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improving component performances

Novel hybrid laser forging and arc additive repairing process for

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- 16 Abstract

17 A new hybrid laser forging and arc additive repairing process was developed to significantly improve the performance of repaired components, in which a leading gas metal arc was adopted to 18 19 repair the partially damaged component, and a trailing short-pulse laser directly acted on the hightemperature solidified metal without coating (laser forging). Compared with arc additive repairing with 20 post-treatment, this hybrid process performed the arc repair and laser forging synchronously. The laser 21 forging region can be accurately determined using a multi-physical molten pool simulation. The molten 22 metal flow was also studied, indicating that the high sulfur content introduced by the filler metal 23 24 transfer had a significant influence on the Marangoni stress distribution and thus changed the molten 25 metal flow patterns. The mechanism for laser forging without coating and its related physical effects 26 were investigated. The laser shock pressure was significantly higher than the Hugonoit elastic limit of 27 the high-temperature solidified metal, causing plastic deformation of the repaired layer. The high strain and severe plastic deformation induced by laser forging caused martensite formation and grain 28 refinement, which improved the mechanical properties and electrochemical corrosion performance of 29 30 the repaired layer.

31 Keywords: hybrid laser forging and arc additive repairing process, laser forging, laser peening, multi-physical 32 simulation, martensite transformation

1 **1 Introduction**

Failures caused by stress corrosion ^[1], electrochemical corrosion ^[2], friction and wear ^[3], and fatigue ^[4] are the main causes of component failures in aerospace, marine, petrochemical, rail transit, and wind power industries. Arc additive repairing of partially damaged components could extend the lifetime of the components and reduce the manufacturing cost ^[5, 6]. Conventional methods such as stress relief annealing ^[7], cold rolling ^[8] and shot peening ^[9] are adopted as post treatments to improve the performance of repaired components.

Laser shock peening (LSP) ^[10,11] is an effective and non-destructive surface modification 8 9 technique that can introduce deeper and higher compressive residual stress and obtain better component performance compared with conventional post-treatment techniques. A schematic of LSP 10 11 as a post-treatment technique is shown in Fig. 1(a). After the repair, the repaired region is covered by two layers: absorbing and confining layers. When a laser pulse (tens of nanoseconds) with a high 12 energy density (GW/cm²) hits the surface of the absorbing layer, the absorbing layer vaporizes, 13 generating plasma ^[12]. The plasma continues to absorb the laser energy and rapidly expands. The 14 plasma is trapped between the absorbing and confining layers, creating a high surface pressure (on the 15 order of gigapascals). The surface pressure propagates into the repaired layer as a shockwave ^[13] and 16 produces plastic deformation in the surface layer of the repaired region. The maximum compressive 17 stress and influence depth can be several hundred Mpa and 1 mm, respectively ^[14, 15]. Chattopadhyay 18 et al. ^[16] proposed that strain hardening and changes in the microstructure caused by LSP led to 19 20 significant improvements in the tensile and fatigue properties of a pure titanium weldment. Chen et al. ^[17] found that after LSP, the grains were significantly refined and high-level compressive residual 21 stresses were introduced into an Incoloy 800H weldment. Kalentics et al. ^[18, 19] showed that LSP as a 22 post-treatment technique could suppress cracks and improve the fatigue properties of selective laser-23 24 melted parts. However, LSP as a post-treatment technique is complicated and its efficiency is low, making it difficult to act on a multi-layer and multi-pass repaired component. 25

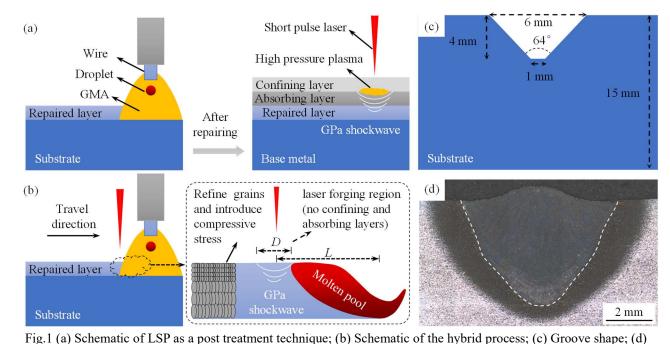
A new hybrid laser forging and arc additive repair process was developed to significantly improve 26 the performance of the repaired component. As shown in Fig. 1(b), in this process, a leading gas metal 27 28 arc (GMA) is adopted to repair the component, and without absorbing and confining layers, a trailing short-pulse laser with high energy density directly acts on the high-temperature solidified metal (direct 29 30 ablation), inducing metal evaporation and ionization. A shockwave was generated and propagated into the metal. The plastic deformation of the high-temperature solidified metal occurs easily (laser forging). 31 Note that the hybrid laser forging and arc additive repairing processes differ from LSP as a post-32 treatment technique. In this hybrid process, arc repair and laser forging are performed synchronously, 33

and the laser beam directly acts on the high-temperature solidified metal without coating, changing the microstructure, residual stress distribution, and component performance. Previous studies showed that the hybrid wire arc additive manufacturing and shot peening process can relief the residual stress and minimize distortion ^[20], and hybrid laser directed energy deposition and shot peening process can effectively improve the mechanical properties of formed materials ^[21]. As a new hybrid process, the laser forging mechanism and its related physical effects have not yet been revealed.

