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Suppressive effect of black tea polyphenol theaflavins in a mouse model of ovalbumin-induced food allergy

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42 Abstract (204 words)

43 Food allergy is recognized as a global medical problem with increasing prevalence in 44 recent years. Currently, the treatment of food allergy mainly involves avoidance of allergens and 45 allergen-specific immunotherapy. Barring the spontaneous resolution of food allergy during the 46 growth process, this disease is difficult to treat fundamentally. In recent years, the use of 47 functional food ingredients derived from natural products has been attracting attention for their 48 prophylactic use in food allergy. Theaflavins, i.e. black tea polyphenols, are potent antioxidants 49 that have inhibitory effects on a variety of diseases. However, little is known about the 50 preventive effect of theaflavins on food allergy. In this study, we designed a mouse model of 51 food allergy and examined the effect of theaflavins using the severity of diarrhea, a symptom of 52 food allergy, as an indicator. The administration of a black tea extract rich in theaflavins or 53 theaflavin 1 (subgroup of theaflavins) to mice reduced the severity of diarrhea when compared 54 with a normal diet. A reduction in malondialdehyde levels, a key marker of lipid peroxidation, 55 was also observed. Overall, these data suggest that theaflavins may potentially inhibit food 56 allergy by alleviating oxidative stress in the colon and can be a potential food material for 57 prevention of food allergy.

58 Keywords:

59 Black tea extract, diarrhea, food allergy, functional food ingredients, oxidative stress,60 theaflavins.

61

63 Introduction

64 Food allergy (FA) is globally recognized as an important medical problem. Although there 65 are no clear epidemiological data, FA affects up to 10% of the overall population and has 66 increased in prevalence over the past 20-30 years [1]; the prevalence of FA is about 6% in 67 children and 3–4% in adults [2]. The symptoms of FA include itching, abdominal pain, vomiting, 68 and diarrhea [3]. Allergen avoidance and allergen-specific immunotherapy are common in 69 patients diagnosed with FA [4, 5]. However, avoidance of allergens may result in nutritional 70 deficiencies and impaired growth in children on strictly restricted diets, whereas allergen-specific 71 immunotherapy has been noted for its limited effectiveness, safety, and sustainability. Hence, 72 new and safe functional food ingredients are expected to be utilized to prevent FA or to support 73 the ongoing treatment plan [6].

74 Black tea, one of the world's most popular beverages, has been shown in epidemiological 75 and laboratory studies to have beneficial properties, including antioxidant [7], and anti-76 inflammatory activities [8], and reduction of cardiovascular disease risk [9]. Theaflavins (TFs), 77 which are polyphenols abundant in black tea are key to their biological activity [10]. During the 78 fermentation process of black tea, catechins are enzymatically oxidized into two linked forms 79 [11]. TFs have excellent antioxidant activity, and their hydroxyl radical scavenging ability is 80 more effective than that of the leading catechin, epigallocatechin gallate. [12]. TFs have also 81 been reported to exhibit anti-inflammatory [13] and inhibitory effects on digestive enzymes 82 leading to anti-diabetic and anti-obesity properties [14, 15]. However, little is known about the 83 anti-allergic effects of TFs. The subgroups of TFs known include theaflavin 1 (TF1), theaflavin 84 3-gallate (TF2A), theaflavin 3'-gallate (TF2B), and theaflavin 3, 3'-digallate (TF3). Among 85 these, TF2A and TF3 are effective in preventing oxazolone-induced contact hypersensitivity in

86	male ICR mice by dermal and oral administration [16]. If the suppressive effect of TFs on FA
87	can be demonstrated, it may aid in decreasing the prevalence of FA. In this study, we
88	investigated the preventive effect of black tea extract rich in TFs in a mouse model of FA.
89	Materials and Methods
90	Materials
91	Theaflavin mixture (TFM), TFM-low catechins (TFM-lc) with most of the catechins
92	removed from TFM, and TF1 were provided by Mitsui Norin Co., Ltd. (Tokyo, Japan).
93	Ovalbumin (OVA, grade V) was purchased from Sigma-Aldrich Corp. (St. Louis, MO, USA).
94	Aluminum hydroxide gel (Alum) was purchased from Fujifilm Wako Pure Chemical Corp.
95	(Osaka, Japan).

