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## $\mathbb{Z}_n$ -EQUIVARIANT GOERITZ MATRICES FOR PERIODIC LINKS

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### 1. Introduction

A link  $l^{(n)}$  in  $S^3$  is said to have *period*  $n$  ( $n \geq 2$ ) if there is an  $n$ -periodic homeomorphism  $\phi$  from  $S^3$  onto itself such that  $l^{(n)}$  is invariant under  $\phi$  and the fixed point set  $\tilde{f}$  of the  $\mathbb{Z}_n$ -action induced by  $\phi$  is homeomorphic to a 1-sphere in  $S^3$  disjoint from  $l^{(n)}$ . By the positive solution of the Smith conjecture [10],  $\tilde{f}$  is unknotted and so the homeomorphism  $\phi$  is conjugate to one point compactification of the  $(2\pi/n)$ -rotation about the  $z$ -axis in  $\mathbb{R}^3$ . Hence the quotient map  $\pi: S^3 \rightarrow S^3/\mathbb{Z}_n$  is an  $n$ -fold branched cyclic cover branched along  $\pi(\tilde{f}) = f$ , and  $l = \pi(l^{(n)})$  is also a link in the orbit space  $S^3/\mathbb{Z}_n \cong S^3$ , which is called the *factor link* of  $l^{(n)}$ .

There are several studies about the relationship between polynomial invariants of  $l^{(n)}$  and those of  $l$  [5, 11, 14, 15, 16], and also some numerical invariants [3, 4, 9, 13] (see also references therein). In particular, Gordon-Litherland-Murasugi [4] gave a necessary congruence condition mod 4 on the signature of a knot in  $S^3$  for it to have odd prime power period  $n$ , by using a  $\mathbb{Z}_n$ -invariant Hermitian form.

Now let  $l = k_1 \cup \cdots \cup k_\mu$  be an oriented link in  $S^3$  of  $\mu$  components and let  $f$  be the oriented trivial knot such that  $l \cap f = \emptyset$ . For any integer  $n \geq 2$ , let  $\pi: S^3 \rightarrow S^3$  be the  $n$ -fold branched cyclic cover branched along  $f$ . We denote the preimage  $\pi^{-1}(l)$  and  $\pi^{-1}(k_i)$  by  $l^{(n)}$  and  $k_i^{(n)}$ , respectively. Then  $k_i^{(n)} = k_{i1} \cup \cdots \cup k_{i\nu_i}$  is a link of  $\nu_i$  components, where  $\nu_i$  is the greatest common divisor of  $n$  and  $\lambda_i = Lk(k_i, f)$ , the *linking number* of  $k_i$  and  $f$ . We give an orientation to  $k_i^{(n)}$  inherited from  $k_i$ . Then  $l^{(n)} = k_1^{(n)} \cup \cdots \cup k_\mu^{(n)} = k_{11} \cup \cdots \cup k_{1\nu_1} \cup \cdots \cup k_{\mu 1} \cup \cdots \cup k_{\mu\nu_\mu}$  is an oriented  $n$ -periodic link in  $S^3$  with  $l$  as its factor link. Throughout this paper we call such an oriented link  $l^{(n)}$  the  *$n$ -periodic covering link over  $l_1 = l \cup f$* . Notice that every link in  $S^3$  with cyclic period arises in this manner.

Section 2 of the present paper reviews the definitions of Goeritz matrix for a link and its invariants. In Section 3, we characterize a  $\mathbb{Z}_n$ -equivariant Goeritz matrix for an  $n$ -periodic covering link  $l^{(n)}$  in terms of its factor link  $l \cup f$ . In Section 4, we derive a necessary congruence condition mod 4 on the signature of a link for it to be an  $n$ -periodic covering link over a certain link. In Section 5, we give a congruence mod  $p$  between the reduced Alexander polynomial of an  $n$ -periodic covering link  $l^{(n)}$  with

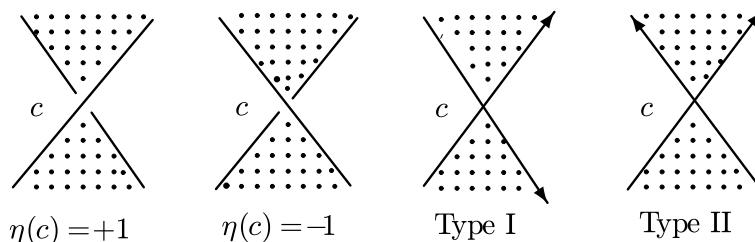


Fig. 1.

odd prime power period  $n = p^r$  ( $r > 0$ ) and that of its factor link  $l$ , which is a natural generalization of Murasugi's congruence on periodic knots [14, Theorem 2]. Using this generalized congruence, we also generalize Theorem 1.1 in Gordon-Litherland-Murasugi [4]. In Section 6, we show that the  $\mathbb{Z}_n$ -equivariant Goeritz matrix gives a more practical way to calculate the signature invariant  $\tau_n(l, \pi)$  [4, 19] of a link  $l$  in the 2-fold branched cyclic cover  $\mathcal{M}$  of  $S^3$  branched along a certain link, where  $\pi: N_n \rightarrow N$  is an  $n$ -fold branched cyclic cover of a 4-manifold  $N$  branched over a surface  $F$  such that  $\partial(N, F) = (\mathcal{M}, l)$ .

## 2. Goeritz matrix

Let  $l$  be an oriented link in  $S^3$  and let  $L$  be its link diagram in the plane  $\mathbb{R}^2 \subset \mathbb{R}^3 \cup \{\infty\}$ . Color the regions of  $\mathbb{R}^2 - L$  alternately black and white. Denote the white regions by  $X_0, X_1, \dots, X_u$ . (We always take the unbounded region to be white and denote it by  $X_0$ .) Let  $C(L)$  denote the set of all crossings of  $L$ . Assign an *incidence number*  $\eta(c) = \pm 1$  to each crossing  $c \in C(L)$  and define a crossing  $c \in C(L)$  to be of *type I* or *type II* as indicated in Fig. 1.

Let  $g_{ij} = -\sum_{c \in C_L(X_i, X_j)} \eta(c)$  for  $i \neq j$  and  $g_{ii} = -\sum_{j \neq i} g_{ij}$ , where  $C_L(X_i, X_j) = \{c \in C(L) \mid c \text{ is incident to both } X_i \text{ and } X_j\}$ . Let  $G'(L) = (g_{ij})_{0 \leq i, j \leq u}$ . The principal minor  $G(L) = (g_{ij})_{1 \leq i, j \leq u}$  of  $G'(L)$  is called the *Goeritz matrix* of  $l$  associated to  $L$  [1, 2]. Let  $L_1$  and  $L_2$  be two diagrams of  $l$ . Then Kyle [8] showed that  $G(L_1)$  and  $G(L_2)$  are *equivalent*, i.e., they can be transformed into each other by a finite number of transformations of the following types and their inverses:

- (I)  $G \rightarrow UGU^t$ , where  $U$  is a unimodular matrix of integers,
- (II)  $G \rightarrow \begin{pmatrix} G & 0 \\ 0 & \pm 1 \end{pmatrix}$ ,
- (III)  $G \rightarrow \begin{pmatrix} G & 0 \\ 0 & 0 \end{pmatrix}$ .

In that paper he also showed that a non-singular matrix  $B$  equivalent to the Goeritz matrix  $G(L)$  associated to any diagram  $L$  of a link  $l$  is a relation matrix for the torsion group of  $H_1(\mathcal{M}_2(l); \mathbb{Z})$  of the 2-fold branched cyclic cover  $\mathcal{M}_2(l)$  of  $S^3$  branched along the link  $l$ , and that  $B^{-1}(\text{mod } 1)$  is the linking matrix of  $\mathcal{M}_2(l)$ .

