



Title	ALEXANDER POLYNOMIALS OF SIMPLE-RIBBON KNOTS
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## ALEXANDER POLYNOMIALS OF SIMPLE-RIBBON KNOTS

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### Abstract

In [4], we introduced special types of fusions, so called simple-ribbon fusions on links. A knot obtained from the trivial knot by a finite sequence of simple-ribbon fusions is called a simple-ribbon knot. Every ribbon knot with  $\leq 9$  crossings is a simple-ribbon knot. In this paper, we give a formula for the Alexander polynomials of simple-ribbon knots. Using the formula, we determine if a knot with 10 crossings is a simple-ribbon knot. Every simple-ribbon fusion can be realized by “elementary” simple-ribbon fusions. We call a knot an  $m$ -simple-ribbon knot if the knot is obtained from the trivial knot by a finite sequence of elementary  $m$ -simple-ribbon fusions for a fixed positive integer  $m$ . We provide a condition for a simple-ribbon knot to be both of an  $m$ -simple-ribbon knot and an  $n$ -simple-ribbon knot for positive integers  $m$  and  $n$ .

### 1. Introduction

Knots and links are assumed to be ordered and oriented, and they are considered up to ambient isotopy in an oriented 3-sphere  $S^3$ . In [4], we introduced special types of fusions, so called simple-ribbon fusions. A  $(m)$ -ribbon fusion on a link  $\ell$  is an  $m$ -fusion ([3, Definition 13.1.1]) on the split union of  $\ell$  and an  $m$ -component trivial link  $\mathcal{O}$  such that each component of  $\mathcal{O}$  is attached to a component of  $\ell$  by a single band. Note that any knot obtained from the trivial knot by a finite sequence of ribbon fusions is a ribbon knot ([3, Definition 13.1.9]), and that any ribbon knot can be obtained from the trivial knot by ribbon fusions. Here we only define an elementary simple-ribbon fusion. A general simple-ribbon fusion can be realized by elementary simple-ribbon fusions. Refer [4] for precise definition.

Let  $\ell$  be a link and  $\mathcal{O} = O_1 \cup \dots \cup O_m$  the  $m$ -component trivial link which is split from  $\ell$ . Let  $\mathcal{D} = D_1 \cup \dots \cup D_m$  be a disjoint union of non-singular disks with  $\partial D_i = O_i$  and  $D_i \cap \ell = \emptyset$  ( $i = 1, \dots, m$ ), and let  $\mathcal{B} = B_1 \cup \dots \cup B_m$  be a disjoint union of disks for an  $m$ -fusion, called bands, on the split union of  $\ell$  and  $\mathcal{O}$  satisfying the following (see Figure 1 for example):

- (i)  $B_i \cap \ell = \partial B_i \cap \ell = \{ \text{a single arc} \};$
- (ii)  $B_i \cap \mathcal{O} = \partial B_i \cap O_i = \{ \text{a single arc} \};$  and
- (iii)  $B_i \cap \text{int } \mathcal{D} = B_i \cap \text{int } D_{i+1} = \{ \text{a single arc of ribbon type} \}.$

Let  $L$  be a link obtained from the split union of  $\ell$  and  $\mathcal{O}$  by the  $m$ -fusion along  $\mathcal{B}$ , i.e.,  $L = (\ell \cup \mathcal{O} \cup \partial \mathcal{B}) - \text{int}(\mathcal{B} \cap \ell) - \text{int}(\mathcal{B} \cap \mathcal{O})$ . Then we say that  $L$  is obtained from  $\ell$  by an elementary  $(m)$ -simple-ribbon fusion or an elementary  $(m)$ -SR-fusion (with respect to

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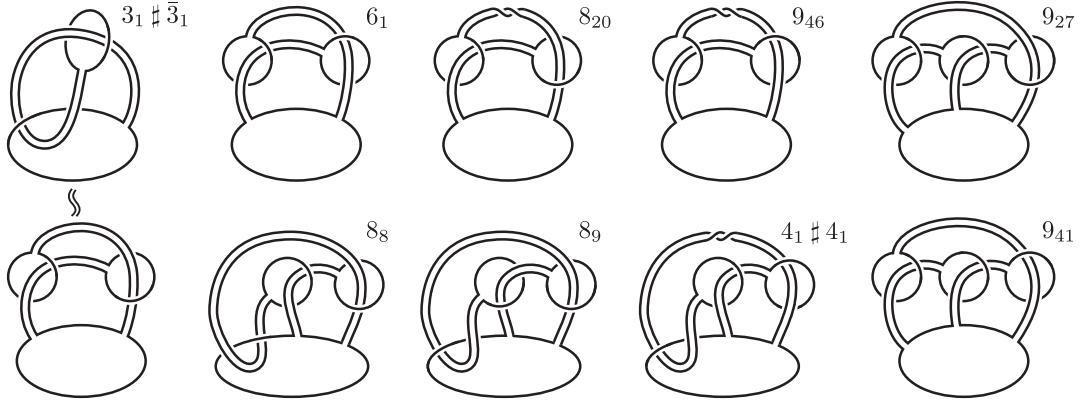


Fig.1. ribbon knots with less than or equal to nine crossings

$\mathcal{D} \cup \mathcal{B}$ ). If a knot  $K$  is obtained from the trivial knot  $O$  by a finite sequence of elementary SR-fusions, then we call  $K$  a *simple-ribbon knot* (or an SR-knot). Since an elementary SR-fusion is a ribbon fusion, any SR-knot is a ribbon knot. We also call the trivial knot an SR-knot. As illustrated in Figure 1, all the ribbon knots with  $\leq 9$  crossings are SR-knots.

Let  $\dot{D}_i$  and  $\dot{B}_i$  be disks and  $f : \cup_i (\dot{D}_i \cup \dot{B}_i) \rightarrow S^3$  an immersion such that  $f(\dot{D}_i) = D_i$  and  $f(\dot{B}_i) = B_i$ . We denote the arc of  $\text{int } D_i \cap B_{i-1}$  by  $\alpha_i$  and let  $B_{i,1}$  and  $B_{i,2}$  be the subdisks of  $B_i$  such that  $B_{i,1} \cup B_{i,2} = B_i$ ,  $B_{i,1} \cap B_{i,2} = \alpha_{i+1}$ , and  $B_{i,1} \cap \partial D_i \neq \emptyset$ . Take a point  $b_i$  on  $\text{int } \alpha_i$  ( $i = 1, \dots, m$ ) and an arc  $\beta_i$  on  $D_i \cup B_{i,1}$  so that  $\beta_i \cap (\alpha_i \cup \alpha_{i+1}) = \partial \beta_i = b_i \cup b_{i+1}$  and oriented from  $b_{i+1}$  to  $b_i$  (see Figure 2). Then  $\beta = \cup_i \beta_i$  is an oriented simple loop and we call  $\beta$  an *attendant knot* of  $\mathcal{D} \cup \mathcal{B}$ . Moreover, we denote the pre-images of  $\alpha_i$  (resp.  $b_i$ ) on  $\dot{D}_i$  and  $\dot{B}_{i-1}$  by  $\dot{\alpha}_i$  and  $\ddot{\alpha}_i$  (resp.  $\dot{b}_i$  and  $\ddot{b}_i$ ), respectively.

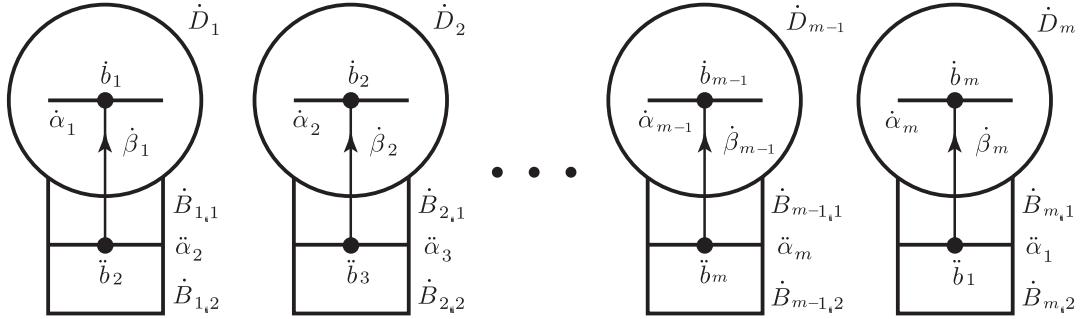


Fig.2

$\mathcal{D} \cup \mathcal{B}$  is oriented so that induced orientations on boundaries are compatible with the orientation of  $\ell$ . Then we can see that each band  $B_i$  intersects with  $D_{i+1}$  in two ways, i.e. when we pass through  $\alpha_{i+1}$  from  $B_{i,2}$  to  $B_{i,1}$ , we pass through  $D_{i+1}$  either from the negative side to the positive side of  $D_{i+1}$ , or from the positive side to the negative side of  $D_{i+1}$ . In the former and latter cases, we say that  $B_i$  is *positive* and *negative*, respectively. Then we have the following.

