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Half-time heat map reveals ultrasonic effects on morphology and kinetics of amyloidogenic aggregation reaction

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Abstract

1
2 Ultrasonication has been recently adopted in amyloid-fibril assays because of its
3 ability to accelerate the fibril formation, being promising in the early-stage diagnosis of
4 amyloidoses in clinical applications. Although applications of this technique are expand-
5 ing in the field of protein science, its effects on the aggregation reactions of amyloido-
6 genic proteins are poorly understood. In this study, we comprehensively investigate the
7 morphology and structure of resultant aggregates, kinetics of fibril formation, and seed-
8 detection sensitivity under ultrasonication using β_2 -microglobulin and compare these

9 characteristics under shaking, which has been traditionally adopted in amyloid-fibril
10 assays. To discuss the ultrasonic effects on the amyloid-fibril formation, we propose the
11 half-time heat map, which describes the phase diagram of the aggregation reaction of
12 amyloidogenic proteins. The experimental results show that ultrasonication greatly pro-
13 motes fibril formation, especially in dilute monomer solutions, induces short-dispersed
14 fibrils, and is capable of detecting ultratrace seeds with a detection limit of 10 fM.
15 Furthermore, we indicate that ultrasonication highly alters an energy landscape of the
16 aggregation reaction due to the effect of ultrasonic cavitation. These insights contribute
17 not only to our understanding of the effects of agitations on amyloidogenic aggregation
18 reactions, but also to their effective application in the clinical diagnosis of amyloidoses.

19 **Keywords**

20 amyloid fibril, ultrasonication, shaking, supersaturation, seed detection, ultrasonic cavitation

21 **Introduction**

22 Amyloid fibrils are aggregates of proteins that exhibit a needle-like morphology(1) and
23 are deeply involved in the pathology of amyloidoses, including Alzheimer’s disease, Parkin-
24 son’s disease, and dialysis-related amyloidosis(2). During the progress of amyloidosis, the
25 causative proteins change their state from soluble monomers into toxic insoluble fibrils, which
26 deposit on biological tissues and injure the tissues(3). Once the damage causes malfunction
27 of tissues, it is difficult to completely cure them. In addition to amyloid fibrils, recent stud-
28 ies indicate that oligomeric aggregates, which appear in the early stage of the aggregation
29 reaction, are also toxic agents in amyloidoses(4). Thus, it is critical to prevent formation of
30 the toxic aggregates before the onset of clinical symptoms for mitigating amyloidoses.

31 Soluble monomers form insoluble fibrils through the primary-nucleation and subsequent
32 fibril-elongation reactions(5). This process resembles the crystallization of an organic com-

33 pound in a supersaturated solution(6–8). The nucleation takes a long time, while the fibril
34 elongation reaction rapidly proceeds from nuclei, as indicated by the seeding reaction(9).
35 Because of the high energy barrier of the primary nucleation, experiments investigating
36 physicochemical properties of amyloid fibrils require a long time. Therefore, a number of
37 experimental studies adopted external stimuli to accelerate the fibril-formation reaction(10).

38 Shaking is one of the most common methods to accelerate the aggregation reaction(11).
39 Especially, the intermittent shaking agitation is used in the real-time quaking-induced conver-
40 sion (RT-QuIC) method(12), which receives attention as the early-stage diagnosis method of
41 amyloidoses(13). Recently, ultrasonication has also been utilized as an acceleration method
42 in amyloid-fibril assays. Ultrasonication has been used for fragmentating fibrils(14) and
43 for the early-stage diagnosis of prion disease, which is called protein misfolding cyclic am-
44 plification (PMCA) method(15). Furthermore, our research group found that ultrasoni-
45 cation can drastically accelerate the spontaneous fibril formation from monomer solution
46 even without the seeds(16), and we have developed ultrasonic instruments for amyloid-
47 fibril assays(17, 18). Herein, our ultrasonic instruments mainly evaluate the spontaneous
48 fibril formation, whereas the RT-QuIC and PMCA methods detect the preexisting seeds
49 by amplification. (Note that our ultrasonic instruments are also applicable for the seed
50 detection(18, 19).) Although ultrasonication and shaking are promising in the early-stage
51 diagnosis of amyloidoses(20), the difference between their effects on the amyloidogenic ag-
52 gregation reaction has not been understood.

53 In this study, we investigate the effects of ultrasonication and shaking on the aggregation
54 reaction of β_2 -microglobulin (β_2m), which has been widely used in amyloid-fibril studies due
55 to its clinical importance in dialysis-related amyloidosis(21). For the ultrasonication exper-
56 iments, we used the laboratory-built ultrasonic instrument for the amyloid-fibril assays(18).
57 It allows us to irradiate the sample solutions in a 96-well microplate with ultrasonic wave
58 with the optimum frequency of 30 kHz, at which the maximum acceleration efficiency was
59 obtained for the fibril-formation reaction(22). In this study, we further refine this instrument

60 to improve its reproducibility and study features of both spontaneous and seed-dependent
61 amyloid-fibril formation induced by ultrasonication.

62 We systematically investigate relationships among morphology of resultant aggregates,
63 the acceleration degree to the fibril-formation reaction, and β 2m monomer and salt concen-
64 trations under quiescence, shaking, and ultrasonication. The properties of the aggregation
65 reaction are discussed by a half-time heat map, which emerges the changes in the phase di-
66 agram by the agitations. The result reveals several characteristics in ultrasonically induced
67 aggregation reaction that have not been previously known. For example, the amount of
68 amorphous aggregates formed along with fibrils is reduced by ultrasonication, and ultrasoni-
69 cation shows a high acceleration effect on the fibril formation, especially, for dilute monomer
70 solutions.

71 Furthermore, we perform the seeding experiments with ultratrace seeds under various
72 agitations. The result shows that ultrasonication is capable of detecting the seeds with
73 much lower concentration than the detection limit achieved by shaking, demonstrating the
74 advantage of ultrasonication in the early-stage diagnosis of amyloidoses.

75 Finally, we discuss the physicochemical mechanism behind the acceleration capability of
76 ultrasonication. Our results demonstrate that ultrasonication preferentially induces the fib-
77 ril formation through monomer condensation by ultrasonic cavitation, dissolves kinetically
78 trapped amorphous aggregates, and improves seed detection limit by selective seed fragmen-
79 tation. These insights contribute to effectively applying the agitation to the clinical diagnosis
80 of amyloidoses.

81 Results and Discussion

82 Reproducible amyloid-fibril assay using laboratory-built ultrasonic 83 instrument

84 Because the fibril-formation kinetics is highly sensitive to the ultrasonic condition(22),
85 achieving the high reproducible assay with ultrasonication requires accurate control of the
86 acoustic condition in the sample solution. As described in SI appendix 1 in detail, we orig-
87 inally developed the ultrasonic instrument for the amyloid-fibril assays (Figure 1)(18). In
88 this study, we further improved the uniformity of the assay in terms of the half time (t_{half})
89 of the thioflavin-T (ThT) fluorescence curves, which is the time when the ThT fluorescence
90 intensity becomes half of its maximum. Consequently, the ultrasonication assay achieved the
91 reproducibility in the ThT kinetics (Figure S1), which is equivalent to that of the shaking
92 assay, allowing us to discuss the difference in the effects on the amyloidogenic aggregation
93 reactions between ultrasonication and shaking.

94 Difference in aggregates formed under various agitations

95 We analyzed the secondary structure and morphology of aggregates formed in solutions
96 with various salt concentrations under quiescence, shaking, and ultrasonication. The mor-
97 phology of aggregates, including amyloid fibrils and amorphous aggregates, formed from
98 supersaturated solutions depends on the salt concentration(6, 23), and we varied the salt
99 concentration to evaluate how the agitations affect the supersaturation state. The circular
100 dichroism (CD) spectra of the prepared acidic monomer solutions with the monomer con-
101 centration of 0.3 mg/mL in the 20-mM HCl with different salt concentrations are shown in
102 Figure 2a, which are similar regardless of the salt concentration and are identical to that
103 of the acid denatured β 2m monomers(23). Figure 2b-g show the CD spectra and atomic
104 force microscopy (AFM) images of the formed aggregates under quiescence, shaking, and
105 ultrasonication. In addition, the ThT time-course curves during the aggregation reaction

106 are shown in Figure 3a-c.

107 Under quiescence, the monomer in the solution with 30-mM NaCl remains soluble for
108 100 h. At increased salt concentrations (i.e., 80, 150, and 240 mM), their CD spectra
109 show a negative peak near 218 nm, implicating the formation of the fibrils with β -sheet
110 structures(24). The AFM images demonstrate the formation of the fibril-like morphology
111 with the diameter of ~ 7 nm (Figure S2), and the ThT time-course curves follow a typical
112 sigmoidal function. We note that the ThT time-course of the 240-mM NaCl (green curves in
113 Figure 3a) shows a deformed sigmoidal curve, where the ThT level continues to increase after
114 the first precipitous increase. In addition, the AFM image shows small globular aggregates
115 along with the fibrils. These observations indicate formation of amorphous aggregates in this
116 condition. Further increase in the salt concentration (i.e., 480 mM) induces short worm-like
117 aggregates, and its solution shows an aberrant CD spectrum that is quite different from the
118 typical spectrum observed for a solution with fibrils. In addition, the ThT fluorescence in-
119 tensity of the solution with these aggregates remains low, suggesting that aggregates formed
120 in the 480-mM-NaCl solution are the aggregates different from the amyloid fibrils. In this
121 study, the aggregates are categorized into two groups, the amyloid fibrils and amorphous
122 aggregates. The former is characterized by the negative peak near 218 nm in the CD spec-
123 trum, the height between 5 to 10 nm in the AFM image, and the sigmoidal function in the
124 ThT fluorescence time-course curve. In contrast, we consider aggregates different from the
125 amyloid fibrils as the amorphous aggregates. As discussed below, the amorphous aggregates
126 include metastable curvilinear fibrils(25, 26). These definitions are important to discuss the
127 energy landscape of the amyloidogenic aggregation reaction under agitations.