In this study, a hybrid laser forging and arc additive repair process was developed to improve the performance of the Q460 steel component used in offshore wind power platforms. In order to accurately determine the laser forging region, a multi-physical numerical model considering filler metal transfer and molten pool convection was developed. The molten metal flow was also studied. The microstructure, mechanical properties, and electrochemical corrosion performance of the repaired layers were investigated. The laser forging mechanism and its effects on the performance of the repaired layer were revealed.

14 **2 Experiment procedures**

Fig. 1(b) shows a schematic of the hybrid laser forging and arc additive repair processes. Q460 low-alloy high-strength steel plates with a thickness of 15 mm were adopted as the substrate, and a groove was used to represent the removed damage region, as shown in Fig. 1(c). To clearly reveal the effects of laser forging on the repaired layer performance, both one-pass arc additive repair and onepass hybrid laser forging and arc additive repair processes were performed.



20 21 22

Cross-sectional photograph of the repaired layer made by the hybrid process

1 A leading GMA was used to repair the Q460 substrate, and an MFR-500 welding machine was used 2 as the power source. Pure argon was used as a shielding gas. An ER110S-G wire with a diameter of 3 1.2 mm was used as the filler metal. A trailing short-pulse laser (using a Q-switched Nd:YAG laser with a wavelength of 1064 nm, diameter of 4 mm (D)) directly acted on the high-temperature solidified 4 5 metal without a coating. The region directly impacted by the laser was defined as the laser-forging 6 region. Based on the calculated temperature distribution from a multi-physical molten pool simulation, 7 the distance between the laser beam center and welding torch center (L) was set to approximately 10 8 mm, and a laser forging region with a diameter of 4 mm was determined.

9 The detailed process parameters can be seen in Table. 1, and the compositions of Q460 steel and
10 ER110S-G wires are listed in Table. 2.

Table.1 Detailed processing parameters

11

	Arc curren	nt Arc vo	oltage	Gas r	ate	Travel s	peed	Laser fr	equency	Puls	se energy	y I	Pulse durati	on
	160 A	24	V	20 L/1	nin	0.45 m/	min	10	HZ		1 J		6 ns	
12	Table.2 Compositions of the Q460 steel and ER110S-G wire (wt%)													
			С	Mn	Si	Р	S	Cr	V	Cu	Ni	Mo	Ti	
		Q460	0.16	0.98	0.38	0.02	0.003	0.03	0.05	0.21	0.02	-	-	
	E	R110S-G	0.06	1.68	0.52	0.01	0.013	0.03	-	0.17	0.54	0.34	0.06	

After the repair processes, metallographic samples were extracted from the cross-section of the 13 14 repaired layer, then polished, and etched using a 4% nitric acid ethanol solution for 4-6 s at room temperature. Macrostructures of the metallographic samples were observed using an OLYMPUS laser 15 16 confocal microscope. Laser forging has a minor influence on the formation of the repaired layer, and a cross-sectional photograph of the repaired layer made by the hybrid process is shown in Fig. 1(d). 17 18 The microstructures were observed using an optical microscope (OM; Zeiss Primotech). Tensile tests 19 were performed using a GHS50K universal testing machine at a constant speed of 2 mm/min, 20 following the GB/T2651-2008 standard. The fracture morphologies of the tensile samples were observed using scanning electron microscopy (SEM, S-3400N). The electrochemical corrosion tests 21 22 were conducted using an electrochemical system (CHI660E) with a standard three-electrode cell in a 3.5% NaCl solution at room temperature. Electrochemical impedance spectroscopy (EIS) and 23 potentiodynamic polarization tests were performed to evaluate the corrosion behavior. 24

25 **3 Multi-physical model**

Multi-physical phenomena, such as filler metal transfer, molten pool surface deformation, and molten metal convection, are considered in the numerical model to accurately predict the dynamic molten pool behaviors and determine the laser forging region. A computational domain with dimensions of 35 mm x 30 mm x 18 mm was defined, as shown in **Fig. 2(a)**. A base metal region with a groove was predefined at the bottom of the computational domain, and the other region was set as a
void. The height of the base metal region was 15 mm. Nonuniform hexahedral mesh grids were adopted:
finer mesh grids (0.2 mm) were used for the region near the repaired layer, and coarser mesh grids
were used for other regions.

