Title	Elastic, anelastic, and piezoelectric coefficients of α -quartz determined by resonance ultrasound spectroscopy
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Citation	Journal of Applied Physics. 100(5) p.053511-1-p.053511-7
Issue Date	2006-09-01
oaire:version	VoR
URL	https://hdl.handle.net/11094/84224
rights	This article may be downloaded for personal use only. Any other use requires prior permission of the author and AIP Publishing. This article appeared in Journal of Applied Physics, 100(5), 053511 (2006) and may be found at https://doi.org/10.1063/1.2335684 .
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Elastic, anelastic, and piezoelectric coefficients of α -quartz determined by resonance ultrasound spectroscopy

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(Received 10 March 2006; accepted 11 July 2006; published online 8 September 2006)

All independent components of the elastic constants, internal friction, and piezoelectric coefficients of synthetic α -quartz have been simultaneously determined by resonance ultrasound spectroscopy coupled with laser-Doppler interferometry. Seventeen crystals obtained from Z and X regions with various infrared-absorption values were used; for each crystal, a complete set of the coefficients was determined using 72 resonance frequencies, which were measured by a needle-transducer tripod in a vacuum at a constant temperature with frequency-error limit of 0.001%. The infrared-absorption value and grown region did not strongly affect the material coefficients. Among the eight (elastic plus piezoelectric) coefficients, piezoelectric coefficients were significantly different from previously reported values. The six independent internal-friction components showed a positive correlation with the temperature derivatives of the corresponding elastic constants, indicating that the dominant damping mechanism was the phonon-phonon scattering. Our values at 30 °C are C_{11} =86.76 GPa, C_{12} =6.868 GPa, C_{13} =11.85 GPa, C_{14} =−18.02 GPa, C_{33} =105.5 GPa, C_{44} =58.14 GPa, and e_{11} =0.151 C/m², and e_{14} =−0.061 C/m². © 2006 American Institute of Physics.
 [DOI: 10.1063/1.2335684]

I. INTRODUCTION

α -quartz has greatly benefited humans with its favorable piezoelectricity. It possesses 32-point-group symmetry, showing six independent elastic constants C_{ij}^E , two independent piezoelectric coefficients e_{ij} , and two dielectric constants ϵ_{ij}^S .¹ Besides, six internal frictions Q_{ij}^{-1} accompany the elastic constants.^{2,3} These material constants are essential for designing acoustic devices and have been reported by several researchers: Bechmann,⁴ Bechmann *et al.*,⁵ and Koga *et al.*⁶ used the plate-thickness resonance method; James⁷ determined the elastic constants by the pulse-echo method assuming the piezoelectric constants given by Cook and Weissler;⁸ and Kushibiki *et al.*⁹ determined all the material constants except for internal friction by the pulse-echo method. These previous studies, however, required many independent measurements on many crystals in many orientations to determine a complete set of coefficients through solving labyrinthine simultaneous equations. Various errors could occur, being associated with the use of different specimens, crystal misorientations, resonance-frequency shifts by attaching electrodes and coupling materials, ambiguous electric boundary conditions at the interfaces between the specimen and the coupling material, and so on. The complete internal-friction tensor Q_{ij}^{-1} of quartz has never been reported regardless of numerous studies on the elastic and piezoelectric properties.

Here, we intend to determine the independent elastic, anelastic, and piezoelectric coefficients of α -quartz by resonance ultrasound spectroscopy (RUS). All the C_{ij}^E and the combinations of e_{ij} and ϵ_{ij}^S affect mechanical free-vibration resonance frequencies of a piezoelectric material with different contributions, and we can, in principle, determine in-

versely all the coefficients by measuring many resonance frequencies. This approach was suggested by Ohno¹⁰ and Dunn *et al.*,¹¹ but was not actualized because of the small contributions of e_{ij} and mode-identification difficulties. We recently developed the needle-transducer tripod to measure the resonance frequencies of small specimens with sufficiently high accuracy to determine e_{ij} as well as C_{ij}^E . Furthermore, we used laser-Doppler interferometry (LDI) to identify the vibrational modes unambiguously.¹² We call this method the RUS/LDI method, and we applied it to study lithium niobate,¹³ langasite,³ and paratellurite.¹⁴ This task, however, becomes much more difficult for α -quartz because of much smaller magnitudes of the piezoelectric coefficients; their normalized sensitivities to the resonance frequencies are between 0.001 and 0.05. Therefore, we must measure and calculate the resonance frequencies very accurately. Even the ambient-pressure effect should be eliminated. Internal-friction measurement should also be done under vacuum condition to minimize the leakage of the vibrational energy into the air.