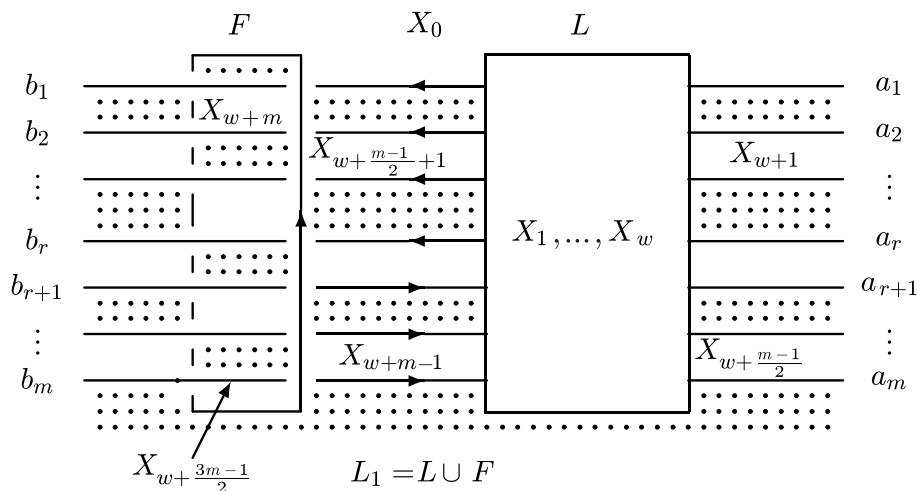


Fig. 2.

On the other hand, let  $S(L)$  denote the compact surface with boundary  $L$ , which is built up out of disks and bands. Each disk lies in  $S^2 = \mathbb{R}^2 \cup \{\infty\}$  and is a closed black region less a small neighborhood of each crossing. Each crossing gives a small half-twisted band. Let  $\beta_0(L)$  denote the number of connected components of the surface  $S(L)$ . Let  $C_{II}(L) = \{c_1, c_2, \dots, c_s\}$  denote the set of all crossings of type II in  $L$  and let  $A(L) = \text{diag}(-\eta(c_1), -\eta(c_2), \dots, -\eta(c_s))$ , an  $s \times s$  diagonal matrix. Then Traldi [18] defined the *modified Goeritz matrix*  $H(L)$  of  $l$  associated to  $L$  by  $H(L) = G(L) \oplus A(L) \oplus B(L)$ , where  $B(L)$  denotes the  $(\beta_0(L) - 1) \times (\beta_0(L) - 1)$  zero matrix, and showed that the signature  $\sigma(l)$  and the Murasugi nullity  $\mathcal{N}(l)$  [12] of an oriented link  $l$  in  $S^3$  are given by the formula:  $\sigma(l) = \sigma(H(L))$  and  $\mathcal{N}(l) = \mathcal{N}(H(L)) + 1$ , where  $\sigma(H(L))$  and  $\mathcal{N}(H(L))$  denote the signature and the nullity of the symmetric matrix  $H(L)$ , respectively.

### 3. $\mathbb{Z}_n$ -equivariant Goeritz matrix

Let  $l_1 = l \cup f$  be an oriented link in  $S^3$  with an unknotted component  $f$  such that  $\lambda = Lk(l, f)$  is an odd integer. Applying an isotopy deformation if necessary, we can choose an oriented link diagram  $L_1 = L \cup F$  in  $\mathbb{R}^2 \subset \mathbb{R}^3 \cup \{\infty\}$  which has the form shown in Fig. 2, in which  $L$  and  $F$  represent the diagrams of  $l$  and  $f$ , respectively, in the link  $l_1$  and  $a_i$  is identified with  $b_i$  for each  $i = 1, 2, \dots, m$ . Note that  $\lambda = Lk(l, f) = 2r - m$  and  $m$  is an odd integer.

Color the regions of  $\mathbb{R}^2 - L_1$  alternately black and white. Without loss of generality we may assume that the surfaces  $S(L_1)$  and  $S(L) = S(L_1 - F)$  are connected and the orientations of  $l$  and  $f$  are as indicated in Fig. 2. (If not, by applying Reidemeister moves to  $L_1$ , deform  $L_1$  to  $L'_1 = L' \cup F$  so that  $L'_1$  is equivalent to the

diagram  $L_1$ , which has the required orientation and  $S(L'_1)$  and  $S(L') = S(L'_1 - F)$  are connected.) We denote the unbounded white region by  $X_0$  and denote the other white regions as follows. Let  $X_1, X_2, \dots, X_w$  denote the white regions of  $L_1$  each of which does not meet the component  $F$ . The white regions of  $L_1$  each of which meets the component  $F$  are denoted by  $X_{w+1}, X_{w+2}, \dots, X_{w+(m-1)/2}, X_{w+(m-1)/2+1}, X_{w+(m-1)/2+2}, \dots, X_{w+m-1}$ , and  $X_{w+m}, X_{w+m+1}, \dots, X_{w+(3m-1)/2}$  as indicated in Fig. 2.

Let  $G(L_1) = (g_{ij})_{1 \leq i, j \leq w+(3m-1)/2}$  be the Goeritz matrix of  $L_1$  associated to  $L_1$  and we denote submatrices of  $G(L_1)$  as follows:  $M = (g_{ij})_{1 \leq i, j \leq w}$ ,  $N_1 = (g_{ij})_{w+1 \leq i, j \leq w+(m-1)/2}$ ,  $N_2 = (g_{ij})_{w+(m-1)/2+1 \leq i, j \leq w+m-1}$ ,  $P = (g_{ij})_{1 \leq i \leq w, w+1 \leq j \leq w+(m-1)/2}$ ,  $Q = (g_{ij})_{1 \leq i \leq w, w+(m-1)/2+1 \leq j \leq w+m-1}$ , and  $R_1 = (g_{ij})_{w+1 \leq i \leq w+(m-1)/2, w+(m-1)/2+1 \leq j \leq w+m-1}$ . In this situation, we obtain the following two lemmas:

**Lemma 3.1** ([9]).

$$VH(L_1)V^t = \begin{pmatrix} M & P-Q \\ P^t-Q^t & N-R \end{pmatrix} \oplus A(L) \oplus Y \begin{pmatrix} I_a & O & O \\ O & -I_b & O \\ O & O & 2 \end{pmatrix} Y^{-1},$$

where  $V$  is a unimodular integral matrix,  $N = N_1 + N_2$ ,  $R = R_1 + R_1^t$ ,  $a-b = -Lk(l, f) - 1$ , and  $Y$  is an invertible rational matrix.

**Lemma 3.2.** *The Goeritz matrix of  $l$  associated to the link diagram  $L (= L_1 - F)$  is equivalent to the matrix:*

$$G(L) = \begin{pmatrix} M & P+Q \\ P^t+Q^t & N+R \end{pmatrix}.$$

*Proof.* Let  $L = L_1 - F$ , the diagram in  $\mathbb{R}^2$  obtained from  $L_1$  in Fig. 2 by deleting the unknotted component  $F$ . Then  $L$  is a diagram of the link  $l$ . The coloring of  $L_1$  then induces a coloring of  $L$  such that the white regions  $X_{w+i}$  and  $X_{w+(m-1)/2+i}$  in  $L_1$  become the same region in  $L$ , denoted by  $X_{w+i}$ , for each  $i = 1, 2, \dots, (m-1)/2$ . Then it is not difficult to see that the matrix  $G(L) = (g_{ij})_{1 \leq i, j \leq w+(m-1)/2}$  is of the required form.  $\square$

**Theorem 3.3.** *Let  $n$  be any integer greater than or equal to 3. Then the Goeritz matrix of the  $n$ -periodic covering link  $l^{(n)}$  over  $l_1 = l \cup f$  is equivalent to the symmetric*

block-wise circulant matrix of the form:

$$G(L^{(n)}) = \begin{pmatrix} M & P & O & Q & O & O & \cdots & O & O & O & O \\ P^t & N & O & R_1 & O & O & \cdots & O & O & Q^t & R_1^t \\ O & O & M & P & O & Q & \cdots & O & O & O & O \\ Q^t & R_1^t & P^t & N & O & R_1 & \cdots & O & O & O & O \\ O & O & O & O & M & P & \cdots & O & O & O & O \\ O & O & Q^t & R_1^t & P^t & N & \cdots & O & O & O & O \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ O & Q & O & O & O & O & \cdots & O & O & M & P \\ O & R_1 & O & O & O & O & \cdots & Q^t & R_1^t & P^t & N \end{pmatrix}$$

Consequently,  $G(L^{(n)})$  is a relation matrix for  $H_1(\mathcal{M}_2(l^{(n)}); \mathbb{Z})$ .

