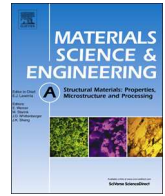


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# Overcoming the strength-ductility trade-off by the combination of static recrystallization and low-temperature heat-treatment in Co-Cr-W-Ni alloy for stent application



Kosuke Ueki<sup>a,\*</sup>, Soh Yanagihara<sup>b</sup>, Kyosuke Ueda<sup>b</sup>, Masaaki Nakai<sup>a</sup>, Takayoshi Nakano<sup>c</sup>, Takayuki Narushima<sup>b</sup>

<sup>a</sup> Department of Mechanical Engineering, Kindai University, 3-4-1, Kowakae, Higashiosaka, 577-8502, Japan

<sup>b</sup> Department of Materials Processing, Graduate School of Engineering, Tohoku University, 6-6-02, Aza-Aoba, Aramaki, Aoba-ku, Sendai, 980-8579, Japan

<sup>c</sup> Division of Materials Science and Engineering, Graduate School of Engineering, Osaka University, 2-1, Yamada-Oka, Suita, Osaka, 565-0871, Japan

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## ABSTRACT

A process combining swaging, static recrystallization, and heat treatment at 873 K (low-temperature heat-treatment, LTHT) was developed for achieving both high ultimate strength and high ductility in Co-20Cr-15W-10Ni (mass%, CCWN) alloy for stent application. The alloys swaged to a sectional area reduction rate of 58.3% were annealed at 1373–1473 K for 30–300 s. Under annealing at 1373 K for 300 s, a fine grain structure with an average grain size of  $\sim 6 \mu\text{m}$  formed, while under annealing at 1473 K, a structure with an average grain size of  $12 \mu\text{m}$  formed after 120 s. In the alloys annealed at 1373–1448 K, the formation of  $\eta$ -phase precipitates ( $M_6X$ - $M_{12}X$  type, M: metallic elements, X: C and/or N) was observed, while no precipitates were observed in the alloys annealed at 1473 K. The improvement in ultimate strength by grain refinement was confirmed. Alloys annealed at 1473 K showed higher ductility compared to those annealed at 1373–1448 K even if the grain size was similar. It is considered that the  $\eta$ -phase precipitates deteriorated the ductility of the annealed alloys. LTHT suppressed the strain-induced martensitic  $\gamma$ -to- $\epsilon$  transformation to improve the ductility of the fine-grained as well as coarse-grained alloys. Thus, regardless of the grain size, it is newly evidenced that LTHT effectively improves ductility in CCWN alloy. By combining high-temperature short-time annealing and LTHT, both the ultimate strength and ductility of Co-20Cr-15W-10Ni (mass%) alloy improved, and it was possible to provide properties suitable for next-generation balloon-expandable stents with Co-20Cr-15W-10Ni (mass%) alloy.

## 1. Introduction

The Co-20Cr-15W-10Ni (mass%, CCWN) alloy specified by ASTM F90 has excellent mechanical properties and corrosion resistance; therefore, it has been widely used in balloon-expandable stents, which are used in the treatment of cardiovascular diseases [1–4]. Our group has investigated the microstructural changes during heat treatment, mechanical properties, and corrosive properties in biomedical Co-Cr alloys [5–8]. In these studies, we discovered that the mechanical properties (especially ductility) of CCWN [6,7] and Co-28Cr-6Mo (mass %) [8] alloys were improved without sacrificing the corrosion resistance by low-temperature heat-treatment (LTHT) at approximately 873 K. A multi-variant thin  $\epsilon$  (hcp)-phase formed during LTHT, and the mechanical properties were improved because the pre-existing thin  $\epsilon$ -

phase inhibits the progress of the  $\epsilon$ -phase formed by strain-induced martensitic transformation [7,8].

In recent years, there has been a demand for reducing the diameter of balloon-expandable stents from the viewpoint of achieving further minimally invasive medical treatment. It is necessary to reduce the strut size of the stent. To realize the reduction of the struts, improvement in both, the ultimate strength and ductility in CCWN alloy, is required. In addition, the yield stress should be as low as possible from the viewpoint of balloon expandability. Li et al. investigated the static recrystallization behavior in the CCWN alloy by conducting cold rolling and annealing [9,10]. They reported that excellent ultimate strength-ductility balance was achieved by forming a bimodal structure in which fine grains and coarse grains are mixed [9,10]. However, although CCWN alloy with a bimodal structure showed excellent ultimate

\* Corresponding author.

E-mail addresses: [ueki@mech.kindai.ac.jp](mailto:ueki@mech.kindai.ac.jp) (K. Ueki), [soh.yanagihara.q8@dc.tohoku.ac.jp](mailto:soh.yanagihara.q8@dc.tohoku.ac.jp) (S. Yanagihara), [ueda@material.tohoku.ac.jp](mailto:ueda@material.tohoku.ac.jp) (K. Ueda), [nakai@mech.kindai.ac.jp](mailto:nakai@mech.kindai.ac.jp) (M. Nakai), [nakano@mat.eng.osaka-u.ac.jp](mailto:nakano@mat.eng.osaka-u.ac.jp) (T. Nakano), [narut@material.tohoku.ac.jp](mailto:narut@material.tohoku.ac.jp) (T. Narushima).

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**Table 1**

Chemical compositions of the ASTM F 90 Co–20Cr–15W–10Ni base alloys used in this study (mass%).

	Co	Cr	W	Ni	Fe	Mn	C	N
φ 12.7 (d78)	Bal.	19.89	14.82	9.91	1.86	1.55	0.07	0.03
φ 28.5 (d207)	Bal.	20.12	14.94	9.77	1.86	1.58	0.08	0.03

strength, the yield stress (or 0.2% proof stress) also increased and the ductility was not sufficient for stent applications [9]. Favre et al. reported the dynamic and static recrystallized behavior of CCWN alloy [1,11]. Favre et al. also reported an increase in the ultimate strength and yield stress, and a decrease in deformability grain refinement in compression testing [1]. Hence, these reports indicate that only by simple grain refinement, it is difficult to simultaneously achieve high ultimate strength and high ductility while maintaining low yield stress.

Our group investigated the effect of LTHT on the microstructure and mechanical properties of CCWN alloy with an average grain size of  $\sim 80 \mu\text{m}$  [6,7]. Considering that the grain size of  $80 \mu\text{m}$  is too large for practical balloon-expandable stents, for which the grain size is  $20\text{--}30 \mu\text{m}$ , it is necessary to investigate the effectiveness of LTHT process on CCWN alloy with finer grains. We considered that the process which combined grain refinement using static recrystallization and LTHT was effective. Therefore, this study investigated the static recrystallization behavior of CCWN alloy and the effect of LTHT on mechanical properties in CCWN alloy with a fine grain structure.

## 2. Experimental

### 2.1. Specimen preparation

CCWN alloy bars with diameters of  $\phi 28.5$  and  $\phi 12.7$  mm (Carpenter Technology Corporation, PA, USA) were used as the base alloys. The chemical composition of the alloy bars is listed in Table 1. The electron backscatter diffraction (EBSD) inverse pole figure (IPF) maps of the alloys are shown in Fig. 1. The average grain sizes of the  $\phi 28.5$  and  $\phi 12.7$  mm base alloys were  $207 \mu\text{m}$  and  $78 \mu\text{m}$ , and referred to as d207 and d78 alloys, respectively. Cold swaging was performed on the d207 alloy, which is referred to as the as-swaged alloy. The cold swaging process was conducted at room temperature (298 K) and the reduction rate of the cross-sectional area in cold swaging was 58.3%. In cold swaging, the jig diameter was reduced from  $\phi 28$  to  $\phi 18$  mm ( $\phi 28 \rightarrow \phi 26 \rightarrow \phi 24 \rightarrow \phi 22 \rightarrow \phi 20 \rightarrow \phi 18$  mm). Because cracks were observed in the alloy after further swaging, 58.3% swaged alloy was used in this study. The as-swaged alloy was then annealed to investigate the static

**Table 2**

Abbreviation of annealed alloys used in this study.

