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# ON R-ALGEBRAS WHICH ARE R FINITELY GENERATED

### MANABU HARADA

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Let K be a field and R a ring with 1. We know several conditions under which an R-algebra is a finitely generated R-module. In [6] Rosenberg and Zelinsky obtained, for a K-algebra A, those conditions in a case where  $A \bigotimes_{\kappa} A^* / N(A \bigotimes_{\kappa} A^*)$  is Artinian, where  $A^*$  is an anti-isomorphic algebra of A and  $N(^*)$  is the radical of \*.

In §1 we shall study a similar problem in a case where  $A \bigotimes_{\kappa} A^*$  is Noetherian and obtain, for an algebraic algebra A over K such that A/N(A) is a semi-simple ring with minimum condition, that  $[A:K] < \infty$ if and only if  $A \bigotimes_{\kappa} A^*$  is right Noetherian.

In §2 we consider a primitive K-algebra with minimal one sided ideals. We give a condition that the associated division ring is of a finite K-dimension.

Finally we consider a separable R-algebra A which is a submodule in a free R-module. If R is Noetherian, then we show that A is Rfinitely generated as R-module.

## 1. Algebras of finite type

In this paper we always assume that K means a field and R a commutative ring with 1.

Let  $A_2 \supseteq A_1$  be *R*-algebras. Then we have a natural homomorphism  $\Phi: A_1 \bigotimes_R A_1^* \to A_2 \bigotimes_R A_2^*$ . We denote also the image of  $\Phi$  by  $A_1 \bigotimes_R A_1^*$  if there are no confusions. Furthermore, we have a natural right  $A_i \bigotimes_R A_i^*$ -homomorphism  $\varphi_i: A_i \bigotimes_R A_i^* \to A_i$  by setting  $(a \otimes b^*) = ba$ . We denote its kernel by  $J_i$ .

The following lemma is based on a suggestion of M. Auslander.

**Lemma 1.** Let  $A_3$  be an R-algebra and  $A_2 \supseteq A_1$  proper R-subalgebras contained in the center of  $A_3$ . We assume that  $A_{i+1}$  is  $A_i$ -projective for i=1,2. Then  $J_3 \supseteq J_2 A_3^e \supseteq J_1 A_3^e$ , where  $A_3^e = A_3 \bigotimes_p A_3^*$ .

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Proof. We consider a natural  $A_3^e$ -homomorphism  $\alpha_2 \colon A_3 \bigotimes_R A_3^* \to A_3 \bigotimes_{A_2} A_3^*$ . If  $\alpha_2(J_3) = (0)$ , then we obtain easily  $A_3 \bigotimes_{A_2} A_3^* = A_3$ . Let  $\mathfrak{p}$  be a prime ideal of  $A_2$ . Then  $A_{\mathfrak{sp}} \bigotimes_{A_{\mathfrak{sp}}} A_{\mathfrak{sp}}^*$ . Since  $A_{\mathfrak{sp}}$  is  $A_{2\mathfrak{p}}$ -projective,  $A_{\mathfrak{sp}}$  is a free  $A_{2\mathfrak{p}}$ -module by [5], Theorem 2. Hence  $A_{\mathfrak{sp}} = A_{\mathfrak{p}}$  for every prime ideal  $\mathfrak{p}$ , which is a contradiction. On the other hand  $\alpha_2(J_2A_3^e) = (0)$ . Therefore,  $J_2A_3^e \cong J_3$ . Next we consider a commutative diagram :



From the above argument we know that  $\beta(J_2)=(0)$ . Since  $A_2$ ,  $A_3$  are  $A_1$ -projective,  $\beta'$  is monomorphic. Therefore,  $\alpha_1(J_2)=\alpha_1\Phi(J_2)=\beta'\beta(J_2)\pm(0)$ . On the other hand  $\alpha_1(J_1)=(0)$ . Hence we have  $J_2A \cong J_1A_3^e$ .

