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# ARC SCHEME AND HIGHER DIFFERENTIAL FORMS

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## Abstract

Let  $k$  be a field. In this article, we identify the component of weight 2 of the natural  $\mathbf{G}_{m,k}$ -graduation on the  $k$ -algebra of the arc scheme attached to an affine algebraic variety  $X$  with the module of the 2-nd order derivations on  $X$ . We in particular deduce, from this property, characterizations of the geometry of hypersurfaces (in affine spaces) in terms of the nilpotency on arc scheme.

## 1. Introduction

**1.1.** Let  $k$  be a field. For every integer  $m \in \mathbf{N}$ , every  $n \in \mathbf{N} \cup \{\infty\}$  let us note  $A_n := k[x_1, \dots, x_m]_n := k[(x_{i,j}); i \in \{1, \dots, m\}, j \in \{0, \dots, n\}]$  which has a structure of  $A := k[x_1, \dots, x_m]$ -module via the identification of  $A_0 = k[x_1, \dots, x_m]_0$  and  $A$ . For every polynomial  $f \in k[x_1, \dots, x_m]$ , there exists a unique family  $(\Delta_s(f))_{s \in \mathbf{N}}$  of polynomials in  $k[x_1, \dots, x_m]_\infty$ , only depending on the polynomial  $f$ , such that the following equality holds in the ring  $k[x_1, \dots, x_m]_n[t]$ :

$$(1.1) \quad f \left( \left( \sum_{j=0}^n x_{i,j} t^j \right)_{i \in \{1, \dots, m\}} \right) = \sum_{s=0}^n \Delta_s(f) \left( (x_{i,j})_{\substack{i \in \{1, \dots, m\} \\ j \in \{0, \dots, s\}}} \right) t^s \pmod{t^{n+1}}.$$

For every affine  $k$ -variety  $X = \text{Spec}(k[x_1, \dots, x_m]/I)$  and every  $n \in \mathbf{N} \cup \{\infty\}$  the  $k$ -scheme  $\mathcal{L}_n(X)$  defined by  $\text{Spec}(k[x_1, \dots, x_m]_n / \langle \Delta_s(f), s \in \{0, \dots, n\}, f \in I \rangle)$  is the associated *jet scheme of level  $n$*  when  $n \in \mathbf{N}$  and the associated *arc scheme* when  $n = \infty$ . The natural  $\mathbf{G}_{m,k}$ -action on  $A_n$ , with  $n \in \mathbf{N} \cup \{\infty\}$ , defined to be with weight  $j$  on every variable  $x_{i,j}$  for every integer  $i \in \{1, \dots, m\}$  and every integer  $j \in \{0, \dots, n\}$ , induces a graduation on  $A_n$  for which the polynomial  $\Delta_s(f)$  is a homogeneous element with weight  $s$  for every integer  $s \in \mathbf{N}$  and every polynomial  $f \in A$ . We say that  $\Delta_s(f)$  is *isobaric* with weight  $s$ . This usual observation gives rise to a  $\mathbf{G}_{m,k}$ -action on the  $k$ -scheme  $\mathcal{L}_n(X)$ , for every  $n \in \mathbf{N} \cup \{\infty\}$  (which also is an action of the multiplicative monoid  $\mathbf{A}_k^1$ ).

**1.2.** Let  $X$  be an affine  $k$ -variety. Attached to the former  $\mathbf{G}_{m,k}$ -action, we consider the *weight grading* on the  $k$ -algebra  $\mathcal{O}(\mathcal{L}_\infty(X))$ ; we denote it by

$$\mathcal{O}(\mathcal{L}_\infty(X)) = \bigoplus_{n \geq 0} W_{\mathcal{O}(X)}^n.$$

In this decomposition, one can easily observe that the  $\mathcal{O}(X)$ -module  $W_{\mathcal{O}(X)}^1$  can be naturally identified with the module of *Kähler differential forms*  $\Omega_{\mathcal{O}(X)}^1$  on  $X$ .

**1.3.** In this article, we extend this observation by constructing a natural isomorphism of  $\mathcal{O}(X)$ -modules between  $W^2_{\mathcal{O}(X)}$  and the module  $\Omega^{(2)}_{\mathcal{O}(X)/k}$  formed by the 2-nd order differential forms on  $X$ . Precisely, for every integer  $n \geq 1$ , we show how to use the universal property defining  $\Omega^{(n)}_{\mathcal{O}(X)/k}$  in order to exhibit a morphism of  $\mathcal{O}(X)$ -modules

$$(1.2) \quad \varphi^n_{\mathcal{O}(X)} : \Omega^{(n)}_{\mathcal{O}(X)/k} \rightarrow W^n_{\mathcal{O}(X)}$$

and show the following statement:

**Theorem 1.4.** *Let  $k$  be a field. Let  $I \subset A = k[x_1, \dots, x_m]$  be an ideal and  $B = A/I$ . The morphism of  $B$ -modules  $\varphi^2_B$  induces an isomorphism of  $B$ -modules from  $\Omega^{(2)}_{B/k}$  to  $W^2_B$ .*

Let us stress that, for  $n = 1$ , the morphism  $\varphi^n_{\mathcal{O}(X)}$  provides the identification mentioned above and that, for  $n \geq 3$ , the picture is much more complicated since  $\varphi^n_{\mathcal{O}(X)}$  stops to be bijective in general. For example, when the  $k$ -variety is assumed to be smooth, the modules  $\Omega^{(n)}_{\mathcal{O}(X)/k}$ ,  $W^n_{\mathcal{O}(X)}$  are free  $\mathcal{O}(X)$ -modules but, in general, with nonequal ranks.

