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# THE HAUSDORFF DIMENSION OF SOME PLANAR SETS WITH UNBOUNDED DIGITS

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## Abstract

We consider some parameterized planar sets with unbounded digits. We investigate these sets by using the method of “transversality”, which is the main tool in investigating self-similar sets with overlaps. We calculate the Hausdorff dimension of these sets for typical parameters in some region with respect to the 2-dimensional Lebesgue measure. In addition, we estimate the local dimension of the exceptional set of parameters.

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## 1. Introduction

**1.1. Planar sets generated by pairs of linear maps.** We consider the following planar sets  $A(\lambda)$  for  $\lambda \in \mathbb{D}^*$ , where  $\mathbb{D}^* := \{\lambda \in \mathbb{C} : 0 < |\lambda| < 1\}$ :

$$A(\lambda) := \left\{ \sum_{j=0}^{\infty} a_j \lambda^j : a_j \in \{0, 1\} \right\}.$$

These sets have fractal structure. Indeed, the sets  $A(\lambda)$  are generated by the iterated function systems  $\{\lambda z, \lambda z + 1\}$  on the complex plane. For the general theory of the iterated function system (for short, IFS), see [4]. In order to discuss these sets, we introduce a set of functions  $\mathcal{F}$  and a set of zeros in  $\mathbb{D}^*$  for functions in  $\mathcal{F}$ :

$$\mathcal{F} := \left\{ f(\lambda) = 1 + \sum_{j=1}^{\infty} a_j \lambda^j : a_j \in \{-1, 0, 1\} \right\},$$

$$\mathcal{M} := \{ \lambda \in \mathbb{D}^* : \text{there exists } f \in \mathcal{F} \text{ such that } f(\lambda) = 0 \}.$$

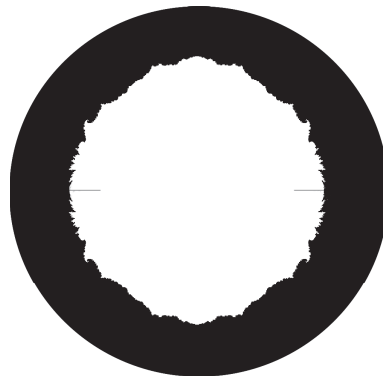


Fig. 1.  $\mathcal{M}$

The set  $\mathcal{M}$  is known as *the Mandelbrot set for pairs of linear maps* (see [1], [2] and Fig. 1). Note that

$$(1) \quad \left\{ \lambda \in \mathbb{D}^* : \frac{1}{\sqrt{2}} < |\lambda| < 1 \right\} \subset \mathcal{M} \subset \left\{ \lambda \in \mathbb{D}^* : \frac{1}{2} < |\lambda| < 1 \right\}$$

(see [16, p. 538 (6)]).

We set  $f_1(z) = \lambda z$  and  $f_2(z) = \lambda z + 1$ . We say that the IFS  $\{f_1, f_2\}$  satisfies *the open set condition* if there exists a non-empty bounded open set  $V$  such that  $f_1(V) \cap f_2(V) = \emptyset$  and  $f_i(V) \subset V$  for all  $i \in \{1, 2\}$ . If  $\lambda$  is not an element of  $\mathcal{M}$ , the corresponding IFS satisfies the open set condition, and hence we have that the Hausdorff dimension of  $A(\lambda)$  is equal to  $-\log 2 / \log |\lambda|$  (see [4, Theorem 9.3]). However, in general, it is difficult to estimate the Hausdorff dimension of  $A(\lambda)$  if  $\lambda$  is an element of  $\mathcal{M}$ . We set

$$\tilde{\mathcal{M}} := \{ \lambda \in \mathbb{D}^* : \text{there exists } f \in \mathcal{F} \text{ such that } f(\lambda) = f'(\lambda) = 0 \} (\subset \mathcal{M}).$$

For any set  $A \subset \mathbb{C}$ , we denote by  $\dim_H(A)$  the Hausdorff dimension of  $A$  with respect to the

Euclidean norm  $|\cdot|$ . We denote by  $\mathcal{L}$  the 2-dimensional Lebesgue measure. The following holds by [16, Theorem 2.2] and [17, Proposition 2.7].

**Theorem 1.1.**

$$(2) \quad \dim_H(A(\lambda)) = \frac{\log 2}{-\log |\lambda|} \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\};$$

$$(3) \quad \mathcal{L}(A(\lambda)) > 0 \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 1/\sqrt{2} < |\lambda| < 1\} \setminus \tilde{\mathcal{M}}.$$

REMARK 1.2. 1. It is well known that  $\dim_H(A(\lambda)) \leq \log 2 / -\log |\lambda|$  for all  $\lambda$  (see [4, Proposition 9.6]).

2. In [16, Theorem 2.2], Solomyak deals with more general self-similar sets in the plane. However, the statement of the result are essentially same as in Theorem 1.1.

3. The proof of [17, Proposition 2.7] essentially depends on [3, Theorem 2].

The local dimension of the exceptional set of parameters is estimated as the following.

**Theorem 1.3** ([11, Theorem 8.2]). *For any  $0 < r < R < 1/\sqrt{2}$ ,*

$$\dim_H \left( \left\{ \lambda \in \mathbb{D}^* : r < |\lambda| < R, \dim_H(A(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \frac{\log 2}{-\log R} < 2.$$

REMARK 1.4. Solomyak proved that  $\dim_H(A(\lambda)) < \log 2 / -\log |\lambda|$  for  $\lambda$  in a dense subset of  $\{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\}$  in [16, Proposition 2.3].

For further results about dimensions and measures on  $A(\lambda)$ , see [17].

**1.2. Planar sets with unbounded digits.** In this paper, we consider the following sets  $A_0(\lambda)$  for  $\lambda \in \mathbb{D}^*$ :

$$A_0(\lambda) := \left\{ \sum_{j=0}^{\infty} a_j \lambda^j : a_j \in \{0, p_j\} \right\},$$

where  $1 \leq p_j \in \mathbb{R}$  for all  $j \in \mathbb{N}_0$ ,  $p_j \rightarrow \infty$  as  $j \rightarrow \infty$  and  $\{p_j\}_{j=0}^{\infty}$  satisfies the condition

$$\frac{p_{j+1}}{p_j} \rightarrow 1 \text{ as } j \rightarrow \infty.$$

Note that the sets  $A_0(\lambda)$  depend on the sequence  $\{p_j\}_{j=0}^{\infty}$  and these sets are well-defined by the above condition (see Remark 3.1).

We are motivated by the theory of the non-autonomous iterated function system (for short, NIFS). Here, an NIFS is some family of contracting maps  $\{f_{1,j}, f_{2,j}, \dots, f_{n,j}\}_{j=0}^{\infty}$ . As examples of studies of NIFSs on a **compact metric space**, see [5], [13]. Inui [6] gave the methods to construct “the limit set” of an NIFS on a **complete metric space**. The set  $A_0(\lambda)$  is the limit set of the NIFS  $\{f_{1,j}, f_{2,j}\} := \{\lambda z, \lambda z + p_j\}_{j=0}^{\infty}$  as the following.

**Theorem 1.5** ([6, Theorem 1.11]). *Let  $\mathcal{K}(\mathbb{C})$  be the set of all non-empty compact subsets of  $\mathbb{C}$  and let  $d_H$  be the Hausdorff distance on  $\mathcal{K}(\mathbb{C})$ . We define  $A_0(\lambda) = \left\{ \sum_{j=0}^{\infty} a_j \lambda^j : a_j \in \{0, p_j\} \right\}$ . For each  $j \in \mathbb{N}_0$ , we define the map  $F_j : \mathcal{K}(\mathbb{C}) \rightarrow \mathcal{K}(\mathbb{C})$  by*

$$F_j(A) := f_{1,j}(A) \cup f_{2,j}(A)$$

for  $A \in \mathcal{K}(\mathbb{C})$ . Then for any  $A \in \mathcal{K}(\mathbb{C})$ ,

$$\lim_{j \rightarrow \infty} d_H(F_0 \circ F_1 \circ \dots \circ F_j(A), A_0(\lambda)) \rightarrow 0.$$

Note that there does not exist a compact subset  $X \subset \mathbb{C}$  such that for each  $j$ ,  $f_{2,j}(X) \subset X$  since the set of digits  $\{p_j : j \in \mathbb{N}_0\}$  is **not bounded**. One of the aims in this paper is to establish some methods to estimate the Hausdorff dimension of limit sets of NIFSs on a **non-compact metric space** via studying examples. We give the main results, which are analogues of Theorem 1.1 and Theorem 1.3.

**Main result A** (Theorem 5.11).

$$\dim_H(A_0(\lambda)) = \frac{\log 2}{-\log |\lambda|} \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\};$$

$$\mathcal{L}(A_0(\lambda)) > 0 \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 1/\sqrt{2} < |\lambda| < 1\} \setminus \tilde{\mathcal{M}}.$$

**Main result B** (Theorem 5.14). For any  $0 < R < 1/\sqrt{2}$ ,

$$\dim_H \left( \left\{ \lambda \in \mathbb{D}^* : 0 < |\lambda| < R, \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \frac{\log 2}{-\log R} < 2.$$

In order to prove our results, we use the method of “transversality”. Here, for a parameterized family of functions, the “transversality” means a condition which controls the way the functions depend on parameters. Usually, we call the set of parameters “the transversality region”. The method of transversality is used for self-similar sets with overlaps (e.g., [12], [16], [8], [9]), for self-similar measures (e.g., [15]) and for some general family of functions (e.g., [14], [10], [18]). Note that their setting depend on the compactness of the whole space. Hence we cannot apply their framework or methods to our setting since the set of digits  $\{p_j : j \in \mathbb{N}_0\}$  is not bounded.