128 Concerning the shaking assay, the morphology of the formed aggregates presents mature
129 fibrils with length over 1 μm at low salt concentrations (i.e., 30, 80, and 150 mM). Also,
130 their CD spectra and ThT time-course curves indicate formation of the β -sheet-rich amyloid
131 fibrils (Figure 2c and Figure 3b) with the diameter of ~ 7 nm (Figure S2). The amyloid
132 fibrils are formed by shaking even with 30-mM NaCl, whereas the fibril formation fails to

133 occur under quiescence with this salt concentration. The AFM image of the aggregates
134 formed in the solution with 240-mM NaCl shows small globular aggregates in addition to
135 the fibrils (Figure S3). Furthermore, the fibril-like morphology is rarely observed in 480-
136 mM-NaCl solution. Instead of the fibrils, short worm-like aggregates, so-called curvilinear
137 fibrils(25, 26), are observed in abundance. Consistent with the AFM observation, the CD
138 spectra indicate a decrease in the β -sheet structure for high-salt concentration solutions. It
139 should be noted that formation of the amorphous aggregates without ordered structures shifts
140 the CD spectrum for the β -sheet-structure spectrum to the random-coil-structure spectrum.
141 However, we observed a decrease in the negative-peak intensity at 218 nm, but not a shift
142 in the wavelength. Previously, we reported that the formation of amorphous aggregates
143 disturbs the CD spectrum measurement(27), because the amorphous aggregates scatter the
144 incident light. This fact complicates the interpretation of the CD spectrum of the sample
145 solution including amorphous aggregates, preventing us from quantitatively analyzing the
146 secondary structure from the CD spectrum(28, 29). We thus consider that the decrease in
147 the negative-peak intensity at 218 nm is caused by the formation of amorphous aggregates.

148 Ultrasonication induced the fibrils in low salt-concentration solutions (i.e., 30, 80, and
149 150 mM). Their CD spectra show that the formed short fibrils possess the β -sheet-rich
150 structure (Figure 2d). They show the short and dispersed morphology (Figure 2g), being
151 attributed to the strong fragmentation effect(30). Their diameter is identical to that of
152 fibrils formed by quiescence and shaking (Figure S2). Although the further increase in the
153 salt concentration to 240 mM results in the formation of the amorphous aggregates under
154 quiescence and shaking, they are not observed in the ultrasonicated sample (Figure 2g).
155 In addition to the AFM image, the CD spectrum and ThT time-course curve also indicate
156 formation of the β -sheet-rich fibrils (Figure 2d), that is different from the results for qui-
157 escence and shaking. Even in the sample with 480-mM NaCl, the fibrils coexist with the
158 globular amorphous aggregates. The CD spectrum also supports the fibril formation even in
159 such a high salt concentration by the 218-nm negative peak. Aggregates formed under the

160 three agitations are summarized in Table 1. It is difficult to determine the exact amount
161 of formed amorphous aggregates. However, their presence has been reliably assessed by the
162 AFM observations of the globular aggregates on the surface of the fibrils, decrease in the
163 negative-peak intensity near 218 nm in the CD spectra, and shift of the ThT time-course
164 curves from the typical sigmoidal curve to deformed sigmoidal curve, and this information
165 is sufficient to make a useful discussion. The difference in resultant aggregates under ul-
166 trasonication and shaking is attributed to the difference in their aggregation acceleration
167 mechanisms, that is discussed in the following section.

168 **ThT time-course under various agitations**

169 We performed the ThT time-course measurement for solutions with various monomer con-
170 centrations between 0.01 and 1.0 mg/mL under quiescence, shaking, and ultrasonication.
171 The results are summarized in Figure 3d-f using the t_{half} value of individual curves. Results
172 for samples, in which the ThT intensity failed to increase within 100 h, are plotted at 100 h
173 (Figure 3d-f), and we regarded that they would never cause the fibril formation. This defi-
174 nition is for the sake of expediency to discuss the metastable region in the thermodynamic
175 phase diagram below.

176 At lower salt concentrations (i.e., 30, 80, and 150 mM), the t_{half} value shows an inverse
177 correlation with the monomer concentration under quiescence and shaking, except for data of
178 $t_{half} = 100$ h, as expected (Figure 3d,e). At higher salt concentrations (i.e., 240 and 480 mM),
179 higher monomer-concentration samples show equivalent or longer t_{half} values to those of
180 lower ones. In these conditions, the resultant aggregates show the amorphous morphology.
181 In addition, Hasecke and co-workers reported that slower ThT kinetics in higher-protein-
182 concentration solutions is attributed to the amorphous (oligomeric) species(31). Therefore, a
183 longer t_{half} value in the region with higher monomer and higher salt concentrations indicates
184 formation of the amorphous aggregates. As a possible mechanism, once the amorphous
185 aggregates are partially formed, the concentration of active free monomers decreases in the

186 solution, leading to the slow kinetics of the fibril formation. Another view for the cause of
187 the slow kinetics is the slow conversion of rapidly formed amorphous aggregates to mature
188 fibrils as discussed previously(23).

189 In the case of ultrasonication (Figure 3f), only the samples with 30-mM NaCl show
190 a monotonic decrease in the t_{half} value as the increase in the monomer concentration. For
191 NaCl concentrations of 80, 150, and 240 mM, the t_{half} value decreases in the lower monomer-
192 concentration region. However, the t_{half} values are nearly the same (~ 10 h) regardless of the
193 monomer concentration in the higher monomer-concentration region, implicating that the
194 acceleration effect of ultrasonication saturates at those conditions. In the samples with 480-
195 mM NaCl, the t_{half} value increases as the monomer concentration increases due to formation
196 of the amorphous aggregates. This trend appears to be similar to that under shaking.
197 However, the t_{half} values of these samples under ultrasonication are considerably shorter
198 than those under shaking, presumably indicating the rapid conversion from the amorphous
199 aggregates to the fibrils under ultrasonication.

200 **Half-time heat map and phase diagram**

201 To visually compare the effects among under quiescence, shaking, and ultrasonication on the
202 aggregation reaction, the thermodynamic phase diagrams are depicted based on the half-time
203 (t_{half} -value) heat map (Figure 4a-c), where the four regions previously indicated in the phase
204 diagram stand out(6): (I) Soluble region, where the protein concentration is less than its
205 intrinsic solubility. In other words, the solution is unsaturated, and formation of aggregates
206 never happens. (II) Metastable region, where the protein solution is in supersaturation with
207 a concentration above the solubility, but the spontaneous nucleation fails to occur. Thus,
208 the monomers in the solution remain in the soluble state in supersaturation. (III) Labile
209 region, where the solution is in supersaturation, and amyloid fibrils are formed through
210 the spontaneous nucleation after a lag time. In this region, the dominant product is the
211 amyloid fibril. And (IV) Amorphous region, where the monomers immediately precipitate

212 as amorphous aggregates owing to a very high degree of the driving force. In this region, the
213 dominant product is the amorphous aggregate.

214 To draw the boundary between the soluble and metastable regions, the solubility of
215 acidic β 2m solution is measured as described in Materials and Methods. Because the protein
216 solubility depends on the salt concentration(32), the solubility is determined with different
217 four salt concentrations and is plotted in Figure 4a-c. As mentioned above, we here define
218 the metastable region as the condition, where the fibril formation fails to occur within 100 h.
219 The boundary between the labile and amorphous regions indicates the formation of the
220 amorphous aggregates.

221 Under shaking (Figure 4b), the metastable region becomes narrower than that under
222 quiescence (Figure 4a), showing that shaking induces downward shift of the metastable-
223 labile boundary, whereas the labile-amorphous boundary is little affected. On the other
224 hand, ultrasonication causes not only the significant downward shift of the metastable-labile
225 boundary, but also the upward shift of the labile-amorphous boundary (Figure 4c). The
226 difference in the phase diagram is attributed to the difference in the aggregation acceleration
227 mechanism between ultrasonication and shaking, as discussed in detail below.

228 It is striking that, in the labile region, although the acceleration ability of shaking is sim-
229 ilar to that of ultrasonication for solutions of high-monomer concentrations, it deteriorates
230 for solutions of monomer concentration lower than 0.1 mg/mL. The aggregation acceleration
231 by shaking results from the increase in the apparent mean-free path of the monomer move-
232 ments. Thus, shaking enhances probability of the intermolecular interactions in a condensed
233 solution by increasing a collision frequency among monomers. However, it fails to increase
234 the collision frequency in a dilute solution, diminishing the acceleration effect for nucleation.