**Theorem 1.1.** Let  $K$  be a knot obtained from a knot  $k$  by an elementary  $m$ -SR-fusion with an attendant knot  $\beta$  and with  $p$  positive bands. Let  $l = \text{lk}(\beta, k)$  and  $\varphi(t; m, p, l) = (1-t)^m - t^l(-t)^p$ . Then we have the following.

$$(1.1) \quad \Delta_K(t) \doteq \Delta_k(t) \varphi(t; m, p, l) \varphi(t^{-1}; m, p, l)$$

REMARK. We can also write  $\Delta_K(t)$  as  $\Delta_k(t) \varphi(t; m, p, l) \varphi(t; m, m-p, -l)$ , i.e.

$$(1.2) \quad \Delta_K(t) \doteq \Delta_k(t) \{(1-t)^m - t^l(-t)^p\} \{(1-t)^m - t^{-l}(-t)^{m-p}\}$$

**Corollary 1.2.** Let  $K$  be a knot obtained from a knot  $k$  by a finite sequence of elementary SR-fusions, i.e., there exists a finite sequence  $k = K_0, K_1, \dots, K_N = K$  of knots such that  $K_i$  is obtained from  $K_{i-1}$  by an elementary  $m_i$ -SR-fusion with an attendant knot  $\beta_i$  and with  $p_i$  positive bands ( $i = 1, \dots, N$ ). Let  $l_i = \text{lk}(\beta_i, K_{i-1})$  and  $\varphi(t; m_i, p_i, l_i) = (1-t)^{m_i} - t^{l_i}(-t)^{p_i}$ . Then we have the following.

$$(1.3) \quad \Delta_K(t) \doteq \Delta_k(t) \prod_{i=1}^N \varphi(t; m_i, p_i, l_i) \varphi(t^{-1}; m_i, p_i, l_i)$$

As mentioned in the beginning, all the ribbon knots with  $\leq 9$  crossings are SR-knots. Using Corollary 1.2, we can determine if a ribbon knot with 10 crossings is an SR-knot. To do this, we use a value derived from the Alexander polynomial. For a knot  $K$ , let  $\Delta'_K(t)$  be the polynomial such that  $\Delta'_K(t) \doteq \Delta_K(t)$  and  $\Delta'_K(0) \neq 0$ . Then define  $\delta_2(K)$  as 0 if  $|\Delta'_K(2)| = 0$  and as the largest odd factor of  $|\Delta'_K(2)|$  if  $|\Delta'_K(2)| \neq 0$ . Note that if  $K$  is a simple-ribbon knot, then  $\delta_2(K)$  is a product of the integers of the form  $2^s \pm 1$  ( $s = 0, 1, 2, \dots$ ) from Corollary 1.2.

**Lemma 1.3.** If  $K$  is a simple-ribbon knot such that  $\delta_2(K) = 1$ , then we have the following for a non-negative integer  $n$ .

$$(1.4) \quad \Delta'_K(t) = 1 \text{ or } (1 - 6t + 11t^2 - 6t^3 + t^4)^n$$

Proof. Since  $K$  is a simple-ribbon knot, we have the following from Corollary 1.2, where  $N (\geq 1)$ ,  $m_i (\geq 1)$ ,  $p_i (0 \leq p_i \leq m_i)$ , and  $l_i$  are integers ( $i = 1, 2, \dots, N$ ).

$$\begin{aligned} \Delta_K(t) &\doteq \prod_{i=1}^N \{(1-t)^{m_i} - t^{l_i}(-t)^{p_i}\} \{(1-t)^{m_i} - t^{-l_i}(-t)^{m_i-p_i}\} \\ &\doteq \prod_{i=1}^N \{t^{p_i+l_i} + (-1)^{m_i-(p_i+1)}(t-1)^{m_i}\} \{t^{m_i-(p_i+l_i)} + (-1)^{p_i+1}(t-1)^{m_i}\} \end{aligned}$$

Let  $g_i(t) = t^{p_i+l_i} + (-1)^{m_i-(p_i+1)}(t-1)^{m_i}$  and  $h_i(t) = t^{m_i-(p_i+l_i)} + (-1)^{p_i+1}(t-1)^{m_i}$ . Then we have that  $\Delta'_K(2) = 2^q \prod_{i=1}^N g_i(2)h_i(2)$  for an integer  $q$ . Since  $\delta_2(K) = 1$ , each of  $|g_i(2)|$  and  $|h_i(2)|$  is a power of 2, and thus  $2^{-1} = |2^{-1} - 1|$ ,  $2 = 2^0 + 1$ , or  $1 = 2^1 - 1$  ( $i = 1, 2, \dots, N$ ). Thus, each of  $p_i + l_i$  and  $m_i - (p_i + l_i)$  is  $-1, 0$ , or  $1$  for each  $i$ , and hence  $m_i = (p_i + l_i) + (m_i - (p_i + l_i))$  is 1 or 2, since  $m_i > 0$ . Therefore we have that  $(g_i(2), h_i(2), m_i) = (2^0 + 1, 2^1 - 1, 1)$ ,  $(2^1 - 1, 2^0 + 1, 1)$ , or  $(2^1 - 1, 2^1 - 1, 2)$ . In the first two cases and the last case, we have that  $g_i(t)h_i(t) = \{t^0 + (t-1)\}\{t^1 - (t-1)\} = t$  and  $g_i(t)h_i(t) = \{t - (t-1)^2\}^2 = 1 - 6t + 11t^2 - 6t^3 + t^4$ , respectively. Hence we obtain the conclusion.  $\square$

**Proposition 1.4.** Among the 16 ribbon knots with 10 crossings,  $10_{42}, 10_{75}, 10_{87}, 10_{99}, 10_{129}, 10_{137}, 10_{140}, 10_{153}$ , and  $10_{155}$  are simple-ribbon knots and  $10_3, 10_{22}, 10_{35}, 10_{48}, 10_{123}, 5_1 \# 5_1^*$ , and  $5_2 \# 5_2^*$  are not.

Proof. The former statement is from Figure 3. To show the latter statement, we consider  $\delta_2$  for each knot. Since  $\delta_2(10_{22}) = 11$ ,  $\delta_2(10_{48}) = 7 \times 13 = 1 \times 91$ , and  $\delta_2(5_1 \# 5_1^*) = 11 \times 11 = 1 \times 121$  from Table 1 and none of 11, 13, 91, and 121 is  $2^s \pm 1$  for a non-negative integer  $s$ , we know that these 3 knots are not simple-ribbon knots. For the other 4 knots, we have that  $\delta_2(10_3) = \delta_2(10_{35}) = \delta_2(10_{123}) = \delta_2(5_2 \# 5_2^*) = 1$ , and the following from Table 1. Hence we know that they are not simple-ribbon knots from Lemma 1.3.

$$\begin{aligned}\Delta'_{10_3}(t) &= 6 - 13t + 6t^2, & \Delta'_{10_{35}}(t) &= 2 - 12t + 21t^2 - 12t^3 + 2t^4, \\ \Delta'_{10_{123}}(t) &= (1 - 3t + 3t^2 - 3t^3 + t^4)^2, & \Delta'_{5_2 \# 5_2^*}(t) &= 4 - 12t + 17t^2 - 12t^3 + 4t^4 \quad \square\end{aligned}$$

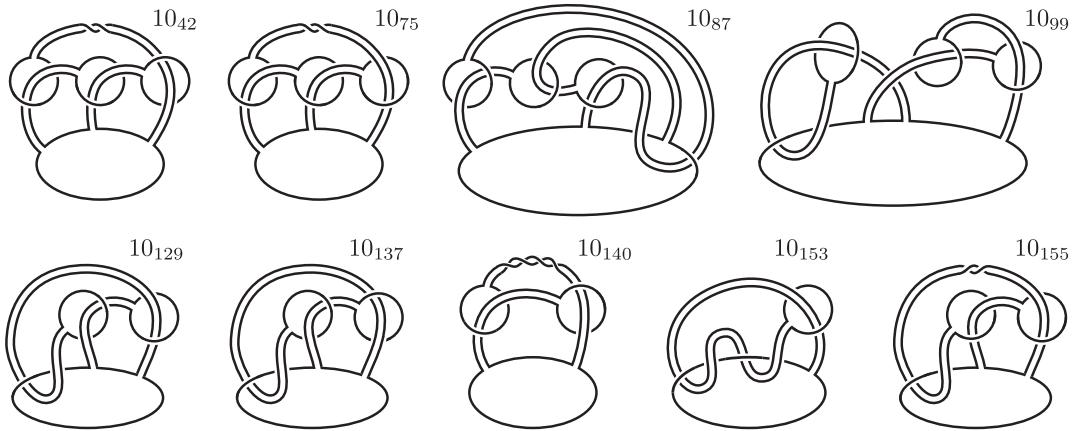


Fig.3

Note that the above proof of Proposition 1.4 implies that for any ribbon knot  $K$  with  $\leq 10$  crossings, if  $\Delta_K(t)$  can be written as equation (1.3), then  $K$  is a simple-ribbon knot. However, it does not hold in general.