**1.3. A strategy for the proof of the main results.** In Section 3, we define a metric  $\rho_{n,m}$  (see Definition 3.3) on a symbolic space  $I^\infty$  so that the Hausdorff dimension of  $I^\infty$  is equal to 1 with respect to  $\rho_{n,m}$  for each  $m, n \in \mathbb{N}_0$  (see Proposition 3.5). For each  $n \in \mathbb{N}_0$  and  $\lambda \in \mathbb{D}^*$ , we define  $A_n(\lambda) = \left\{ \sum_{j=0}^\infty a_j \lambda^j : a_j \in \{0, p_{n+j}\} \right\}$ . For each  $n \in \mathbb{N}_0$  and  $\lambda \in \mathbb{D}^*$ , we define the address map  $\pi_{n,\lambda} : I^\infty \rightarrow \mathbb{C}$  (see Definition 3.6) so that  $\pi_{n,\lambda}(I^\infty) = A_n(\lambda)$ . For each  $n \in \mathbb{N}_0$ , we define a set of double zeros of some power series  $\tilde{\mathcal{M}}_n$  related to the address map  $\pi_{n,\lambda}$  so that  $\bigcap_{n \geq 0} \tilde{\mathcal{M}}_n = \tilde{\mathcal{M}}$  (see Definition 3.10 and Lemma 3.12). Then for each  $\lambda \in \mathbb{D}^*$ , there exists  $m_0 \in \mathbb{N}$  such that  $\pi_{n,\lambda}$  is  $(-\log |\lambda|/\log 2)$ -Hölder continuous with respect to  $\rho_{n,m_0}$  (see Lemma 3.14), which implies the upper estimation of the Hausdorff dimension of  $A_0(\lambda)$ .

In Section 4, we give some lemmas in order to estimate the Hausdorff dimension. In addition, we give a technical lemma for the transversality (Lemma 4.10).

In Section 5, we give the key lemmas (Lemmas 5.6 and 5.7), which imply the lower estimation of the Hausdorff dimension of  $A_n(\lambda)$  for typical parameters  $\lambda$  with respect to  $\mathcal{L}$  on  $\mathbb{D}^* \setminus \tilde{\mathcal{M}}_n$  (Theorem 5.8) and the estimation of local dimension of the exceptional set of parameters (Theorem 5.14). Here, we use  $\dim_H(A_0(\lambda)) = \dim_H(A_n(\lambda))$ ,  $\mathcal{L}(A_0(\lambda)) \geq |\lambda|^{2n} \mathcal{L}(A_n(\lambda))$  (Corollary 3.8) and  $\bigcap_{n \geq 0} \tilde{\mathcal{M}}_n = \tilde{\mathcal{M}}$  (Lemma 3.12).

## 2. Notation and conventions

- $\mathbb{N} := \{1, 2, 3, \dots\}$ .
- $\mathbb{N}_0 := \{0, 1, 2, \dots\}$ .
- $\mathbb{R}$  : the set of all real numbers.
- $\mathbb{C}$  : the set of all complex numbers.
- Usually, we identify  $\mathbb{C}$  with  $\mathbb{R}^2$ . For  $\lambda \in \mathbb{C}$ , we denote by  $|\lambda|$  the Euclidean norm of  $\lambda \in \mathbb{R}^2$ .
- $\mathbb{D} := \{\lambda \in \mathbb{C} : |\lambda| < 1\}$ .
- $\mathbb{D}^* := \{\lambda \in \mathbb{C} : 0 < |\lambda| < 1\}$ .
- For any set  $A \subset \mathbb{C}$ , we denote by  $\dim_H(A)$  the Hausdorff dimension of  $A$  with respect to the Euclidean norm  $|\cdot|$ .
- $\mathcal{L}$  : the 2-dimensional Lebesgue measure on  $\mathbb{C}$ .
- For each  $j \in \mathbb{N}_0$ , let  $G_j \subset \mathbb{R}$ . Let  $\lambda \in \mathbb{D}^*$ . We use  $\{\sum_{j=0}^{\infty} a_j \lambda^j : a_j \in G_j\}$  to denote  $\{\sum_{j=0}^{\infty} a_j \lambda^j : \text{for each } j \in \mathbb{N}_0, a_j \in G_j\}$ .
- If  $X$  and  $Y$  are topological spaces, and  $f : X \rightarrow Y$  is any Borel measurable map, then for any Borel measure  $\mu$  on  $X$ , we define  $f\mu$  as the push-forward measure  $\mu \circ f^{-1}$ .
- Let  $X$  be a topological space, let  $X_0$  be a Borel measurable subspace of  $X$  and let  $m$  be a Borel measure on  $X_0$ . If we set  $\tilde{m}(B) := m(B \cap X_0)$  for any Borel subset  $B \subset X$ , then  $\tilde{m}$  is a Borel measure on  $X$ . We also denote by  $m$  the measure  $\tilde{m}$ .
- Let  $(X, d)$  be a metric space and let  $x$  be a point in  $X$ . For any  $r > 0$ , we denote by  $B(x, r)$  the set  $\{y \in X : d(x, y) < r\}$ . For any set  $A \subset X$ , we denote by  $\text{cl}(A)$  the topological closure of  $A$ .

## 3. Preliminaries

**3.1. On the symbolic space.** We deal with the digits  $\{p_j\}_{j=0}^{\infty}$  satisfying the following conditions:

- For each  $j \in \mathbb{N}_0$ ,  $p_j \geq 1$ ;
- $p_j \rightarrow \infty$  as  $j \rightarrow \infty$ ;
- $p_{j+1}/p_j \rightarrow 1$  as  $j \rightarrow \infty$ .

The above conditions imply the following.

- REMARK 3.1. 1. For each  $n \in \mathbb{N}$ ,  $p_{j+n}/p_j \rightarrow 1$  as  $j \rightarrow \infty$ .  
 2. Let  $a > 1$  and  $b > 0$ . For each  $n \in \mathbb{N}$ ,  $(p_{j+n})^b/a^j \rightarrow 0$  as  $j \rightarrow \infty$ .

We set  $I := \{0, 1\}$ . For each  $\omega = \omega_0\omega_1 \cdots \in I^{\infty}$  and  $k \in \mathbb{N}$ , we set  $\omega|_k := \omega_0\omega_1 \cdots \omega_{k-1} \in I^k$ . For each  $\omega = \omega_0\omega_1 \cdots \omega_{k-1} \in I^k$ , we denote by  $[\omega]$  the set  $\{\tau \in I^{\infty} : \tau_0 = \omega_0, \tau_1 = \omega_1, \dots, \tau_{k-1} = \omega_{k-1}\}$ . For each  $\omega = \omega_0\omega_1 \cdots, \tau = \tau_0\tau_1 \cdots \in I^{\infty}$ , we define  $|\omega \wedge \tau| := \inf\{j \in \mathbb{N}_0 : \omega_j \neq \tau_j\}$ .

**Proposition 3.2.** *Let  $m, n \in \mathbb{N}_0$ . Then there exists minimum  $j_{n,m} \in \mathbb{N}_0$  such that for all  $j_1 \geq j_2 \geq j_{n,m}$ ,  $(p_{j_1+n})^m/2^{j_1} \leq (p_{j_2+n})^m/2^{j_2}$ .*

Proof. Since for each  $n \in \mathbb{N}_0$ ,  $(p_{j+1+n})^m/(p_{j+n})^m \rightarrow 1$  as  $j \rightarrow \infty$ , there exists  $k_{n,m} \in \mathbb{N}_0$  such that for each  $j \geq k_{n,m}$ ,

$$2 \geq \frac{(p_{j+1+n})^m}{(p_{j+n})^m}.$$

Hence for any  $j_1 = j_2 + l \geq j_2 \geq k_{n,m}$ ,

$$2 \geq \frac{(p_{j_2+1+n})^m}{(p_{j_2+n})^m}, 2 \geq \frac{(p_{j_2+2+n})^m}{(p_{j_2+1+n})^m}, \dots, 2 \geq \frac{(p_{j_2+l+n})^m}{(p_{j_2+(l-1)+n})^m}.$$

Thus we have that

$$\frac{2^{j_1}}{2^{j_2}} = 2^l \geq \frac{(p_{j_1+n})^m}{(p_{j_2+n})^m}. \quad \square$$

By Proposition 3.2, we define the metric  $\rho_{n,m}$  on  $I^\infty$  as the following.

DEFINITION 3.3. Let  $m, n \in \mathbb{N}_0$ . We define the metric  $\rho_{n,m}$  on  $I^\infty$  by

$$\rho_{n,m}(\omega, \tau) := \begin{cases} K_{n,m} & (|\omega \wedge \tau| \leq j_{n,m}) \\ \frac{(p_{|\omega \wedge \tau|+n})^m}{2^{|\omega \wedge \tau|}} & (|\omega \wedge \tau| > j_{n,m}) \end{cases}$$

for each  $\omega, \tau \in I^\infty$ . Here,  $K_{n,m} = (p_{j_{n,m}+n})^m / 2^{j_{n,m}}$ .

REMARK 3.4. 1. The metric space  $(I^\infty, \rho_{n,m})$  is a compact metric space for each  $n \in \mathbb{N}_0$  and  $m \in \mathbb{N}_0$ .

2.  $\rho_{n,0}(\omega, \tau) = 1/2^{|\omega \wedge \tau|}$  for each  $\omega, \tau \in I^\infty$ .

Let  $X$  be a metric space endowed with a metric  $\rho$ . Let  $A \subset X$ . We define  $|A|_\rho := \sup\{\rho(x, y) : x, y \in A\}$ . For each  $t \geq 0$  and  $\delta > 0$ , we set

$$\mathcal{H}_{\rho,\delta}^t(A) := \inf \left\{ \sum_{i=1}^{\infty} |U_i|_\rho^t : A \subset \bigcup_{i=1}^{\infty} U_i, |U_i| \leq \delta \text{ for } U_i \subset X \right\}.$$

We define the  $t$ -dimensional Hausdorff outer measure of  $A$  with respect to  $\rho$  as

$$\mathcal{H}_\rho^t(A) := \lim_{\delta \rightarrow 0} \mathcal{H}_{\rho,\delta}^t(A) \in [0, \infty].$$

For any set  $A \subset X$ , we define the Hausdorff dimension of  $A$  with respect to  $\rho$  as

$$\dim_\rho(A) := \sup\{t \geq 0 : \mathcal{H}_\rho^t(A) = \infty\} = \inf\{t \geq 0 : \mathcal{H}_\rho^t(A) = 0\}.$$

We compute the Hausdorff dimension of  $I^\infty$  with respect to  $\rho_{n,m}$  as the following.