Here, we measure the resonance frequencies in a vacuum at a constant temperature and determine all the C_{ij}^E , e_{ij} , and Q_{ij}^{-1} of synthetic quartz crystals obtained from various ingots with different infrared-absorption coefficients and from different regions. In total, 17 crystals were used and these material constants were determined individually.

II. SPECIMENS

Material constants of α -quartz are written in contracted notation as

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TABLE I. Infrared-absorption value α (cm^{-1}), elastic constants C_{ij}^E (GPa), piezoelectric coefficients e_{ij} (C/m²), and mass density ρ (kg/m³) of α -quartz crystals at 30 °C. The rms errors between measured and calculated resonance frequencies after convergence of the inverse calculation are also shown.

α	Specimen	C_{11}	C_{12}	C_{13}	C_{14}	C_{33}	C_{44}	C_{66}^a	e_{11}	e_{14}	ρ	rms error (%)
0.024	QZ1-1 (1)	86.70	6.808	11.75	-18.06	105.42	58.22	39.94	0.150	-0.041	2643.51	0.068
	QZ1-2 (2)	86.73	6.913	11.82	-18.03	105.45	58.21	39.91	0.155	-0.050	2645.58	0.036
	QZ1-3 (3)	86.86	6.896	11.85	-18.07	105.54	58.28	39.98	0.151	-0.051	2645.31	0.055
0.049	QZ2-1 (4)	86.72	6.925	11.87	-17.92	105.11	58.07	39.9	0.145	-0.077	2642.21	0.061
	QZ2-2 (5)	86.70	6.917	11.89	-17.91	105.23	58.09	39.89	0.147	-0.082	2645.02	0.063
	QZ2-3 (6)	86.69	6.935	11.81	-17.98	105.33	58.24	39.88	0.155	-0.062	2644.13	0.052
	QZ2-4 (7)	86.76	6.872	11.94	-18.01	105.43	58.2	39.94	0.139	-0.057	2646.49	0.061
	QZ2-5 (8)	86.71	6.897	11.89	-18.01	105.48	58.25	39.91	0.150	-0.050	2644.86	0.057
0.068	QZ3-1 (9)	86.95	6.933	11.9	-18.06	105.62	58.09	40.01	0.155	-0.066	2643.78	0.082
	QZ3-2 (10)	86.79	6.900	11.82	-18.04	105.54	58.11	39.94	0.159	-0.057	2644.81	0.035
	QZ3-3 (11)	86.86	6.801	11.92	-18.12	105.6	58.06	40.03	0.151	-0.053	2645.55	0.070
0.12	QZ4-1 (12)	86.69	6.956	11.79	-17.98	105.36	58.02	39.87	0.159	-0.068	2641.70	0.066
	QZ4-2 (13)	86.75	6.918	11.67	-17.99	105.46	58.03	39.92	0.158	-0.071	2644.90	0.045
	QZ4-3 (14)	86.80	6.851	11.82	-18.08	105.54	58.12	39.97	0.158	-0.051	2644.66	0.029
	QZ4-4 (15)	86.86	6.665	11.84	-18.07	105.5	58.05	40.1	0.133	-0.061	2646.25	0.061
0.12	QX-1 (16)	86.66	6.785	11.93	-18.01	105.56	58.16	39.94	0.148	-0.065	2645.92	0.067
	QX-2 (17)	86.74	6.784	11.95	-18.01	105.63	58.19	39.98	0.147	-0.067	2644.82	0.074
	Average	86.76	6.868	11.85	-18.02	105.46	58.14	39.95	0.151	-0.061	2644.67	0.058
	SD (%)	0.09	1.11	0.62	0.30	0.13	0.14	0.15	4.75	17.8	0.049	...