Alloys	Annealing time, t/s					
	100	120	150	180	300	
Base alloy (d78)						
Base alloy (d207)	1473	1473-d6	1473-d12			
	1448		1448-d5	1448-d17		
	1423		1423-d9	1423-d10		
	1373			1373-d3	1373-d4	1373-d6

recrystallization behavior.

### 2.2. Heat treatment

The as-swaged alloys were machined into cylindrical shapes with dimensions of  $\phi 6.5 \text{ mm} \times 45 \text{ mm}$ . The cylindrical specimens were sealed inside  $\text{SiO}_2$  ampoules under an Ar atmosphere at pressures of  $0.02\text{--}0.03 \text{ MPa}$  to avoid decarburization and/or denitritization during annealing [12,13]. Subsequently, they were placed in an electric-resistance muffle furnace heated to the annealing temperature. The annealing conditions were  $1373\text{--}1473 \text{ K}$  for  $30\text{--}300 \text{ s}$ . After annealing, the cylindrical specimens were water-quenched simultaneously by breaking their  $\text{SiO}_2$  ampoules.

LTHT was performed on the base alloys (d207 and d78) and annealed alloys. The condition of LTHT was a temperature of  $873 \text{ K}$  for a holding time of  $14.4 \text{ ks}$  [6,7]. Focused on the annealing temperature (K) and grain size ( $\mu\text{m}$ ), the annealed alloys were named as shown in Table 2. In Table 2, the numbers following “d” represent the grain size of the alloys. For example, the 1473-d12 alloy was subjected to annealing at  $1473 \text{ K}$  and has an average grain size of  $12 \mu\text{m}$ . In addition, the alloys subjected to LTHT were designated as, for example, d207LTHT, d78LTHT, and 1473-d12 LTHT.

### 2.3. Tensile testing

Tensile tests were conducted for the d78, d207, annealed alloys and their LTHT-ed alloys using a mechanical tensile tester (RTF-1325, A&D Company Ltd., Tokyo, Japan). The specimens were machined to have gauge lengths of  $10 \text{ mm}$  and diameters of  $3 \text{ mm}$  and then pulled at a nominal strain rate of  $1.67 \times 10^{-4} \text{ s}^{-1}$  at room temperature. After the tensile test, the plastic strain was calculated by measuring displacement of gauge length in the fractured specimens.

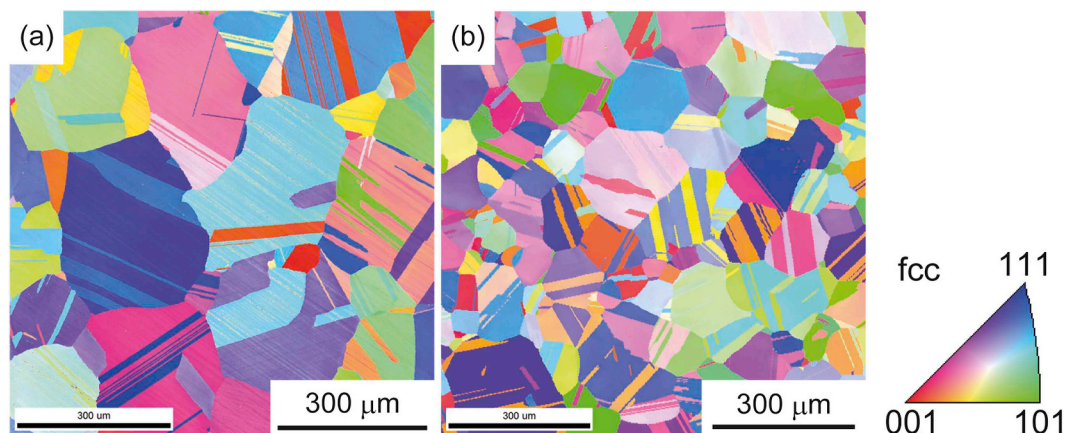


Fig. 1. EBSD IPF maps of (a) d207 and (b) d78 base alloys.



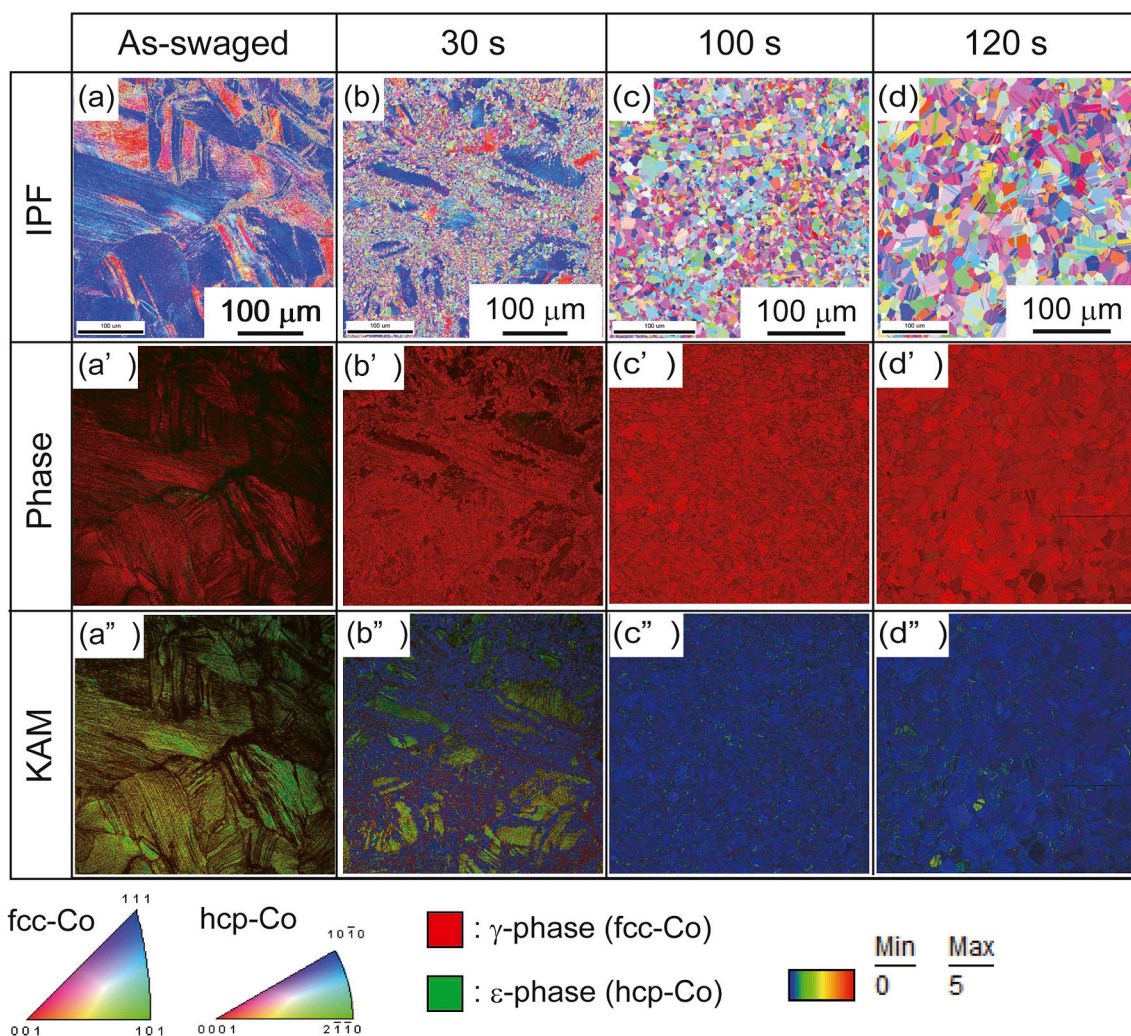


Fig. 2. EBSD IPF, phase and KAM maps of (a, a', a'') as-swaged alloy and the alloys annealed at 1473 K for (b, b', b'') 30 s, (c, c', c'') 100 s and (d, d', d'') 120 s.