235 In contrast, ultrasonication keeps the high acceleration ability even for dilute monomer
236 solutions. In the aggregation acceleration mechanism, the cavitation bubble works as a
237 catalyst for the nucleation reaction(22, 33); the cavitation bubbles are generated by the
238 negative pressure of ultrasound, which attract the monomers on the bubble surface during

239 the bubble expansion phase because the hydrophobic amino acid residues prefer the air-
240 water interface(34). The subsequent bubble collapse condenses the monomers attached on
241 the bubble surface into the collapse center and locally and transiently heats the solution, pro-
242 moting the nucleation reaction(22). The bubbles typically expand their radius of the order
243 of 10 μm and shrink in the radius less than 1 μm , resulting in the change in the volume by a
244 factor over 1000. This drastic volume change causes a local and instantaneous increase in the
245 monomer concentration near the bubble-collapse point, accelerating the nucleation reaction
246 even in the dilute monomer solutions. On the other hand, if the bubble surface becomes ab-
247 solutely covered with the monomers, the aggregation acceleration effect saturates. This fact
248 consistently explains the reason why the t_{half} value of the samples cannot be decreased below
249 the lower limit of ~ 10 h for solutions with high-monomer concentrations by ultrasonication
250 (Figure 3f), indicating the saturation of the acceleration effect of ultrasonication.

251 Seeding reaction under various agitations

252 We also investigated the effects of ultrasonication and shaking on the seeding reaction. Be-
253 cause the ultratrace seeds (less than 1 nM) are detected in the biological fluids in the early-
254 stage of amyloidoses(13), the rapid and sensitive seed-detection method is desired in clinical
255 applications. So far, the RT-QuIC and PMCA methods have indicated their applicability
256 for the early stage diagnosis of amyloidoses(13, 20). However, the difference between two
257 methods has not been fully discussed.

258 Here, we prepared 0.03-mg/mL $\beta 2\text{m}$ monomer solutions with 150-mM NaCl, being in the
259 labile region, and added the seeds with concentrations between 10 fM and 10 nM. (Note that
260 the seed concentration is a monomer equivalent concentration.) Representative time-course
261 curves in the ThT-fluorescence measurement under quiescence, shaking, and ultrasonication
262 are shown in Figure 5a-c, respectively. The t_{half} value at each condition is summarized in
263 Figure 5d.

264 Without seeds, the t_{half} value for the fibril-formation reaction is ~ 44 h under quiescence

265 (Figure 5a). By adding 10-nM seeds, it is shortened to be ~ 4 h, indicating that the added
266 seeds work as templates of the fibril growth. With the 10-pM seeds, the effect of seeds is
267 clearly observed. However, the seeding effect becomes insignificant in the samples with the
268 seed concentrations of 10 and 100 fM. Here, we calculated the seed detection limit by the
269 following procedure. The average and standard deviation (SD) of the t_{half} value for each
270 seed concentration were calculated, including for the monomer solution. The detection limit
271 was then determined as the minimal seed concentration whose average ± 1 SD do not overlap
272 with that of the monomer solution. Based on this definition, the seed detection limit is 1 pM
273 under quiescence.

274 The seeding reactions are accelerated for all seed concentrations by shaking (Figure 5b)
275 compared to the reaction under quiescence, resulting in the rapid seed detection. However,
276 the ThT time-course curves of the samples with the seed concentrations of 10 and 100 fM
277 overlap the curve of the samples without seeds as with the assay under quiescence. Therefore,
278 the seed detection limit is also 1 pM under shaking. This fact demonstrates that shaking
279 accelerates the fibril formation, but cannot improve the detection limit.

280 Ultrasonication results in further acceleration of the seeding reaction (Figure 5c). Note-
281 worthy, the ThT curve of the sample with the 10-fM seeds is clearly separated from that
282 without seeds, showing the seed detection limit less than 10 fM under ultrasonication. This
283 fact indicates that the seeding assay under ultrasonication is less time-consuming and more
284 sensitive than shaking, being an important advantage in clinical applications.

285 At a high seed concentration, the fibril elongation from seed termini is a dominant reac-
286 tion in the solution because the primary nucleation is bypassed by the seeds. In this case, a
287 time required for the seed detection is determined by the fibril-elongation rate, which depends
288 on rates of the two reactions; (i) attachment of monomers to the fibril termini and (ii) frag-
289 mentation of a long fibril into shorter ones. It should be noted that fragmentation of fibrils
290 possibly promotes the reassociation of fibril fragments because of the increase in the number
291 density of the fibril termini. Although this reaction can increase the average length of the

292 fibrils, the total number of the fibrils remains unchanged in the solution, meaning that this
293 reaction is not detected by the ThT fluorescence. Thus, in this study, only the attachment
294 of monomers to the fibril termini was taken into account in the fibril-elongation reaction.
295 The former can be accelerated by stirring, since it increases the frequency of the collision
296 between fibril termini and monomers. The latter increases the number of the fibril termini,
297 amplifying the active seed number. The two reactions are enhanced by both ultrasonication
298 and shaking, accelerating the seeding reaction compared to that under quiescence.

299 At an ultratrace seed concentration, the primary nucleation equally contributes to the
300 fibril-formation reaction because the number of free monomers, which fail to react with the
301 seeds, is abundant. When the seed concentration is below the detection limit, the fibril
302 formation is dominated by the primary nucleation regardless of the seeds. Therefore, it
303 is important to amplify the active seed number relative to the excess monomers through
304 fragmentation of the seeds. Under shaking, previous reports indicate that fragmentation
305 is induced by the shear-stress field caused by the fluid flow(35). The fragmentation effect
306 under shaking is less significant than under ultrasonication, while the fluid flow accelerates
307 the primary nucleation as well. Thus, shaking accelerates the fibril-formation reaction but
308 fails to improve the detection limit. On the other hand, we previously reported that ultra-
309 sonication enhances both the primary nucleation and fragmentation reactions through the
310 effects of cavitation bubbles(18). In the solution including the seeds, cavitation bubbles are
311 selectively generated on the surface of the seeds, because hydrophobic amino acid residues at
312 the fibril surface can be nuclei of the bubble(36). The generated bubble eventually collapses,
313 resulting in fragmentation of the fibrils through a generation of shockwave(37). Therefore,
314 ultrasonication can specifically break the seeds into shorter ones via ultrasonic cavitation.
315 Simultaneously, ultrasonication accelerates the primary nucleation in the solution. However,
316 the fragmentation effect preferentially occurs relative to the primary nucleation unlike the
317 case of shaking, amplifying the active seed termini even in the case of the ultratrace seeds.
318 The selective seed amplification improves the seed detection limit, being an important ad-

319 vantage in the clinical applications.

320 **Energy landscape of the aggregation reaction**

321 The systematic investigation of the morphology and structure of resultant aggregates reveals
322 that the fraction of the amorphous aggregates decreases under ultrasonication compared to
323 shaking. Usually, the amorphous aggregates originate in solutions with high protein and salt
324 concentrations(6, 26), where the driving force for precipitation is high. It is controversial
325 whether the amorphous aggregate appears as an on-pathway intermediate for the amyloid
326 fibril or it is an off-pathway dead-end product different from the fibril(38). We previously
327 indicated that the β 2m amorphous aggregate is the off-pathway aggregate, which is in a
328 kinetically-trapped state(23). Moreover, Miti and coworkers suggested the thermodynamic
329 landscape including the amorphous aggregates as an off-pathway competitor in the fibril
330 formation of lysozyme and dimeric A β variant(26, 31). We consider that the amorphous
331 aggregate is the competitive off-pathway product and schematically explain the difference in
332 the aggregation reactions under ultrasonication and shaking (Figure 6).

333 At lower salt concentrations, the free energy of soluble monomer state in a supersatur-
334 ated solution will be lower than that of the amorphous state (Figure 6a), and when the
335 driving force (i.e., protein monomer and salt concentrations) is insufficient to overcome the
336 energy barrier for nucleation, the monomers remain soluble in a supersaturation state. This
337 corresponds to the metastable region in the phase diagram (Figure 4a). In the labile region,
338 however, the monomer state eventually moves to the fibril state because of a sufficient driv-
339 ing force to overcome the barrier. Thus, the amyloid fibrils form via spontaneous nucleation
340 with a lag time in the labile region. Agitation can decrease the apparent energy barrier
341 for nucleation, shifting the metastable-labile boundary downward (Figure 4b,c). After the
342 nucleation, the fibrils elongate because the longer fibrils are more stable than shorter ones.

343 The higher the salt concentration, the more stable amorphous aggregates accumulate. In
344 addition, because the energy barrier for formation of the amorphous aggregate is much lower

345 than that for fibril formation(23), the amorphous aggregates are rapidly formed without a
346 lag time(6, 39). Thus, the amorphous aggregates are preferentially formed under shaking
347 at higher salt concentrations regardless of their less stability than the fibrils, corresponding
348 to the amorphous-region in the phase diagram. As Hasecke and coworkers reported(40), the
349 kinetically trapped oligomeric aggregates can work as an inhibitor for the fibril formation.
350 Therefore, the progress of the amorphous-aggregate formation prevents the monomers from
351 forming the fibrils.

352 All experimental observations in this study can be consistently explained by considering
353 that the energy landscape can vary depending on the kinds of agitation as shown in Figure 6b.
354 In contrast to shaking, ultrasonication results in a different effect on each reaction pathway:
355 (i) For the nucleation reaction, ultrasonication drastically decreases the energy barrier for
356 nucleation through the catalytic effect of the cavitation bubbles(22) (Figure 6c). (ii) For the
357 fibril elongation reaction, shorter fibrils become more stable under the ultrasonic field relative
358 to longer ones, resulting in homogeneous fibril fragments(30, 36) (Figure 6d). (iii) Ultra-
359 sonication can dissolve the amorphous aggregates into soluble monomers by the dispersion
360 effect(41), leading to an increase in the apparent energy barrier for the amorphous-aggregate
361 formation. This effect can shift the labile-amorphous boundary upward (Figure 4c). Afore-
362 mentioned three effects contribute to the preferential formation of short fibril fragments
363 rather than longer fibrils and amorphous aggregates at an accelerated rate.