Proof. Let  $L_1 = L \cup F$  be a diagram of  $l_1$  given by Fig. 2. We may assume that  $F$  represents the  $z$ -axis  $\cup \{\infty\}$  and  $L$  lies in an annulus  $A \subset \mathbb{R}^2$ . Let  $\varphi_n: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the  $n$ -fold branched cyclic cover branched at the origin and let  $L^{(n)} = \varphi_n^{-1}(L)$ . Then  $L^{(n)}$  is an  $n$ -periodic diagram in an annulus  $A \subset \mathbb{R}^2$  of the  $n$ -periodic covering link  $l^{(n)}$ . Also the coloring of  $\mathbb{R}^2 - L$  induces a coloring of  $\mathbb{R}^2 - L^{(n)}$ . Let  $\tilde{\varphi}_n: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the  $(2\pi/n)$ -rotation of  $\mathbb{R}^2$  about the origin. We denote the white regions of  $\mathbb{R}^2 - L^{(n)}$  as follows.

For each  $i = 1, 2, \dots, (m-1)/2$ , let  $X_{w+i}^1$  denote the white region in  $\mathbb{R}^2 - L^{(n)}$  which meets the line  $\theta = 0$  in the polar coordinate system of  $\mathbb{R}^2$  such that  $\varphi_n(X_{w+i}^1) = X_{w+i}$ . Now let  $D$  be the closed domain in  $A$  bounded by two half lines  $\theta = 0$  and  $\theta = 2\pi/n$ . Then for the white region  $X_i (i = 1, 2, \dots, w)$  of  $\mathbb{R}^2 - L$ , we denote the white region  $\varphi_n^{-1}(X_i) \cap D$  in  $\mathbb{R}^2 - L^{(n)}$  by  $X_i^1$ . Finally, for each  $p = 2, \dots, n$  and  $i = 1, 2, \dots, w + (m-1)/2$ ,  $X_i^p = \tilde{\varphi}_n^{p-1}(X_i^1)$  and  $X_0^0 = \varphi_n^{-1}(X_0)$ .

For  $p, q = 0, 1, \dots, n$ , let  $G_{pq} = (g_{ij}^{pq})_{1 \leq i, j \leq w+(m-1)/2}$  be the matrix defined as follows. If  $p \neq q$  or  $i \neq j$ , then  $g_{ij}^{pq} = -\sum_{c \in C_{L^{(n)}}(X_i^p, X_j^q)} \eta(c)$ . If  $p = q$  and  $i = j$ , then  $g_{ii}^{pp} = -\sum_{q \neq p \text{ or } j \neq i} g_{ij}^{pq}$ . Then it is not difficult to see that the Goeritz matrix of  $l^{(n)}$  associated to  $L^{(n)}$  is equivalent to the symmetric block matrix given by  $G(L^{(n)}) = (G_{pq})_{1 \leq p, q \leq n}$ , where

$$G_{11} = \begin{pmatrix} M & P \\ P^t & N \end{pmatrix}, G_{12} = \begin{pmatrix} O & Q \\ O & R_1 \end{pmatrix}, G_{1n} = \begin{pmatrix} O & O \\ Q^t & R_1^t \end{pmatrix},$$

$$G_{1q} = \begin{pmatrix} O & O \\ O & O \end{pmatrix} \quad (3 \leq q \leq n-1), \quad G_{pq} = G_{qp}^t, \quad G_{pq} = G_{p+1q+1} \quad (1 \leq p, q \leq n),$$

and  $G_{1n-i} = G_{1i+2}^t (i = 1, \dots, n-2)$ . This completes the proof.  $\square$

#### 4. Signature and nullity

**Lemma 4.1.** *Let  $l_1 = l \cup f$  be an oriented link in  $S^3$  with an unknotted component  $f$  such that  $\lambda = Lk(l, f)$  is an odd integer. For any integer  $n \geq 2$ , let  $l^{(n)}$  be the  $n$ -periodic covering link over  $l_1$ . Let  $\xi = e^{2\pi i/n}$ , where  $i = \sqrt{-1}$ , and define the Hermitian matrix*

$$\Lambda_{L_1}(n; \xi^j) = \begin{pmatrix} M & P + \xi^j Q \\ P^t + \xi^{-j} Q^t & N + \xi^j R_1 + \xi^{-j} R_1^t \end{pmatrix},$$

where  $M$ ,  $N$ ,  $P$ ,  $Q$ , and  $R_1$  are matrices as in Section 3.

(1) *If  $n$  is an odd integer, then*

$$(4.1) \quad \sigma(l^{(n)}) = n\sigma(l) + 2 \sum_{j=1}^{(n-1)/2} \sigma(\Lambda_{L_1}(n; \xi^j) \oplus -G(L)),$$

$$(4.2) \quad \mathcal{N}(l^{(n)}) = \mathcal{N}(l) + 2 \sum_{j=1}^{(n-1)/2} \mathcal{N}(\Lambda_{L_1}(n; \xi^j)).$$

(2) *If  $n$  is an even integer, then*

$$(4.3) \quad \sigma(l^{(n)}) = (n-1)\sigma(l) + \sigma(l \cup f) + Lk(l, f) + 2 \sum_{j=1}^{(n-2)/2} \sigma(\Lambda_{L_1}(n; \xi^j) \oplus -G(L))$$

$$(4.4) \quad \mathcal{N}(l^{(n)}) = \mathcal{N}(l) + \mathcal{N}(l \cup f) + 2 \sum_{j=1}^{(n-2)/2} \mathcal{N}(\Lambda_{L_1}(n; \xi^j)) - 1.$$

**Proof.** Let  $I_n$  denote the  $n \times n$  identity matrix and let  $T$  and  $U$  be the  $n \times n$  matrices:

$$T = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ 1 & 0 & 0 & \cdots & 0 \end{pmatrix}, U = \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \xi & \xi^2 & \cdots & \xi^{n-1} \\ 1 & \xi^2 & \xi^4 & \cdots & \xi^{2(n-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \xi^{n-1} & \xi^{2(n-1)} & \cdots & \xi^{(n-1)^2} \end{pmatrix}.$$

Let  $G(L^{(n)})$  be the Goeritz matrix of  $l^{(n)}$  given by Theorem 3.3. Then  $G(L^{(n)}) = G_{11} \otimes I_n + G_{12} \otimes T + \cdots + G_{1n} \otimes T^{n-1}$  and  $U^{-1}T^jU = \text{diag}(1, \xi^j, \xi^{2j}, \dots, \xi^{(n-1)j})$  ( $j = 1, \dots, n-1$ ).