#### 2.4. Microstructure observation and analysis

The microstructure of the alloys was observed by scanning electron microscopy-backscattered electron (SEM-BSE, JSM-7800F, JEOL Ltd., Tokyo, Japan) and EBSD. For annealed alloys, the phase of precipitates was analyzed; electrolytic extraction of the alloys was performed at 2 V for 10.8 ks in a 10H<sub>2</sub>SO<sub>4</sub>-90CH<sub>3</sub>OH (vol%) solution, and the precipitates electrolytically extracted from the alloys were collected as residue after filtering the electrolyte and analyzed by X-ray diffraction (XRD, D8 advance, Bruker AXS K.K., Karlsruhe, Germany) [5,12–14].

#### 2.5. In-situ XRD analysis

To analyze the effect of grain size on the behavior of strain induced  $\gamma$ -to- $\epsilon$  martensitic transformation during deformation, in-situ XRD [6,7] was conducted for d207, annealed alloys, and their LTHT-ed alloys. Specimens for in-situ XRD analysis were machined to the shapes with gauge lengths of 13 mm and rectangular cross-sections of 3.5 × 1 mm<sup>2</sup>. The thin surface oxide layer was removed by mechanical polishing. In addition, the specimens were electrolytically mirror-polished to eliminate the surface damage layer produced by machining and mechanical polishing. Electrolytic polishing was performed at a DC voltage difference of 6 V at 273 K for 60 s in 10H<sub>2</sub>SO<sub>4</sub>-90CH<sub>3</sub>OH (vol%). To obtain diffracted X-rays from many grains, the XRD measurements were performed while the specimen was being swung.

### 3. Results

#### 3.1. Static recrystallization

Fig. 2 shows the EBSD IPF, phase, and kernel average misorientation (KAM) maps of the as-swaged alloy and the alloys annealed at 1473 K for 30–120 s. A homogeneously strained structure was obtained by swaging, as shown in Fig. 2(a''). Even after swaging, almost no strain-induced martensitic transformation occurred, and the matrix of the as-swaged alloy was single  $\gamma$ (fcc)-phase (Fig. 2(a')). In the alloy annealed at 1473 K for 30 s, the KAM value was partially high, suggesting that static recrystallization was not completed. In the alloys annealed at 1473 K for 100 (1473-d6) and 120 s (1473-d12), a fully recrystallized structure was observed. EBSD IPF maps of all the annealed alloys are summarized in Fig. 3. The alloys annealed at 1373–1448 K for 120 s or more have fully recrystallized structures. Fig. 4 shows the average grain size of the annealed alloys. The grain size increased with increasing annealing time. The lower the annealing temperature, the lower the grain growth rate. At an annealing temperature of 1373 K, the average grain size was ~6 μm even after annealing for a relatively long time (300 s). Among the fully recrystallized alloys, 1473-d6, 1473-d12, 1448-d17, 1423-d10, 1373-d3, and 1373-d6 alloys were used for microstructure analysis, LTHT, and mechanical property evaluation.

Fig. 5 shows the XRD patterns of precipitates electrolytically extracted from as-received, as-swaged, 1473-d12, 1448-d17, 1423-d10, and 1373-d6 alloys. No precipitates were detected in both as-received



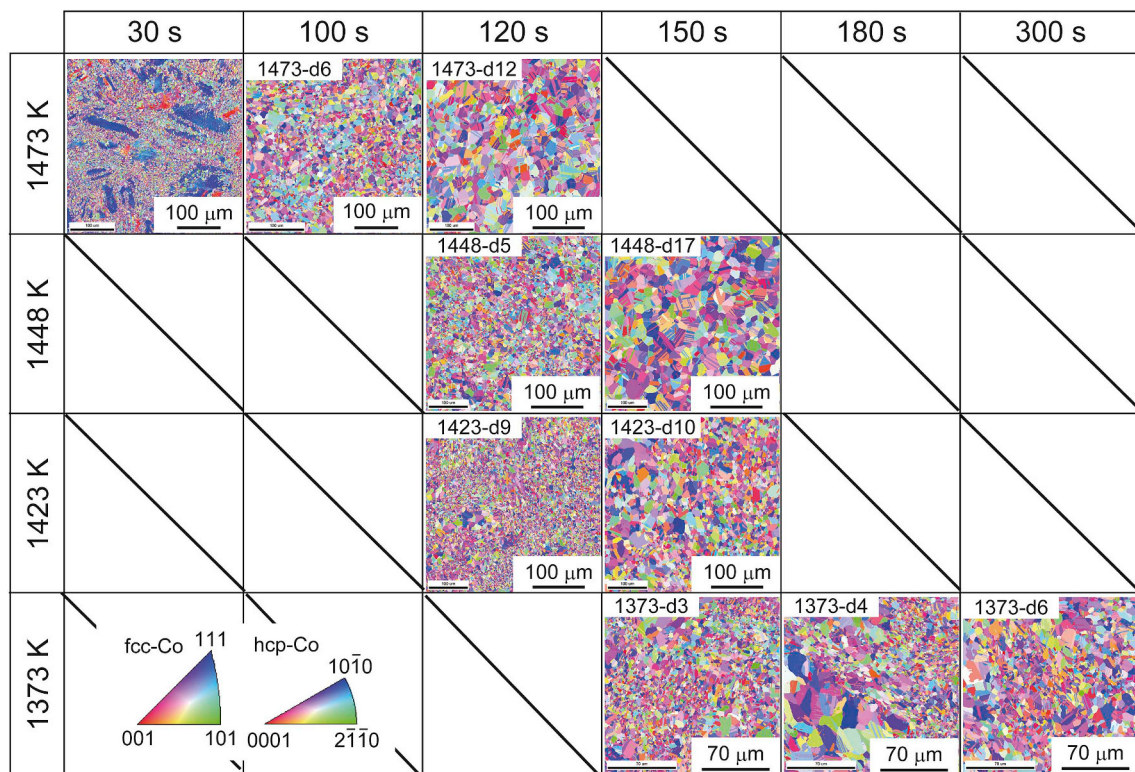


Fig. 3. EBSD IPF maps of the alloys annealed at 1373–1473 K for 30–300 s.

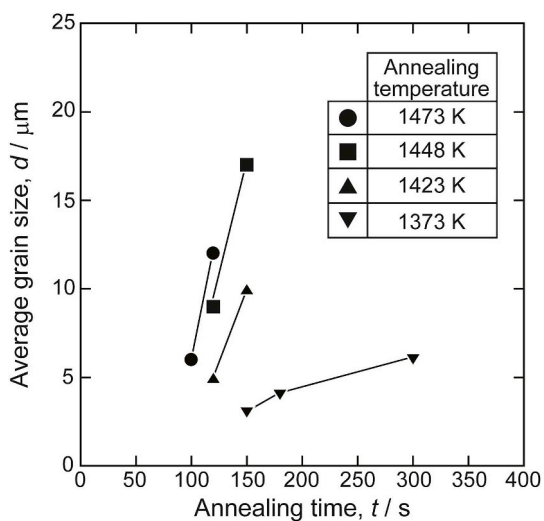


Fig. 4. Relationship between average grain size and annealing condition.

and as-swaged alloys. This result confirms that no precipitates were formed during cold swaging. In the 1448-d17, 1423-d10, and 1373-d6 alloys, formation of the  $\eta$ -phase ( $M_6X-M_{12}X$  type, M: metallic elements, X: C and/or N) was confirmed. The peak intensity of the  $\eta$ -phase increased with decreasing annealing temperature. Fig. 6 (a,b,c) show SEM-BSE images of the alloys for which  $\eta$ -phase peaks were observed in Fig. 5. White particles, which appear to be  $\eta$ -phase, were observed.