**Proposition 3.5.** For each  $n \in \mathbb{N}_0$  and  $m \in \mathbb{N}_0$ ,  $\dim_{\rho_{n,m}}(I^\infty) = 1$ .

Proof. Let  $\mu$  be a probability measure on  $I^\infty$  such that

$$\mu([\omega_0 \omega_1 \cdots \omega_{j-1}]) = \frac{1}{2^j}$$

for each  $\omega_0 \omega_1 \cdots \omega_{j-1} \in I^j$  ( $\mu$  is the  $(1/2, 1/2)$ -Bernoulli measure on  $I^\infty$ ). Fix  $m \in \mathbb{N}_0$ . Then we have that for any  $\omega \in I^j$  with  $j > j_{n,m}$ ,

$$\begin{aligned} \mu \left( \left\{ \tau \in I^\infty : \rho_{n,m}(\omega, \tau) \leq \frac{(p_{j+n})^m}{2^j} \right\} \right) &= \mu([\omega_0 \omega_1 \cdots \omega_{j-1}]) = \frac{1}{2^j} \\ &\leq \left| \left\{ \tau \in I^\infty : \rho_{n,m}(\omega, \tau) \leq \frac{(p_{j+n})^m}{2^j} \right\} \right|_{\rho_{n,m}}^1 \left( = \frac{(p_{j+n})^m}{2^j} \right) \end{aligned}$$

By the mass distribution principle (see [4, p. 67]), we have that  $1 \leq \dim_{\rho_{n,m}}(I^\infty)$ .

We prove that for each  $m \in \mathbb{N}_0$ ,  $\dim_{\rho_{n,m}}(I^\infty) \leq 1$ . For any  $\epsilon > 0$  and  $j > j_{n,m}$ , since the family of sets  $\{[\omega]\}_{\omega \in I^j}$  is a covering for  $I^\infty$ , we have that

$$\mathcal{H}_{\rho_{n,m}, (p_{j+n})^m / 2^j}^{1+\epsilon}(I^\infty) \leq \sum_{\omega \in I^j} |[\omega]|_{\rho_{n,m}}^{1+\epsilon} = 2^j \frac{(p_{j+n})^{m(1+\epsilon)}}{2^{j(1+\epsilon)}} \rightarrow 0 \text{ as } j \rightarrow \infty.$$

Hence we have that  $\mathcal{H}_{\rho_{n,m}}^{1+\epsilon}(I^\infty) = 0$  and hence  $\dim_{\rho_{n,m}}(I^\infty) \leq 1 + \epsilon$ . Since  $\epsilon > 0$  is arbitrary, we have that  $\dim_{\rho_{n,m}}(I^\infty) \leq 1$ .

Hence we have proved our proposition. □

**3.2. Address maps.** We now define address maps as follows.

DEFINITION 3.6. For each  $\lambda \in \mathbb{D}^*$  and  $n \in \mathbb{N}_0$ , we define the address map  $\pi_{n,\lambda} : I^\infty \rightarrow \mathbb{C}$  by

$$\pi_{n,\lambda}(\omega) := \sum_{j=0}^{\infty} p_{n+j} \omega_j \lambda^j$$

( $\omega = \omega_0 \omega_1 \cdots \in I^\infty$ ). Note that this map is well-defined.

Then we have that

$$\pi_{n,\lambda}(I^\infty) = \left\{ \sum_{j=0}^{\infty} a_j \lambda^j : a_j \in \{0, p_{n+j}\} \right\}.$$

In particular,  $A_0(\lambda) = \pi_{0,\lambda}(I^\infty)$ . Below we set  $A_n(\lambda) := \pi_{n,\lambda}(I^\infty)$ . We give the following proposition.

**Proposition 3.7.** For each  $n \in \mathbb{N}_0$ , if we set  $\phi_{n,\lambda}(z) := \lambda z$ ,  $\varphi_{n,\lambda}(z) := \lambda z + p_n$ , then

$$A_n(\lambda) = \phi_{n,\lambda}(A_{n+1}(\lambda)) \cup \varphi_{n,\lambda}(A_{n+1}(\lambda)).$$

Proof.

$$\begin{aligned} \phi_{n,\lambda}(A_{n+1}(\lambda)) \cup \varphi_{n,\lambda}(A_{n+1}(\lambda)) &= \left\{ \lambda \left( \sum_{j=0}^{\infty} p_{n+j+1} \omega_j \lambda^j \right) + 0 : \omega_j \in \{0, 1\} \right\} \\ &\cup \left\{ \lambda \left( \sum_{j=0}^{\infty} p_{n+j+1} \omega_j \lambda^j \right) + p_n : \omega_j \in \{0, 1\} \right\} \\ &= \left\{ \sum_{j=0}^{\infty} p_{n+j} \omega_j \lambda^j : \omega_j \in \{0, 1\} \right\} = A_n(\lambda). \quad \square \end{aligned}$$



**Corollary 3.8.**

$$\begin{aligned} \dim_H(A_0(\lambda)) &= \dim_H(A_n(\lambda)); \\ \mathcal{L}(A_0(\lambda)) &\geq |\lambda|^{2n} \mathcal{L}(A_n(\lambda)). \end{aligned}$$

Proof. By Proposition 3.7, we have that for each  $n \in \mathbb{N}_0$ ,

$$\begin{aligned} \dim_H(A_n(\lambda)) &= \max \{ \dim_H(\phi_{n,\lambda}(A_{n+1}(\lambda))), \dim_H(\varphi_{n,\lambda}(A_{n+1}(\lambda))) \} \\ &= \max \{ \dim_H(A_{n+1}(\lambda)), \dim_H(A_{n+1}(\lambda)) \} = \dim_H(A_{n+1}(\lambda)) \end{aligned}$$

and

$$\mathcal{L}(A_n(\lambda)) \geq \mathcal{L}(\phi_{n,\lambda}(A_{n+1}(\lambda))) = |\lambda|^2 \mathcal{L}(A_{n+1}(\lambda)). \quad \square$$

**3.3. Sets of some power series.** In this subsection, we introduce sets of some power series and the sets of double zeros. For each  $j \in \mathbb{N}$  and  $n \in \mathbb{N}_0$ , we set

$$G_{n,j} := \bigcup_{m \geq n} \left\{ \frac{-p_{m+j}}{p_m}, 0, \frac{p_{m+j}}{p_m} \right\} \cup \{-1, 1\}.$$

For each  $j \in \mathbb{N}$  and  $n \in \mathbb{N}_0$ , the set  $G_{n,j}$  is a compact subset in  $\mathbb{R}$  since  $p_{m+j}/p_m$  tends to 1 as  $m \rightarrow \infty$ . If we set  $b_{n,j} := \max G_{n,j} < \infty$ , there exists  $m_{n,j} \geq n$  such that  $b_{n,j} = p_{m_{n,j}+j}/p_{m_{n,j}}$ .

**Lemma 3.9.**

$$\lim_{j \rightarrow \infty} \frac{1}{j} \log b_{n,j} = 0.$$

Proof.

$$\begin{aligned} \log b_{n,j} &= \log \frac{p_{m_{n,j}+j}}{p_{m_{n,j}}} \\ &= \log \left( \frac{p_{m_{n,j}+1}}{p_{m_{n,j}}} \frac{p_{m_{n,j}+2}}{p_{m_{n,j}+1}} \frac{p_{m_{n,j}+3}}{p_{m_{n,j}+2}} \dots \frac{p_{m_{n,j}+j}}{p_{m_{n,j}+(j-1)}} \right) \\ &= \sum_{k=0}^{j-1} \log \frac{p_{(m_{n,j}+k)+1}}{p_{m_{n,j}+k}}. \end{aligned}$$

For any  $\epsilon > 0$ , there exists  $j_1 \in \mathbb{N}$  such that for any  $j \geq j_1$ ,

$$\log \frac{p_{j+1}}{p_j} < \epsilon$$

since  $p_{j+1}/p_j \rightarrow 1$  as  $j \rightarrow \infty$ . In addition, there exists  $j_2 \in \mathbb{N}$  with  $j_2 \geq j_1$  such that for any  $j \geq j_2$ ,

$$\frac{(j_1 + 1)}{j} \log \frac{p_{m_{n,1}+1}}{p_{m_{n,1}}} < \epsilon.$$

Since  $p_{m+1}/p_m \leq p_{m_{n,1}+1}/p_{m_{n,1}}$  for any  $m \geq n$ , we have that for any  $j \geq j_2$ ,

$$0 \leq \frac{1}{j} \log b_{n,j} = \frac{1}{j} \left( \sum_{k=0}^{j_1} \log \frac{p_{(m_{n,j}+k)+1}}{p_{m_{n,j}+k}} + \sum_{k=j_1+1}^j \log \frac{p_{(m_{n,j}+k)+1}}{p_{m_{n,j}+k}} \right)$$

$$\leq \frac{(j_1 + 1)}{j} \log \frac{p_{m_{n,1}+1}}{p_{m_{n,1}}} + \frac{(j - j_1)\epsilon}{j} < 2\epsilon. \quad \square$$

By Lemma 3.9, the function

$$\lambda \mapsto C_n(\lambda) := \sum_{j=0}^{\infty} b_{n,j} |\lambda|^j$$

is well-defined on  $\mathbb{D}$ . We define the following sets.

DEFINITION 3.10. For each  $n \in \mathbb{N}_0$ , we set

$$\begin{aligned} \mathcal{F}_n &:= \left\{ f(\lambda) = \pm 1 + \sum_{j=1}^{\infty} a_{n,j} \lambda^j : a_{n,j} \in G_{n,j} \right\}, \\ \tilde{\mathcal{M}}_n &:= \{ \lambda \in \mathbb{D}^* : \text{there exists } f \in \mathcal{F}_n \text{ such that } f(\lambda) = f'(\lambda) = 0 \}, \\ \mathcal{F} &:= \left\{ f(\lambda) = \pm 1 + \sum_{j=1}^{\infty} a_j \lambda^j : a_j \in \{-1, 0, 1\} \right\}, \\ \tilde{\mathcal{M}} &:= \{ \lambda \in \mathbb{D}^* : \text{there exists } f \in \mathcal{F} \text{ such that } f(\lambda) = f'(\lambda) = 0 \}. \end{aligned}$$

REMARK 3.11. For any  $n \in \mathbb{N}_0$ , the sets  $\mathcal{F}_n$  and  $\mathcal{F}$  are compact subsets of the space of holomorphic functions on  $\mathbb{D}$  endowed with the compact open topology.