$2, \dots, n-1$ ). Hence, combining Lemma 3.2, we obtain that

$$\begin{aligned}
 (I_n \otimes U)^{-1} G(L^{(n)}) (I_n \otimes U) &= G_{11} \otimes U^{-1} I_n U + G_{12} \otimes U^{-1} T U + \dots + G_{1n} \otimes U^{-1} T^{n-1} U \\
 &= \text{diag}(G_{11} + G_{12} + \dots + G_{1n}, G_{11} + \xi G_{12} + \dots + \xi^{n-1} G_{1n}, \\
 &\quad \dots, G_{11} + \xi^{n-1} G_{12} + \dots + \xi^{(n-1)^2} G_{1n}) \\
 &= G(L) \oplus \left( \bigoplus_{j=1}^{n-1} \Lambda_{L_1}(n; \xi^j) \right).
 \end{aligned}
 \tag{4.5}$$

It is clear that  $A(L^{(n)}) = \bigoplus_{j=1}^n A(L)$  and  $A(L^{(n)})$  is nonsingular. Since the surface  $S(L^{(n)})$  is connected,  $B(L^{(n)})$  is the empty matrix. It thus follows from (4.5) that  $\mathcal{N}(l^{(n)}) = \mathcal{N}(G(L^{(n)})) + 1 = \mathcal{N}(G(L) \oplus (\bigoplus_{j=1}^{n-1} \Lambda_{L_1}(n; \xi^j))) + 1 = \mathcal{N}(l) + \sum_{j=1}^{n-1} \mathcal{N}(\Lambda_{L_1}(n; \xi^j))$  and

$$\begin{aligned}
 \sigma(l^{(n)}) &= \sigma(H(L^{(n)})) = \sigma(G(L^{(n)}) \oplus A(L^{(n)})) \\
 &= \sigma \left( \bigoplus_{j=1}^n (G(L) \oplus A(L)) \oplus \left( \bigoplus_{j=1}^{n-1} (\Lambda_{L_1}(n; \xi^j) \oplus -G(L)) \right) \right).
 \end{aligned}$$

Therefore we obtain

$$\sigma(l^{(n)}) = n\sigma(l) + \sum_{j=1}^{n-1} \sigma(\Lambda_{L_1}(n; \xi^j) \oplus -G(L)).
 \tag{4.6}$$

Since  $\xi^{n-j} = \bar{\xi}^j$ ,  $\Lambda_{L_1}(n; \xi^j) = \Lambda_{L_1}(n; \bar{\xi}^j)^t$ , i.e.,  $\Lambda_{L_1}(n; \xi^j)$  is a Hermitian matrix, and hence  $\mathcal{N}(\Lambda_{L_1}(n; \xi^j)) = \mathcal{N}(\Lambda_{L_1}(n; \xi^{n-j}))$  and  $\sigma(\Lambda_{L_1}(n; \xi^j)) = \sigma(\Lambda_{L_1}(n; \xi^{n-j}))$ . Thus assertion (1) follows.

If  $n = 2$ , then it follows from [9] that  $\sigma(l^{(2)}) = \sigma(l) + \sigma(l \cup f) + Lk(l, f)$  and  $\mathcal{N}(l^{(2)}) = \mathcal{N}(l) + \mathcal{N}(l \cup f) - 1$ . If  $n$  is an even integer with  $n > 2$ , then, by Lemma 3.1,  $\mathcal{N}(\Lambda_{L_1}(n; \xi^{n/2})) = \mathcal{N}(H(L \cup F)) = \mathcal{N}(l \cup f) - 1$  and  $\sigma(\Lambda_{L_1}(n; \xi^{n/2}) \oplus A(L)) = \sigma(l \cup f) + Lk(l, f)$ . This implies assertion (2).  $\square$

**Theorem 4.2.** *Let  $l_1 = l \cup f$  be an oriented link in  $S^3$  of  $\mu + 1$  components such that  $f$  is unknotted. For any integer  $n \geq 2$ , let  $l^{(n)}$  be the  $n$ -periodic covering link over  $l_1$ . We assume that  $\mathcal{N}(l^{(n)}) = \mathcal{N}(l)$ .*

(1) *If either  $Lk(l, f)$  and  $\mathcal{N}(l)$  are odd or  $Lk(l, f)$  and  $\mathcal{N}(l)$  are even, then*

$$\sigma(l^{(n)}) \equiv \begin{cases} n\sigma(l) & (\text{mod } 4) \text{ if } n \text{ is odd,} \\ (n-1)\sigma(l) + \sigma(l \cup f) + Lk(l, f) & (\text{mod } 4) \text{ if } n \text{ is even.} \end{cases}$$

(2) *If either  $Lk(l, f)$  is odd and  $\mathcal{N}(l)$  is even or  $Lk(l, f)$  is even and  $\mathcal{N}(l)$  is odd,*



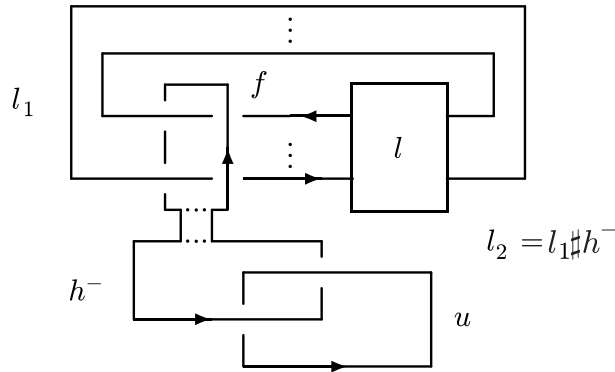


Fig. 3.

then

$$\sigma(l^{(n)}) \equiv \begin{cases} n\sigma(l) + n - 1 & (\text{mod } 4) \text{ if } n \text{ is odd,} \\ (n-1)\sigma(l) + \sigma(l \cup f) + Lk(l, f) + n - 2 & (\text{mod } 4) \text{ if } n \text{ is even.} \end{cases}$$

Proof.

CASE I.  $Lk(l, f) \equiv 1 \pmod{2}$ :

(i) If  $\mathcal{N}(l^{(n)}) = \mathcal{N}(l)$  is odd, then, from (4.2) and (4.4),  $\mathcal{N}(\Lambda_{L_1}(n; \xi^j)) = 0$  for each  $j$ . Notice that  $\mathcal{N}(G(L)) = \mathcal{N}(l) - 1$  is even and  $\Lambda_{L_1}(n; \xi^j) \oplus -G(L)$  is a  $(2w+m-1) \times (2w+m-1)$  square matrix for each  $j$ . Since  $2w+m-1$  is also even,  $\sigma(\Lambda_{L_1}(n; \xi^j) \oplus -G(L))$  must be even. By (4.1) and (4.3), the desired result follows.

(ii) If  $\mathcal{N}(l^{(n)}) = \mathcal{N}(l)$  is even, then, from (4.2) and (4.4),  $\mathcal{N}(\Lambda_{L_1}(n; \xi^j)) = 0$  for each  $j$ . In this case,  $\mathcal{N}(G(L)) = \mathcal{N}(l) - 1$  is odd and so  $\sigma(\Lambda_{L_1}(n; \xi^j) \oplus -G(L))$  must be odd, say  $2k_j + 1$  for some  $k_j \in \mathbb{Z}$ . From (4.1) and (4.3), we obtain that

$$\sigma(l^{(n)}) = \begin{cases} n\sigma(l) + 2 \sum_{j=1}^{(n-1)/2} (2k_j + 1) & \text{if } n \text{ is odd,} \\ (n-1)\sigma(l) + \sigma(l \cup f) + Lk(l, f) + 2 \sum_{j=1}^{(n-2)/2} (2k_j + 1) & \text{if } n \text{ is even.} \end{cases}$$

This implies the desired result.

CASE II.  $Lk(l, f) \equiv 0 \pmod{2}$ : Let  $l_2 = l_1 \# h^-$  denote the connected sum of  $l_1 = l \cup f$  and the left handed Hopf link  $h^-$  as shown in Fig. 3. It is easy to see that  $l_2 = l_1 \# h^- = (l \cup f) \# h^-$  is ambient isotopic to the link  $(l \circ u) \cup f$ . The link  $(l \circ u)^{(n)}$  is also ambient isotopic to the link  $l^{(n)} \circ u$ . Note that  $Lk(l \circ u, f) = Lk(l, f) - 1$  is odd, where  $l \circ u$  denotes the split link consisting of  $l$  and the unknot  $u$  which is one of the components of  $h^-$ . Observe that  $\mathcal{N}(l \circ u) = \mathcal{N}(l) + 1$ ,  $\mathcal{N}((l \circ u)^{(n)}) = \mathcal{N}(l^{(n)} \circ u) = \mathcal{N}(l^{(n)}) + 1$  [12, Lemma 6.4].

