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## ON THE MODIFIED GOERITZ MATRICES OF 2-PERIODIC LINKS

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### 1. Introduction

An oriented link  $\ell = k_1 \cup \cdots \cup k_\mu$  of  $\mu$  components in  $S^3$  is called a *2-periodic link* if there is a  $\mathbb{Z}_2$ -action on the pair  $(S^3, \ell)$  such that the fixed point set  $f$  of the action is homeomorphic to a 1-sphere in  $S^3$  disjoint from  $\ell$ . It is known that  $f$  is unknotted. Hence the quotient map  $p : S^3 \rightarrow S^3/\mathbb{Z}_2$  is an 2-fold cyclic branched covering branched over  $p(f) = f_*$  and  $p(\ell) = \ell_*$  is also an oriented link in the orbit space  $S^3/\mathbb{Z}_2 \cong S^3$ , which is called the *factor link* of  $\ell$ .

In this paper, we express a relationship between the modified Goeritz matrices of a 2-periodic link  $\ell$  and those of its factor link  $\ell_*$  and the link  $\ell_* \cup \bar{f}_*$ . As an application, we give an alternative proof of the Gordon and Litherland's formula ([3]):  $\sigma(\ell) - Lk(\ell, \bar{f}) = \sigma(\ell_*) + \sigma(\ell_* \cup \bar{f}_*)$  for the signature  $\sigma(\ell)$  of a 2-periodic null homologous oriented link  $\ell$  in a closed 3-manifold  $M$  in the case of a 2-periodic oriented link in  $S^3$ . We also show that  $n(\ell) = n(\ell_*) + n(\ell_* \cup \bar{f}_*) - 1$ , where  $n(\ell)$  denotes the nullity of an oriented link  $\ell$  and  $\bar{f}_*$  denotes the knot  $f_*$  with an arbitrary orientation.

### 2. Preliminaries

Let  $\ell$  be an oriented link in  $S^3$  and let  $L$  be its link diagram in the plane  $\mathbb{R}^2 \subset \mathbb{R}^3 = S^3 - \{\infty\}$ . Colour the regions of  $\mathbb{R}^2 - L$  alternately black and white. Denote the white regions by  $X_0, X_1, \dots, X_w$  (We always take the unbounded region to be white and denote it by  $X_0$ ). Let  $C(L)$  be the set of all crossings of  $L$ . Assign an incidence number  $\eta(c) = \pm 1$  to each crossing  $c \in C(L)$  as in Fig. 2.1 and define a crossing  $c \in C(L)$  to be of *type I* or *type II* as indicated in Fig. 2.1.

Let  $S(L)$  denote the compact surface with boundary  $L$ , more precisely,  $S(L)$  is built up out of discs and bands. Each disc lies in  $S^2 = \mathbb{R}^2 \cup \{\infty\}$  and is a closed black region less a small neighbourhood of each crossing. Each crossing gives a small half-twisted band. Let  $\beta_0(L)$  denote the number of the connected components of the surface  $S(L)$ .

Let  $G'(L) = (g_{ij})_{0 \leq i, j \leq w}$ , where  $g_{ij} = -\sum_{c \in C_L(X_i, X_j)} \eta(c)$  for  $i \neq j$  and  $g_{ii} = \sum_{c \in C_L(X_i)} \eta(c)$ , where  $C_L(X_i) = \{c \in C(L) | c \text{ is incident to } X_i\}$  and  $C_L(X_i, X_j) = \{c \in C(L) | c \text{ is incident to both } X_i \text{ and } X_j\}$ .

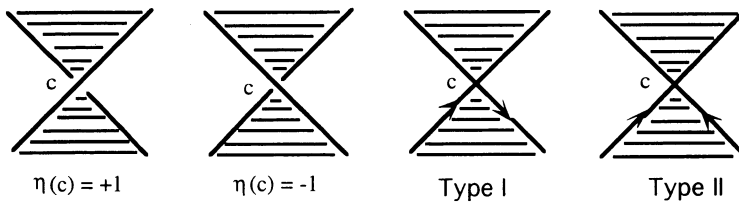


Fig. 2.1.

The principal minor  $G(L) = (g_{ij})_{1 \leq i, j \leq w}$  of  $G'(L)$  is called the *Goeritz matrix* of  $\ell$  associated to the diagram  $L([1], [2])$ .