**Corollary 1.** Let A be an R-projective R-algebra. We assume that  $A \bigotimes_{R} A^*$  is right Noetherian (resp. Artinian). Then a length of ascending (resp. descending) chain of R-projective, R-separable algebras in the center of A is finite, (cf. [7], Theorem 2).

Proof. From a fact for a separable R-algebra C that R-projective C-module is C-projective, we have the corollary.

**Corollary 2.** Let A be an extension field of K. Then A is a finite type, i.e. A is generated by a finite number of elements if and only if  $A \otimes A$  is Noetherian, (cf. [1], p. 99).

Proof. If A is a finite type, then A is an algebraic extension of a rational function field  $K(x_1, x_2, \dots, x_t)$ . It is clear that  $K(x) \underset{\kappa}{\otimes} K(x)$  is Noetherian. Since  $A \underset{\kappa}{\otimes} A$  is a finitely generated  $K(x)^e$ -module,  $A^e$  is Noetherian. The converse is clear from Lemma 1.

REMARK 1. Lemma 1 is valid in a case where A's are division rings. Because, we may take  $A_2 \otimes A_2^*$  in a place of  $A_2 \otimes A_2$  and so on.

Lemma 2. Let A be a right Noetherian, algebraic algebra over a field K. Then the radical of A is nilpotent.

Proof. By the assumption and [4], p. 212, Proposition 3, the radical

N is nil. Furthermore, since A is Noetherian, N is nilpotent by  $\lceil 4 \rceil$ , p. 199, Theorem 1.

**Proposition 1.** Let A be a commutative algebraic algebra over a field *K*. Then the following conditions are equivalent.

- a)  $\lceil A:K \rceil < \infty$ ,
- b) A⊗A is Noetherian,
  c) A⊗F is Noetherian for any algebraic extension field F of K.

Proof. First, we assume  $A^e$  is Noetherian. Since  $A^e$  is algebraic over K, its radical  $N(A^{e})$  is nilpotent by Lemma 2. Similarly we know that N = N(A) is nilpotent. Hence, if we show  $[A/N:K] < \infty$ , then by the standard argument we obtain  $[A:K] < \infty$  (cf. the proof of [3], Theorem 1). Therefore, we may assume that A is a semi-simple ring in a sense of Jacobson. From [4], p. 210 we know that A is an I-ring, namely every non-nilpotent ideal contains an idempotent element. Hence, since A is a commutative Noetherian semi-simple ring, every ideal is generated by an idempotent element. Therefore, A is a semi-simple ring with minimum conditions. Hence, we may assume that A is a field. Then  $[A:K] < \infty$  by Corollary 2. By the similar argument as above, we obtain  $[A:K] < \infty$  if A satisfies c).

**Theorem 1.** Let A be an algebraic algebra over a field K. We assume A/N is a semi-simple ring with minimum conditions, where N is the radical of A. Then we have the following equivalent conditions:

- a)  $[A:K] \leq \infty$ ,
- b) A⊗A\* is right Noetherian,
  c) A⊗F is right Noetherian for every algebraic extension field F of K.

Proof. In both cases b) and c) we know that N is nilpotent by Lemma 2. Hence, we may assume that A is a division algebra over K. Let L be a maximal subfield of A and Z the center of A. Let  $A = \sum \bigoplus Lu_i$ and  $A^* = \sum_{\kappa} \bigoplus_{i=1}^{k} L^* v_i$ . Since  $A \bigotimes_{\kappa} A^* = \sum_{i=1}^{k} \bigcup_{j=1}^{k} L^* (u_i \otimes v_j)$  is right Noetherian, so is  $L \bigotimes_{\kappa} L^*$ . Hence  $[L:K] \leq \infty$  by Proposition 1. If we consider A as a left A- and right L-module, A is a right  $A^* \bigotimes_{\kappa} L$ -module. Since  $A^* \bigotimes_{\kappa} L$ is a simple ring with minimum conditions and A is a simple faithful  $A^* \bigotimes_{\kappa} L$ -module, A has a finite right base over  $A^* \bigotimes_{\kappa} L$ -endomorphism division ring of A, which is equal to  $V_A(L) = \{a \in A \mid al = la \text{ for all } l \in L\}$ . Since L is a maximal subfield of A,  $V_A(L) = L$ . Therefore,  $[A:K] < \infty$ .