If  $\mathcal{N}(l^{(n)}) = \mathcal{N}(l)$  is even, then, from (4.2) and (4.4),  $\mathcal{N}(\Lambda_{L_1}(n; \xi^j)) = 0$  for each  $j$  and  $\mathcal{N}((l \circ u)^{(n)}) = \mathcal{N}(l \circ u)$  is odd. By the argument in (i) above, we have that

$$\sigma((l \circ u)^{(n)}) \equiv$$

$$\begin{cases} n\sigma(l \circ u) & (\text{mod } 4) \text{ if } n \text{ is odd,} \\ (n-1)\sigma(l \circ u) + \sigma((l \circ u) \cup f) + Lk(l \circ u, f) & (\text{mod } 4) \text{ if } n \text{ is even.} \end{cases}$$

Finally, if  $\mathcal{N}(l^{(n)}) = \mathcal{N}(l)$  is odd, then  $\mathcal{N}((l \circ u)^{(n)}) = \mathcal{N}(l \circ u)$  is even. By the argument in (ii) above, we obtain that  $\sigma((l \circ u)^{(n)}) \equiv$

$$\begin{cases} n\sigma(l \circ u) + n - 1 & (\text{mod } 4) \text{ if } n \text{ is odd,} \\ (n-1)\sigma(l \circ u) + \sigma((l \circ u) \cup f) + Lk(l \circ u, f) + n - 2 & (\text{mod } 4) \text{ if } n \text{ is even.} \end{cases}$$

Note that  $\sigma(l \circ u) = \sigma(l)$ ,  $\sigma((l \circ u) \cup f) = \sigma((l \cup f) \# h^-) = \sigma(l \cup f) + \sigma(h^-) = \sigma(l \cup f) + 1$  [12, Lemma 7.2, 7.4] and  $Lk(l \circ u, f) = Lk(l, f) - 1$ . This completes the proof.  $\square$

**Theorem 4.3.** *Let  $l = k_1 \cup \dots \cup k_\mu$  be an oriented link in  $S^3$  of  $\mu$  components and let  $l_1 = l \cup f$  be an oriented link in  $S^3$  of  $\mu + 1$  components such that  $f$  is unknotted. For any integer  $n \geq 2$ , let  $l^{(n)}$  be the  $n$ -periodic covering link over  $l_1$ . Then*

$$\mathcal{N}(l^{(n)}) = \begin{cases} \mathcal{N}(l) + 2\rho_1 & \text{if } n \text{ is odd,} \\ \mathcal{N}(l) + \mathcal{N}(l \cup f) + 2\rho_2 - 1 & \text{if } n \text{ is even,} \end{cases}$$

where  $\rho_1$  and  $\rho_2$  are some integers with the following properties:

$$\begin{aligned} \frac{(1 - \mathcal{N}(l))}{2} \leq \rho_1 &\leq \frac{1}{2} \left( \sum_{i=1}^{\mu} \nu_i - \mathcal{N}(l) \right), \\ 1 - \frac{\mathcal{N}(l) + \mathcal{N}(l \cup f)}{2} \leq \rho_2 &\leq \frac{1}{2} \left( \sum_{i=1}^{\mu} \nu_i - \mathcal{N}(l) - \mathcal{N}(l \cup f) + 1 \right), \end{aligned}$$

where  $\nu_i$  denotes the greatest common divisor of  $n$  and  $Lk(k_i, f)$ .

**Proof.**

**CASE I.**  $Lk(l, f) \equiv 1 \pmod{2}$ . By (4.2) and (4.4), if  $n$  is odd, then  $\mathcal{N}(l^{(n)}) = \mathcal{N}(l) + 2\rho_1$  for some integer  $\rho_1$ , and if  $n$  is even, then  $\mathcal{N}(l^{(n)}) = \mathcal{N}(l) + \mathcal{N}(l \cup f) + 2\rho_2 - 1$  for some integer  $\rho_2$ .

**CASE II.**  $Lk(l, f) \equiv 0 \pmod{2}$ . Let  $l_2 = l_1 \# h^-$  denote the connected sum of  $l_1 = l \cup f$  and the left handed Hopf link  $h^-$  as shown in Fig. 3. As Case I, if  $n$  is odd, then  $\mathcal{N}((l \circ u)^{(n)}) = \mathcal{N}(l \circ u) + 2\rho_1$ , and if  $n$  is even, then  $\mathcal{N}((l \circ u)^{(n)}) = \mathcal{N}(l \circ u) + \mathcal{N}((l \circ u) \cup f) + 2\rho_2 - 1$ . Note that  $\mathcal{N}(l \circ u) = \mathcal{N}(l) + 1$ ,  $\mathcal{N}((l \circ u)^{(n)}) = \mathcal{N}(l^{(n)} \circ u) = \mathcal{N}(l^{(n)}) + 1$ , and  $\mathcal{N}((l \circ u) \cup f) = \mathcal{N}((l \cup f) \# h^-) = \mathcal{N}(l \cup f) + \mathcal{N}(h^-) - 1 = \mathcal{N}(l \cup f)$  [12, Lemmas 6.3, 6.4]. Since the number of components of  $l^{(n)}$  is equal to  $\sum_{i=1}^{\mu} \nu_i$ ,  $1 \leq \mathcal{N}(l^{(n)}) \leq \sum_{i=1}^{\mu} \nu_i$ . So  $1 \leq \mathcal{N}(l) + 2\rho_1 \leq \sum_{i=1}^{\mu} \nu_i$  ( $n$ : odd) and  $1 \leq \mathcal{N}(l) + \mathcal{N}(l \cup f) + 2\rho_2 - 1 \leq \sum_{i=1}^{\mu} \nu_i$  ( $n$ : even). This implies the results.  $\square$

## 5. The reduced Alexander polynomial

Let  $l = k_1 \cup \cdots \cup k_\mu$  be an oriented link in  $S^3$  of  $\mu$  components, let  $E$  be the exterior of  $l$ , and let  $\pi_1(E)$  be the link group of  $l$ . Let  $t_i$  be the homology class in  $H_1(E)$  represented by a meridian of  $k_i$  ( $1 \leq i \leq \mu$ ). Then  $H_1(E)$  is a free abelian group of rank  $\mu$  generated by  $t_1, \dots, t_\mu$ . Let  $\gamma: \pi_1(E) \rightarrow H_1(E)$  be the Hurewicz epimorphism and let  $E_\gamma$  be the universal abelian covering space of  $E$  corresponding to the kernel of  $\gamma$ . Then  $H_1(E)$  acts on  $E_\gamma$  as the covering transformation group and so  $H_1(E_\gamma)$  can be regarded as a module over the integral group ring  $\mathbb{Z}H_1(E)$  of  $H_1(E)$ . By regarding  $H_1(E)$  as the multiplicative free abelian group  $F_\mu$  with basis  $t_1, \dots, t_\mu$ , we can identify  $\mathbb{Z}H_1(E)$  with the Laurent polynomial ring  $\Lambda$  in the variables  $t_1, \dots, t_\mu$ , so that we can regard  $H_1(E_\gamma)$  as a  $\Lambda$ -module. The 0-th characteristic polynomial of  $H_1(E_\gamma)$ , i.e., the greatest common divisor of the elements of the 0-th elementary ideal of  $H_1(E_\gamma)$ , is called the *Alexander polynomial of  $l$  on  $\mu$  variables*, and denoted by  $\Delta_l(t_1, \dots, t_\mu)$ .