Let  $C_{II}(L) = \{c_1, c_2, \dots, c_p\}$  denote the set of all crossings of type II in  $L$  and let  $A(L) = \text{diag}(-\eta(c_1), -\eta(c_2), \dots, -\eta(c_p))$  be the  $p \times p$  diagonal matrix. Then Traldi([5]) defined the *modified Goeritz matrix*  $H(L)$  of  $\ell$  associated to  $L$  by  $H(L) = G(L) \oplus A(L) \oplus B(L)$ , where  $B(L)$  denotes the  $(\beta_0(L) - 1) \times (\beta_0(L) - 1)$  zero matrix.

Two integral matrices  $H_1$  and  $H_2$  are said to be *equivalent*, denoted by  $H_1 \approx H_2$ , if they can be transformed into each other by a finite number of the following two types of transformations and their inverses:

- (I)  $H \rightarrow UHU^t$ , where  $U$  is a unimodular matrix of integers,
- (II)  $H \rightarrow H \oplus \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ .

If  $L_1$  and  $L_2$  are link diagrams of ambient isotopic links, then  $H(L_1)$  and  $H(L_2)$  are equivalent([5]).

The signature  $\sigma(\ell)$  and the nullity  $n(\ell)$  of an oriented link  $\ell$  in  $S^3$  are given by the formulas:  $\sigma(\ell) = \sigma(H(L))$ ,  $n(\ell) = n(H(L)) + 1$ , where  $\sigma(H(L))$  and  $n(H(L))$  are the signature and nullity of the matrix  $H(L)$  respectively([4], [5]). The absolute value of the determinant of the modified Goeritz matrix  $H(L)$  associated to a diagram  $L$  of a link  $\ell$  is clearly an invariant of the link type  $\ell$ , denoted by  $|\det(\ell)|$ .

### 3. The modified Goeritz matrices of 2-periodic links

Let  $\ell = k_1 \cup \dots \cup k_\mu$  be a 2-periodic oriented link of  $\mu$  components in  $S^3$ . Then we may assume that the homeomorphism of the pair  $(S^3, \ell)$  induced by the periodic  $\mathbb{Z}_2$ -action is the standard rotation  $\phi$  of  $\mathbb{R}^3$  through  $\pi$  about the  $z$ -axis and hence the fixed point set  $f$  is the  $z$ -axis  $\cup \infty$ . We choose the standard orientation on the  $z$ -axis and denote it by  $\bar{f}$ . Define  $Lk(\ell, \bar{f}) = \sum_{i=1}^{\mu} \text{link}(k_i, \bar{f})$ , where  $\text{link}(k_i, \bar{f})$  denotes the linking number of  $k_i$  and  $\bar{f}$ .

Applying an isotopy deformation if necessary, we may assume that  $\ell$  is represented by a 2-periodic oriented diagram  $L$  in an annulus in  $\mathbb{R}^2$ , which is divided into 2 pieces  $L_1$  and  $L_2$  such that  $\varphi(L_1) = L_2, \varphi(L_2) = L_1$ , where  $\varphi$  is the rotation of  $\mathbb{R}^2$  through  $\pi$  about the origin. Let  $a_1, a_2, \dots, a_r, a_{r+1}, \dots, a_m$  denote the intersec-

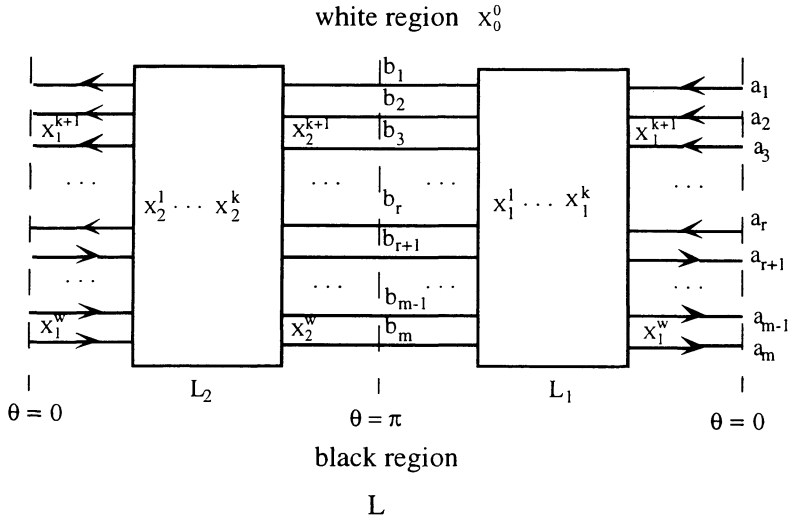


Fig. 3.1.

tion points of  $L$  with the line  $\theta = 0$  and let  $\varphi(a_i) = b_i, i = 1, 2, \dots, m$ , as shown in Fig. 3.1. Note that  $Lk(\ell, \bar{f}) = 2r - m$ .