**1.5.** Theorem 1.4 has various geometric applications in the study of arc scheme. A by-product of our main result can be formulated as follows:

**Corollary 1.6.** *Let  $k$  be a perfect field. Let  $m \geq 1$  be a positive integer. Let  $X$  be an integral hypersurface of  $\mathbf{A}^m_k$ .*

- (1) *The following assertions are equivalent:*
  - (a) *The hypersurface  $X$  is normal.*
  - (b) *The  $\mathcal{O}(X)$ -module  $W^2_{\mathcal{O}(X)}$  is torsionfree.*
  - (c) *The  $\mathcal{O}(X)$ -module  $\text{Nilrad}(\mathcal{O}(\mathcal{L}_\infty(X))) \cap W^2_{\mathcal{O}(X)} = (0)$ .*
- (2) *The following assertions are equivalent:*
  - (a) *The hypersurface  $X$  is regular.*
  - (b) *The  $\mathcal{O}(X)$ -module  $W^2_{\mathcal{O}(X)}$  is projective.*

In particular, if  $X$  is an integral affine plane curve, then  $\mathcal{O}(X)$ -module  $W^2_{\mathcal{O}(X)}$  is torsionfree if and only if it is projective.

**2. Notations, conventions**

**2.1.** In this article,  $k$  is a field with an arbitrary characteristic. A  $k$ -variety is a  $k$ -scheme of finite type. If the field  $k$  is assumed to be perfect, every reduced  $k$ -variety  $X$  is geometrically reduced, then  $\text{Reg}(X)$  (which can be understood equivalently as the locus formed by the regular points or the smooth points) is not empty or, equivalently,  $\text{Sing}(X) \neq X$ .

**2.2.** Let  $R$  be a  $k$ -algebra and  $M$  be a  $R$ -module. Let  $n \geq 1$  be a positive integer. According to [11, Chapter I,§1], a  $n$ -th order  $k$ -derivation from  $R$  to  $M$  is a differential operator with a zero constant term, that is to say a morphism of  $k$ -vector spaces  $D : R \rightarrow M$  which satisfies the *Leibniz rule* with order  $n$ :

$$(2.1) \quad D(a_0 \cdots a_n) = \sum_{s=1}^n (-1)^{s-1} \sum_{0 \leq i_1 < \cdots < i_s \leq n} a_{i_1} \cdots a_{i_s} D(a_0 \cdots \check{a}_{i_1} \cdots \check{a}_{i_s} \cdots a_n)$$

for every element  $a_0, \dots, a_n \in R$ . In this identity, one denotes by  $a_0 \cdots \check{a}_{i_1} \cdots \check{a}_{i_s} \cdots a_n$  the element  $\prod_{\substack{0 \leq j \leq n \\ j \neq i_1, \dots, i_s}} a_j$ . We denote by  $\text{Der}_k^{(n)}(R, M)$  the  $R$ -module formed by  $n$ -th order  $k$ -derivations from  $R$  to  $M$ , and simply  $\text{Der}_k^{(n)}(R, R)$  by  $\text{Der}_k^{(n)}(R)$ . One has  $\text{Der}_k^{(1)}(R) = \text{Der}_k(R)$ .

EXAMPLE 2.3. The datum of  $f \mapsto (\Delta_s(f))_{s \in \mathbb{N}}$  induces a Hasse-Schmidt derivation (e.g., see [7, §27] or [2, Proposition 7.5.1]). In this way, one knows that the  $k$ -linear map  $\Delta_n: f \mapsto \Delta_n(f)$ , defines, for every integer  $n \geq 1$ , a  $n$ -th order derivation from  $A$  to  $W_A^n$ , by [11, Chapter I, Proposition 5].

2.4. By [12, Proposition 1.6], one knows that the functor attached to  $R \mapsto \text{Der}_k^{(n)}(R)$  is representable by a  $R$ -module  $\Omega_{R/k}^{(n)}$  called the *module of Kähler differentials of order  $n$* . (When  $n = 1$ , this construction corresponds to the usual notion of module of Kähler differentials.) We give a concrete description of the  $R$ -module  $\Omega_{R/k}^{(n)}$  (simply denoted by  $\Omega_R^{(n)}$ ) which is due to [11, Chapter II, §1] and [12, §1]. The  $k$ -algebra  $R \otimes_k R$ , endowed with the morphism of  $k$ -algebra  $R \rightarrow R \otimes_k R$  which maps  $x \in R$  to  $x \otimes 1$ , can be considered as a  $R$ -algebra. Let  $J$  be the kernel of the product map  $R \otimes_k R \rightarrow R$ . For every element  $x \in R$ , let us stress that the element  $1 \otimes x - x \otimes 1$  belongs to the ideal  $J$ ; the subset of  $J$  defined by the datum of the elements of the form  $1 \otimes x - x \otimes 1$  forms a generating system of the ideal  $J$ . The module of Kähler differentials of order  $n$  then is constructed as the quotient  $J/J^{n+1}$ . It is equipped with the following derivation of order  $n$

$$\begin{aligned} d_R : R &\longrightarrow \Omega_{R/k}^{(n)} = J/J^{n+1} \\ x &\longmapsto [1 \otimes x - x \otimes 1]. \end{aligned}$$

For every element  $x \in R$ , we denote by  $[1 \otimes x - x \otimes 1]$  the class of the element  $1 \otimes x - x \otimes 1$  modulo  $J^{n+1}$ . Let us observe that, by construction the  $R$ -module  $\Omega_{R/k}^{(n)}$  is generated by the family  $(d_R(x))_{x \in R}$ .

