  are smooth, the mapping  $\mathcal{X}^r: V \rightarrow \mathcal{D}^r$  is smooth.

Proof of Lemma 2.5. Let  $\bar{W}^s$  be an open subset of  $T\mathcal{M}^s$  such that  $W^s = \nu^s \cap \bar{W}^s$ . Set  $\bar{W}^r = \bar{W}^s \cap T\mathcal{M}^r$ ,  $W^r = \bar{W}^s \cap \nu^r$ ,  $\exp^r = \exp^s|_{\bar{W}^r}$  and  $(\exp^{-1})^r = (\exp^s|_{W^s})^{-1}|_{\exp^s(W^s) \cap \mathcal{M}^r}$ . The mappings  $\exp^s: \bar{W}^s \rightarrow \mathcal{M}^s$  and  $(\exp^{-1})^s: \exp^s(W^s) \rightarrow T\mathcal{M}^s$  are smooth and commute with the action of  $\mathcal{D}$ . Hence, by the following Lemma 2.8,  $\exp^r(\bar{W}^r)$  and  $(\exp^{-1})^r(\exp(W^s) \cap \mathcal{M}^r)$  are contained in  $\mathcal{M}^r$  and  $T\mathcal{M}^r$  respectively, and the mappings  $\exp^r: \bar{W}^r \rightarrow \mathcal{M}^r$  and  $(\exp^{-1})^r: \exp^s(W^s) \cap \mathcal{M}^r \rightarrow T\mathcal{M}^r$  are smooth for  $r \geq s$ . But  $W^r$  is a submanifold of  $\bar{W}^r$  and  $(\exp^{-1})^r(\exp^s(W^s) \cap \mathcal{M}^r)$  is contained in  $W^r$ , which implies that  $\exp^r: W^r \rightarrow \exp^s(W^s) \cap \mathcal{M}^r$  is a diffeomorphism. Thus we see that  $\exp$  is an ILH-diffeomorphism onto  $\exp^s(W^s) \cap \mathcal{M}$ .

**Lemma 2.8.** *Let  $E$  and  $F$  be vector bundles over  $M$  associated with the frame bundle (e.g.,  $T, T^*, S^2, T \times T^*$ , the  $k$ -th jet bundle  $J^k(T)$  etc.). Any  $\eta \in \mathcal{D}$  defines a natural linear mapping  $\eta^*: H^0(E) \rightarrow H^0(E)$ . Let  $A$  be an open subset of  $H^s(E)$  and let  $f: A \rightarrow H^s(F)$  be a smooth mapping which commutes with the action of*

④. Put  $A^r = A \cap H^r(E)$  for  $r \geq s$ . Then  $f(A^r)$  is contained in  $H^r(F)$  and  $f|A^r \rightarrow H^r(F)$  is smooth.

Proof of Lemma 2.8. We shall prove that if this lemma holds for  $r=i$ , then the same is true for  $r=i+1$ . The induction will then complete the proof. First, by induction, we shall prove that  $\eta^* \circ T^k f(a) = T^k f(\eta^* a) \circ \eta^*$  for all positive integer  $k$ . If  $\eta^* \circ T^l f(a) = T^l f(\eta^* a) \circ \eta^*$ , then we have

$$\begin{aligned} \eta^* \circ T^{l+1} f(a)(v, v_1, \dots, v_l) &= \eta^* \frac{d}{dt} \Big|_0 T^l f(a+tv)(v_1, \dots, v_l) \\ &= \frac{d}{dt} \Big|_0 T^l f(\eta^* a + t\eta^* v)(\eta^* v_1, \dots, \eta^* v_l) \\ &= T^{l+1} f(\eta^* a)(\eta^* v, \eta^* v_1, \dots, \eta^* v_l). \end{aligned}$$

Let  $V$  be a vector field on  $M$  and let  $\eta_t$  be the 1-parameter subgroup of diffeomorphisms generated by  $V$ . For sufficiently small  $t$ ,  $\eta_t^* a \in A^i$  if  $a \in A^i$ . Hence we get

$$\begin{aligned} \mathcal{L}_v T^k f(a)(v_1, \dots, v_k) &= \frac{d}{dt} \Big|_0 \eta_t^* T^k f(a)(v_1, \dots, v_k) \\ &= \frac{d}{dt} \Big|_0 T^k f(\eta_t^* a)(\eta_t^* v_1, \dots, \eta_t^* v_k) \\ &= T^{k+1} f(a)(\mathcal{L}_v a, v_1, \dots, v_k) + T^k f(a)(\mathcal{L}_v v_1, v_2, \dots, v_k) \\ &\quad + \dots + T^k f(a)(v_1, \dots, v_{k-1}, \mathcal{L}_v v_k). \end{aligned}$$

