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PERIODIC AUTOMORPHISMS OF SURFACES AND COBORDISM

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0. Introduction

In this paper, we work in the differential category. Unless otherwise stated, a surface is an oriented closed, possibly disconnected, surface, and an automorphism is an orientation preserving self-homeomorphism. An automorphism of a surface (F, f) is said to be *null-cobordant* if there is a compact oriented 3-manifold M equipped with an automorphism (M, \hat{f}) , such that $\partial(M, \hat{f}) = (\partial M, \hat{f}|_{\partial M})$ is equal to (F, f) . We call this 3-manifold M the *null-cobordism* for (F, f) . Two automorphisms of surfaces (F_1, f_1) and (F_2, f_2) are *cobordant* if $(F_1, f_1) - (F_2, f_2)$ is null-cobordant. The cobordism classes form a group Δ_{2+} whose group law is induced by disjoint sum \amalg . Bonahon [B], Edmonds and Eving [EE] proved that Δ_{2+} is isomorphic to $\mathbb{Z}^2 \oplus (\mathbb{Z}/2\mathbb{Z})^2$. Bonahon asked the following question in his paper [B; section 9]

Given an automorphism of a surface (for instance presented as a product of Dehn twists), decide whether it is null-cobordant or not.

For the sake of characterizing null-cobordant automorphisms, we want to know, for arbitrary null-cobordant automorphism, what kind of 3-manifold can be constructed as its null-cobordism, and we want to get an explicitly constructed family of 3-manifolds in which, for any null-cobordant automorphism, we can find a null-cobordism of this automorphism. For example, if an automorphism of a 2-torus is null-cobordant then it bounds an automorphism of a solid torus ([B]). In this paper, we show that the same kind of things are true for other surfaces:

Theorem 1. *If an automorphism over a surface is null-cobordant, then this automorphism bounds an automorphism of a 3-manifold obtained by glueing 1-handles over disjoint union of orientable I -bundles over closed, possibly non orientable, surfaces, handlebodies, and trivalent manifolds (defined in section 3).*

Contents are as follows: in section 1, we review some results and terminologies in [B]. In section 2, we review some results on periodic maps, show that any periodic map *compresses* to a *trivalent map*, and introduce a graph which corresponds to a null-cobordant trivalent map. In section 3, we introduce a *trivalent manifold*

which is a null-cobordism of a null-cobordant trivalent map, and construct hyperbolic structures on these manifolds. In section 4, we give a proof of Theorem 1. In section 5, we apply trivalent maps and trivalent graphs for another problem. Let $\Delta_{2+}^P(n)$ denote the group of periodic cobordism classes of automorphisms (F, f) with period n . Bonahon [B; Proposition 8.3] proved that $\Delta_{2+}^P(n) \cong \mathbb{Z}^{[(n-1)/2]}$ (here, $[\]$ means “integer part”). We show this fact explicitly with giving the basis of this abelian group in terms of trivalent maps.

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1. Preliminaries

In this section, we review some results and terminologies in [B]. The following was shown:

Lemma [B; Lemma 5.2]. *If (F, f) is null-cobordant, it bounds an automorphism (M, \hat{f}) with M irreducible.*

For an irreducible 3-manifold M , its boundary may be compressible. Hence, we want to extract compressing discs of boundaries from this 3-manifold. A terminology was defined:

DEFINITION. A 3-manifold V is a *compression body* for a surface F if V is an irreducible 3-manifold formed from $F \times I$ by adding 2- and 3-handles to $F \times \{1\}$, i.e. V is obtained by adding 2-handles along thin regular neighborhoods of disjoint simple closed curves in $F \times \{1\}$ and capping off any 2-sphere boundary components this creates with 3-balls. There exists a partition $\partial V = \partial_e V \amalg \partial_i V$, where $\partial_e V = F \times \{0\}$, $\partial_i V = \partial V - \partial_e V$. We call $\partial_e V$ the *exterior boundary* and $\partial_i V$ the *interior boundary*.

We construct compression bodies for ∂M embedded in M . There exist a great variety of compression bodies, but there is a “maximal” one. Namely, Bonahon showed:

Theorem [B; Theorem 2.1]. *Let M be an irreducible, three manifold. There exists a compression body $V \subset M$ for ∂M , unique up to isotopy, such that $\overline{M - V}$ is ∂ -irreducible (and irreducible).*

We call the compression body V given in this Theorem the *characteristic*

compression body of M . For an irreducible and ∂ -irreducible manifold M' , Johannson [Jo], Jaco and Shalen [JS] showed:

Theorem [Jo], [JS]. *By a family of essential tori and annuli properly embedded in M' , which are not parallel pair by pair. M' is decomposed into two factors,*

- 1) *a Seifert factor: this factor consists of Seifert fibered manifolds and I-bundles over surfaces*
- 2) *a simple factor: this factor is atoroidal and anannular, but does not have Seifert fiber structure or I-bundle structure and this decomposition is unique up to isotopy.*

Hence, an irreducible 3-manifold M is decomposed into three factors, a characteristic compression body, a Seifert part, and a simple factor, unique up to isotopy. Bonahon deeply investigated this decomposition, and showed:

Proposition A ([B; Proposition 5.1]). *If (F, f) is null-cobordant, it bounds an automorphism (M^3, \hat{f}) where M split into three pieces V , M_I and M_P , preserved by \hat{f} , such that:*

- (1) *V is a compression body for ∂M and $\overline{M - V} = M_I \cup M_P$.*
- (2) *M_I is an orientable I-bundle over a closed, possibly non-orientable, surface.*
- (3) *The restriction of \hat{f} to M_P is periodic.*

In this paper, we study M_P , that is, for a given periodic null-cobordant automorphism (F_P, f_P) , we construct, explicitly, a 3-manifold \hat{M} such that there is a periodic automorphism (\hat{M}, \hat{f}) whose restriction to the boundary $(\partial \hat{M}, \hat{f}|_{\partial \hat{M}})$ is (F_P, f_P) . In section 3, we will show that this \hat{M} can be decomposed into hyperbolic 3-manifolds by essential tori. Hence, Theorem 1 is restated as follows:

Theorem 1'. *If (F, f) is null-cobordant, it bounds an automorphism of an irreducible 3-manifold whose Seifert factor consists of an orientable I-bundle over a surface and whose simple factor is a trivalent manifold (defined in section 3).*

2. Periodic automorphisms

An automorphism of a surface (F, f) is *periodic*, if there is positive integers n such that $f^n = \text{id}_F$. The *period* of (F, f) is the smallest positive integer which satisfies the above condition. Let n be the period of (F, f) . Denote $\text{Fix}_+ f = \{x \in F \mid \text{there exists a positive integer } m < n \text{ such that } f^m(x) = x\}$. For any periodic map (F, f) , its orbit space F/f is defined by identifying x in F with $f(x)$, let $\pi_f: F \rightarrow F/f$ be the quotient map. For any component F_i of F , the *period of f in F_i* is the period of the map $f|_{\hat{F}_i}$, where $\hat{F}_i = \pi_f^{-1}(\pi_f(F_i))$. If all the components of F have the same period n , then (F, f) is the periodic map with the *total period n* . For any periodic map (F, f) with the total period n , denote $\pi_f(\text{Fix}_+ f)$ by S_f and called

singular set of F/f and its elements are called *singular points*. Let O_i be any connected component of F/f and its elements are called *singular points*. Let O_i be any connected component of $F/f - S_f$, x_i be any point of O_i and \tilde{x}_i be any point in F such that $\pi_f(\tilde{x}_i) = x_i$. Define the homomorphism R_f from $\bigoplus_i \pi_1(O_i, x_i)$ to \mathbb{Z}_n as follows: Let λ be an element of $\pi_1(O_i, x_i)$, and let l be a loop representing λ . Let \tilde{l} be a path which begins at \tilde{x}_i and $\pi_f(\tilde{l}) = l$, where $\pi_f|_{\tilde{l}}$ is injective. There exists a positive integer r smaller than or equal to n such that $f^r(\tilde{x}_i)$ is the terminal point of \tilde{l} . We define $R_f(\lambda) = r$. We note that this definition does not depend on the choice of the base points \tilde{x}_i and the loops l and their lifts \tilde{l} on F . Since \mathbb{Z}_n is abelian, we can naturally define a homomorphism ρ_f from $H_1(F/f - S_f; \mathbb{Z})$ to \mathbb{Z}_n induced by R_f . For any point s_j of S_f , let D_i be a disk in F/f , which include s_j in its interior and is sufficiently small such that no other points s_j ($i \neq j$) is included in D_i . Define $I_f(s_i) = \rho_f([\partial D_i])$. We note that $I_f(s_i)$ is independent of the choice of D_i .