EXAMPLE 2.5. Let  $A = k[x_1, \dots, x_m]$ . The  $A$ -module  $\Omega_{A/k}^{(n)}$  is free. A basis consists of the differential forms  $(d_A(x))^\alpha := \prod_{i \in \{1, \dots, m\}} d_A(x_i)^{\alpha_i}$  with  $\alpha \in \mathbb{N}^m$ . The universal derivation  $d_A$  is given by the formula :

$$(2.2) \quad d_A(f) = \sum_{1 \leq |\alpha| \leq n} \delta_\alpha(f) d(x)^\alpha$$

for every polynomial  $f \in A$  (see [11, Chapter II, §2]). In this formula, the polynomial  $\delta_\alpha(f)$  is obtained as the coefficient of  $t_1^{\alpha_1} \cdots t_m^{\alpha_m}$  in the expression  $f((x_i + t_i)) - f((x_i)_i)$ .

### 3. Proof of theorem 1.4

3.1. Let  $n \geq 1$  be an integer. Let  $I \subset A$  be an ideal and  $B = A/I$ . Let  $\pi : A \rightarrow B$  be the quotient morphism and  $\pi_n : A_n \rightarrow B_n := A_n / \langle \Delta_s(f) : s \in \{0, \dots, n\}, f \in I \rangle$  the induced morphism. The morphism of  $k$ -modules  $\pi_n \circ \Delta_n : A \rightarrow W_B^n$  induces, by the universal property of quotient, a  $n$ -th order derivation from  $B$  to  $W_B^n$ . Hence, by [12, Proposition 1.6], we deduce, by adjunction, the existence of a canonical morphism of  $B$ -modules

$$(3.1) \quad \varphi_B^n : \Omega_B^{(n)} \longrightarrow W_B^n$$

which satisfies the formula  $\varphi_B^n(d_B(\bar{f})) = \pi_n \circ \Delta_n(f)$  for every element  $f \in A$ .

**3.2.** Let us begin by recalling the proof of the corresponding statement when  $n = 1$ . We observe that the morphism  $\varphi_A^1$ , defined by  $dx_i \mapsto x_{i,1}$  for every integer  $i \in \{1, \dots, m\}$ , induces an isomorphism from  $\Omega_B^1 \cong \Omega_A^1 / \langle df, f \in I \rangle + I\Omega_A^1$  to  $W_B^1 \cong W_A^1 / \langle x_{i,1}f, \Delta_1(f), i \in \{1, \dots, m\}, f \in I \rangle$  since  $d_A(f) = \sum_{i=1}^m \partial_{x_i}(f)d_A(x_i)$  and  $\Delta_1(f) = \sum_{i=1}^m \partial_{x_i}(f)x_{i,1}$ .

**3.3.** Let us prove theorem 1.4. Let us begin by a preliminary observation. For every integer  $i \in \{1, \dots, m\}$ , we set  $T_i = x_{i,1}t + x_{i,2}t^2$ . Let us set, for every integer  $i \in \{1, \dots, m\}$ ,  $T^\alpha = \prod_{i=1}^m T_i^{\alpha_i}$  and  $e_i = (0, \dots, 1, \dots, 0)$  for the  $i$ -th canonical basis vector in  $\mathbf{N}^m$ . We have

$$\begin{aligned} f((x_{i,0} + T_i)_i) &= f((x_{i,0})_i) + \left( \sum_{|\alpha|=1} \delta_\alpha(f)T^\alpha \right) + \left( \sum_{|\alpha|=2} \delta_\alpha(f)T^\alpha \right) + (\dots) \\ &= f((x_{i,0})_i) + \left( \sum_{i=1}^m \delta_{e_i}(f)x_{i,1} \right) t + \left( \sum_{i=1}^m \delta_{e_i}(f)x_{i,2} \right) t^2 + \left( \sum_{i \leq j} \delta_{e_i+e_j}(f)x_{i,1}x_{j,1} \right) t^2 + (\dots). \end{aligned}$$

Because of the uniqueness of the  $\Delta_i(f)$ , we conclude that

$$(3.2) \quad \Delta_2(f) = \left( \sum_{i=1}^m \delta_{e_i}(f)x_{i,2} \right) + \left( \sum_{1 \leq i \leq j \leq m} \delta_{e_i+e_j}(f)x_{i,1}x_{j,1} \right).$$

◦ *Let us describe our main ingredients.* By subsection 3.1, we know that  $B_2 = A_2 / \langle \{f, \Delta_1(f), \Delta_2(f), f \in I\} \rangle$ . We set  $I_2 := \langle \{f, \Delta_1(f), \Delta_2(f), f \in I\} \rangle \subset A_2$ . In this way, we deduce that

$$W_B^2 = \frac{W_A^2 + I_2}{I_2} = \frac{W_A^2}{I_2 \cap W_A^2} = \frac{(\bigoplus_{1 \leq i \leq j \leq m} A \cdot x_{i,1}x_{j,1}) \oplus (\bigoplus_{i \in \{1, \dots, m\}} A \cdot x_{i,2})}{IW_A^2 + \langle \{x_{i,1}\Delta_1(f), \Delta_2(f), f \in I, i \in \{1, \dots, m\}\} \rangle}.$$