Next, we shall prove that  $f(A^{i+1}) \subset H^{i+1}(F)$ , and  $f|A^{i+1}: A^{i+1} \rightarrow H^{i+1}(F)$  is continuous and that if  $f|A^{i+1}$  is a  $C^k$ -mapping and  $T^k(f|A^{i+1}) = T^k f|A^{i+1} \times H^{i+1}(E) \times \dots \times H^{i+1}(E)$ , then  $f|A^{i+1}$  is a  $C^{k+1}$ -mapping and  $T^{k+1}(f|A^{i+1}) = T^{k+1} f|A^{i+1} \times H^{i+1}(E) \times \dots \times H^{i+1}(E)$ . Then, by the hypothesis of the induction,  $f|A^{i+1}$  is smooth.

If  $a \in A^{i+1}$ , then  $\mathcal{L}_v a \in H^i(E)$  for all  $V \in C^\infty(T)$ . Hence  $\mathcal{L}_v f(a) = T f(a)$  ( $\mathcal{L}_v a \in H^i(F)$ ), which implies that  $f(A^{i+1}) \subset H^{i+1}(F)$ . If a sequence  $\{a_n\}$  converges to  $a$  in  $A^{i+1}$ , then  $\{\mathcal{L}_v a_n\}$  converges to  $\mathcal{L}_v a$  in  $H^i(E)$  for all  $V \in C^\infty(T)$ . Hence  $\{\mathcal{L}_v f(a_n) = T f(a_n)(\mathcal{L}_v a_n)\}$  converges to  $T f(a)(\mathcal{L}_v a) = \mathcal{L}_v f(a)$  in  $H^i(F)$ , which implies that  $f|A^{i+1}$  is continuous. By the same calculation, we check easily that  $T^j f(A^{i+1} \times H^{i+1}(E) \times \dots \times H^{i+1}(E)) \subset H^{i+1}(F)$  and  $T^j f|A^{i+1} \times H^{i+1}(E) \times \dots \times H^{i+1}(E)$  is continuous. We assume that  $f|A^{i+1}$  is a  $C^k$ -mapping and  $T^k(f|A^{i+1}) = T^k f|A^{i+1} \times H^{i+1}(E) \times \dots \times H^{i+1}(E)$ . Define a mapping

$$v: A^{i+1} \times H^{i+1}(E) \times \underbrace{\{H^{i+1}(E) \times \dots \times H^{i+1}(E)\}}_{k\text{-terms}} \rightarrow H^{i+1}(F)$$

by 
$$v(a, v, v) = T^k(f|A^{i+1})(a+v)(v) - T^k(f|A^{i+1})(a)(v) - T^{k+1} f(a)(v, v).$$



Then, by the assumption,

$$\nu(a, v, v) = T^k f(a+v)(v) - T^k f(a)(v) - T^{k+1} f(a)(v, v)$$

and 
$$\nu(a, tv, v) = T^k f(a+tv, v) - T^k f(a)(v) - tT^{k+1} f(a)(v, v).$$

By differentiation with respect to the  $H^i$ -topology, we get

$$\nu(a, v, v) = \int_0^1 \int_0^t T^{k+2} f(a+uv)(v, v, v) du dt.$$

Since  $|T^{k+2} f|$  is continuous with respect to the  $H^{i+1}$ -topology, we have  $|\nu(a, v, v)|/|v| \leq \max_{|b-a|<\varepsilon} |T^{k+2} f(b)| |v| |v|$ , where  $\varepsilon$  is sufficiently small and  $||$  is the  $H^{i+1}$ -norm. Therefore,  $T^k(f|A^{i+1})$  is differentiable and  $T^{k+1}(f|A^{i+1})$  coincides with the continuous mapping  $T^{k+1} f|A^{i+1} \times H^{i+1}(E) \times \dots \times H^{i+1}(E)$ .

Q.E.D.