$$^a C_{66} = (C_{11} - C_{12})/2.$$

$$[C_{ij}] = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & 0 & 0 \\ C_{12} & C_{11} & C_{13} & -C_{14} & 0 & 0 \\ C_{13} & C_{13} & C_{33} & 0 & 0 & 0 \\ C_{14} & -C_{14} & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & C_{14} \\ 0 & 0 & 0 & 0 & C_{14} & C_{66} \end{bmatrix}, \quad (1)$$

$$[e_{ij}] = \begin{bmatrix} e_{11} & -e_{11} & 0 & e_{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & -e_{14} & -e_{11} \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \quad (2)$$

$$[\epsilon_{ij}^S] = \begin{bmatrix} \epsilon_{11}^S & 0 & 0 \\ 0 & \epsilon_{11}^S & 0 \\ 0 & 0 & \epsilon_{33}^S \end{bmatrix}. \quad (3)$$

We omit the superscript E for C_{ij} for simplicity. All the coefficients contribute to the free-vibration resonance frequencies, but e_{ij} and ϵ_{ij}^S cannot be determined separately because their combinations affect the mechanical vibrations. We then used the averaged values given by Bechmann,⁴ James,⁷ and Kushibiki *et al.*⁹ for the dielectric constants as $\epsilon_{11}^S/\epsilon_0 = 4.424$ and $\epsilon_{33}^S/\epsilon_0 = 4.632$, where ϵ_0 denotes the vacuum permittivity. (The dielectric constants accurately obtained from the low-frequency capacitance measurement by several researchers agree well.)

Seventeen specimens were cut into rectangular parallel-piped from different quartz ingots synthesized by hydrothermal crystallization method. Their infrared-absorption values (α values) at 3585 cm^{-1} , corresponding to a OH absorption,¹⁵ ranged between 0.024 and 0.12 cm^{-1} , which

has been correlated with the Q value of quartz oscillators.¹⁶ The specimens were mainly obtained from the $-Z$ region, but two specimens were from the $+X$ region, where the dislocation density is much higher,¹⁷ for investigating the effect of dislocations on the material constants. (The definition of the grown region, such as $+X$, is shown in detail in Ref. 17.) We classify the specimens into five groups; QZ1, QZ2, QZ3, QZ4, and QX, reflecting the infrared-absorption value and the grown region (see Table I). Dimensions of the specimens were about 4.8, 5.2, and 5.8 mm along the crystallographic X , Y , and Z axes, respectively, which were the averages of ten measurements using a micrometer with the absolute accuracy of 1 μm . Thus, the error from the dimension measurement is expected to be less than 0.02%. By Laue x-ray diffraction, we confirmed the crystal orientation within 1°. Specimen mass was measured by a microbalance with the accuracy of 0.002% and the mass density was determined from the mass and dimensions.

III. METHODS

Details of the RUS/LDI method appear elsewhere.^{3,12,13} In this study, all the components were placed in a vacuum chamber (~ 1 Pa) to avoid the effect of the ambient pressure on the resonance frequencies and the leakage of the vibrational energy into air. The specimen was put on the needle-transducer tripod, consisting of two needle-type transducers for generation and detection and one needle-thermocouple support, which touched the specimen and measured the specimen temperature. No external force was applied to the specimen except for gravity. The resonance frequencies were measured at 30 °C three times for each specimen to see the

FIG. 1. Resonance spectrum observed for QZ1-1 in a vacuum. The inset shows resonance-peak shape.

reproducibility; after measuring the resonance frequencies, we took the specimen out of the vacuum chamber, replaced it on the tripod, and again measured the frequencies in vacuum. Differences of the resonance frequencies among the three measurements were smaller than 0.001% regardless of the different contact points between the specimen and the needles. Thus, high reproducibility was confirmed. Figure 1 shows an example of the measured resonance spectrum.

Inverse calculation was performed by Lagrangian minimization with a Rayleigh-Ritz approach.^{3,10,14} The displacements and electric potential were expanded into linear combinations of basis functions ϕ_k composed of Legendre functions:

$$\phi_k = \frac{1}{\sqrt{L_1 L_2 L_3}} \sqrt{\frac{2l+1}{2}} \sqrt{\frac{2m+1}{2}} \sqrt{\frac{2n+1}{2}} \times P_l\left(\frac{x_1}{L_1}\right) P_m\left(\frac{x_2}{L_2}\right) P_n\left(\frac{x_3}{L_3}\right). \quad (4)$$