Now let  $\nu: H_1(E) \rightarrow F_r$  be an epimorphism from  $H_1(E)$  to the free abelian group  $F_r$  of rank  $r$  with basis  $t_1, \dots, t_r$  and let  $E_\nu$  be the covering space over  $E$  corresponding to the kernel of the composite homomorphism  $\nu\gamma: \pi_1(E) \rightarrow F_r$ . Then  $H_1(E_\nu)$  can be regarded as a  $\mathbb{Z}F_r$ -module. The *reduced Alexander polynomial of  $l$  on  $r$  variables associated to  $\nu$*  is defined to be the 0-th characteristic polynomial of the  $\mathbb{Z}F_r$ -module  $H_1(E_\nu)$  and denoted by  $\tilde{\Delta}_l(t_1, \dots, t_r)$ . If  $l$  is a knot, we have  $\tilde{\Delta}_l(t) \doteq \Delta_l(t)$ . For  $\mu \geq 2$ , the relationship between the Alexander polynomial  $\Delta_l(t_1, \dots, t_\mu)$  and the reduced one  $\tilde{\Delta}_l(t_1, \dots, t_r)$  is as follow[7, Proposition 7.3.10]:

$$(5.1) \quad \begin{cases} \tilde{\Delta}_l(t_1) \doteq (t_1 - 1)\Delta_l(\nu(t_1), \dots, \nu(t_\mu)) & \text{if } r = 1, \\ \tilde{\Delta}_l(t_1, \dots, t_r) \doteq \Delta_l(\nu(t_1), \dots, \nu(t_\mu)) & \text{if } r \geq 2. \end{cases}$$

Now let  $l_1 = k \cup f$  be a two component link in  $S^3$ , where  $f$  is unknotted and  $Lk(k, f) = \lambda$ . In [14, Theorem 2], Murasugi showed that the Alexander polynomial  $\Delta_{k^{(n)}}(t)$  of the  $n$ -periodic covering knot  $k^{(n)}$  over  $l_1 = k \cup f$ , where  $n = p^r$  ( $r \geq 1$ ) and  $p$  is an odd prime with  $(\lambda, p) = 1$ , satisfies the congruence:

$$(5.2) \quad \Delta_{k^{(n)}}(t) \equiv (1 + t + \cdots + t^{\lambda-1})^{n-1} \Delta_k(t)^n \pmod{p}.$$

The following theorem is a natural generalization of Murasugi's congruence (5.2) on periodic knots.

**Theorem 5.1.** *Let  $l$  be an oriented link in  $S^3$  of  $\mu$  components, let  $l_1 = l \cup f$ , where  $f$  is unknotted, and let  $\lambda = Lk(l, f)$ . Let  $l^{(n)}$  be the oriented  $n$ -periodic covering link in  $S^3$  over  $l_1$  of period  $n = p^r$  ( $r \geq 1$ ), where  $p$  is an odd prime. Then the reduced Alexander polynomials  $\tilde{\Delta}_{l^{(n)}}(t)$  and  $\tilde{\Delta}_l(t)$ , where a meridian of each component of  $l^{(n)}$  and  $l$  corresponds to  $t$ , satisfy the congruence:*

$$(5.3) \quad \tilde{\Delta}_{l^{(n)}}(t) \equiv (1 + t + \cdots + t^{\lambda-1})^{n-1} \tilde{\Delta}_l(t)^n \pmod{p}.$$

Proof. Let  $l = k_1 \cup \cdots \cup k_\mu$  be an oriented link in  $S^3$  of  $\mu$  components and let  $l^{(n)} = k_1^{(n)} \cup \cdots \cup k_\mu^{(n)} = k_{11} \cup \cdots \cup k_{1\nu_1} \cup \cdots \cup k_{\mu 1} \cup \cdots \cup k_{\mu\nu_\mu}$  be the oriented  $n$ -periodic covering link in  $S^3$  over  $l_1$ . If  $\mu\nu_\mu = 1$ , then the congruence (5.3) is just the Murasugi's congruence (5.2). Assume that  $\mu\nu_\mu \geq 2$ . Let  $\tilde{\Delta}_{l^{(n)}}(t_1, \dots, t_\mu)$  be the reduced Alexander polynomial of  $n$ -periodic covering link  $l^{(n)}$  such that for  $1 \leq i \leq \mu$ , a meridian of each component of  $k_i^{(n)} = \pi^{-1}(k_i)$  corresponds to  $t_i$ . By [16], the following formula holds:

$$(5.4) \quad \tilde{\Delta}_{l^{(n)}}(t_1, \dots, t_\mu) \doteq \Delta_l(t_1, \dots, t_\mu) \prod_{j=1}^{n-1} \Delta_{l \cup f}(t_1, \dots, t_\mu, \xi^j),$$

where  $\xi$  is a primitive  $n$ -th root of 1. From (5.1),

$$(5.5) \quad \begin{cases} \tilde{\Delta}_{l^{(n)}}(t_1) \doteq (t_1 - 1)\Delta_{l^{(n)}}(t_1, \dots, t_1) & \text{if } \mu = 1 \text{ and } \mu\nu_\mu \geq 2, \\ \tilde{\Delta}_{l^{(n)}}(t_1, \dots, t_\mu) \doteq \Delta_{l^{(n)}}(t_1, \dots, t_1, \dots, t_\mu, \dots, t_\mu) & \text{if } \mu \geq 2. \end{cases}$$

(1) Let  $\mu = 1$  and  $\mu\nu_\mu \geq 2$ , i.e.,  $l_1 = k_1 \cup f$  and  $l^{(n)} = k_{11} \cup \cdots \cup k_{1\nu_1}$ . By Torres condition [17],  $\Delta_{k_1 \cup f}(t_1, 1) = (t_1^\lambda - 1)(t_1 - 1)^{-1} \Delta_{k_1}(t_1)$ . From (5.4) and (5.5), we obtain that

$$(t_1^\lambda - 1)\Delta_{k_1}(t_1)\Delta_{l^{(n)}}(t_1, \dots, t_1) \doteq \Delta_{k_1}(t_1) \prod_{j=0}^{n-1} \Delta_{k_1 \cup f}(t_1, \xi^j).$$

From [14, Proposition 4.2] and the fact that  $\Delta_{k_1}(t) \not\equiv 0 \pmod{p}$ , we have that

$$\Delta_{l^{(n)}}(t_1, \dots, t_1) \equiv (t_1^\lambda - 1)^{n-1} (t_1 - 1)^{-n} \Delta_{k_1}(t_1)^n \pmod{p}.$$

Therefore, by (5.1), we obtain the congruence:

$$\tilde{\Delta}_{l^{(n)}}(t) \equiv (1 + t + \cdots + t^{\lambda-1})^{n-1} \tilde{\Delta}_{k_1}(t)^n \pmod{p}.$$

(2) Let  $\mu \geq 2$  and denote  $\lambda_i = Lk(k_i, f)$  and so  $\lambda = Lk(l, f) = \sum_{i=1}^\mu \lambda_i$ . By Torres condition [17],  $\Delta_{l \cup f}(t_1, \dots, t_\mu, 1) \doteq (t_1^{\lambda_1} \cdots t_\mu^{\lambda_\mu} - 1)\Delta_l(t_1, \dots, t_\mu)$ . From (5.4) and (5.5), we obtain that

$$\begin{aligned} & (t^\lambda - 1)\Delta_l(t, \dots, t)\Delta_{l^{(n)}}(t, \dots, t, \dots, t, \dots, t) \\ & \doteq \Delta_l(t, \dots, t) \prod_{j=0}^{n-1} \Delta_{l \cup f}(t, \dots, t, \xi^j). \end{aligned}$$

From [14, Proposition 4.2], we obtain the congruence:

$$\begin{aligned} & \Delta_l(t, \dots, t)\Delta_{l^{(n)}}(t, \dots, t, \dots, t, \dots, t) \\ & \equiv \Delta_l(t, \dots, t)(t^\lambda - 1)^{n-1} \Delta_l(t, \dots, t)^n \pmod{p}. \end{aligned}$$

By (5.1),

$$\tilde{\Delta}_l(t)\tilde{\Delta}_{l^{(n)}}(t) \equiv \tilde{\Delta}_l(t)(1+t+\cdots+t^{\lambda-1})^{n-1}\tilde{\Delta}_l(t)^n \pmod{p}.$$