Lemma 3.12.

$$\bigcap_{n \geq 0} \tilde{\mathcal{M}}_n = \tilde{\mathcal{M}}.$$

Proof. Since for all  $n \in \mathbb{N}_0$ ,

$$\mathcal{F}_n \supset \mathcal{F}$$

we have that

$$\bigcap_{n \geq 0} \tilde{\mathcal{M}}_n \supset \tilde{\mathcal{M}}.$$

Fix  $z_0 \in \bigcap_{n \geq 0} \tilde{\mathcal{M}}_n$ . Then for each  $n \in \mathbb{N}_0$ , there exists  $f_n \in \mathcal{F}_n$  such that  $f_n(z_0) = f'_n(z_0) = 0$ . Here,

$$f_n(\lambda) = 1 + \sum_{j=1}^{\infty} \alpha_{n,j} \lambda^j,$$

where

$$\alpha_{n,j} = \frac{p_{m_{n,j}+j} a_{n,j}}{p_{m_{n,j}}} \text{ or } a_{n,j}$$

( $a_{n,j} \in \{-1, 0, 1\}$ ,  $m_{n,j} \geq n$  for each  $j \in \mathbb{N}$ ). For each  $n \in \mathbb{N}_0$ , we set

$$g_n(\lambda) := 1 + \sum_{j=1}^{\infty} a_{n,j} \lambda^j \in \mathcal{F}.$$

Then there exists a sub-sequence  $\{g_{n_k}\}$  and  $g \in \mathcal{F}$  s.t.

$$g_{n_k} \rightarrow g \text{ on every compact subset of } \mathbb{D} \text{ as } k \rightarrow \infty$$

since  $\mathcal{F}$  is compact.

Then we have that

$$|f_{n_k}(z_0) - g_{n_k}(z_0)| = \left| \left( 1 + \sum_{j=1}^{\infty} \alpha_{n_k,j} z_0^j \right) - \left( 1 + \sum_{j=1}^{\infty} a_{n_k,j} z_0^j \right) \right| \leq \sum_{j=1}^{\infty} |\alpha_{n_k,j} - a_{n_k,j}| |z_0|^j.$$

Since  $f_{n_k}(z_0) = 0$  and the last term tends to 0 as  $k \rightarrow \infty$ , we have that

$$g(z_0) = 0.$$

In addition,

$$|f'_{n_k}(z_0) - g'_{n_k}(z_0)| = \left| \left( \sum_{j=1}^{\infty} j \alpha_{n_k,j} z_0^{j-1} \right) - \left( \sum_{j=1}^{\infty} j a_{n_k,j} z_0^{j-1} \right) \right| \leq \sum_{j=1}^{\infty} j |\alpha_{n_k,j} - a_{n_k,j}| |z_0|^{j-1}.$$

Since  $f'_{n_k}(z_0) = 0$  and the last term tends to 0 as  $k \rightarrow \infty$ , we have that

$$g'(z_0) = 0.$$

Hence we have that  $z_0 \in \tilde{\mathcal{M}}$ . □

### 3.4. The upper estimation of the Hausdorff dimension.

**Proposition 3.13.** *Let  $n \in \mathbb{N}_0$ . For any  $\omega \neq \tau \in I^\infty$  and for any  $\lambda \in \mathbb{D}^*$ , there exists  $f_{n,\omega,\tau} \in \mathcal{F}_n$  such that*

$$\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau) = \lambda^{|\omega \wedge \tau|} p_{|\omega \wedge \tau|+n} f_{n,\omega,\tau}(\lambda).$$

Proof. For each  $\omega \neq \tau \in I^\infty$ ,

$$\begin{aligned} \pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau) &= \sum_{j=0}^{\infty} p_{n+j} \omega_j \lambda^j - \sum_{j=0}^{\infty} p_{n+j} \tau_j \lambda^j \\ &= \sum_{j=|\omega \wedge \tau|}^{\infty} p_{n+j} (\omega_j - \tau_j) \lambda^j \\ &= \lambda^{|\omega \wedge \tau|} \sum_{j=0}^{\infty} p_{|\omega \wedge \tau|+n+j} (\omega_{|\omega \wedge \tau|+j} - \tau_{|\omega \wedge \tau|+j}) \lambda^j \\ &= \lambda^{|\omega \wedge \tau|} \sum_{j=0}^{\infty} p_{|\omega \wedge \tau|+n+j} a_j \lambda^j \quad (a_0 \in \{-1, 1\}, a_j \in \{-1, 0, 1\} \text{ for } j \in \mathbb{N}) \\ &= \lambda^{|\omega \wedge \tau|} p_{|\omega \wedge \tau|+n} \sum_{j=0}^{\infty} \frac{p_{|\omega \wedge \tau|+n+j}}{p_{|\omega \wedge \tau|+n}} a_j \lambda^j. \end{aligned}$$

Since  $p_{|\omega \wedge \tau|+n} / p_{|\omega \wedge \tau|+n} a_0 \in \{-1, 1\}$  and for each  $j \in \mathbb{N}$ ,  $p_{|\omega \wedge \tau|+n+j} / p_{|\omega \wedge \tau|+n} a_j \in G_{n,j}$ , we have that  $f_{n,\omega,\tau}(\lambda) := \sum_{j=0}^{\infty} p_{|\omega \wedge \tau|+n+j} / p_{|\omega \wedge \tau|+n} a_j \lambda^j \in \mathcal{F}_n$ . Then we have proved our proposition. □

**Lemma 3.14.** *Let  $m \in \mathbb{N}_0$  and  $n \in \mathbb{N}_0$ . For any  $\omega, \tau \in I^\infty$  with  $|\omega \wedge \tau| > j_{n,m}$  and for any  $\lambda \in \mathbb{D}^*$  with  $|\lambda| \leq 1/\sqrt[m]{2}$ ,*

$$|\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq C_n(\lambda)\rho_{n,m}(\omega, \tau)^{\frac{-\log|\lambda|}{\log 2}},$$

where  $C_n(\lambda) := \sum_{j=0}^\infty b_{n,j}|\lambda|^j < \infty$ ,  $b_{n,j} := \max G_{n,j}$ .

Proof. By Proposition 3.13, there exists  $f_{n,\omega,\tau} \in \mathcal{F}_n$  such that

$$|\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| = |\lambda|^{|\omega \wedge \tau|} p_{|\omega \wedge \tau|+n} |f_{n,\omega,\tau}(\lambda)| = \left(\frac{1}{2^{|\omega \wedge \tau|}}\right)^{\frac{-\log|\lambda|}{\log 2}} p_{|\omega \wedge \tau|+n} |f_{n,\omega,\tau}(\lambda)|.$$

Since  $|\lambda| \leq 1/\sqrt[m]{2}$ ,

$$p_{|\omega \wedge \tau|+n} \leq (p_{|\omega \wedge \tau|+n})^m \frac{-\log|\lambda|}{\log 2}.$$

Hence we have that

$$\begin{aligned} \left(\frac{1}{2^{|\omega \wedge \tau|}}\right)^{\frac{-\log|\lambda|}{\log 2}} p_{|\omega \wedge \tau|+n} |f_{n,\omega,\tau}(\lambda)| &\leq \left(\frac{1}{2^{|\omega \wedge \tau|}}\right)^{\frac{-\log|\lambda|}{\log 2}} (p_{|\omega \wedge \tau|+n})^m \frac{-\log|\lambda|}{\log 2} |f_{n,\omega,\tau}(\lambda)| \\ &\leq C_n(\lambda)\rho_{n,m}(\omega, \tau)^{\frac{-\log|\lambda|}{\log 2}}. \end{aligned} \quad \square$$

**Theorem 3.15.** *Let  $n \in \mathbb{N}_0$ . Then for any  $\lambda \in \mathbb{D}^*$ ,*

$$\dim_H(A_n(\lambda)) \leq \frac{\log 2}{-\log|\lambda|}.$$

Proof. Fix  $\lambda \in \mathbb{D}^*$ . Since  $1/\sqrt[m]{2} \rightarrow 1$  as  $m \rightarrow \infty$ , there exists  $m_0$  such that  $|\lambda| \leq 1/\sqrt[m_0]{2}$ . By Lemma 3.14, for any  $\omega, \tau \in I^\infty$  with  $|\omega \wedge \tau| > j_{n,m_0}$ ,

$$|\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq C_n(\lambda)\rho_{n,m_0}(\omega, \tau)^{\frac{-\log|\lambda|}{\log 2}}.$$

Hence we have that

$$\dim_H(A_n(\lambda)) \leq \frac{\log 2}{-\log|\lambda|} \dim_{\rho_{n,m_0}}(I^\infty) = \frac{\log 2}{-\log|\lambda|}$$

by Proposition 3.5 (see [4, Proposition 3.3]). □

## 4. Some lemmas

### 4.1. Frostman’s Lemma and an inverse Frostman’s Lemma.

**DEFINITION 4.1 (FROSTMAN MEASURE).** Let  $m$  be a Borel measure on  $\mathbb{R}^d$ . Let  $t \geq 0$ . Let  $E$  be a Borel subset of  $\mathbb{R}^d$ . We say that  $m$  is a Frostman measure on  $E$  with exponent  $t$  if  $0 < m(E) < \infty$  and there exists a constant  $C = C_t > 0$  such that for each  $x \in \mathbb{R}^d$  and for each  $r > 0$ ,  $m(B(x, r)) \leq Cr^t$ .

Let  $\mathcal{H}^t$  be the  $t$ -dimensional Hausdorff outer measure on  $\mathbb{R}^d$  with respect to  $|\cdot|$ . We give the following lemma, which is known as Frostman’s Lemma.