Fig. 6 (a',b',c') show SEM images of the precipitates, which were electrolytically extracted from the alloys. The amount of precipitates decreased with increasing annealing temperature. This result was in good agreement with the tendency confirmed from XRD analysis (Fig. 5).

Changes in grain size, precipitate phase, and amount were not observed after LTHT of the annealed alloys with the fine-grained

microstructure.

### 3.2. Tensile properties

Fig. 7 shows the 0.2% proof stress and ultimate tensile strength of the annealed and LTHT-ed alloys. The 0.2% proof stress and ultimate tensile strength increased by grain refinement. Fig. 8 shows the plastic elongation of the alloys. Improvement in ductility by LTHT was observed except for 1473-d6. The 1473-d12 LTHT alloy showed excellent strength-ductility balance, with a plastic elongation of  $\sim 70\%$  and ultimate tensile strength of  $\sim 1200$  MPa. The tensile properties of the annealed alloys and their LTHT-ed alloys are summarized in Table 3.

## 4. Discussion

### 4.1. Effect of cold swaging and annealing temperature on static recrystallization

In this study, cold swaging was chosen as the method for applying strain. Compared to cold rolling, cold swaging can produce a homogeneously strained rod-shape specimen. In fact, a significant effect of the measurement position on the average grain size of the annealed alloys was not observed in this study."

As shown in the EBSD IPF maps of Fig. 3, the alloys annealed at high temperature for a relatively long time (120 and 150 s for 1473-d12 and 1448-d17 alloys, respectively) had a homogeneous structure with little variation in the size of each grain. Contrarily, the alloys annealed at low temperature (1423-d10, 1373-d3 and 1373-d6) had an inhomogeneous structure in which coarse and fine grains were mixed. Fig. 9 shows the grain size distribution of 1373-d6 alloy (inhomogeneous structure) and 1448-d17 alloy (homogeneous structure). In Fig. 9, dashed line represents  $d = 5 \mu\text{m}$ . In the 1373 d6 alloy, the area fraction of the fine grains ( $d < 5 \mu\text{m}$ ) was higher than that in the 1448-d17 alloy. In addition, coarse grains ( $d > 5 \mu\text{m}$ ) certainly existed in the 1373-d6 alloy. Li et al. investigated the static recrystallization behavior of 30 and 50%

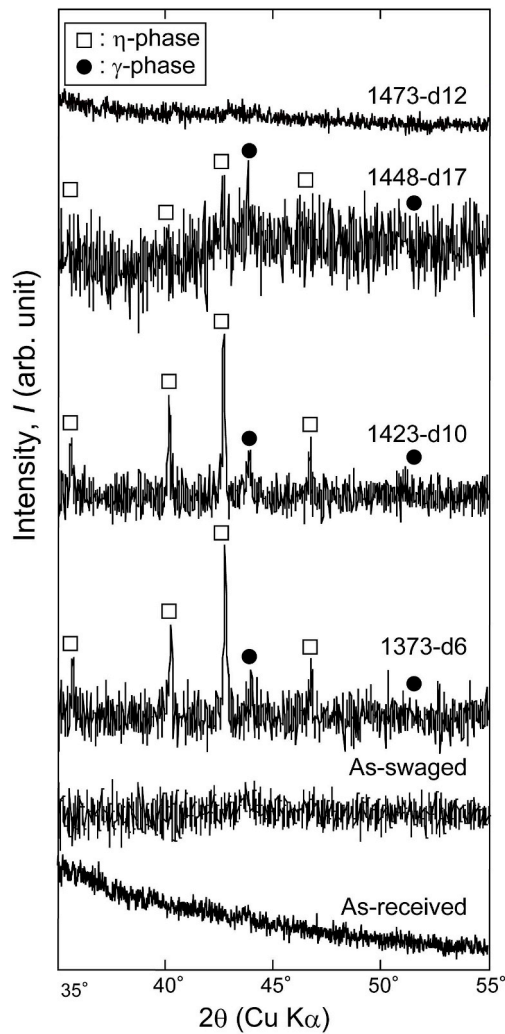


Fig. 5. XRD patterns of precipitates electrolytically extracted from as-received, as-swaged, 1373-d6, 1423-d10, 1448-d17 and 1473-d12 alloys.

cold-rolled CCWN alloy by annealing at 1223–1473 K [9,10]. They reported that by annealing at 1223–1373 K for 900 s, a bimodal structure with mixed coarse and fine grains were formed [9]. Although there are differences in the annealing conditions between their study and ours, the results in this study were in good agreement with those reported by Li et al.

Fine  $\eta$ -phase precipitates formed in the alloys annealed at 1373 and 1423 K, which exhibited an inhomogeneous distribution of grain size; moreover, the precipitates were mainly observed at the fine grain area. In our previous studies, the formation of  $\eta$ -phase precipitates was observed in the CCWN alloy heat-treated at 1073–1373 K [6]. The annealing conditions for which the formation of the  $\eta$ -phase precipitates was observed involved higher temperatures and shorter times than those of our previous study [6]. Beltran et al. reported that in the 304 stainless steel, strain accumulation promoted the precipitation of carbides during aging [15,16]. Therefore, in the as-swaged alloy, precipitation was considered to occur at lattice defects caused by cold swaging, such as dislocations and stacking faults in the initial stage of annealing. In addition, during annealing, grain and/or twin boundaries are known to become nucleation sites for precipitates [17,18]. Based on these reports, the as-swaged alloy was more likely to form  $\eta$ -phase precipitates during the annealing process as compared to the unstrained alloy, and it was considered that in this study,  $\eta$ -phase precipitates were formed during annealing from 1373 to 1448 K. There are a few reports that nanometer-order fine precipitates developed during the hot

working of the steels impeded recrystallization [19,20]. Kubota et al. reported that suppression of recrystallization could be expected when a large amount of fine precipitates were present [19]. Therefore, it was considered that the bimodal-like inhomogeneous grain size structure was formed because recrystallization and grain growth were partially delayed by fine precipitates.

#### 4.2. Effect of annealing temperature on tensile properties

Fig. 10 shows the relationship between the grain size and 0.2% proof stress. The results in this study satisfied the Hall-Petch relationship. If the grain size is equal, no significant influence of annealing temperature on strength is expected. However, in terms of the ductility, alloys annealed at 1473 K show a higher ductility than those annealed at 1373–1448 K even if the grain size is almost equal (see in Fig. 8). In our previous study, the influence of  $\eta$ -phase precipitation on the mechanical properties of CCWN was investigated in detail, and the plastic elongation of the alloy was deteriorated by the formation of  $\eta$ -phase precipitates [6]. Teague et al. [21] and Gupta et al. [22] also reported a deterioration in the ductility by the formation of the  $\eta$ -phase. From these results, we conclude that the deterioration in the ductility with decreasing annealing temperature was due to the precipitation of the  $\eta$ -phase during annealing.

