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## CHARACTERIZATIONS OF ALMOST QF RINGS

Dedicated to Professor Yukio Tsushima on his sixtieth birthday

SHOJIRO TOZAKI

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Let  $R$  be a ring with identity and let  $M$  and  $N$  be right  $R$ -modules. A module  $M$  is called almost  $N$ -injective if  $M$  satisfies either the following (AI1) or (AI2) for any monomorphism  $\lambda : L \rightarrow N$  and any homomorphism  $\psi : L \rightarrow M$ :

- (AI1) There exists a homomorphism  $\varphi : N \rightarrow M$  with  $\psi = \varphi\lambda$ ;
- (AI2) There exist a non-zero split epimorphism  $\eta : N \rightarrow K$  and a homomorphism  $\theta : M \rightarrow K$  with  $\theta\psi = \eta\lambda$ , where  $K$  is some right  $R$ -module (see the diagrams below).

$$\begin{array}{ccc}
 \begin{array}{ccc}
 0 & \longrightarrow & L & \xrightarrow{\lambda} & N \\
 & & \downarrow \psi & \nearrow \varphi & \\
 & & M & &
 \end{array}
 & \quad &
 \begin{array}{ccc}
 0 & \longrightarrow & L & \xrightarrow{\lambda} & N \\
 & & \downarrow \psi & & \downarrow 0 \neq \eta \text{ (split epi.)} \\
 & & M & \xrightarrow{\theta} & K
 \end{array}
 \end{array}$$

A module  $M$  is called almost injective if  $M$  is almost  $N$ -injective for every right  $R$ -module  $N$ . A ring  $R$  is called a right almost  $QF$  ring if  $R$  is a right artinian ring and every indecomposable projective right  $R$ -module is almost injective (see [2], [3], and [5]).

In this paper we show anew characterizations of almost  $QF$  rings which are obtained in [6].

Throughout this paper we always assume that  $R$  is a right artinian ring with identity,  $J$  its Jacobson radical, and “a module” means a unitary right  $R$ -module. Let  $M$  be a module. Then  $L \leq M$  (resp.  $L < M$ ) signifies that  $L$  is a submodule of  $M$  (resp.  $L \leq M$  and  $L \neq M$ ). By  $\text{Top}(M)$  and  $E(M)$  we denote the top and an injective hull of  $M$ , respectively. We denote the set of primitive idempotents of  $R$  by  $\pi(R)$ . We call a module  $M$  local if  $M$  has a unique maximal submodule. If a module  $M$  has a simple socle we call  $M$  colocal. A module  $M$  is called completely indecomposable if its endomorphism ring  $\text{End}(M)$  is a local ring. A submodule  $N$  of  $M$  is called a waist in  $M$  if either  $N \leq X$  or  $N \geq X$  is satisfied for every submodule  $X$  of  $M$ . A module  $M$  is called uniserial if  $M$  has a unique composition series. Note that in case  $R$  is a right artinian ring, a module  $M$  is uniserial if and only if every submodule of  $M$  is a waist in  $M$ .

Let  $M = \prod_{i \in I} M_i$  be a direct product of modules  $M_i (i \in I)$ . Then note that  $M$  is almost injective if and only if  $M_i$  is almost injective for every  $i \in I$  (see [6, Lemma 1.1]).

The following lemma is fundamental for almost injective modules.

**Lemma 1** ([2, Theorem 1#] and [5, Lemma 1.2]). *Let  $R$  be a right artinian ring, and  $M$  a completely indecomposable right  $R$ -module. Then the following are equivalent:*

- (1)  $M$  is almost injective;
- (2) (i)  $M$  is colocal;
- (ii) Any proper essential extension  $N$  of  $M$  is projective.

In this case  $M$  is a waist in  $E(M)$  and  $E(M)/M$  is uniserial.