A deformation  $g(t)$  contained in a  $\mathcal{D}$ -orbit  $O_g$  of  $g$  is called *trivial*, since each  $(M, g(t))$  is isometric to  $(M, g)$ . On the other hand, a deformation contained in  $S_g$  is said to be *essential with respect to  $g$* . According to M. Berger and D. Ebin [1, §3, (3.1)], we can identify the tangent spaces  $T_g(O_g)$  and  $T_g(S_g)$  at  $g$  with  $\text{Im } \delta^*$  and  $\text{Ker } \delta$ . We call therefore an element of  $\text{Im } \delta^*$  a *trivial  $i$ -deformation* and an element of  $\text{Ker } \delta$  an *essential  $i$ -deformation*.

Let  $g(t)$  and  $\tilde{g}(t)$  be deformations of  $g$ . If there is a 1-parameter family of diffeomorphisms  $\gamma(t)$  satisfying  $g(t) = \gamma(t)^* \tilde{g}(t)$ , then  $g(t)$  is said to be *equivalent to  $\tilde{g}(t)$* . Theorem 2.2 implies that every deformation is equivalent to an essential deformation (by restricting the range of  $t$  to some open interval containing 0).

**Lemma 2.9.** *If  $g'(t)$  is trivial with respect to  $g(t)$  (i.e.,  $g'(t) \in \text{Im } \delta_g^*(t)$ ) for each  $t$ , then  $g(t)$  is a trivial deformation.*

*Proof.* D. Ebin [5, Theorem 8.1 or 6, Proposition 8.30] shows that for given  $g \in \mathcal{M}$  and any neighbourhood  $V$  of the identity in  $\mathcal{D}$ , there is a neighbourhood  $H$  of  $g$  in  $\mathcal{M}$  such that if  $\psi \in H$  there is  $\gamma \in V$  satisfying  $\gamma^{-1} I_\psi \gamma \subset I_g$ . So, we find  $\dim I_{g(t)}$  is upper semi-continuous. Let  $W$  be a connected component of the set of all  $t$  such that  $\dim I_{g(t)}$  is minimum. Then  $W$  is open in  $I$ . Fixing  $t_0 \in W$ , we shall apply Theorem 2.2 for  $g(t_0)$ .

Let  $\tilde{g}(t)$  be a deformation equivalent to  $g(t)$  contained in  $S_{g(t_0)}$ . First we prove  $\tilde{g}'(t_1) = 0$  for all  $t_1$  for which  $\tilde{g}(t_1)$  is defined. If  $\gamma \in I_{\tilde{g}(t_1)}$ , then  $\gamma^* \tilde{g}(t_1) = \tilde{g}(t_1) \in S_{g(t_0)}$  and so  $\gamma \in I_{g(t_0)}$ , because of the property (S2) in Theorem 2.2. This implies  $I_{\tilde{g}(t_1)} \subset I_{g(t_0)}$ . Since  $t_0 \in W$ , it follows that any Killing vector field with respect to  $g(t_0)$  is a Killing vector field with respect to  $\tilde{g}(t_1)$ . Now, because  $\tilde{g}'(t_1)$  is trivial with respect to  $\tilde{g}(t_1)$ , there is  $\xi \in T_{\text{Id}}(\mathcal{D})$  such that  $\tilde{g}'(t_1) = T A_{(\text{Id}, \tilde{g}(t_1))}(\xi, 0)$ , where  $A$  is the map  $\mathcal{D} \times \mathcal{M} \rightarrow \mathcal{M}$  defined by the action of

$\mathcal{D}$  on  $\mathcal{M}$  and  $TA$  is the differential of  $A$ . Denote by  $\pi$  the natural projection from  $\mathcal{D}$  to  $\mathcal{D}/I_{g(t_0)}$  and let  $\chi$  be as in Theorem 2.2. Put  $\tilde{\xi}=T\chi\circ T\pi(\xi)$ . Then  $\xi-\tilde{\xi}$  is a Killing vector field with respect to  $g(t_0)$ , and so with respect to  $\tilde{g}(t_1)$  also. Therefore  $TA_{(Id, \tilde{g}(t_1))}(\xi-\tilde{\xi}, 0)=0$ ,  $\tilde{g}(t_1)$  being fixed under the action of  $I_{\tilde{g}(t_1)}$ .