**Lemma 4.2** ([4, Corollary 4.12]). *Let  $E$  be a Borel subset of  $\mathbb{R}^d$  with  $\mathcal{H}^t(E) > 0$ . Then there exists a Frostman measure on  $E$  with exponent  $t$ .*

**Corollary 4.3.** *Let  $0 < t \leq 2$ . For each  $x \in \mathbb{R}^2$  and for each  $r > 0$ , there exists a Frostman measure  $m$  on  $B(x, r)$  with exponent  $t$ .*

Proof. If  $0 < t < 2$ , by Lemma 4.2, there exists a Frostman measure  $m$  on  $B(x, r)$  with exponent  $t$  since  $\mathcal{H}^t(B(x, r)) = \infty$ . If  $t = 2$ , we set  $m = \mathcal{L}$ . □

**DEFINITION 4.4** (*s-ENERGY OF MEASURES*). Let  $m$  be a Borel measure on  $\mathbb{R}^d$ . For any  $s \geq 0$ , we define the  $s$ -energy of  $m$  as

$$I_s(m) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{1}{|x - y|^s} dm(x)dm(y).$$

We give the following lemma, which is known as an inverse Frostman’s Lemma.

**Lemma 4.5** ([4, Theorem 4.13]). *Let  $A$  be a Borel subset of  $\mathbb{R}^d$  with  $m(A) > 0$ . If  $I_s(m) < \infty$ , then  $\dim_H(A) \geq s$ .*

**4.2. Differentiation of measures.** Let  $d \in \mathbb{N}$ . Let  $\mu$  and  $m$  be Borel measures on  $\mathbb{R}^d$  such that  $\mu(G) < \infty$  and  $m(G) < \infty$  for any compact subset  $G$ . We say that the measure  $\mu$  is absolutely continuous with respect to the measure  $m$  if  $m(A) = 0$  implies  $\mu(A) = 0$  for all Borel subsets  $A$ .

**DEFINITION 4.6.** The *lower derivative* of  $\mu$  with respect to  $m$  at a point  $x \in \mathbb{R}^d$  is defined by

$$\underline{D}(\mu, m, x) := \liminf_{r \rightarrow 0} \frac{\mu(B(x, r))}{m(B(x, r))}.$$

Note that the function  $x \mapsto \underline{D}(\mu, m, x)$  is Borel measurable. For the details of differentiation of measures, see [7, p. 36]. The lower derivatives of measures are related to the absolute continuity of measures by the following.

**Lemma 4.7** ([7, 2.12 Theorem]). *Let  $\mu$  and  $m$  be Borel measures on  $\mathbb{R}^n$  such that  $\mu(G) < \infty$  and  $m(G) < \infty$  for any compact subset  $G$ . Then  $\mu$  is absolutely continuous with respect to  $m$  if and only if  $\underline{D}(\mu, m, x) < \infty$  for  $\mu$  a.e.  $x \in \mathbb{R}^n$ .*

**4.3. A technical lemma for the transversality.** We give a technical lemma for the transversality condition. In order to prove it, we give some definition and remark.

**DEFINITION 4.8.** Let  $G$  be a compact subset of  $\mathbb{R}^d$ . We say that a family of balls  $\{B(x_i, r_i)\}_{i=1}^k$  in  $\mathbb{R}^d$  is a *packing for  $G$*  if for each  $i \in \{1, \dots, k\}$ ,  $x_i \in G$  and for each  $i, j \in \{1, \dots, k\}$  with  $i \neq j$ ,  $B(x_i, r_i) \cap B(x_j, r_j) = \emptyset$ .

**REMARK 4.9.** Let  $G$  be a compact subset of  $\mathbb{R}^d$ , let  $r > 0$  and let  $\{B(x_i, r)\}_{i=1}^k$  be a family of balls in  $\mathbb{R}^d$ . If  $\{B(x_i, r)\}_{i=1}^k$  is a packing for  $G$ , then there exists  $N \in \mathbb{N}$  which only depends on  $G$  and  $r$  such that  $k \leq N$ .

Proof. There exists a finite covering  $\{B(y_j, r/2)\}_{j=1}^N$  for  $G$  since  $G$  is compact. Here,  $N$  only depends on  $G$  and  $r$ . Since  $x_i \in G$  for each  $i$ , there exists  $j_i$  such that  $x_i \in B(y_{j_i}, r/2)$ .

Since  $\{B(x_i, r)\}_{i=1}^k$  is a disjoint family, if  $i \neq l \in \{1, \dots, k\}$ , then  $j_i \neq j_l$ . Thus  $k \leq N$ . □

We now give a slight variation of [16, Lemma 5.2].

**Lemma 4.10.** *Let  $\mathcal{H}$  be a compact subset of the space of holomorphic functions on  $\mathbb{D}$ . We set*

$$\tilde{\mathcal{M}}_{\mathcal{H}} := \{\lambda \in \mathbb{D}^* : \text{there exists } f \in \mathcal{H} \text{ such that } f(\lambda) = f'(\lambda) = 0\}.$$

Let  $G$  be a compact subset of  $\mathbb{D}^* \setminus \tilde{\mathcal{M}}_{\mathcal{H}}$ . Let  $t \geq 0$  and let  $\mathcal{L}^t$  be a Frostman measure on  $G$  with exponent  $t$ . Then there exists  $K > 0$  such that for any  $f \in \mathcal{H}$  and for any  $r > 0$ ,

$$(4) \quad \mathcal{L}^t(\{\lambda \in G : |f(\lambda)| \leq r\}) \leq Kr^t.$$

Proof. Since  $\mathcal{H}$  is compact and the set  $\tilde{\mathcal{M}}_{\mathcal{H}}$  is the set of possible double zeros, we have that there exists  $\delta = \delta_G > 0$  such that for any  $f \in \mathcal{H}$ ,

$$(5) \quad |f(\lambda)| < \delta \Rightarrow |f'(\lambda)| > \delta \text{ for } \lambda \in G.$$

We assume that  $r < \delta$ , otherwise (4) holds with  $K = \mathcal{L}^t(G)/\delta^t$ . Let

$$\Delta_r := \{\lambda \in G : |f(\lambda)| \leq r\}.$$

Let  $\text{Co}(G)$  be the convex hull of  $G$ . We set  $M = M_G := \sup\{|g''(\lambda)| \in [0, \infty) : \lambda \in \text{Co}(G), g \in \mathcal{H}\}$ . Since  $\text{Co}(G)$  is compact and  $\mathcal{H}$  is compact,  $M < \infty$ . Fix  $z_0 \in \Delta_r$ . By Taylor's formula, for  $z \in G$ ,

$$|f(z) - f(z_0)| = \left| f'(z_0)(z - z_0) + \int_{z_0}^z (z - \xi)f''(\xi)d\xi \right|,$$

where the integration is performed along the straight line path from  $z_0$  to  $z$ . Then  $|f'(z_0)| > \delta$  by (5). Hence

$$|f(z) - f(z_0)| \geq |f'(z_0)||z - z_0| - M|z - z_0|^2 > \delta|z - z_0| - M|z - z_0|^2.$$

Now if we set

$$A_{z_0,r} := \left\{ z \in \mathbb{D}^* : \frac{4r}{\delta} < |z - z_0| < \frac{\delta}{2M} \right\},$$

then for any  $z \in A_{z_0,r}$ ,

$$\delta|z - z_0| - M|z - z_0|^2 = |z - z_0|(\delta - M|z - z_0|) > \frac{4r}{\delta} \frac{\delta}{2} = 2r,$$

and  $|f(z)| \geq |f(z) - f(z_0)| - |f(z_0)| > r$ . It follows that the annulus  $A_{z_0,r}$  does not intersect  $\Delta_r$ .

Assume that  $4r/\delta \leq \delta/4M$ , otherwise (4) holds with  $K = \mathcal{L}^t(G)(16M/\delta^2)^t$ . Then the disc  $B(z_0, \delta/4M)$  centered at  $z_0$  with the radius  $\delta/4M$  covers  $\Delta_r \cap \{z : |z - z_0| < \delta/2M\}$ . Then fix  $z_1 \in \Delta_r \setminus \{z : |z - z_0| < \delta/2M\}$ . Since the annulus  $A_{z_1,r}$  does not intersect  $\Delta_r$ ,  $B(z_1, \delta/4M)$  covers  $(\Delta_r \setminus \{z : |z - z_0| < \delta/2M\}) \cap \{z : |z - z_1| < \delta/2M\}$  and  $B(z_0, \delta/4M) \cap B(z_1, \delta/4M) = \emptyset$ . If we repeat the procedure, we get a finite covering  $\{B(z_i, \delta/4M)\}_{i=0}^k$  for  $\Delta_r$  since  $\Delta_r$  is compact. Then  $\{B(z_i, \delta/4M)\}_{i=0}^k$  is packing for  $G$ . By Remark 4.9, there exists  $N \in \mathbb{N}$  which only depends on  $\mathcal{H}$  and  $G$  such that  $k \leq N$ . Since the annulus  $A_{z_i,r}$  does not intersect  $\Delta_r$  for each  $i \in \{0, \dots, k\}$ ,  $\{B(z_i, 4r/\delta)\}_{i=0}^k$  is also a covering for  $\Delta_r$ . Hence we have

$$\mathcal{L}^t(\Delta_r) \leq \mathcal{L}^t\left(\bigcup_{i=0}^k \{B(z_i, 4r/\delta)\}\right) = \sum_{i=0}^k \mathcal{L}^t(\{B(z_i, 4r/\delta)\}) \leq NC\left(\frac{4r}{\delta}\right)^t = NC\left(\frac{4}{\delta}\right)^t r^t,$$

where  $C$  denotes a constant which appears in the definition of  $\mathcal{L}^t$ . If we set  $K := NC(4/\delta)^t$ , we get the desired inequality. □

### 5. Proofs of main results

**5.1. The lower estimation of the Hausdorff dimension for typical parameters.** For each  $n \in \mathbb{N}_0$ , we endow  $I^\infty$  with the metric  $\rho_{n,0}$  (for the definition of  $\rho_{n,0}$ , see Definition 3.3). Since the metric  $\rho_{n,0}$  does not depend on  $n$ , we set  $\rho_0 := \rho_{n,0}$ . We consider the address maps  $\pi_{n,\lambda} : (I^\infty, \rho_0) \rightarrow \mathbb{C}$  for  $\lambda \in \mathbb{D}^*$ . We set  $A_n(\lambda) := \pi_{n,\lambda}(I^\infty)$ . Fix  $\delta > 0$ . Then for any  $\lambda, \eta \in B(0, \delta) \cap \mathbb{D}^*$  and any  $\omega = \omega_0\omega_1 \cdots \in I^\infty$ ,

$$\begin{aligned} |\pi_{n,\lambda}(\omega) - \pi_{n,\eta}(\omega)| &\leq \sum_{j=0}^{\infty} p_{n+j}\omega_j |\lambda^j - \eta^j| \\ &\leq \sum_{j=0}^{\infty} p_{n+j} |\lambda - \eta| (|\lambda|^{j-1} + |\lambda|^{j-2}|\eta| + \cdots + |\lambda||\eta|^{j-2} + |\eta|^{j-1}) \\ &\leq \sum_{j=0}^{\infty} j p_{n+j} |\lambda - \eta| \delta^{j-1}. \end{aligned}$$

Hence we have the following.