**Theorem 1.5.** *For any polynomial  $\Delta(t) = \prod_{i=1}^N \varphi(t; m_i, p_i, l_i) \varphi(t^{-1}; m_i, p_i, l_i)$ , there exists a ribbon knot whose Alexander polynomial is  $\Delta(t)$  and which is not a simple-ribbon knot.*

If an SR-knot is obtained from the trivial knot by a finite sequence of elementary  $m$ -SR-fusions for a fixed positive integer  $m$ , then we call the SR-knot  $m$ -SR-knot. For example,  $8_9$  is a 2-SR-knot and  $3_1 \# 3_1^*$  is a 1-SR-knot and also a 2-SR-knot as we can see in Figure 1. It is natural to ask if there exists a simple-ribbon knot which is an  $m$ -SR-knot and also an  $n$ -SR-knot for distinct positive integers  $m$  and  $n$  other than  $3_1 \# 3_1^*$ . We give a partial answer to this question using equation (1.3). Let  $m$  be a positive integer and  $\mathcal{K}_m$  the set of non-trivial  $m$ -SR-knots. Then we have the following.

**Theorem 1.6.** *If  $\mathcal{K}_m \cap \mathcal{K}_n \neq \emptyset$  for positive integers  $m$  and  $n$  with  $m > n$ , then we have either that  $(m, n) = (3, 1)$ ,  $(3, 2)$ , or  $(2n, n)$ .*

## 2. Proofs of Theorem 1.1 and Theorem 1.5

Let  $K$  be a knot obtained from a knot  $k$  by an elementary  $m$ -SR-fusion with respect to  $\mathcal{D} \cup \mathcal{B}$  with its attendant knot  $\beta$ . Let  $F$  be a Seifert surface for  $k$ . Here we may take  $F$  so that  $F \cap \mathcal{D} = \emptyset$ . Let  $C = F \cup (\mathcal{D} \cup \mathcal{B})$ . We first transform  $C$  into “standard” position and construct a Seifert surface  $F_K$  for  $K$  from  $C$  in standard position. Then, we calculate  $\Delta_K(t)$  using  $F_K$ .

We may take  $F$  so that the intersections with  $\mathcal{D} \cup \mathcal{B}$  are only arcs of  $\text{int}F$  and  $\mathcal{B}$ . Then we divide the set of singularities of  $\text{int}F \cap B_i$  into two: one which consists of  $\text{int}F \cap B_{i,1}$ , denoted by  $\mathcal{S}_i$ , and the other which consists of  $\text{int}F \cap B_{i,2}$ , denoted by  $\mathcal{T}_i$ . Thus the set of singularities of  $C$  is  $\cup_i \alpha_i \cup \cup_i (\mathcal{S}_i \cup \mathcal{T}_i)$ . We say that  $C$  is *in standard position* if  $\mathcal{S}_1 \cup \dots \cup \mathcal{S}_{m-1} = \emptyset$  and  $\mathcal{T}_1 \cup \dots \cup \mathcal{T}_m = \emptyset$  (see Figure 9 for example). To transform  $C$  into standard position, we need the following three transformations. Here note that each transformation changes neither  $m$ ,  $p$ , nor the knot type of  $\beta$ .

**Sliding a disk along a band :** Deforming  $D_{i+1}$  by deformation retraction into a regular neighborhood of  $B_i$  and slide  $D_{i+1}$  along  $B_i$  toward  $D_i$ . Here  $B_{i+1}$  follows  $D_{i+1}$  (see Figure 4 for example). We allow  $D_{i+1} \cup B_{i+1}$  to pass through  $F$ . Then an additional intersection of  $B_{i+1}$  and  $F$  is created.

**Winding a band along  $k$  :** Winding  $B_i$  along  $k = \partial F$  in a regular neighborhood of  $B_i \cap k$  either from negative side to positive side or from positive side to negative side (see Figure 5 for example). Here an additional intersection of  $B_i$  and  $F$  is created.

**Tubing  $F$  :** Removing two disks  $\delta_1$  and  $\delta_2$  from  $\text{int}F$  and attach an annulus  $S^1 \times [1, 2]$  so that  $S^1 \times \{i\} = \partial\delta_i$  ( $i = 1, 2$ ) and the result is orientable (see Figure 6 for example).

**Proposition 2.1.** *Let  $K$  be a knot obtained from a knot  $k$  by an elementary  $m$ -SR-fusion with respect to  $\mathcal{D} \cup \mathcal{B}$  with its attendant knot  $\beta$ . Let  $F$  be a Seifert surface for  $k$  such that  $F \cap \mathcal{D} = \emptyset$  and let  $C = F \cup (\mathcal{D} \cup \mathcal{B})$ . Then we may transform  $C$  into standard position by sliding a disk along a band, winding a band along  $k$ , and tubing  $F$ .*

**Proof.** First if  $\mathcal{S}_1 \cup \dots \cup \mathcal{S}_{m-1} \neq \emptyset$ , then take the smallest index  $i$  such that  $\mathcal{S}_i \neq \emptyset$  and slide  $D_{i+1}$  along  $B_i$  just next to  $D_i$  so that  $\mathcal{S}_i = \emptyset$  (See Figure 4 for example). Then slide  $D_{j+1}$  along  $B_j$  inductively just next to  $D_j$  so that  $\mathcal{S}_j = \emptyset$  ( $j = i + 1, \dots, m - 1$ ).

Next if  $\mathcal{T}_1 \cup \dots \cup \mathcal{T}_m \neq \emptyset$ , then take an arbitrary  $\mathcal{T}_i \neq \emptyset$  and let  $t_1, \dots, t_p$  be its singularities which are placed close to  $B_i \cap k$  on  $B_i$  in this order. Assume that  $B_i$  is oriented as from  $B_i \cap k$  towards  $B_i \cap D_i$  and let  $\sigma(t_j)$  be the signed intersection number of  $B_i$  and  $F$  at  $t_j$ . First wind  $B_i$  along  $k$  depending on  $\sigma(t_j)$  ( $j = 1, \dots, p$ ). If  $\sigma(t_j) = 1$  (resp.  $-1$ ), then wind  $B_i$  along  $k = \partial F$  from negative side to positive side (resp. from positive side to negative side) as illustrated in Figure 5. Here we make these transformations from  $j = 1$  to  $j = p$  in this order, and notice that each transformation creates a new intersection  $t'_j$  with  $\sigma(t'_j) = -\sigma(t_j)$ . Then make a tubing  $F$  so to erase  $t_j$  and  $t'_j$  from  $j = 1$  to  $j = p$  in this order as illustrated in Figure 6, and now  $C$  is in standard position.  $\square$

**Proof of Theorem 1.1.** Let  $F$  be a Seifert surface for  $k$  such that  $F \cap \mathcal{D} = \emptyset$  and let  $C = F \cup (\mathcal{D} \cup \mathcal{B})$ . Here we may assume that  $C$  is in standard position from Proposition 2.1.