Now, set  $F^{-1}=p\times q$  where  $p: \mathcal{M}\rightarrow \mathcal{D}/I_{g(t_0)}$  and  $q: \mathcal{M}\rightarrow S_{g(t_0)}$ . Since  $\tilde{g}'(t_1)$  is tangent to  $S_{g(t_0)}$ ,  $Tp(\tilde{g}'(t_1))=0$ . On the other hand,

$$\begin{aligned} \tilde{g}'(t_1) &= TA_{(Id, \tilde{g}(t_1))}(\xi, 0) \\ &= TA_{(Id, \tilde{g}(t_1))}(\xi-\tilde{\xi}, 0)+TA_{(Id, \tilde{g}(t_1))}(\tilde{\xi}, 0) \\ &= TA_{(Id, \tilde{g}(t_1))}(T\chi\circ T\pi(\xi), 0) \\ &= TF_{(I_{g(t_0)}, \tilde{g}(t_1))}(T\pi(\xi), 0), \end{aligned}$$

hence  $Tq(\tilde{g}'(t_1))=0$ . But  $Tp\times Tq$  is an isomorphism, and therefore  $\tilde{g}'(t_1)=0$ . We have thus proved that  $\tilde{g}(t)$  is constant on  $W$ , and so  $g(t)$  is trivial on  $W$ . By [5, Proposition 6.13 or 6, Theorem 8.10], a  $\mathcal{D}$ -orbit is closed in  $M$ . Let  $a$  be an end point of  $W$ . Since  $W$  is open,  $a\in W$ . If  $a\in I$ , then  $g(a)\in O_{g(t_0)}$ , and so  $g(a)$  is isometric to  $g(t_0)$ , which contradicts  $a\notin W$ . Hence  $W=I$ . Q.E.D.

Let  $\mathcal{P}$  be a subset of  $\mathcal{M}$  invariant under the action of  $\mathcal{D}$ . For  $g\in \mathcal{P}$ , we denote by  $\mathcal{P}_g$  the vector space which is spanned by all  $i$ -deformations  $g'(0)$  defined by deformations  $g(t)$  contained in  $\mathcal{P}$ .

**DEFINITION 2.10.** If all deformations of  $g$  contained in  $\mathcal{P}$  are trivial then  $g$  is said to be *non-deformable* (in the sense of  $\mathcal{P}$ ). If  $\mathcal{P}_g\subset \text{Im } \delta_g^*$  then  $g$  is said to be *infinitesimally non-deformable* (in the sense of  $\mathcal{P}$ ).

**Theorem 2.11.** *Let  $\mathcal{P}$  be a  $\mathcal{D}$ -invariant subset of  $\mathcal{M}$ . If there is a  $\mathcal{D}$ -invariant open set  $W$  of  $\mathcal{P}$  such that all metrics in  $W$  are infinitesimally non-deformable, then every  $g\in W$  is non-deformable.*

*Proof.* Let  $g(t): I\rightarrow \mathcal{P}$  be any deformation of  $g\in W$  contained in  $\mathcal{P}$ . Let  $J$  be the subset of  $I$  of all  $t$  such that  $g(t)\in W$ , and  $J_1$  be the connected component of  $J$  containing 0. Then  $g(t)$  is infinitesimally non-deformable for each  $t\in J_1$ , and so, by Lemma 2.9,  $g(t)|_{J_1}$  is trivial. If  $J_1$  does not coincide with  $I$ , then there is an end point  $t_0$  of  $J_1$  in  $I$ . Since  $\mathcal{D}$ -orbits in  $\mathcal{M}$  are closed,  $g(t_0)$  is isometric to  $g$ , which contradicts  $g(t_0)\notin W$ . Thus  $J_1=I$ . Q.E.D.

### 3. Einstein deformations

**DEFINITION 3.1** We denote by  $\mathcal{E}$  the space of all Einstein metrics on  $M$  whose volume is some constant  $c$ . A deformation contained in  $\mathcal{E}$  is called an *Einstein deformation*. If all Einstein deformations of  $g\in \mathcal{E}$  are trivial, then  $g$  is said to be *non-deformable*. (cf. Definition 2.10)

**Lemma 3.2.** *Let  $g(t)$  be an Einstein deformation of  $g$ . Then the essential*

component  $h$  of the  $i$ -deformation  $g'(0)$  (i.e.,  $g'(0)=h+\delta^*\xi$  and  $\delta h=0$ ) satisfies the following equalities:

$$\bar{\Delta}h+2Lh=0, \quad \text{tr } h=0,$$

where the operator  $L: S_0^2 \rightarrow S_0^2$  is defined in **1**;  $(Lh)_{ij}=R_i^k{}_j{}^l h_{kl}$ .