364 The difference in the effects between ultrasonication and mechanical agitation is often
365 discussed in the field of sonochemical (i.e., ultrasonically induced) crystallization. For in-
366 stance, the resultant crystals of alum under ultrasonication show morphology of smaller and
367 more uniform crystal-size distribution than that under mechanical stirring due to the effects
368 of cavitation bubbles(42), resembling our observations of the amyloid-fibril morphology.
369 Furthermore, in the synthesis of magnetite nanoparticles, it is reported that ultrasonica-
370 tion helps the synthesis of thermodynamically stable products through the dissolution of
371 metastable precipitates, which is rapidly formed in the initial phase of the synthesis(43, 44).

372 The slow formation of thermodynamically stable crystals following the rapid formation of
373 metastable amorphous precipitates is often seen in crystallization systems(45, 46), known
374 as Ostwald ripening: Supersaturated solutes first form amorphous precipitates because of
375 their lower energy barrier for precipitation (i.e., rapid kinetics). However, once the crystal
376 nucleation happens in the solution, the amorphous precipitates can transform their state
377 into stable crystals via the dissolution of constituent monomers. Actually, dissolution of
378 an amorphous precipitate proceeds with a lower reaction rate, preventing the monomers
379 from forming crystals quickly. During this process, ultrasonication assists the dissolution of
380 metastable precipitates, resulting in crystallization of the supersaturated solutes(43). When
381 we see the relationship between the amyloid fibrils and amorphous aggregates through a
382 phase diagram based on the half-time heat map, the ultrasonic effects on the amyloido-
383 genic aggregation reaction can be explained as analogous to the general mechanism in the
384 sonochemical crystallization.

385 Conclusions

386 We comprehensively investigated the effects of ultrasonication and shaking on the amyloid-
387 fibril formation, which are important methodologies in the early-stage diagnosis of amyloi-
388 doses. The ultrasonication assay was performed using the laboratory-built ultrasonic in-
389 strument, which achieved highly reproducible assay. The experimental results revealed that;
390 (i) ultrasonication preferentially formed short and dispersed fibril fragments through frag-
391 mentation of the fibrils and dissolved the metastable amorphous aggregates; (ii) although the
392 acceleration capability for the fibril formation of shaking deteriorates in the dilute monomer
393 solutions, ultrasonication effectively accelerates the fibril formation even in dilute monomer
394 solutions; and (iii) ultrasonication improves the seed detection limit with the high accelera-
395 tion effect. In the phase diagram based on the half-time heat map, ultrasonication alters the
396 boundary not only between the metastable and labile regions, but also between the labile

397 and amorphous regions. These results clearly revealed the difference in the effect between ul-
398 trasonication and shaking, contributing to the further understanding of the role of agitations
399 in the amyloid-fibril assays.

400 **Materials and Methods**

401 **Preparation of the sample solution**

402 The wild type β 2m monomers were expressed in *Escherichia Coli* and purified by the proce-
403 dure described previously(47). Note that the β 2m monomer expressed in *Escherichia Coli*
404 has a methionine residue at the N-terminal in addition to the wild-type sequence. The ob-
405 tained β 2m monomers were lyophilized and kept at -20 °C. The lyophilized powder β 2m
406 monomer was first dissolved by a 10-mM HCl solution. The β 2m concentration in the solu-
407 tion was determined using the absorbance measurement at 280 nm of $1.93 \times 10^4 \text{ M}^{-1}\cdot\text{cm}^{-1}$.
408 The monomer solution was then filtered by a membrane filter with the pore diameter of
409 220 nm (Millipore, SLGVR04NL) to remove larger aggregates if any. The monomer solution
410 was mixed with HCl, ThT dye, and NaCl. The final concentration of each component is as
411 follows; 0.01-1.0 mg/mL β 2m, 20 mM HCl, 5 μ M ThT, and 30-480 mM NaCl. In the seeding
412 assay, the preformed β 2m fibrils were used as seeds. The seed solution was treated with the
413 ultrasonic homogenizer (Misonix, XL-2000) to break them down into short fragments and
414 was immediately added to the monomer solution as previously described(18).

415 **Ultrasonication assay**

416 We have originally developed the ultrasonic instrument (Figure 1), which is optimized for
417 the accelerative amyloid-fibril assay(18). The prepared sample solutions with the volume of
418 198 μ L were dispensed into a 96-well microplate (Greiner, 675096). The sample solutions
419 were sealed by a plastic film with a thickness of 0.1 mm (WATSON, 547-KTS-HC). Piezo-
420 electric lead zirconate titanate (PZT) transducers were placed on the plastic film, where the

421 film surface is covered by an acoustic couplant gel (ECHO ultrasonics, EchoPure™). The
422 single PZT transducer was independently positioned on each sample solution. The solution
423 temperature was kept at 37 °C.

424 The PZT transducer measures $4.24 \times 4.24 \times 54 \text{ mm}^3$, whose resonant frequency (funda-
425 mental longitudinal vibration) is $\sim 30 \text{ kHz}$ that is the optimum frequency for accelerating
426 the fibril-formation reaction(22). The PZT material (Fuji Ceramics Corp., P213-C) shows a
427 piezoelectric constant d_{31} of $-1.39 \times 10^{-10} \text{ m}\cdot\text{V}^{-1}$. The transducer resonates in its longitudi-
428 nal direction by the transverse piezoelectric effect. The resonant frequency of each transducer
429 was measured by obtaining the acoustic-intensity spectrum between 28 and 32 kHz using a
430 microphone beneath the microplate. The representative spectrum is shown in the inset of
431 Figure 1. Each transducer was driven by tone bursts for 0.3 s and remained unexcited for
432 28.5 s in a sequential manner (a duty ratio is $\sim 1\%$).

433 During the ultrasonication assay, the ThT fluorescence intensity of each sample solution
434 was measured by the fluorospectrometer incorporated in the instrument. Because the ThT
435 molecules specifically bind to the fibrils and emit strong fluorescence(48), an increase in the
436 ThT fluorescence intensity corresponds to the increase in the fibril amount in the solution.
437 The excitation and emission wavelengths of the ThT fluorescence measurement are 450 and
438 492 nm, respectively. The fluorescence measurement was performed every 10 min.

439 **Shaking assay**

440 We used the commercially available microplate reader (Corona Electric Co., SH-9000) for
441 the assay under the shaking agitation. The 100- μL sample solutions were dispensed into the
442 96-well plate. The 96-well plate was shaken at 850 rpm with the cycle of 1-min shaking and
443 29-min incubation, which is typically adopted in the RT-QuIC assays(13, 49). The ThT
444 fluorescence intensity of each sample solution was measured every 10 min with the excitation
445 and emission wavelengths of 450 and 492 nm, respectively. The sample solution temperature
446 was kept at 37 °C.

447 **AFM observation**

448 The morphology of the formed aggregates was observed using an AFM system (HITACHI,
449 AFM5000II). The sample solution with the β 2m concentration of 0.3 mg/mL was diluted
450 10-fold with ultrapure water, and 15- μ L solution was incubated on a mica plate for 1 min.
451 After the surface was dried, AFM images were acquired using the tapping mode.

452 **CD spectrum measurement**

453 The secondary structure of the formed aggregates was analyzed using the CD spectrometer
454 (JASCO Corp., J-820). After the aggregation assay, the concentraion of the sample solution
455 was adjusted to 0.15 mg/mL by diluting it with ultrapure water. The 170- μ L solution was
456 injected into a quartz cell (JASCO Corp., 1103-0172) with a light path of 1 mm. The
457 spectrum was acquired in the wavelength between 200 and 250 nm.

458 **Measurement of solubility**

459 When the solution reaches the equilibrium state after precipitation, the soluble monomer
460 concentration equals the solubility. Thus, we determined the solubility by ultracentrifugating
461 the fibril-formed solution to settle down the insoluble aggregates, and then, quantitating the
462 monomer concentration in the supernatant using an enzyme-linked immunosorbent assay
463 (ELISA) method(50).

464 We previously confirmed that aggregates, including fibrils and amorphous aggregates,
465 in the solution settle out and form the pellet by the ultracentrifugation with the condition
466 of $72,000\times g$ for 30 min(50). In this study, the sample solution after the fibril-formation
467 experiment using ultrasonication was ultracentrifugated for 60 min with $100,000\times g$, which
468 is the modified condition to settle out smaller aggregates as possible. We expect that the
469 solution only monomers is obtained from the supernatant. However, very small oligomers,
470 like dimers, could remain in the supernatant, which could affect the solubility measurement,

471 although their effect will be insignificant in the discussion because of the wide monomer-
472 concentration range of solubility measurement. After ultracentrifugation, the supernatant
473 was recovered. Because the supernatant solution was in the acidic condition, the pH of the
474 solution was adjusted to the neutral pH using 10-mM phosphate buffer (pH 7.4). After the
475 pH adjustment, the β 2m monomer concentration in the supernatant was quantitated using
476 commercially-available kit (hB2M, Parameter Kit, R&D Systems, Inc.).