#### 4.3. Grain size dependence of plastic deformation behavior

True stress-true strain curves and work hardening rate curves of the d207, 1473-d12, 1373-d6 and their LTHT-ed alloys are shown in Fig. 11. The work hardening rate of the d207 and d207LTHT alloys increased with increasing strain in the initial to middle stage of plastic deformation. In contrast, although the 1473-d12 and 1373-d6 and their LTHT-ed alloys showed high work hardening rates in the initial stage of plastic deformation, the work hardening rates gradually decreased with increasing strain. Therefore, it was considered that there is a difference in the microstructure change behavior during plastic deformation between fine and coarse grain structures. Lemy and Pineau investigated the relationship between the stacking fault energy (SFE) and deformation mode of a Co-Cr type alloy [23]. They reported that both, strain-induced martensitic transformation and deformation twinning occurred in the alloy with a SFE of 10–20 mJ·m<sup>-2</sup>, deformation twinning mainly occurred in the alloy with a SFE of 20–30 mJ·m<sup>-2</sup>, both deformation twinning and dislocation slip occurred in the alloy with SFE of 30–50 mJ·m<sup>-2</sup>, and only dislocation slip occurred in the alloy with SFE of more than 50 mJ·m<sup>-2</sup>. The SFE of Co-20Cr-15W-10Ni (mass%) alloy at 250–300 K (near room temperature) was calculated using Thermo-calc (TCFE ver. 9), and the value of SFE near room temperature was ~25 mJ·m<sup>-2</sup>. This value was slightly higher than the SFE at which both strain-induced martensitic transformation and deformation twinning occur. However, since these SFEs do not consider the grain size of the alloy and the plastic deformation mechanism was reported to depend on the grain size [24], the possibility that both strain-induced martensitic transformation and deformation twinning occur cannot be denied even in the CCWN alloy.

To clarify the effect of grain refinement and LTHT on strain-induced  $\gamma$ -to- $\epsilon$  martensitic transformation behavior, in-situ XRD analysis and EBSD analysis were conducted. Fig. 12 shows the relationship between the  $\epsilon$ -phase fraction ( $f_\epsilon$ ) and the amount of applied strain in in-situ XRD analysis. The value of  $f_\epsilon$  was calculated from peak intensity of the in-situ XRD patterns using equations (1) and (2) [25]:

$$f_\gamma = I_{\gamma\{200\}} / (I_{\gamma\{200\}} + 1.5I_{\epsilon\{10-10\}}) \quad (1)$$

$$f_\epsilon = 1 - f_\gamma \quad (2)$$

where,  $I_{\gamma\{200\}}$  and  $I_\epsilon$  are the peak intensities for  $\{200\}$  of the  $\gamma$ -phase and  $\{10-10\}$  of the  $\epsilon$ -phase, respectively, and  $f_\gamma$  is the  $\gamma$ -phase fraction. In the d207 alloy, the  $\epsilon$ -phase formed in the middle stage of plastic



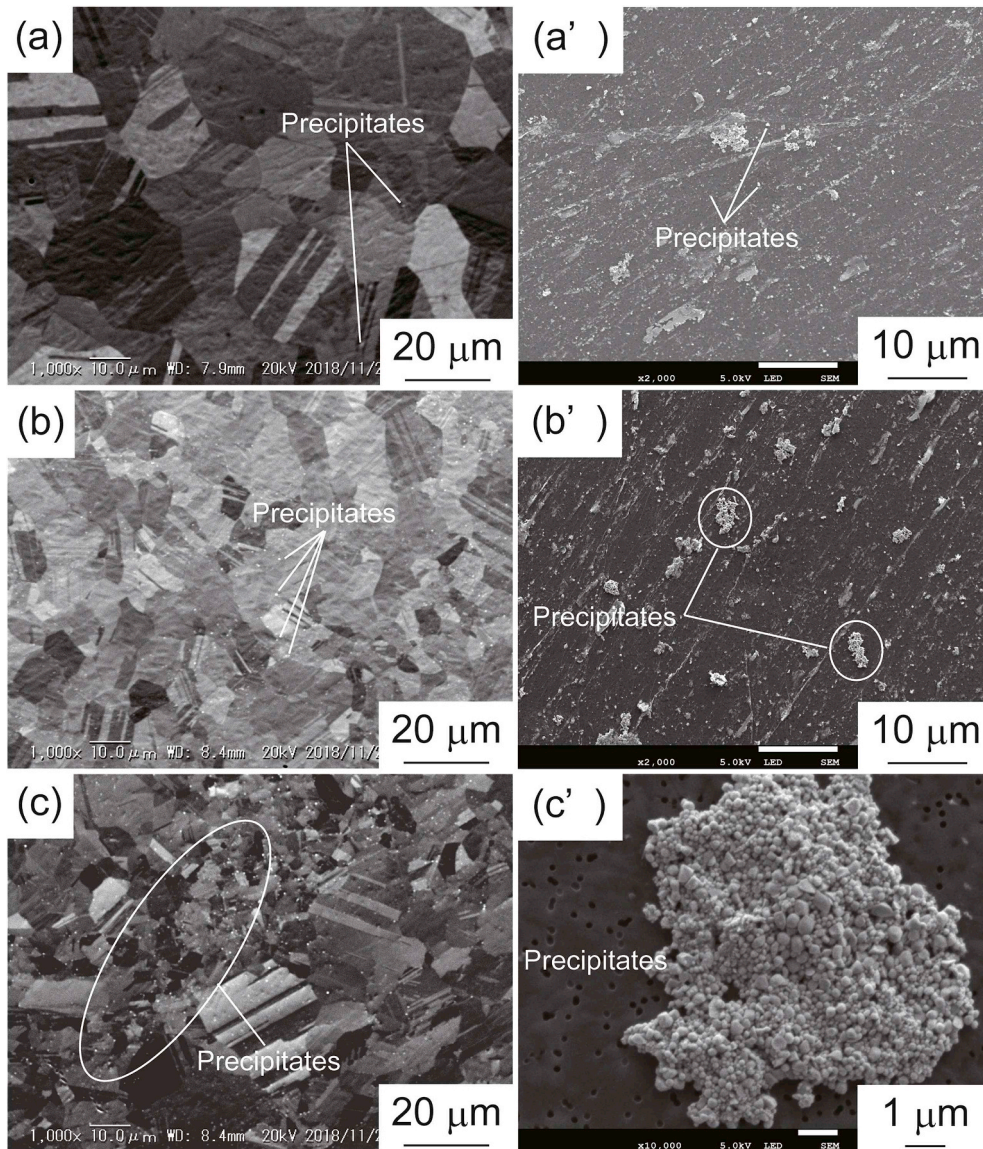


Fig. 6. SEM-BSE images of (a) 1448-d17, (b) 1423-d10 and (c) 1373-d6 alloys and SEM images of precipitates electrolytically extracted from (a') 1448-d17, (b') 1423-d10 and (c') 1373-d6 alloys.

deformation (strain: 32%), whereas the d207LTHT alloy maintained a single  $\gamma$ -phase structure during plastic deformation. In the 1473-d12 alloy, the  $\varepsilon$ -phase formed in the middle stage of plastic deformation (strain: 23%), while in the 1473-d12 LTHT alloy, no  $\varepsilon$ -phase was formed up to high strained region (strain:  $\sim 40\%$ ). From these results, it was considered that the strain-induced  $\gamma$ -to- $\varepsilon$  martensitic transformation was suppressed by performing LTHT, resulting in the improvement of ductility.

Focusing on the influence of grain size on the  $\varepsilon$ -phase formation behavior during plastic deformation, in the high strain region,  $f_{\varepsilon}$  of the d207 alloy was higher than that of the 1473-d12 alloy. This trend did not change after LTHT. In transformation-induced plasticity (TRIP) steels and Co-Cr-Mo alloys, there are some reports that the formation of the  $\varepsilon$ -phase by strain-induced martensitic transformation is suppressed due to grain refinement [26–28]. In addition, Lee et al. proposed an apparent SFE taking into account the austenite grain size in Fe-Mn alloys, and reported an apparent SFE increase with decreasing austenite grain size [29]. The higher SFE, the more stable the  $\gamma$ -phase. Therefore, it was considered that strain-induced  $\gamma$ -to- $\varepsilon$  martensitic transformation is suppressed by grain refinement. However, according to the results in this study, the alloy with a fine grain structure showed a higher  $f_{\varepsilon}$  value

than the alloy with a coarse grain structure. In order to clarify the mechanism for this phenomenon, microstructure analysis focusing on the formation site of the strain-induced  $\varepsilon$ -phase was conducted. Fig. 13 shows the EBSD IPF and phase maps of the fractured specimens of the d207, d12, and their LTHT-ed alloys.  $f_{\varepsilon}$  of the 1473-d12 alloy was higher than that of the d207 alloy. No significant difference in  $f_{\varepsilon}$  was observed between d207 and d207 LTHT. However, comparing the 1473-d12 alloy with the 1473-d12 LTHT alloy, the formation of  $\varepsilon$ -phase due to strain-induced martensitic transformation was suppressed by LTHT. This result was in good agreement with that of in-situ XRD analysis (Fig. 12). Fig. 14 shows the high-magnification EBSD IPF and phase maps of the 1473-d12 alloy. The  $\varepsilon$ -phase was mainly formed at the grain boundary (GB) and twin boundary (TB). These results suggested that the 1473-d12 alloy showed a higher  $f_{\varepsilon}$  value compared to d207 because the amount of GB and TB (nucleation site of strain-induced  $\varepsilon$ -phase) increased by grain refinement.