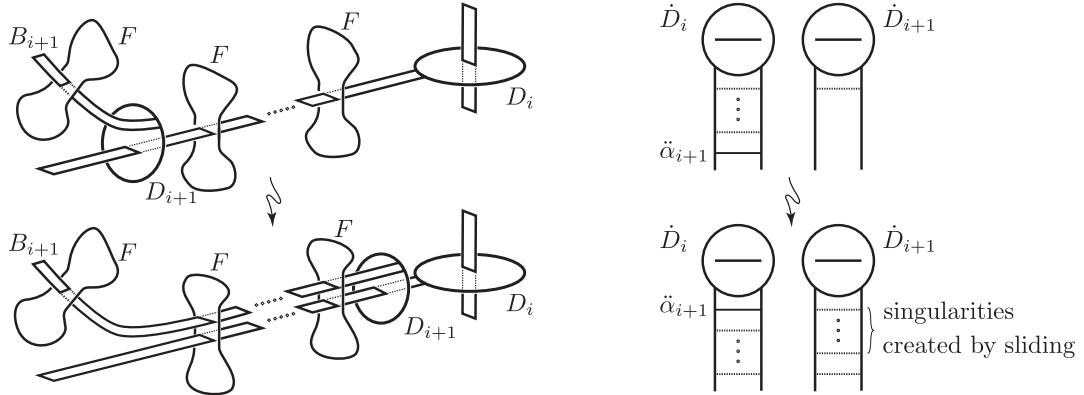


Fig.4

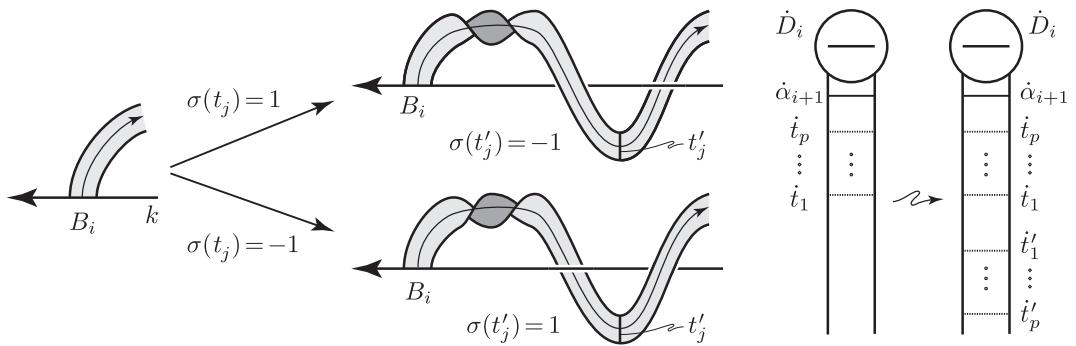


Fig.5

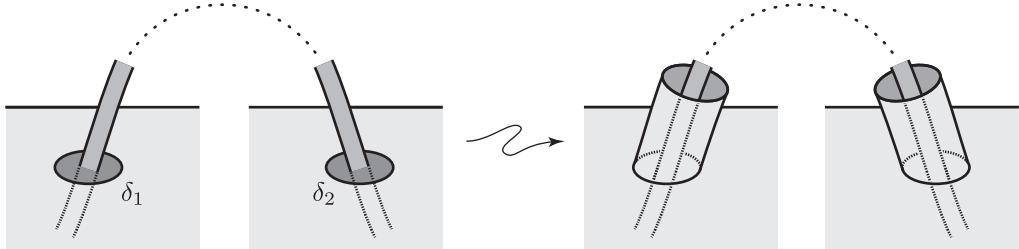


Fig.6

Thus the set of singularities of  $C$  is  $\cup_i \alpha_i \cup S_m$ . Erase  $\cup_i \alpha_i$  and  $S_m$  to have a Seifert surface  $F_K$  for  $K$  by orientation preserving cut and deformation as illustrated in the second left of Figure 7 and Figure 8, respectively (see Figure 10 for example of  $F_K$ ).

Take a basis  $x_1, \dots, x_m, y_1, \dots, y_{\parallel l}, z_1, \dots, z_m, w_1, \dots, w_{\parallel l}, u_1, \dots, u_g$  of  $H_1(F_K; \mathbb{Z})$  as illustrated in Figure 7 and Figure 8 (see Figure 10 for example), where  $u_1, \dots, u_g$  is a basis of  $H_1(F; \mathbb{Z})$ . Then we have the following Seifert matrix  $M$  with respect to the basis.

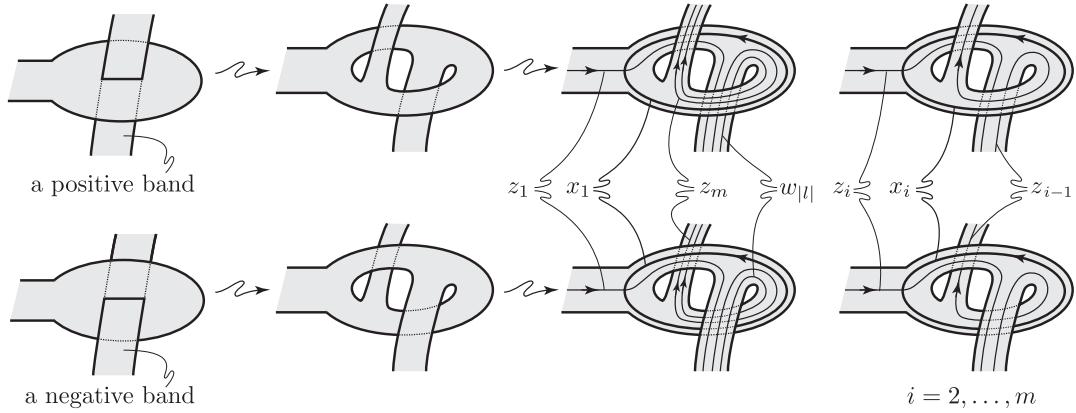


Fig. 7

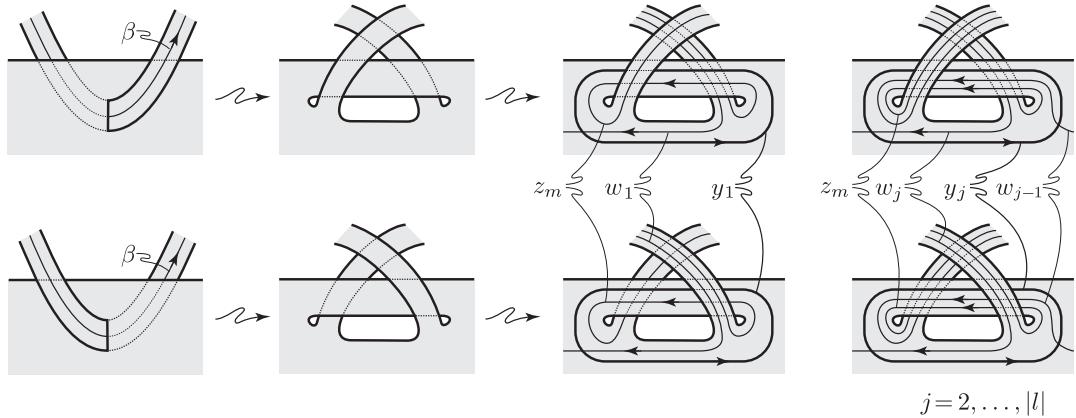


Fig. 8

$$M = \begin{pmatrix} O_{(m+|l|) \times (m+|l|)} & P_{(m+|l|) \times (m+|l|)} & O_{(m+|l|) \times g} \\ \hline Q_{(m+|l|) \times (m+|l|)} & * & * \\ \hline O_{g \times (m+|l|)} & * & M' \end{pmatrix} = \begin{pmatrix} O_{(m+|l|) \times (m+|l|)} & \begin{matrix} P_{m \times m}^1 & P_{m \times |l|}^2 \\ \hline P_{|l| \times m}^3 & P_{|l| \times |l|}^4 \end{matrix} & O_{(m+|l|) \times g} \\ \hline Q_{m \times m}^1 & Q_{m \times |l|}^2 & * \\ \hline Q_{|l| \times m}^3 & Q_{|l| \times |l|}^4 & * \\ \hline O_{g \times (m+|l|)} & * & M' \end{pmatrix},$$

where  $M'$  is a Seifert matrix for  $k$ ,  $O_{s \times t}$  is the  $s \times t$  zero matrix,

$$P_{m \times m}^1 = (p_{ij}^1) \text{ is an } m \times m \text{ matrix with } p_{ij}^1 = \text{lk}(x_i, z_j^+) = \begin{cases} -(\varepsilon_i + 1)/2 & \text{if } i = j \\ \varepsilon_i & \text{if } i = 1 \text{ and } j = m, \\ & \text{or } 2 \leq i \leq m \text{ and } j = i - 1 \\ 0 & \text{otherwise,} \end{cases}$$

$$P_{m \times |l|}^2 = (p_{ij}^2) \text{ is an } m \times |l| \text{ matrix with } p_{ij}^2 = \text{lk}(x_i, w_j^+) = \begin{cases} \varepsilon_1 & \text{if } i = 1 \text{ and } j = |l| \\ 0 & \text{otherwise,} \end{cases}$$

$$P_{|l| \times m}^3 = (p_{ij}^3) \text{ is an } |l| \times m \text{ matrix with } p_{ij}^3 = \text{lk}(y_i, z_j^+) = \begin{cases} \varepsilon & \text{if } j = m \\ 0 & \text{otherwise,} \end{cases}$$

$$P_{|l| \times |l|}^4 = (p_{ij}^4) \text{ is an } |l| \times |l| \text{ matrix with } p_{ij}^4 = \text{lk}(y_i, w_j^+) = \begin{cases} (\varepsilon + 1)/2 & \text{if } i = j \\ (\varepsilon - 1)/2 & \text{if } 2 \leq i \leq |l| \text{ and } j = i - 1 \\ 0 & \text{otherwise,} \end{cases}$$

if  $l \neq 0$ , and  $P_{m \times m} = P_{m \times m}^1$  if  $l = 0$ ,

$$Q_{m \times m}^1 = (q_{ij}^1) \text{ is an } m \times m \text{ matrix with } q_{ij}^1 = \text{lk}(z_i, x_j^+) = \begin{cases} -(\varepsilon_i - 1)/2 & \text{if } i = j \\ \varepsilon_i & \text{if } i = m \text{ and } j = 1, \\ 0 & \text{or } 1 \leq i \leq m - 1 \text{ and } j = i + 1 \\ & \text{otherwise,} \end{cases}$$

$$Q_{m \times |l|}^2 = (q_{ij}^2) \text{ is an } m \times |l| \text{ matrix with } q_{ij}^2 = \text{lk}(z_i, y_j^+) = \begin{cases} \varepsilon & \text{if } i = m \\ 0 & \text{otherwise,} \end{cases}$$

