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1 Research paper

2

3 **Fracture origin and crack propagation of CAD/CAM composite crowns by**  
4 **combining of *in vitro* and *in silico* approaches**

5

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3

1    **ABSTRACT**

2    **Purpose:** Fractographic analysis has been used to investigate the fracture behavior of  
3    Computer-aided design/computer-aided manufacturing (CAD/CAM) composite crowns  
4    by subjecting them to compression tests. However, it is difficult to investigate details of  
5    the fracture, including its initiation and propagation, using *in vitro* tests. The aim of this  
6    study was to determine the fracture origins and the order of crack initiation of CAD/CAM  
7    composite crowns using *in silico* nonlinear dynamic finite element analysis (FEA).

8    **Material and Methods:** The following materials were used: Cerasmart (CS), Katana  
9    Avencia Block (KA), and Shofu Block HC (HC) as CAD/CAM crowns, Panavia SA  
10   Cement Plus (SA) as a luting material, and Clearfil DC Core Plus (DC) as an abutment.

11   The elastic moduli and fracture strain of each material were obtained from the stress–  
12   strain curve of *in vitro* three-point bending tests. The fracture origins and order of crack  
13   initiation of the materials were determined by *in silico* nonlinear dynamic compression  
14   analysis. Load-displacement curves were statistically compared with the results of the *in*  
15   *vitro* compression tests (Pearson's correlation test,  $\alpha=0.05$ ).

16   **Results:** The nonlinear dynamic FEA demonstrated that crack initiation was primarily  
17   observed near the lingual side of the CAD/CAM crowns and immediately propagated to  
18   the central fossa. The models were fractured following the *in vitro* fracture strains,

1 showing the same order for the products tested (CS/KA/HC, SA, and DC). Load-  
2 displacement curves with the use of CS, KA, and HC were significantly correlated to the  
3 corresponding *in vitro* compression tests results (CS:  $r=0.985$ ,  $p<0.05$ , KA:  $r=0.987$ ,  
4  $p<0.05$ , and HC:  $r=0.997$ ,  $p<0.05$ ).

5 **Conclusions:** The *in silico* model established in this study clarified the crack initiation of  
6 the CAD/CAM composite crowns and the order of crack initiation among the investigated  
7 products, suggesting that the present approach is useful for analyzing the fracture  
8 behavior of CAD/CAM composite crowns in detail.

9

1 **KEYWORDS**

2 CAD/CAM resin composites, Fracture initiation, Crack propagation, Compressive tests,

3 Finite element analysis

1    **1 INTRODUCTION**

2       Computer-aided design/computer-aided manufacturing (CAD/CAM) technologies  
3       have introduced a new era and accelerated the evolution of dental restorations (Rekow,  
4       2020; Sulaiman, 2020). CAD/CAM-fabricated resin composite blocks (RCBs) containing  
5       nano-filler particles are available for use in anterior (Zacher et al., 2020) and posterior  
6       (Yamaguchi et al., 2018a) restorations. The pre-polymerized resin matrix under high  
7       pressure or high temperature (Ruse and Sadoun, 2014), providing stable and excellent  
8       mechanical performance such as flexural strength (Dry: ~206 MPa, Aged: ~172 MPa  
9       (Lucsanzky and Ruse, 2020)), hardness (62–102 VHN (Lawson et al., 2016)), Weibull  
10      modulus (11.2–31.5 (Choi et al., 2019)), and fracture toughness (~1.47 MPa·m<sup>1/2</sup>  
11      (Lucsanzky and Ruse, 2020)) compared with conventional photo-polymerized resin  
12      composites used in fillings. Additionally, less antagonist enamel wear (0.056-0.061 mm<sup>3</sup>)  
13      of CAD/CAM RCBs compared with polymer infiltrated ceramics (0.108-0.280 mm<sup>3</sup>) and  
14      glass ceramics (0.276-0.420 mm<sup>3</sup>) has been reported (Lawson et al., 2016). CAD/CAM  
15      RCBs are attracting attention as an alternative material to such ceramics because their  
16      cost is less [4].

17       Given the recent market releases of CAD/CAM RCBs, only a few long-term  
18       evaluations of CAD/CAM composite crowns are available (Rosentritt et al., 2019). De-

1 bonding and fracture of CAD/CAM composite crowns have been reported as the main  
2 complications of implant-supported crowns (Lohbauer et al., 2017) and removable partial  
3 denture abutment premolar teeth (Miura et al., 2019). Artificial intelligence technology  
4 established in our previous study demonstrated considerably good performance in terms  
5 of predicting the debonding probability of CAD/CAM composite crowns (Yamaguchi et  
6 al., 2019). The fracture of CAD/CAM composite crowns should be investigated to ensure  
7 the longevity.