5 The governing equations of the multi-physical molten pool simulation involve the species, mass, 6 momentum, and energy conservation equations and the volume of fluid (VOF) equation. The GMA 7 dynamics were ignored, while the GMA heat source, arc pressure, and shear stress were applied to the free surface of the molten pool. The liquid metal was considered to be an incompressible Newtonian 8 9 fluid, and the flow was laminar. The short-pulse laser directly acted on the high-temperature solidified metal, not the molten pool. Besides, the pulse duration was only 6 ns, therefore the heat effect of the 10 laser was not considered in the molten pool model. The mass, momentum, energy conservation, and 11 VOF equations can be found in our previous study ^[22]. Here, the species conservation equation, arc 12 heat source, arc pressure, shear stress, surface tension, Lorentz force, and boundary conditions are 13 14 described. The commercial software FLOW3D with programming language Fortran is used to solve the governing equations. 15

16 **3.1 Specie conservation equation**

The conservation of the sulfur element was considered, in which the diffusive effect of sulfur was ignored ^[23]:

19
$$\frac{\partial(\rho\varphi)}{\partial t} + \nabla \cdot (\rho \nu \varphi) = \varphi_s \tag{1}$$

The sulfur content of the base metal was 0.003%. Spherical drops with a specific height, radius, velocity, frequency, temperature, and sulfur content are introduced to approximate the filler metal transfer. The sulfur content was set to 0.013% for each droplet.

23 **3.2 Heat source and forces models**

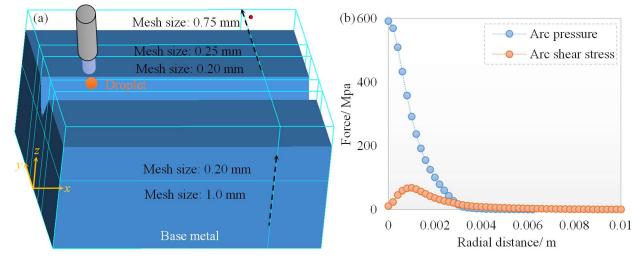
The GMA heat source (q_{arc}) acting on the top molten pool surface was modeled as a fixed Gaussian density function ^[24]:

26
$$q_{arc} = \frac{\eta_q U_q I_q}{2\pi \sigma_r} \exp\left\{-\frac{(x - x_0 - v_w t)^2 + y^2}{2\sigma_r^2}\right\}$$
(2)

Previous studies showed the total energy efficiency of GMA welding process was about 68%~78%
 ^[25,26]. In this study, the total energy efficiency 75% was adopted for the GMA.

The arc pressure (P_{arc}) and shear stress (τ_{G}) distributions obtained from the arc model are shown in **Fig. 2(b)**. The surface tension of the molten metal is considered a function of temperature and sulfur content ^[27]. The high sulfur content introduced by filler metal transfer has a significant influence on the surface tension.

33
$$\gamma(T) = \gamma_m^0 - A(T - T_m) - RT\Gamma_s ln(1 + K_l \alpha_s e^{(-\Delta H_0/RT)})$$
(3)



1 2

3

Fig.2 (a) Computational domain; (b) Arc pressure and shear stress distributions The Lorentz force is calculated as below ^[28]:

- $4 F_x = -J_z \times B_\theta \frac{x x_0 v_w t}{\sigma_r} (4)$ $5 F_y = -J_z \times B_\theta \frac{y}{\sigma_r} (5)$
- $6 \qquad F_z = -J_r \times B_\theta$

The symbols and nomenclature are listed in Table. 3.

7 8

Table.3 Symbols and nomenclature

(6)

Symbol	Nomenclature	Symbol	Nomenclature				
$ ho/(Kg.m^{-3})$	Density	arphi / wt%	Weight percentage of sulfur				
<i>t</i> / s	Time	\boldsymbol{v} / (m.s ⁻¹)	Velocity vector				
φ_s / (wt%.Kg.	Sulfur source term caused by	21	CMA anonay affinianay				
$m^{-3}.s^{-1}$)	the filler metal transfer.	η_q	GMA energy efficiency				
U_q/V	GMA voltage	I_q / A	GMA current				
σ_r / m	Gaussian distribution parameter	<i>x</i> ₀ / m	Original torch location				
$v_w/({ m m.s^{-1}})$	Travel speed	$\gamma_m^0/(\mathrm{N.~m^{-1}})$	Surface tension of the pure metal at the melting point				
$A//(N. m^{-1}.K^{-1})$	Negative of surface tension gradient for the pure metal	<i>T</i> / K	Molten temperature				
T_m / K	Melting point	R/ (J.K ⁻¹ .mol ⁻¹)	Gas constant				
$\Gamma_{s}/(\mathrm{Kg.mol.m}^{-2})$	Surface excess at saturation	K_l	Entropy factor				
α_s / wt%	Sulfur content	$\Delta H_0/(\mathrm{J.mol}^{-1})$	Heat of absorption				
F_x , F_y , and $F_z/$	Components of the Lorentz	$I/(\Lambda m^{-2})$	Axial component of the				
(N.m ⁻³)	force in x , y , and z directions	$J_z/(\mathrm{A.m}^{-2})$	current density				
$J_{r'}$ (A.m ⁻²)	Radial component of the current density	B_{θ} / (N. A ⁻¹ .m ⁻¹)	Angular component of the magnetic field				

1 **3.3 Material properties**

The alloying element contents of Q460 steel and ER110S-G wire were not significantly different.
In the simulation, it was assumed that the thermophysical material properties of Q460 steel and

- 4 ER110S-G wire were the same. The specific heat, thermal conductivity, and density can be seen in Fig.
- 5 **3**, and other material properties are shown in **Table. 4**^[29].