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**Corollary 3.** Let A be an algebra over a field K.  $L_1$  is an algebraic closure of K and  $L_2 = K(x)$  a rational function field over K. Then  $[A:K] < \infty$  if and only if  $A \bigotimes_{K} L_i$  (i = 1, 2) is right Artinian, ([3], Theorem 1).

Proof. By the same reason as in the proof of Proposition 1, we may assume that A is a division ring if  $A \bigotimes_{K} L_{i}$  is right Artinian. Furthermore, it is clear that A is algebraic over K. Hence  $[A:K] < \infty$  by Theorem 1.

**Proposition 2.** Let A be a division algebra over a field K. If  $A \bigotimes_{K} A^*/N(A \bigotimes_{K} A^*)$  is right Noetherian, then the center Z of A is of a finite transcendental degree over K and A is a finite type over Z, (cf. [7], Theorem 2).

Proof. By the proof of [2], Lemma 4, we have  $N(A^e) = \mathfrak{a}A^e$ , where a is an ideal contained in the radical  $N(Z^e)$  of  $Z^e$ . Since there is a lattice isomorphism between two-sided ideals of  $A^e$  and  $Z^e$  by [4], p. 114, Theorem 1,  $Z^{e}/N(Z^{e})$  is Noetherian. We shall show that the transcendental degree of A over K is finite. We consider again an exact sequence as in Lemma 1.  $0 \rightarrow J_i \rightarrow L_i \bigotimes L_i \rightarrow L_i \rightarrow 0$ , where  $L_i = K(x_1, \dots, x_i)$  and the x's are indeterminants in Z over K. Then we shall show that  $J_i Z^e +$  $N(Z^{e}) \neq J_{i+1}Z^{e} + N(Z^{e})$ . Otherwise, for any element j in  $J_{i+1}(Z^{e})$ , we have  $j=y+r, y \in J_iZ^e, r \in N(Z^e)$ . Since  $N(Z^e)$  is nil ([1], p. 85, Proposition 4),  $j^n \in J_i Z^e$  for some integer *n*. Therefore,  $(x_{i+1} \otimes 1 - 1 \otimes x_{i+1})^{n'} = x_{i+1}^{n'} \otimes 1 - 1 \otimes x_{i+1}$  $n'(x_{i+1}^{n'-1} \otimes x_{i+1}) + \dots + (-1)^{n'}(1 \otimes x_{i+1}^{n'})$  is contained in  $J_i Z^e$ . On the other hand,  $J_i Z^e = \sum \bigoplus u_{\alpha} J_i (L_{i+1} \otimes L_{i+1})$ , where  $\{u_{\alpha}\}$  is a basis of  $Z^e$  over  $L_{i+1} \otimes L_{i+1}$ and we assume  $u_1 = 1 \otimes 1$ . Extending  $x_{i+1}^k \otimes x_{i+1}^l$ ,  $k, l = 0, 1, \cdots$  to a basis  $\{x, v\}$  of  $L_{i+1} \bigotimes_{K} L_{i+1}$  over  $L_i \bigotimes_{K} L_i$ ,  $J_i Z^e = \sum \bigoplus (x_{i+1}^k \otimes x_{i+1}^l) J_i \bigoplus \sum \bigoplus v J_i \bigoplus$  $\sum_{\alpha=1} \bigoplus u_{\alpha} J_i(L_{i+1} \bigotimes L_{i+1}).$  Hence  $J_i$  must contain 1, which is a contradiction. Therefore, the transcendental degree of Z over K is finite. From the assumption, it is clear that  $A \otimes A^*$  is right Noetherian. Hence by Lemma 1, A is a finite type over Z.

REMARK 2. The following example shows that A is not a finite type even if A is algebraic commutative field over K and  $A^e/N(A^e)$  is Artinian.