8 As observed clinically, crack initiation and chipping of CAD/CAM ceramic crowns  
9 occur mostly at the crown margins (Scherrer et al., 2008). The edge strength is a useful  
10 criterion to characterize the chipping force close to the edge specimens such as the margin  
11 of crowns (Pfeilschifter et al., 2018). Fractographic analysis has been used to investigate  
12 the fracture behavior of CAD/CAM composite/ceramic crowns, including the direction  
13 of the crack propagation (dcp), hackle, and arrest line (Lohbauer et al., 2017; Scherrer et  
14 al., 2008; Yamaguchi et al., 2018a; Zacher et al., 2020). However, it is difficult to  
15 determine the crack initiation and crack propagation by *in vitro* tests.

16 *In silico* finite element analysis (FEA) is used to assess the crack initiation of  
17 CAD/CAM composite crowns/ceramics from stress or strain concentrations (Dal Piva et  
18 al., 2018; Dartora et al., 2019; Yamaguchi et al., 2018a). However, there is no way to

1 represent crack propagation by conventional static FEA without changes in time. Our  
2 previous study confirmed that *in silico* non-linear dynamic FEA could predict the fracture  
3 toughness of CAD/CAM RCBs, reflecting the *in vitro* fracture behavior (Karaer et al.,  
4 2020). By combining *in vitro* tests and *in silico* non-linear dynamic FEA, the crack  
5 initiation and crack propagation of a CAD/CAM composite crown specimen subject to *in*  
6 *vitro* compression tests may be clarified.

7 The aim of this study was to determine the crack initiation and order of fracture of  
8 each component during an *in vitro* compression test of CAD/CAM composite crowns by  
9 combining *in vitro* tests and *in silico* non-linear dynamic FEA.

10

1    **2 MATERIALS AND METHODS**

2    **2.1. CAD/CAM resin composite crowns specimens**

3       The materials for the CAD/CAM resin composite crown specimens for *in vitro*  
4       compression tests were Cerasmart (CS, GC, Tokyo, Japan), Katana Avencia Block (KA,  
5       Kuraray Noritake Dental, Tokyo, Japan), and Shofu Block HC (HC, Shofu, Kyoto, Japan)  
6       as CAD/CAM crowns; Panavia SA Cement Plus (SA, Kuraray Noritake Dental) as a  
7       luting material; and Clearfil DC Core Plus (DC, Kuraray Noritake Dental) as an abutment.  
8       The material composition of the CAD/CAM resin composites are summarized in Table 1.

9

10    **2.2. *In vitro/in silico* three-point bending tests**

11       *In vitro* three-point bending tests of the CAD/CAM resin composites (4.0- × 1.2- ×  
12       14.0-mm specimen, n=10), luting material and abutment (2.0- × 2.0- × 25.0-mm, n=10)  
13       were conducted after the samples were stored in water for 24 h. The samples were tested  
14       using a universal testing machine (EZ-SX, Shimadzu, Kyoto, Japan) with a 1.0-mm/min  
15       crosshead speed. The flexural moduli and fracture strains were determined by the  
16       obtained stress-strain curves. The density of each material was calculated by dividing the  
17       volume obtained by micro-CT analysis (R\_mCT2, Rigaku, Tokyo, Japan) by the weight.  
18       The flexural modulus and elastic modulus were not equal. By using flexural modulus as

1 the initial elastic modulus of the *in silico* non-linear dynamic three point bending analysis  
2 (LS-DYNA, LSTC, Livermore, CA, USA), the *in silico* stress–strain curve was obtained  
3 and compared with the corresponding *in vitro* curve. The initial elastic modulus was  
4 repeatedly updated until both curves converged and the elastic modulus of each material  
5 was obtained (Karaer et al., 2020). The maximum principal strain reflecting to the fracture  
6 strain was used as the failure criteria (Yamaguchi et al., 2018b). The Poisson’s ratio of  
7 each material was set to 0.38 according to that of dental composites (Greaves et al., 2011).