477 **Conflicts of interest**

478 There are no conflicts to declare.

479 **Author Contribution**

480 ^{||}K. N. and H. T. contributed equally. K. N. and H. T. performed ultrasonication and shaking
481 experiments, seeding experiments, analyzed the data, and wrote the manuscript. K. Y. and
482 M. S. established the experimental methods and performed AFM observation, CD spectrum
483 measurement, and solubility measurement. K. I. and H. M. contributed to the construction
484 of the optimized sonoreactor. Y. G. designed the research work and revised the manuscript.
485 H. O., the corresponding author, was responsible for managing the whole research project
486 and wrote the manuscript.

487 **Supporting Information**

488 The Supporting Information is available free of charge.

- 489 • SI Appendix 1: Reproducible amyloid-fibril assay using laboratory-built
490 ultrasonic instrument, where the reproducibility of quiescent incubation,
491 shaking, and ultrasonication assay is discussed (Figure S1).
- 492 • SI Appendix 2: Detailed analysis of AFM images (Figures S2 and S3).

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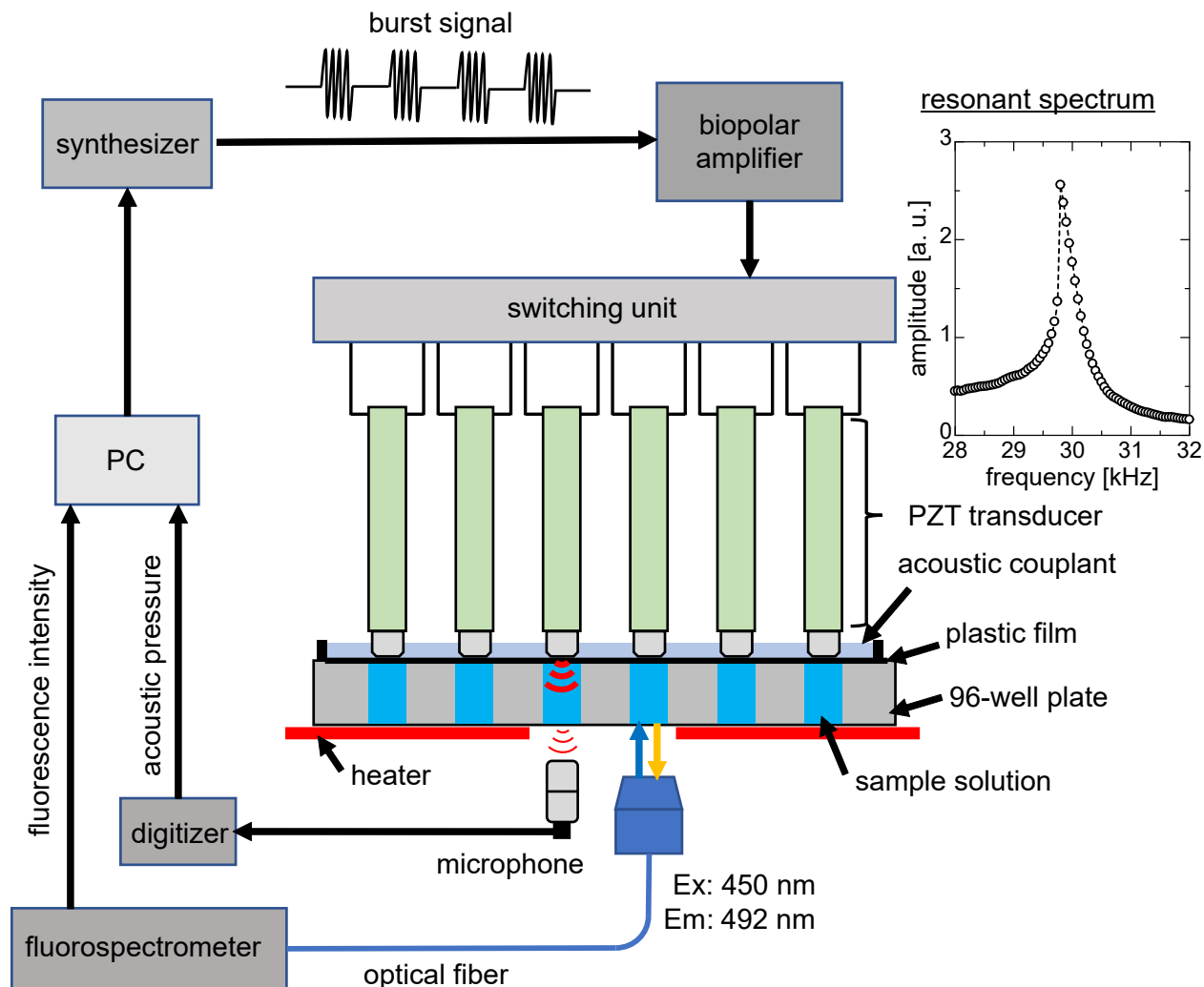


Figure 1: Schematic illustration of the laboratory-built ultrasonic instrument. The PZT transducer with the resonant frequency of 30 kHz is placed on each well of the 96-well plate with the sample solution with a volume of 198 μL . The sample solution is sealed by a plastic film. The ultrasound generated by the PZT transducer irradiates the sample solution through the acoustic couplant and the plastic film. The ultrasonic intensity in each sample solution is measured using the microphone beneath the microplate. By obtaining the resonant spectrum of each transducer as shown in the inset, the frequency of the burst signal applied to each transducer is individually determined.

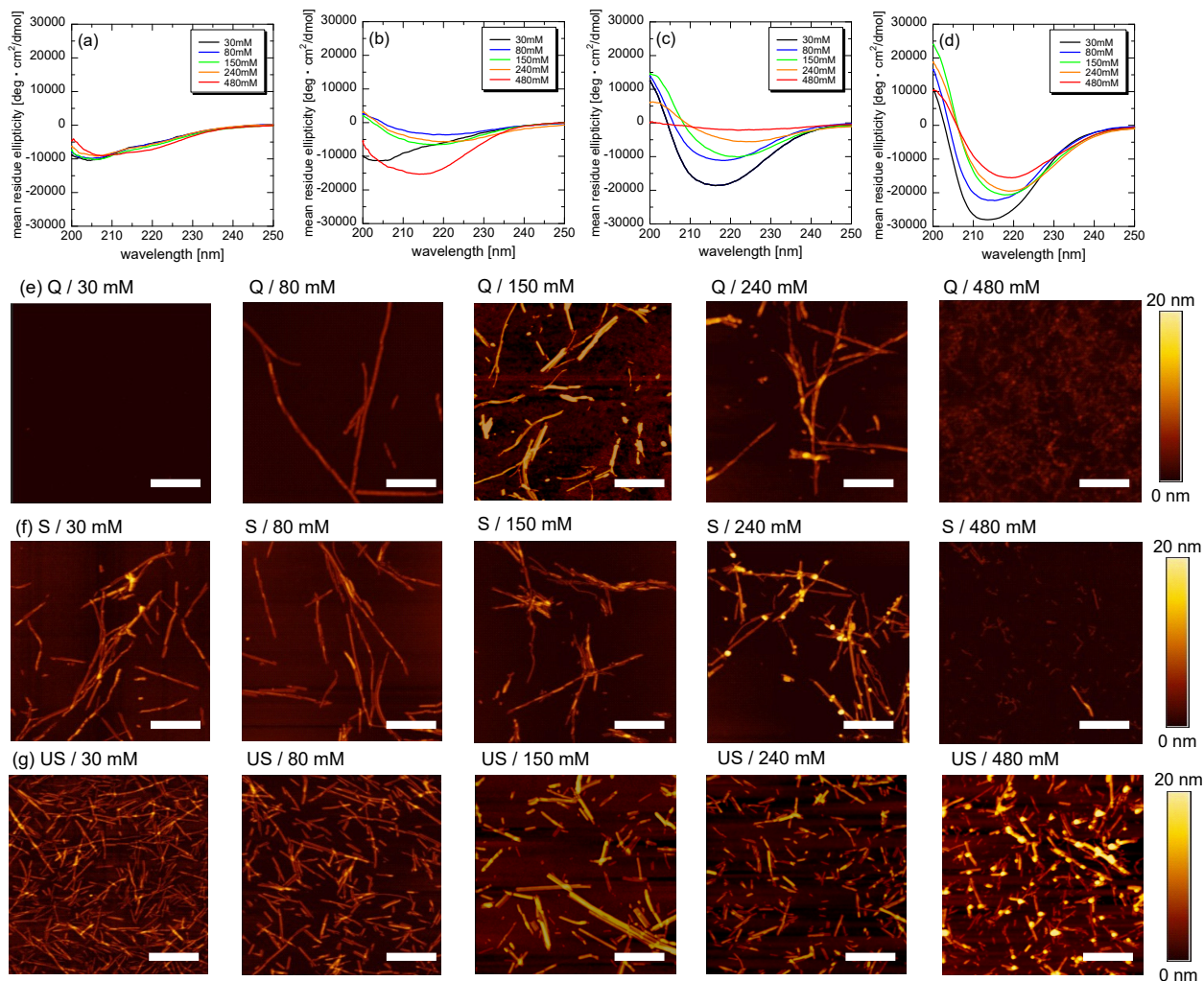


Figure 2: (a)-(d) The CD spectra of (a) initial acidic monomer solution, and the aggregates formed under (b) quiescence, (c) shaking, and (d) ultrasonication. (e)-(g) AFM images of the $\beta 2m$ aggregates formed under (e) quiescence, (f) shaking, and (g) ultrasonication with various salt concentrations, respectively. Q, S, and US are abbreviations of quiescence, shaking, and ultrasonication, respectively. The scale bars denote 500 nm. For all AFM images, the color scale was adjusted to be the same as indicated by the color scale bars (0 - 20 nm). Because the curvilinear fibrils shown in Q / 480 mM and S / 480 mM are thinner than the amyloid fibrils, the AFM images with a clearer contrast are shown in Figure S3b,c.