96 Animals

97 The study protocol was approved by the Animal Care and Use Committee of the Graduate 98 School of Pharmaceutical Sciences, Osaka University, Osaka, Japan (protocol number: Douyaku 99 29-3). All experimental procedures were conducted in accordance with the Guide for the Care 100 and Use of Laboratory Animals [17]. Extra care was taken to minimize animal suffering and the 101 number of animals used. Female BALB/c mice were obtained from Japan SLC Inc. (Shizuoka, 102 Japan) and acclimatized under controlled environmental conditions ($22 \pm 1^{\circ}C$; 50% $\pm 10\%$ 103 relative humidity; 12-h light-dark cycle; lights on at 08:00 AM) for some days before the start of 104 the experiment. All animals were fed with normal powdered food (MF, Oriental Yeast Co. Ltd., 105 Tokyo, Japan) and had *ad libitum* access to water [18]. TFM, TFM-lc, and TF1 were each

prepared by mixing with powdered food at a concentration of 0.02–0.20% and administration
was started 2 weeks prior to OVA sensitization.

108 OVA-induced FA mouse model

109 The experimental protocol for the OVA-induced FA mouse model was slightly modified 110 from that of Kunisawa *et al.* [19]. Briefly, eight-week-old mice were sensitized by intraperitoneal 111 injection of 100 μ L solution containing 1 mg alum and 1 mg OVA on week 0. Then, from week 112 1–5, 200 μ L of 250 mg/mL OVA was orally administered three times per week. FA symptoms 113 were evaluated using allergic diarrhea as a parameter. Allergic diarrhea was determined 30–60 114 min after OVA administration by a severity score of 0–3 (0, solid state; 1, semi-solid form; 2, 115 slurry; 3, watery state) for fecal conditions.

116 Malondialdehyde (MDA) assay

117 Three days after the last OVA challenge, colon tissue was collected from mice 40 min after 118 oral administration of OVA. Colon samples (approximately 100 mg) were homogenized with 119 phosphate-buffered saline (1:9 w/v). After centrifugation at $10,000 \times g$ for 5 min, the collected 120 supernatant was used for the MDA assay. The MDA levels were assessed using the 121 thiobarbituric acid (TBA) reaction described by Ohkawa et al. [20]. Briefly, 100 µL of colon 122 tissue sample was mixed with 100 µl of SDS lysis solution (50 mM Tris-HCl, 1% SDS, 10 mM 123 EDTA, 1 mM PMSF, and protease inhibitor cocktail) and incubated at room temperature for 5 124 min. To this mix, 250 µL of 5.2 mg/mL TBA solution was added and incubated at 95°C for 60 125 min. The samples were cooled to room temperature, and centrifuged at $900 \times g$ for 5 min. 126 Absorbance of the supernatant was measured at 532 nm.

127 Statistical analysis

Statistical analysis was performed with Dunnett's multiple comparisons test or Dunn's
 multiple comparisons test using Prism 9 (GraphPad Software, Inc., La Jolla, CA). Data are
 presented as mean ± standard error.

131 **Results**

132 The maximum (or total) amount of TFs in Assam black tea has been assessed by HPLC 133 and reported to be 2.12% [21]. The extraction of TFs from black tea leaves is difficult owing to 134 the small amount of TFs in black tea [22]. Synthesis of TFs by enzymatic and other methods has 135 been reported previously, but with low yield [22]. As the quantity of TFs available for long-term 136 *in vivo* studies is limited, extracts of black tea are generally used in experiments. In this study, 137 we examined the effect of TFs on FA using TFM, which contains ~42% TFs in the dry matter 138 (Supplemental Table 1). Experimental protocols for induction of FA by OVA and administration 139 of TFs are illustrated in Fig. 1. The oral challenge with OVA was performed 12 times, and the severity of diarrhea was assessed 30-60 min after each challenge. After the 4th challenge, 140 141 diarrhea scores were lower in the group fed with TFM diet than the group fed with normal diet (ND) (Fig. 2A). Statistical analysis of diarrhea scores at the 12th challenge revealed a significant 142 143 decrease in diarrhea scores in the 0.2% TFM group compared with the normal diet (Fig. 2B). 144 Overall, these findings indicated that TFM may suppress FA. 145 Multiple studies have demonstrated that catechins have an inhibitory effect on FA [23, 146 24]. As TFM contains ~16% catechins in the dry matter, we predicted that the FA suppression