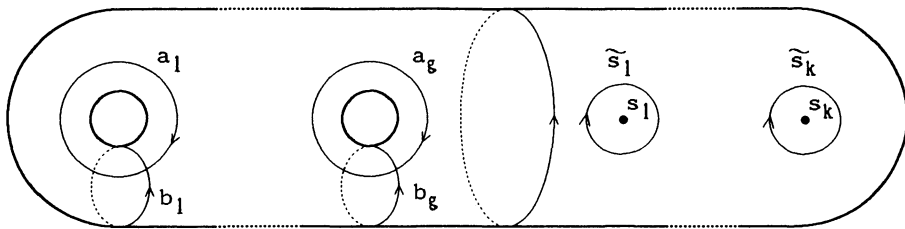


Fig. 1.

Let Σ_g be a connected surface of genus g , S a set of finite points in Σ_g . Denote by $\mathcal{P}_n(\Sigma_g, S)$ the set of the periodic map (F, f) with total period n such that $S_f = S$. A periodic map (F, f) with total period n is (n, g, k) -periodic map, if (F, f) is the element of $\mathcal{P}_n(\Sigma_g, S)$ where the number of the points of S is k . Two elements (F_1, f_1) and (F_2, f_2) of $\mathcal{P}_n(\Sigma_g, S)$ are equivalent if there exists an orientation preserving diffeomorphism $h: F_1 \rightarrow F_2$ such that $h \circ f_1 = f_2 \circ h$. Denote the set of equivalent classes in $\mathcal{P}_n(\Sigma_g, S)$ by $P_n(\Sigma_g, S)$. We take a model for Σ_g in the 3-dimensional Euclidean space as shown in Figure 1. Let $\text{Hom}(H_1(\Sigma_g - S), \mathbb{Z}_n)^*$ be the set of homomorphisms ω from $H_1(\Sigma_g - S)$ to \mathbb{Z}_n such that $\omega(\tilde{s}_i) \neq 0$ for every \tilde{s}_i . We say that two elements ω_1 and ω_2 of $\text{Hom}(H_1(\Sigma_g - S), \mathbb{Z}_n)^*$ are \mathcal{A} -equivalent, if there exists a homeomorphism h on (Σ_g, S) such that $\omega_1 \circ h_* = \omega_2$ where h_* is the automorphism of $H_1(\Sigma_g - S)$ induced by $h|_{\Sigma_g - S}$. We denote by $Q_n(\Sigma_g, S)$ the set of the \mathcal{A} -equivalent class of $\text{Hom}(H_1(\Sigma_g - S), \mathbb{Z}_n)^*$. Yokoyama [Y] showed the following theorem.

Theorem B

I) The map that associates with each (F, f) in $P_n(\Sigma_g, S)$ the homomorphism $\rho_f: H_1(\Sigma_g - S) \rightarrow \mathbb{Z}_n$ defines a one-to-one correspondence between $P_n(\Sigma_g, S)$ and $Q_n(\Sigma_g, S)$.

II) Any element of $Q_n(\Sigma_g, S)$ can be represented by homomorphism $\rho: H_1(\Sigma_g - S) \rightarrow \mathbb{Z}_n$ such that $\rho(a_1) = m$, $\rho(b_1) = 0$, $\rho(a_i) = \rho(b_i) = 0$ ($i \geq 2$) and, for $\theta_j = \rho(\tilde{s}_j)$, $1 \leq \theta_i \leq \theta_2 \leq \dots \leq \theta_k < n$, $\theta_1 + \dots + \theta_k \equiv 0 \pmod{n}$.

Corollary 2 [B; Lemma 8.2]. If $S_f = \emptyset$, then (F, f) bounds a periodic automorphism of a disjoint union of handlebodies.

Proof. Following from Theorem B, we can see that such a map is a composition of a transitive cyclic permutation of components of F and a rotation around the axis as in Figure 2. Since this map bounds an automorphism of a disjoint union of handlebodies, we get the result.

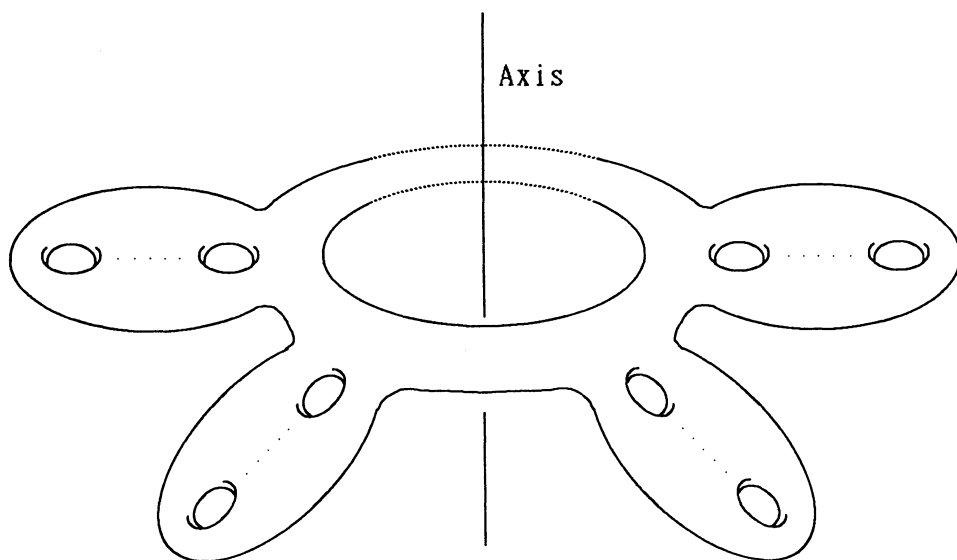


Fig. 2.

DEFINITION. A periodic map (F, f) is *trivalent map*, if it is a disjoint union of $(n, 0, 3)$ -periodic maps, i.e. the orbit space F/f is a disjoint union of 2-spheres and each components have three singular points.

The *genus* of a trivalent map (F, f) is the sum of genera of all components of F . By Theorem B, there exists a unique element of $P_n(S^2, \{x_1, x_2, x_3\})$ represented by a trivalent map (F, f) under the condition that $\theta_i = I_f(x_i)$ ($i = 1, 2, 3$). Represent this map (F, f) by $\{\theta_1, \theta_2, \theta_3; n\}$. This map $\{\theta_1, \theta_2, \theta_3; n\}$ is independent of the

choice of the order of $\theta_1, \theta_2, \theta_3$, as an element of $P_n(S^2, \{x_1, x_2, x_3\})$, we may assume $0 < \theta_1 \leq \theta_2 \leq \theta_3 < n$. Define $n_i = g.c.d.(\theta_i, n)$ ($i=1,2,3$), $N = g.c.d.(n_1, n_2, n_3)$. Then N is the number of the components of F . The genus G of the trivalent map $\{\theta_1, \theta_2, \theta_3; n\}$ is given by the following formula

$$G = N + \{n - (n_1 + n_2 + n_3)\} / 2$$

Here, we will give some examples of trivalent maps.

EXAMPLE. Using the above formula, we classify all trivalent maps on surfaces with genera 0,1,2 up to equivalence.

0) *Trivalent maps on 2-sphere.*

There is no trivalent map on disjoint union of 2-spheres.