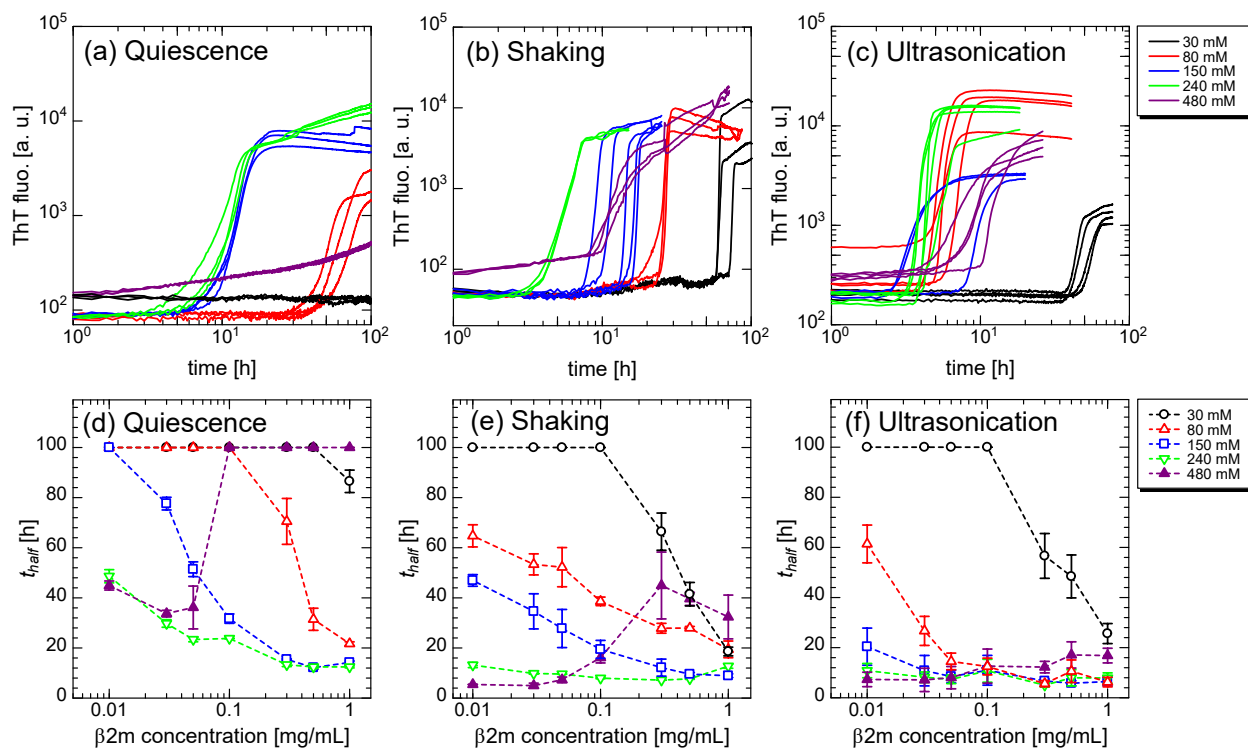


Figure 3: (a)-(c) The ThT time-course curves of the 0.3-mg/mL monomer solutions with various salt concentrations under (a) quiescence, (b) shaking, and (c) ultrasonication. ($n > 3$) (d)-(e) Relationships between the half time (t_{half} values) for the fibril formation and β 2m monomer concentration under (d) quiescence, (e) shaking, and (f) ultrasonication at various salt concentrations. The error bars denote the standard deviation among multiple solutions ($n > 3$).

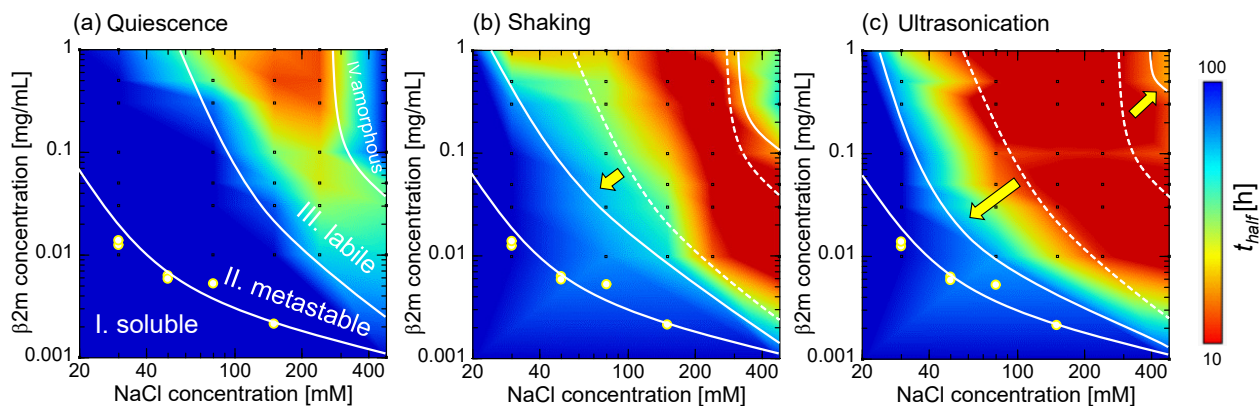


Figure 4: Half-time (t_{half} -value) heat maps of the aggregation reactions under (a) quiescence, (b) shaking, and (c) ultrasonication. The yellow dots denote the solubility of acidic β 2m monomer at each salt concentration determined by the ultracentrifugation and ELISA assay (see Materials and Methods). The dot lines in (b) and (c) indicate the phase boundaries under quiescence which are varied under agitations.

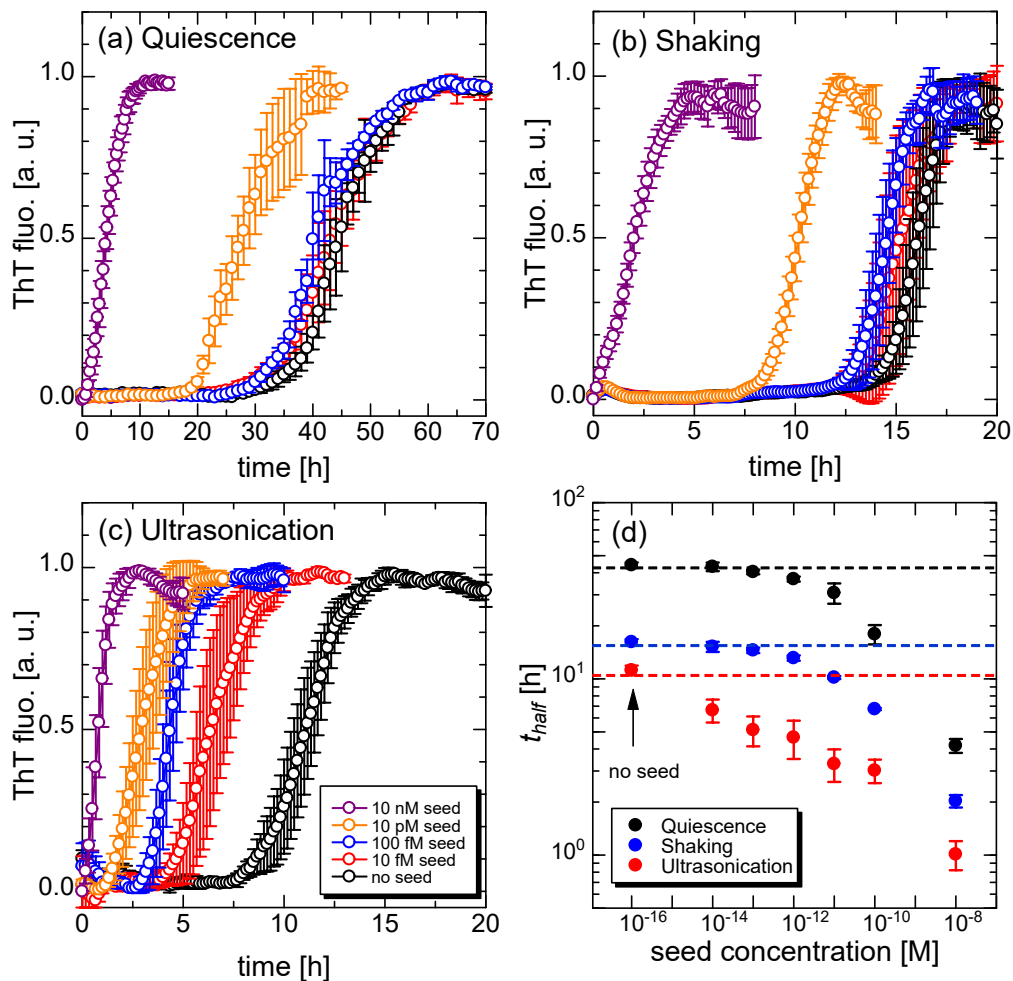


Figure 5: Time-course curves of the ThT fluorescence intensity of the samples with different seed concentrations under (a) quiescence, (b) shaking, and (c) ultrasonication, respectively. The color of each plot in the legend of (a) is common to (b) and (c). The error bars denote a deviation of the ThT intensity among multiple solutions ($n > 3$). (d) Relationship between the seed concentration and the t_{half} value under three different agitations. The error bars denote a standard deviation in the t_{half} value among multiple solutions ($n > 3$). The broken lines show a time of the average t_{half} minus the standard deviation of the sample without the seeds.