Hence x_1 , x_2 , and x_3 denote Cartesian coordinates along the X , Y , and Z crystallographic axes, respectively. L_i denotes the edge length along the x_i axis of the rectangular-parallelepiped crystal. l , m , and n denote the order numbers of the Legendre polynomial. Poor contributions of the piezoelectric coefficients to the resonance frequencies forced us to involve higher-order Legendre polynomials than did previous studies. Figure 2 shows differences of the calculated resonance frequencies from those calculated using many Legendre polynomials up to $N=26$, where $N=l+m+n$. The case of $N=26$ involves a sufficiently large number of basis functions, and it provides resonance frequencies close to the true values. Thus, Fig. 2 indicates that we can calculate the resonance frequencies of the first 80 modes with errors less than 0.0034% when we use Legendre functions up to $N=18$. The total number of basis functions in this case reaches near 15 000, implying a very long calculation time. However, because the free vibrations of an oriented rectangular-parallelepiped α -quartz crystal fall into four vibrational groups labeled as A_g , B_g , A_u , and B_u , according to the deformation symmetry,¹⁰ this number decreases to about 3 500 for each group, requiring much shorter calculation time (a few minutes with a conventional desktop computer).

We have to pair up a measured frequency with a calculated frequency in the inverse calculation. Because the vibrational modes of the calculated frequencies are exactly known, we must identify observed frequencies. This task,

mode identification, is essential in RUS studies and mode misidentification is fatal for determining weakly contributing piezoelectric coefficients. We achieved mode identification by the LDI method: after completing all the frequency and the internal-friction measurements, we deposited a 100 nm aluminum thin film on the Z face of the crystal and scanned the resonating surface with a He-Ne laser beam, measuring the Doppler frequency shift of the laser beam, which was proportional to the particle velocity or to the displacement amplitude in harmonic oscillation. The diameter of the laser spot was about 50 μm . Then, measured out-of-plane-displacement distributions were compared with those calculated. Figure 3 shows four examples of comparison between the measured and calculated displacement distributions, showing excellent agreement. Such a vibrational-mode figure is uniquely determined for an individual mode, like a fingerprint. Thus, comparison between the measured and calculated displacement distributions leads us to unambiguous mode identification. We identified 72 resonance modes, whose frequencies were used to determine the eight coefficients.

Internal friction Q_{ij}^{-1} can be defined as the ratio of imaginary to real parts of the complex elastic stiffness.²

FIG. 2. Normalized differences of resonance frequencies calculated with various $N(=l+m+n)$ values from those with sufficiently large number of Legendre basis functions with $N=26$. Specimen was QZ1-1.

FIG. 3. Measured (left) and calculated (right) distributions of the out-of-plane displacement on the Z surface of QZ1-1. The bright regions are anti-node regions and the dark lines represent nodal lines. The maximum amplitude was about 0.8 nm. These figures provide unambiguous vibration-mode identification.

$$\tilde{C}_{ij} = C_{ij}(1 + jQ_{ij}^{-1}). \quad (5)$$

There are six independent internal frictions in α -quartz. The internal-friction tensor Q_{ij}^{-1} permits us to predict unmeasurable mechanical loss of any ultrasonic mode and helps select less lossy propagation and polarization directions in designing acoustic devices. The independent components are inversely determined from the Q^{-1} values of many vibrational modes using the calculated contribution of each internal-friction component to the observed value.³ The Q^{-1} value of each resonance peak was determined from its half-maximum peak width. Unlike the elastic constants and piezoelectric coefficients, the internal-friction measurement is easily affected by the contact conditions at the specimen/transducer interfaces. We then measured the Q^{-1} values of individual

FIG. 4. Normalized contributions of eight coefficients $|p/f(df/dp)|$ to resonance frequencies calculated for the crystal QZ1-1.

peaks three times for each specimen and averaged the results in each specimen group.

IV. RESULTS AND DISCUSSION

Table I shows the determined coefficients for all specimens. We define $|p/f(df/dp)|$ as the contribution of a particular coefficient p (one of C_{ij} and e_{ij}) to a resonance frequency f . Figure 4 shows the contributions of the eight coefficients calculated by the Rayleigh-Ritz method. The contributions of e_{ij} are between 0.1% and 5%, indicating that we must measure and calculate the resonance frequencies and measure dimensions and mass density with errors one or two orders of magnitude smaller than the contributions. This demand was also required for the conventional pulse-echo method, but a velocity measurement with 0.01% error limit would be difficult because of uncertain electric boundary conditions at the coupling-material/specimen interface, non-trivial correction for diffraction, wave form distortion caused by the internal reflections within the coupling material, and so on. On the other hand, the resonance frequencies are unambiguously measured with the error limit of 0.001% by the RU/LDI measurement in a vacuum without using any coupling materials and applied forces. They are also accurately calculated with errors smaller than 0.0034% (Fig. 2). Mass of the specimen was measured by a microbalance with an accuracy of 0.002%. The maximum error is then involved in dimensions, which is about 0.02%, and minimized by averaging the resultant coefficients of many specimens.