Colour the regions of  $\mathbb{R}^2 - L$  alternately black and white. Without the loss of generality we may assume that the surface  $S(L)$  is connected and the orientation of  $\ell$  is as indicated in Fig. 3.1. If not, by applying ambient isotopy deformations in  $\mathbb{R}^3 - f$ , i.e., the Reidemeister moves in  $\mathbb{R}^2 - \{0\}$  (hence  $Lk(\ell, \bar{f})$  is not changed),  $L$  can be deformed to  $L'$  so that  $L'$  is also a 2-periodic link diagram of  $\ell$ , which has the required orientation and  $S(L')$  is connected. Now let  $\varphi_* : \mathbb{R}^2 \rightarrow \mathbb{R}^2/\varphi(\cong \mathbb{R}^2)$  be the quotient map and let  $\varphi_*(L) = L_*$ . Then  $L_*$  is a link diagram of the factor link  $\ell_*$  of  $\ell$ .

In the case of  $Lk(\ell, \bar{f}) \equiv 1 \pmod{2}$  we denote the white regions as follows. We denote the unbounded white region by  $X_0^0$ . Notice that the bounded region containing the origin is then a black region. Let  $X_1^1, X_1^2, \dots, X_1^k$  denote the white regions in  $L_1 \subset L$  which do not intersect the line  $\theta = 0$ , and let  $X_1^{k+1}, X_1^{k+2}, \dots, X_1^w$  ( $w = k + (m - 1)/2$ ) denote the white regions in  $L$  which intersect the line  $\theta = 0$ . For each  $j = 1, 2, \dots, w$ , let  $X_2^j = \varphi(X_1^j)$ . Note that  $\varphi(X_0^0) = X_0^0$  (see Fig. 3.1). For  $p \neq q$  or  $i \neq j$ , let  $g_{pq}^{ij} = -\sum_{c \in C_L(X_p^i, X_q^j)} \eta(c)$ . For  $p = q$  and  $i = j$ , let  $g_{pp}^{ii} = \sum_{c \in C_L(X_p^i)} \eta(c)$ . Denote  $M = (g_{11}^{ij})_{1 \leq i, j \leq k}$ ,  $N = (g_{11}^{ij})_{k+1 \leq i, j \leq w}$ ,  $P = (g_{11}^{ij})_{1 \leq i \leq k, k+1 \leq j \leq w}$ ,  $Q = (g_{12}^{ij})_{1 \leq i \leq k, k+1 \leq j \leq w}$ ,  $R = (g_{12}^{ij})_{k+1 \leq i, j \leq w}$ ,  $A(L_1) = \text{diag}(-\eta(c_1), -\eta(c_2), \dots, -\eta(c_s))$ , where  $c_i \in C_{II}(L_1)$ , and  $I_k$  the  $k \times k$  ( $k \geq 1$ ) identity matrix.

In these notations we have the following Lemma 3.1 and Lemma 3.2.

**Lemma 3.1.** *Let  $\ell$  be an oriented 2-periodic link with  $Lk(\ell, \bar{f}) \equiv 1 \pmod{2}$  and let  $L$  be the 2-periodic diagram of  $\ell$  as shown in Fig. 3.1 and let  $\varphi_*(L) = L_*$ . Then*

(1)

$$H(L) = \begin{pmatrix} M & P & O & Q \\ P^t & N & Q^t & R \\ O & Q & M & P \\ Q^t & R & P^t & N \end{pmatrix} \oplus A(L_1) \oplus A(L_1).$$

(2)

$$TH(L)T^{-1} = U \left[ H(L_*) \oplus \begin{pmatrix} M & P - Q \\ P^t - Q^t & N - R \end{pmatrix} \oplus A(L_1) \right] U^t,$$

where  $T = I_w \otimes \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \oplus I_s \oplus I_s$  and  $U$  is a unimodular integral matrix.