Therefore we have that either  $\tilde{\Delta}_l(t) \equiv 0 \pmod{p}$  or

$$\tilde{\Delta}_{l^{(n)}}(t) \equiv (1+t+\cdots+t^{\lambda-1})^{n-1}\tilde{\Delta}_l(t)^n \pmod{p}.$$

If  $\tilde{\Delta}_l(t) \equiv 0 \pmod{p}$ , then by (5.4) it is obvious that  $\tilde{\Delta}_{l^{(n)}}(t) \equiv 0 \pmod{p}$ . This completes the proof.  $\square$

**Theorem 5.2.** *Let  $l$  be an oriented link in  $S^3$  of  $\mu$  components, let  $l_1 = l \cup f$ , where  $f$  is unknotted, and let  $\lambda = Lk(l, f)$ . Let  $l^{(n)}$  be the oriented  $n$ -periodic covering link in  $S^3$  over  $l_1$  of period  $n = p^r$  ( $r \geq 1$ ), where  $p$  is an odd prime. Suppose that the reduced Alexander polynomial  $\tilde{\Delta}_{l^{(n)}}(t)$  of  $l^{(n)}$  satisfies that*

- (i)  $\tilde{\Delta}_{l^{(n)}}(t)$  is not a product of non-trivial link polynomials,
- (ii)  $\tilde{\Delta}_{l^{(n)}}(t) \not\equiv 0, \pm 1 \pmod{p}$ .

Then

$$(1) \quad \tilde{\Delta}_{l^{(n)}}(t) \equiv (1+t+\cdots+t^{\lambda-1})^{n-1} \pmod{p}.$$

$$(2) \quad \text{If } \tilde{\Delta}_{l^{(n)}}(-1) \neq 0, \text{ then}$$

$$\sigma(l^{(n)}) \equiv \begin{cases} 0 & \pmod{4} \text{ if } \lambda \text{ is odd,} \\ n-1 & \pmod{4} \text{ if } \lambda \text{ is even.} \end{cases}$$

Proof. (1) From (5.1), (5.4) and (5.5), we obtain that

$$(5.6) \quad \tilde{\Delta}_{l^{(n)}}(t) \doteq \tilde{\Delta}_l(t) \prod_{j=1}^{n-1} \Delta_{l \cup f}(t, \dots, t, \xi^j).$$

By condition (i), either  $\tilde{\Delta}_{l^{(n)}}(t) \doteq \tilde{\Delta}_l(t)$  or  $\tilde{\Delta}_l(t) \doteq 1$ .

If  $\tilde{\Delta}_{l^{(n)}}(t) \doteq \tilde{\Delta}_l(t)$ , then, by Theorem 5.1,

$$\tilde{\Delta}_l(t) \equiv (1+t+\cdots+t^{\lambda-1})^{n-1}\tilde{\Delta}_l(t)^n \pmod{p}.$$

From condition (ii), we obtain that  $\tilde{\Delta}_l(t) \not\equiv 0 \pmod{p}$ . So

$$1 \equiv (1+t+\cdots+t^{\lambda-1})^{n-1}\tilde{\Delta}_l(t)^{n-1} \pmod{p}.$$

Hence all the polynomials  $1+t+\cdots+t^{\lambda-1}$  and  $\tilde{\Delta}_l(t)$  are congruent to  $\pm 1$  modulo  $p$ . Hence  $\tilde{\Delta}_{l^{(n)}}(t) \equiv \pm 1 \pmod{p}$ . This contradicts to condition (ii). Therefore  $\tilde{\Delta}_l(t) \doteq 1$ . By Theorem 5.1, the result follows.

(2) Since  $\tilde{\Delta}_{l^{(n)}}(-1) \neq 0$ , it follows from (5.6) that  $\Delta_{l \cup f}(-1, \dots, -1, \xi^j) \neq 0$  for each  $j = 0, 1, \dots, n-1$ . So  $\mathcal{N}(l) = 1 = \mathcal{N}(l^{(n)})$ . Since  $\tilde{\Delta}_l(t) \doteq 1$ ,  $\sigma(l) = 0$ . By Theorem 4.2, the result follows.  $\square$

## 6. Applications

Let  $l$  be a null-homologous oriented link in a closed oriented 3-manifold  $\mathcal{M}$  and let  $\pi: \mathcal{M}_n \rightarrow \mathcal{M}$  be an  $n$ -fold branched cyclic cover of  $\mathcal{M}$  branched along  $l$ . We shall always assume that each oriented meridian of  $l$  corresponds to a fixed generator of the group of covering transformations. Let  $F$  be a surface properly embedded in a 4-manifold  $N$  with  $\partial(N, F) = (\mathcal{M}, l)$  and suppose  $\pi$  extends to a covering  $N_n \rightarrow N$  branched over  $F$ . Then the integer

$$\tau_n(l, \pi) = \sigma(N_n) - n\sigma(N) + \frac{(n^2 - 1)}{3n} [F, \partial F] \cdot [F, \partial F]$$

is an invariant of  $l$  and  $\pi$ , where  $[F, \partial F] \cdot [F, \partial F]$  denotes algebraic intersection number of homology class  $[F, \partial F]$  in  $H_2(N, \partial N)$ .

If  $\mathcal{M}$  is a homology 3-sphere, then Viro [19] shows that  $\tau_n(l, \pi)$  can be calculated from a Seifert matrix for  $l$ . In general, let  $l$  be a null-homologous oriented link in a closed oriented 3-manifold  $\mathcal{M}$  and let  $\pi_\infty$  be an infinite cyclic cover of  $\mathcal{M} - l$  such that each oriented meridian of  $l$  corresponds to a fixed generator of the group of covering transformations. Then, in [4], the authors observed that this invariant  $\tau_n(l, \pi)$  can be calculated from a surface  $F \subset \mathcal{M}$ , called a *spanning surface* for  $(l, \pi_\infty)$ , such that  $\partial F = l$  and the epimorphism  $H_1(\mathcal{M} - l) \rightarrow \mathbb{Z}$  which determines  $\pi_\infty$  is given by intersection number with  $F$ .

Now let  $l_1 = l \cup f$  be an oriented link in  $S^3$  such that  $f$  is unknotted and  $\lambda = Lk(l, f)$  is an odd integer. Let  $L_1 = L \cup F$  be a diagram of  $l_1 = l \cup f$  which has the form as shown in Fig. 2 and let  $M, N, P, Q$ , and  $R_1$  be the matrices defined in the Section 3. For any given integer  $n \geq 2$  and  $\xi = e^{2\pi i/n}$  ( $i = \sqrt{-1}$ ), define  $S_{L_1}(n; \xi^j)$  to be the Hermitian matrix given by

$$S_{L_1}(n; \xi^j) = \begin{pmatrix} M & P + \xi^j Q \\ P^t + \xi^{-j} Q^t & N + \xi^j R_1 + \xi^{-j} R_1^t \end{pmatrix} \oplus \begin{pmatrix} -M & -P - Q \\ -P^t - Q^t & -N - R_1 - R_1^t \end{pmatrix}.$$

**Theorem 6.1.** *Let  $l_1 = l \cup f$  be an oriented link in  $S^3$  such that  $f$  is unknotted and  $\lambda = Lk(l, f)$  is an odd integer. Let  $\pi_2: \mathcal{M}_2(l) \rightarrow S^3$  be the 2-fold branched cyclic cover branched along  $l$  and let  $f^{(2)} = \pi_2^{-1}(f) \subset \mathcal{M}_2(l)$ . Then for any integer  $n \geq 2$ ,*

$$\tau_n(f^{(2)}, \pi) = \sum_{j=1}^{n-1} \sigma(S_{L_1}(n; \xi^j)).$$

**Proof.** By Theorem 3.1 in [4],  $\tau_n(f^{(2)}, \pi) = \sigma(l^{(n)}) - n\sigma(l) + 2\sigma(f)$ . Note that  $\sigma(f) = 0$  since  $f$  is unknotted. By (4.6), we have that

$$(6.1) \quad \tau_n(f^{(2)}, \pi) = \sigma(l^{(n)}) - n\sigma(l) = \sum_{j=1}^{n-1} \sigma(S_{L_1}(n; \xi^j)).$$