$$Q_{|l| \times m}^3 = (q_{ij}^3) \text{ is an } |l| \times m \text{ matrix with } q_{ij}^3 = \text{lk}(w_i, x_j^+) = \begin{cases} \varepsilon_1 & \text{if } i = |l| \text{ and } j = 1 \\ 0 & \text{otherwise, and} \end{cases}$$

$$Q_{|l| \times |l|}^4 = (q_{ij}^4) \text{ is an } |l| \times |l| \text{ matrix with } q_{ij}^4 = \text{lk}(w_i, y_j^+) = \begin{cases} (\varepsilon - 1)/2 & \text{if } i = j \\ (\varepsilon + 1)/2 & \text{if } 1 \leq i \leq |l| - 1 \text{ and } j = i + 1 \\ 0 & \text{otherwise,} \end{cases}$$

if  $l \neq 0$ , and  $Q_{m \times m} = Q_{m \times m}^1$  if  $l = 0$ , and  $\varepsilon = \begin{cases} 1 & \text{if } l \text{ is positive} \\ -1 & \text{if } l \text{ is negative} \end{cases}$ ,  $\varepsilon_i = \begin{cases} 1 & \text{if } B_i \text{ is positive} \\ -1 & \text{if } B_i \text{ is negative} \end{cases}$  ( $i = 1, \dots, m$ ). Letting  $a = \frac{\varepsilon + 1}{2}$ ,  $b = \frac{\varepsilon - 1}{2}$ ,  $a_i = \frac{\varepsilon_i + 1}{2}$ , and  $b_i = \frac{\varepsilon_i - 1}{2}$ , we have the following.

$$P = \begin{pmatrix} z_1^+ & z_2^+ & \cdots & z_{m-1}^+ & z_m & w_1^+ & w_2^+ & \cdots & w_{|l|-1}^+ & w_{|l|}^+ \\ x_1 & -a_1 & & & \varepsilon_1 & & & & & \varepsilon_1 \\ x_2 & \varepsilon_2 - a_2 & & & & & & & & \\ \vdots & \ddots & \ddots & & & & & & & \\ x_{m-1} & & \ddots & -a_{m-1} & & & & & & \\ x_m & & & \varepsilon_m & -a_m & & & & & \\ y_1 & & & \varepsilon & a & & & & & \\ y_2 & & & \varepsilon & b & a & & & & \\ \vdots & & & \varepsilon & & \ddots & \ddots & & & \\ y_{|l|-1} & & & \varepsilon & & & \ddots & a & & \\ y_{|l|} & & & \varepsilon & & & & b & a & \\ z_1^+ & x_1^+ & x_2^+ & \cdots & x_{m-1}^+ & x_m & y_1^+ & y_2^+ & \cdots & y_{|l|-1}^+ & y_{|l|}^+ \\ z_1 & -b_1 & \varepsilon_2 & & & & & & & & \\ z_2 & & -b_2 & \ddots & & & & & & & \\ \vdots & & & \ddots & \ddots & & & & & & \\ z_{m-1} & & & & -b_{m-1} & \varepsilon_m & & & & & \\ z_m & \varepsilon_1 & & & & -b_m & \varepsilon & \varepsilon & \varepsilon & \varepsilon & \varepsilon \\ w_1 & & & & & b & a & & & & \\ w_2 & & & & & b & a & & & & \\ \vdots & & & & & & \ddots & \ddots & & & \\ w_{|l|-1} & & & & & & & b & a & & \\ w_{|l|} & & & & & & & & b & & \end{pmatrix},$$

$$Q = \begin{pmatrix} z_1^+ & z_2^+ & \cdots & z_{m-1}^+ & z_m & w_1^+ & w_2^+ & \cdots & w_{|l|-1}^+ & w_{|l|}^+ \\ z_1 & -b_1 & \varepsilon_2 & & & & & & & & \\ z_2 & & -b_2 & \ddots & & & & & & & \\ \vdots & & & \ddots & \ddots & & & & & & \\ z_{m-1} & & & & -b_{m-1} & \varepsilon_m & & & & & \\ z_m & \varepsilon_1 & & & & -b_m & \varepsilon & \varepsilon & \varepsilon & \varepsilon & \varepsilon \\ w_1 & & & & & b & a & & & & \\ w_2 & & & & & b & a & & & & \\ \vdots & & & & & & \ddots & \ddots & & & \\ w_{|l|-1} & & & & & & & b & a & & \\ w_{|l|} & & & & & & & & b & & \end{pmatrix}$$

Then the Alexander polynomial  $\Delta_K(t)$  of  $K$  is the product of the Alexander polynomial  $\Delta_k(t)$  of  $k$ ,  $|P - t Q^T|$ , and  $|Q - t P^T|$ .

**CLAIM 2.2.** We have the following, where  $c = a - tb$ ,  $d = b - ta$ ,  $e = \varepsilon(1 - t)$ ,  $c_i = a_i - tb_i$ ,  $d_i = b_i - ta_i$ , and  $e_i = \varepsilon_i(1 - t)$ .

$$|Q-tP^T| = d^{|l|} \prod_{i=1}^m (-d_i) + (-1)^{|l|+m+1} c^{|l|} \prod_{i=1}^m e_i, \quad |P-tQ^T| = c^{|l|} \prod_{i=1}^m (-c_i) + (-1)^{|l|+m+1} d^{|l|} \prod_{i=1}^m e_i.$$

Proof. First we calculate  $|P - tQ^T|$  noticing that  $e = c + d$ . If  $t = 0$ , then we have that

$$|P-tQ^T| = \begin{vmatrix} -c_1 & & e_1 \\ e_2 & -c_2 & \\ & \ddots & \ddots \\ & & \ddots & -c_{m-1} \\ & & & e_m & -c_m \end{vmatrix} = c^0 \prod_{i=1}^m (-c_i) + (-1)^{0+m+1} d^0 \prod_{i=1}^m e_i.$$

If  $|l| = 1$ , then we have that

$$|P - tQ^T| = \begin{vmatrix} -c_1 & e_1 & e_1 \\ e_2 & -c_2 & \\ \ddots & \ddots & \\ \ddots & \ddots & -c_{m-1} \\ e_m & -c_m & \end{vmatrix} = \begin{vmatrix} -c_1 & 0 & e_1 \\ e_2 & -c_2 & \\ \ddots & \ddots & \\ \ddots & \ddots & -c_{m-1} \\ e_m & -c_m & \end{vmatrix} = c^1 \prod_{i=1}^m (-c_i) + (-1)^{1+m+1} d^1 \prod_{i=1}^m e_i.$$

If  $|l| > 1$ , then we have that

$$|P - tQ^T| = \begin{vmatrix} -c_1 & & e_1 & & e_1 \\ e_2 & -c_2 & & & \\ \ddots & \ddots & & & \\ & \ddots & -c_{m-1} & & \\ & & e_m & -c_m & \\ & & e & c & \\ & & e & d & c \\ & & e & \ddots & \ddots \\ & & e & & c \\ & & e & & d & c \end{vmatrix}$$

$$= \begin{vmatrix} -c_1 & & 0 & & e_1 \\ e_2 & -c_2 & & & \\ \ddots & \ddots & & & \\ & \ddots & -c_{m-1} & & \\ & & e_m & -c_m & \\ & & d & c & \\ & & 0 & d & c \\ & & 0 & \ddots & \ddots \\ & & 0 & & c \\ & & 0 & & d & c \end{vmatrix} = c^{|l|} \prod_{i=1}^m (-c_i) + (-1)^{|l|+m+1} d^{|l|} \prod_{i=1}^m e_i.$$

Next we calculate  $|Q - tP^T|$  noticing that  $e = c + d$ . If  $l = 0$ , then we have that

$$|Q - tP^T| = \begin{vmatrix} -d_1 & e_2 & & & \\ & -d_2 & \ddots & & \\ & & \ddots & \ddots & \\ & & & -d_{m-1} & e_m \\ e_1 & & & & -d_m \end{vmatrix} = d^0 \prod_{i=1}^m (-d_i) + (-1)^{0+m+1} c^0 \prod_{i=1}^m e_i.$$

If  $|l| = 1$ , then we have that

$$\begin{aligned} |Q - tP^T| &= \begin{vmatrix} -d_1 & e_2 & & & \\ & -d_2 & \ddots & & \\ & & \ddots & \ddots & \\ & & & -d_{m-1} & e_m \\ e_1 & & & & -d_m \end{vmatrix} e \begin{vmatrix} -d_1 & e_2 & & & \\ & -d_2 & \ddots & & \\ & & \ddots & \ddots & \\ & & & -d_{m-1} & e_m \\ 0 & & & & -d_m \end{vmatrix} c \\ &= d^1 \prod_{i=1}^m (-d_i) + (-1)^{1+m+1} c^1 \prod_{i=1}^m e_i. \end{aligned}$$

If  $|l| > 1$ , then we have that

$$\begin{aligned} |Q - tP^T| &= \begin{vmatrix} -d_1 & e_2 & & & & \\ & -d_2 & \ddots & & & \\ & & \ddots & \ddots & & \\ & & & -d_{m-1} & e_m & \\ e_1 & & & & -d_m & e \quad e \quad \cdots \quad e \quad e \\ & & & & & d \quad c \\ & & & & & d \quad \ddots \\ & & & & & \ddots \quad \ddots \\ & & & & & d \quad c \\ e_1 & & & & & d \quad d \end{vmatrix} \\ &= \begin{vmatrix} -d_1 & e_2 & & & & \\ & -d_2 & \ddots & & & \\ & & \ddots & \ddots & & \\ & & & -d_{m-1} & e_m & \\ 0 & & & & -d_m & c \quad 0 \quad \cdots \quad 0 \quad 0 \\ & & & & & d \quad c \\ & & & & & d \quad \ddots \\ & & & & & \ddots \quad \ddots \\ & & & & & d \quad c \\ e_1 & & & & & d \quad d \end{vmatrix} = d^{|l|} \prod_{i=1}^m (-d_i) + (-1)^{|l|+m+1} c^{|l|} \prod_{i=1}^m e_i. \quad \square \end{aligned}$$

Now we calculate the Alexander polynomial  $\Delta_K(t)$  of  $K$  diving the case into two depending on the value of  $l$ ;  $l \geq 0$  or  $l < 0$ . Here note the following.