On the other hand, by [1, Proposition 2.5] or [11, Chapter II, Corollary 14.1], we know that

$$\Omega_B^{(2)} \cong \frac{\Omega_A^{(2)} \otimes_A B}{\langle d_A(f) \otimes 1, d_A(x_i)d_A(f) \otimes 1, i \in \{1, \dots, m\}, f \in I \rangle}.$$

In this end, by subsection 3.1, the morphism of  $A$ -modules  $\varphi_A^2$  (resp.  $\varphi_B^2$ ) is defined by  $d_A(f) \mapsto \Delta_2(f)$  (resp.  $\varphi_B^2(d_B(\bar{f})) = \pi_2 \circ \Delta_2(f)$ ) for every polynomial  $f \in A$ .

◦ *Let us introduce the morphism of  $A$ -modules  $\psi_A^2: W_A^2 \rightarrow \Omega_A^{(2)}$ .* Because of formula (3.2), we introduce the morphism of  $A$ -modules  $\psi_A^2$  defined by  $\psi_A^2(x_{i,2}) = d_A(x_i)$  and  $\psi_A^2(x_{i,1}x_{j,1}) = d_A(x_i)d_A(x_j)$  for every pair of integers  $(i, j) \in \{1, \dots, m\}^2$ . Let us stress that, by the construction of the morphism  $\psi_A^2$  and formula (3.2), we have

$$(3.3) \quad \psi_A^2(\varphi_A^2(d_A(f))) = \psi_A^2(\Delta_2(f)) = d_A(f).$$

In other words, the morphism  $\psi_A^2$  is a retraction of  $\varphi_A^2$ .

◦ *Let us prove that  $\psi_A^2$  induces a morphism of  $B$ -modules from  $W_B^2$  to  $\Omega_B^{(2)}$ .* For every integer  $j \in \{1, \dots, m\}$ , we have

$$\psi_A^2(\Delta_1(f)x_{j,1}) = \psi_A^2\left(\sum_{i=1}^m \partial_{x_i}(f)x_{i,1}x_{j,1}\right) = \sum_{i=1}^m \partial_{x_i}(f)\psi_A^2(x_{i,1}x_{j,1}) = \sum_{i=1}^m \partial_{x_i}(f)d_A(x_i)d_A(x_j).$$

On the other hand, since the product of three terms of the form  $d_A(x_s)$  is zero in  $\Omega_A^{(2)}$ , we have:

$$d_A(f)d_A(x_j) = d_A(x_j) \left( \sum_{1 \leq |\alpha| \leq 2} \delta_\alpha(f)d_A(x)^\alpha \right) = d_A(x_j) \left( \sum_{|\alpha|=1} \delta_\alpha(f)d_A(x)^\alpha \right) = \sum_{i=1}^m \partial_{x_i}(f)d_A(x_i)d_A(x_j).$$

In other words, the formula  $\psi_A^2(\Delta_1(f)x_{j,1}) = d_A(f)d_A(x_j)$  holds true for every integer  $j \in \{1, \dots, m\}$ . In the end, for every integer  $j \in \{1, \dots, m\}$ , we also have  $\psi_A^2(fx_{j,2}) = fd_A(x_j)$ . Hence, the morphism  $\psi_A^2$  induces a morphism of  $B$ -modules  $\psi_B^2: W_B^2 \rightarrow \Omega_B^{(2)}$ .

◦ *Let us prove that the morphisms of  $B$ -modules  $\varphi_B^2, \psi_B^2$  are mutually inverse.* By equality (3.3), we know that  $\psi_B^2$  also is a retraction of  $\varphi_B^2$ . Let  $\bar{P} \in W_B^2$ . By the very definitions, for every lifting  $P \in W_A^2$ , there exist polynomials  $a_i, b_i \in A$ , with  $i \in \{1, \dots, m\}$ , such that:

$$P = \sum_{i=1}^m a_i x_{i,2} + \sum_{1 \leq i < j \leq m} b_{i,j} x_{i,1} x_{j,1}.$$

Let us observe that, since the family  $(\Delta_s)_s$  is a high-order derivation, we have, for every  $i, j \in \{1, \dots, m\}$ ,

$$(3.4) \quad \Delta_2(x_i x_j) = \sum_{s=0}^2 \Delta_s(x_i) \Delta_{2-s}(x_j) = x_{i,0} x_{j,2} + x_{i,2} x_{j,0} + x_{i,1} x_{j,1}.$$