Proof. See M. Berger and D. Ebin [1, Lemma 7.1, (7.1)].

**Theorem 3.3.** *Let  $(M,g)$  be a compact Einstein manifold with  $\rho=\varepsilon g$ ,  $\rho$  being the Ricci tensor. Denote by  $\alpha_0$  the minimum eigenvalue on  $M$  of the operator  $L$ . If  $\alpha_0 > \min \left\{ \varepsilon, -\frac{1}{2} \varepsilon \right\}$ , then  $(M,g)$  is non-deformable.*

Proof. Owing to Theorem 2.11 and Lemma 3.2, it is sufficient to prove that if  $h$  is an  $i$ -deformation of  $g$  such that  $\delta h=0$ ,  $\bar{\Delta}h+2Lh=0$  and  $\text{tr } h=0$  then  $h=0$ . First we define the operators  $\mathcal{S}\nabla: C^\infty(S^2) \rightarrow C^\infty(T_3^0)$  and  $S\nabla: C^\infty(S^2) \rightarrow C^\infty(T_3^0)$  by

$$\begin{aligned} (\mathcal{S}\nabla h)(X,Y,Z) &= \alpha(\nabla_X h)(Y,Z) + \beta(\nabla_Y h)(Z,X) + \gamma(\nabla_Z h)(X,Y) \\ (S\nabla h)(X,Y,Z) &= (\nabla_Y h)(Z,X) \end{aligned}$$

where,  $\alpha, \beta, \gamma \in \mathbf{R}$ ,  $\alpha^2 + \beta^2 + \gamma^2 = 1$ . Set  $u = \alpha\beta + \beta\gamma + \gamma\alpha$ . Then the minimum and the maximum of  $u$  are  $-\frac{1}{2}$  and 1 respectively. By simple computations, we have

$$\begin{aligned} \langle \mathcal{S}\nabla h, \mathcal{S}\nabla h \rangle &= \langle \nabla h, \nabla h \rangle + 2u \langle S\nabla h, \nabla h \rangle \\ &= \langle \bar{\Delta}h, h \rangle + 2u \langle \delta S\nabla h, h \rangle. \end{aligned}$$

Now,

$$\begin{aligned} (\delta S\nabla h)_{ij} &= -\nabla^k (S\nabla h)_{kij} = -\nabla^k \nabla_i h_{jk} \\ &= g^{km} R_{mij}^l h_{lk} + g^{km} R_{mik}^l h_{jl} - \nabla_i \nabla^k h_{jk} \\ &= -(Lh)_{ij} - \rho_i^l h_{jl} + (\nabla \delta h)_{ij}. \end{aligned}$$

Therefore, we get

$$\langle \bar{\Delta}h - 2uLh - 2u\varepsilon h + 2u\nabla \delta h, h \rangle \geq 0.$$

Here, we set  $\delta h=0$  and  $\bar{\Delta}h=-2Lh$ . Then

$$u\varepsilon \langle h, h \rangle \leq -(1+u) \langle Lh, h \rangle.$$

Thus, if  $h \neq 0$  then we have  $\alpha_0 \leq \varepsilon$  and  $\alpha_0 \leq -\frac{1}{2} \varepsilon$ , by setting  $u = -\frac{1}{2}, 1$ , respectively. Q.E.D.

Let  $N$  be a riemannian manifold and  $O_p = X_i$  be an orthonormal frame at  $p \in N$ . Then  $\sigma_{ij} = -R_{ijij}$  is the sectional curvautre if  $i \neq j$ , and is zero if  $i=j$ . We count the number of  $j$  such that  $\sigma_{i_0j} = 0$  for an index  $i_0$ , and call the maximum of such numbers the *flat dimension*  $\text{fd}(N)$  of  $N$  when  $p, O_p, i_0$  run over respective sets. For example, if  $N$  has negative curvature, then  $\text{fd}(N)=1$ .