The values of  $f_{\varepsilon}$  calculated from EBSD analysis are lower than those from in-situ XRD analysis. The values of  $f_{\varepsilon}$  by the EBSD analysis were calculated at the inside of a rod-shape tensile test specimens, while those of  $f_{\varepsilon}$  by the in-situ XRD analysis were calculated at the surface of the plate-shape tensile test specimens. If the geometry of the tensile test



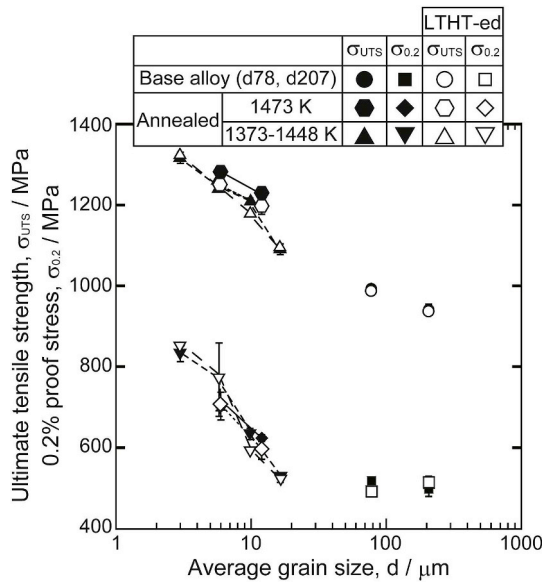


Fig. 7. Effect of grain refinement and LTHT on the ultimate tensile strength and 0.2% proof stress of CCWN alloy.

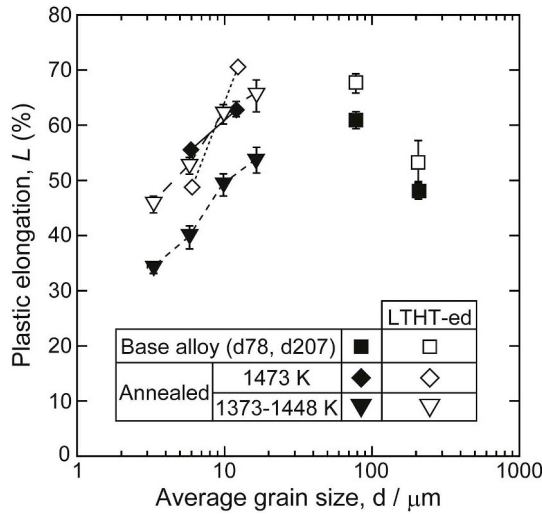


Fig. 8. Effect of grain refinement and LTHT on the plastic elongation of CCWN alloy.

Table 3

Ultimate tensile strength, 0.2% proof strength and plastic elongation of the annealed alloys and their LTHT-ed alloys.

Specimen	$\sigma_{UTS}$ (MPa)	$\sigma_{0.2}$ (MPa)	$L$ (%)
d207	943	499	48
d207LTHT	940	486	58
d78	995	520	61
d78LTHT	986	490	68
1373-d4	1319	833	34
1373-d4LTHT	1325	844	46
1373-d6	1245	697	40
1373-d6LTHT	1256	766	53
1423-d10	1211	635	49
1423-d10LTHT	1176	588	62
1448-d17	1092	526	54
1448-d17LTHT	1096	520	65
1473-d6	1279	704	55
1473-d6LTHT	1244	705	49
1473-d12	1227	613	63
1473-d12LTHT	1195	592	71

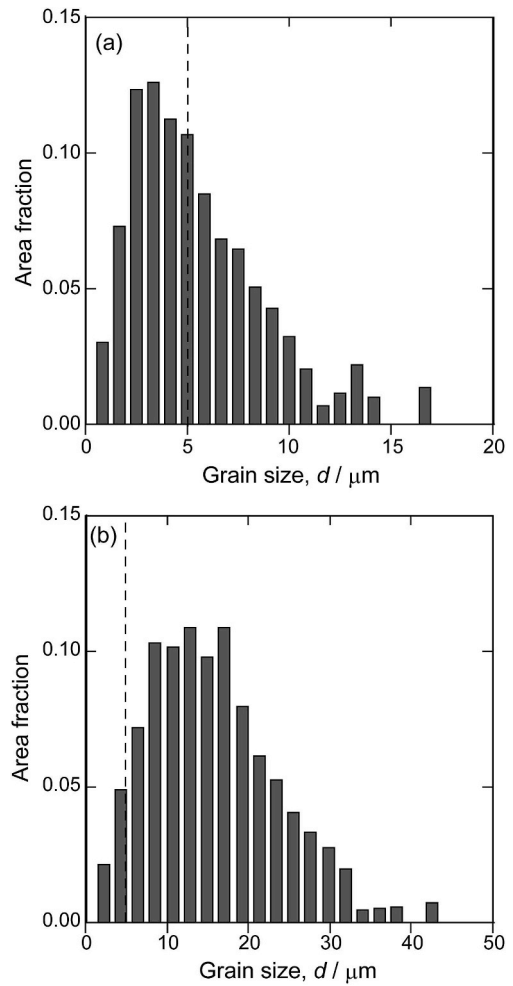


Fig. 9. Grain size distribution of (a) 1373-d6 and (b) 1448-d17 alloys (dashed line represents  $d = 5 \mu\text{m}$ ).

specimens is different, the strain distribution during plastic deformation is also different [30]. The cross-sectional areas of the rod-shape specimens (for tensile test and EBSD) and the plate-shape specimens (for in-situ XRD) are  $7.1 \text{ mm}^2$  and  $3.5 \text{ mm}^2$ , respectively. In the case of tensile deformation, larger the cross-sectional area, smaller is the local strain at the specimen surface [30]. Therefore, the local strain at the surface of