Figure 5 shows differences of the measured resonance frequencies and those calculated involving (i) both e_{11} and e_{14} , (ii) only e_{14} , and (iii) only e_{11} . The rms difference between measurements and calculations becomes minimum ($\sim 0.06\%$) when both coefficients are involved, demonstrating that the piezoelectric coefficients sufficiently affect the resonance frequencies. Furthermore, the previous studies used several different specimens to determine a set of coefficients, but we determine all the coefficients simultaneously from only one small specimen using a much larger number of measurements. (Use of 72 frequencies corresponds to 72

FIG. 5. Comparison between measured resonance frequencies and calculated ones including e_{11} and e_{14} and neglecting e_{11} and e_{14} . Results for QZ1-1, QZ2-1, QZ3-1, and QZ4-1 are shown together.

measurements in different directions with various polarizations in the pulse-echo method.) Therefore, the accuracy in the present study is expected to be higher.

Figures 6 and 7 compare our results with previous reports. Sorokin *et al.*¹⁸ suggested that C_{66} was affected by the crystal grade (α value), but we observe no remarkable dependences of the coefficients on the crystal grade and the grown region. We then averaged all the results of the 17 specimens to provide the eight (elastic plus piezoelectric) coefficients of α -quartz determined by the RUS/LDI method,

FIG. 7. Piezoelectric coefficients determined by the RUS/LDI method. Previous reports are shown by lines for comparison.

which are shown in Table II together with those reported previously. We note that previous values agree well with each other even for the small contributing e_{14} . This agreement is most surprising considering the ambiguity associated with the contacting measurements. Our values agree with the previous values within the error limits except for C_{33} and the two piezoelectric coefficients; our C_{33} is smaller than the previous values by $\sim 0.3\%$, our e_{11} is smaller by $\sim 15\%$, and our e_{14} is larger in magnitude by $\sim 35\%$. The temperature dependence of C_{33} partially explains this discrepancy; using the temperature coefficient of $-1.93 \times 10^{-4} \text{ K}^{-1}$ for C_{33} ,⁹ we find that C_{33} decreases by 0.2% with a 10 K temperature increase. However, the temperature dependence of e_{ij} is

FIG. 6. Elastic constants determined by the RUS/LDI method. Previous reports are shown by lines for comparison.

TABLE II. Elastic constants C_{ij}^E (GPa) and piezoelectric coefficients e_{ij} (C/m²) of α -quartz.

	Present (30 °C)	Kushibiki <i>et al.</i> (Ref. 9) (23 °C)	James (Ref. 7) (25 °C)	Koga <i>et al.</i> (Ref. 6) (20 °C)	Bechmann (Ref. 4) (20 °C)
C_{11}	86.76	86.80	86.79	86.83	86.74
C_{12}	6.868	7.036	6.790	7.090	6.99
C_{13}	11.85	11.94	12.01	11.93	11.91
C_{14}	-18.02	-18.06	-18.12	-18.06	-17.91
C_{33}	105.46	105.78	105.79	105.94	107.2
C_{44}	58.14	58.22	58.21	58.26	57.94
C_{66}^a	39.95	39.88	40.00	39.87	39.88
e_{11}	0.151	0.172	0.171	0.175	0.171
e_{14}	-0.061	-0.039	-0.041	-0.041	-0.041

$$^a C_{66} = (C_{11} - C_{12})/2.$$

much smaller. Therefore, the discrepancies of our piezoelectric coefficients from those reported are significant.