**Proposition 3.4.** *If an Einstein manifold  $(M, g)$  has non-positive sectional curvature, and if its universal riemannian covering  $(\tilde{M}, \tilde{g})$  is the product of the riemannian manifolds  $\tilde{M}_a (1 \leq a \leq k)$  satisfying  $2\text{fd}(\tilde{M}_a) < \dim \tilde{M}_a$ , then  $g$  is non-deformable. Especially, an Einstein manifold  $(M, g)$  is non-deformable, if all irreducible component of  $(\tilde{M}, \tilde{g})$  have negative sectional curvature and are of dimension  $> 2$ .*

Proof. (I) First, we consider the case that  $\tilde{M}$  itself is such that  $2\text{fd}(\tilde{M}) < \dim \tilde{M}$ . Put  $r = \text{fd}(\tilde{M})$ . Fix a point  $m$  in  $\tilde{M}$  and let  $Lh = \alpha h$  for a non-zero symmetric bilinear form  $h$  whose trace is zero. Using an orthonormal frame  $\{X_i\}$  at  $m$ , we diagonalize  $h$  with respect to  $\tilde{g}$ , and set  $h^i = x^i$ . Then,  $\sum x^i = 0$  and

$$R_{i,jk} h^i h^k h^j = \sum_{i,j} R_{i,jj} x^i x^j = -\sum_{i,j} \sigma_{ij} x^i x^j.$$

Now, let  $(y_i)$  be an eigenvector of the matrix  $(\sigma_{ij})$  belonging to an eigenvalue  $\lambda$ . By changing order of coordinates if necessary, we can assume that  $y_r = \max_j |y_j|$  and  $\sigma_{ri} < 0$  for all  $i > r$ . Then,

$$-\lambda y_r = -\sum_i \sigma_{ir} y_i \geq \sum_i \sigma_{ir} y_r = \varepsilon y_r.$$

So  $-\lambda \geq \varepsilon$  and, if the equality holds, then we have  $y_i = -y_r$  for all  $i > r$ , which implies

$$\sum_i y_i = \sum_{i \leq r} y_i + \sum_{i > r} y_i \leq -(n-r)y_r + r y_r = -(n-2r)y_r < 0.$$

Therefore, for  $(x_i)$  such that  $\sum_i x^i = 0$ , we have

$$-\sum_{i,j} \sigma_{ij} x^i x^j > \varepsilon \sum_i (x^i)^2.$$

Hence,  $\alpha(h, h) = -\sum_{i,j} \sigma_{ij} x^i x^j > \varepsilon \sum_i (x^i)^2 = \varepsilon(h, h)$ .

Thus we get  $\alpha > \varepsilon$ . Our assertion follows then from Theorem 3.3.

(II) Now we consider the general case. Corresponding to the decomposition  $(\tilde{M}, \tilde{g}) = \prod_a (\tilde{M}_a, \tilde{g}_a)$ , the curvature tensor decomposes. Hence, the Ricci tensor  $\tilde{\rho}$  of  $\tilde{M}$  has the decomposition  $\tilde{\rho} = \sum_a \tilde{\rho}_a$  where  $\tilde{\rho}_a$  is the Ricci tensor of  $\tilde{M}_a$ . Therefore  $\tilde{\rho}_a = \varepsilon \tilde{g}_a$ . Moreover,  $S^2_0(\tilde{M})$  and the operator  $\tilde{L}$  on  $S^2_0(\tilde{M})$  decomposes as follows;

$$S^2_0(\tilde{M}) = (\oplus_a S^2_0(\tilde{M}_a)) \oplus ((\oplus_a \mathbf{R}\tilde{g}_a) \cap S^2_0(\tilde{M})) \oplus \sum_{a \neq b} S^2(\tilde{M}_a, \tilde{M}_b),$$

$$\tilde{L}|_{S^2_0(\tilde{M}_a)} = \tilde{L}_a,$$

$$\tilde{L}|_{(\oplus_a \mathbf{R}\tilde{g}_a) \cap S^2_0(\tilde{M})} = -\varepsilon,$$

$$\tilde{L}|_{S^2(\tilde{M}_a, \tilde{M}_b)} = 0 \text{ for } a \neq b,$$

where  $\tilde{L}_a$  is the operator of  $\tilde{M}_a$  and

$$S^2(\tilde{M}_a, \tilde{M}_b) = \{h \in S^2(\tilde{M}_a \times \tilde{M}_b); h(T\tilde{M}_c, T\tilde{M}_c) = 0 \text{ for } c = a, b\}.$$

Since the curvature of  $(M, g) \leq 0$ ,  $\varepsilon$  is negative. Then, combined with what we have proved in (I), we get  $\alpha_0 > \varepsilon$  and our assertion follows from Theorem 3.3 Q.E.D.