1) *Trivalent maps on 2-tori.*

There are 6 types of trivalent maps on a 2-torus; (1) $\{1,1,1;3\}$, (1') $\{2,2,2;3\}$, (2) $\{1,1,2;4\}$, (2') $\{2,3,3;4\}$, (3) $\{1,2,3;6\}$, (3') $\{3,4,5;6\}$. Here, (1') is the same as (1) but the orientation reversed, and (2'), (3') are also. These maps are represented by 2×2 matrices; (1) $\begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix}$ (2) $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ (3) $\begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix}$. This is proved as follows:

For these maps, $N=1$ and $\{n - (n_1 + n_2 + n_3)\} / 2 = 0$ in the above formula for G . Therefore $n = n_1 + n_2 + n_3$. Divide this equation by n and replace n/n_i by m_i , then $1/m_1 + 1/m_2 + 1/m_3 = 0$. To satisfy this condition, (m_1, m_2, m_3) is one of $(3,3,3)$, $(2,3,6)$, $(2,4,4)$. By the definition of N , n must be $l.c.m.(m_1, m_2, m_3)$. For each (m_1, m_2, m_3) , we can reconstruct trivalent maps and get the result. On the disjoint union of 2-tori, trivalent maps whose orbit spaces are connected are constructed by combining the trivalent maps on one 2-torus with the cyclic transitive permutation of the components. For example there are 6 types of trivalent maps on a disjoint union of two 2-tori; (1) $\{2,2,2;6\}$, (1') $\{4,4,4;6\}$, (2) $\{2,2,4;8\}$, (2') $\{4,6,6;8\}$, (3) $\{2,4,6;12\}$, (3') $\{6,8,10;12\}$.

2) *Trivalent maps on a genus 2 closed surface Σ_2 .*

Trivalent map on Σ_2 is one of the following; (1) $\{1,2,2;5\}$, (1') $\{3,3,4;5\}$, (2) $\{1,1,3;5\}$, (2') $\{2,4,4;5\}$, (3) $\{2,5,5;6\}$, (3') $\{1,1,4;6\}$, (4) $\{4,5,7;8\}$, (4') $\{1,3,4;8\}$, (5) $\{1,4,5;10\}$, (5') $\{5,6,9;10\}$, (6) $\{2,3,5;10\}$, (6') $\{5,7,8;10\}$. This is proved by the two facts, (a) if a positive prime integer n is a period of a periodic map on a connected surface of genus g ($g \geq 2$), then $n \leq 2g+1$ (it is a corollary of Riemann-Hurwitz Relation (see [FK])), (b) the greatest number of the period of the periodic map over a connected surface of genus g ($g \geq 2$) is $2(2g+1)$ (see [H; Theorem 6]).

DEFINITION. An automorphism of surface (F_1, f_1) compresses to (F_2, f_2) , if there exists an automorphism of a compression body (V, \hat{f}) such that $(F_1, f_1) = (\partial_e V, \hat{f}|_{\partial_e V})$, $(F_2, f_2) = (-\partial_i V, \hat{f}|_{\partial_i V})$.

The following Theorem shows that trivalent maps are the essential parts of periodic maps.

Theorem 3. *Any periodic map compresses to a trivalent map.*

Proof. Let (F, f) be a periodic automorphism. For a simple closed curve l in $F/f - S_f$, let N be a thin regular neighborhood of l in $F/f - S_f$, and let $\coprod_j N_j = \pi_f^{-1}(N)$ be the decomposition into connected components. Cut the surface F along $\pi_f^{-1}(l)$, and denote $F^c = F - \coprod_j N_j$, then $(F^c, f|_{F^c})$ is a periodic map. A restriction of this map to the boundary, $(\partial F^c, f|_{\partial F^c})$, bounds a periodic map $((\coprod D_j) \amalg (\coprod D'_j), g)$, where $\partial D_j \amalg \partial D'_j = \partial N_j$ and $g|_{D_j}, g|_{D'_j}$ are rotations. We denote by s_j, s'_j the centers of these rotations. Let $\tilde{F} = F^c \cup ((\coprod D_j) \amalg (\coprod D'_j))$ where ∂F^c and $(\coprod \partial D_j) \amalg (\coprod \partial D'_j)$ are identified naturally. On this surface, we can obtain a periodic map (\tilde{F}, \tilde{f}) such that $(F^c, \tilde{f}|_{F^c}) = (F^c, f|_{F^c})$ and $((\coprod D_j) \amalg (\coprod D'_j), \tilde{f}|_{(\coprod D_j) \amalg (\coprod D'_j)}) = ((\coprod D_j) \amalg (\coprod D'_j), g)$. We say that (\tilde{F}, \tilde{f}) is obtained from (F, f) by an *equivariant 2-surgery* along l . If $\rho_f(l) \neq 0$, then $S_{\tilde{f}} = S_f \cup \{s_j, s'_j\}$ and $I_{\tilde{f}}(s_j) = -I_f(s'_j) = \pm \rho_f(l)$. If $\rho_f(l) = 0$ then $S_{\tilde{f}} = S_f$.

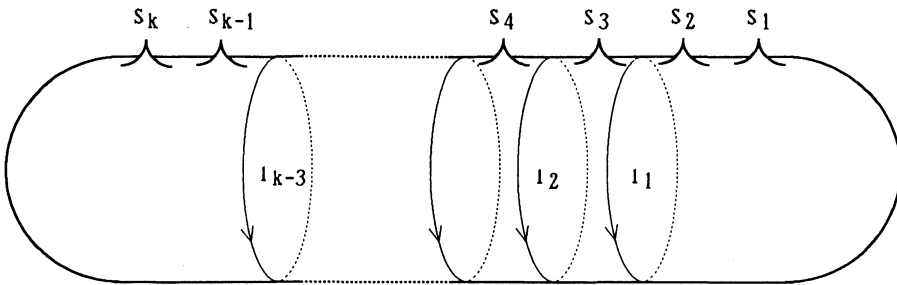


Fig. 3.

We can divide automorphism into parts which have total period n and n 's are different each other, and discuss each parts. Therefore, we assume the periodic map (F, f) has the total period n . For each component O of F/f , let l be a simple closed curve as in Figure 1. Perform an equivariant 2-surgery along l and obtain a periodic automorphism (F', f') . This periodic automorphism (F', f') is a disjoint union of $(n, 0, k)$ -periodic maps and $(n, g, 0)$ -periodic maps. Thus, by Corollary 2, (F, f) compresses to a disjoint union of $(n, 0, k)$ -periodic maps. For an $(n, 0, k)$ -periodic map (F', f') , perform equivariant 2-surgeries along mutually disjoint simple closed curves l_1, \dots, l_{k-3} as in Figure 3 and obtain a periodic map (F'', f'') .

which is a disjoint union of $(n,0,3)$ - and $(n,0,2)$ -periodic maps. Remark that, for each component of F''/f'' , the number of singular points is either two or three, depending on the value $\rho_f(l_i)$. An $(n,0,2)$ -periodic map is a composition of a transitive cyclic permutation of components and rotations of 2-spheres whose axes are the lines through north poles to south poles. These maps bound periodic maps on 3-balls. This shows that an $(n,0,k)$ -periodic map compresses to a disjoint union of $(n,0,3)$ -periodic maps, i.e. trivalent maps, and finishes the proof.

A periodic map (F, f) is *periodic null-cobordant*, if there exists a periodic map (M, \hat{f}) of a 3-manifold M such that $\partial(M, \hat{f}) = (F, f)$ and periodic maps $(F_1, f_1), (F_2, f_2)$ are *periodic cobordant*, if $(F_1, f_1) \sqcup (-F_2, f_2)$ is periodic null-cobordant. Remark that, for any periodic null-cobordant map (F, f) , periods of f in each component of F may be different. Let (M, \hat{f}) be the null-cobordism of (F, f) , for each component M_i of M , as is easy to see, the periods of f in each component of $F \cap \partial M_i$ are the same. Hence, for the sake of our investigation, it is sufficient to work on periodic maps with some total period. For any point x in F , let m be the smallest positive integer with $f^m(x) = x$. Then there exists an element ρ of \mathbb{Q}/\mathbb{Z} such that f^m is locally conjugate to a rotation of angle $2\pi\rho$ around x where the conjugation is given by the orientation preserving local automorphism. Denote this ρ by $r(f, x)$.