*Proof.* (1) For  $p, q = 0, 1, 2$ , let  $G_{pq} = (g_{pq}^{ij})_{1 \leq i, j \leq w}$ . Then  $G'(L) = (G_{pq})_{0 \leq p, q \leq 2}$ . It is easy to see that the Goeritz matrix  $G(L)$  of  $\ell$  associated to  $L$  is the matrix of the form: for an integral matrix  $X$ ,

$$G(L) = \begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} = \begin{pmatrix} M & P & O & Q \\ P^t & N & X & R \\ O & X^t & M & P \\ Q^t & R^t & P^t & N \end{pmatrix}.$$

For  $k+1 \leq i \leq w$  and  $1 \leq j \leq w$ ,  $X_1^i$  and  $X_2^j$  are incident if and only if  $\varphi(X_1^i) = X_2^j$  and  $\varphi(X_2^j) = X_1^i$  are incident, and their corresponding crossing types are the same. Thus  $g_{12}^{ij} = g_{21}^{ij}$  for  $k+1 \leq i \leq w, 1 \leq j \leq w$ . Hence  $X = (g_{12}^{ij})_{k+1 \leq i \leq w, 1 \leq j \leq k} = (g_{21}^{ij})_{k+1 \leq i \leq w, 1 \leq j \leq k} = Q^t$  and  $R^t = (g_{21}^{ij})_{k+1 \leq i, j \leq w} = (g_{12}^{ij})_{k+1 \leq i, j \leq w} = R$ . It is obvious that  $A(L) = A(L_1) \oplus A(L_1)$ .

(2) Note that the colouring of the diagram  $L$  induces the colouring of the diagram  $L_*$  of  $\ell_*$ . Let  $X^0 = \varphi_*(X_0^0), X^j = \varphi_*(X_1^j)$  for each  $j = 1, \dots, w$ . Then  $\{X^j | j = 0, 1, \dots, w\}$  is the set of all white regions of  $L_*$ . Hence  $G(L_*) = (g_{ij})_{1 \leq i, j \leq w}$ , where  $g_{ij} = -\sum_{c \in C_{L_*}(X^i, X^j)} \eta(c)(i \neq j), g_{ii} = \sum_{c \in C_{L_*}(X^i)} \eta(c)$ . If  $1 \leq i \leq k$ , then  $X_1^i$  intersect neither the line  $\theta = 0$  nor the line  $\theta = \pi$ . So  $(g_{ij})_{1 \leq i, j \leq k} = (g_{11}^{ij})_{1 \leq i, j \leq k} = M$ . Notice that for  $k+1 \leq j \leq w$ , the region  $X^j$  of  $L_*$  is  $\varphi_*((X_1^j \cup X_2^j) \cap L_1)$ . So  $(g_{ij})_{1 \leq i \leq k, k+1 \leq j \leq w} = (g_{11}^{ij} + g_{12}^{ij})_{1 \leq i \leq k, k+1 \leq j \leq w} = P + Q$ . Let  $\bar{g}_{pq}^{ij} = -\sum_{c \in C_{L_1}(X_p^i, X_q^j)} \eta(c)$ , and  $\bar{g}_{pq}^{ij} = -\sum_{c \in C_{L_2}(X_p^i, X_q^j)} \eta(c)$ . Then  $(g_{ij})_{k+1 \leq i, j \leq w} = (\bar{g}_{11}^{ij} + \bar{g}_{22}^{ij} + \bar{g}_{12}^{ij})_{k+1 \leq i, j \leq w} = (\bar{g}_{11}^{ij} + \bar{g}_{11}^{ij})_{k+1 \leq i, j \leq w} + (g_{12}^{ij})_{k+1 \leq i, j \leq w} = N + R$ . Since  $A(L_*) = A(L_1)$ ,  $H(L_*) = \begin{pmatrix} M & P + Q \\ P^t + Q^t & N + R \end{pmatrix} \oplus A(L_1)$  and so the result follows by easy calculations.  $\square$

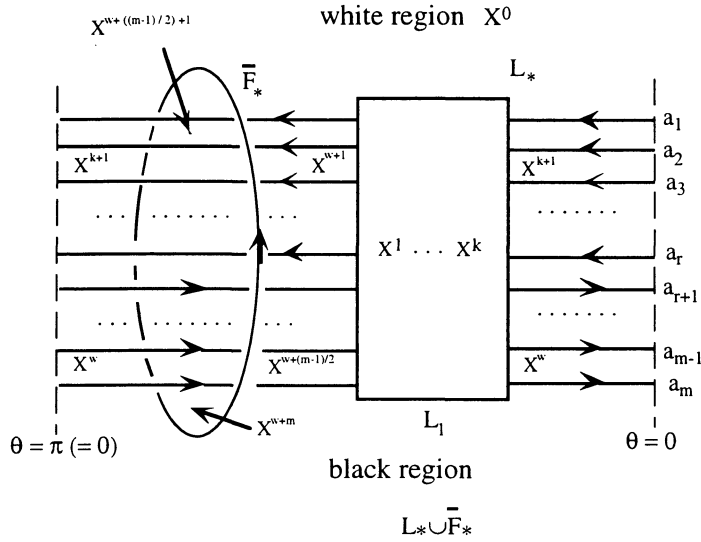


Fig. 3.2.