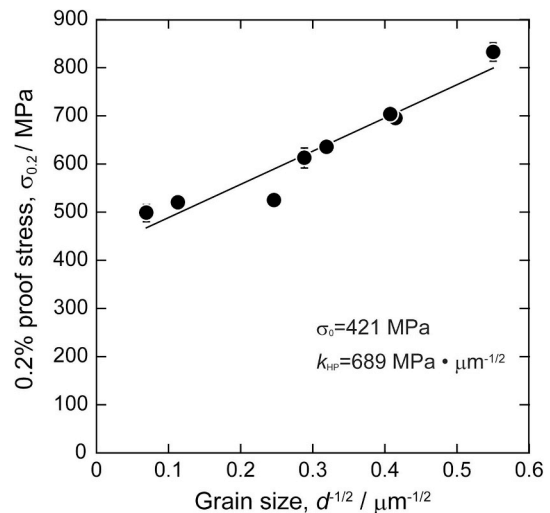
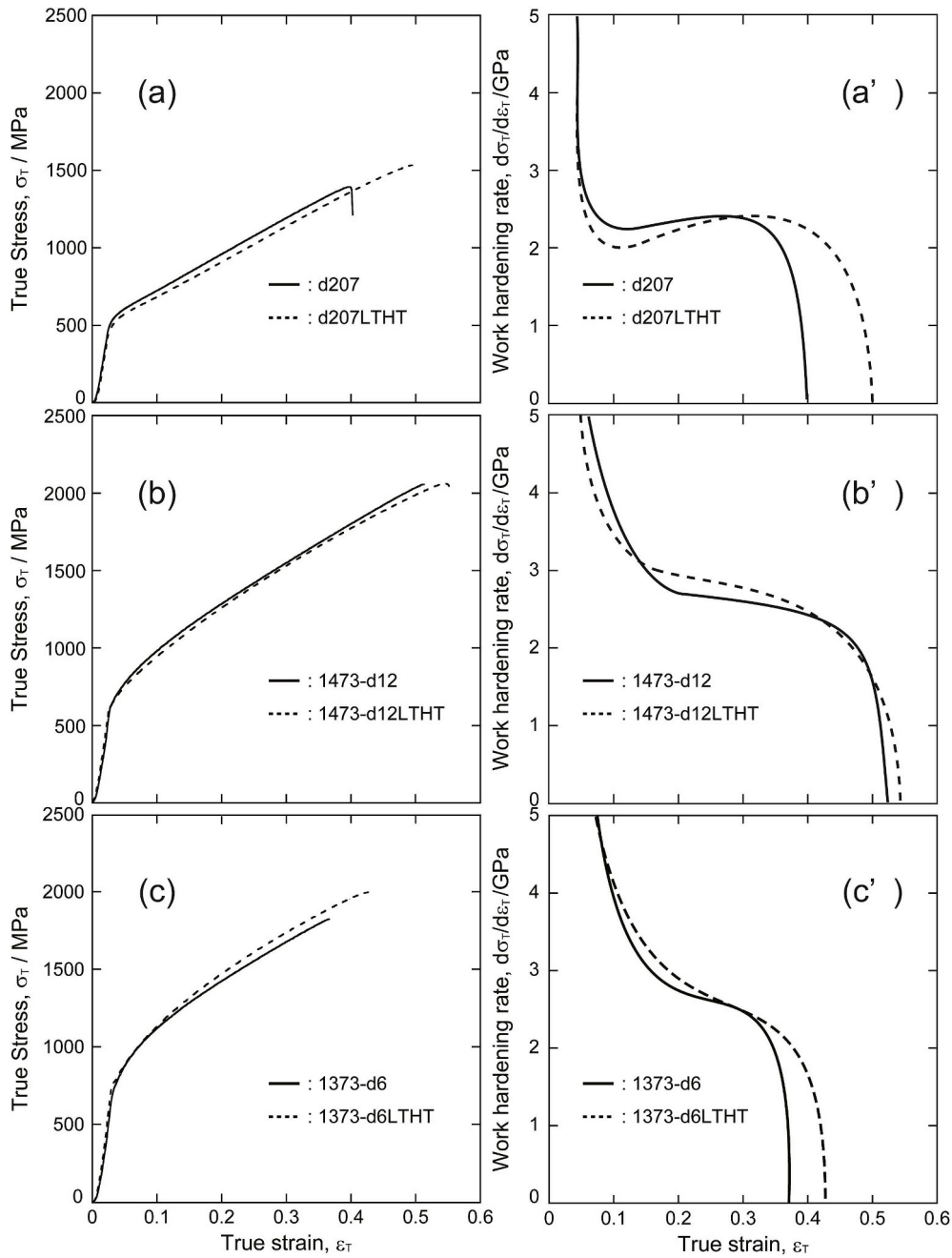


Fig. 10. 0.2% proof stress–grain size relationship of the recrystallized alloys.



**Fig. 11.** (a, b, c) True stress – true strain curves and (a', b', c') work-hardening rate curves of (a, a') d207 and d207LTHT, (b, b') 1473-d12 and 1473-d12 LTHT and (c, c') 1373-d6 and 1373-d6 LTHT alloys.

the in-situ XRD specimen was considered to be larger than that of tensile test specimen. In other words, during plastic deformation, the plate-shape specimens was considered to have a higher  $\epsilon$ -phase fraction than rod-shape specimens.

It is known that specimen geometry also affects elongation. According to Barba's law, the elongation in the tensile test is proportional to the square root of the cross-sectional area [31]. Thus, the elongation of the rod-shape specimens was higher than that of the plate-shape specimens.

Focusing on deformation twinning behavior, Gutierrez-Urrutia et al. reported the deformation twinning stress was empirically proportional to the  $1/2$  power of grain size and they proposed Eq. (3) [32]:

$$\tau_{tw} = \frac{\gamma}{b} + \frac{K_{tw}^{H-P}}{\sqrt{D}} \quad (3)$$

where  $\gamma$  is the SFE,  $b$  is the amplitude of Burgers vector,  $K_{tw}^{H-P}$  is the Hall-Petch constant of twinning and  $D$  is the grain size. Eq. (3) shows deformation twinning stress decreases with increasing grain size. That is, it was considered that d207 alloys are easier to form deformation twins than 1473-d12 and 1373-d6 alloys. From these results, there is a possibility in the d207 and d207LTHT alloys that deformation twinning occurred preferentially to strain-induced martensitic transformation, and the increase of work-hardening rate in the initial to middle stage of plastic deformation is due to deformation twinning.

#### 4.4. Mechanical properties for next generation balloon-expandable stent

In this study, we achieved the improvement of both ultimate strength and ductility of the CCWN alloy by combining grain refining by static recrystallization and LTHT. Fig. 14 shows the mechanical

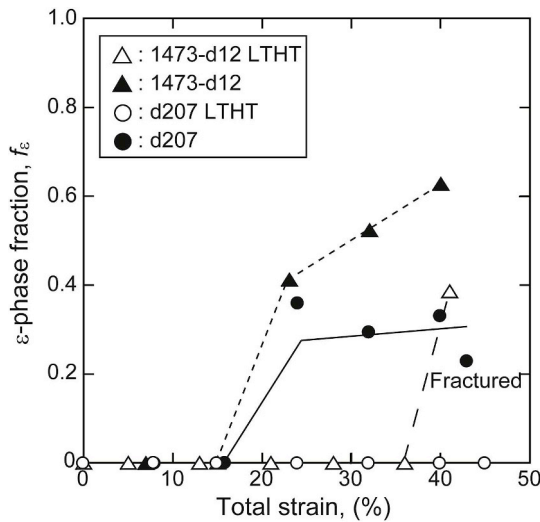


Fig. 12. Relationship between ε-phase fractions calculated from In-situ XRD peak intensity ratio and strain of the d207, 1473-d12 and their LTHT-ed alloys.

properties of CCWN alloy reported from other groups and this study. The horizontal axis in Fig. 15 shows elongation, the vertical axis in Fig. 15(a) shows 0.2% proof stress and the vertical axis in Fig. 15(b) shows ultimate tensile strength of the alloys. In addition, the gray area in Fig. 15 represents our target area for the mechanical properties of next-generation balloon-expandable stent; the target values of 0.2% proof stress, ultimate tensile strength, and elongation are set at < 600 MPa, > 1200 MPa, and > 60%, respectively. Teague et al. investigated the effect of long-term heat-treatment on the microstructure and mechanical properties of CCWN alloy [21]. Although the elongation reported by Teague et al. was higher than that of other groups, the