8

### 9 **2.3. *In vitro/in silico* single compression tests**

10 *In vitro* compression tests were conducted for each material (n=3) after specimens  
11 were stored in water for 24 h. Testing was conducted using a universal testing machine  
12 (AGS-500D, Shimadzu, Kyoto, Japan) with a 0.5-mm/min cross head speed. The  
13 preparation of the CAD/CAM resin composite crowns specimens were previously  
14 described (Yamaguchi et al., 2018a). The occlusal surface and margin were designed to  
15 be greater than 1.5 mm and 1.0 mm, respectively. The taper angle of abutment ranged  
16 from 6° to 10°. The cement layer was homogeneously seated under the crown with a  
17 minimum thickness of 50 µm (Gressler May et al., 2015). The load-displacement curves  
18 after *in vitro* single compression tests were obtained and the fractured specimens were

1 observed with stereomicroscopy (SMZ-745T, Nikon, Tokyo, Japan). All FEA models of  
2 the indenter, crown, luting material, abutment, acrylic resin, and polyvinyl chloride (PVC)  
3 tube were designed from scanned stereolithography data (Fig. 1) of the *in vitro*  
4 compression tests and analyzed using *in silico* non-linear dynamic FEA software (LS-  
5 DYNA). The PVC tube was fixed as indicated by yellow tetrahedrons (Fig. 1). Axial  
6 displacements (1.0 mm) along to tooth axis were applied to the occlusal surface of the  
7 crown by the indenter, and the crack initiation and order of the fracture were analyzed.  
8 The number of elements for the indenter, crown, luting material, abutment, acrylic resin,  
9 and PVC tube were 10625, 105467, 37774, 141983, 124229, and 30254. All analysis was  
10 conducted under dynamic explicit method. The crown, luting material, and abutment were  
11 defined as the piecewise linear plastic material (MAT\_024) using the effective stress-  
12 strain curve according to each material. The indenter was defined as the rigid material  
13 (MAT\_020). The acrylic resin and PVC tube were defined as the kinematic plastic  
14 material (MAT\_003).

15

#### 16 **2.4. Statistical analysis**

17 Load-displacement curves obtained by *in vitro* compression tests were statistically  
18 compared with the results of the *in silico* compression analyses by Pearson's correlation

1 test (PASW Statistics 18, IBM, Somers, NY, USA). *P*-values of less than 0.05 were  
2 considered statistically significant.

3

1     **3. RESULTS**

2     **3.1. Physical properties of the CAD/CAM composite crown specimens**

3       Load-displacement curves obtained from the *in vitro/in silico* compression tests are  
4       shown in Fig. 2. The elastic moduli, densities, fracture strains, and fracture stresses of CS,  
5       KA, HC, SA, and RC are summarized in Table 2. The fracture stress corresponding to the  
6       fracture strain of each material obtained from the *in vitro* three-point bending test was  
7       used as fracture criteria for the *in silico* compression analysis.

8

9     **3.2. Crack initiation and crack propagation**

10       Non-linear dynamic FEA demonstrated that the fracture origin was primarily near  
11       the lingual side of the outside surface in CAD/CAM crowns, which immediately  
12       propagated to the central fossa (Video 1, Video 2, and Video 3). The models were  
13       fractured following *in vitro* fracture strains, showing the same order for the products  
14       tested (CS/KA/HC, SA, and DC). Load-displacement curves with the use of CS, KA, and  
15       HC, as shown in Fig. 2, were significantly correlated to the *in vitro* compression tests  
16       results (CS:  $r=0.985$ ,  $p<0.05$ , KA:  $r=0.987$ ,  $p<0.05$ , and HC:  $r=0.997$ ,  $p<0.05$ ). The *in*  
17       *silico* curve could be separated into two phases. In the first phase, the crack initiation was  
18       observed at the lingual side of each CAD/CAM composite crown. In the second phase,

1 the crack initiation was immediately propagated to the central fossa and lingual cusps.

2

3 **3.3. Fracture pattern of the CAD/CAM composite crown specimens**

4 Figure 3 shows the fracture pattern of the *in silico* compression tests for CS, KA, and

5 HC with maximum principal stress distribution from the occlusal surface at each time

6 point. Figure 4 shows the stereoscopic images of the occlusal surface after *in vitro*

7 compression tests for CS, KA, and HC. Crack initiations were observed at the lingual side

8 for all CAD/CAM composite crowns. At that time, there was no fracture in the cement

9 layer or abutment. The abutment in the lingual cusp was fractured and two lingual cusps

10 were separated from the main body of the CAD/CAM composite crowns (Fig. 5). The *in*

11 *vitro* and *in silico* compression test fracture patterns of each CAD/CAM composite crown

12 matched.