Now we consider the modified Goeritz matrix of the oriented link  $\ell_* \cup \bar{f}_*$  in  $S^3/\mathbb{Z}_2 \cong S^3$ , where  $\bar{f}_* = p(\bar{f})$ . From Fig. 3.1 we can obtain the diagram  $L_* \cup \bar{F}_*$  in Fig. 3.2 as a diagram of  $\ell_* \cup \bar{f}_*$  and denote the white regions of the coloured diagram  $L_* \cup \bar{F}_*$  by  $X^0, X^1, \dots, X^{w+m}$  as indicated in Fig. 3.2. Then we obtain the following

**Lemma 3.2.** *Let  $L_* \cup \bar{F}_*$  be the diagram of  $\ell_* \cup \bar{f}_*$  shown in Fig. 3.2. Then*

$$VH(L_* \cup \bar{F}_*)V^t = \begin{pmatrix} M & P - Q \\ P^t - Q^t & N - R \end{pmatrix} \oplus A(L_1) \oplus \begin{pmatrix} N_2 & I_{(m-1)/2} \\ I_{(m-1)/2} & O \end{pmatrix} \oplus E \oplus (2),$$

where  $V$  is an unimodular integral matrix,  $N_2$  is an integral matrix,  $E = -I_r \oplus I_{m-r-1}$  if  $r$  is even, and  $E = -I_{r+1} \oplus I_{m-r}$  if  $r$  is odd.

*Proof.* Let  $G'(L_* \cup \bar{F}_*) = (g_{ij})_{0 \leq i, j \leq w+m}$ , where  $g_{ij} = -\sum_{c \in C_{L_* \cup \bar{F}_*}} (X^i, X^j) \eta(c)$ . We may identify  $X^i$  in  $L_* \cup \bar{F}_*$  with  $X_1^i$  in  $L$  for  $i = 1, \dots, w$ . Let  $\bar{g}_{pq}^{ij} = -\sum_{c \in C_{L_1}(X_p^i, X_q^j)} \eta(c)$  for  $p, q = 0, 1, 2$  and  $L_1 \subset L$ . Denote  $E_1 = (g_{0j})_{1 \leq j \leq k} = (\bar{g}_{01}^{0j})_{1 \leq j \leq k}$ ,  $E_2 = (g_{0j})_{k+1 \leq j \leq w} = (\bar{g}_{01}^{0j})_{k+1 \leq j \leq w}$ ,  $E_3 = (g_{0w+j})_{1 \leq j \leq (m-1)/2} = (\bar{g}_{02}^{0k+j})_{1 \leq j \leq (m-1)/2}$ , and  $E_4 = (g_{0j})_{w+(m-1)/2+1 \leq j \leq w+m} = (-2 \ 0 \ \dots \ 0)$ . Notice that  $E_2 + E_3 = (\bar{g}_{01}^{0j})_{k+1 \leq j \leq w}$ .

For  $1 \leq i, j \leq k$ ,  $(g_{ij}) = (g_{11}^{ij}) = M$ . For  $1 \leq i \leq k$  and  $k+1 \leq j \leq w$ ,  $(g_{ij}) = (g_{11}^{ij}) = P$ . For  $1 \leq i \leq k$  and  $1 \leq j \leq (m-1)/2$ , since  $g_{iw+j} = \bar{g}_{12}^{ik+j}$ ,

$$(g_{iw+j}) = (g_{12}^{ik+j}) = (g_{12}^{st})_{1 \leq s \leq k, k+1 \leq t \leq w} = Q.$$

For  $1 \leq i \leq k$  and  $w + (m-1)/2 + 1 \leq j \leq w + m$ ,  $X^i$  and  $X^j$  are not incident for each pair of  $i$  and  $j$ . Thus  $(g_{ij}) = O$ , the  $k \times (m-1)/2$  zero matrix.