147 effect exhibited by TFM is potentially due to catechins and not TFs. Hence, the effect on FA was

148 further examined using TFM-lc with catechins reduced to ~3% in the dry matter (TFs are ~49%

149 in the dry matter). To more directly test the suppressive effect of TFs on FA, theaflavin 1 (TF1), 150 a subgroup of TFs, was also tested simultaneously. In this study, the dose of TF1 was set at 151 0.02% because ~10% in TFM and TFM-lc corresponded to TF1 (Supplemental Table 1). As in 152 Fig. 2, diarrhea scores were lower in the TFM diet group than in the ND group after the fourth 153 challenge (Fig. 3A). The TFM-lc group with low levels of catechins was found to behave 154 similarly to the TFM group. However, the TF1 group behaved the same as the ND group until the 10th challenge, but a decline in diarrhea scores were observed after the 11th challenge. 155 Statistical analysis of diarrhea scores at the 12th challenge showed that a significant decrease in 156 157 diarrhea scores was observed in all TFM, TFM-lc, and TF1 groups relative to the ND group (Fig. 158 3B).

Finally, to elucidate the mechanism of the diarrheal symptom suppression effect of TFs, oxidative stress levels in colon tissue were examined. Analysis of the amount of MDA, a marker of lipid peroxidation, showed that it was reduced in all TFM, TFM-lc, and TF1 groups than the ND group (Fig. 4). Taken together, these findings suggested that TFs may suppress FA by alleviating oxidative stress in the colon.

164 **Discussion**

Allergic diseases are increasing in prevalence globally, causing significant health and socioeconomic losses in various countries [25]. Lifestyle and dietary changes are known to contribute to the increase and exacerbation of these diseases. For example, dietary changes due to decreased intake of antioxidants such as vitamin E can lead to the development of allergic diseases such as FA, asthma, and rhinitis. Therefore, black tea, which contains a variety of

antioxidants such as TFs and catechins and is routinely available, can be a beneficial ingredientas a preventive measure against allergic diseases.

172 In this study, we found that TFM and TFM-lc had inhibitory effects on FA (Figs. 2, 3). Since administration of TF1 also led to FA inhibition, it was concluded that TF1 is one of the 173 174 active ingredients responsible for the FA suppression mediated by TFM and TFM-lc (Fig. 3B). 175 On the other hand, no suppressive effect on FA was observed for TF1 prior to the 10th OVA 176 challenge compared to TFM or TFM-lc (Fig. 3A). This may be due to the involvement of TFs 177 other than TF1, ECg, caffeine, and other polyphenols. Oral administration of TF2A and TF3 in 178 mice has been shown to suppress type I allergic symptoms in a dose-dependent manner [16]. 179 ECg also has an inhibitory activity against histamine release from rat basophilic leukemia cell lines [26]. These findings suggest that various components in black tea, including TF1, exert 180 181 inhibitory effects on FA. OVA-specific antibody production was not altered by administration of 182 TFs during the OVA sensitization or challenge phase (data not shown). Further studies are 183 required to elucidate the details of the suppressive effect of TFs on OVA-induced FA and should 184 focus on mechanisms of action other than antibody production.

185 Conclusion

186 In this study, we demonstrated that TFM, TFM-lc, and TF1 suppressed OVA-induced FA.

187 The antioxidant properties of these ingredients were found to contribute to the alleviation of FA.

188 Hence, given the increasing global significance of FA, daily consumption of readily available

189 black tea may aid in the prevention or treatment of FA.

191	Author	Contrib	outions

192		KI designed the study as the first author, and drafted the manuscript. YK, SO, and SM
193	colle	cted the test data. YA, NH, and MS helped in the interpretation of results. SN directed the
194	resea	arch and reviewed the manuscript.
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Declarations

Funding

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Competing interests

Authors Shuichi Otani, Soya Maeda, and Masayuki Suzuki are employed by Mitsui Norin Co. Ltd. The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Availability of data and material

The datasets during and/or analyzed during the current study available from the corresponding author on reasonable request.