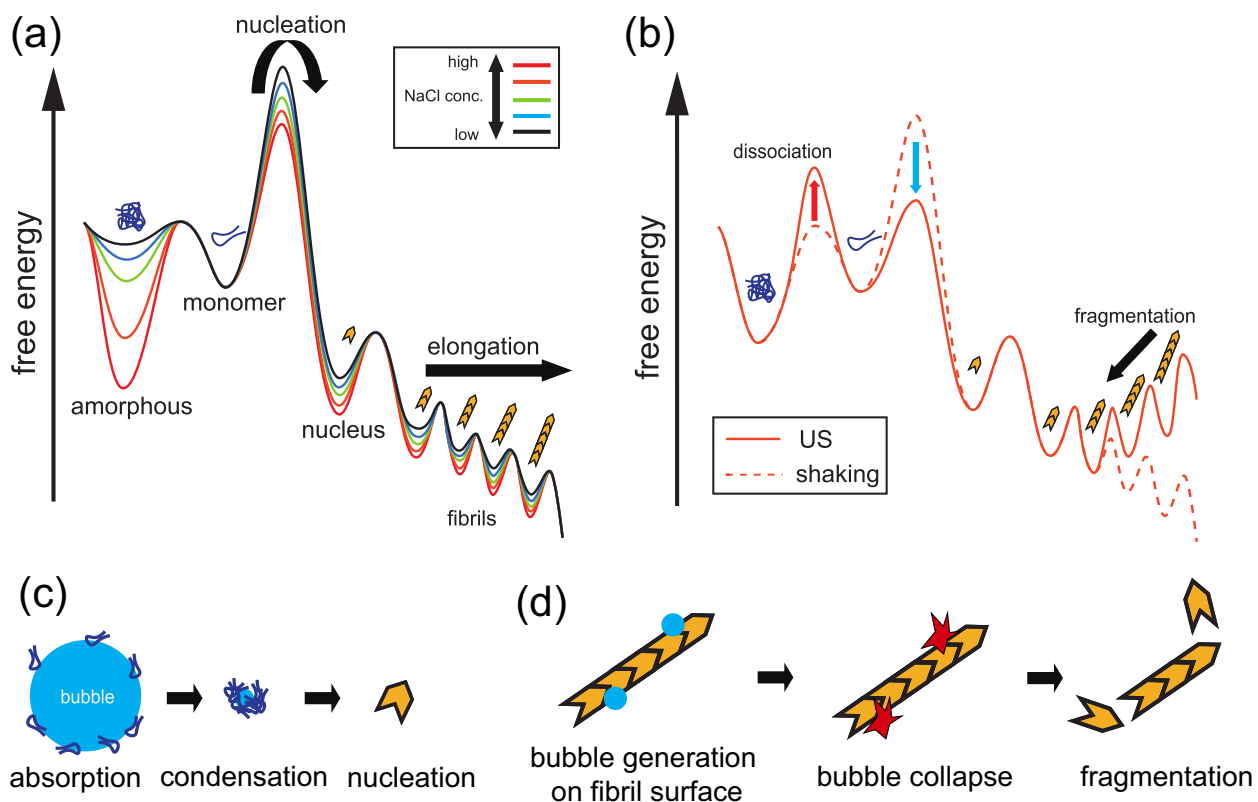


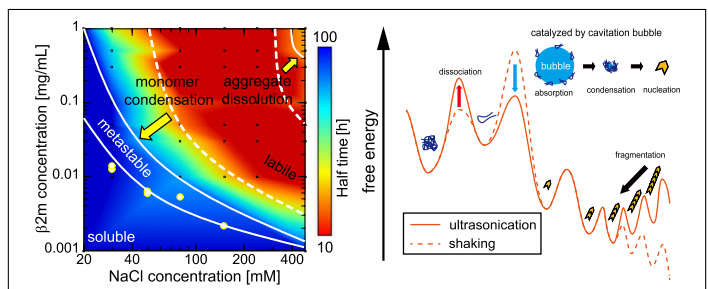
Figure 6: (a) Energy landscape of the aggregation reaction with different salt concentrations under quiescence and shaking. The colors of the curves denote the corresponding salt concentration qualitatively, as shown in the inset. (b) The difference in the energy landscape under ultrasonication (US, solid line) and shaking (broken line). The aggregation reaction starts from the monomer state. The right and left pathway from the monomer correspond to the fibril-formation and amorphous-aggregate-formation reactions, respectively. (c) Schematic illustration of the nucleation reaction catalyzed by ultrasonic cavitation. (d) Schematic illustration of the selective fragmentation of the mature fibrils by ultrasonic cavitation.

Table 1: Summary of resultant morphology of β 2m with various NaCl concentrations and agitations. A. A. is an abbreviation of amorphous aggregate.

NaCl [mM]	30	80	150	240	480
Quiescence	Monomer	Fibril	Fibril	Fibril+A. A.	A. A.
Shaking	Fibril	Fibril	Fibril	Fibril+A. A.	A. A.
Ultrasonication	Fibril	Fibril	Fibril	Fibril	Fibril+A. A.

644 Graphical TOC Entry

645



Supporting Information for

Half-time heat map reveals ultrasonic effects on morphology and kinetics of amyloidogenic aggregation reaction

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SI Appendix 1. Reproducible amyloid-fibril assay using laboratory-built ultrasonic instrument

In the amyloid-fibril assays, the reaction kinetics is often discussed using the half time (i.e., t_{half})^[1,2], which is the time when the ThT fluorescence intensity becomes the half its maximum. Because the t_{half} value varies widely even among the identical assays due to the high energy barrier for the nucleation^[3], achieving a high reproducibility is challenging in an amyloid-fibril assay. Especially for ultrasonication, precise control of the fibril-formation reaction is very difficult because the reaction is highly sensitive to the acoustic field^[3,4]. We here improved the ultrasonic instrument developed previously^[2] to overcome the difficulty in the reproducibility of the assay as demonstrated below.

The fibril-formation reaction of the β 2m monomer solution is monitored with a time-course of the ThT fluorescence intensity as shown in Figure S1. Under quiescence, the ThT intensity starts increasing after a 20-h lag time and reaches a plateau at 40 h. The AFM image of a sample incubated for 50 h under quiescence shows the typical fibril morphology (Figure 2e, Main text). By shaking, the lag time is shortened to ~10 h. In addition, the ThT intensity precipitously increases after the lag time compared to quiescence. The resultant fibrils are shown in Figure 2f (Main text). The lag phase and following evolution phase correspond to the nucleation and fibril elongation phases, respectively^[5]. Thus, shaking accelerates both the nucleation and elongation reactions of the fibril formation. By ultrasonication, the fibril formation is further accelerated. The resultant fibrils are shorter than those formed under quiescence and shaking (Figure 2g, Main text). The difference in the morphology of the formed aggregates is discussed in detail in the following section.

The t_{half} value of the quiescent assay is 31.5 ± 0.2 h, which varies with the coefficient of variation (CV) value of 0.6%. The CV value is significantly smaller than those with agitations: The CV value of the shaking assay is 10% ($t_{half} = 11.1 \pm 1.1$ h). For ultrasonication, our previous value was 22%^[2], being less reproducible than shaking. In this study, we adopted the acoustic couplant to improve the stability of the contact between the PZT transducer and plastic film on the sample solutions. Also, we removed external forces applied to each transducer for the acoustical contact except for its own weight. Consequently, the ultrasonication assay shows the t_{half} value of 6.8 ± 0.8 h (CV=12%) in this study. This improved CV value of the ultrasonication assay is comparable to that in the shaking assay, contributing to the following systematic investigation of the aggregation reactions.

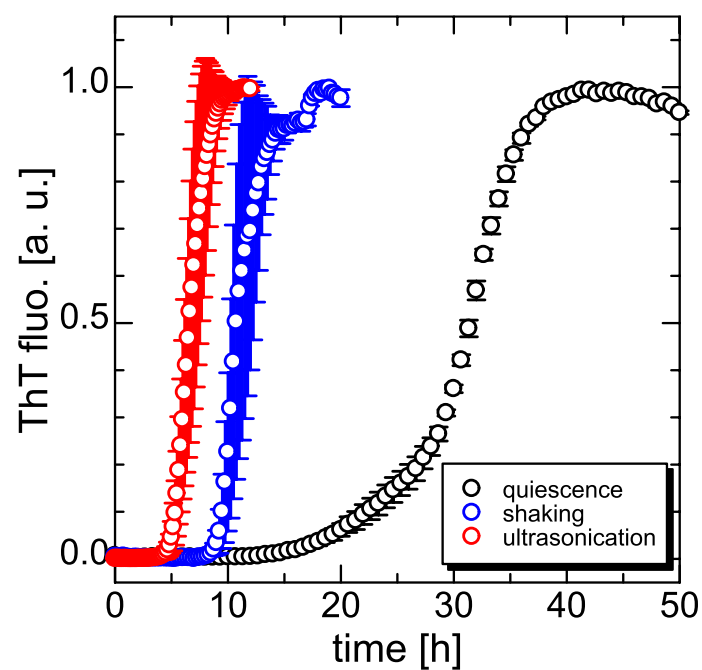


Figure S1. Time-course curves of the ThT fluorescence intensity of the 0.3-mg/mL β 2m monomer solutions with 150-mM NaCl with quiescence (black circles), shaking (blue circles), and ultrasonication (red circles). The ThT fluorescence measurement was performed for the multiple solutions ($n > 3$). The error bars denote a standard deviation of the ThT intensity at each time among multiple solutions ($n > 3$).