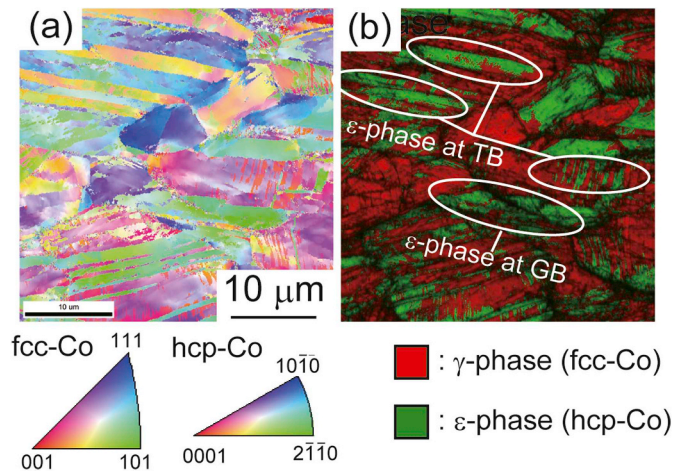


Fig. 14. High-magnification EBSD IPF and phase maps of fractured specimen of 1473-d12 alloy.

ultimate tensile strength was lower than the target value [21]. Li et al. fabricated the CCWN alloy which had the bimodal structure and that alloy showed excellent ultimate tensile strength [9]. However, the 0.2% proof stress of that alloy was also high (804 MPa) and elongation was not sufficient (~45%) for stent application [9]. Compared to these reported mechanical properties, it is newly evidenced that 1473-d12 LTHT alloy has an excellent balance of ultimate strength and ductility, and the increase of 0.2% proof stress due to grain refinement can be minimized. From these results, it was considered that a process combining grain refinement by static recrystallization at high temperatures and LTHT can impart mechanical properties suitable for next generation balloon-expandable stent to CCWN alloy.

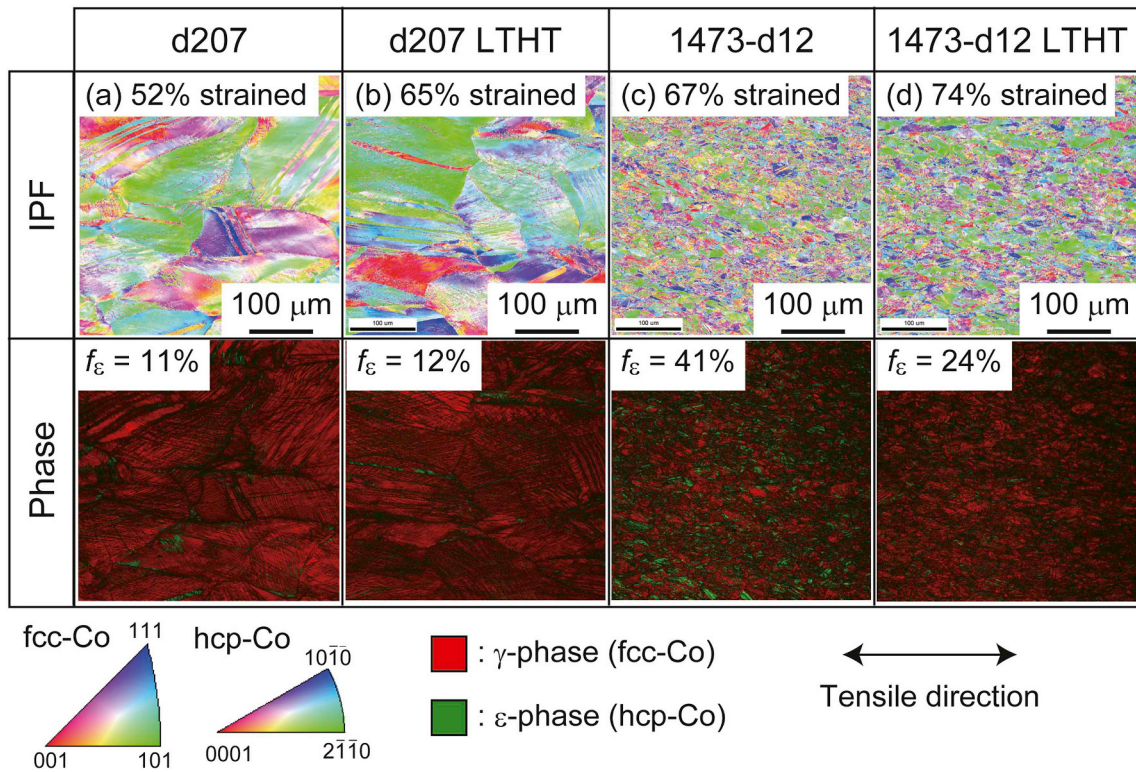


Fig. 13. EBSD IPF and phase maps of fractured specimens of (a) d207, (b) d207LTHT, (c) 1473-d12 and (d) 1473-d12 LTHT alloys.



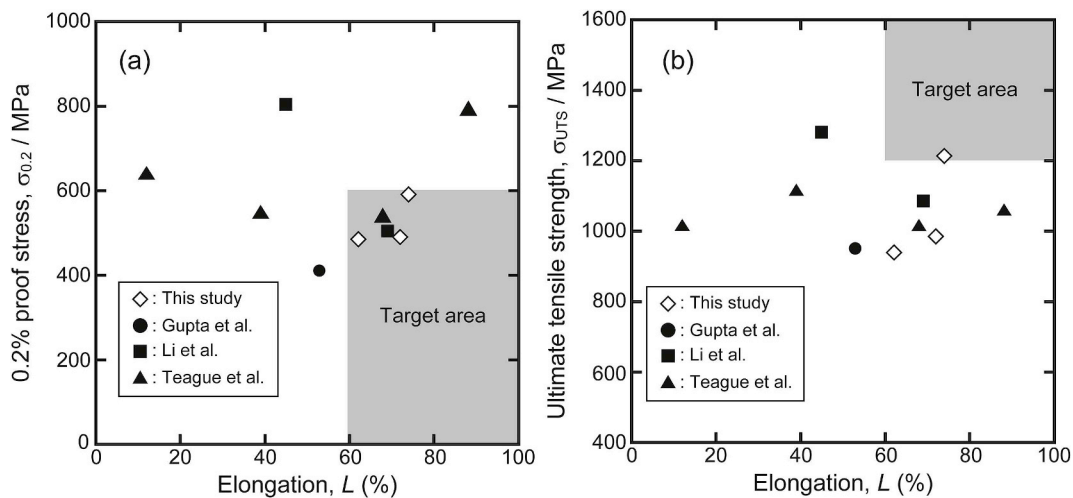


Fig. 15. Mechanical properties of CCWN alloys reported by other groups and this study (gray area shows target mechanical properties of base material for next generation stents).

## 5. Conclusions

Co-Cr-W-Ni alloy was processed by cold swaging and annealing, and the effect of grain size and low-temperature heat treatment (LTHT) on the microstructure and mechanical properties of the alloys was investigated.

- (1) In the alloy annealed at 1373–1448 K, the formation of  $\eta$ -phase precipitates was observed, while no  $\eta$ -phase was observed in the alloy annealed at 1473 K. The amount of  $\eta$ -phase increased with decrease of the annealing temperature. By annealing at 1373–1448 K, the nanoscale  $\eta$ -phase formed, and these  $\eta$ -phase precipitates inhibited the progress of static recrystallization and grain growth, which formed the bimodal-like inhomogeneous structure consisting of both coarse and fine grains.
- (2) The alloy annealed at 1473 K showed higher ductility than the alloys annealed at 1373–1448 K. This is because  $\eta$ -phase precipitates developed and deteriorated the ductility of the alloys.
- (3) In the fully recrystallized specimens, the ductility increased  $\sim$ 10% by LTHT regardless of the grain size. In particular, the 1473-d12 LTHT alloys showed high plastic elongation and high ultimate tensile strength ( $\sim$ 70% and  $\sim$ 1200 MPa, respectively). The usefulness of LTHT on the alloy ductility was demonstrated not only for coarse grain structure but also for fine grain structure. By combining grain refinement using static recrystallization by high temperature annealing and LTHT, both the high ultimate strength and high ductility of the Co-Cr-W-Ni alloy were achieved, which can be utilized for next-generation stents.

## Data availability

The raw/processed data required to reproduce these findings cannot be shared at this time as the data also forms part of an ongoing study.

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