On the other hand, by the very definition of  $d_A$ , we have

$$(3.5) \quad d_A(x_i x_j) = x_i d_A(x_j) + x_j d_A(x_i) + d_A(x_i) d_A(x_j).$$

By the definitions of the morphisms  $\varphi_A^2, \psi_A^2$  and formulas (3.4) and (3.5), we obtain that

$$\begin{aligned} (\varphi_B^2 \circ \psi_B^2)(\bar{P}) &= (\pi_2 \circ \varphi_A^2) \left( \sum_{i=1}^m a_i d_A(x_i) + \sum_{1 \leq i < j \leq m} b_{i,j} d_A(x_i) d_A(x_j) \right) \\ &= \pi_2 \left( \sum_{i=1}^m a_i x_{i,2} + \sum_{1 \leq i < j \leq m} b_{i,j} \varphi_A^2(d_A(x_i) d_A(x_j)) \right) \\ &= \pi_2 \left( \sum_{i=1}^m a_i x_{i,2} + \sum_{1 \leq i < j \leq m} b_{i,j} \varphi_A^2(d_A(x_i x_j) - x_i d_A(x_j) - x_j d_A(x_i)) \right) \\ &= \pi_2 \left( \sum_{i=1}^m a_i x_{i,2} + \sum_{1 \leq i < j \leq m} b_{i,j} (\Delta_2(x_i x_j) - x_i \Delta_2(x_j) - x_j \Delta_2(x_i)) \right) \\ &= \pi_2 \left( \sum_{i=1}^m a_i x_{i,2} + \sum_{1 \leq i < j \leq m} b_{i,j} x_{i,1} x_{j,1} \right) \\ &= \bar{P}. \end{aligned}$$

REMARK 3.4. In general, there is no hope for  $W_B^n$  to be isomorphic to  $\Omega_B^{(n)}$ . We illustrate here this remark by several properties. By [1, Theorem 4.3], one knows that, for every integer  $n \geq 1$ , the  $k$ -variety  $H = V(f)$ , attached to  $f \in A$ , is normal if and only if  $\Omega_{\mathcal{O}(H)}^{(n)}$  is torsion-free. (Let us stress that for  $n = 1$  the former property is classical; e.g., see [6, Corollary 9.8].) In other hand, it is quite simple to find examples of such a normal hypersurface  $H$  with nonzero  $\text{Tors}(W_{\mathcal{O}(H)}^n)$ . As an illustration, one can consider example 4.9,

and, more generally, [5, Conjecture 9.1] suggests that any normal hypersurface  $H$  without rational singularity share this property. Another observation leads us to conclude that, in general,  $W_B^n, \Omega_B^{(n)}$  are not isomorphic. If the  $k$ -algebra  $B$  is assumed to be smooth, then both  $B$ -modules  $W_B^n, \Omega_B^{(n)}$  are free; but their ranks in general differ.

**4. Applications**

In this section, we show that theorem 1.4 and properties of the 2-nd order derivation module can be used to prove corollary 1.6. We also explain how to use theorem 1.4 to study the torsion submodule of the 2-nd order derivation module. Other general results on the interpretation of geometric properties on algebraic varieties in terms of nilpotency on arc scheme can be found, e.g., in [10, 13, 14, 15].

**Lemma 4.1.** *Let  $k$  be a field of characteristic zero. Let  $n \geq 1$  be a positive integer. Let  $X$  be an integral affine  $k$ -variety. Then the  $\mathcal{O}(X)$ -module  $\text{Tors}(W_{\mathcal{O}(X)}^n)$  is formed by the nilpotent isobaric functions on  $\mathcal{L}_\infty(X)$  with weight  $n$ .*

Proof. Let us fix an embedding  $X \hookrightarrow \mathbf{A}_k^m = \text{Spec}(k[x_1, \dots, x_m])$  defined by the datum of a prime ideal  $I$  of  $A$ . We denote by  $[I]$  the ideal of  $A_\infty$  generated by the  $\Delta_n(g)$  for every integer  $n \in \mathbf{N}$  and every polynomial  $g \in I$ . By definition, one have  $\mathcal{L}_\infty(X) = \text{Spec}(A_\infty/[I])$ . Let  $\bar{f} \in \mathcal{O}(\mathcal{L}_\infty(X))$  be a function that we assumed to be isobaric with weight  $n$ . Then, the function  $\bar{f}$  is torsion if and only if there a nonzero  $\bar{a} \in \mathcal{O}(X)$  such that  $\bar{a}\bar{f} = 0$ ; hence, the function  $\bar{a}\bar{f}$  belongs to the nilradical of  $\mathcal{O}(\mathcal{L}_\infty(X))$ , which is prime ideal of  $\mathcal{O}(\mathcal{L}_\infty(X))$  by the Kolchin irreducibility. We conclude that the function  $\bar{f}$  belongs to the nilradical of  $\mathcal{O}(\mathcal{L}_\infty(X))$ . Indeed, if any polynomial lifting  $a \in k[x_1, \dots, x_m]$  belongs to the radical of  $[I]$  in  $A_\infty$ , then, because of a direct argument of weight, we shall have  $a \in I$  which is impossible by the assumption on  $\bar{a}$ . Conversely, if  $\bar{f}$  is nilpotent, e.g., by [8, Lemma 3.7], there exists a polynomial  $h \notin I$  and an integer  $s \in \mathbf{N}$  such that  $h^s f \in [I]$ , which implies that  $\bar{f} \in \text{Tors}(\mathcal{O}(\mathcal{L}_\infty(X)))$  by definition. That concludes the proof.  $\square$