Let  $A = \bigcup_{x} K(x^{1/p^n})$ , where K is a field of characteristic  $p \neq 0$  and x is an indeterminant over K. Then it is clear the  $N(A^e) = J_A$  and  $A^e/N(A^e) = A$ .

#### 2. Primitive algebras

Let A be a simple algebra over K with minimum conditions. Then it is clear that  $[A:K] \leq \infty$  if and only if  $N(A^e)$  is nilpotent and  $A^e/N(A^e)$ is Artinian. We shall generalize this property as follows:

**Theorem 2.** Let A be a primitive K-algebra with minimal one-sided ideals and  $\Delta$  its associated division ring, (see [4]). Then  $[\Delta:K] \leq \infty$  if and only if the radical  $N(A^e)$  of  $A^e$  is nilpotent and  $N(A^e)$  is the intersection of a finite number of primitive rings with one-sided ideals.

Proof. We assume  $[\Delta:K] \leq \infty$ . Let I and r be minimal left and right ideals in A, respectively. Then  $\mathfrak{r}_{K} \mathfrak{l}^{*} = \sum_{\alpha} \mathfrak{l}(x_{\alpha} \otimes y_{\alpha}) \Delta^{e}$  is a faithful  $A \bigotimes_{\kappa} A^*$ -module, and  $A \bigotimes_{\kappa} A^*$  is a dense ring in the  $\Delta^e$ -endomorphism ring  $M_I(\Delta^e)$  of  $\mathfrak{r} \bigotimes_{\mathfrak{r}} \mathfrak{l}^*$  by [4], p. 113, Theorem 1. By the assumption, the radical  $N(\Delta^e)$  of  $\Delta^e$  is nilpotent. We consider a factor module of  $\mathfrak{r} \underset{\kappa}{\otimes} \mathfrak{l}^*$ by its radical:  $\overline{\mathfrak{r}_{\kappa}} = \mathfrak{r}_{\kappa} \mathfrak{l}^* / N(\mathfrak{r}_{\kappa} \mathfrak{l}^*) = \sum \bigoplus (x_{\alpha} \otimes y_{\alpha}) \Delta^e / N(\Delta^e)$ . By a well known theorem, the radical  $N(M_I(\Delta^e))$  of  $M_I(\Delta^e)$  is contained in  $M_I(N(\Delta^e))$ , and since  $N(\Delta^e)$  is nilpotent,  $M_I(N(\Delta^e))$  is equal to  $N(M_I(\Delta^e))$ . We can easily show that  $M_I(\Delta^e)/N(M_I(\Delta^e)) = M_I((A_1)_{n_1}) \oplus \cdots \oplus M_I((A_r)_{n_r})$ , where the A's are division algebras over K. Furthermore, it is clear that  $\overline{\mathfrak{r}\otimes \mathfrak{l}^*}$  is a faithful  $M_I(\Delta^e)/N(M_I(\Delta^e))$ -module. On the other hand, we have  $\overline{\mathfrak{r}}_{\kappa} \underbrace{\mathfrak{l}^{*}}_{\kappa} = \sum_{i} \sum_{\alpha} \sum_{j=1}^{n_{i}} \bigoplus (x_{\alpha} \otimes y_{\alpha}) \mathfrak{b}_{i,j}, \text{ where the b's are irreducible left ideals in}$  $(A^*)_{n_i}$ . Put  $L_i = \sum_{\alpha} (x_{\alpha} \otimes y_{\alpha}) \mathfrak{b}_{i,1}$ , then  $\sum_i \oplus L_i$  is a faithful  $M_I(\Delta^e) / N(M_I(\Delta^e)) - M_I(\Delta^e)$ module. By the above argument, the L's are also  $A^{e}$ -irreducible modules. Hence  $N(M_I(\Delta^e))$  contains  $N(A^e)$ . Since  $N(M_I(\Delta^e))$  is nilpotent, we have  $N(A^e) = N(M_I(\Delta^e)) \cap A^e$ . Therefore,  $\sum_i \oplus L_i$  is also a faithful  $\bar{A}^e = A^e/N(A^e)$ module. Furthermore,  $N(\mathfrak{r}\otimes \mathfrak{l}^*) = (\mathfrak{r}\otimes \mathfrak{l}^*)N(M_I(\Delta^e)) \subseteq A^e \cap N(M_I(\Delta^e)) = N(A^e)$ , and since we can represent r and I by eA and Ae', where e, e' are primitive idempotents in A, then  $(\mathfrak{r} \otimes \mathfrak{l}^*) \cap N(A^e) = (e \otimes e'^*)A^e \cap N(A^e) = (e \otimes e'^*)N(A^e)$ Hence, we have a monomorphism of  $\overline{\mathfrak{r}} \otimes \mathfrak{l}^*$  $=(\mathfrak{r} \bigotimes_{r} \mathfrak{l}^*) N(A^e) \subseteq N(\mathfrak{r} \bigotimes_{r} \mathfrak{l}).$ into  $\overline{A}^{e}$ . Therefore,  $\overline{A}^{e}$  has a faithful complete reducible module  $\sum \oplus L_{i}$ . Let  $a_i$  be the annihilator ideal of  $L_i$  in  $\overline{A}^e$ . Then  $\overline{A}^e/a_i$  contains  $L_i + a_i/a_i$ . Since  $L_i$  is irreducible and  $\bar{A}^e$  is semi-simple,  $L_i + \mathfrak{a}_i / \mathfrak{a}_i \approx L_i$ . Hence  $\bar{A}^e / \mathfrak{a}_i$ is a primitive ring with minimal one-sided ideals. Furthermore, we have  $\bigcap \mathfrak{a}_i = (0)$ , which proves the first half of the theorem. Let e be an idempotent. They by [4], p. 48, Proposition 1,  $N((e \otimes e^*)(A \otimes A^*)(e \otimes e^*))$  M. HARADA