Bonahon [B; Proposition 8.1] showed the following proposition.

Proposition C. *If (F, f) is a periodic map, (F, f) is periodic null-cobordant if and only if $\text{Fix}_+ f$ admits a partition into pairs $\{x_i, x'_i\}$ such that:*

- (1) $r(f, x_i) + r(f, x'_i) = 0$.
- (2) For every i , $f(\{x_i, x'_i\}) = \{x_j, x'_j\}$ for some j .

The following lemma shows some relationship between $r(f, x)$ and $I_f(\pi_f(x))$:

Lemma 4. *Let (F, f) be a periodic map with the total period n . For two points x and x' in $\text{Fix}_+ f$, $r(f, x) + r(f, x') = 0$ if and only if $I_f(\pi_f(x)) + I_f(\pi_f(x')) = 0$.*

Proof. If the total period n is fixed, $r(f, x)$ and $I_f(\pi_f(x))$ are determined by each other, and this does not depend on the map f . Hence, it suffices to show the claim for $(n,0,2)$ -periodic maps, in which case the statement is trivial.

We can restate Proposition C in terms of $I_f(*)$:

Lemma 5. *A periodic map (F, f) with the total period n is periodic null-cobordant if and only if S_f admits a partition into pairs $\{s_i, s'_i\}$ such that $I_f(s_i) + I_f(s'_i) = 0$.*

Proof. First, we see the sufficiency. Let $\{x_i, x'_i\}$ be the lift of $\{s_i, s'_i\}$,

$r(f, x_i) + r(f, x'_i) = 0$ by Lemma 4. By the definition of $r(f, *)$, $r(f, x) = r(f, f(x))$ for all x in $\text{Fix}_+ f$, therefore $r(f, f(x_i)) + r(f, f(x'_i)) = 0$. We can see that a partition into pairs of S_f naturally induces a partition into pairs of $\text{Fix}_+ f$ which satisfies the condition mentioned in Proposition C.

Next, we see the necessity. Let $\text{Fix}_+ f = \{x \in \text{Fix}_+ f \mid r(f, x) \neq 1/2\}$. Then this set admits a partition into pairs $\{x_i, x'_i\}$ following from Proposition C. The subset $S_{f+} = \pi_f(\text{Fix}_+ f)$ of S_f admits a partition into pairs $\{s_i, s'_i\}$ such that $I_f(s_i) + I_f(s'_i) = 0$ following from Lemma 4. For each element s of $S_f - S_{f+}$, since any lift x of s satisfies $r(f, x) = 1/2$, $I_f(f, x)$ is equal to $n/2 \in \mathbb{Z}_n$. For each element s_i of S_{f+} , let D_i be a small 2-disk in F/f around s_i such that they do not intersect each other. By the definition of $I_f(*)$, we can see $\rho_f(\sum_i [\partial D_i]) = 0$. For each element $s'_j \in S_f - S_{f+}$, let D'_j be a small 2-disk in F/f around s'_j as above. Then $\sum_j [\partial D'_j] = -\sum_i [\partial D_i]$ and it follows that $\rho_f(\sum_j [\partial D'_j]) = 0$. By the definition of $I_f(*)$, $\rho_f(\sum_j [\partial D'_j]) = \sum_j I_f(s'_j)$. Since $I_f(s'_j) = n/2$, $S_f - S_{f+}$ consists of even number of points. The set $S_f - S_{f+}$ can admit a partition into pairs $\{s_j, s'_j\}$ such that $I_f(s_j) + I_f(s'_j) = 0$. Hence, S_f admits a partition into pairs which we need.

DEFINITION. For any periodic null-cobordant map (F, f) with total period n , define the set

$$P_f = \left\{ \left\{ \{s_i, s'_i\} \right\}_i \mid \begin{array}{l} \cup \{s_i, s'_i\} = S_f, \{s_i, s'_i\} \cap \{s_j, s'_j\} = \emptyset \text{ for any } i \neq j, \\ \text{and } I_f(s_i) + I_f(s'_i) = 0 \end{array} \right\}$$

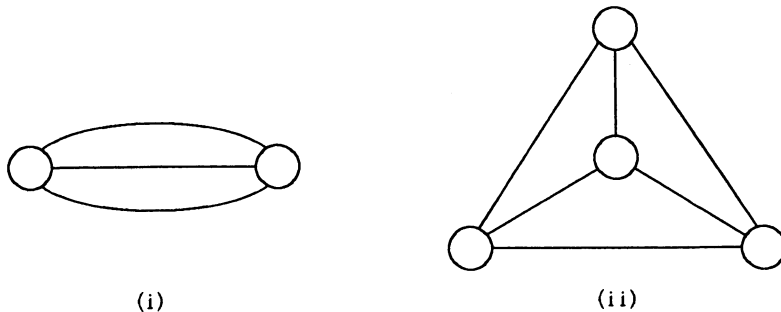


Fig. 4.

A graph Γ is a 1-dimensional finite CW-complex. A vertex of Γ is a 0-cell of Γ , an edge of Γ is a 1-cell of Γ . We call a graph Γ *trivalent* if, for each vertex, the number of edges which terminate at this vertex is three (here, remark that edges are not oriented). Clearly, the number of vertices of a trivalent graph

is even. A graph Γ' is a *subgraph* of a graph Γ , if Γ' is the subcomplex of Γ . In Figure 4, we give two simple examples of trivalent graphs, which play central roles in this paper. A subgraph C of Γ is *circuit* over Γ if C is homeomorphic to S^1 , and if the number of edges of C is l we call C a l -*circuit*. If the number of components of Γ is k and there exists an edge e_1, \dots, e_m such that $\Gamma - e_1 \cup \dots \cup e_m$ have $k+1$ connected components, then Γ is said to be m -*splittable*, and the set $\{e_1, \dots, e_m\}$ is called a *splitting edge set*. Let (F, f) be a periodic null-cobordant trivalent map, and $p \in P_f$. We can make a trivalent graph $\Gamma_{f,p}$ which corresponds to this map (F, f) and an element p of P_f , by identifying each component of F/f with the vertex of $\Gamma_{f,p}$ and each pair $\{s_i, s'_i\} \in p$ with the edge of $\Gamma_{f,p}$ which connect two vertices identified with two components of F/f including s_i and s'_i . Give an arbitrary orientation on each edge, if a terminal vertex of an oriented edge e corresponds to the component of F/f including s'_i , then give a weight $I_f(s'_i) \in \mathbb{Z}_n$ on this oriented edge. The weights on the graph $\Gamma_{f,p}$ depend on the orientation of edges, but we do not tell one from the others, that is, we regard the graphs in Figure 5 as the same weighted graphs.

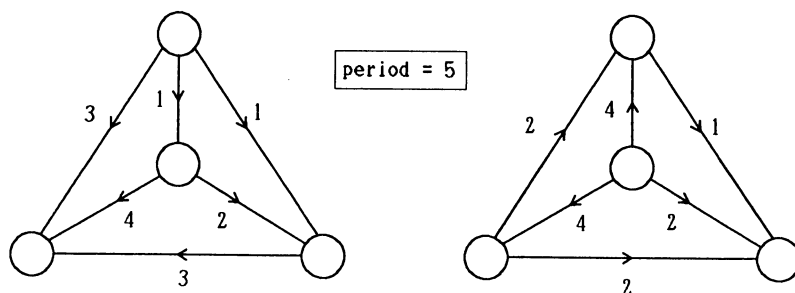


Fig. 5.