13

1     **4. DISCUSSION**

2         An *in silico* model of CAD/CAM composite crown specimens subject to *in vitro*  
3         compression tests was successfully developed without material waste to clarify the crack  
4         initiation and order of fracture of CAD/CAM composite crowns. Crack initiation was  
5         observed at the near lingual side of the CAD/CAM composite crowns despite lower  
6         fracture stresses of the luting material and abutment than the crowns, suggesting that the  
7         stress concentration exerting crack initiation was controlled by tensile stress instead of  
8         compressive stress.

9         Load-displacement curves obtained from *in silico* compression tests were divided into  
10        two phases and those of the *in vitro* tests were one phase. The sampling rate of the  
11        universal testing machine used in the *in vitro* compression tests was 0.01 s and 10 times  
12        slower than those of the *in silico* non-linear dynamic compression analysis. Therefore,  
13        the *in silico* non-linear dynamic FEA could clarify two phases and have possibility to find  
14        the unknown fracture phenomenon. The velocities of the stress propagation (Yamaguchi  
15        et al., 2014) of CA, KA, and HC that were 2,650 m/s, 2,748 m/s, and 2,636 m/s,  
16        respectively, which suggest that the velocity of the crack propagation was lower than  
17        those velocities. The discrepancy between *in vitro* and *in silico* curves was confirmed.  
18        The acrylic resin supporting the crown specimen was defined as the plastic kinematic

1 material using elastic modulus, density, and Poisson's ratio while the crown, luting  
2 material, and abutment were defined as the piecewise plastic material using effective  
3 stress-strain curve obtained from *in vitro* results. The material property of acrylic resin  
4 might be one of reasons for the discrepancy in case of the assembled components and  
5 further investigation will be required.

6 Regarding long-term evaluations, the fatigue behavior of the CAD/CAM composite  
7 crowns indicated the fracture load after single compression tests did not match the order  
8 of increase in reliability after the fatigue tests because of the different monomer  
9 compositions of the crowns (Yamaguchi et al., 2018a). However, similar fracture patterns  
10 of each CAD/CAM composite crown were observed between the single compression tests  
11 in this study and the fatigue tests in a previous study (Yamaguchi et al., 2018a) even to  
12 both tests were different loading condition. The wear scars of the CAD/CAM resin  
13 composites uniformly increased and became round craters after sliding contact wear  
14 (Wendler et al., 2020), suggesting that the center of the contact points at the occlusal  
15 surface is not much change. These results suggest that *in silico* non-linear dynamic FEA  
16 of CAD/CAM composite crowns is possibly able to predict crack initiation and crack  
17 propagation to contact points corresponding to clinically relevant occlusal contacts such  
18 as sliding contact (El Zhawi et al., 2016). The predicted fracture patterns will become

1 crucial indications to develop new CAD/CAM RCBs.

2 Under the same preparations, HC with the lowest elastic modulus indicated the first

3 order of fracture among the three CAD/CAM composite crowns. However, crack

4 initiation was still observed at the crown instead of the luting material or abutment. Owing

5 to the low elastic modulus, CAD/CAM composite crowns have bonding failure from the

6 zirconia abutments in 80% of cases within the first year of clinical service (Schepke et al.,

7 2016). The size of the silica fillers loaded into HC ranged from 2  $\mu\text{m}$  to 15  $\mu\text{m}$ , and those

8 in CS and KA were 20 nm and 40 nm, respectively. The smaller filler particles enhanced

9 the elastic modulus of the CAD/CAM RCBs (Yamaguchi et al., 2017), which prevents

10 bonding failure. The interface between the crowns and luting material, and the luting

11 material and abutment were perfectly bonded as boundary conditions in the *in silico* non-

12 linear dynamic FEA. Low silane coupling ratios decreasing the elastic modulus of the

13 CAD/CAM resin composites (Lee et al., 2020) and boundary conditions reflecting the

14 hydrolysis of silane coupling should be implemented as further study.

15 Crack initiation and crack propagation on the nano/micro scale level have not yet been

16 clarified. The combination of multi-scale analysis (Yamaguchi et al., 2017) and *in silico*

17 non-linear dynamic FEA (Karaer et al., 2020) can achieve direct observation of the

18 fracture behavior of composites with nano-filler at the nano/micro scale level (Lee et al.,

1 2019).