We define two subsets  $A(R)$  and  $B(R)$  of  $\text{pi}(R)$  as follows:

$$A(R) := \{e \in \text{pi}(R) \mid eR \text{ is injective}\}.$$

$$B(R) := \{f \in \text{pi}(R) \mid fR \cong eJ^i \text{ for some } e \in A(R) \text{ and some integer } i \text{ such that } eJ^j \text{ is projective for every } j \text{ with } 0 \leq j \leq i\}.$$

Moreover we define an integer  $m(e)$  for every  $e \in A(R)$  as follows:

$$m(e) := \max\{m > 0 \mid eJ^i \text{ is non-zero and projective for every } i \text{ with } 0 \leq i < m\}.$$

Then clearly  $A(R) \subseteq B(R) \subseteq \text{pi}(R)$ .

Right almost  $QF$  rings have the following property.

**Proposition 2** ([2, Corollary 1# and Proposition 3]). *Let  $R$  be a right artinian ring. Then the following are equivalent:*

- (1)  $R$  is a right almost  $QF$  ring;
- (2) For each  $f \in \text{pi}(R)$  there exist an element  $e$  of  $A(R)$  and an integer  $m$  with  $0 \leq m < m(e)$  such that  $fR \cong eJ^m$ .

This implies that  $R$  is a right almost  $QF$  ring if and only if  $\text{pi}(R) = B(R)$ .

**Proof.** This is immediate from Lemma 1. □

We call  $R$  a right  $QF$ -2 ring if every indecomposable projective right  $R$ -module has a simple socle, that is,  $eR$  is colocal for every  $e \in \text{pi}(R)$ . We call  $R$  a right  $QF$ -3 ring if  $E(R_R)$  is projective.

The following lemma is essentially shown in the proof of [3, Corollary to Theorem 1] (see also [1, Proposition 3-(B)] and [6, Lemma 2.2]). We give its proof for convenience.

**Lemma 3.** *Let  $R$  be a right artinian right  $QF$ -2 ring, and let  $L$  and  $M$  be  $R$ -modules with  $L \leq M$ . If  $L$  is a non-zero projective and  $M$  is local, then  $M$  is also*

projective.

**Proof.** Since  $M$  is local and  $L \leq M$ , there exists an indecomposable projective module  $P$  such that  $M \cong P/K$  and  $L \cong Q/K$ , where  $K \leq Q \leq P$ . Then since  $Q/K (\cong L)$  is projective by assumption, a canonical epimorphism  $Q \rightarrow Q/K$  splits. But since  $P$  is colocal, so is  $Q$ . Hence  $K = 0$ , so that  $M \cong P$ . Therefore  $M$  is projective.  $\square$

**Lemma 4.** *Let  $R$  be a right artinian ring. The following are equivalent:*

- (1)  $R$  is a right QF-2 and right QF-3 ring;
- (2)  $E(eR)$  is local for every  $e \in \text{pi}(R)$ .

**Proof.** (1)  $\Rightarrow$  (2). This is clear.

(2)  $\Rightarrow$  (1). For every  $e \in \text{pi}(R)$  since  $E(eR)$  is indecomposable by assumption,  $E(eR)$  is colocal and hence  $eR$  is also colocal. Thus  $R$  is a right QF-2 ring. On the other hand since  $E(eR)$  is local,  $E(eR)$  is projective by Lemma 3. Hence  $E(R_R)$  is projective, that is,  $R$  is a right QF-3 ring.  $\square$

Note that the assertion (1) in Lemma 4 holds if and only if for every  $e \in \text{pi}(R)$  there exists an  $f \in A(R)$  with  $E(eR) \cong fR$ .

**Theorem 5.** *Let  $R$  be a right artinian ring. The following are equivalent:*

- (1)  $R$  is a right almost QF ring;
- (2) Every essential extension of  $eR$  is local for every  $e \in \text{pi}(R)$ ;
- (3)  $eR$  is a waist in  $E(eR)$  and  $E(eR)/eR$  is uniserial for every  $e \in \text{pi}(R)$ .

**Proof.** (1)  $\Rightarrow$  (3). This follows from the last statement of Lemma 1.

(3)  $\Rightarrow$  (2). Let  $M$  be an essential extension of  $eR$  such that  $eR < M \leq E(eR)$ . Since  $M/eR$  is local, we have  $M = xR + eR$  for some  $x = xf \in M$ , where  $f \in \text{pi}(R)$ . But  $eR$  is a waist in  $E(eR)$ , which implies  $eR < xR$ . Hence  $M = xR$  is local.