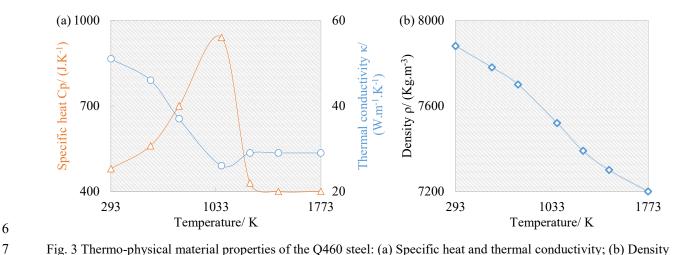


Fig. 3 Thermo-physical material properties of the Q460 steel: (a) Specific heat and thermal conductivity; (b) Density
 Table. 4 Thermo-physical material properties of the Q460 steel

Viscosity / Kg.m ⁻¹ .s ⁻¹	0.0059	Latent heat of fusion / J.Kg ⁻¹	2.77e5
Melting point / K	1768	Coefficient of thermal expansion / K ⁻¹	1e-5

9

10 4 Results

11 4.1 Molten pool behaviors

As shown in **Fig. 4**, at t = 3.0 s and in the y = 0 cross-section, the molten metal primarily flowed backward at the top surface before and after the droplet, while flowing forward at the molten pool edge. The molten metal flowed downwards inside the molten pool below the droplet and a clockwise eddy was formed. In the trailing part of the molten pool, an anticlockwise eddy was formed.

At the top surface of the x = 22.1 mm cross-section, the molten metal mainly flowed upward and inward from the pool edge to the pool center. The molten metal flowed downward inside the molten pool. At the top surface of the x = 25.1 mm cross-section, the molten metal primarily flowed downwards and inward from the pool edge to the pool center. The molten metal flowed upward inside the molten pool. At the pool edge of the x = 27.3 mm cross-section, the molten metal mainly flows downwards and inward. In the pool center, the molten metal flowed upward in the top part and downwards in the bottom part.

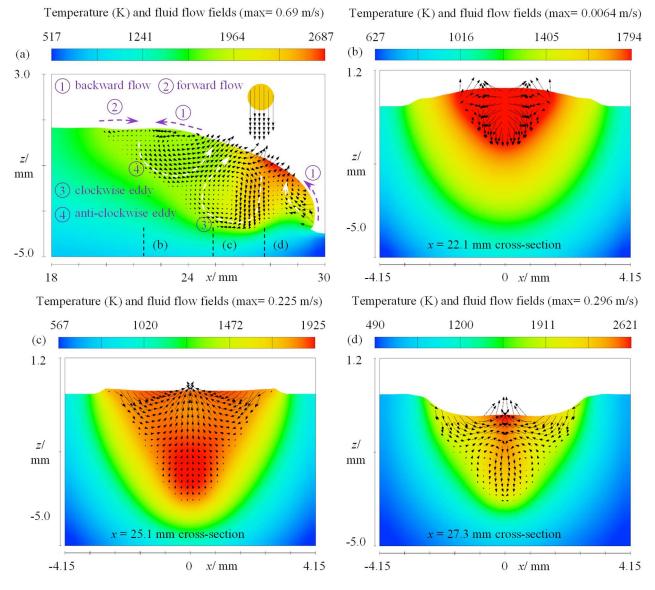


Fig. 4 Temperature and fluid flow fields at t = 3s: (a) y = 0 cross-section; (b) x = 22.1 mm cross-section; (c) x = 25.1 mm cross-section; (d) x = 27.3 mm cross-section

1

The three-dimensional temperature and fluid flow fields at t = 3s are shown in **Fig. 5(a)**. A teardrop-shaped molten pool was formed. The molten metal mainly flows inward in the center region of the molten pool and backward in the trailing part. Comparisons between the calculated and experimentally repaired layer geometries are shown in **Fig. 5(b)**. The experimental repaired layer width and depth were 6.77 mm and 4.43 mm, respectively. The calculated repaired layer width and depth are 7.03 mm and 4.06 mm respectively, which agree well with the experimental results.

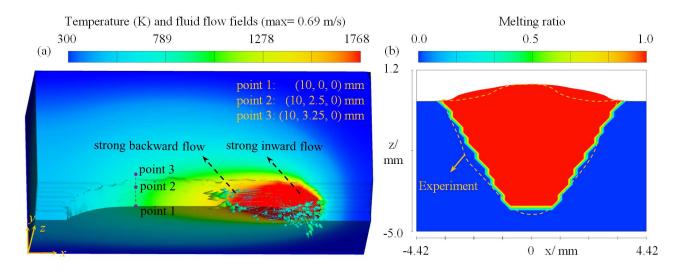
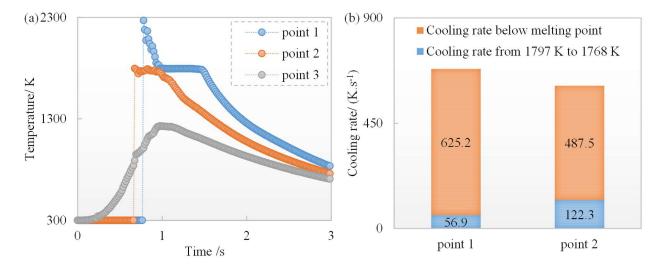