**REMARK 5.1.** Let  $\lambda \in \mathbb{D}^*$ . If  $\lambda_j \rightarrow \lambda$  as  $j \rightarrow \infty$ , then  $\pi_{n,\lambda_j}(\cdot)$  uniformly converges to  $\pi_{n,\lambda}(\cdot)$  on  $I^\infty$ . In particular, the sequence of sets  $\{A_n(\lambda_j)\}_{j=1}^\infty$  converges to  $A_n(\lambda)$  in the Hausdorff metric.

By Proposition 3.13, if we set  $C_n(\lambda) := \sum_{j=0}^\infty b_{n,j} |\lambda|^j < \infty$ , where  $b_{n,j} := \max G_{n,j}$ ,

$$|\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq |\lambda|^{|\omega \wedge \tau|} p_{|\omega \wedge \tau|+n} C_n(\lambda)$$

for any  $\omega, \tau \in I^\infty$ . If  $\rho_0(\omega_j, \omega) = 1/2^{|\omega_j \wedge \omega|} \rightarrow 0$  as  $j \rightarrow \infty$ , then  $|\lambda|^{|\omega_j \wedge \omega|} p_{|\omega_j \wedge \omega|+n} \rightarrow 0$ . Hence for each  $\lambda \in \mathbb{D}^*$ , the map  $\omega \mapsto \pi_{n,\lambda}(\omega)$  is continuous on  $I^\infty$ . We set  $\alpha : \mathbb{D}^* \rightarrow [0, \infty)$  by

$$\alpha(\lambda) := \frac{-\log |\lambda|}{\log 2}.$$

For any compact subset  $G \subset \mathbb{D}^*$ , we set  $\alpha_G := \sup\{\alpha(\lambda) : \lambda \in G\}$ . We set  $U_n := \mathbb{D}^* \setminus \tilde{\mathcal{M}}_n$  (for the definition of  $\tilde{\mathcal{M}}_n$ , see Definition 3.10).

**Lemma 5.2.** *Let  $G$  be a compact subset of  $U_n$  and let  $\mathcal{L}^t$  be a Frostman measure on  $G$  with exponent  $t$  for some  $t > 0$ . Then there exists  $K_{n,G} > 0$  such that for any  $r > 0$  and any  $\omega \neq \tau \in I^\infty$ ,*

$$\mathcal{L}^t(\{\lambda \in G : |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq r\}) \leq K_{n,G} \rho_0(\omega, \tau)^{-t\alpha_G} r^t.$$

**Proof.** By Proposition 3.13, for any  $\omega \neq \tau \in I^\infty$ , there exists  $f_{n,\omega,\tau} \in \mathcal{F}_n$  such that  $\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau) = \lambda^{|\omega \wedge \tau|} p_{|\omega \wedge \tau|+n} f_{n,\omega,\tau}(\lambda)$ . Hence for any  $r > 0$ ,

$$\{\lambda \in G : |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq r\} = \left\{ \lambda \in G : |f_{n,\omega,\tau}(\lambda)| \leq \rho_0(\omega, \tau)^{-\alpha(\lambda)} \frac{1}{P_{|\omega \wedge \tau|+n}} r \right\}.$$

Since  $\mathcal{F}_n$  is a compact subset of the space of holomorphic functions on  $\mathbb{D}$ , by Lemma 4.10 we have that for any compact subset  $G \subset \mathbb{D}^* \setminus \tilde{\mathcal{M}}_n$ , there exists  $K_{n,G} > 0$  such that for any  $r > 0$ ,

$$\begin{aligned} \mathcal{L}^t(\{\lambda \in G : |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq r\}) &= \mathcal{L}^t\left(\left\{ \lambda \in G : |f_{n,\omega,\tau}(\lambda)| \leq \rho_0(\omega, \tau)^{-\alpha(\lambda)} \frac{1}{P_{|\omega \wedge \tau|+n}} r \right\}\right) \\ &\leq K_{n,G} \rho_0(\omega, \tau)^{-t\alpha(\lambda)} \frac{1}{(P_{|\omega \wedge \tau|+n})^t} r^t \\ &\leq K_{n,G} \rho_0(\omega, \tau)^{-t\alpha_G} r^t. \end{aligned} \quad \square$$

Let  $\mu$  be the  $(1/2, 1/2)$ -Bernoulli measure on  $I^\infty$ . We set  $\nu_{n,\lambda} = \pi_{n,\lambda}\mu$ . This is a Borel probability measure on  $\pi_{n,\lambda}(I^\infty) = A_n(\lambda)$ , since the map  $\omega \mapsto \pi_{n,\lambda}(\omega)$  is continuous on  $I^\infty$ .

**Lemma 5.3.** *Let  $0 \leq s < 1$ . Then*

$$\int_{I^\infty} \int_{I^\infty} \rho_0(\omega, \tau)^{-s} d\mu(\omega) d\mu(\tau) < \infty.$$

*Proof.* For any  $i \in I$ , we set

$$\tilde{i} := \begin{cases} 1 & (i = 0) \\ 0 & (i = 1). \end{cases}$$

Then

$$\begin{aligned} \int_{I^\infty} \int_{I^\infty} \rho_0(\omega, \tau)^{-s} d\mu(\omega) d\mu(\tau) &= \int_{I^\infty} \int_{I^\infty} 2^{s|\omega \wedge \tau|} d\mu(\omega) d\mu(\tau) \\ &= \int_{I^\infty} \sum_{j=0}^\infty \int_{\{\omega : |\omega \wedge \tau| = j\}} 2^{s|\omega \wedge \tau|} d\mu(\omega) d\mu(\tau) \\ &= \int_{I^\infty} \sum_{j=0}^\infty 2^{sj} \mu([\tau_0 \tau_1 \cdots \tau_{j-1} \tilde{\tau}_j]) d\mu(\tau) \\ &= \frac{1}{2} \int_{I^\infty} \sum_{j=0}^\infty 2^{(s-1)j} d\mu(\tau) \\ &= \frac{1}{2} \int_{I^\infty} \frac{1}{1 - 2^{(s-1)}} d\mu(\tau) \\ &= \frac{1}{2} \frac{1}{1 - 2^{(s-1)}}. \end{aligned} \quad \square$$

**Lemma 5.4.** *Let  $\lambda \in \mathbb{D}^*$ . Let  $s_1 \geq s_2 \geq 0$ . If*

$$\int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-s_2} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) = \infty,$$

then

$$\int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-s_1} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) = \infty.$$



Proof. Since for any Borel subset  $B \subset \mathbb{R}^2$  with  $B \cap A_n(\lambda) = \emptyset$ ,  $\nu_{n,\lambda}(B) = 0$ , we have

$$\int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-s_1} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) = \int_{A_n(\lambda)} \int_{A_n(\lambda)} |u - v|^{-s_1} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v).$$

If we set  $D := \sup_{u,v \in A_n(\lambda)} |u - v| < \infty$ , then we have

$$\begin{aligned} \int_{A_n(\lambda)} \int_{A_n(\lambda)} |u - v|^{-s_1} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) &= \int_{A_n(\lambda)} \int_{A_n(\lambda)} D^{-s_1} \left( \frac{|u - v|}{D} \right)^{-s_1} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) \\ &\geq \int_{A_n(\lambda)} \int_{A_n(\lambda)} D^{-s_1} \left( \frac{|u - v|}{D} \right)^{-s_2} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) \\ &= D^{-s_1+s_2} \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-s_2} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) \\ &= \infty. \end{aligned} \quad \square$$

**Lemma 5.5.** *The function*

$$\lambda \mapsto \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-1/\alpha(\lambda)} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v)$$

is Borel measurable on  $\mathbb{D}^*$ .

Proof. For any  $\lambda \in \mathbb{D}^*$ ,

$$\begin{aligned} \Phi(\lambda) &:= \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-1/\alpha(\lambda)} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) \\ &= \int_{I^\infty} \int_{I^\infty} |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)|^{-1/\alpha(\lambda)} d\mu(\omega) d\mu(\tau). \end{aligned}$$

Fix a sequence  $\{\lambda_j\}_{j=1}^\infty \rightarrow \lambda$  as  $j \rightarrow \infty$ . Then  $|\pi_{n,\lambda_j}(\omega) - \pi_{n,\lambda_j}(\tau)|^{-1/\alpha(\lambda_j)} \rightarrow |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)|^{-1/\alpha(\lambda)} \in (0, \infty]$  as  $j \rightarrow \infty$  for each  $\omega, \tau \in I^\infty$  by Remark 5.1 and the continuity of  $\alpha$ . By Fatou's Lemma,

$$\begin{aligned} &\int_{I^\infty} \int_{I^\infty} |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)|^{-1/\alpha(\lambda)} d\mu(\omega) d\mu(\tau) \\ &= \int_{I^\infty} \int_{I^\infty} \liminf_{j \rightarrow \infty} |\pi_{n,\lambda_j}(\omega) - \pi_{n,\lambda_j}(\tau)|^{-1/\alpha(\lambda_j)} d\mu(\omega) d\mu(\tau) \\ &\leq \liminf_{j \rightarrow \infty} \int_{I^\infty} \int_{I^\infty} |\pi_{n,\lambda_j}(\omega) - \pi_{n,\lambda_j}(\tau)|^{-1/\alpha(\lambda_j)} d\mu(\omega) d\mu(\tau). \end{aligned}$$

Hence the function  $\lambda \mapsto \Phi(\lambda)$  is lower semi-continuous, and hence Borel measurable. □

We give key lemmas as the following.