If  $k+1 \leq i \leq w$  and  $w + (m-1)/2 + 1 \leq j \leq w + m$ , then the regions  $X^i$  and  $X^j$  are incident exactly at one crossing only for  $j = w + (m-1)/2 + i$  and  $j = w + (m-1)/2 + i + 1$  whose incidence number is  $-1$  for  $j = w + (m-1)/2 + i$  and  $1$  for  $j = w + (m-1)/2 + i + 1$ . So  $(g_{ij}) = J$ , where  $J$  is the  $(m-1)/2 \times ((m-1)/2 + 1)$  matrix of the form: for  $m = 1$ ,  $J = \emptyset$  and for  $m > 1$ ,

$$J = \begin{pmatrix} 1 & -1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & -1 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1 & -1 \end{pmatrix}$$

Similarly for  $w+1 \leq i \leq w + (m-1)/2$  and  $w + (m-1)/2 + 1 \leq j \leq w + m$ ,  $(g_{ij}) = J$ .

Now let  $N_1 = (g_{ij})_{k+1 \leq i, j \leq w}$ ,  $N_2 = (g_{ij})_{w+1 \leq i, j \leq w+(m-1)/2}$ ,  $R_1 = (g_{ij})_{k+1 \leq i \leq w, w+1 \leq j \leq w+(m-1)/2}$ ,  $K = (g_{ij})_{w+(m-1)/2+1 \leq i, j \leq w+m}$ . Then

$$G'(L_* \cup \bar{F}_*) = (g_{ij})_{0 \leq i, j \leq w+m} = \begin{pmatrix} -a & E_1 & E_2 & E_3 & E_4 \\ E_1^t & M & P & Q & O \\ E_2^t & P^t & N_1 & R_1 & J \\ E_3^t & Q^t & R_1^t & N_2 & J \\ E_4^t & O & J^t & J^t & K \end{pmatrix},$$

where  $a$  is the sum of all entries of the row matrices  $E_1, E_2, E_3$ , and  $E_4$ .

For  $w + (m-1)/2 + 1 \leq i, j \leq w + m$ ,  $g_{ij} = 0 (i \neq j)$  and  $g_{ii} = -d_i$ , where  $d_i$  is the sum of all the  $i$ -th rows of  $E_4^t$  and  $2J^t$ . But  $d_{w+m} = -2$  and the other  $d_i$ 's are all zero. Hence  $K = \begin{pmatrix} O & O \\ O & 2 \end{pmatrix}$ .

Now by deleting the first row and the first column of  $G'(L_* \cup \bar{F}_*)$ , we obtain the Goeritz matrix  $G(L_* \cup \bar{F}_*)$  of  $\ell_* \cup \bar{f}_*$  associated to  $L_* \cup \bar{F}_*$ .

Let  $E$  be the diagonal matrix whose diagonal entry corresponding to each type II crossing  $c$  in the diagram  $L_* \cup \bar{F}_*$  generated by intersecting  $L_*$  with  $\bar{F}_*$  is  $-\eta(c)$ . If  $r$  is even, then the number of these type II crossings with incidence number  $+1$  is equal to  $r$  and the number of these type II crossings with incidence number  $-1$  is equal to  $m - r - 1$  and hence  $E = -I_r \oplus I_{m-r-1}$ . Similarly for  $r$  odd, we have  $E = -I_{r+1} \oplus I_{m-r}$ . Thus  $A(L_* \cup \bar{F}_*) = A(L_1) \oplus E$ . Since  $S(L_*)$  is connected,  $S(L_* \cup \bar{F}_*)$  is also connected. Hence  $B(L_* \cup \bar{F}_*)$  is the empty matrix. Therefore  $H(L_* \cup \bar{F}_*) = G(L_* \cup \bar{F}_*) \oplus A(L_* \cup \bar{F}_*) = G(L_* \cup \bar{F}_*) \oplus A(L_1) \oplus E$ .

It is not difficult to see that  $R_1 + R_1^t = R$ ,  $N_1 + N_2 = N$  and there is a unimodular integral matrix  $V$  such that  $VH(L_* \cup \bar{F}_*)V^t =$

$$\begin{pmatrix} M & P - Q \\ P^t - Q^t & N - R \end{pmatrix} \oplus A(L_1) \oplus \begin{pmatrix} N_2 & I_{(m-1)/2} \\ I_{(m-1)/2} & O \end{pmatrix} \oplus E \oplus (2). \quad \square$$