$\varepsilon$	$a$	$b$	$c$	$d$	$e$
1	1	0	1	$-t$	$1-t$
-1	0	-1	$t$	-1	$-(1-t)$

$\varepsilon_i$	$a_i$	$b_i$	$c_i$	$d_i$	$e_i$
1	1	0	1	$-t$	$1-t$
-1	0	-1	$t$	-1	$-(1-t)$

CASE.  $l \geq 0$  : From the above table, we have the following;

$$\begin{aligned}
|P - t Q^T| &= c^{|l|} \prod_{i=1}^m (-c_i) + (-1)^{|l|+m+1} d^{|l|} \prod_{i=1}^m e_i \\
&= 1^l (-1)^p (-t)^{m-p} + (-1)^{l+m+1} (-t)^l (-1)^{m-p} (1-t)^m \\
&= (-1)^{1-p} \{ t^l (1-t)^m - (-t)^{m-p} \} \\
|Q - t P^T| &= d^{|l|} \prod_{i=1}^m (-d_i) + (-1)^{|l|+m+1} c^{|l|} \prod_{i=1}^m e_i \\
&= (-t)^l t^p 1^{m-p} + (-1)^{l+m+1} 1^l (-1)^{m-p} (1-t)^m = (-1)^{l+1-p} \{ (1-t)^m - t^l (-t)^p \}
\end{aligned}$$

CASE.  $l < 0$  : From the above table, we have the following;

$$\begin{aligned}
|P - t Q^T| &= c^{|l|} \prod_{i=1}^m (-c_i) + (-1)^{|l|+m+1} d^{|l|} \prod_{i=1}^m e_i \\
&= t^{-l} (-1)^p (-t)^{m-p} + (-1)^{-l+m+1} (-1)^{-l} (-1)^{m-p} (1-t)^m \\
&= (-1)^{1-p} \{ (1-t)^m - t^{-l} (-t)^{m-p} \} \\
|Q - t P^T| &= d^{|l|} \prod_{i=1}^m (-d_i) + (-1)^{|l|+m+1} c^{|l|} \prod_{i=1}^m e_i \\
&= (-1)^{-l} t^p 1^{m-p} + (-1)^{-l+m+1} t^{-l} (-1)^{m-p} (1-t)^m = (-1)^{-l+1-p} \{ t^{-l} (1-t)^m - (-t)^p \}
\end{aligned}$$

In both cases, we obtain that  $\Delta_K(t) \doteq \Delta_k(t) \{ (1-t)^m - t^l (-t)^p \} \{ (1-t)^m - t^{-l} (-t)^{m-p} \}$ , and thus we complete the proof.  $\square$

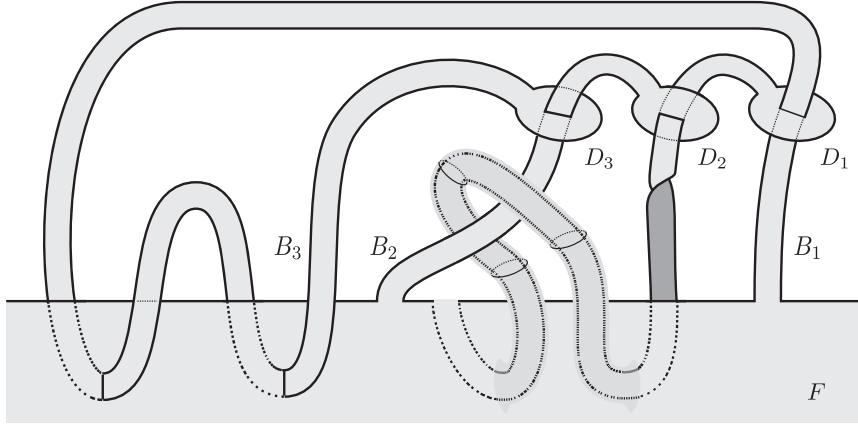


Fig.9

Proof of Theorem 1.5. For each  $i$  ( $1 \leq i \leq N$ ), we can construct a simple-ribbon knot  $k_i$  with  $\Delta_{k_i}(t) = \varphi(t; m_i, p_i, l_i) \varphi(t^{-1}; m_i, p_i, l_i)$  by following the proof of Theorem 1.1 (see also Figure 9). Let  $K^*$  be the connected sum of  $k_1, k_2, \dots, k_N$ . Then  $K^*$  is a simple-ribbon knot

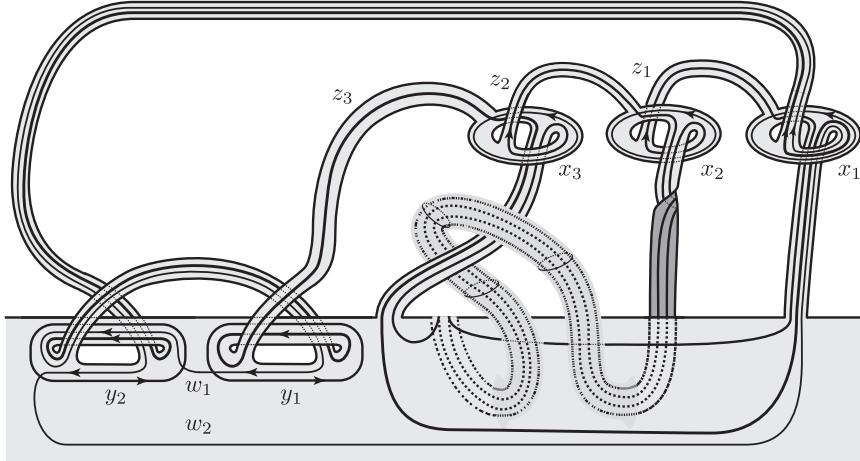


Fig. 10

such that  $\Delta_{K^*}(t) = \Delta(t)$ . Let  $\mathcal{D} \cup \mathcal{B}$  be the set of disks and bands which gives the SR-fusion on the trivial knot  $\mathcal{O} = \partial D_0$  producing  $K^*$ . Take a 3-ball  $H$  which is a small neighborhood of a point of  $\mathcal{O} - \mathcal{B}$  and a trivial knot  $\rho$  in  $H$  which intersects  $D_0$  twice so that  $\text{lk}(\rho, \mathcal{O}) = 2$ . Let  $V^*$  be the closure of  $S^3 - N(\rho; S^3)$ . Since  $\rho$  is the trivial knot,  $V^*$  is an unknotted torus which contains  $K^*$  with  $w_{V^*}(K^*) = 2$ , where  $w_{V^*}(K^*)$  is the absolute value of the algebraic intersection number of  $K^*$  with a meridian disk of  $V^*$ .

Let  $V$  be a tubular neighborhood of the Kinoshita-Terasaka knot  $\kappa$  and  $f$  a faithful homeomorphism of  $V^*$  onto  $V$ , i.e.  $f$  maps the preferred longitude of  $\partial V^*$  onto the preferred longitude of  $\partial V$ . Since  $\Delta_\kappa(t) = 1$ , we obtain that  $\Delta_K(t) = \Delta_{K^*}(t) \Delta_\kappa(t^2) = \Delta_{K^*}(t) = \Delta(t)$  for  $K = f(K^*)$  by Proposition 8.23 of [1]. Since  $f$  is faithful and both of  $K^*$  and  $\kappa$  are ribbon knots,  $K$  is also a ribbon knot by Lemma 3 of [8]<sup>1</sup>. On the other hand, as  $w_V(K) = w_{V^*}(K^*) = 2$  and  $\kappa$  is a non-trivial knot,  $K$  is not a simple ribbon knot by Corollary 1.8 of [5].  $\square$

### 3. Proof of Theorem 1.6

Note that if  $K$  is a knot of  $\mathcal{K}_m$ , then  $\det(K) = |\Delta_K(-1)| = (2^m - 1)^a(2^m + 1)^b$  for some non-negative integers  $a$  and  $b$  by Corollary 1.2. Moreover if  $K$  is also a knot of  $\mathcal{K}_n$ , then  $\det(K) = (2^n - 1)^c(2^n + 1)^d$  for some non-negative integers  $c$  and  $d$ , and thus the set of prime factors of  $(2^m - 1)^{a'}(2^m + 1)^{b'}$  and  $(2^n - 1)^{c'}(2^n + 1)^{d'}$  coincide, where  $i' = \min(i, 1)$  for  $a$ ,  $b$ ,  $c$ , and  $d$ .