**Lemma 5.6.** *Let  $0 < t \leq 2$ . For any  $\lambda_0 \in U_n \cap \{\lambda \in \mathbb{D}^* : 1/\alpha(\lambda) < t\}$  and any  $\epsilon > 0$ , there exists  $\delta > 0$  such that for any Frostman measure  $\mathcal{L}^t$  on  $B(\lambda_0, \delta)$  with exponent  $t$ ,*

$$\int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-(1/\alpha(\lambda_0) - \epsilon)} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) < \infty$$

for  $\mathcal{L}^t$ -a.e.  $\lambda$  in  $B(\lambda_0, \delta)$ .

Proof. Fix  $\lambda_0 \in U_n \cap \{\lambda \in \mathbb{D}^* : 1/\alpha(\lambda) < t\}$  and any  $\epsilon > 0$ . There exists  $\delta > 0$  such that  $1/\alpha(\lambda_0) - \epsilon < 1/\alpha_{\text{cl}(B(\lambda_0, \delta))}$  since  $\alpha$  is continuous. Below, we set  $s = 1/\alpha(\lambda_0) - \epsilon$  and  $G := \text{cl}(B(\lambda_0, \delta))$ . Then

$$\int_{I^\infty} \int_{I^\infty} \rho_0(\omega, \tau)^{-s\alpha_G} d\mu(\omega)d\mu(\tau) < \infty$$

by Lemma 5.3 since  $s\alpha_G < 1$ . If we prove

$$S := \int_G \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-s} dv_{n,\lambda}(u)dv_{n,\lambda}(v)d\mathcal{L}^t(\lambda) < \infty,$$

we get the desired result. By changing variables and Fubini's Theorem,

$$S = \int_{I^\infty} \int_{I^\infty} \int_G |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)|^{-s} d\mathcal{L}^t(\lambda)d\mu(\omega)d\mu(\tau).$$

By using Lemma 5.2 and  $\mathcal{L}^t(G) < \infty$ , we have that for any  $r > 0$  and any  $\omega, \tau \in I^\infty$ ,

$$\mathcal{L}^t(\{\lambda \in G : |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq r\}) \leq \text{Const.} \min\{1, \rho_0(\omega, \tau)^{-t\alpha_G} r^t\}.$$

Here, we set  $\text{Const.} := \max\{1, \mathcal{L}^t(G)\}K_{n,G}$ , where  $K_{n,G}$  comes from Lemma 5.2. Then by using that  $s < t$ , we obtain

$$\begin{aligned} \int_G |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)|^{-s} d\mathcal{L}^t(\lambda) &= \int_0^\infty \mathcal{L}^t(\{\lambda \in G : |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)|^{-s} \geq x\}) dx \\ &\leq \text{Const.} \int_0^\infty \min\{1, \rho_0(\omega, \tau)^{-t\alpha_G} x^{-t/s}\} dx \\ &= \text{Const.} \left( \int_0^{\rho_0(\omega, \tau)^{-s\alpha_G}} 1 dx + \rho_0(\omega, \tau)^{-t\alpha_G} \int_{\rho_0(\omega, \tau)^{-s\alpha_G}}^\infty x^{-t/s} dx \right) \\ &= \text{Const.}' \rho_0(\omega, \tau)^{-s\alpha_G}. \end{aligned}$$

Here, we set  $\text{Const.}' := (\text{Const.} + \frac{1}{t/s-1})$ . Hence we have  $S < \infty$ . □

**Lemma 5.7.** *For any  $\lambda_0 \in U_n \cap \{\lambda \in \mathbb{D}^* : 1/\alpha(\lambda) > 2\}$ , there exists  $\delta > 0$  such that*

$$\mathcal{L}(A_n(\lambda)) > 0$$

for  $\mathcal{L}$ -a.e.  $\lambda$  in  $B(\lambda_0, \delta)$ .

Proof. Fix any  $\lambda_0 \in U_n \cap \{\lambda \in \mathbb{D}^* : 1/\alpha(\lambda) > 2\}$  and any  $\epsilon > 0$  with  $(1 - \epsilon)/\alpha(\lambda_0) > 2$ . Then by Lemma 5.3,

$$\int_{I^\infty} \int_{I^\infty} \rho_0(\omega, \tau)^{-(1-\epsilon)} d\mu(\omega)d\mu(\tau) < \infty.$$

There exists  $\delta > 0$  such that  $(1 - \epsilon)/\alpha_{\text{cl}(B(\lambda_0, \delta))} > 2$  since  $\alpha$  is continuous. It suffices to prove that  $v_{n,\lambda}$  is absolutely continuous with respect to  $\mathcal{L}$  for  $\mathcal{L}$ -a.e.  $\lambda$  in  $B(\lambda_0, \delta)$ . We set  $G = \text{cl}(B(\lambda_0, \delta))$ . Let

$$\underline{D}(v_{n,\lambda}, u) := \liminf_{r \rightarrow 0} \frac{v_{n,\lambda}(B(u, r))}{\mathcal{L}(B(u, r))}$$

be the lower derivative of  $v_{n,\lambda}$  with respect to  $\mathcal{L}$  at the point  $u$ . If we show that

$$S := \int_G \int_{\mathbb{R}^2} \underline{D}(v_{n,\lambda}, u) dv_{n,\lambda} d\mathcal{L}(\lambda) < \infty,$$

then for  $\mathcal{L}$ -a.e.  $\lambda \in G$  we have  $\underline{D}(v_{n,\lambda}, u) < \infty$  for  $v_{n,\lambda}$ -a.e.  $u$  and hence  $v_{n,\lambda}$  is absolutely continuous by Lemma 4.7. By Fatou’s Lemma,

$$S \leq \text{Const.} \liminf_{r \rightarrow 0} r^{-2} \int_G \int_{\mathbb{R}^2} v_{n,\lambda}(B(u, r)) dv_{n,\lambda}(u) d\mathcal{L}(\lambda).$$

Then

$$\begin{aligned} \int_{\mathbb{R}^2} v_{n,\lambda}(B(u, r)) dv_{n,\lambda}(u) &= \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} \chi_{B(u,r)}(v) dv_{n,\lambda}(v) dv_{n,\lambda}(u) \\ &= \int_{I^\infty} \int_{I^\infty} \chi_{\{\tau \in I^\infty : |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq r\}} d\mu(\tau) d\mu(\omega), \end{aligned}$$

where  $\chi_A$  is the characteristic function with respect to the set  $A$ . By Fubini’s Theorem, integrating with respect to  $\lambda$ ,

$$S \leq \text{Const.} \liminf_{r \rightarrow 0} r^{-2} \int_{I^\infty} \int_{I^\infty} \mathcal{L}(\{\lambda \in G : |\pi_{n,\lambda}(\omega) - \pi_{n,\lambda}(\tau)| \leq r\}) d\mu(\omega) \mu(\tau).$$

By using Lemma 5.2, we have that

$$S \leq \text{Const.}' \int_{I^\infty} \int_{I^\infty} \rho_0(\omega, \tau)^{-2\alpha_G} d\mu(\omega) d\mu(\tau),$$

which is finite since  $2\alpha_G < 1 - \epsilon$  by Lemma 5.3. □

**Theorem 5.8.** *Let  $n \in \mathbb{N}_0$ .*

- (i)  $\dim_H(A_n(\lambda)) \geq \frac{\log 2}{-\log |\lambda|}$  for  $\mathcal{L}$ -a.e.  $\lambda \in \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\} \setminus \tilde{\mathcal{M}}_n$ .
- (ii)  $\mathcal{L}(A_n(\lambda)) > 0$  for  $\mathcal{L}$ -a.e.  $\lambda \in \{\lambda \in \mathbb{D}^* : 1/\sqrt{2} < |\lambda| < 1\} \setminus \tilde{\mathcal{M}}_n$ .

*Proof.* We first prove (i). We set  $V_n := \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\} \setminus \tilde{\mathcal{M}}_n$ . Fix  $k \in \mathbb{N}$ . We prove

$$(6) \quad \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-(1/\alpha(\lambda) - 1/k)} dv_{n,\lambda}(u) dv_{n,\lambda}(v) < \infty$$

for  $\mathcal{L}$ -a.e.  $\lambda$  in  $V_n$ .

Suppose that (6) does not hold. Then there exists a Lebesgue density point  $\lambda_0 \in V_n$  of the set

$$\left\{ \lambda \in V_n : \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-(1/\alpha(\lambda) - 1/k)} dv_{n,\lambda}(u) dv_{n,\lambda}(v) = \infty \right\}.$$

Then there exists  $\delta_0 > 0$  such that for each  $\delta \in (0, \delta_0)$ ,

$$\mathcal{L} \left( \left\{ \lambda \in B(\lambda_0, \delta) : \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-(1/\alpha(\lambda) - 1/k)} dv_{n,\lambda}(u) dv_{n,\lambda}(v) = \infty \right\} \right) > 0.$$

By the continuity of the function  $\lambda \mapsto 1/\alpha(\lambda)$ , if  $\delta$  is small enough, then  $1/\alpha(\lambda) - 1/k < 1/\alpha(\lambda_0) - 1/2k$  for each  $\lambda \in B(\lambda_0, \delta)$ . Hence for all sufficiently small  $\delta$ , by Lemma 5.4, we have that

$$\mathcal{L}\left(\left\{\lambda \in B(\lambda_0, \delta) : \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-(1/\alpha(\lambda_0) - 1/2k)} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) = \infty\right\}\right) > 0.$$

This however contradicts Lemma 5.6 since  $\mathcal{L}$  is a Frostman measure on  $B(\lambda_0, \delta)$  with exponent 2. Thus we have proved (6). By Lemma 4.5, we have that

$$\dim_H(A_n(\lambda)) \geq \frac{\log 2}{-\log |\lambda|} - \frac{1}{k} \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\} \setminus \tilde{\mathcal{M}}_n.$$

By letting  $k \rightarrow \infty$ , we prove (i).