REMARK. Let $\Gamma_{f,p}$ be connected, $\{e_1, \dots, e_m\}$ be splitting edge set, and Γ_1, Γ_2 be the components of $\Gamma_{f,p} - e_1 \cup \dots \cup e_m$. Give an orientation of each e_i such that whose terminal vertex is in Γ_2 , then the summation of weights given to e_1, \dots, e_m is 0 (we can prove this fact by the induction of the number of vertices). From this fact, we can see that if $\Gamma_{f,p}$ has two vertices then $\Gamma_{f,p}$ is as in Figure 4(i).

3. Trivalent manifolds and their geometry

Regard S^3 as a 1-point compactification of \mathbb{R}^3 . Let \mathbb{R}^3 be the Euclidean 3-space. Let Γ be the set which consists of vertices and edges of a tetrahedra in $\mathbb{R}^3 \subset S^3$. This CW-complex Γ is the trivalent graph as in Figure 4(ii). Let $T = S^3$ -regular neighborhood of vertices of Γ , and $(T, \hat{\Gamma}) = (T, T \cap \Gamma)$. $\hat{\Gamma}$ is four arcs properly embedded in T . Let $\{(T_i, \hat{\Gamma}_i)\}_i$ be the arbitrary number of copies of $(T, \hat{\Gamma})$, $\{\{S_k, S'_k\}\}_k$ be the pairing of connected components of $\bigcup_i \partial T_i$ such that

$\{S_k, S'_k\} \cap \{S_l, S'_l\} = \emptyset$ for any $k \neq l$ and there may be some components of $\bigcup_i \partial T_i$

which are not included in $\bigcup_k (S_k, S'_k)$. T can be regarded as a 3-ball removed three 3-balls. For a pair $\{S_k, S'_k\}$, let T_{i_k}, T_{j_k} be the two of T_i 's which include S_k, S'_k as their boundary component. Put a mirror between T_{i_k}, T_{j_k} as in Figure 6. $(T_{i_k} \cup_{S_k} -S'_k T_{j_k}, \hat{\Gamma}_{i_k} \cup \hat{\Gamma}_{j_k})$ is a pair of a 3-manifold and arcs properly embedded in this 3-manifold which given as a result of identification of S_k, S'_k given by using this mirror. Do the same thing for other pairs, then we have a pair $(\hat{T}, \hat{\Gamma})$ of a 3-manifold and arcs properly embedded in this 3-manifold. Construct a cyclic branched covering \tilde{T} of this 3-manifold \hat{T} whose branch point set is $\hat{\Gamma}$. We call this 3-manifold \tilde{T} given as a result of this process a *trivalent manifold*.

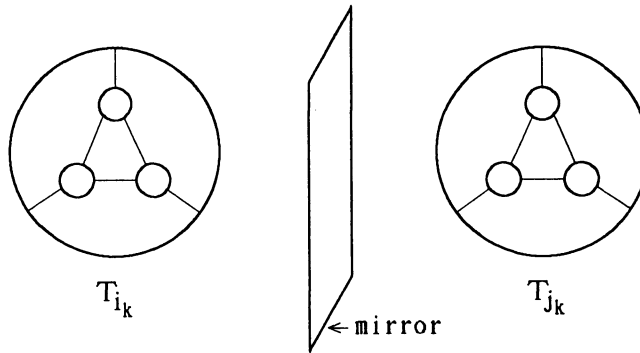


Fig. 6.

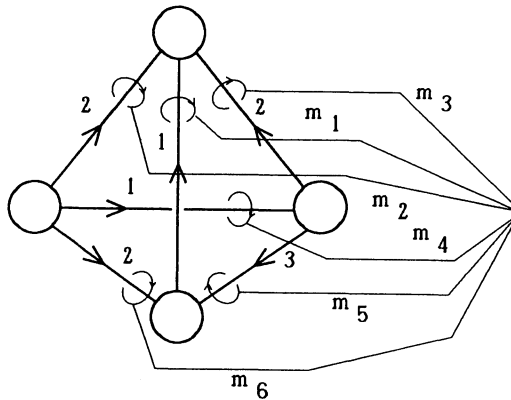


Fig. 7.

REMARK. The homeomorphism type of \tilde{T} is depend not only on $(\hat{T}, \hat{\Gamma})$ but also on the type of cyclic branched covering.

EXAMPLE. Let (F, f) be a trivalent map of period 4, and embed a graph $\Gamma_{f,p}$ with weight into S^3 as indicated in Figure 7. T is a 3-manifold constructed from a 3-sphere with removing neighborhood of each vertices. Define $\hat{\Gamma}_{f,p} = \Gamma_{f,p} \cap T$. The fundamental group of a space $T - \hat{\Gamma}_{f,p}$ is generated by the loops m_1, m_2, \dots, m_6 given in Figure 7. (As a system of generators of this fundamental group, four of them is enough.) We define a homomorphism ρ from $\pi_1(T - \hat{\Gamma}_{f,p}, *)$ to \mathbb{Z}_4 by $\rho(m_1)=1, \rho(m_2)=1, \rho(m_3)=2, \rho(m_4)=1, \rho(m_5)=3, \rho(m_6)=2$, we can easily check the well-definedness of this homomorphism. Let \hat{T}_0 be the covering space of $T - \hat{\Gamma}_{f,p}$ whose fundamental group is $\ker \rho$. Let $\pi: \hat{T}_0 \rightarrow T - \hat{\Gamma}_{f,p}$ be the branched covering associated to the covering $\hat{T}_0 \rightarrow T - \hat{\Gamma}_{f,p}$. The covering transformation group of $\pi: \hat{T}_0 \rightarrow T - \hat{\Gamma}_{f,p}$ is \mathbb{Z}_4 . The manifold T is a trivalent manifold, and a generator of this group $\hat{f}: \hat{T}_0 \rightarrow \hat{T}_0$ satisfies $\partial(\hat{T}_0, \hat{f}) = (F, f)$.

Any 3-manifold M which is a cyclic branched covering space of T whose branch point set is $\hat{\Gamma}$ (denote this cyclic branched covering by $\pi: M \rightarrow T$), has a hyperbolic structure with geodesic boundaries or cusps. This structure can be constructed as follows:

For a connected component l of $\hat{\Gamma}$, let x be a point in l , and D be the regular neighborhood of x in T sufficiently small such that D does not include points in $\hat{\Gamma} - l$. Let \tilde{D} be a component of $\pi^{-1}(D)$. Then, $\pi|_{\tilde{D}}: \tilde{D} \rightarrow D$ is a n -fold cyclic branched covering. This number does not depend on the choice of the point x in l , and the choice of \tilde{D} . We call this number n a *branching index* of l . For a periodic automorphism f on a surface F , by the same manner, we can define a *branching index* of $s \in S_f$. Here, we review the definition of a truncated tetrahedra [K]. Let L_1, L_2, L_3 and L_4 be geodesic planes in the 3-dimensional hyperbolic space H^3 , every two of which intersect each other, and every three of which intersect at infinity or do not intersect. For each three of them, say L_1, L_2 and L_3 , which do not intersect, there is unique geodesic plane P_{123} which intersects with them perpendicularly [K; Lemma 2.1]. The domain D in H^3 bounded by these L 's and P 's are called a *truncated tetrahedra*. The face of D which is a part of P 's is called a *truncation face*. For a truncated tetrahedra, label the internal edges as in Figure 8 and denote the dihedral angle along the edges j by φ_j . The sufficient and necessary condition of φ_j 's to the existence of a truncated tetrahedra whose dihedral angles are these numbers is

$$\left\{ \begin{array}{l} \varphi_1 + \varphi_2 + \varphi_3 \leq \pi \\ \varphi_1 + \varphi_5 + \varphi_6 \leq \pi \\ \varphi_2 + \varphi_4 + \varphi_6 \leq \pi \\ \varphi_3 + \varphi_4 + \varphi_5 \leq \pi \end{array} \right.$$

[K; Lemma 2.3].