Let  $P(x)$  be the set of prime factors of an integer  $x > 1$ , and  $(y, z)$  the greatest common divisor of positive integers  $y$  and  $z$ . Note that if  $P(y) = P(z)$  and  $(y, z) = w$ , then we have that  $P(y) = P(z) = P(w)$ . Here we prepare several lemmas, the first one of which is the theorem by P. Mihăilescu (the Catalan conjecture).

<sup>1</sup>Lemma 3 shows that  $K$  is ribbon cobordant to  $K^*$  if  $\kappa$  is a ribbon knot, although it states that  $K$  is cobordant to  $K^*$ .

**Lemma 3.1** ([6, Theorem 5]). *The following equation has no other integer solutions but  $3^2 - 2^3 = 1$ .*

$$(3.1) \quad x^u - y^v = 1 \quad (x > 0, y > 0, u > 1, v > 1)$$

**Lemma 3.2** ([2, Theorem 1]). *Let  $A$ ,  $m$ , and  $n$  be integers such that  $A > 1$  and  $m > n \geq 1$ . Then  $P(A^m - 1) = P(A^n - 1)$  if and only if  $m = 2$ ,  $n = 1$ , and  $A = 2^l - 1$  for an integer  $l > 0$ .*

**Lemma 3.3.** *Let  $A$  be an integer such that  $A > 1$ . Then the followings hold.*

- (1)  $P(A^p + 1) = P(A + 1)$  for an odd integer  $p (> 1)$  if and only if  $p = 3$  and  $A = 2$ .
- (2)  $P(A^q - 1) = P(A + 1)$  for an even integer  $q (> 0)$  if and only if  $q = 2$  and  $A = 2^l + 1$  for an integer  $l \geq 0$ .

Proof. Since the if parts are easy to be checked, we only show the only if parts.

(1) First the following equation holds, since  $p$  is odd.

$$(3.2) \quad B = \frac{A^p + 1}{A + 1} = A^{p-1} - A^{p-2} + \cdots - A + 1 = \sum_{i=0}^{p-2} \binom{p}{i} (A + 1)^{p-i-1} (-1)^i + p$$

If  $p$  is prime, then we have that  $(B, A + 1) = (A + 1, p) = p$  from equation (3.2), and thus that  $P(B) = \{p\}$ , since  $P(B) \subset P(A^p + 1) = P(A + 1)$ . Moreover, we have that  $B \equiv p \pmod{p^2}$  also from equation (3.2), since  $A + 1 \equiv 0 \pmod{p}$ ,  $\binom{p}{p-2} \equiv 0 \pmod{p}$ . Hence we obtain that  $B = p$ . If  $p > 3$ , then we also have that

$$(3.3) \quad B = A^{p-1} - A^{p-2} + \cdots - A + 1 = A(A-1)(A^{p-3} + A^{p-5} + \cdots + 1) + 1 > A(A-1) \frac{p-1}{2} + 1 \geq p,$$

since  $A \geq 2$ . However then it contradicts that  $B = p$ . Therefore we have that  $p = 3$ . Then we have that  $A^2 - A + 1 = B = p = 3$  from equation (3.2), and thus that  $A = 2$ , since  $A > 1$ , which completes the proof.

If  $p$  is not prime, then let  $p'$  be a prime factor of  $p$ , and let  $p = p'r$  and  $B = A^r$ . Since  $r$  and  $p'$  are odd, we have that  $A + 1$  divides  $A^r + 1 = B + 1$  and that  $B + 1$  divides  $B^{p'} + 1$ . Hence we have that  $P(A + 1) \subset P(B + 1) \subset P(B^{p'} + 1) = P(A^p + 1)$ , since  $B^{p'} = A^p$ . Hence we have that  $P(B^{p'} + 1) = P(B + 1)$ , since  $P(A^p + 1) = P(A + 1)$ . Thus from the previous case, we have that  $p' = 3$  and  $B = A^r = 2$ , and thus  $A = 2$  and  $r = 1$ . However then, we have that  $p = p'r = 3$ , which contradicts that  $p$  is not prime.

(2) Since  $q$  is even, we have that  $q \geq 2$ . Hence we have that  $P(A - 1) \subset P(A^q - 1) = P(A + 1)$ , and thus that  $P(A^2 - 1) = P((A - 1)(A + 1)) = P(A + 1) = P(A^q - 1)$ . Thus we have that  $q = 2$  from Lemma 3.2. If  $A \neq 2 = 2^0 + 1$ , then we have that  $A - 1 > 1$  and thus that  $A + 1$  and  $A - 1$  are not coprime, since  $P(A - 1) \subset P(A + 1)$ . Hence we have that  $(A + 1, A - 1) = (A - 1, 2) = 2$ , since  $A + 1 = (A - 1) + 2$ . Therefore we obtain that  $A - 1 = 2^l$  for  $l > 0$ , which completes the proof.  $\square$

Using Lemma 3.1 and Lemma 3.3, we show the following.

**Proposition 3.4.** *Let  $A$ ,  $m$ , and  $n$  be integers such that  $A > 1$  and  $m, n \geq 1$ . Then we have the following.*

- (1)  $P(A^m + 1) = P(A^n + 1)$  ( $m > n$ ) if and only if  $m = 3$ ,  $n = 1$ , and  $A = 2$ ;

(2)  $P(A^m + 1) = P(A^n - 1)$  if and only if one of the following holds.

- (i)  $m = 1, n = 1$ , and  $A = 3$ ;
- (ii)  $m = 3, n = 2$ , and  $A = 2$ ;
- (iii)  $m = 2, n = 4$ , and  $A = 3$ ; and
- (iv)  $m = 1, n = 2$ , and  $A = 2^l + 1$  for an integer  $l \geq 0$ .

Proof. First we have the following for indeterminate  $X$  and positive integers  $s, t$ , and  $q$  and a non-negative integer  $r$  such that  $s = qt + r$ .

$$(3.4) \quad X^s \pm 1 = (X^t + 1)(X^{s-t} - X^{s-2t} + \cdots - (-1)^q X^{s-qt}) + (-1)^q X^r \pm 1$$

$$(3.5) \quad X^s + 1 = (X^t - 1)(X^{s-t} + X^{s-2t} + \cdots + X^{s-qt}) + X^r + 1$$

Let  $g = (m, n)$ . Then we have the following.

CLAIM 3.5.  $(A^m + 1, A^n + 1), (A^m + 1, A^n - 1) = 1, 2$  or  $A^g + 1$ .

Proof. For positive integers  $c_0$  and  $c_1$ , let  $(c_0, c_1) = (c_1, c_2) = \cdots = (c_{N-1}, c_N) = c_N$  be the sequence obtained by the Euclidian algorithm. Then letting  $c_i = q_{i+1}c_{i+1} + q_{i+2}$ , we also have the following from equations (3.4) and (3.5).

$$(3.6) \quad A^{c_{N-1}} \pm 1 = (A^{c_N} + 1)(A^{c_{N-1}-c_N} - A^{c_{N-1}-2c_N} + \cdots - (-1)^q A^{c_{N-1}-q_N c_N}) + (-1)^{q_N} A^0 \pm 1$$

$$(3.7) \quad A^{c_{N-1}} + 1 = (A^{c_N} - 1)(A^{c_{N-1}-c_N} + A^{c_{N-1}-2c_N} + \cdots + A^{c_{N-1}-q_N c_N}) + A^0 + 1$$

Hence by letting  $(c_0, c_1) = (m, n)$  or  $(n, m)$ , we have that  $(A^m + 1, A^n + 1), (A^m + 1, A^n - 1)$  is either  $A^g + 1$  or  $(A^g \pm 1, 2)$ , which induces the conclusion.  $\square$

Since the if parts are easy to be checked, we only show the only if parts.

(1) Since  $P(A^m + 1) = P(A^n + 1)$ , we have that  $A^m + 1$  and  $A^n + 1$  are not coprime, and thus that  $(A^m + 1, A^n + 1) = 2$  or  $A^g + 1$  from Claim 3.5. In the former case, we have that  $P(A^m + 1) = P(A^n + 1) = P(2) = \{2\}$ . Thus,  $A^m + 1 = 2^k$  and  $A^n + 1 = 2$  for  $k > 1$ , since  $m > n$ . However then, we have that  $A = 1$ , which contradicts that  $A > 1$ . In the latter case, we have that  $P(A^m + 1) = P(A^n + 1) = P(A^g + 1)$  and that  $m = gM$  with an odd integer  $M$  from equation (3.4). If  $M = 1$ , then  $m = g$ , which contradicts that  $m > n$ . Thus  $M$  is odd and  $M > 1$ . Then we have that  $M = 3$  and  $A^g = 2$  by Lemma 3.3 (1), and thus that  $A = 2, g = 1, m = gM = 3$ . Hence we have that  $n = g = 1$ , since  $m > n$ , which completes the proof.