Author Contributions

KI designed the study as the first author, and drafted the manuscript. YK, SO, and SM collected the test data. YA, NH, and MS helped in the interpretation of results. SN directed the research and reviewed the manuscript.

Figure Captions

Fig. 1 Schematic diagram representing a mouse model of OVA-induced FA

OVA sensitization, challenge, and TFs administration protocols in this study are shown.

FA, food allergy; i.p., intraperitoneal; OVA, ovalbumin; p.o., peroral. TFs, theaflavins.

Fig. 2 Effect of TFM on diarrhea symptoms in OVA-induced FA mice

Fecal condition was scored by severity when mice were fed normal diet (ND), 0.1% TFM, and 0.2% TFM. (A) Diarrhea symptoms during the study (up to the 12th OVA challenge). (B) Diarrhea symptoms of the 12th OVA challenge. Data are expressed as mean \pm standard error (n = 10). **P* < 0.05 (Dunn's multiple comparisons test).

FA, food allergy; ND, normal diet; OVA, ovalbumin; TFM, theaflavin mixture.

Fig. 3 Effects of TFM, TFM-lc, and TF1 on diarrhea symptoms in OVA-induced FA mice

Fecal condition was scored by severity when mice were fed normal diet (ND), 0.20% TFM, 0.20% TFM-lc, and 0.02% TF1. (A) Diarrhea symptoms up to the 12th OVA challenge. (B) Diarrhea symptoms of the 12th OVA challenge. Data are expressed as mean \pm standard error (n = 9 or 10). **P* < 0.05, ***P* < 0.01 (Dunn's multiple comparisons test).

FA, food allergy; ND, normal diet; OVA, ovalbumin; TFM, theaflavin mixture; TFM-lc, TFM-low catechins; theaflavin 1, TF1.

Fig. 4 MDA levels in colon tissue of OVA-induced FA mice

MDA levels in colon tissue were measured when mice were fed normal diet (ND), 0.2% TFM, 0.2% TFM-lc, and 0.02% TF1. Colon tissue was obtained 3 days after the 12th OVA challenge, again from mice that had been orally administered OVA. Data are expressed as mean \pm standard error (n = 9 or 10). **P* < 0.05 (Dunnett's multiple comparisons test).

FA, food allergy; MDA, malondialdehyde; ND, normal diet; OVA, ovalbumin; TFM, theaflavin mixture; TFM-lc, TFM-low catechins; theaflavin 1, TF1.

Supplemental Table 1. The content of TFs and catechins in TFM and TFM-lc as a percentage of dry matter

C, catechin; Cg, catechin gallate; EC, epicatechin; ECg, epicatechin gallate; EGC, epigallocatechin; EGCg, epigallocatechin gallate; GA, gallic acid; GC, gallocatechin; GCg, gallocatechin gallate; TFs, theaflavins; TFM, theaflavin mixture; TFM-lc, TFM-low catechins; TF1, theaflavin 1; TF2A, theaflavin 3-gallate; TF2B, theaflavin 3'-gallate; TF3, theaflavin 3, 3'digallate.