Fig. 5 (a) Three-dimensional temperature and fluid flow fields at t = 3s; (b) Comparisons between calculated and experimental repaired layer geometries

4 The temperature vs. time plots of the three points (as shown in Fig. 5(a), their locations are (10, 5 0, 0) mm, (10, 2.5, 0) mm, and (10, 3.25, 0) mm, respectively) are shown in Fig. 6(a). Point 1 was 6 located at the pool center. The molten temperature of point 1 reaches a maximum value of 2269.8 K at 7 t = 0.78 s, and rapidly decreases to 1797 K at t = 0.975 s. As shown in Fig. 6(b), the molten metal of 8 point 1 slowly solidifies from 1797 K to 1768 K at an average cooling rate of 56.9 K/s; after the 9 solidification, the temperature of point 1 quickly decreases to 830.2 K at t = 3.0 s at an average cooling 10 rate of 625.2 K/s. Point 2 was located at the pool edge, and the maximum molten temperature was only 11 1797.3 K. The molten metal of point 2 solidifies from 1797 K to 1768 K at a high average cooling rate 12 of 122.3 K/s; after the solidification, the temperature of point 2 decreases to 758.9 K at t = 3.0 s at a low average cooling rate of 487.5 K/s. Point 3 is located at the heat-affected zone (HAZ); here, the 13 14 temperature increases slowly to 1229.4 K at t = 0.975 s, and then decreases to 705.3 K at t = 3.0 s.





1 2

3

Fig. 6 (a) Temperature vs time plot of three points; (b) Cooling rates of two points

17 **4.2 Microstructures of the repaired layers**

As shown in **Fig. 7**, the microstructure of Q460 steel consists of ferrite (F) and pearlite (P), in which pearlite clusters are distributed discontinuously in the ferrite. The microstructure of the fusion zone in the arc additive repair process mainly consists of polygonal ferrite (PF) with a small amount of acicular ferrite (AF), with a ferrite size of approximately $1.6 \sim 6.4 \mu m$. The microstructure of the fusion zone in the hybrid laser forging and arc additive repair process mainly consists of fine acicular ferrite with a small amount of martensite (M), and the ferrite size is approximately $1.2 \sim 2.4 \mu m$.

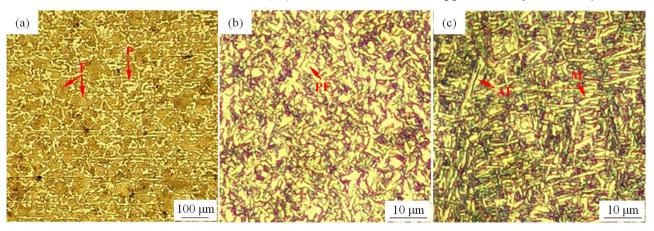
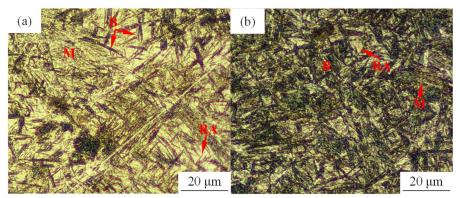


Fig. 7 Microstructure of (a) Q460 steel; (b) Fusion zone of the arc additive repairing process; (c) Fusion zone of the
 hybrid laser forging and arc additive repairing process

In the HAZ, the cooling rate was lower than that in the fusion zone. As shown in **Fig. 8 (a)** and (b), in both the arc additive repair and hybrid laser forging and arc additive repair processes, the HAZs contain coarse martensite, acicular bainite (B), and retained austenite (RA). Laser forging has a minor

13 influence on the microstructure of the HAZ.



14

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Fig. 8 Microstructure of the HAZ: (a) Arc additive repairing process; (b) Hybrid laser forging and arc additive repairing process

17 **4.3 Performances of the repaired layers**

As shown in **Fig. 9**, the tensile strength of the repaired layer made by the arc additive repair process was 590 MPa, and the elongation was 6.05%. In addition, the tensile specimen fractured in the fusion zone. The tensile strength of the repaired layer made by the hybrid laser forging and arc additive repairing process was 683 MPa, and the elongation is 9.54%; the tensile strength and elongation were improved by 15.76 % and 57.69%, respectively. The experimental repaired layer width was 6.77 mm,
while the diameter of the short-pulse laser was only 4 mm. As a result, laser forging affected the fusion
zone, but had a minor influence on the microstructure of the HAZ, and the forged specimen fractured
in the HAZ.