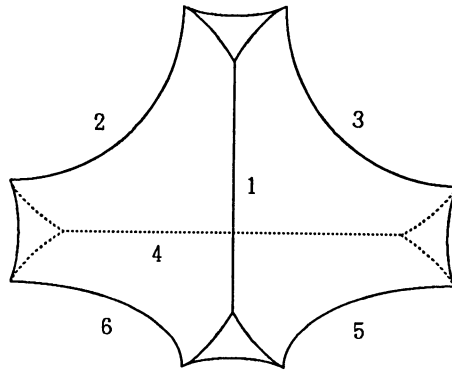


Fig. 8.

REMARK. In [K], the definition of a truncated tetrahedra is slightly different, namely the case which some three of L_1 , L_2 , L_3 and L_4 intersect at infinity is excluded, but, here, to avoid complexity, we do not exclude this case. Of course, the above sufficient and necessary condition is a little different, however, we can prove this in the same manner as [K].

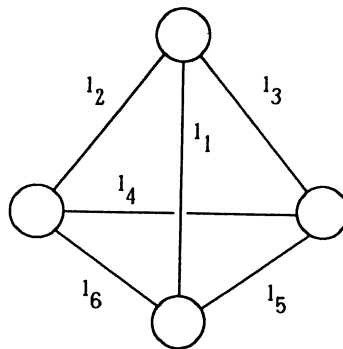


Fig. 9.

Label each component of $\hat{\Gamma}$ as in Figure 9. Let n_i be a branching index of l_i of the cyclic branched covering $\pi: M \rightarrow T$. Define $\varphi_i = \pi/n_i$, then φ_i 's satisfy the above condition, because each boundary of T is an orbit space of a trivalent map which acts on the surface with genus more than 1. Therefore, we have a truncated tetrahedra whose dihedral angles are φ_i 's. Make a double of this truncated tetrahedra along a surface which is not truncation face, then this define a hyperbolic orbifold structure on T whose singular locus is $\hat{\Gamma}$. Lift this hyperbolic orbifold

structure to M . Since, for each component l of $\hat{\Gamma}$, the total of the dihedral angle around $\pi^{-1}(l)$ is $(\pi/n_i \times 2) \times n_i = 2\pi$, this defines a hyperbolic structure on M .

Any trivalent manifold is constructed from a disjoint union of the above M 's with identifying some components of boundaries in a way compatible with the structure of the branched covering. This identification is given as an isometry on the hyperbolic structure constructed above. Therefore, we can give a hyperbolic structure to any trivalent manifold. We showed the following:

Proposition 6. *Any trivalent manifold is a compact, irreducible sufficiently-large 3-manifold, by essential tori, decomposed into hyperbolic 3-manifolds with geodesic boundaries or cusps.*

As a corollary of this Proposition and a relative version of Gromov's Theorem [T; 6.5.4], we can see the following:

Corollary. *Any trivalent manifold is not a Seifert fibered space.*

EXAMPLE. We will give a hyperbolic structure to a trivalent manifold \hat{T} of the last example. Let $H^3 = \{(x, y, z) \in \mathbb{R}^3 \mid z > 0\}$ be the upper half space with the hyperbolic metric. The domain $D_{1/2} = \{(x, y, z) \in H^3 \mid 0 \leq x \leq 1, 0 \leq y \leq x, z \geq \sqrt{(x-1/2)^2 + (y-1/2)^2}\}$ is a truncated tetrahedron. Make a double of $D_{1/2}$, then we get hyperbolic orbifold whose underlying space is T and whose singular locus is $\hat{\Gamma}$. Let G be the Kleinian group generated by

$$g_1 = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}, \quad g_2 = \begin{pmatrix} 1 & 2i \\ 0 & 1 \end{pmatrix}, \quad h_1 = \begin{pmatrix} 1 & 0 \\ -i-1 & 1 \end{pmatrix}, \quad h_2 = \begin{pmatrix} 1 & 0 \\ i-1 & 1 \end{pmatrix}$$

The fundamental domain of G is

$$\begin{aligned} D = & \{(x, y, z) \in H^3 \mid 0 \leq x \leq 1, 0 \leq y \leq 1, z \geq \sqrt{(x-1/2)^2 + (y-1/2)^2}\} \\ & \cup \{(x, y, z) \in H^3 \mid 0 \leq x \leq 1, -1 \leq y \leq 0, z \geq \sqrt{(x-1/2)^2 + (y+1/2)^2}\} \\ & \cup \{(x, y, z) \in H^3 \mid -1 \leq x \leq 0, -1 \leq y \leq 0, z \geq \sqrt{(x+1/2)^2 + (y+1/2)^2}\} \\ & \cup \{(x, y, z) \in H^3 \mid -1 \leq x \leq 0, 0 \leq y \leq 1, z \geq \sqrt{(x+1/2)^2 + (y-1/2)^2}\} \end{aligned}$$

H^3/G is a hyperbolic 3-manifold with four cusps given from D by identifying $\{(x, y, z) \in D \mid x = 1\}$ with $\{(x, y, z) \in D \mid x = -1\}$, $\{(x, y, z) \in D \mid y = 1\}$ with $\{(x, y, z) \in D \mid y = -1\}$, $\{(x, y, z) \in D \mid 0 \leq x \leq 1, 0 \leq y \leq 1, z \geq \sqrt{(x-1/2)^2 + (y-1/2)^2}\}$ with $\{(x, y, z) \in D \mid -1 \leq x \leq 0, -1 \leq y \leq 0, z \geq \sqrt{(x+1/2)^2 + (y+1/2)^2}\}$, $\{(x, y, z) \in D \mid 0 \leq x \leq 1, -1 \leq y \leq 0, z \geq \sqrt{(x-1/2)^2 + (y+1/2)^2}\}$ with $\{(x, y, z) \in D \mid -1 \leq x \leq 0, 0 \leq y \leq 1, z \geq \sqrt{(x+1/2)^2 + (y-1/2)^2}\}$. The interior of \hat{T} is homeomorphic to H^3/G . An

element of isometry of H^3 given by

$$\begin{pmatrix} e^{i\pi/4} & 0 \\ 0 & e^{-i\pi/4} \end{pmatrix}$$

induce an isomorphism \hat{f} on H^3/G . This map \hat{f} is a periodic map with period 4 and $(H^3/G, \hat{f})$ is periodic null-cobordism of (F, f) in the last example.

4. Proof of Theorem 1

In this section, we prove Theorem 1.

DEFINITION. The trivalent map (F, f) and $p \in P_f$ is simple piece if $\Gamma_{f,p}$ is one of the two types of trivalent graph given in Figure 4. If $\Gamma_{f,p}$ is Figure 4(i)(resp. Figure 4(ii)), (F, f) and p is called a simple piece of type I (resp. type II).

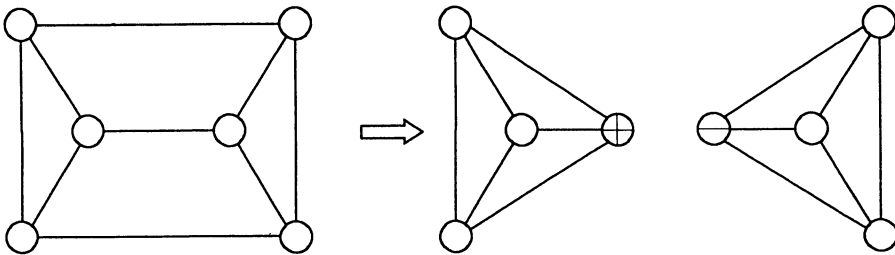


Fig. 10.