(2)  $\Rightarrow$  (1). For every  $e \in \text{pi}(R)$ ,  $E(eR)$  is local by assumption. Hence  $R$  is a right QF-2 ring by Lemma 4. Therefore every essential extension of  $eR$  is projective by Lemma 3. Thus  $R$  is a right almost QF ring by Lemma 1.  $\square$

**Lemma 6** (Harada [4, Proposition 1]). *Let  $R$  be a right almost QF ring and  $e \in A(R)$ . Suppose that  $\text{Top}(eJ^m)g \neq 0$  for an integer  $m$  with  $0 \leq m \leq m(e)$ , where  $g \in \text{pi}(R)$ . If  $gR$  is not injective, then  $eJ^m \cong gR$  and hence  $|\text{Top}(eJ^m)| = 1$ . This implies that  $gR$  is injective if  $\text{Top}(eJ^{m(e)})g \neq 0$ , where  $g \in \text{pi}(R)$ .*

Let  $e$  be an element of  $A(R)$ . We define an integer  $k(e)$  for  $e$  as follows:

$k(e) := \max\{k > 0 \mid eJ^j \text{ is local for every } j \text{ with } 0 \leq j < k\}$ .

This implies that  $eR = eJ^0, eJ, eJ^2, \dots$ , and  $eJ^{k(e)-1}$  are all local but  $eJ^{k(e)}$  is not local.

Now we consider the following conditions (C1) and (C2) on a ring  $R$ :

- (C1)  $E(eR)$  is local for each  $e \in \text{pi}(R)$ .
- (C2) Let  $e$  be an element of  $A(R)$  and put  $k := k(e)$ . If an epimorphism  $P \rightarrow eJ^k$  is a projective cover of  $eJ^k$ , then  $P$  is injective.

Note that the condition (C1) is just the assertion (2) in Lemma 4.

The following theorem is the main theorem in [6] (see also [1]).

**Theorem 7.** *Let  $R$  be a right artinian ring. The following are equivalent:*

- (1)  $R$  is a right almost QF ring;
- (2)  $R$  satisfies the conditions (C1) and (C2).

Proof. (1)  $\Rightarrow$  (2). Suppose the assertion (1). First it is clear that  $R$  satisfies the condition (C1) by Lemma 1.

Let  $e$  be an element of  $A(R)$ , and put  $k := k(e)$ . Suppose  $\text{Top}(eJ^k)g \neq 0$  for some  $g \in \text{pi}(R)$ . Since  $eJ^{k-1}$  is local by the definition of  $k(e)$ , there exists an  $f \in \text{pi}(R)$  with  $eJ^{k-1} \cong fR/K$ , where  $K \leq fR$ . Then by Proposition 2 there exist an  $h \in A(R)$  and an integer  $m$  with  $0 \leq m < m(h)$  such that  $fR \cong hJ^m$ . So  $fJ \cong hJ^{m+1}$ . Now since there exists an epimorphism  $fR \rightarrow eJ^{k-1}$ , we have also an epimorphism  $hJ^{m+1}(\cong fJ) \rightarrow eJ^k$ . Hence  $\text{Top}(hJ^{m+1})g \neq 0$  since  $\text{Top}(eJ^k)g \neq 0$ . From the definition of  $k(e)$   $eJ^k$  is not local, and hence  $hJ^{m+1}$  is not local. Hence  $|\text{Top}(hJ^{m+1})| > 1$ . This implies exactly  $m+1 = m(h)$  from the definition of  $m(h)$ . So applying Lemma 6, we have that  $gR$  is injective. Consequently  $R$  satisfies the condition (C2).

(2)  $\Rightarrow$  (1). Suppose the assertion (2). Then by Proposition 2, it suffices to show that  $\text{pi}(R) = B(R)$ . Now we divide the proof into two steps.

STEP 1. Let  $f$  be an element of  $B(R)$  and let  $g$  be an element of  $\text{pi}(R)$ . If  $\text{Top}(fJ)g \neq 0$ , then  $g$  belongs to  $B(R)$ .