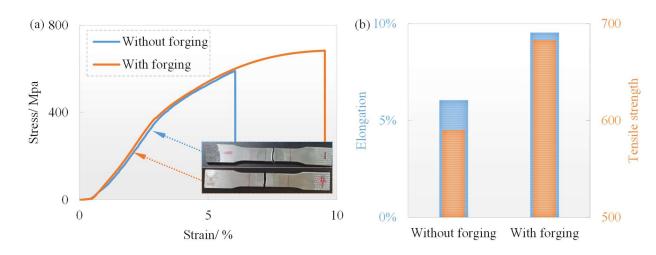
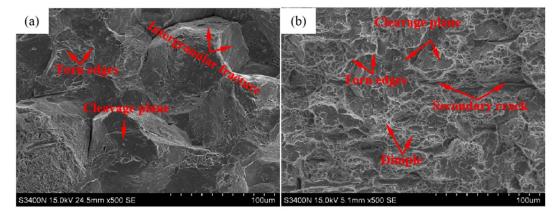




Fig. 9 (a) Tensile stress-strain curve; (b) Tensile strength and elongation

Fig. 10 shows the SEM tensile fracture morphologies of the specimens. Smooth cleavage planes and torn edges can be clearly observed on the fracture surface in the arc additive repairing process. These features are the typical characteristics of the intergranular fracture mode. The fracture surface in the hybrid laser forging and arc additive repairing process shows a large amount of dimples accompanied by a few cleavage planes, torn edges and secondary cracks, indicating the fracture mechanism is a mixture of ductile and cleavage fractures after laser forging.

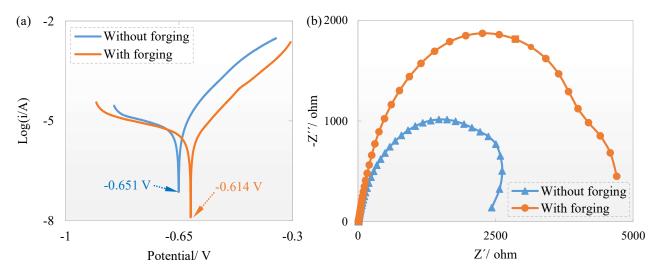


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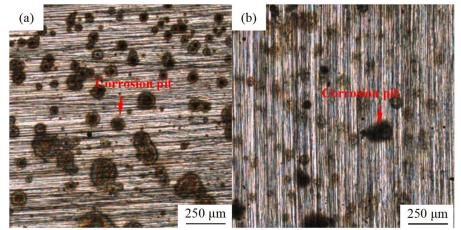
Fig. 10 Tensile fracture morphologies: (a) arc additive repairing process; (b) Hybrid laser forging and arc additive repairing process

Fig. 11(a) describes the potentiodynamic polarization curves. All detected curves have the characteristics of the passivation phenomenon with obvious passivation ranges, indicating passive films are formed on the specimen surfaces. For the specimen of the arc additive repairing process, the self-corrosion potential and corrosion current are -0.651 V and 8.141 e-6 A, respectively. For the specimen of the hybrid laser forging and arc additive repairing process, the polarization curve moves to the right, approaching the positive electrode. The self-corrosion potential increases to -0.614 V, and the corrosion current decreases to 4.572 e-6 A. With forging, the self-corrosion potential increases by 5.7% and the corrosion current decreases by 43.8%, therefore, the corrosion tendency decreases. **Fig. 11(b)** describes the electrochemical impedance spectroscopy measurement results. It can be clearly seen that the capacitive arc of the specimen of the hybrid laser forging and arc additive repairing process has a larger radius, indicating a better corrosion resistance.

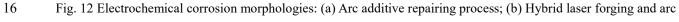


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Fig. 11 (a) Potentiodynamic polarization curves; (b) Electrochemical impedance spectroscopy measurement results
 Fig. 12 shows the typical corroded surfaces of these two processes. Both of them exhibit typical
 characteristics of pitting corrosion. There are a large number of corrosion pits on the surface of the
 specimen of the arc additive repairing process, and the pit area is 29.4%. The corrosion pits on the
 surface of the specimen of the hybrid laser forging and arc additive repairing process are reduced, and
 the pit area is 8.7%.



15



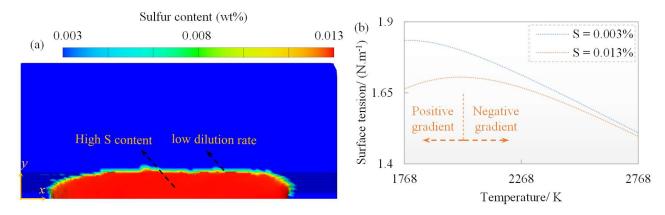
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18 **5 Discussion**

additive repairing process

5.1 Effects of the sulfur content on the molten pool convection

Previous numerical studies on GMA welding of low-carbon steels showed that the molten metal 2 flowed backward or outwards at the pool edge ^[30, 31]. However, as shown in **Fig. 4**, the molten metal 3 flow patterns in this study are significantly different from those in the GMA welding of low-carbon 4 steels, which is caused by the sulfur content. Fig. 13(a) shows the distribution of the calculated sulfur 5 content. In the central region of the groove, the sulfur content was as high as 0.013 %; on the side of 6 7 the groove, the base metal is melted and a low dilution rate can be observed. Fig. 13(b) shows the 8 surface tension as a function of the temperature and sulfur content. When the sulfur content was 9 0.003%, the surface tension temperature gradient is mainly negative. When the sulfur content is 0.013%, the surface tension temperature gradient is positive at temperatures about lower than 2000 K 10 11 and negative at the temperatures higher than 2000 K.