**Corollary 3.5.** *Let  $(M, g)$  be a compact Einstein manifold. If  $M$  is a locally symmetric space of non-compact type, and the dimension of every irreducible component of the universal covering  $(\tilde{M}, \tilde{g})$  of  $(M, g)$  is greater than 2, then  $(M, g)$  is non-deformable.*

*Proof.* Let  $G/K$  be a symmetric space which is the universal covering of  $(M, g)$ . Since the dimension of every irreducible component of  $G/K$  is greater than 2, we may assume that  $G$  has no simple factor of dimension 3. On the other hand A. Weil [13, §10] shows that if  $G$  has no simple factor of dimension 3, then  $\alpha_0 > \varepsilon$ . Thus the proof reduces to Theorem 3.3.

**REMARK 3.6.** Theorem 24.1' in G.D. Mostow [10] implies that if  $(M, g_1)$  and  $(M, g_2)$  are locally symmetric spaces of non-compact type without 2-dimensional factors locally, then  $g_1$  and  $g_2$  are isometric up to normalizing constants. (cf. E. Calabi [3, Theorem 1], A. Weil [13, Theorem 1])

**Corollary 3.7.** *If the sectional curvature of a compact Einstein manifold  $(M, g)$  ranges in the interval  $\left(\frac{n-2}{2n-1}, 1\right]$ , then  $(M, g)$  is non-deformable.*

*Proof.* We easily see that  $\varepsilon = \frac{1}{n} \sum_{i \neq j} \sigma_{ij}$ , hence the condition implies  $\varepsilon > (n-2)(n-1)/(2n-1)$ . By virtue of Theorem 3.3, it is sufficient to prove  $\alpha_0 + \frac{1}{2}\varepsilon > 0$ . In the same way as for the proof I of Proposition 3.4, we may set  $h^{ii} = x^i$  with  $\sum x^i = 0$ . We can assume that there is an integer  $c$  such that  $y^i = x^i \geq 0$  for any  $i \leq c$ , and  $z^i = -x^i > 0$  for any  $i > c$ . Set  $\sum_{i \leq c} y^i = \sum_{i > c} z^i = A$ . Then, since  $\sum x^i = 0$ ,

$$\begin{aligned} (Lh, h) + \frac{1}{2}(\varepsilon h, h) &= -\sum_{i, j} \sigma_{ij} x^i x^j + \frac{1}{2} \varepsilon \sum_i (x^i)^2 + \sum_i x^i \sum_j x^j \\ &= \left(1 + \frac{1}{2} \varepsilon\right) \left\{ \sum_{i \leq c} (y^i)^2 + \sum_{i > c} (z^i)^2 + \sum_{i \neq j, i, j \leq c} (1 - \sigma_{ij}) y^i y^j \right. \\ &\quad \left. + \sum_{i \neq j, i, j > c} (1 - \sigma_{ij}) z^i z^j - 2 \sum_{i \leq c, j > c} (1 - \sigma_{ij}) y^i z^j \right\} \\ &> \frac{n(n+1)}{2(2n-1)} \left\{ \sum_{i \leq c} (y^i)^2 + \sum_{i > c} (z^i)^2 \right\} - 2 \frac{n+1}{2n-1} A^2 \\ &\geq \frac{n(n+1)}{2(2n-1)} \left( \frac{1}{c} A^2 + \frac{1}{n-c} A^2 \right) - 2 \frac{n+1}{2n-1} A^2 \\ &\geq \frac{n(n+1)}{2(2n-1)} \frac{4}{n} A^2 - 2 \frac{n+1}{2n-1} A^2 = 0. \end{aligned}$$

REMARK 3.8. Y. Muto [11, Theorem] shows that every Einstein metric near a metric with positive constant sectional curvature is of positive constant sectional curvature.

REMARK 3.9. Even if  $(M, g)$  is a non-deformable Einstein metric,  $M$  may have an Einstein metric  $\tilde{g}$  which is not isometric to  $g$ . In fact, G.R. Jensen [8, pp. 612–613] constructs a non-standard Einstein metric  $\tilde{g}$  on  $S^{4p+3}$ . The author does not know whether  $\tilde{g}$  is non-deformable or not.

Finally, by a direct computation, we may apply Theorem 3.3 to the manifold  $M$  whose universal covering  $\tilde{M}$  is an irreducible symmetric space  $G/K$  of compact type.