From here to the end of this paper, we write Γ_f instead of $\Gamma_{f,p}$ for the sake of avoiding complications of notation. But, remark that Γ_f is depend also on $p \in P_f$. Let (F, f) be a periodic null-cobordant trivalent map which corresponds to a graph Γ_f as in the left hand of Figure 10. We can modify the graph Γ_f to the disjoint union of two trivalent graphs $\Gamma_{f'}$, $\Gamma_{f''}$ by adding two vertices, where $\oplus = -\ominus = (\tilde{F}, \tilde{f})$. Let (F', f') , (F'', f'') be trivalent maps corresponding to $\Gamma_{f'}$, $\Gamma_{f''}$ and let (M', \hat{f}') , (M'', \hat{f}'') be periodic automorphisms which are periodic null-cobordisms of (F', f') , (F'', f'') . Then the periodic automorphism $(M' \cup_{\tilde{F}} M'', \hat{f}' \cup \hat{f}'')$ gives a periodic null-cobordism of (F, f) . Therefore, the periodic null-cobordism can be constructed by gluing periodic null-cobordisms of simple pieces of type II. The same holds for any periodic null-cobordant trivalent map (F, f) .

Proposition 7. Let (F, f) be any periodic null-cobordant trivalent map, then there is a disjoint union of trivalent manifolds and surface $\times I$ which is a periodic

null-cobordism of (F, f) .

Proof. We prove this by induction on the number c of components of F/f . If $c=2$, this proposition follows from Remark at the end of section 1. If $c \geq 4$, let C be the circuit of Γ_f which has the minimal number of edges, say m (see Figure 11). If m is 2, then Γ_f can be modified into a disjoint union of Γ'_f with $c-2$ vertices and simple piece of type I (see Remark at the end of section 1). If m is more than or equal to 3, then we can modify Γ_f in the dotted circle so as to be the disjoint

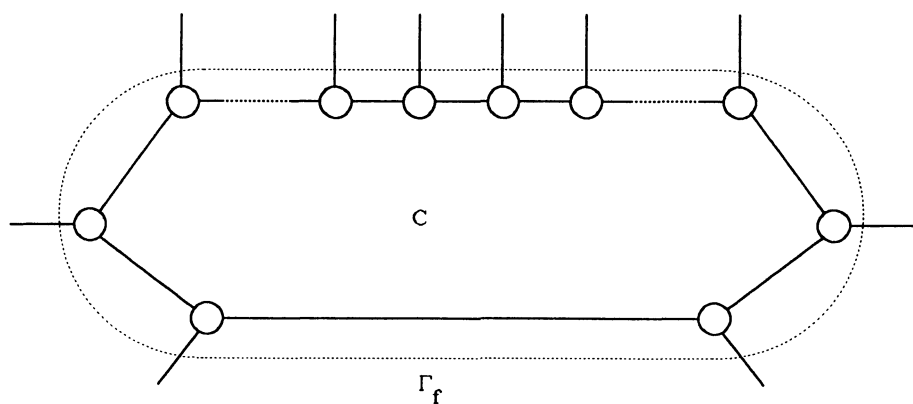


Fig. 11.

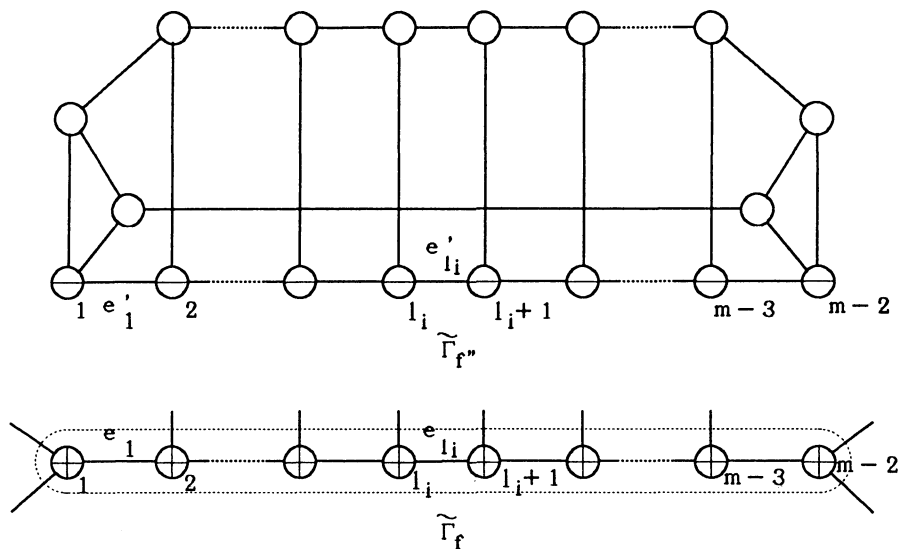


Fig. 12.

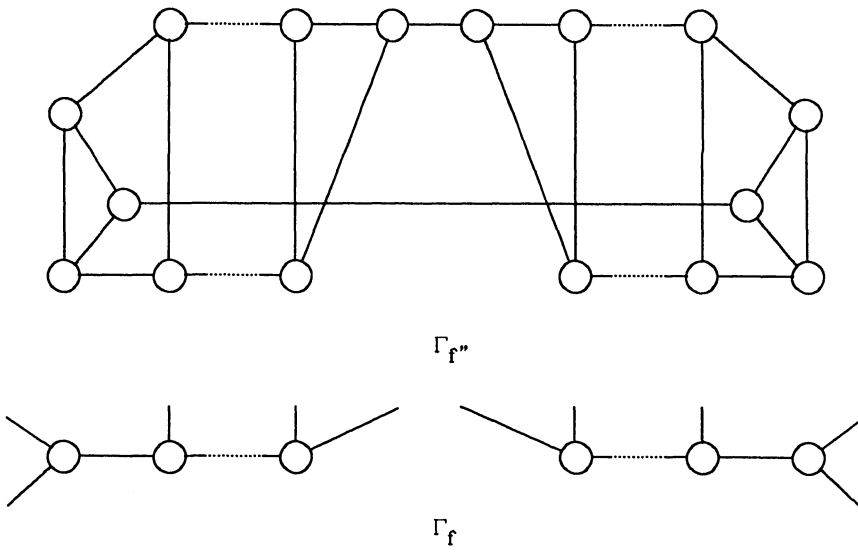


Fig. 13.

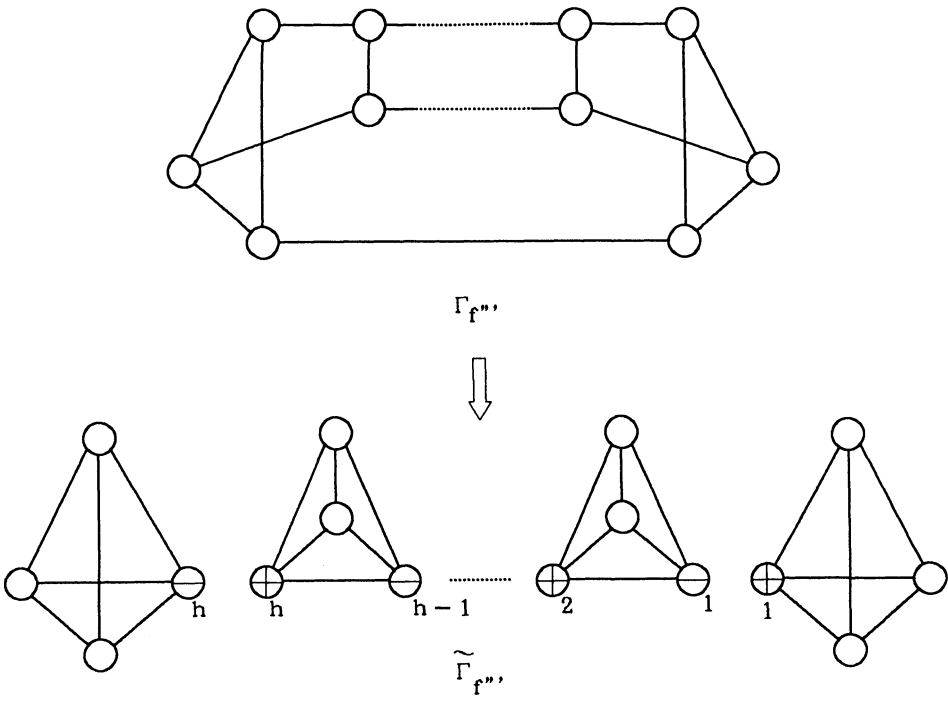


Fig. 14.