(2) Since  $P(A^m + 1) = P(A^n - 1)$ , we have that  $A^m + 1$  and  $A^n - 1$  are not coprime, and thus that  $(A^m + 1, A^n - 1) = 2$  or  $A^g + 1$  from Claim 3.5. In the former case, we have that  $P(A^m + 1) = P(A^n - 1) = P(2) = \{2\}$ , and thus that  $A^m + 1 = 2$  or  $A^n - 1 = 2$ . If  $A^m + 1 = 2$ , then  $A^m = 1$ , which contradicts that  $A > 1$ . If  $A^m + 1 = 2^k$  ( $k > 1$ ) and  $A^n - 1 = 2$ , then we have that  $A = 3$  and  $n = 1$ , and thus that  $A^m + 1 = 3^m + 1 = 2^k$  ( $k > 1$ ). Then by Lemma 3.1, we have that  $m = 1$ , and thus obtain condition (i).

In the latter case, we have that  $P(A^m + 1) = P(A^n - 1) = P(A^g + 1)$  and that  $m = gM$  with an odd integer  $M$  from equation (3.4). Consider the case where  $M > 1$ . Then we have that  $M = 3$  and  $A^g = 2$  by Lemma 3.3 (1), and thus that  $A = 2, g = 1, m = gM = 3$ . Since  $A^m + 1 = 2^3 + 1 = 9$ , and thus  $P(2^n - 1) = P(9) = \{3\}$  and  $(A^m + 1, A^n - 1) = (9, 2^n - 1) = 3$ ,

Table 1. Ribbon knots with up to 10 crossings, where  $F(t; m, p, l) = \varphi(t; m, p, l)\varphi(t^{-1}; m, p, l)$

$K$	simple-ribbon	$\delta_2(K)$	$\det(K)$	$\Delta'_K(t)$
$6_1$	○	0	9	$F(t; 2, 0, 0) = 2-5t + 2t^2$
$8_8$	○	5	25	$F(t; 2, 1, -1) = 2-6t + 9t^2-6t^3 + 2t^4$
$8_9$	○	7	25	$F(t; 2, 2, 1) = 1-3t + 5t^2-7t^3 + 5t^4-3t^5 + t^6$
$8_{20}$	○	9	9	$F(t; 2, 1, 0) = 1-2t + 3t^2-2t^3 + t^4$
$9_{27}$	○	5	49	$F(t; 3, 1, 0) = 1-5t + 11t^2-15t^3 + 11t^4-5t^5 + t^6$
$9_{41}$	○	7	49	$F(t; 3, 0, 0) = 3-12t + 19t^2-12t^3 + 3t^4$
$9_{46}$	○	0	9	$F(t; 2, 0, 0) = 2-5t + 2t^2$
$10_3$	×	1	25	$6-13t + 6t^2$
$10_{22}$	×	11	49	$2-6t + 10t^2-13t^3 + 10t^4-6t^5 + 2t^6$
$10_{35}$	×	1	49	$2-12t + 21t^2-12t^3 + 2t^4$
$10_{42}$	○	9	81	$F(t; 3, 2, 0) = 1-7t + 19t^2-27t^3 + 19t^4-7t^5 + t^6$
$10_{48}$	×	91	49	$1-3t + 6t^2-9t^3 + 11t^4-9t^5 + 6t^6-3t^7 + t^8$
$10_{75}$	○	9	81	$F(t; 3, 3, 0) = 1-7t + 19t^2-27t^3 + 19t^4-7t^5 + t^6$
$10_{87}$	○	0	81	$F(t; 3, 2, -1) = 2-9t + 18t^2-23t^3 + 18t^4-9t^5 + 2t^6$
$10_{99}$	○	81	81	$F(t; 1, 1, 1)F(t; 2, 2, 0) = 1-4t + 10t^2-16t^3 + 19t^4-16t^5 + 10t^6-4t^7 + t^8$
$10_{123}$	×	1	121	$1-6t + 15t^2-24t^3 + 29t^4-24t^5 + 15t^6-6t^7 + t^8$
$10_{129}$	○	5	25	$F(t; 2, 1, 1) = 2-6t + 9t^2-6t^3 + 2t^4$
$10_{137}$	○	1	25	$F(t; 2, 0, 1) = 1-6t + 11t^2-6t^3 + t^4$
$10_{140}$	○	9	9	$F(t; 2, 1, 0) = 1-2t + 3t^2-2t^3 + t^4$
$10_{153}$	○	35	1	$F(t; 1, 1, 2) = 1-t^2 + 3t^3-t^4-t^5 + t^6$
$10_{155}$	○	7	25	$F(t; 2, 2, 1) = 1-3t + 5t^2-7t^3 + 5t^4-3t^5 + t^6$
$3_1 \# 3_1^*$	○	9	9	$F(t; 1, 1, 1) = 1-2t + 3t^2-2t^3 + t^4$
$4_1 \# 4_1$	○	1	25	$F(t; 2, 0, 1) = 1-6t + 11t^2-6t^3 + t^4$
$5_1 \# 5_1^*$	×	121	25	$1-2t + 3t^2-4t^3 + 5t^4-4t^5 + 3t^6-2t^7 + t^8$
$5_2 \# 5_2^*$	×	1	49	$4-12t + 17t^2-12t^3 + 4t^4$

we have that  $2^n - 1 = 3$  and thus that  $n = 2$ . Therefore we obtain condition (ii).

Next consider the case where  $M = 1$ , i.e.,  $m = g$ . Hence let  $n = mq$  ( $q \geq 1$ ) and  $D = A^m$ . Thus we have that  $P(D+1) = P(D^q - 1)$  and that  $(D+1, D^q - 1) = D+1$ . Therefore  $q$  is even, since otherwise  $D+1$  does not divide  $D^q - 1$ . Then we have that  $q = 2$  and  $D = 2^l + 1$  for  $l \geq 0$  by Lemma 3.3 (2). If  $m > 1$  and  $l > 1$ , then the equation  $A^m = 2^l + 1$  has the unique solution  $(A, m, l) = (3, 2, 3)$  by Lemma 3.1, and thus we obtain condition (iii). If  $m = 1$ , then we have that  $n = mq = 2$  and  $A = 2^l + 1$  for  $l \geq 0$ , i.e., condition condition (iv). If  $l = 0$  (resp. 1), then we have that  $A = 2$  (resp.  $A = 3$ ) and  $m = 1$ , and thus that condition (iv).  $\square$

Now using Proposition 3.4 and Lemma 3.2 we obtain the following.

**Lemma 3.6.** *Let  $p, q, r, s, M, N$  be positive integers with  $M \neq N$ . Then we have the following.*

- (1)  $(2^M - 1)^p \neq (2^N - 1)^r$ .
- (2) *If  $(2^M + 1)^q = (2^N + 1)^s$  ( $M > N$ ), then  $M = 3, N = 1$ , and  $s = 2q$ .*
- (3) *If  $(2^M + 1)^q = (2^N - 1)^r$ , then  $M = 3, N = 2, r = 2q$  or  $M = 1, N = 2, q = r$ .*
- (4)  $(2^M - 1)^p(2^M + 1)^q \neq (2^N - 1)^r(2^N + 1)^s$
- (5) *If  $(2^M - 1)^p(2^M + 1)^q = (2^N - 1)^r$ , then  $2M = N, p = q = r$ .*
- (6) *If  $(2^M - 1)^p(2^M + 1)^q = (2^N + 1)^r$ , then  $M = 1, N = 3, q = 2r$ .*

Proof. Note that if positive integers  $X, Y$  and non-negative integers  $p, q$  satisfies the equation  $X^p = Y^q$ , then  $P(X) = P(Y)$ . The first three statements are obtained by Lemma 3.2, Proposition 3.4 (1), and Proposition 3.4 (2), respectively. For the last three statements, note that  $P((2^M - 1)^p(2^M + 1)^q) = P(2^{2M} - 1)$ . Therefore (4) and (5) are obtained by Lemma 3.2, and (6) is obtained by Proposition 3.4 (2).  $\square$

Proof of Theorem 1.6. Let  $K$  be a knot of  $\mathcal{K}_m \cap \mathcal{K}_n$ . Then we have that  $\det(K) = (2^m - 1)^a(2^m + 1)^b = (2^n - 1)^c(2^n + 1)^d$  for some non-negative integers  $a, b, c$ , and  $d$  by Corollary 1.2. Thus we obtain the conclusion by Lemma 3.6.  $\square$

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