**4.2.** For every  $R$ -module  $M$ , we denote by  $M^\vee$  its dual, i.e.,  $M^\vee := \text{Hom}_R(M, R)$ . We assume from now on that  $R$  is a noetherian domain,  $M \neq (0)$  is finitely generated. Let  $K$  be the fraction field of  $R$ . Let  $\ell_K(M) : M \rightarrow M_K := M \otimes_R K$  be the localization morphism. One observes, because of the very definitions, that:

$$(4.1) \quad \text{Tors}(M) := \text{Tors}_R(M) = \text{Ker}(\ell_K(M)).$$

Moreover, if  $c_M : M \rightarrow M^{\vee\vee}$  is the canonical morphism of  $R$ -modules, one also has:

$$(4.2) \quad \text{Tors}(M) = \text{Ker}(c_M).$$

This formula needs a quick justification. The following diagram is commutative Since the

$$\begin{array}{ccc} M & \xrightarrow{c_M} & M^{\vee\vee} \\ \ell_K(M) \downarrow & & \downarrow \ell_K(M^{\vee\vee}) \\ M_K := M \otimes_R K & \xrightarrow{\cong} & M^{\vee\vee} \otimes_R K \cong M_K^{\vee\vee}. \end{array}$$

bottom horizontal morphism is an isomorphism, then, by (4.1), it follows from the commu-



tativity of the former diagram that  $\text{Tors}(M) = c_M^{-1}(\ell_K(M^{\vee\vee})^{-1}(0))$ . But, since  $R$  is a domain and  $M^{\vee\vee}$  a dual, we know  $\ell_K^{-1}(M^{\vee\vee})(0) = \text{Tors}(M^{\vee\vee}) = (0)$ . In the end, let us observe that the morphism  $\ell_K(M)$  factorizes into

$$M \xrightarrow{\ell_x(M)} M_x := M \otimes_R R_x \xrightarrow{\ell_K(M_x)} M_K$$

for every point  $x \in \text{Spec}(R)$ . Thus, one has

$$(4.3) \quad \text{Tors}(M) = \bigcap_{x \in \text{Spec}(R)} (M \cap \text{Tors}_{R_x}(M_x)).$$

Thus, the  $R_x$ -module  $\text{Tors}(M_x)$  is torsionfree for every point  $x \in \text{Spec}(R)$  if and only if  $\text{Tors}(M) = (0)$ ,

**Proposition 4.3.** *Let  $k$  be a field of characteristic zero. Let  $n \geq 1$  be a positive integer. Let  $X$  be an integral affine  $k$ -variety. Then submodule of the nilradical of  $\mathcal{O}(\mathcal{L}_\infty(X))$  formed by the isobaric functions with weight  $n$  equals the submodule*

$$\bigcap_{\theta \in (W_{\mathcal{O}(X)}^n)^\vee} \text{Ker}(\theta).$$

Proof. By lemma 4.1, we need to prove that  $\text{Tors}(W_{\mathcal{O}(X)}^n) = \bigcap_{\theta \in (W_{\mathcal{O}(X)}^n)^\vee} \text{Ker}(\theta)$ . Now, let us observe that  $\bigcap_{\theta \in (W_{\mathcal{O}(X)}^n)^\vee} \text{Ker}(\theta)$  coincides with the kernel  $N$  of the canonical morphism  $W_{\mathcal{O}(X)}^n \rightarrow (W_{\mathcal{O}(X)}^n)^{\vee\vee}$ . The proof concludes from the fact that  $\text{Tors}(W_{\mathcal{O}(X)}^n) = N$ ; see formula (4.2).  $\square$

Recall that the morphism of  $B$ -modules  $\ell \mapsto \ell \circ d_B$  defined from  $\text{Hom}_B(\Omega_B^{(2)}, B)$  to  $\text{Der}_k^{(2)}(B)$  is an isomorphism; hence, by theorem 1.4, we deduce that  $\text{Hom}_B(W_B^2, B) \cong \text{Der}_k^{(2)}(B)$ . Let  $\theta \in \text{Der}_k^{(2)}(B)$  be a 2-nd order derivation such that  $\theta = \ell \circ d_B$  with  $\ell \in \text{Hom}_B(\Omega_B^{(2)}, B)$ . Thanks to the former remark, one can define the *image* of any element  $\bar{P} \in W_B^2$  by  $\theta$  by setting

$$\theta \cdot \bar{P} = \ell((\varphi_B^2)^{-1}(\bar{P})) \in B.$$

Proposition 4.3 asserts that  $\bar{P} \in W_B^2$  is torsion if and only if its image by every 2-nd order derivation is zero. This property can be linked to [15, Corollary 1.4] or [4, Corollary 4.8].

EXAMPLE 4.4. To illustrate this point of view, let us consider the polynomial  $f = x^3 + y^2 \in k[x, y]$ , with  $B = A/\langle f \rangle$ . Let us set  $g := 4x_0y_2 - x_1y_1 - 6x_2y_0, h := 8y_0y_2 + 12x_0^2x_2 + 3x_0x_1^2 \in A_2$  whose images in the ring  $B$  are respectively denoted by  $\bar{g}, \bar{h}$ . The relations in the ring  $A_2$