12 13

Fig. 13 (a) Calculated sulfur content; (b) Surface tension as a function of the temperature and sulfur content

14 The arc pressure and shear stress, Marangoni stress, Lorentz force, and droplet impact force are 15 the dominant driving forces for molten metal flow in a GMA pool. As shown in Fig. 14(a), the arc pressure acts downwards and vertically on the molten-pool surface ^[32]. The arc shear stress acts on the 16 molten pool surface and promotes the outward flow of molten metal from the pool center to the pool 17 edge ^[24]. At the center of the molten pool surface, the temperature is high, and the surface tension 18 temperature gradient is negative; therefore, the Marangoni stress also promotes the outward flow of 19 the molten metal from the pool center. However, at the trailing part of the molten pool surface, the 20 temperature is low and the surface tension temperature gradient becomes positive; therefore, the 21 Marangoni stress causes the molten metal to flow forward ^[33]. The Lorentz force causes the molten 22 metal to flow inward and downwards below the arc center. The droplet impact force also promotes the 23 24 downward flow of the molten metal ^[34].

As shown in **Fig. 14(b)**, it can be concluded that the backward flow at the top surface before the droplet is primarily driven by the Marangoni stress; the backward flow at the top surface after the droplet is mainly driven by the arc shear stress and Marangoni stress; the forward/inward flow in the pool edge is primarily driven by the Marangoni stress; as the backward and forward flows collide with each other, the molten metal flows downwards, and the anti-clockwise eddy forms in the trailing part of the molten pool; the clockwise eddy is primarily driven by the arc pressure, Lorentz force, and droplet impact force.

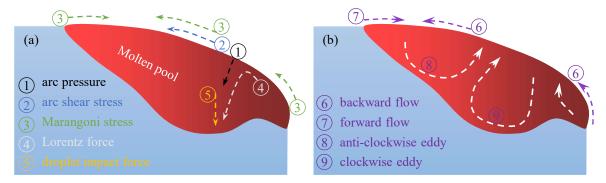




Fig. 14 Diagrams of: (a) Driven forces for the molten metal flow; (b) Molten flow patterns

7 5.2 Laser forging mechanism and related physical effects

8 For the laser peening process, the laser-matter interaction mode is "confined ablation," in which the generated plasma is confined by a confining layer. Laser shock pressure in the "confined ablation" 9 mode has been widely investigated ^[35, 36]. However, for a laser forging process, the laser-matter 10 interaction mode is "direct ablation," in which a short-pulse laser with high energy density directly 11 12 acts on the high-temperature solidified metal. Here, the laser shock pressure in the "direct ablation" mode was derived from Fabbro's study ^[37]. In Fabbro's model, the pressure generated by the plasma 13 induced a shock wave that propagated into both the metallic target and confining layer. The laser shock 14 15 pressure can be calculated as follows:

16
$$P_{max}(Mpa) = 3220 \times \left(\frac{\alpha}{2\alpha+3}\right)^{2/3} \times \rho_0^{1/3}(g/cm^3) \times I_0^{2/3}(GW/cm^2)$$
 (7)

17
$$2\rho_0^{1/2} = \rho_1^{1/2} + \rho_2^{1/2}$$
 (8)

18 where α is a constant fraction (≈ 0.1 -0.2), ρ_1 and ρ_2 are the densities of the metallic target and 19 confining layer, respectively, and I_0 is the laser energy density.

In the hybrid laser forging and arc additive repair process, the shielding gas with a density of ρ_3 is considered as the confining layer, as shown in **Fig. 15(a)**. ρ_0 is replaced by $4\rho_3$, and the fraction α with a large value of 0.2 is adopted; thus, the laser shock pressure in the hybrid process can be calculated as

24
$$P_{max}(Mpa) = 93.8 \times I_0^{2/3}(GW/cm^2)$$
 (9)

In this work, the laser energy density I_0 is approximately 1.33 GW/cm^2 , the laser shock pressure is approximately 113.4 MPa. Plastic deformation occurs when the laser shock pressure exceeds the Hugonoit elastic limit (*HEL*) of the material.

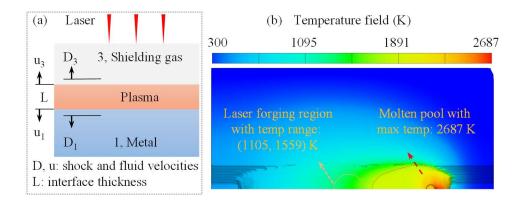
1
$$P_{max} \ge HEL$$

HEL is related to the dynamic yield strength at high strain rates. For the one-dimensional strain
 condition and idealized elastic-plastic material, the *HEL* can be simplified as ^[38]

4
$$HEL = (\frac{K}{2G} + \frac{2}{3})Y = \frac{1-\nu}{1-2\nu}Y$$
 (11)

5 where K is the bulk modulus, G is the shear modulus, ν is the Poisson's ratio, and Y is the yield 6 strength of a given material.