 $=(e \otimes e^*)N(A \otimes A^*)(e \otimes e^*)$ , and hence,  $N(\Delta^e)$  is nilpotent, where  $eAe = \Delta$ . Let  $p_i$ 's be a primitive ideals with the property as in the theorem. Then by [2], Lemma 1,  $(e \otimes e^*) \mathfrak{p}_i(e \otimes e^*)$  are primitive ideals in  $(e \otimes e^*)A \bigotimes A^*(e \otimes e^*)$  with the same property as above. Furthermore, if  $\bigcap \mathfrak{p}_i = N(A^e), \text{ then } \bigcap (e \otimes e^*) \mathfrak{p}_i(e \otimes e^*) = N(\Delta^e). \text{ Let } Z \text{ be the center of } \Delta.$ Then by [4], p. 114, Theorem 1, there is a lattice isomorphism between two-sided ideals of  $\Delta^e$  and those of  $Z^e$ . Put  $q_i = (e \otimes e^*) \mathfrak{p}_i(e \otimes e^*)$ . Then there exist ideals b and c in  $Z^e$  which correspont to  $q_i$  and an ideal s in  $\Delta^e$  such that  $\mathfrak{s} \supseteq \mathfrak{q}_i$  and  $\mathfrak{s}/\mathfrak{q}_i$  is the socle of  $\Delta^e/\mathfrak{q}_i$ . We shall show that  $\overline{Z}^e = Z^e/b$  is a field. Since  $\overline{c}$  is a unique minimal ideal in  $\overline{Z}^e$ ,  $\overline{c}$  is contained in  $N(\overline{Z}^e)$  if  $\overline{c} \neq \overline{Z}^e$ .  $\overline{g} = \overline{g}^2$ ,  $\overline{c} = \overline{c}^2$ . Hence  $\overline{c}$  is generated by idempotent element, which is a contradiction. Therefore,  $\overline{Z}^e$  is a field. Hence,  $\Delta^{e}/\mathfrak{q}_{i}$  is a simple ring. Since  $\Delta^{e}/\mathfrak{q}_{i}$  has the socle,  $\Delta^{e}/\mathfrak{q}_{i}$  satisfies the minimum conditions.  $\bigcap q_i = N(\Delta^e)$  implies that  $\Delta^e/N(\Delta^e)$  is a semi-simple ring with minimum condition. Therefore,  $\lceil \Delta : K \rceil < \infty$  by  $\lceil 7 \rceil$ , Theorem 7.