$$\begin{aligned} 2y_0^3g &= y_0^2 \cdot (4x_0(2y_0y_2) - x_1(2y_0y_1) - 12y_0^2x_2) \\ &\equiv y_0^2 \cdot (4x_0(-3x_0^2x_2 - 3x_0x_1^2 - y_1^2) - x_1(2y_0y_1) - 12y_0^2x_2) \pmod{\Delta_2(f)} \\ &\equiv y_0^2 \cdot (-9x_0^2x_1^2 - 4x_0y_1^2 - x_1(3x_0^2x_1 + 2y_0y_1) - 12x_2(x_0^3 + y_0^2)) \pmod{\Delta_2(f)} \\ &\equiv -x_0 \cdot (9x_0y_0^2x_1^2 + (2y_0y_1)^2) \pmod{f, \Delta_1(f), \Delta_2(f)} \\ &\equiv -x_0 \cdot (9x_0y_0^2x_1^2 + 9x_0^4x_1^2) \pmod{f, \Delta_1(f), \Delta_2(f)} \\ &\equiv -9x_0^2x_1^2 \cdot (y_0^2 + x_0^3) \pmod{f, \Delta_1(f), \Delta_2(f)} \\ &\equiv 0 \pmod{f, \Delta_1(f), \Delta_2(f)} \end{aligned}$$

imply that  $g$  is a torsion element in the ring  $B_2$  (which is nonzero). In the same spirit, we observe that



$$\begin{aligned} h &\equiv -4(3x_0^2x_2 + 3x_0x_1^2 + y_1^2) + 12x_0^2x_2 + 3x_0x_1^2 \pmod{\Delta_2(f)} \\ &\equiv -(9x_0x_1^2 + 4y_1^2) \pmod{\Delta_2(f)}. \end{aligned}$$

Then, we conclude, in the same way, that  $y_0^2h \in I_2$ ; hence,  $\bar{h}$  is a (nonzero) torsion element in  $B_2$ . Let us consider the 2-nd order derivation  $(3x^2\partial_y - 2y\partial_x)^2 \in \text{Der}_k^{(2)}(A)$ . It clearly induces a 2-nd order derivation  $\theta \in \text{Der}_k^{(2)}(B)$  such that  $\theta = \ell \circ d_B$  with  $\ell : \Omega_B^{(2)} \rightarrow B$  defined by  $d_B(\bar{x}) \mapsto -6\bar{x}^2$ ,  $d_B(\bar{y}) \mapsto -12\bar{x}\bar{y}$ ,  $d_B(\bar{x})^2 \mapsto 8\bar{y}^2$ ,  $d_B(\bar{y})^2 \mapsto 18\bar{x}^4$ ,  $d_B(\bar{x})d_B(\bar{y}) \mapsto -12\bar{x}^2\bar{y}$ . Then, we obtain, by the very definition, that

$$\begin{cases} \theta \cdot \bar{g} &= 4x(-12\bar{x}\bar{y}) - (-12\bar{x}^2\bar{y}) - 6y(-6\bar{x}^2) \\ &= 0, \\ \theta \cdot \bar{h} &= 8y(-12\bar{x}\bar{y}) + 12x^2(-6\bar{x}^2) + 3x(8\bar{y}^2) \\ &= -72\bar{x}(\bar{y}^2 + \bar{x}^3) \\ &= 0. \end{cases}$$

REMARK 4.5. Let us note that one can attach, to every  $\ell \in (W_{\mathcal{O}(X)}^n)^\vee$ , a  $n$ -th order derivation  $\theta_\ell \in \text{Der}_k^{(n)}(\mathcal{O}(X))$  defined by  $\ell \circ \varphi_{\mathcal{O}(X)}^n \circ d_{\mathcal{O}(X)}^n$ . This observation suggests the following question: *does every  $n$ -th order derivation  $\theta \in \text{Der}_k^{(n)}(\mathcal{O}(X))$  factorize through  $W_{\mathcal{O}(X)}^n$  (in a non-unique way)?* Since every differential operator on smooth varieties are generated by derivations, we can deduce that this question admits a positive answer for smooth varieties  $X$ . This question is also related to the following one, which is stronger<sup>1</sup>: *does the morphism  $\varphi_{\mathcal{O}(X)}^n$  admit a retraction  $\psi_{\mathcal{O}(X)}^n : W_{\mathcal{O}(X)}^n \rightarrow \Omega_{\mathcal{O}(X)}^{(n)}$ ?* Once again, we can prove that, if the  $k$ -variety  $X$  is assumed to be smooth, this second question also admits a positive answer. It seems to us plausible that such questions are related to the singularities of  $X$ .

4.6. The existence of an isomorphism  $W_B^2 \rightarrow \Omega_B^{(2)}$  for every  $k$ -algebra  $B = A/I$  of finite type provides new algorithms to compute  $\text{Tors}(\Omega_B^{(2)})$ . Indeed, after identifying  $\text{Tors}(\Omega_B^{(2)})$  with  $\text{Tors}(W_B^2)$ , one can apply the algorithms introduced in [9, §5] whose output will provide a presentation for  $\text{Tors}(W_B^2)$ . We denote by  $[I]$  the ideal generated by the  $\Delta_s(f)$ , with  $f \in I$  and  $s \in \mathbb{N}$ , in the ring  $A_\infty$ . Precisely, these algorithms will compute, in this particular case, a Groebner basis for the ideal  $\mathcal{N}_2 = \sqrt{[I]} \cap A_2$  in the ring  $A_2$ . This Groebner basis obviously gives rise to a generating system for  $\text{Tors}(W_B^2)$  by lemma 4.1. See example 4.7. (See also [5, 8] for related considerations).