A previous forging study proposed that carbon and low-alloy alloys could be easily forged when the temperature was approximately 1123 - 1423 K ^[39]. At a low temperature, the *HEL* of Q460 steel is high, and the laser shock pressure of the laser forging process is too low to cause plastic deformation of the repaired layer. As shown in **Fig. 15(b)** and in **Table. 5**, the temperature range of the laser forging region is 1105 K ~ 1559 K, which is 62.5% ~ 88.2% of the melting point; the *HEL* is low. In the laser forging region, the laser shock pressure was much higher than the *HEL* of the material, causing plastic deformation of the repaired layer.



14 15

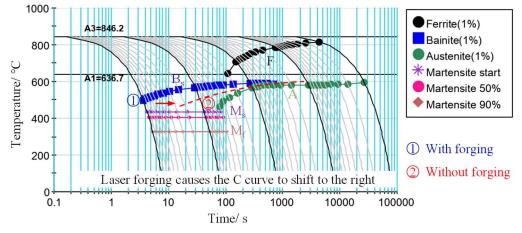
16

Fig. 15 (a) Geometry of the target assembly in the hybrid process; (b) Calculated temperature field Table.5 Mechanical properties of Q460 steel

			1 1	-		
Temp/ K	Possion's	Elastic modulus/	Yield strength/	HEL/	-	
	ratio	Gpa	MPa	MPa		
-	773	0.29	175	300	507.1	-
	1073	0.32	155	17	32.1	
	1273	0.34	120	13	26.8	
	1473	0.33	85	13	25.6	

Under the impact of laser forging, the solidified primary austenite was subjected to compressive strain to generate compressive deformation and stress. Compared with the unforged austenite, the stability of the forged phase increased, and the C curve shifted to the right, as shown in **Fig. 16**. Therefore, the cooling curve of the unforged repaired layer intersects the bainite transformation range, and the microstructure after cooling is dominated by bainitic ferrite. For the repaired layer after forging, its C curve is shifted to the right; therefore, its cooling curve directly intersects with the martensite
 transformation temperature range, resulting in a martensite-based structure.

The high strain and severe plastic deformation induced by laser forging lead to a grain boundary break and increase the dislocation generation, motion, and pile-up. Consequently, high-density dislocations and subcrystal boundaries form inside the surface layer, and the grains of the repaired layer can be refined ^[40]. Based on the Hall – Patch equation, laser forging increases the tensile strength of the repaired layer through grain refinement. In addition, the martensite-based structure induced by laser forging contributed to the increase in the tensile strength. As the grains are refined, the electrochemical corrosion performance of the forged repaired layer improves.





12 5.3 Advantages of the hybrid laser forging and arc additive repairing process

Compared with the hybrid laser directed energy deposition and shot peening process ^[21], the hybrid 13 14 laser forging and arc additive repair process can significantly improve both the tensile strength and elongation of the repaired layer, as well as the electrochemical corrosion performance. As plastic 15 deformation of the high-temperature solidified metal easily occurs, a relatively low laser power can be 16 adopted. In previous laser peening studies, the laser power was usually several joules, or more than 10 17 joules. The laser power used in this study is only 1J, which is much lower than laser peening cases 18 ^[11,12,17]. The laser forging region can be determined using a multi-physical CFD simulation. Absorbing 19 20 and confining layers are not necessary; therefore, this novel process is easy to operate. This new hybrid repair process could significantly extend the lifetime of the components used in aerospace, marine, 21 22 petrochemical, rail transit, and wind power industries, and reduce the manufacturing cost.

23 6 Conclusions

We developed a new hybrid laser forging and arc additive repair process, in which the arc repair and laser forging were performed synchronously. The molten pool behavior, laser forging mechanism and its effects on the performance of the repaired layer are revealed. The following conclusions were

Fig. 16 Continuous cooling transformation (CCT) phase diagram of the repaired layer

1 drawn:

(1) The laser forging region can be accurately determined using a multi-physical CFD simulation
considering the complicated molten metal flow. The high sulfur content introduced by filler metal
transfer has a significant influence on the Marangoni stress distribution, causing forward/inward flow
at the edge of the molten pool.

6 (2) The mechanism for laser forging without coating is revealed. In the high-temperature laser-7 forging region, the Hugonoit elastic limit of the material is low. The laser shock pressure was much 8 higher than the Hugonoit elastic limit of the material, causing plastic deformation of the repaired layer.

9 (3) The high strain and severe plastic deformation induced by laser forging resulted in martensite 10 formation and grain refinement in the repaired layer. The tensile strength and elongation of the repaired 11 layer as well as the electrochemical corrosion performance were significantly improved.

The hybrid laser forging and arc additive repair is a promising process to improve the repair quality and efficiency. In the future research work, we will investigate the influence of laser forging on the residual stress distribution of the repaired layer. We will also try to use an oscillating short-pulse laser to improve the performance of both the fusion zone and heat-affected zone.

16

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