**Theorem 3.3.** *Let  $\ell$  be an oriented 2-periodic link in  $S^3$  with the fixed point set  $\bar{f}$  and let  $\ell_*$  be the factor link of  $\ell$ . Then there exist 2-periodic diagrams  $L$  and  $L_* \cup \bar{F}_*$  of  $\ell$  and  $\ell_* \cup \bar{f}_*$  satisfying the following:*

$$(1) \quad Lk(\ell, \bar{f}) \equiv 1 \pmod{2}.$$

$$S \left[ H(L) \oplus \begin{pmatrix} I_a & O \\ O & -I_b \end{pmatrix} \oplus (2) \right] S^{-1} \approx \begin{pmatrix} H(L_*) & O \\ O & H(L_* \cup \bar{F}_*) \end{pmatrix}.$$

$$(2) \quad Lk(\ell, \bar{f}) \equiv 0 \pmod{2}.$$

Let  $\ell \circ u$  denote the splittable 2-periodic link consisting of  $\ell$  and the unknot  $u$  and let  $h^-$  denote the left handed Hopf link. Then

$$S \left[ H(L \cup U) \oplus \begin{pmatrix} I_a & O \\ O & -I_{b+1} \end{pmatrix} \oplus (2) \right] S^{-1} \approx \begin{pmatrix} H(L_*) & O \\ O & H((L_* \cup \bar{F}_*) \# D^-) \end{pmatrix} \oplus (0),$$

where  $S$  is an invertible rational matrix,  $L_* = \varphi_*(L)$ ,  $L \cup U$  and  $D^-$  are diagrams of  $\ell \circ u$  and  $h^-$  respectively, and  $a - b + 1 = -Lk(\ell, \bar{f})$ .

**Proof.** (1) It follows from Lemma 3.1 and 3.2 that

$$TH(L)T^{-1} \oplus \begin{pmatrix} N_2 & I_{(m-1)/2} \\ I_{(m-1)/2} & O \end{pmatrix} \oplus E \oplus (2) = X[H(L_*) \oplus H(L_* \cup \bar{F}_*)]X^t,$$

where  $X$  is a unimodular integral matrix. Note that

$$\begin{pmatrix} N_2 & I_{(m-1)/2} \\ I_{(m-1)/2} & O \end{pmatrix} \oplus E \oplus (2) = Y \left[ \begin{pmatrix} I_a & O \\ O & -I_b \end{pmatrix} \oplus (2) \right] Y^{-1},$$

$a - b = m - 2r - 1 = -Lk(\ell, \bar{f}) - 1$ , where  $Y$  is an invertible rational matrix. This leads to the result.

(2) Let  $L \cup U$  be the 2-periodic diagram of  $\ell \circ u$  as shown in Fig. 3.3, where  $U$  denotes the diagram of the unknot  $u$ . Note that  $Lk(\ell \cup u, \bar{f}) = Lk(\ell, \bar{f}) - 1 \equiv 1 \pmod{2}$ . By (1), we obtain

$$S \left[ H(L \cup U) \oplus \begin{pmatrix} I_a & O \\ O & -I_b \end{pmatrix} \oplus (2) \right] S^{-1} = \begin{pmatrix} H(L_* \cup U_*) & O \\ O & H((L_* \cup U_*) \cup \bar{F}_*) \end{pmatrix}$$

and  $a - (b + 1) + 1 = Lk(\ell \circ u, \bar{f}) = -Lk(\ell, \bar{f}) - 1$ . Since  $L_* \cup U_*$  and  $(L_* \cup U_*) \cup \bar{F}_*$  are ambient isotopic to a splittable link diagram  $L_* \circ U_*$  and the connected sum  $(L_* \cup$



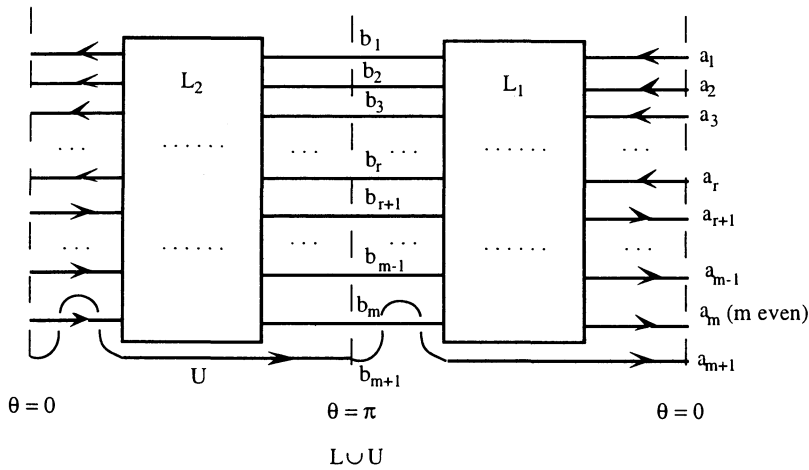


Fig. 3.3.