EXAMPLE 4.7. To illustrate this remark, let us consider the polynomial  $f = x^3 + y^2 \in k[x, y]$ , with  $B = A/\langle f \rangle$ . We set  $E(f) = 3y_0x_1 - 2x_0y_1$ . Here, [9, §5] applied with the lexicographic order and ordering  $y_2 > y_1 > y_0 > x_2 > x_1 > x_0$ , provides a Groebner basis for the nilpotent functions in  $\mathcal{O}(B_\infty)$  induced by polynomials in  $A_2$ . From this computation we deduce in particular a presentation of  $\text{Tors}(W_B^2)$  by “picking out” the elements with weight  $w \leq 2$  (see lemma 4.1). We obtain that  $\text{Tors}(W_B^2)$  coincides with

$$\pi_2(\langle fW_A^2, x_1E(f), y_1E(f), 9x_0x_1^2 + 4y_1^2, 4x_0y_2 - x_1y_1 - 6x_2y_0, 8y_0y_2 + 12x_0^2x_2 + 3x_0x_1^2 \rangle)$$

Then we deduce that  $\text{Tors}(\Omega_B^{(2)})$  is isomorphic to the quotient of  $\Omega_A^{(2)} \otimes_A B$  by the submodule

<sup>1</sup>Actually, this second question is equivalent to the problem to determine whether, for every  $\mathcal{O}(X)$ -module  $M$ , for every  $n$ -th order derivation  $\theta \in \text{Der}_k^{(n)}(\mathcal{O}(X), M)$ , there exists a morphism  $\ell \in \text{Hom}_{\mathcal{O}(X)}(W_{\mathcal{O}(X)}^n, M)$  such that  $\theta = \ell \circ \varphi_{\mathcal{O}(X)}^n \circ d_{\mathcal{O}(X)}^n$ .

generated by the images of the following elements:

$$\left\{ \begin{array}{l} 3yd_A(x)^2 - 2xd_A(x)d_A(y), \\ 3yd_A(x)d_A(y) - 2xd_A(y)^2, \\ 9xd_A(x)^2 + 4d_A(y)^2, \\ 4xd_A(y) - d_A(x)d_A(y) - 6yd_A(x), \text{ and} \\ 8yd_A(y) + 12x^2d_A(x) + 3xd_A(x)^2. \end{array} \right.$$

**4.8.** Let us prove corollary 1.6. We set  $B = \mathcal{O}(X)$ . By theorem 1.4, we need to prove the corresponding properties for the  $\mathcal{O}(X)$ -module  $\Omega_B^{(2)}$ . By [11, Theorem 9], one knows that  $\Omega_{B_x}^{(2)} \cong \Omega_B^{(2)} \otimes_B B_x$  for every point  $x \in X$

◦ Since the noetherian ring  $B$  is regular if and only if  $B_x$  is regular for every point  $x \in X$ , [3, Proposition 4.1] proves assertion (2).

◦ From [1, Theorem 4.3], following the same argument, we also deduce that  $X$  is normal if and only if  $\Omega_{B_x}^{(2)}$  is torsionfree for every point  $x \in X$ . We conclude the proof of the first equivalence in assertion (1) by applying (4.3) to  $M = \Omega_B^{(2)}$ . The last equivalence in assertion (1) directly follows from lemma 4.1.

**EXAMPLE 4.9.** Let  $k$  be a field of characteristic zero. Let us consider the polynomial  $f = x_1^3 + x_2^3 + x_3^3$  in the ring  $k[x_1, x_2, x_3]$  with associated surface  $H \subset \mathbb{A}_k^3$ . It is well-known that this  $k$ -variety is a normal variety with a singular point at the origin which is not a rational singularity. Let us also note that its tangent space is reduced, as every normal hypersurface of an affine space. In particular,  $W_{\mathcal{O}(H)}^1$  is torsionfree, i.e., there is no nontrivial isobaric function on  $\mathcal{L}_\infty(X)$  with weight 1 which are nilpotent. Indeed, by subsection 3.2, we know that it means that  $\Omega_{\mathcal{O}(H)}^1$  is torsionfree; this property is implied by the normality of  $H$  (see [6, Corollary 9.8]). There also is no nontrivial nilpotent isobaric function on  $\mathcal{L}_\infty(X)$  with weight 2 by corollary 1.6. This observation can also be checked by a direct computation. Indeed, the algorithms introduced in [9] confirms this result. Moreover, with this tool, we observe for example that the regular function induced by the polynomial  $g := x_{10}^2x_{20}x_{21}x_{30}x_{32} - x_{10}x_{11}x_{20}^2x_{30}x_{32} + x_{10}^2x_{20}x_{21}x_{31}^2 - x_{10}x_{11}x_{20}^2x_{31}^2 - x_{10}^2x_{20}x_{22}x_{30}x_{31} - x_{10}^2x_{21}^2x_{30}x_{31} + x_{10}x_{12}x_{20}^2x_{30}x_{31} + x_{11}^2x_{20}^2x_{30}x_{31} + x_{10}x_{11}x_{20}x_{22}x_{30}^2 + x_{10}x_{11}x_{21}^2x_{30}^2 - x_{10}x_{12}x_{20}x_{21}x_{30}^2 - x_{11}^2x_{20}x_{21}x_{30}^2$  induces a nilpotent function on  $\mathcal{L}_\infty(X)$  (see lemma 4.1); but it is isobaric with weight 3.

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