I. the case where  $\tilde{M}$  is hermitian symmetric

In this case, the eigenvalue of the generalized operator  $\tilde{L}: S^2 \rightarrow S^2$  are calculated by E. Calabi and E. Vesentini [4, p. 502, Table 2] and A. Borel [2, Corollary 4.6, 4.7]. See Table 1. Here we omit 0 and  $-\varepsilon$ , which are always eigenvalues of  $\tilde{L}$ . The eigenspace corresponding to this eigenvalue  $-\varepsilon$  is generated by  $g$ . Hence, this is not an eigenvalue of our operator  $L$  on  $S_0^2$ . We conclude that the following three classes are non-deformable.

AIII  $(p=1), (q=1)$

DIII  $(p \geq 6)$

EVII

Table 1

type	$\dim_{\mathbb{C}} M$	$G/M$	$\alpha\varepsilon^{-1}/\text{multiplicity}$			
AIII	$pq$	$SU(p+q)$ $S(U_p \times U_q)$	$2(p+q)^{-1}$ $2\binom{p+1}{2}\binom{q+1}{2}$	$-2(p+q)^{-1}$ $2\binom{p}{2}\binom{q}{2}$	$-p(p+q)^{-1}$ $q^2-1$	$-q(p+q)^{-1}$ $p^2-1$
DIII	$\binom{p}{2}$	$SO(2p)$ $U(p)$	$(p-1)^{-1}$ $\frac{1}{6}p^2(p^2-1)$	$-2(p-1)^{-1}$ $2\binom{p}{4}$	$-\frac{1}{2}(p-2)(p-1)^{-1}$ $p^2-1$	
CI	$\binom{p+1}{2}$	$Sp(p)$ $U(p)$	$2(p+1)^{-1}$ $2\binom{p+3}{4}$	$-(p+1)^{-1}$ $\frac{1}{6}p^2(p^2-1)$	$-\frac{1}{2}(p+2)(p+1)^{-1}$ $p^2-1$	
BDI	$p$	$SO(p+2)$ $SO(p) \times T^1$	$2p^{-1}$ $(p-1)(p+2)$	$-(p-2)p^{-1}$ 2	$-2p^{-1}$ $\binom{p}{2}$	
EIII	16	$E_6$ $\text{Spin}(10) \cdot T^1$	$\frac{1}{6}$ 252	$-\frac{1}{2}$ 20	$-\frac{1}{3}$ 45	
EVII	27	$E_7$ $E_6 \times T^1$	$\frac{1}{9}$ 702	$-\frac{4}{9}$ 54	$-\frac{1}{3}$ 78	

II) Other cases

By easy but complicated computations we can compute  $\alpha_0$ . Let  $\mathfrak{g}=\mathfrak{k}+\mathfrak{m}$  be the orthogonal decomposition with respect to the Killing form on  $\mathfrak{g}$ , where  $\mathfrak{k}$  is the Lie algebra of  $K$ . Then the tangent space  $T_{eK}(\tilde{M})$  at the identity coset is canonically identified with  $\mathfrak{m}$ , and we know that  $R(X, Y)Z=-[[X, Y], Z]$  for  $X, Y, Z \in \mathfrak{m}$ . (See S. Kobayashi and K. Nomizu [9, p. 231 Theorem 3.2].) We can compute the eigenvalue of the curvature operator  $L$  which is identified with the linear endomorphism on  $S_0^2(\mathfrak{m})$ , and we get Table 2 for the type BDI and CII. Hence the following symmetric spaces are non-deformable, where we assume  $p \geq q$ ;

- BDI  $(p \geq 3, q=1), (q \geq p-1, p+q \geq 7)$
- CII  $(p=q=1), (p \geq 3, q=1)$ .

Table 2

type	n	G/K	(*)	$\alpha \varepsilon^{-1}$
BDI	q	$SO(p+q)$ $SO(p) \times SO(q)$	$p > q = 1$	$(p-1)^{-1}$
			$p \geq q \geq 2$	$\pm 2(p+q-2)^{-1}, (2-p)(p+q-2)^{-1}, (2-q)(p+q-2)^{-1}$
CII	4pq	$Sp(p+q)$ $Sp(p) \times Sp(q)$	$p = q = 1$	$\frac{1}{3}$
			$p > q = 1$	$-(p+2)^{-1}, (p+2)^{-1}$
			$p \geq q > 1$	$\pm(p+q+1)^{-1}, -(p+1)(p+q+1)^{-1},$ $-(q+1)(p+q+1)^{-1}$

(\*) condition

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