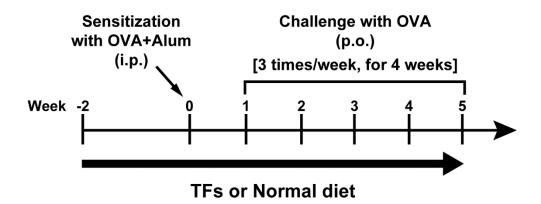


Fig. 2

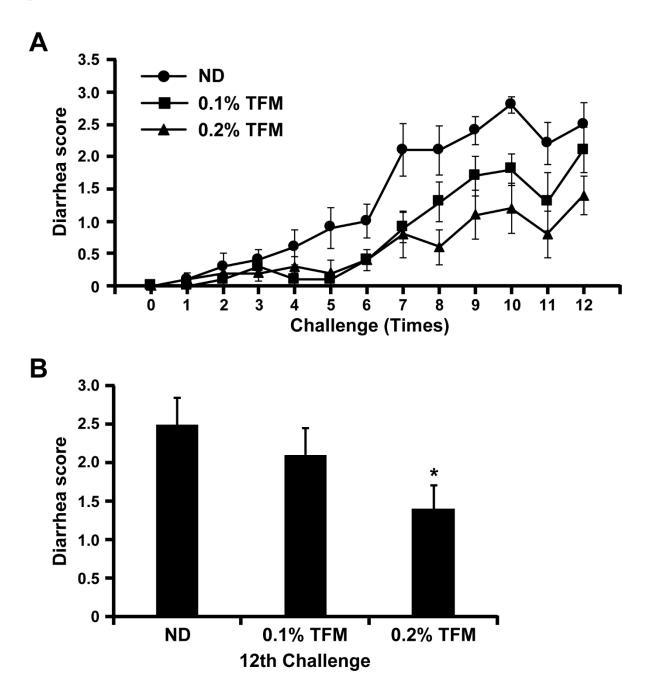


Fig. 3

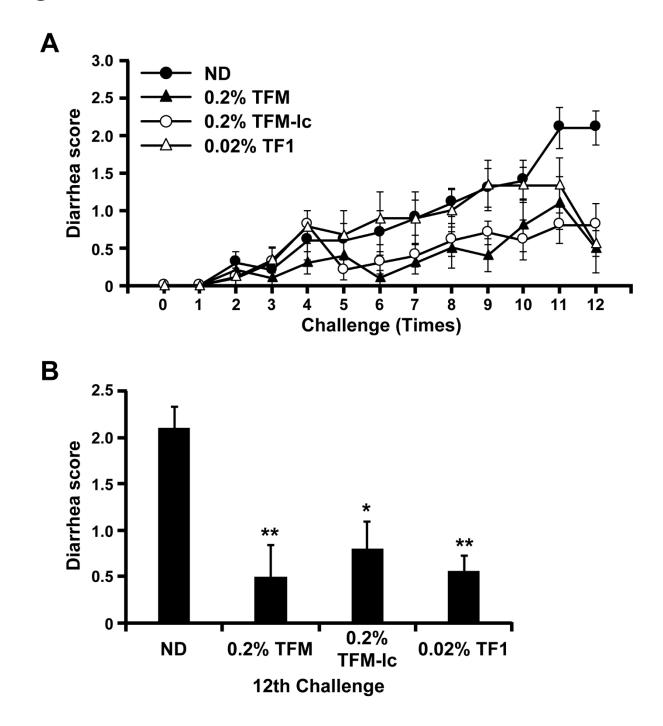
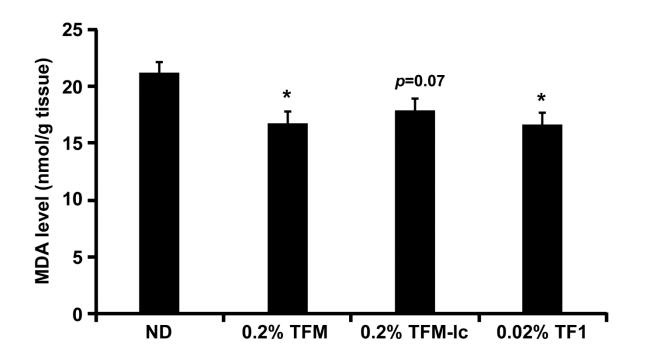


Fig. 4



Supplemental Table 1

	Content (% of dry matter)		
Substance	TFM	TFM-lc	
TF1	7.03	8.62	
TF2A	12.80	14.30	
TF2B	5.80	6.58	
TF3	16.39	19.17	
GC	0.08	0.00	
EGC	0.53	0.00	
С	0.25	0.00	
EGCg	4.24	0.00	
EC	0.74	0.00	
GCg	0.46	0.00	
ECg	8.94	2.63	
Cg	0.55	0.00	
Caffeine	3.18	4.30	
GA	0.04	0.00	
Total theaflavins	42.02	48.67	
Total catechins	15.79	2.63	