$\bar{F}_*) \# D^-$ ,  $H(L_* \cup U_*) \approx H(L_*) \oplus (0)$  and  $H((L_* \cup U_*) \cup \bar{F}_*) \approx H((L_* \cup \bar{F}_*) \# D^-)$ . This implies the result.  $\square$

**Corollary 3.4.** *Let  $\ell$  be a 2-periodic oriented link in  $S^3$  and let  $\ell_*$  be its factor link. Then*

- (1)  $\sigma(\ell) - Lk(\ell, \bar{f}) = \sigma(\ell_*) + \sigma(\ell_* \cup \bar{f}_*)$ .
- (2)  $n(\ell) = n(\ell_*) + n(\ell_* \cup \bar{f}_*) - 1$ , where  $\bar{f}_*$  denotes the knot  $f_*$  with an arbitrary orientation.

**Proof.** (1) **CASE I.**  $Lk(\ell, \bar{f}) \equiv 1 \pmod{2}$ . The relation of (1) in Theorem 3.3 gives that  $\sigma(\ell) + a - b + 1 = \sigma(\ell_*) + \sigma(\ell_* \cup \bar{f}_*)$ . Since  $a - b + 1 = -Lk(\ell, \bar{f})$ , the result follows.

**CASE II.**  $Lk(\ell, \bar{f}) \equiv 0 \pmod{2}$ . The relation of (2) in Theorem 3.3 gives that  $\sigma(\ell \circ u) + a - b = \sigma(\ell_*) + \sigma((\ell_* \cup \bar{f}_*) \# h^-)$ . Note that  $\sigma(\ell \circ u) = \sigma(\ell)$ ,  $\sigma((\ell_* \cup \bar{f}_*) \# h^-) = \sigma(\ell_* \cup \bar{f}_*) + \sigma(h^-) = \sigma(\ell_* \cup \bar{f}_*) - 1$  (see [4, Lemma 7.2, 7.4]). Since  $a - b = -Lk(\ell, \bar{f}) - 1$ , the result follows.

(2) Since  $n(H(L)) = n(\ell) + 1$ ,  $n(H(L \cup U)) = n(H(L \circ U)) = n(H(L)) + 1$ ,  $n(H(L_*) \oplus (0)) = n(\ell_*) + 1$ , and  $n(H((L_* \cup \bar{F}_*) \# D^-)) = n(\ell_* \cup \bar{f}_*) + n(h^-) - 1 = n(\ell_* \cup \bar{f}_*) - 1$  (see [4, Lemma 6.3, 6.4]), Theorem 3.3 implies the result.

Now reversing the orientation of the fixed point set  $f$  only changes the sign of some diagonal entries of the diagonal matrix  $A(L_* \cup \bar{F}_*)$  in  $H(L_* \cup \bar{F}_*)$ . This implies that the equations do not depend on the choice of the orientation of  $f$ .  $\square$

**REMARK 3.5.** Let  $k_* \cup f_*$  be an oriented link in  $S^3$ , where  $f_*$  is the unknot. Then the inverse image of  $k_*$  in the 2-fold cyclic cover  $M_2(f_*)$  branched over  $f_*$  gives a 2-

periodic oriented link  $k$  in  $S^3$ . Clearly any 2-periodic link in  $S^3$  arises in this way. If  $k_*$  is a knot, then by Corollary 3.4(2)  $n(k) = n(k_* \cup f_*)$ .

It is well known that  $|\det(G(K))| = \text{order}(H_1(M_2(k); \mathbb{Z}))$  for any diagram  $K$  of a knot  $k$ , where  $\text{order}(H_1(M_2(k); \mathbb{Z}))$  denotes the order of the first homology group  $H_1(M_2(k); \mathbb{Z})$  of the 2-fold cyclic cover  $M_2(k)$  branched over  $k$  with integer coefficients. Now if  $k_*$  is a knot and  $Lk(k_*, f_*)$  is an odd integer, then  $k$  is also a knot and  $n(k) = n(k_* \cup f_*) = 1$ . Furthermore,  $|\det(H(K))| = |\det(G(K))|$  for any diagram  $K$  of the knot  $k$ . So by Theorem 3.3 (1) we obtain that

$$\text{order}(H_1(M_2(k); \mathbb{Z})) = \frac{1}{2} \text{order}(H_1(M_2(k_*); \mathbb{Z})) |\det(k_* \cup f_*)|.$$

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