2 A machine learning algorithm is under development to identify optimal material  
3 compositions for extending the longevity of CAD/CAM resin composites. The  
4 composition of commercially available CAD/CAM resin composites are limited for use  
5 as input for machine learning. The establishment of new artificial intelligence  
6 technologies in dentistry (Grischke et al., 2020) with only the current limited data has still  
7 attracted attention to the challenging development issues of innovative materials and  
8 contributes to achieving the healthcare mission of Japan's Society 5.0 for sustainable  
9 development goals (Fernandez-Luque and Imran, 2018; Vinuesa et al., 2020).

10

1     **5. CONCLUSIONS**

2         The *in silico* model established in this study clarified the crack initiation of  
3     CAD/CAM composite crowns and the order of crack initiation among the tested products,  
4     suggesting that the present approach is useful to analyzing the fracture behavior of  
5     CAD/CAM composite crowns using various preparation designs, loading conditions, and  
6     occlusal contacts that coincide with clinical situations.

7

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7

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1      **TABLES**

Table 1. Material compositions of the computer-aided design/computer-aided manufacturing (CAD/CAM) resin composites.  
 Bis-MEPP: 2,2-Bis [4-(methacryloxy ethoxy)phenyl]propane, UDMA: urethane dimethacrylate, NPG: Neopentyl Glycol  
 Dimethacrylate, TEGDMA: triethylene glycol dimethacrylate

Product name	Code	Manufacturer	Composition		
			Monomer	Filler	Filler (wt%)
Cerasmart	CS	GC, Tokyo, Japan	Bis-MEPP, UDMA, NPG	SiO <sub>2</sub> (20 nm), Ba glass (300 nm)	71
Katana Avencia Block	KA	Kuraray Noritake Dental, Niigata, Japan	UDMA, Methacrylate monomers	SiO <sub>2</sub> (40 nm), Al <sub>2</sub> O <sub>3</sub> (20 nm)	62
Shofu Block HC	HC	Shofu, Kyoto, Japan	UDMA, TEGDMA	Silica filler (2–15 µm), Fumed silica (10–40 nm), Zirconium silicate (1–10 µm)	68

Table 2. Physical properties of the CAD/CAM composite crown specimens.

Code	Elastic modulus (MPa)	Density (g/cm <sup>3</sup> )	Fracture strain	Fracture stress (MPa)
CS	7300	1.946	0.0256	150
KA	6700	1.661	0.0279	151
HC	6000	1.617	0.0211	118
SA	5000	2.144	0.0221	53
RC	8000	2.013	0.0147	74

1    **FIGURE LEGENDS**

2

3    Figure 1. Computer-aided design/computer-aided manufacturing (CAD) models and  
4    occlusal contacts (red circles) of the *in silico* non-linear dynamic finite element analysis.  
5    PVC tube was fixed as indicated by yellow tetrahedrons.

6

7    Figure 2. Load-displacement curves obtained by *in vitro/in silico* compression tests and  
8    maximum principal stress distribution of the occlusal surface for (a) Cerasmart (CS), (b)  
9    Katana Avencia Block (KA), and (c) Shofu Block HC (HC) *In silico* curves were

10   separated into two phases. Crack initiation was observed at the lingual side in Phase 1.  
11   Crack initiation was immediately propagated to the central fossa and lingual cusps in  
12   Phase 2. The stress concentration was released after Phase 1.

13

14   Figure 3. Maximum principal stress distribution during crack propagation at each axial  
15   displacement of the indenter for (a) CS, (b) KA, and (c) HC.

16

17   Figure 4. Stereoscopic images of the fracture patterns at the crown after *in vitro*  
18   compression tests for (a) CS, (b) KA, and (c) HC.

1

2 Figure 5. Order of crack initiation during *in silico* compression tests in CS. Time lapse  
3 images from left to right. The upper series indicates the crack propagation of the crown  
4 specimen. The lower series indicates the crack propagation of the luting material and  
5 abutment at the same time point corresponding as the upper series.

1    **VIDEO LEGENDS**

2

3    Video 1. Crack initiation and crack propagation of the occlusal surface for Cerasmart.

4

5    Video 2. Crack initiation and crack propagation of the occlusal surface for Katana Avencia

6    Block.

7

8    Video 3. Crack initiation and crack propagation of the occlusal surface for Shofu Block

9    HC.