Internal-friction values measured in a vacuum were smaller than those measured in ambient pressure by factors of 1.2–2.8, indicating the necessity of the internal-friction measurement in a vacuum. Figure 8 shows the diagonal internal frictions determined inversely, which are of the order of 10^{-5} . Heyliger *et al.*¹⁹ measured internal friction of a natural quartz by the conventional RUS method, giving Q^{-1} values of about 5×10^{-4} . They noted that this value was larger than that of a high-quality quartz ($\sim 1.0 \times 10^{-5}$), and they suggested that this discrepancy was caused by the higher dislocation density in their specimen. However, our result did not show significant dependence on the grown region. Dislocation density is much larger in the X region of a synthetic quartz,¹⁷ but lower internal friction of the QX specimens disputes the dislocation principal damping mechanism. Furthermore, the α value affected internal friction very little. The α value indicates the impurity concentration and has been correlated with the Q value of a quartz oscillator.¹⁶ The result in Fig. 8 indicates that such a damping mechanism will be insignificant for frequencies below 1 MHz used here.

We therefore consider phonon-phonon interactions as the major damping mechanism in the frequency region used here. Acoustic vibrations disturb the equilibrium state of thermal phonons, and scattered phonons tend to equilibrate through interactions with low-frequency acoustic phonons and thermal-mode phonons. This process is irreversible and

FIG. 8. Diagonal components of the internal-friction tensor. Note that two shear components exceed two longitudinal components.

causes energy loss. The energy loss is determined by lattice anharmonicity and relates closely with a dimensionless constant, the Grüneisen parameter γ (Akheiser mechanism²⁰). In a low-frequency region, the loss is proportional to $\gamma^2/\rho v^2$, where ρ and v denote the mass density and the sound velocity, respectively.²¹ We assume that this relationship applies to individual modes, that is,

$$Q_{ij}^{-1} \propto \frac{\gamma_{ij}^2}{C_{ij}}, \quad (6)$$

where γ_{ij} denotes the Grüneisen parameter of the vibrational mode governed by C_{ij} . The mode Grüneisen parameter is also related with the temperature derivatives of the corresponding elastic constants. Ledbetter²² derived a formulation connecting the bulk-modulus temperature derivative with γ . We here assume that his result holds between C_{ij} and γ_{ij} :

$$\frac{1}{C_{ij}} \left(\frac{\partial C_{ij}}{\partial T} \right) = - \frac{3k\gamma_{ij}(\gamma_{ij} + 1)}{C_{ij}V_a}. \quad (7)$$

Here, V_a denotes the atomic volume and k the Boltzmann constant. Therefore, the magnitude of the temperature derivative of C_{ij} is expected to show a positive correlation with corresponding internal friction Q_{ij}^{-1} provided that the phonon-phonon interaction is the dominant damping mechanism. Figure 9 compares Q_{ij}^{-1} determined here and the temperature derivatives of C_{ij} reported by Bechmann *et al.*⁵ Q_{ij}^{-1} corre-

FIG. 9. Internal-friction components vs temperature derivatives of the elastic constants.

lates with the temperature derivative of C_{ij} , implying a significant phonon-phonon scattering loss. Such a positive correlation was also observed in an α -TeO₂ monocrystal,¹⁴ and this correlation may be commonly observed in piezoelectric materials.

However, we have to point out that the internal-friction value estimated by the theory for the Akheiser mechanism ($\sim 10^{-8}$) is much smaller than the measured values at room temperature ($\sim 10^{-5}$). (We estimated the theoretical value using reported specific heat and thermal conductivity.) One may attribute this discrepancy to dislocation-related mechanisms, such as damping caused by scattering of phonons by moving dislocations.²³ However, the small X-region internal friction poses a negative view for a dislocation-related mechanism. Therefore, identification of the dominant damping mechanisms remains, and we may need an improved theory for the phonon-scattering loss.

V. CONCLUSION

The RUS/LDI method was used for determining all the elastic, anelastic, and piezoelectric coefficients of 17 α -quartz crystals. To overcome the weak sensitivity of the piezoelectric coefficients to the resonance frequencies, the measurements were done in a vacuum at constant temperature. Seventy-two resonance peaks were identified by laser-Doppler interferometry and used to determine inversely the eight coefficients for each specimen. The crystal grade and grown region did not affect them significantly. Our coefficients agreed with those reported previously except for the piezoelectric coefficients; e_{11} is smaller by 15% and e_{11} is larger by 35% than the existing values. Considering the accuracy for the frequency measurement, we consider that our values are more reliable. Internal friction showed a positive correlation with the temperature derivative of the corre-

sponding elastic constant, indicating that the damping principally arises from phonon-phonon scattering, although the internal-friction magnitude does not agree with the theory.

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