SI Appendix 2. Detailed analysis of the AFM images

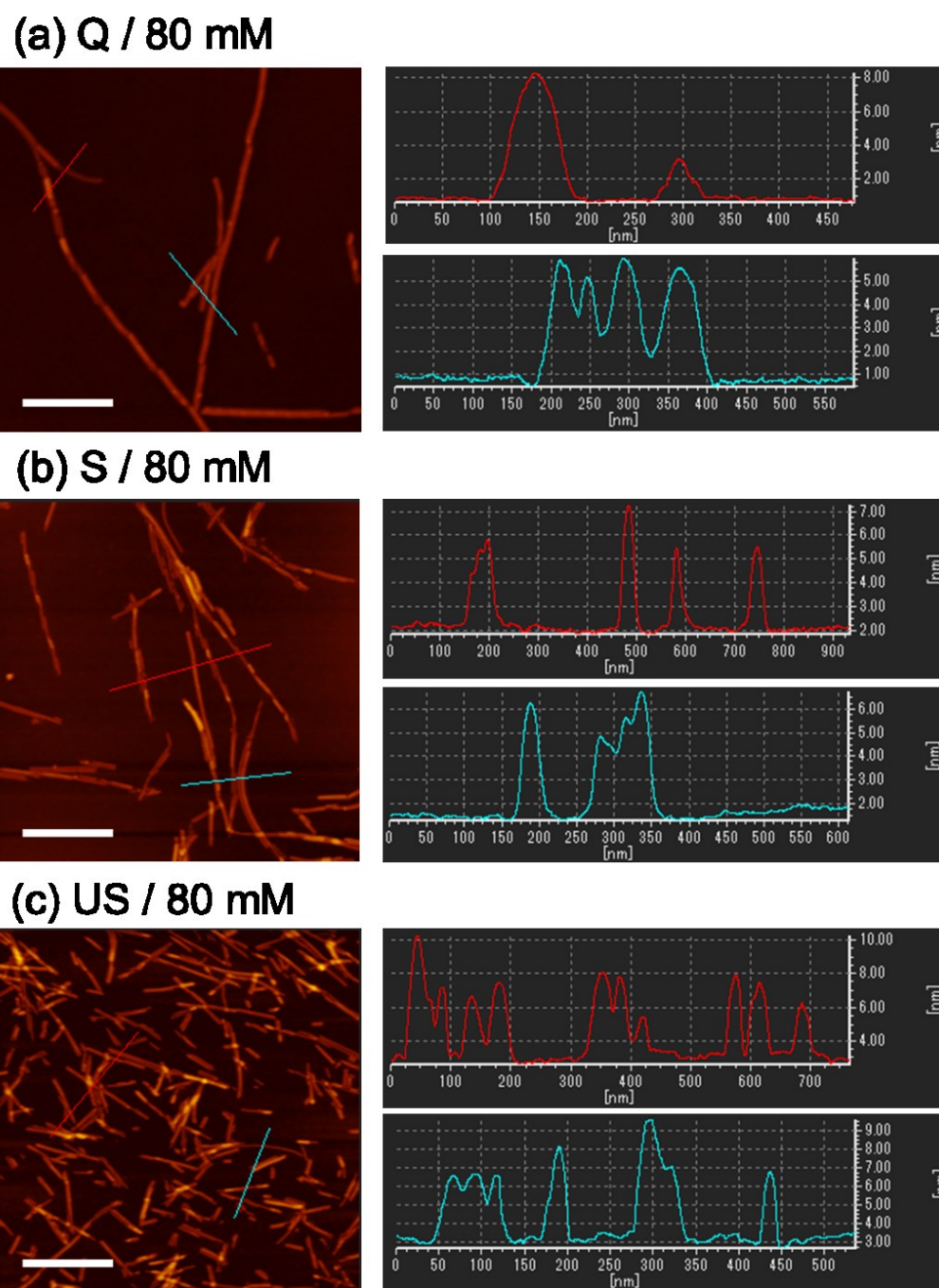
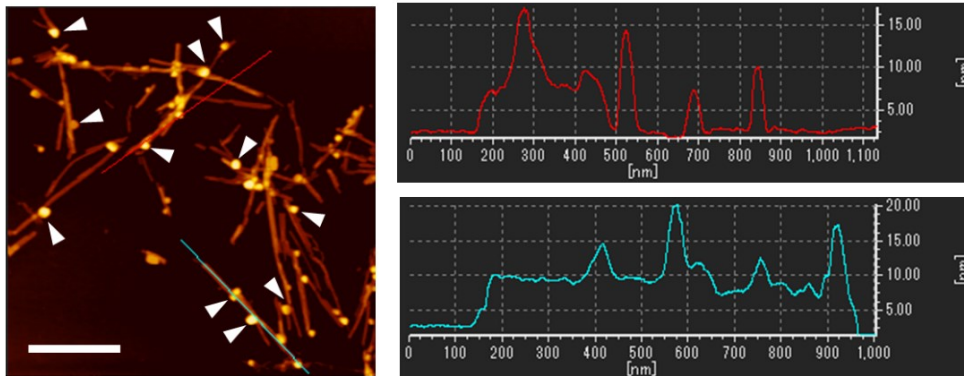
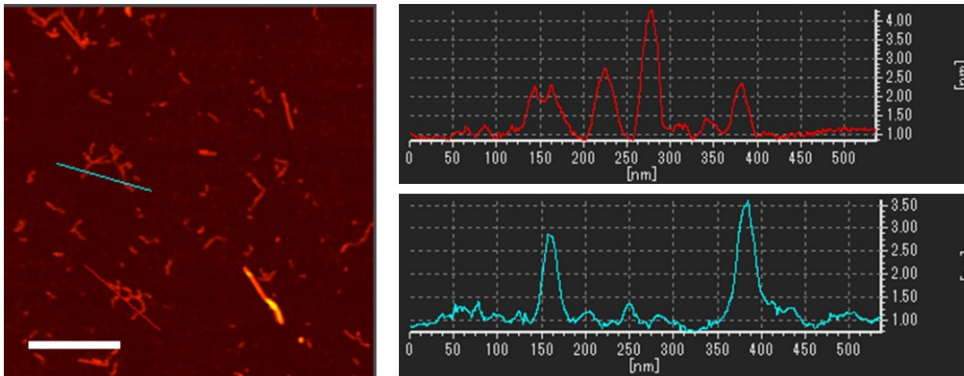


Figure S2. AFM images of the fibrils formed in the solution with the salt concentration of 80 mM under (a) quiescence, (b) shaking, and (c) ultrasonication, respectively. For each sample, the left panel shows the AFM image with a 500-nm scale bar. The red and cyan lines indicate the lines analyzed their cross-sectional profile as shown in the right panels. Under all agitations, the fibrils with the height between 5 and 10 nm formed.

(a) S / 240 mM



(b) S / 480 mM



(c) Q / 480 mM

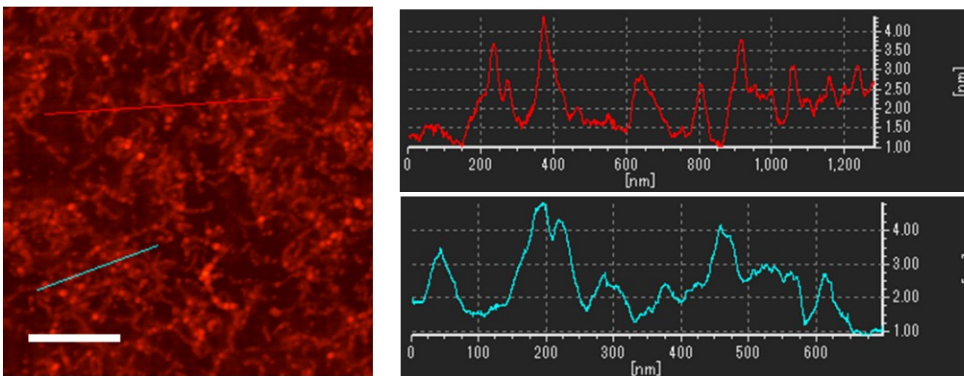


Figure S3. (a) The AFM image of the aggregates formed in the solution with the salt concentration of 240 mM under shaking. The white arrows in the left panel indicate the globular aggregates attached on the fibril surface. As shown in the right panels, the globular aggregates with the height of ~10 nm are attached on the fibrils with the height ~8 nm. (b),(c) The AFM images of the amorphous aggregates (curvilinear fibrils) formed in the solution with the salt concentration of 480 mM under (b) shaking and (c) quiescence. The height of the aggregates is less than 4 nm, being different from the fibrils shown in Figure S2.

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