#### 3. Separable algebras

Let R be a Noetherian ring and  $\alpha$  an ideal in R. For any finitely generated R-module E and its submodule F, there exists an integer r such that  $\alpha^n E \cap F = \alpha^{n-r}(\alpha^r E \cap F)$  for all n > r by the Artin-Rees theorem. Thus we shall call an R-module E "an Artin-Rees module with respect to  $\alpha$  (briefly A-R module)", if for any finitely generated R-submodule F in E, there exists an integer r such that  $F\alpha^n \cap F \subseteq F\alpha^{n-r}$  for n > r.

By definition we have the following lemmas:

**Lemma 3.** If E is an A-R module, then any submodule of E and any quotient module of E with respect to a finitely generated submodule of E are A-R modules.

Lemma 4. Every submodule of a free R-module is an A-R module.

**Lemma 5.** If a is contained in the radical of R and E is an A-R module, then  $\bigcap Ea^n = (0)$ .

Proof. Let x be in  $\bigcap_{n} Ea^{n}$ . Then  $xR = xR \cap Ea^{n} \subseteq xRa^{n-r}$ , and hence xR = (0).

**Proposition 3.** Let  $\alpha$  be an ideal contained in the radical of R. For any A-R module E, if  $E/E\alpha$  is finitely generated then so is E.

Proof. If E/Ea is finitely generated, then we have a finitely generated R-submodule F such that E = Ea + F. Let  $\overline{E} = E/F$ , then  $\overline{E}$  is an A-R module by Lemma 3. Hence  $(0) = \bigcap \overline{Ea}^n = \overline{E}$  by Lemma 5.

Corollary 4. Let R and a be as above. If R is not complete with respect to  $\{a^n\}$ , then the completion  $\hat{R}$  of R is not contained in a free Rmodule, (cf. [1], p. 95).

Proof. If  $\hat{R}$  is contained in a free *R*-module then  $\hat{R}$  is an *A*-*R* module by Lemma 4. Furthermore,  $\hat{R}/\hat{R}a \approx R/a$ , and hence  $\hat{R}$  is a finitely generated R-module by the proposition. Then since  $\hat{R} = R + \hat{R}a$ ,  $\hat{R} = R$  by Nakayama's Lemma, which is a contradiction.

Lemma 6. Let S be a multiplicative system consisting of non-zerodivisors in R (not necessarily Noetherian) and E a submodule of a free *R*-module. If  $E_s = E \bigotimes_{n=1}^{\infty} R_s$  is finitely generated  $R_s$ -module, then E is contained in an finitely generated R-module.

It is clear.

**Theorem 3.** Let R be a Noetherian ring and let A be a separable R-algebra such that A is contained in a free R-module. Then A is a finitely generated R-module.

Proof. Let S be the set of all non zero-divisors in R. Then  $A_s$  is separable  $R_s$ -algebra and is contained in a free  $R_s$ -module. Hence, we may assume by Lemma 6 that R is semi-local. Let p be a maximal ideal in R. Then  $A/A\mathfrak{p}$  is a separable algebra over  $R/\mathfrak{p}$ , (it may be zero). Hence, A/Ap is a finitely generated R/p-module by [6], Theorem 1. On the other hand,  $A \otimes R_{\mathfrak{p}}/(A \otimes R_{\mathfrak{p}}\mathfrak{p}) = A/A\mathfrak{p}$  and  $A \otimes R_{\mathfrak{p}}$  is an A-R module by Lemma 4. Therefore,  $A \otimes R_p$  is finitely generated  $R_p$ -module, and hence A is a finitely generated R-module by the simple argument.

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