Statement (ii) follows from Lemma 5.7 in a similar way. □

**Corollary 5.9.**

$$\begin{aligned} \dim_H(A_0(\lambda)) &\geq \frac{\log 2}{-\log |\lambda|} \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\} \setminus \tilde{\mathcal{M}}; \\ \mathcal{L}(A_0(\lambda)) &> 0 \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 1/\sqrt{2} < |\lambda| < 1\} \setminus \tilde{\mathcal{M}}. \end{aligned}$$

Proof. By Theorem 5.8 and Corollary 3.8, we have that

$$\begin{aligned} \dim_H(A_0(\lambda)) &\geq \frac{\log 2}{-\log |\lambda|} \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\} \setminus \tilde{\mathcal{M}}_n; \\ \mathcal{L}(A_0(\lambda)) &> 0 \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 1/\sqrt{2} < |\lambda| < 1\} \setminus \tilde{\mathcal{M}}_n. \end{aligned}$$

By Lemma 3.12, letting  $n \rightarrow \infty$ , we get our corollary. □

We use the following theorem in order to prove our main result.

**Theorem 5.10** ([17, Proposition 2.7]). *A power series of the form  $1 + \sum_{j=1}^{\infty} a_j z^j$ , with  $a_j \in [-1, 1]$ , cannot have a non-real double zero of modulus less than  $2 \times 5^{-5/8} \approx 0.73143$  ( $> 1/\sqrt{2}$ ).*

Finally, we get the following theorem by using Theorem 3.15, Corollary 5.9 and Theorem 5.10.

**Theorem 5.11.**

$$\begin{aligned} \dim_H(A_0(\lambda)) &= \frac{\log 2}{-\log |\lambda|} \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 0 < |\lambda| < 1/\sqrt{2}\}; \\ \mathcal{L}(A_0(\lambda)) &> 0 \text{ for } \mathcal{L}\text{-a.e. } \lambda \in \{\lambda \in \mathbb{D}^* : 1/\sqrt{2} < |\lambda| < 1\} \setminus \tilde{\mathcal{M}}. \end{aligned}$$

**5.2. The estimation of local dimension of the exceptional set of parameters.** Recall that  $U_n = \mathbb{D}^* \setminus \tilde{\mathcal{M}}_n$  and  $\alpha(\lambda) = -\log |\lambda| / \log 2$  for  $\lambda \in \mathbb{D}^*$ . Note that  $\bigcup_{n \in \mathbb{N}_0} U_n = \mathbb{D}^* \setminus \tilde{\mathcal{M}}$  by Lemma 3.12.

**Lemma 5.12.** *Let  $G$  be a compact subset of  $U_n$ . Then we have*

$$\dim_H\left(\left\{\lambda \in G : \dim_H(A_n(\lambda)) < \frac{\log 2}{-\log |\lambda|}\right\}\right) \leq \sup_{\lambda \in G} \frac{\log 2}{-\log |\lambda|}.$$

Proof. We set  $s_G := \sup_{\lambda \in G} \log 2 / -\log |\lambda|$ . By the countable stability of the Hausdorff dimension, it suffices to prove that for each  $k \in \mathbb{N}$ ,

$$\dim_H \left( \left\{ \lambda \in G : \dim_H(A_n(\lambda)) < \frac{\log 2}{-\log |\lambda|} - \frac{1}{k} \right\} \right) \leq s_G.$$

Since  $G$  is compact, it is enough to prove that for each  $\lambda \in G$ , there exists  $\delta > 0$  such that

$$\dim_H \left( \left\{ \lambda \in B(\lambda, \delta) : \dim_H(A_n(\lambda)) < \frac{\log 2}{-\log |\lambda|} - \frac{1}{k} \right\} \right) \leq s_G.$$

Suppose that this is false, that is, there exists  $\lambda_0 \in G$  such that for any  $\delta > 0$ ,

$$\dim_H \left( \left\{ \lambda \in B(\lambda_0, \delta) : \dim_H(A_n(\lambda)) < \frac{\log 2}{-\log |\lambda|} - \frac{1}{k} \right\} \right) > s_G.$$

By the continuity of the function  $\lambda \mapsto \log 2 / -\log |\lambda|$ , there exists  $\delta_0 > 0$  such that for any  $0 < \delta < \delta_0$ ,

$$\dim_H \left( \left\{ \lambda \in B(\lambda_0, \delta) : \dim_H(A_n(\lambda)) < \frac{\log 2}{-\log |\lambda_0|} - \frac{1}{2k} \right\} \right) > s_G.$$

Take  $\delta_1 > 0$  with  $\delta_1 < \delta_0$  so that Lemma 5.6 holds with  $t = s_G$  and  $\epsilon = 1/2k$ . By Lemma 4.5, we have

$$\begin{aligned} & \left\{ \lambda \in B(\lambda_0, \delta_1) : \dim_H(A_n(\lambda)) < \frac{\log 2}{-\log |\lambda_0|} - \frac{1}{2k} \right\} \\ & \subset \left\{ \lambda \in B(\lambda_0, \delta_1) : \int_{\mathbb{R}^2} \int_{\mathbb{R}^2} |u - v|^{-(1/\alpha(\lambda_0) - 1/2k)} d\nu_{n,\lambda}(u) d\nu_{n,\lambda}(v) = \infty \right\} =: E. \end{aligned}$$

By Lemma 5.5, the set  $E$  is a Borel subset of  $\mathbb{D}^*$ . Since  $\mathcal{H}^{s_G}(E) > 0$ , by Lemma 4.2, there exists a Frostman measure  $\mathcal{L}^{s_G}$  on  $E$  with exponent  $s_G$ . However this contradicts Lemma 5.6 since  $\mathcal{L}^{s_G}$  is also a Frostman measure on  $B(\lambda_0, \delta_1)$  with exponent  $s_G$ . □

**Theorem 5.13.** *Let  $G$  be a compact subset of  $\mathbb{D}^* \setminus \tilde{\mathcal{M}}$ . Then we have*

$$\dim_H \left( \left\{ \lambda \in G : \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \sup_{\lambda \in G} \frac{\log 2}{-\log |\lambda|}.$$

Proof. Since  $\bigcup_{n \in \mathbb{N}_0} U_n = \mathbb{D}^* \setminus \tilde{\mathcal{M}}$ , there exists  $n_0 \in \mathbb{N}_0$  such that  $G \subset U_{n_0}$ . By Lemma 5.12, we have

$$\dim_H \left( \left\{ \lambda \in G : \dim_H(A_{n_0}(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \sup_{\lambda \in G} \frac{\log 2}{-\log |\lambda|}.$$

By Corollary 3.8, we have that

$$\dim_H \left( \left\{ \lambda \in G : \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \sup_{\lambda \in G} \frac{\log 2}{-\log |\lambda|}. \quad \square$$

**Theorem 5.14.** *For any  $0 < R < 1/\sqrt{2}$ ,*

$$\dim_H \left( \left\{ \lambda \in \mathbb{D}^* : 0 < |\lambda| < R, \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \frac{\log 2}{-\log R} < 2.$$

Proof. Let  $0 < r < R < 1/\sqrt{2}$ . If  $R \leq 1/2$ , by (1) and since  $\tilde{\mathcal{M}} \subset \mathcal{M}$ ,

$$\{\lambda \in \mathbb{D}^* : r < |\lambda| < R\} \setminus \tilde{\mathcal{M}} = \{\lambda \in \mathbb{D}^* : r < |\lambda| < R\}.$$

For each  $k \in \mathbb{N}$ , we set  $G_k := \{\lambda \in \mathbb{D}^* : r + 1/k \leq |\lambda| \leq R - 1/k\}$ . Then  $G_k$  is a compact subset of  $\mathbb{D}^* \setminus \tilde{\mathcal{M}}$  and  $\bigcup_{k \in \mathbb{N}} G_k = \{\lambda \in \mathbb{D}^* : r < |\lambda| < R\}$ . By Theorem 5.13 and the countable stability of the Hausdorff dimension, we have that

$$\dim_H \left( \left\{ \lambda \in \mathbb{D}^* : r < |\lambda| < R, \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \frac{\log 2}{-\log R}.$$

If  $1/2 < R \leq 1/\sqrt{2}$ , by Theorem 5.10,

$$\{\lambda \in \mathbb{D}^* : r < |\lambda| < R\} \setminus \tilde{\mathcal{M}} = \{\lambda \in \mathbb{D}^* \setminus \mathbb{R} : r < |\lambda| < R\} \cup (\{\lambda \in \mathbb{R} : r < |\lambda| < R\} \setminus \tilde{\mathcal{M}}).$$

For each  $k \in \mathbb{N}$ , we set

$$G_k := \{\lambda \in \mathbb{D}^* : r + 1/k \leq |\lambda| \leq R - 1/k, \text{Im}(\lambda) \geq 1/k\} \\ \cup \{\lambda \in \mathbb{D}^* : r + 1/k \leq |\lambda| \leq R - 1/k, \text{Im}(\lambda) \leq -1/k\},$$

where  $\text{Im}(\lambda)$  denotes the imaginary part of  $\lambda$ . Then  $G_k$  is a compact subset of  $\mathbb{D}^* \setminus \tilde{\mathcal{M}}$  and  $\bigcup_{k \in \mathbb{N}} G_k = \{\lambda \in \mathbb{D}^* \setminus \mathbb{R} : r < |\lambda| < R\}$ . By Theorem 5.13 and the countable stability of the Hausdorff dimension, we have that

$$\dim_H \left( \left\{ \lambda \in \mathbb{D}^* \setminus \mathbb{R} : r < |\lambda| < R, \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \frac{\log 2}{-\log R}.$$

Since  $\dim_H(\mathbb{R}) = 1 < \log 2 / -\log R$ , we have that

$$\dim_H \left( \left\{ \lambda \in \mathbb{D}^* : r < |\lambda| < R, \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \frac{\log 2}{-\log R}.$$

By the countable stability of the Hausdorff dimension, we have that

$$\dim_H \left( \left\{ \lambda \in \mathbb{D}^* : 0 < |\lambda| < R, \dim_H(A_0(\lambda)) < \frac{\log 2}{-\log |\lambda|} \right\} \right) \leq \frac{\log 2}{-\log R}. \quad \square$$

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