Proof of Step 1. Suppose  $\text{Top}(fJ)g \neq 0$  for  $f$  and  $g$  above. Since  $f \in B(R)$ , there exist an  $e \in A(R)$  and an integer  $m$  with  $0 \leq m < m(e)(\leq k(e))$  such that  $fR \cong eJ^m$ . So  $fJ \cong eJ^{m+1}$ . Hence we have  $\text{Top}(eJ^{m+1})g \neq 0$  since  $\text{Top}(fJ)g \neq 0$ .

(i) Assume  $|\text{Top}(eJ^{m+1})| > 1$ . Then  $eJ^{m+1}$  is not local. This implies  $m+1 = k(e)$ . Hence  $gR$  is injective by the condition (C2). Thus  $g \in A(R) \subseteq B(R)$ .

(ii) Assume  $|\text{Top}(eJ^{m+1})| = 1$ . Then  $eJ^{m+1}$  is local (i.e.,  $m+1 < k(e)$ ). Hence we have an epimorphism  $gR \rightarrow eJ^{m+1}$ . Here suppose  $g \notin A(R)$ . Then we have an  $h \in A(R)$  with  $gR < E(gR) \cong hR$  by Lemma 4. Hence we have a right ideal  $I$  of

$R$  such that  $gR \cong hI \leq hJ$ . We consider an epimorphism  $\varphi : hI (\cong gR) \rightarrow eJ^{m+1}$ . Since  $eR$  is injective, for a composition map  $\varepsilon\varphi : hI \rightarrow eR$  there exist a homomorphism  $\theta : hR \rightarrow eR$  with  $\theta|_{hI} = \varepsilon\varphi$ , where  $\varepsilon$  is an inclusion map from  $eJ^{m+1}$  into  $eR$  (see the diagram below).

$$\begin{array}{ccccc}
 0 & \longrightarrow & hI & \longrightarrow & hR \\
 & & \downarrow \text{epi. } \varphi & & \swarrow \theta \\
 & & eJ^{m+1} & & \\
 & & \downarrow \varepsilon & & \\
 & & eR & & 
 \end{array}$$

Then  $eJ^{m+1}$  is a waist in  $eR$  since  $m+1 < k(e)$ . So  $\theta(hR) \leq eJ^{m+1}$  or  $\theta(hR) > eJ^{m+1}$ . Suppose  $\theta(hR) \leq eJ^{m+1}$ . Then  $0 \neq eJ^{m+1} = \varphi(hI) = \varepsilon\varphi(hI) = \theta(hI) \leq \theta(hJ) \leq eJ^{m+2}$ . This is a contradiction. Hence  $\theta(hR) > eJ^{m+1}$ . Since  $eR/eJ^{m+1}$  is uniserial, we have  $\theta(hR) \cong eJ^j$  for some  $j$  with  $0 \leq j \leq m$ . On the other hand  $eJ^j$  is projective from  $j < m(e)$ , so that  $\theta(hR)$  is also projective. Hence we have  $\text{Ker } \theta = 0$  by the indecomposability of  $hR$ . Hence  $\varphi : hI \rightarrow eJ^{m+1}$  is an isomorphism, that is,  $gR \cong hI \cong eJ^{m+1}$ . Thus  $m+1 < m(e)$ , and consequently  $g$  belongs to  $B(R)$ .

STEP 2. Let  $e$  be an element of  $A(R)$ . If  $\text{Top}(eJ^n)g \neq 0$  for an element  $g \in \text{pi}(R)$  and an integer  $n \geq 0$ , then  $g$  belongs to  $B(R)$ .

Proof of Step 2. We shall use induction on  $n$ .

In the case  $n = 0$ ,  $eR \cong gR$ . So  $g \in A(R) \subseteq B(R)$ .