This completes the proof.  $\square$

EXAMPLE 6.2. Let  $\beta = \sigma_1 \sigma_1 \sigma_2 \sigma_2 \sigma_1^{-1} \sigma_2 \in B_3$  be a braid of 3-strings and let  $l_1 = l \cup f$ , where  $l = \beta^\wedge$  denotes the closed braid with braid axis  $f$  (cf.  $l = \beta^\wedge$  is the prime knot  $5_2$ ). Then  $\lambda = Lk(\beta^\wedge, f) = 3$  and  $M = \begin{pmatrix} -2 & 1 \\ 1 & -3 \end{pmatrix}$ ,  $P = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $Q = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ ,  $R_1 = (0)$ , and  $N = N_1 + N_2 = (1) + (-1) = (0)$ . For any integer  $n \geq 2$ ,

$$\mathcal{S}_{L_1}(n; \xi^j) = \begin{pmatrix} -2 & 1 & 1 \\ 1 & -3 & \xi^j \\ 1 & \xi^{-j} & 0 \end{pmatrix} \oplus \begin{pmatrix} 2 & -1 & -1 \\ -1 & 3 & -1 \\ -1 & -1 & 0 \end{pmatrix}.$$

So  $\tau_n(f^{(2)}, \pi) = \sum_{j=1}^{n-1} \sigma(\mathcal{S}_{L_1}(n; \xi^j)) = 0$  for any integer  $n \geq 2$ . Since  $\sigma(\beta^\wedge) = 2$ , it follows from (6.1) that  $\sigma((\beta^n)^\wedge) = n\sigma(\beta^\wedge) = 2n$  for any integer  $n \geq 2$ . On the other hand,  $\mathcal{N}((\beta^n)^\wedge) = 1$  for any integer  $n \geq 2$ .

EXAMPLE 6.3. Let  $\beta = \sigma_1 \sigma_2 \sigma_2 \sigma_2 \in B_3$  be a braid of 3-strings and let  $l_1 = l \cup f$ , where  $l = \beta^\wedge$  (cf.  $l = \beta^\wedge$  is the right handed trefoil knot). Then  $\lambda = Lk(\beta^\wedge, f) = 3$  and  $M = \begin{pmatrix} -2 & 1 \\ 1 & -2 \end{pmatrix}$ ,  $P = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $Q = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ ,  $R_1 = (0)$ , and  $N = N_1 + N_2 = (0) + (-1) = (-1)$ . For any integer  $n \geq 2$ ,

$$\mathcal{S}_{L_1}(n; \xi^j) = \begin{pmatrix} -2 & 1 & 1 \\ 1 & -2 & \xi^j \\ 1 & \xi^{-j} & -1 \end{pmatrix} \oplus \begin{pmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 1 \end{pmatrix}.$$

So  $\tau_n(f^{(2)}, \pi) = -a_n(j) - 2b_n(j)$ , where  $a_n(j)$  and  $b_n(j)$  denote the numbers of the integers  $j$  ( $1 \leq j \leq n-1$ ) such that  $-1 - 2\cos 2\pi j/n = 0$  and  $-1 - 2\cos 2\pi j/n > 0$ , respectively. Since  $\sigma(\beta^\wedge) = -2$ , it follows from (6.1) that  $\sigma((\beta^n)^\wedge) = -2n - a_n(j) - 2b_n(j)$ . On the other hand, we obtain from (4.2) and (4.4) of Lemma 4.1 that  $\mathcal{N}((\beta^n)^\wedge) = 3$  or 1 according as  $n$  is a multiple of 3 or not.

EXAMPLE 6.4. Let  $\beta = \sigma_1 \sigma_1 \sigma_2 \in B_3$  be a braid of 3-strings and let  $l_1 = l \cup f$ , where  $l = \beta^\wedge$  (cf.  $l = \beta^\wedge$  is the right handed Hopf link). Then  $\lambda = Lk(\beta^\wedge, f) = 3$  and  $M = P = Q = (0)$ ,  $R_1 = (1)$ , and  $N = N_1 + N_2 = (1) + (-1) = (0)$ . For any integer  $n \geq 2$ ,  $\mathcal{S}_{L_1}(n; \xi^j) = (2\cos(2\pi j/n)) \oplus (-2)$ . So  $\tau_n(f^{(2)}, \pi) = \sum_{j=1}^{n-1} \sigma(\mathcal{S}_{L_1}(n; \xi^j)) = \sum_{j=1}^{n-1} \epsilon_j - n + 1$  and consequently,  $\sigma((\beta^n)^\wedge) = \sum_{j=1}^{n-1} \epsilon_j - 2n + 1$  for any integer  $n \geq 2$ , where  $\epsilon_j$  is the sign of the real number  $\cos(2\pi j/n)$  ( $\epsilon_j = 0$  if  $\cos(2\pi j/n) = 0$ ). On the other hand, we obtain from (4.2) and (4.4) of Lemma 4.1 that  $\mathcal{N}((\beta^n)^\wedge) = 3$  or 1 according as  $n$  is a multiple of 4 or not.

REMARKS 6.5. (1) Example 6.2, 6.3, and 6.4 show that Theorem 6.1 gives a method to calculate the signature and the nullity of a closed  $n$ -periodic braid  $(\beta^n)^\wedge$  ( $n \geq 2$ ) from the braid  $\beta \in B_{2m+1}$  ( $m \geq 0$ ).

(2) Let  $l = k_1 \cup \cdots \cup k_\mu$  be an oriented link of  $\mu$  components and let  $l_1 = l \cup f$  be an oriented link in  $S^3$  such that  $f$  is unknotted and  $\lambda = Lk(l, f)$  is an odd integer. For any integer  $n \geq 2$ , let  $l^{(n)}$  be the  $n$ -periodic covering link over  $l_1$ . Suppose that  $\Delta_{l \cup f}(-1, \dots, -1, \xi^j) \neq 0$  for each  $j = 0, 1, \dots, n-1$ . Let  $\Lambda_{L_1}(n; \xi^j)$  be the matrix in Lemma 4.1. Then, from (4.5), we obtain the followings:

(i) Let  $\mathcal{O}[H_1(\mathcal{M})]$  denote the order of  $H_1(\mathcal{M})$  with integral coefficients. Then

$$(6.2) \quad \mathcal{O}[H_1(\mathcal{M}_2(l^{(n)}))] = \mathcal{O}[H_1(\mathcal{M}_2(l))] \prod_{j=1}^{n-1} |\det(\Lambda_{L_1}(n; \xi^j))|.$$

More precisely, if  $n$  is odd, then

$$\mathcal{O}[H_1(\mathcal{M}_2(l^{(n)}))] = \mathcal{O}[H_1(\mathcal{M}_2(l))] \prod_{j=1}^{(n-1)/2} |\det(\Lambda_{L_1}(n; \xi^j))|^2.$$

If  $n$  is even, then

$$\mathcal{O}[H_1(\mathcal{M}_2(l^{(n)}))] = \frac{1}{2} \mathcal{O}[H_1(\mathcal{M}_2(l))] \mathcal{O}[H_1(\mathcal{M}_2(l \cup f))] \prod_{j=1}^{(n-2)/2} |\det(\Lambda_{L_1}(n; \xi^j))|^2,$$

where  $|\det(\Lambda_{L_1}(n; \xi^j))|$  is the absolute value of the determinant of the Hermitian matrix  $\Lambda_{L_1}(n; \xi^j)$ .

(ii) It follows from (6.2), [6, Theorem 1] and [16, Theorem 2] that

$$\prod_{j=1}^{n-1} |\det(\Lambda_{L_1}(n; \xi^j))| = \prod_{j=1}^{n-1} |\Delta_{l \cup f}(-1, \dots, -1, \xi^j)|.$$

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