union of $\tilde{\Gamma}_{f'}$, and $\tilde{\Gamma}_{f''}$ by adding vertices with $\oplus_i = -\ominus_i$ and edges e_i, e'_i ($i=1, \dots, m-2$) as in Figure 12. Let (\tilde{F}', \tilde{f}') , $(\tilde{F}'', \tilde{f}'')$ be trivalent maps correspond to $\tilde{\Gamma}_{f'}$, $\tilde{\Gamma}_{f''}$. There may be edges whose end points have indices 0. Denote these edges by $e_{l_1}, \dots, e_{l_k}, e'_{l_1}, \dots, e'_{l_k}$. Periodic maps $\oplus_{l_i}, \ominus_{l_i}, \oplus_{l_i+1}, \ominus_{l_i+1}$, ($i=1, \dots, k$) are $(n, 0, 2)$ -periodic maps and bound periodic maps on 3-balls. Therefore, we can remove these maps and get two graphs $\Gamma_{f'}$, $\Gamma_{f''}$ (see Figure 13). Let trivalent maps (F', f') and (F'', f'') correspond to $\Gamma_{f'}$, $\Gamma_{f''}$. These trivalent maps (F', f') , (F'', f'') are periodic null-cobordant, and in a similar fashion as a discussion before the claim of this proposition, a periodic null-cobordism of (F, f) is constructed from periodic null-cobordisms of (F', f') and (F'', f'') . The trivalent graph $\Gamma_{f'}$ has fewer vertices than Γ_f , that is F'/f' has fewer components than F/f . By the assumption of induction, the periodic null-cobordism of (F', f') can be constructed from periodic null-cobordisms of simple pieces. For the periodic map (F'', f'') , by changing the pairing of $S_{f''}$, we can alter $\Gamma_{f''}$ to the disjoint union of trivalent graphs $\Gamma_{f'''} as in Figure 14. Let the periodic null-cobordant trivalent map (F''', f''') correspond to $\Gamma_{f'''}$. The trivalent graph $\tilde{\Gamma}_{f'''}$ is gotten from $\Gamma_{f'''}$ with adding $2h$ vertices $\oplus_1, \dots, \oplus_h, \ominus_1, \dots, \ominus_h$ where $\oplus_i = -\ominus_i$ ($i=1, \dots, h$). The periodic null-cobordant trivalent map corresponding to $\tilde{\Gamma}_{f'''}$ is a disjoint union of simple pieces of type II and a periodic null-cobordism of (F''', f''') is constructed from its periodic null-cobordism.$

By Proposition A, Theorem 3, and Proposition 7, we can prove Theorem 1, and by Theorem 1 and Corollary of Proposition 6, we can prove Theorem 1'.

5. Periodic cobordism groups

Let $\Delta_{2+}^P(n)$ denote the subgroup of periodic cobordism classes of automorphisms (F, f) with the total period n . Bonahon [B; Proposition 8.3] proved that $\Delta_{2+}^P(n) \cong \mathbb{Z}^{[(n-1)/2]}$ (here $[]$ means "integer part"). In this section, we give an explicit generator of this group by trivalent maps.

Theorem 8. *Let $x_i = \{1, i, n-1-i; n\}$ ($i=1, \dots, [(n-1)/2]$). Then*

$$\Delta_{2+}^P(n) \cong \mathbb{Z}x_1 \oplus \dots \oplus \mathbb{Z}x_{[(n-1)/2]}.$$

Proof. Following from Theorem 3, any periodic map is periodic cobordant to a trivalent map. Therefore, trivalent maps generate $\Delta_{2+}^P(n)$ with the relations represented by trivalent graphs Γ_f .

Claim 1. $x_1, \dots, x_{[(n-1)/2]}$ generate $\Delta_{2+}^P(n)$.

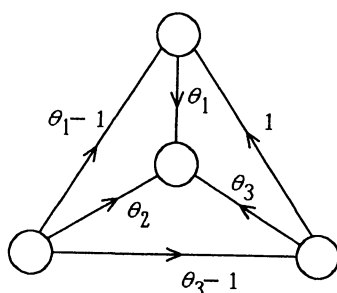


Fig. 15.

For any trivalent map $\{\theta_1, \theta_2, \theta_3; n\}$ (θ_1 is the least among θ_i 's and $\theta_i \neq 1$), $\{\theta_1, \theta_2, \theta_3; n\} = \{\theta_1 - 1, \theta_2, \theta_3 + 1; n\} + \{1, \theta_3, n - \theta_3 - 1; n\} - \{1, \theta_1 - 1, n - \theta_1; n\}$ as elements of $\Delta_+^P(n)$ (see Figure 15). By this formula, this claim is shown by induction on θ_1 .

Claim 2. There is no relation among x_i 's.

Let $\mathcal{F}_+^P(n)$ denote the set of oriented conjugacy classes of automorphisms (F, f) , where f preserves the orientation of F and is periodic with the total period n . This set $\mathcal{F}_+^P(n)$ is the abelian group where the group law is induced by disjoint sum \amalg . Let the integer $v_c(f)$ be the number of points $x \in S_f$ such that $I_f(x) = c$. If the period n is an odd integer, we can define the homomorphism $\bar{\psi}$ from $\mathcal{F}_+^P(n)$ to $\mathbb{Z}^{[(n-1)/2]}$ by:

$$\bar{\psi}(F, f) = (v_a(f) - v_{n-a}(f))_{a=1, \dots, [(n-1)/2]}.$$

Using Lemma 5, the homomorphism ψ from $\Delta_+^P(n)$ to $\mathbb{Z}^{[(n-1)/2]}$ is naturally induced from $\bar{\psi}$, and it is injective. Let ϕ be the natural surjective homomorphism from $\mathbb{Z}x_1 \oplus \dots \oplus \mathbb{Z}x_{[(n-1)/2]}$ to $\mathcal{F}_+^P(n)$. Then $\psi \circ \phi(x_1) = (2, -1, 0, \dots, 0)$, $\psi \circ \phi(x_i) = (1, 0, \dots, 0, 1, -1, 0, \dots, 0)$ ($i \neq 1, [(n-1)/2]$) and $\psi \circ \phi(x_{[(n-1)/2]}) = (1, 0, \dots, 0, 2)$. If $\text{Ker } \psi \circ \phi$ and $y = m_1x_1 + m_2x_2 + \dots + m_{[(n-1)/2]}x_{[(n-1)/2]}$, then $\psi \circ \phi(y) = (2m_1 + m_2 + \dots + m_{[(n-1)/2]}, m_2 - m_1, m_3 - m_2, \dots, m_{[(n-1)/2]} - m_{[(n-1)/2]-1}) = (0, \dots, 0)$. Therefore $y = 0$ and $\psi \circ \phi$ is injective. So, ϕ is an isomorphism. If the period n is an even integer, we can define the homomorphism $\bar{\psi}$ from $\mathcal{F}_+^P(n)$ to $\mathbb{Z}^{[(n-1)/2]} \oplus \mathbb{Z}_2$ by:

$$\bar{\psi}(F, f) = (v_a(f) - v_{n-a}(f))_{a=1, \dots, [(n-1)/2]}, \overline{v_{n/2}(f)},$$

which induces the injective homomorphism ψ from $\Delta_+^P(n)$ to $\mathbb{Z}^{[(n-1)/2]} \oplus \mathbb{Z}_2$. Let ϕ be as above, then $\psi \circ \phi(x_1) = (2, -1, 0, \dots, 0)$, $\psi \circ \phi(x_i) = (1, 0, \dots, 0, 1, -1, 0, \dots, 0)$ ($i \neq 1, [(n-1)/2]$) and $\psi \circ \phi(x_{[(n-1)/2]}) = (1, 0, \dots, 0, 1, 1)$. We can see $\psi \circ \phi$ is injective as above. Therefore, ϕ is an isomorphism.

REMARK. The homomorphism ψ is originally given by Bonahon [B] in the

proof of Proposition 8.3.

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