Suppose that the claim above holds for  $n \geq 0$ . Let  $\text{Top}(eJ^{n+1})g \neq 0$  and  $\text{Top}(eJ^n) \cong \text{Top}(f_1R) \oplus \text{Top}(f_2R) \oplus \cdots \oplus \text{Top}(f_sR)$ , where  $f_i \in \text{pi}(R)$ ,  $i = 1, 2, \dots, s$ . By the inductive assumption,  $f_t \in B(R)$  for every  $t$  with  $1 \leq t \leq s$ . Now from the isomorphism  $\text{Top}(eJ^n) \cong \text{Top}(f_1R) \oplus \text{Top}(f_2R) \oplus \cdots \oplus \text{Top}(f_sR)$  we have an epimorphism  $(f_1R \oplus f_2R \oplus \cdots \oplus f_sR) \rightarrow eJ^n$ . So there exists an epimorphism  $(f_1J \oplus f_2J \oplus \cdots \oplus f_sJ) \rightarrow eJ^{n+1}$ . Since  $\text{Top}(eJ^{n+1})g \neq 0$ , we have an  $f_u \in B(R)$  such that  $\text{Top}(f_uJ)g \neq 0$  for some  $u$  with  $1 \leq u \leq s$ . Therefore we have  $g \in B(R)$  by Step 1. Thus Step 2 is proved.

Now we can easily show that  $\text{pi}(R) = B(R)$ . Let  $g$  be an arbitrary element in  $\text{pi}(R)$ . Since  $R$  satisfies the condition (C1), there exists an  $e \in A(R)$  such that  $gR \leq E(gR) \cong eR$  by Lemma 4. Then we have an integer  $k$  ( $\geq 0$ ) such that  $\text{Top}(eJ^k)g \neq 0$ . Hence by Step 2 we have  $g \in B(R)$ , that is,  $\text{pi}(R) \subseteq B(R)$ . The theorem is proved.  $\square$

Finally we consider another condition (C3) on a ring  $R$  as follows:

(C3) Let  $e$  be an element of  $A(R)$  and put  $m := m(e)$ . If an epimorphism  $P \rightarrow eJ^m$  is a projective cover of  $eJ^m$ , then  $P$  is injective.

Remark that, according to Lemma 6, if  $R$  is a right almost  $QF$  ring then  $R$  satisfies the condition (C3).

In Theorem 7 if we replace the condition (C2) in the assertion (2) with the condition (C3), then we obtain the following proposition as another characterization of almost  $QF$  rings, which is one of the main results in [6] (see also [1]).

**Proposition 8.** *Let  $R$  be a right artinian ring. The following are equivalent:*

- (1)  *$R$  is a right almost  $QF$  ring;*
- (2)  *$R$  satisfies the conditions (C1) and (C3).*

Proof. (1)  $\Rightarrow$  (2). Suppose the assertion (1). Then the assertion (2) follows from Theorem 7 and the preceding remark.

(2)  $\Rightarrow$  (1). Since we can prove this in the same way as Theorem 7 except the claim of Step 1, it suffices to prove the following claim corresponding to Step 1 in the proof of Theorem 7.

**CLAIM.** Suppose the assertion (2). Let  $f$  be an element of  $B(R)$  and let  $g$  be an element of  $\pi(R)$ . If  $\text{Top}(fJ)g \neq 0$ , then  $g$  belongs to  $B(R)$ .

Proof of the Claim. Suppose  $\text{Top}(fJ)g \neq 0$  for  $f$  and  $g$  above. Since  $f$  is an element of  $B(R)$ , we have an  $e \in A(R)$  and an integer  $m$  with  $0 \leq m < m(e)$  such that  $fR \cong eJ^m$ . So  $fJ \cong eJ^{m+1}$ . Hence we have  $\text{Top}(eJ^{m+1})g \neq 0$  since  $\text{Top}(fJ)g \neq 0$ .

Then in the case  $m+1 = m(e)$ ,  $gR$  is injective by the condition (C3). Hence  $g \in A(R) \subseteq B(R)$ .

In the case  $m+1 < m(e)$ ,  $eJ^{m+1}$  is projective by the definition of  $m(e)$ . Hence  $gR \cong eJ^{m+1}$ . This implies  $g$  belongs to  $B(R)$ . The proposition is proved.  $\square$

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Department of Mathematics  
Osaka City University  
Sugimoto, Sumiyoshi-ku  
Osaka 558-0022, Japan

